



18 **Abstract**

19 Changes in land cover and aerosols resulting from urbanization may impact convective clouds
20 and precipitation. Here we investigate how Houston urbanization can modify sea-breeze induced
21 convective cloud and precipitation through urban land effect and anthropogenic aerosol effect.
22 The simulations are carried out with the Chemistry version of the Weather Research and
23 Forecasting model (WRF-Chem), which is coupled with the spectral-bin microphysics (SBM)
24 and the multilayer urban model with a building energy model (BEM-BEP). We find that
25 Houston urbanization (the joint effect of both urban land and anthropogenic aerosols) notably
26 enhances storm intensity (by $\sim 15 \text{ m s}^{-1}$ in maximum vertical velocity) and precipitation intensity
27 (up to 45%), with the anthropogenic aerosol effect more significant than the urban land effect.
28 Urban land effect modifies convective evolution: speed up the transition from the warm cloud to
29 mixed-phase cloud thus initiating surface rain earlier but slowing down the convective cell
30 dissipation, all of which result from urban heating induced stronger sea breeze circulation. The
31 anthropogenic aerosol effect becomes evident after the cloud evolves into the mixed-phase
32 cloud, accelerating the development of storm from the mixed-phase cloud to deep cloud by ~ 40
33 min. Through aerosol-cloud interaction (ACI), aerosols boost convective intensity and
34 precipitation mainly by activating numerous ultrafine particles at the mixed-phase and deep
35 cloud stages. This work shows the importance of considering both urban land and anthropogenic
36 aerosol effects for understanding urbanization effects on convective clouds and precipitation.

37



38 **1 Introduction**

39 Urbanization has been a significant change of the earth environment since
40 industrialization and is expected to further expand during the coming decades (Agli et al., 2004).
41 Many modeling and observational studies have shown that urbanization can impact weather and
42 climate (e.g., Shepherd et al., 2010; Ashley et al., 2012).

43 Urbanization could impact storm properties through two major pathways. The first major
44 pathway is through the changes on land cover types. For urban land, the most typical and
45 extensively studied effect is the increase of surface temperature compared to the surrounding
46 rural area, known as the urban heat island (UHI) effect (e.g., Bornstein and Lin, 2000; Shepherd,
47 2005; Hubbard et al., 2014). Convective storms may be initiated at the UHI convergence zone,
48 created through a combination of increased temperature and mechanical turbulence resulting
49 from complex urban surface geometry and roughness (Bornstein and Lin, 2000; Shepherd, 2005,
50 Hubbard et al., 2014). Urban landscapes impact sensible and latent heat flux, soil moisture, etc.,
51 affecting thunderstorm initiation (Haberlie et al., 2015) and changing the location and amount of
52 precipitation compared to pre-urbanization period (Shepherd et al. 2002; Niyogi et al. 2011).

53 The second major pathway of the urbanization impacts is through pollutant aerosols
54 associated with industrial and population growth in cities. Previous studies have shown that
55 urban aerosols invigorate precipitation in urban downwind regions through aerosol-cloud
56 interaction (ACI; Van den Heever and Cotton 2007; Carrió et al. 2010; Fan et al., 2018). A
57 recent study showed aerosol spatial variability in the Seoul area played an important role in a
58 torrential rain event (Lee et al., 2018). Many compelling evidences have emerged showing the
59 joint influences of aerosols and urban land on clouds and precipitation, especially in China where
60 both effects are strong and complex (Li et al., 2019 and references therein).



61 Majority of the past studies focused on one of the abovementioned pathways. Recently, a
62 few studies examined the combined effects of both pathways on lightning and precipitation. A
63 new observational study (Kar and Liou, 2019) indicated that both land and aerosol effects should
64 be considered to explain the cloud-to-ground lightning enhancements over the urban areas.
65 Modeling study showed urban land-cover changes increased precipitation over the upstream
66 region but decreased precipitation over the downstream region, while aerosols had the opposite
67 effect mainly through the indirect effect (Zhong et al. 2015). A long-period (5 years) modeling
68 study in the Yangtze River Delta (YRD) region confirmed the opposite effects on precipitation
69 but aerosol radiative effect was the dominant reason for the reduced convective intensity and
70 precipitation (Zhong et al. 2017). Sarangi et al. (2018) also showed the enhanced precipitation
71 over the urban core by the urban land effect and at the downwind region by the aerosol effect,
72 consistent with Zhong et al (2015). Schmid and Niyogi (2017) showed that urban precipitation
73 rate enhancement is due to a combination of land heterogeneity induced dynamical lifting effect
74 and aerosol indirect effects. For coastal cities, studies indicated that anthropogenic aerosol effect
75 on precipitation may be more important than the urban land effect (Liu and Niyogi et al., 2019,
76 Ganeshan et al., 2013; Ochoa et al., 2015).

77 Houston is the largest city in the southern United States. It is one of the most polluted
78 areas in the nation based on the most recent “State of the Air” report by American Lung
79 Association (<http://www.stateoftheair.org/about/>). The Houston urbanization causes both land
80 cover change and anthropogenic emission enhancement which has been a fertile region for air
81 quality studies (i.e., high ozone) (e.g., Chen et al., 2011, Fast et al., 2006). The sea breeze
82 circulation over the region plays a key role not only in convection and precipitation but also in
83 local air quality (Fan et al., 2007; Banta et al. 2005, Caicedo et al., 2019). The strength and



84 inland propagation of sea breeze circulation can be influenced by land/sea surface temperature
85 contrast, land use/land cover, and the prevailing synoptic flow (e.g., Angevine et al., 2006; Bao
86 et al., 2005; Chen et al., 2011). Chen et al. (2011) indicated that the existence of the Houston city
87 favored stagnation because the inland penetration of the sea breeze counteracted the prevailing
88 wind in a case study. On the other hand, Ryu et al. (2016) showed the urban heating of
89 Baltimore–Washington metropolitan area strengthened the bay breeze thus promoted intense
90 convection and heavy rainfall. In Shanghai, however, the sea-land breeze has exhibited a
91 weakening trend over the past 21 years, which was hypothesized to result from the joint
92 influences of aerosol, UHI, and greenhouse effects (Shen et al., 2019). While sorting out the
93 various factors is a daunting task especially by means of observation analysis, it is essential to
94 enhance our understanding of both overall effects by human activity and individual ones for
95 which much fewer have been done.

96 In this study, we aim at understanding how the changes in Houston land cover and
97 anthropogenic aerosols as a result of urbanization modify the sea-breeze induced convective
98 storm and precipitation jointly and respectively. To answer the science question, we employ the
99 Chemistry version of Weather Research and Forecast (WRF) model coupled with the spectral-
100 bin microphysics (WRF-Chem-SBM) scheme, a model we previously developed and applied to
101 warm stratocumulus clouds (Gao et al., 2016), to simulate a deep convective storm case that
102 occurred over the Houston region and produced heavy precipitation. Sensitivity tests are
103 performed to look into the joint and respective effects of urban land and anthropogenic aerosol
104 on storm development and precipitation.



105 **2 Case Description, Model, and Analysis Method**

106 **2.1 Case description**

107 The deep convective cloud event we simulate in this study occurred on 19-20 June 2013
108 near Houston, Texas. The case was also selected for the ACPC Model Intercomparison Project
109 (Rosenfeld et al., 2014; www.acpcinitiative.org). In another companion study (Zhang et al.,
110 2020), this case was simulated to study the impact of cloud microphysics parameterizations on
111 ACI. The isolated weak convective clouds were initiated from the late morning because of a
112 trailing front. Deep convective cells over Houston and Galveston bay areas developed in the
113 afternoon with increased solar heating and strengthened sea breeze circulation. A strong
114 convective cell observed in the Houston city that we focused was initiated at 2145 UTC (local
115 time 16:45) and developed to its peak precipitation at 2217 UTC.

116 The simulated case was evaluated extensively in aerosol and cloud properties in the
117 companion paper mentioned above. Here only observations of radar reflectivity and precipitation
118 are used in the evaluation. The radar reflectivity is obtained from the Next-Generation Weather
119 Radar (NEXRAD) network at <https://www.ncdc.noaa.gov/data-access/radar-data/nexrad->
120 products, with a temporal frequency of every ~5 minutes and a spatial resolution of 1 km. The
121 high-temporal and spatial precipitation data retrieved based on radar reflectivity is used for
122 simulation evaluation.

123 **2.2 Model description and experiment design**

124 The WRF-Chem-SBM model used in this study is based on Gao et al. (2016), with
125 updates in both WRF-Chem (Grell et al., 2005; Skamarock et al., 2008) and the SBM (Khain et
126 al., 2004; Fan et al., 2012). The SBM version coupled with WRF-Chem is a fast version with



127 only four sets of 33 bins for representing size distribution of CCN, drop, ice/snow, and
128 graupel/hail, respectively. It is currently coupled with the four-sector version of the Model for
129 Simulating Aerosol Interactions and Chemistry (MOSAIC) (Fast et al., 2006; Zaveri et al.,
130 2008). Compared with the original WRF-Chem model which uses two-moment bulk
131 microphysics schemes, besides the advancements in cloud microphysical process calculations in
132 SBM, the aerosol-cloud interaction processes which impact both cloud and aerosol properties are
133 physically improved. These processes are aerosol activation, resuspension, and in-cloud wet-
134 removal (Gao et al., 2016). Theoretically both aerosol and cloud processes can be more
135 realistically simulated compared with the original WRF-Chem, particularly under the conditions
136 of complicated aerosol compositions and aerosol spatial heterogeneity. This would result in
137 improved simulations of both ACI and aerosol-radiation interactions (ARI). Following on Gao et
138 al. (2016) where the model was applied to a warm stratocumulus cloud case, we apply the model
139 to the deep convective storm case in this study.

140 The dynamic core of WRF-Chem-SBM is the Advanced Research WRF model that is fully
141 compressible and nonhydrostatic with a terrain-following hydrostatic pressure vertical coordinate
142 (Skamarock et al., 2008). The grid staggering is the Arakawa C-grid. The model uses the Runge-
143 Kutta 3rd order time integration schemes, and the 3rd and 5th order advection schemes are selected
144 for the vertical and horizontal directions, respectively. The positive definite option is employed
145 for advection of moist and scalar variables.

146 The model domains are shown in Fig. 1. Two nested domains have horizontal grid
147 spacings of 2 and 0.5 km, respectively, with 51 vertical levels up to 50 hPa. Domain 1
148 simulations are run with WRF-Chem using Morrison double-moment scheme (Morrison et al.,
149 2005) to produce realistic aerosol fields for Domain 2 simulations. Two simulations were run



150 over Domain 1 with anthropogenic emissions turned on and off, respectively, starting from 0000
151 UTC 14 Jun and ending at 1200 UTC 20 June with about 5 days for chemical spin up. The
152 chemical lateral boundary and initial conditions for Domain 1 simulations were from a quasi-
153 global WRF-Chem simulations at 1-degree grid spacing, and meteorological lateral boundary
154 and initial conditions were created from MERRA-2 (Gelaro et al., 2017). Domain 2 simulations
155 uses WRF-Chem-SBM, driven with the initial and lateral boundary aerosol and chemical fields
156 from Domain 1 outputs, but the initial and lateral boundary conditions for meteorological fields
157 are from MERRA-2. The reason for not using the meteorological fields from Domain 1
158 simulations is that the meteorological fields are different between the simulations with and
159 without anthropogenic emissions. To use the same meteorological fields that do not much
160 account for small-scale urban land and aerosol effects to drive all simulations carried out over
161 Domain 2, MERRA-2 data are used. Domain 2 simulations are initiated at 0600 UTC 19 June (~
162 5 days later from the initial time of Domain 1 simulations) and run for 30 hours. The modeled
163 dynamic time step was 6 s for Domain 1 simulations and 3 s for Domain 2 simulations.

164 For all simulations over both domains, the anthropogenic emission was from NEI-2011
165 emissions. The biogenic emission came from the Model of Emissions of Gases and Aerosols
166 from Nature (MEGAN) product (Guenther et al., 2006). The biomass burning emission was from
167 the Fire Inventory from NCAR (FINN) model (Wiedinmyer et al., 2011).

168 The baseline simulation over Domain 2 uses the initial and boundary chemical and
169 aerosol conditions from the Domain 1 simulation with anthropogenic emissions turned on. This
170 simulation uses all available emissions as abovementioned including anthropogenic emissions. It
171 is the same simulation as “SBM_anth” in Zhang et al. (2020). Here we renamed it as
172 “LandAero”, in which the effects of urban land and anthropogenic aerosols are considered (Fig.



173 2a, c). Based on LandAero, sensitivity tests are conducted to investigate the combined and
174 individual effects of urban land and anthropogenic aerosols. No_Aero is the simulation based on
175 LandAero, except that anthropogenic emissions are turned off and the initial and boundary
176 chemical and aerosol conditions are from the Domain 1 simulation without anthropogenic
177 aerosols considered (Fig. 2b). No_Land is also based on LandAero, except the Houston urban
178 land is replaced by the surrounding cropland and pasture (Fig. 2d). The aerosols used in
179 No_Land include the anthropogenic sources (Fig. 2a), which is analogous to the scenario of
180 downwind a big city (i.e., rural area with pollution particles transported from city). We also run a
181 simulation with both the urban land cover replaced by the surrounding cropland and the
182 anthropogenic aerosols excluded (Fig. 2b, d), which is referred to as “No_LandAero”. That is,
183 both effects of urban land and anthropogenic aerosol are not considered in this simulation. By
184 comparing LandAero with No_LandAero, the joint effect of urban land and anthropogenic
185 aerosols can be obtained. The individual urban land and anthropogenic aerosol effect can be
186 obtained by comparing LandAero with No_Land and LandAero with No_Aero, respectively.

187 The simulated aerosol and CCN properties are evaluated with observations in Zhang et al.
188 (2020), which shows that the model captures aerosol mass and CCN number concentrations
189 reasonably well. Aerosol number concentration is not evaluated because the measurements are
190 not available at the Texas Commission for Environmental Quality (TCEQ) sites. A snapshot of
191 simulated aerosol number concentrations in LandAero and No_Aero at the time of 6 hours before
192 the initiation of the Houston cell is shown in Fig. 2a-b. Houston anthropogenic emissions
193 produce about 10 times more aerosol concentrations over the Houston area than those in Gulf of
194 Mexico and ~ 5 times than those in the rural area shown in Fig. 2a. The background aerosol
195 concentrations are relatively low (around 250 cm^{-3}) in this region. Aerosols over the Houston



196 urban area are mainly contributed by organic aerosols, which are highly related with the oil
197 refinery industry and ship channel emissions. The aerosol compositions are mainly sulfate in the
198 rural area and sea salt over the Gulf of Mexico in our simulations. Therefore, aerosol properties
199 are extremely heterogenous in this region. Fig. 3 shows the mean aerosol size distributions from
200 the three area as marked up in Fig. 2a in LandAero. In the Houston area, majority of aerosols
201 (75%) have a size (diameter) smaller than 100 nm, and 51% of the aerosols is ultrafine aerosol
202 particles (smaller than 60 nm). Those small particles are substantially reduced in the rural area
203 and the Gulf of Mexico (Fig. 3).

204 To see how the land cover type change affects temperature, Fig. 4 shows the differences
205 of 2-m temperature and surface sensible heat fluxes between LandAero and No_Land at 1600
206 UTC when sea breeze begins to show differences. The urban land increases near-surface
207 temperature over Houston and its downwind area by about 1-2 °C (Fig. 4a), corresponding to the
208 increase of surface sensible heat fluxes (Fig. 4b). More information about the temporal evolution
209 and vertical distribution of the urban heating will be discussed in the result section.

210 **2.3 Analysis Method**

211 To quantify the convective cell properties occurring over Houston, we employ the Multi
212 Cell Identification and Tracking (MCIT) Algorithm from Hu et al. (2019a) to track the
213 convective storms. The MCIT is a watershed-based algorithm and shows better tracking
214 capabilities compared with traditional centroid based tracking algorithms. The MCIT identifies
215 cells by local maxima of vertically integrated liquid (VIL) based on watershed principles and
216 performs tracking of multiple cells base on maximum common VIL between the consecutive
217 scans. In this way, convective storm life cycle from initiation to dissipation can be better tracked
218 than the traditional methods as detailed in Hu et al. (2019a).



219 To apply the algorithm to both model simulation and NEXRAD observations
220 consistently in this study, we calculated liquid water path (LWP), a variable of model output
221 accounting for the column integrated liquid to replace VIL in MCIT for model simulation. We
222 track local maxima of LWP by identifying the two cells in consecutive radar scans that have
223 maximum common LWP. A cell is identified and tracked when the local maxima LWP exceeds
224 50 g m^{-2} . This value is selected because it allows us to start recognizing the deep convective cell
225 by filtering a lot of shallow clouds surrounded it. The storm area of the tracked cell is defined as
226 the grid area with $\text{LWP} > 50 \text{ g m}^{-2}$.

227 To examine sea breeze circulation over the Houston region, the sea breeze wind intensity
228 at a specific time is calculated by averaging the horizontal wind speeds below 1-km altitude
229 along the black line UO in Fig. 4a. The cross section of the winds along this line is also analyzed
230 in the result section.

231 **3 Results**

232 **3.1 Radar reflectivity, precipitation, and convective intensity**

233 We first discuss the evaluation of the baseline simulation LandAero first. The simulation
234 is comprehensively evaluated in Zhang et al. (2020). Here the comparisons with observed radar
235 reflectivity and precipitation are included. The composite radar reflectivity at the time of the
236 peak reflectivity of the storm in Houston shows that LandAero captures the convective cell in
237 Houston, with the maximal radar reflectivity of 58 dBZ, very close to the observed 57 dBZ (Fig.
238 5a, b). The modeled convective cell in LandAero has a larger size compared with the radar
239 observations. The contoured frequency by altitude diagram (CFAD) over the major storm period
240 (1800 UTC 19 Jun to 0000 UTC 20 Jun) shows that the model overestimates the frequencies of



241 moderate reflectivity (i.e., 15-35 dBZ) over the entire vertical profile (Fig. 6a-b), but captures the
242 occurrence frequencies of high reflectivity (larger than 45 dBZ) reasonably well. The magnitude
243 of the surface rain rate averaged over the study area defined by the red box in Fig. 5 from
244 LandAero agrees with the retrieved value from the NEXRAD reflectivity, with a peak time about
245 40 min earlier than the observation (Fig. 7a). The probability density function (PDF) of rain rates
246 shows that LandAero reproduces the occurrence frequencies of low and mediate rain rates well
247 (left two columns in Fig. 7b) and overestimates the occurrence frequencies of high rain rates ($>$
248 10 mm h^{-1} ; right two columns in Fig. 7b). The accumulated precipitation over the time period
249 shown in Fig. 7a is about 7.2 mm from LandAero and 5.5 mm from observations, with a model
250 overestimated of $\sim 30\%$ because of the overestimation of occurrences of high rain rates and
251 longer precipitation period.

252 Without Houston urbanization (i.e., both effects of urban land and anthropogenic aerosol
253 are removed), the Houston convective cell is a lot smaller in area and has reflectivity values of \sim
254 7 dBZ lower in general compared with LandAero and the NEXRAD observation (Fig. 5c vs. 5a-
255 b). There is almost no radar reflectivity larger than 50 dBZ in No_LandAero (Fig. 6c), in
256 contrast with the significant occurrences of reflectivity larger than 50 dBZ in LandAero and the
257 NEXRAD observation. Those differences are more clearly shown in Fig. 6f. The peak surface
258 rain rate in No_LandAero is reduced by $\sim 45\%$ compared with LandAero and observations (Fig.
259 7a; black vs. red line), with the occurrences of large rain rates ($> 15 \text{ mm h}^{-1}$) reduced by nearly
260 an order of magnitude (Fig. 7b). In terms of updraft intensity, the CFAD plots in Fig. 8a-b show
261 that there is extremely low or no occurrence for updraft velocity larger than 15 m s^{-1} in
262 No_LandAero, while the occurrences of 30 m s^{-1} still exist in LandAero. There are less
263 occurrences of weak updraft velocities and more occurrences of relatively strong updraft



264 velocities over the vertical profile (Fig. 8e). These results indicate the urbanization (i.e., the joint
265 urban land and aerosol effects) drastically enhances the convective intensity and precipitation.

266 Now let's look at the individual effect from the Houston urban land and anthropogenic
267 aerosols. Fig. 5 shows that the urban land effect enlarges the storm area (Fig. 5d vs. 5b) but the
268 aerosol effect is more significant (Fig. 5e vs. 5b). The CFAD of radar reflectivity in Fig. 6 also
269 shows that changes of the PDF by the urban land effect is notably smaller than the anthropogenic
270 aerosol effect. For the occurrence frequencies of high reflectivity larger than 48 dBZ, the change
271 is mainly from the anthropogenic aerosol effect (Fig. 6f-h).

272 For precipitation, we do not see an important effect of urban land on the magnitudes of
273 precipitation rate and the PDF of rain rate (Fig. 7a-b; No_Land vs LandAero). The accumulated
274 rain is about 6.9 mm, which is also not much different from 7.2 mm in LandAero. In contrary,
275 the anthropogenic aerosol effect increases the peak rate by ~ 30%. The frequency of large rain
276 rates ($> 15 \text{ mm h}^{-1}$) is increased by about 5 times (Fig. 7b; No_Aero vs LandAero). The joint
277 effect of both urban land and aerosol increases the accumulated rain by ~ 26%, the peak rain
278 rates by 45%, and the frequency of large rain rates by an order of magnitudes (from
279 No_LandAero to LandAero), suggesting the interactions between the two factors amplify the
280 effect on precipitation, particularly on the large rain rates. Although the Houston urban land
281 alone does not much affect the magnitude of precipitation, the initial time of the rain is advanced
282 by ~ 30 min from No_Land to LandAero (Fig. 7a), indicating that the urban land effect speeds
283 up the rain formation. Aerosol effect delays the initial and peak rain by ~ 10 min (from No_Aero
284 to LandAero). This will be further discussed in Section 3.2 on convective evolution.

285 On convective intensity, the large increases in occurrence frequencies of the updraft
286 speeds greater than 10 m s^{-1} in the upper-levels by the joint effect is mainly contributed by the



287 anthropogenic aerosol effect (Fig. 8e, g). Below 6 km, both the urban land and aerosol effects
288 play evident roles in increasing the occurrences of relatively large updraft speeds (Fig. 8e-g). The
289 larger anthropogenic aerosol effect is also clearly seen from the occurrences of maximal vertical
290 velocity: $\sim 30 \text{ m s}^{-1}$ in LandAero, while only $\sim 19 \text{ m s}^{-1}$ in No_Aero when the anthropogenic
291 aerosol effect is removed, whereas the value is 27 m s^{-1} in No_Land when the urban land effect
292 is turned off (Fig. 8a, c-d). The large effect of anthropogenic aerosols on convective intensity
293 supports the significant aerosol effects on large precipitation rates as shown in Fig. 7. With both
294 effects removed (No_LandAero), there are almost 100% reduction for the vertical velocity
295 greater than $\sim 15 \text{ m s}^{-1}$, showing a quite strong enhancement of convective intensity as a result of
296 urbanization, mainly through the anthropogenic aerosol effects.

297 **3.2 Convective evolution**

298 The urban land effect initiates surface rain about 30 minutes earlier as discussed above,
299 suggesting that the convective cloud development is affected when urban land effect is
300 considered. We examine the convective evolution for the cell over Houston using the cell-
301 tracking method described in Section 2. The time evolution of the tracked cell properties is
302 shown in Fig. 9a-b. Clearly, the urban land effect enhances the reflectivity and area for the
303 tracked cell over the lifetime (from the black dashed line to black solid line), and it also
304 accelerates the development to the peak reflectivity but slows down the dissipation after the peak
305 radar reflectivity is reached (Fig. 9a-b). The anthropogenic aerosols also enhance the convective
306 cell reflectivity and area throughout the cell lifecycle (from the black dotted line to black solid
307 line), with a much larger effect compared with the urban land effect. The anthropogenic aerosol
308 effect does not affect the timing of peak reflectivity (dotted vs. solid black in Fig. 9a-b). The
309 overall reflectivity and cell area properties are shown in Fig. 9c-d, which presents a consistent



310 story as Fig. 9a-b. The baseline simulation LandAero tends to overestimate the frequency of big
311 cell sizes (200-300 km²) and underpredict the frequency of small cell size (Fig. 9d). Since
312 LandAero predicts a similar rain intensity and rain rate PDF as observations as discussed above,
313 this means that a larger storm cell than observations are needed to predict a similar precipitation
314 intensity as observations. For this reason, No_LandAero which predicts much smaller cell size
315 agrees better with the observations compared with the other simulations purely based on cell size
316 (Fig. 9b, d). However, as discussed above, other metrics such as peak precipitation rate and PDF
317 do not support it. It also should be noted that radar reflectivity in model calculation has a large
318 uncertainty and the model's overestimation can be partly the result of crude Rayleigh scattering
319 assumptions applied to the model fields. The model overestimation of radar reflectivity has been
320 commonly found in previous studies at cloud resolving scales (Varble et al. 2011; 2014, Fan et
321 al., 2015; 2017).

322 Since the small and numerous shallow cumulus clouds are difficult to be tracked with cell
323 tracking algorithm and they are excluded from the above tracking, to examine how the
324 convective storm evolves from the initial shallow cumulus period, we chose the red box shown
325 in Fig. 5 which contains the Houston cell as the study area. Since the convective storm does not
326 spatially move much with time in this study, this is a valid way to look at the temporal evolution.
327 Fig. 10 shows the temporal evolution of the maximal total water content (TWC; color contours)
328 at each level and the maximal vertical velocity in the study area (black line). The convective
329 storm has three distinct periods: warm cloud, mixed-phase cloud, and deep cloud. The mixed-
330 phase and deep cloud are defined with a cloud top temperature (cloud top is defined with TWC >
331 0.01 g kg⁻¹ at the topmost level) between 0 and -40 °C and below -40 °C, respectively. The purple



332 and black dashed lines in Fig. 10 mark the initiation of mixed-phase and deep clouds,
333 respectively.

334 As we can see, there is a relatively long warm cloud period for this case (Fig. 10a). With
335 both urban land and anthropogenic aerosol effects removed, the cloud development from the
336 warm cloud to mixed-phase cloud is delayed by ~ 30 min (Fig. 10d vs. 10a), so is the
337 development from the mixed-phase cloud to deep cloud. Compared Fig. 10a with 10b and 10c,
338 we see that it is mainly the urban land effect that enhances the development of warm cloud to the
339 mixed-phase cloud by nearly 30 min, while aerosol effect does not affect it (Fig. 10a vs. 10c).
340 However, it is mainly the aerosol effect that accelerates the development from the mixed-phase
341 cloud to deep cloud by about 35 min. In the case of the urban land effect removed (i.e.,
342 No_Land; Fig. 10b), the anthropogenic aerosol effect makes the duration of the mixed-phase
343 cloud very short - about 35 mins shorter relative to LandAero in which both effects are
344 considered and 75 min shorter relative to No_Aero in which aerosol effect is removed but the
345 urban land effect is considered. This is due to aerosol invigoration effect in the mixed-phase
346 cloud stage which will be elaborated later.

347 Accompanying with the faster development of warm cloud to mixed-phase cloud by the
348 urban land effect is the stronger updraft speeds in the warm cloud stage (shown from the
349 maximal updraft velocity in Fig. 10 and the mean of the top 25th percentile updraft speeds in Fig.
350 11a). Similarly, for the simulations with the aerosol effect considered (i.e., LandAero and
351 No_Land), the convection is stronger in the mixed-phase cloud stage (Fig. 11b), which
352 accelerates the development into the deep cloud.

353 Now the questions are: (1) how does the urban land effect enhance convective intensity at
354 the warm cloud stage and speeds up the cloud development from the warm to mixed-phase



355 cloud, but slows down the storm dissipation? (2) how do the anthropogenic aerosols increase
356 convective intensity at the mixed-phase cloud stage and accelerate the development of mixed-
357 phase into deep cloud?

358 For Question (1), Fig. 10a and Fig. 12a show that the development of the warm cloud to
359 mixed-phase cloud occurs when the sea breeze circulation reaches its strongest. Also, the
360 development corresponds to the fastest and largest increase of sea breeze intensity by the urban
361 land effect (Fig. 12a). Anthropogenic aerosol does not seem to affect sea breeze circulation. The
362 enhanced sea breeze circulation in the simulations with the urban land effect considered (i.e.,
363 LandAero and No_Aero) compared with No_Land and No_LandAero corresponds to the
364 increases of surface sensible heat flux and air temperature at low levels (Fig. 12b, d), which is
365 so-called “urban heat island”. The urban heating effect on temperature is significant up to 0.8-km
366 altitude at its strongest time that also corresponds to strongest sea breeze time (Fig. 13b). The
367 urban heating enhances convergence in Houston and at the same time increases the temperature
368 differences between Houston and Gulf of Mexico, both of which would contribute to a stronger
369 sea breeze circulation. Past studies showed that urban roughness could also enhance low-level
370 convergence (e.g., Niyogi et al., 2006). However, majority of the studies indicated that increased
371 surface sensible heat flux is the main reason for the enhanced convergence (Liu and Niyogi,
372 2019; Shimadera et al., 2015).

373 The stronger sea breeze circulation transports more water vapor to Houston (Fig. 14). At
374 the time 1930 UTC when the sea breeze is strongest and the enhancement is the largest (Fig.
375 12a), as well as the temperature contrast between the Houston urban area and Gulf of Mexico is
376 the largest (Fig. 13b), the low-level moisture in the urban area is clearly higher in LandAero
377 compared with No_Land (Fig. 14b, color contour), which would help enhance convection. As a



378 result, the updraft speed of the Houston convective cell is much larger in LandAero compared
379 with No_Land (Fig. 14b, contoured line). The stronger convection continues even when sea
380 breeze dissipates (Fig. 14c) because the heating effect in the urban area extends to the nighttime
381 until 2300 UTC (local time 18:00; Fig. 12c-d and 13c. This explains the slower dissipation of the
382 tracked Houston cell by the urban land effect as shown in Fig. 9a-b. In a word, the urban heating
383 along with the strengthened sea breeze circulation induced by the urban heating enhances
384 convection at the warm cloud stage and speeds up the development from the warm to mixed-
385 phase cloud, and the temporally-extended urban heating effect leads to a slower dissipation of
386 the convective cell.

387 For Question (2), which is about how anthropogenic aerosols increase convective
388 intensity at the mixed-phase cloud stage and accelerate the development of mixed-phase into
389 deep cloud, Fig. 11b shows the anthropogenic aerosol effect on updraft speeds becomes notable
390 at the mixed-phase cloud stage, the effect is doubled compared with the urban land effect at the
391 mixed-phase regime (6-9 km altitudes). This corresponds to the increased net buoyancy (Fig.
392 15a, black lines) at those levels from No_Aero to LandAero, which is mainly because of the
393 increased thermal buoyancy since condensate loading effect is small (Fig. 15a) as a result of
394 enhanced condensational heating (Fig. 15c, blue lines). The condensational heating increase is
395 most significant at 3-5 km and 6-9 km altitudes, corresponding to notably increased secondary
396 droplet nucleation of small aerosol particles which are not able to be activated at cloud base (Fig.
397 15e). In this case, aerosols with diameter smaller than 80 nm but larger than 39 nm (the smallest
398 size in the 4-sectional MOSAIC), which account for about two third of the total simulated
399 aerosols, are not activated around cloud bases. All of them can be activated in the strong updrafts
400 (Fan et al., 2018). This strong secondary nucleation leads to increased droplet number and mass



401 by the anthropogenic aerosol effects (from No_Aero to LandAero; Fig. 16a, c). To recap, the
402 anthropogenic aerosols enhance updraft velocity at the mixed-phase cloud stage mainly through
403 enhanced condensation heating (i. e., “warm-phase invigoration”), as a result of nucleating small
404 aerosol particles below 60 nm which are transported to higher-levels. This mechanism has been
405 well documented previously (Fan et al., 2007, 2013, 2018; Sheffield et al., 2015; Lebo, 2018).
406 Thus, the stronger convection speeds up the development of mixed-phase into deep cloud from
407 No_Aero to LandAero. For the same reason, the similar acceleration is seen in No_Land
408 compared with No_Aero and No_LandAero because the anthropogenic aerosol effect is
409 considered in No_Land.

410 At the deep cloud stage, the anthropogenic aerosol effect becomes more significant
411 compared with that in the mixed-phase cloud stage (Fig. 11c vs. 11b), particularly at the low-
412 levels. We can still see the enhancement of convective intensity by the urban land effect
413 although the sea breeze difference is relatively smaller at this stage as explained above. The
414 larger aerosol effect at the deep cloud stage compared with the mixed-phase cloud stage is
415 because the secondary droplet nucleation above cloud base becomes larger (Fig. 15f). More
416 aerosols get activated is the result of higher supersaturation since (a) updrafts are stronger than
417 the mixed-phase cloud stage and (b) more rain forms and removes droplet surface area for
418 condensation (Fan et al., 2018). As a result, the latent heating from condensation and then the
419 thermal buoyancy is increased in a larger magnitude (Fig. 15b, d), thus a larger aerosol impact is
420 seen at the deep cloud stage. The invigorated deep convection has up to 2 times more ice particle
421 number concentration and 30% larger ice particle mass mixing ratio (Fig. 16b, d), with the
422 maximal cloud top height increased by ~ 1 km. The enhanced ice number and mass
423 concentrations also partially result from freezing of more droplets that are being transported from



424 low levels (Rosenfeld et al., 2008), as suggested from the increased latent heating associated
425 with the ice phase processes (Fig. 15d). It is obvious that this is not the major mechanism for the
426 large aerosol effects on convective intensity in this case.

427 Note that both ACI and ARI are considered in the aerosol effects we discussed above,
428 and the results above suggest ACI plays a key role in invigorating convection. To confirm that,
429 we conducted two additional sensitivity tests by turning off ARI based on LandAero and
430 No_Aero, referred to as LandAero_ACI and No_Aero_ACI, respectively. The differences in
431 precipitation and convective intensity between LandAero_ACI and No_Aero_ACI (i.e., ACI
432 effect) are only slightly smaller than the differences between LandAero and No_Aero (i.e., the
433 total aerosol effect). This confirms that ACI is the major factor responsible for the convective
434 invigoration and precipitation enhancement by aerosols.

435 **4 Conclusions and discussion**

436 We have investigated the Houston urbanization effects on convective evolution,
437 convective intensity, and precipitation of a sea-breeze induced convective storm using the WRF-
438 Chem coupled with SBM and the BEM-BEP urban canopy model. The baseline simulation with
439 the urbanization effects considered was extensively evaluated in Zhang et al. (2020) in aerosol
440 and CCN, surface meteorological measurements, reflectivity and precipitation and in this study
441 in Houston cell reflectivity and precipitation. The simulated convective storm in Houston was
442 shown to be consistent with the observed maximal radar reflectivity and peak precipitation
443 intensity and PDF, despite the peak precipitation time was about ~40 min earlier. The
444 accumulated rain is overestimated by the baseline simulation due to the longer rain period.

445 Model sensitivity tests were carried out to examine the joint and respective effects of
446 urban land and anthropogenic aerosols as a result of Houston urbanization on convective



447 evolution and precipitation. We find that the joint effect of Houston urban land and
448 anthropogenic aerosols enhances the storm intensity (by ~60% in the mean of top 25 percentiles
449 in deep cloud stage), radar reflectivity (by up to 10 dBZ), peak precipitation rate (by ~ 45%), and
450 the accumulated rain (by ~ 26%) , with the anthropogenic aerosol effect more significant than the
451 urban land effect overall. The anthropogenic aerosol effect increases the peak precipitation rate
452 by ~ 30% and the frequency of large rain rates ($> 15 \text{ mm h}^{-1}$ by about 5 times). Although urban
453 land effect alone (under the condition of existence of anthropogenic aerosols) does not impact
454 the peak precipitation rate and the frequency of large rain rates much, its interaction with aerosol
455 effects leads to an increase in the peak rain rates by 45% and the frequency of large rain rates by
456 an order of magnitudes. Therefore, the interactions between the two factors amplify the effect on
457 precipitation, particularly on the large rain rates, emphasizing the importance of considering both
458 effects in studying urbanization effects on convective clouds and precipitation.

459 The Houston urban land effect affects the convective evolution, making the initiation of
460 mixed-phase cloud and surface rain ~30 min earlier because of the strengthened sea breeze
461 circulation as a result of urban heating. It also slows down the dissipation of convective storm
462 because the urban heating extends to late afternoon and evening. The aerosol effect from
463 Houston anthropogenic emissions overall invigorates convection and precipitation, with ACI
464 dominant. The ACI effect is mainly through enhanced condensation (so-called “warm-phase
465 invigoration”) by activating numerous small aerosol particles at higher levels above cloud base.
466 This invigoration is notable starting from the mixed-phase cloud stage and becomes more
467 significant at the deep cloud stage. The enhanced convective intensity in the mixed-phase cloud
468 stage by aerosols accelerates the development of convective storm into deep cloud stage by ~ 40
469 min.



470 This study improves our understanding of how Houston urban land and anthropogenic
471 aerosols jointly shape thunderstorm in the region. Our findings about the relative importance of
472 urban land effect versus anthropogenic aerosol effects are consistent with some of previous
473 studies, which showed that for coastal cities, the anthropogenic aerosol effect on precipitation
474 was relatively more important than the urban land effect (Liu and Niyogi et al., 2019; Ganeshan
475 et al., 2013; Ochoa et al., 2015, Hu et al. 2019b). The low background aerosol concentration in
476 coastal cities is one of the factors responsible for the significant aerosol effect. In Houston,
477 another factor would be the warm and humid meteorological conditions, in which aerosols were
478 shown to invigorate convective clouds in many previous studies as reviewed in Tao et al. (2012)
479 and Fan et al. (2016). The finding that urban land effect enhances sea breeze circulation, which
480 transports more moisture into the urban area and enhances convection and precipitation, is
481 consistent with previous studies, such as Ryu et al. (2016) for the Baltimore–Washington
482 metropolitan area, and You et al. (2019) for the Pearl River delta (PRD) region.

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491



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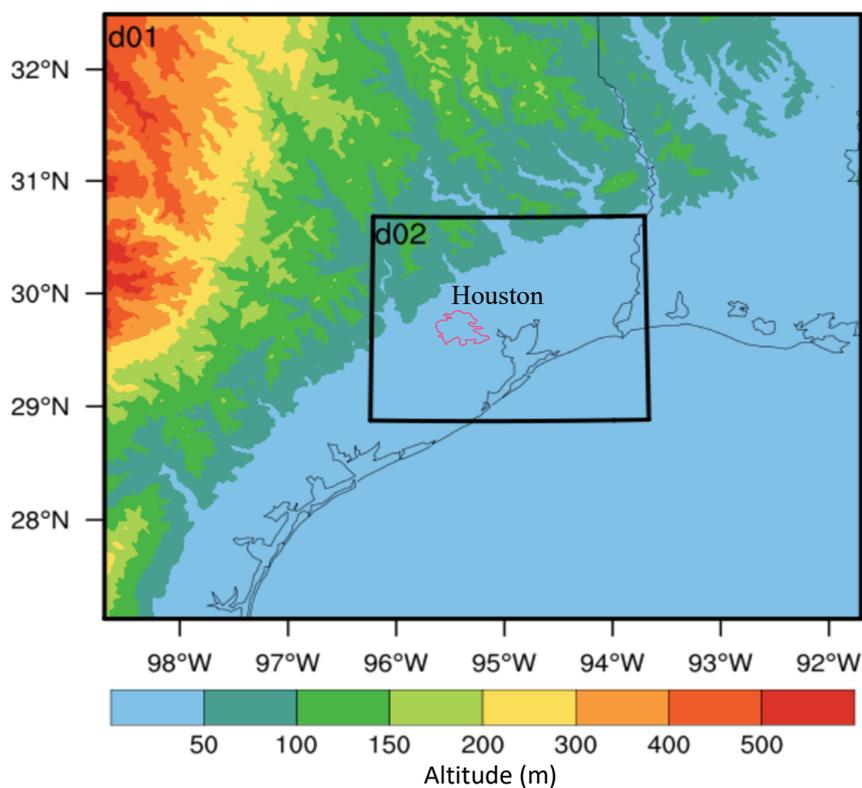


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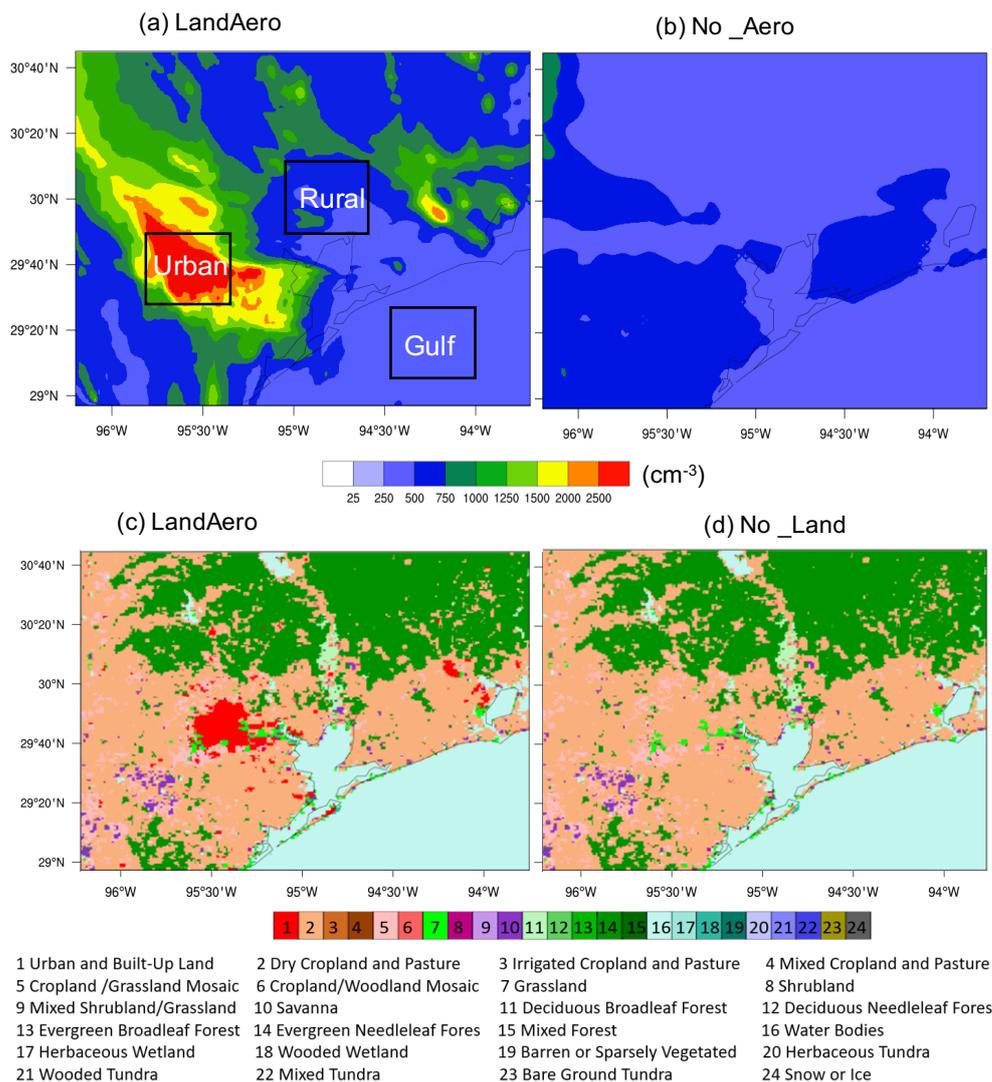




696

697 **Figure 1** The model domain setup. Domain 1 (d01) and Domain 2 (d02) are marked with black
698 boxes. Terrain heights (m) are in color contours. Houston urban area is denoted by pink
699 contoured line.

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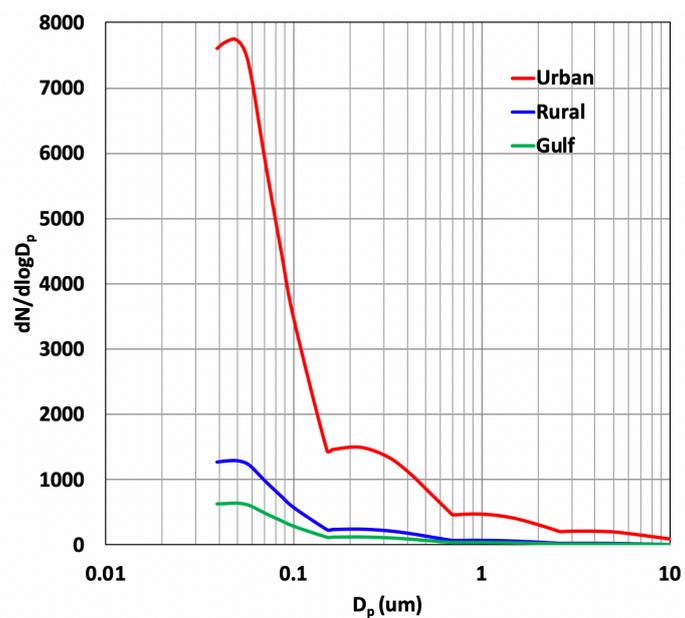
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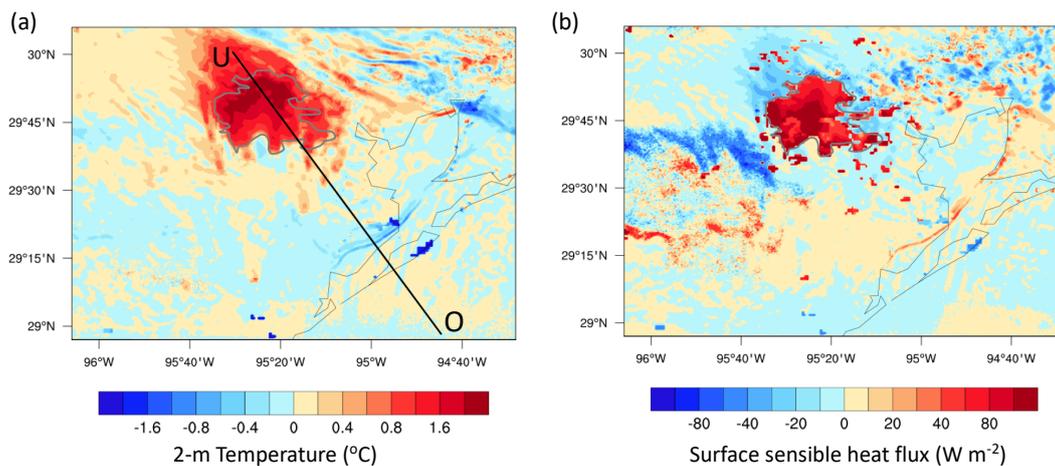
Figure 2 Aerosol number concentration (cm⁻³) from (a) LandAero (with anthropogenic emission) and (b) No_Aero (with anthropogenic emission turned off) at 1200 UTC, 19 Jun 2016 (6-hr before the convection initiation), and land cover types in (c) LandAero and (d) No_Land.



706

707 **Figure 3** Aerosol size distribution over the Urban, Rural, and Gulf of Mexico as marked by three
708 black boxes in Figure 2a from LandAero at 1200 UTC, 19 Jun 2016.

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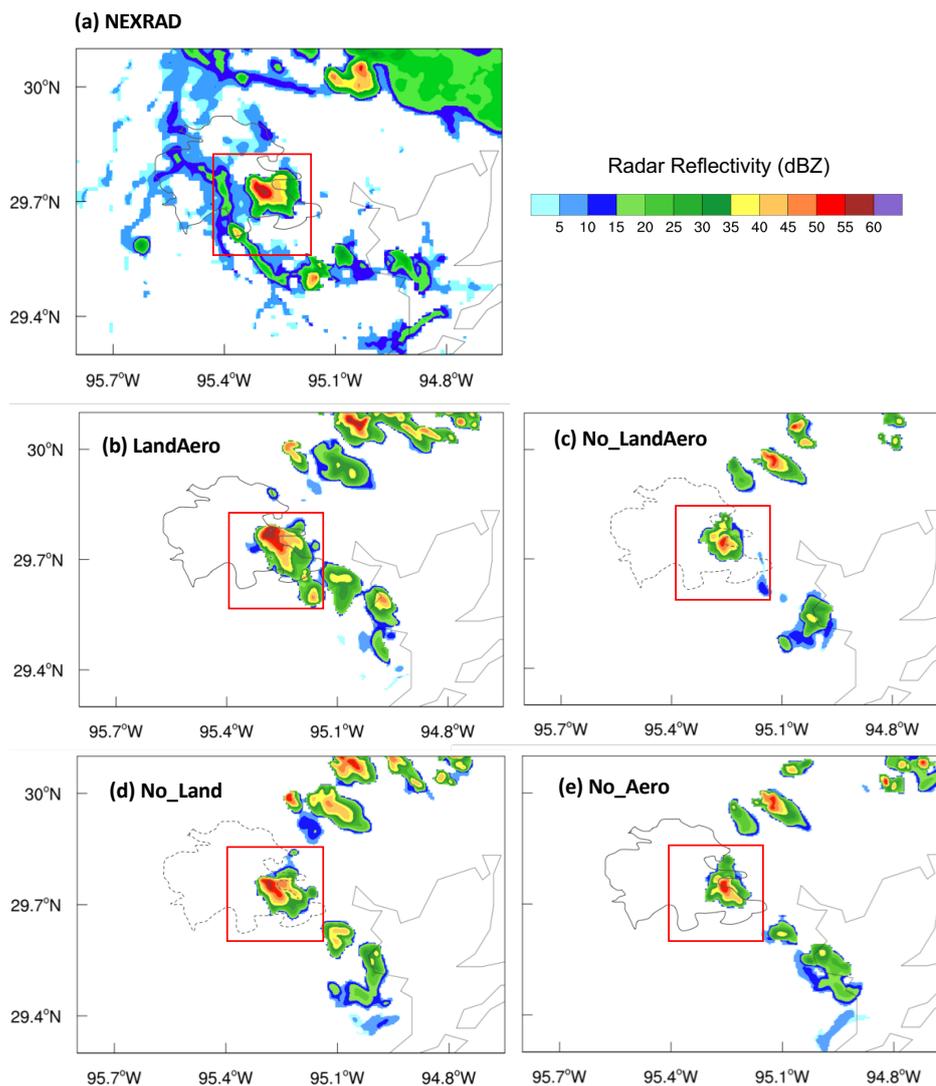
710

711 **Figure 4** Differences of (a) 2-m temperature (°C) and (b) surface sensible heat flux (W m⁻²)

712 between LandAero and No_Land at 1600 UTC 19 Jun 2013. Line UO is where cross section of

713 sea breeze circulation is examined.

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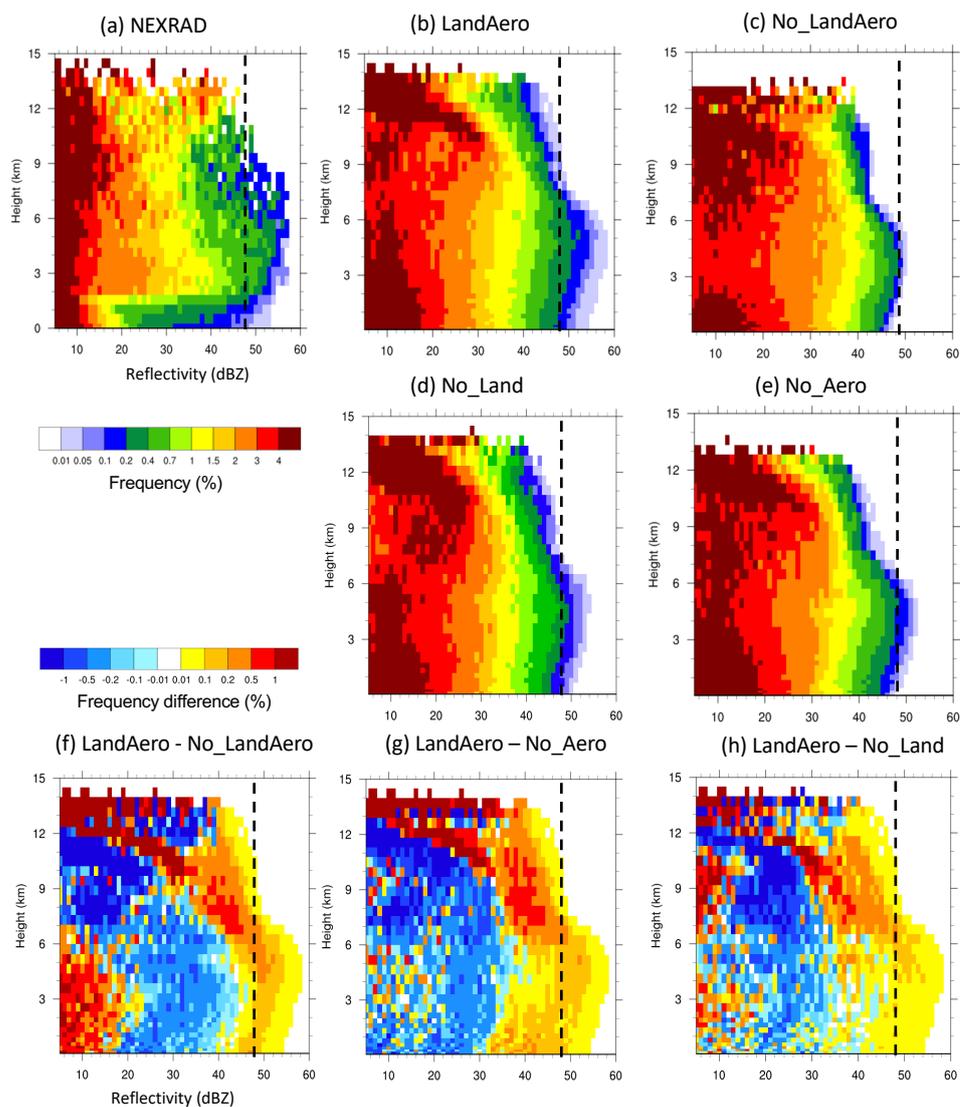
715

716 **Figure 5** Composite reflectivity (dBZ) from (a) NEXRAD (2217 UTC), (b) LandAero (2140
717 UTC), (c) No_LandAero (2120 UTC), (d) No_Land (2135 UTC), and (e) No_Aero (2125 UTC)
718 at the time when the maximal reflectivity of the storm in Houston is reached. Houston city is
719 marked as dark grey solid contour based on the land cover data shown in Figure 2c. The red box
720 is the study area for the Houston convective cell.

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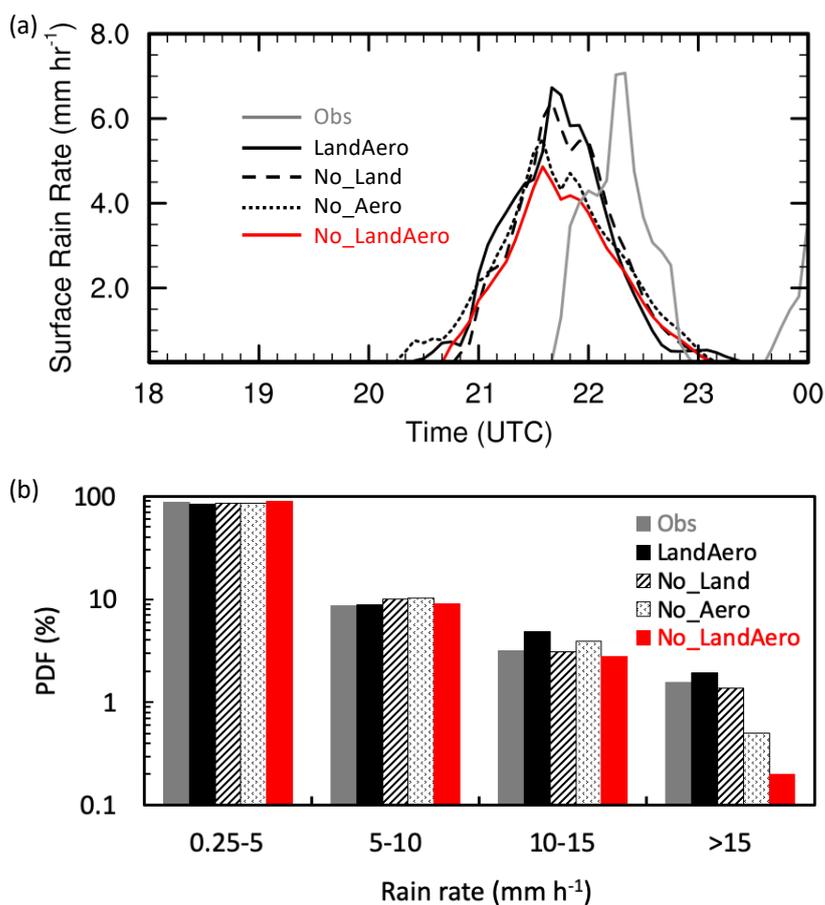


723

724 **Figure 6** Contoured frequency by altitude diagram (CFAD; %) of reflectivity for the values
725 larger than 0 dBZ from (a) NEXRAD, (b) LandAero, (c) No_LandAero, (d) No_Land, and (e)
726 No_Aero. (f-h) present the differences of CFAD (%) of reflectivity for (f) LandAero -
727 No_LandAero, (g) LandAero - No_Aero, and (h) LandAero - No_Land. Data are from the study
728 area (red box in Figure 5) over 1800 UTC 19 Jun to 0000 UTC 20 Jun. The vertical dashed line
729 marks the value for reflectivity of 48 dBZ.

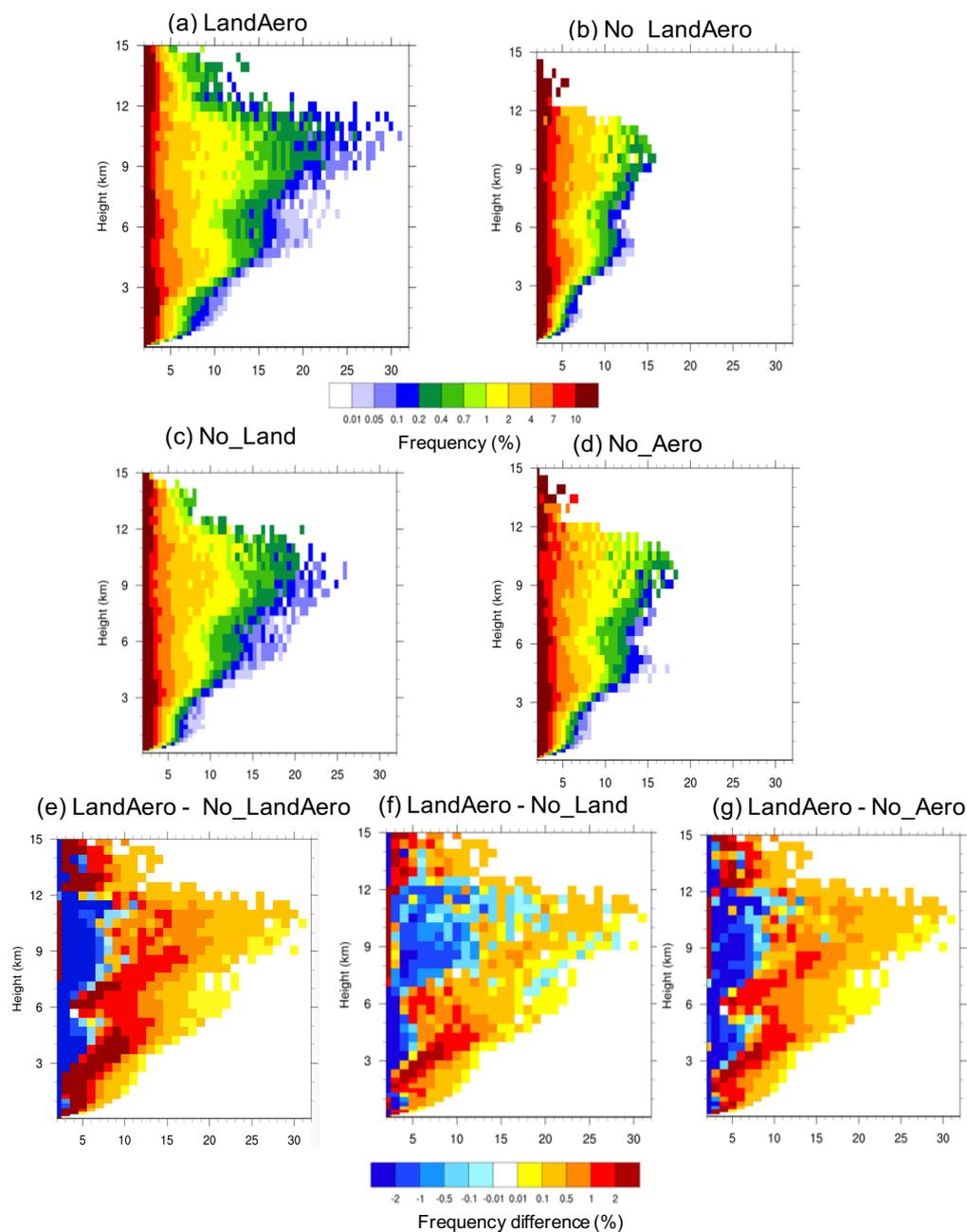


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731

732 **Figure 7** (a) Time series of surface rain rate (mm h⁻¹) averaged over the values larger than 0.25
733 mm h⁻¹ for the Houston convective cell (red box in Figure 5) and (b) PDFs (%) of rain rates (>
734 0.25 mm h⁻¹) from 1800UTC 19 Jun to 0000 UTC 20 Jun 2013, from Observations, LandAero,
735 No_LandAero, No_Land, and No_Aero. The observation is the NEXRAD retrieved rain rate.
736 Both observation and model data are in every 5-min frequency.

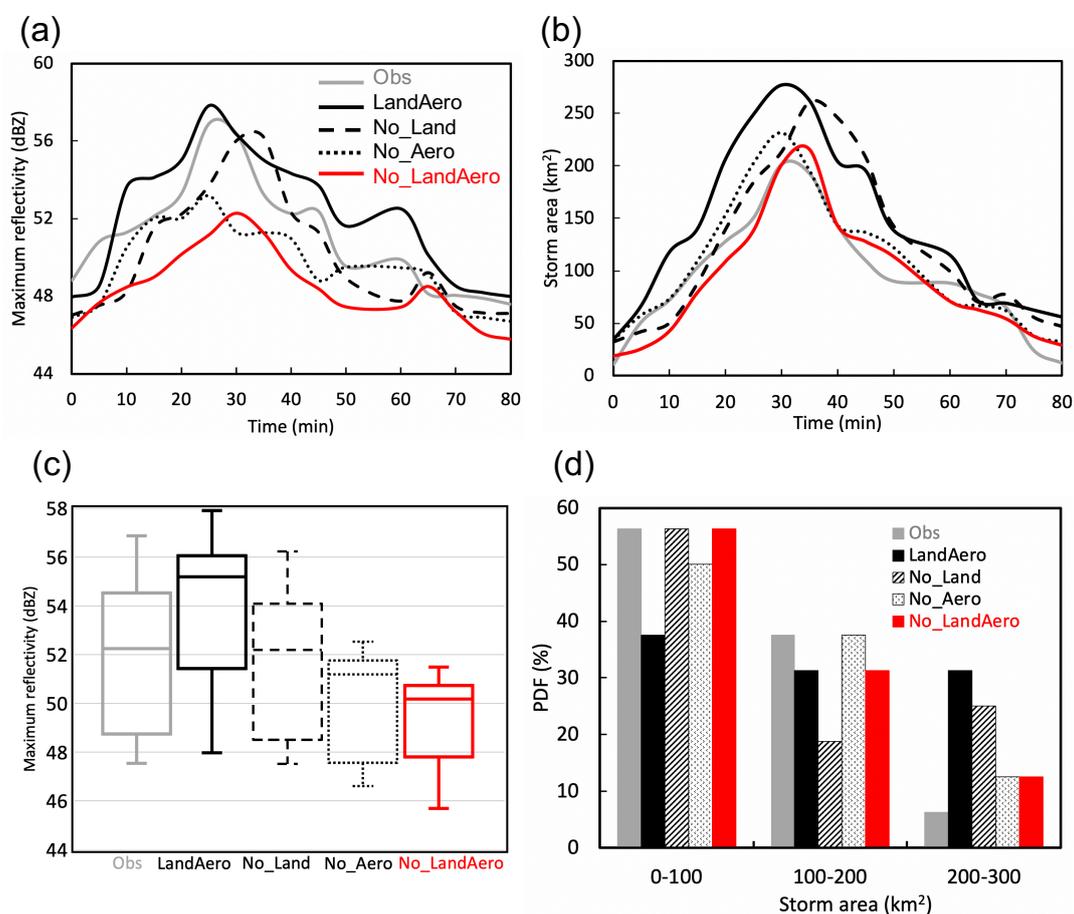


737

738 **Figure 8** CFAD (%) of updraft velocity for values larger than 2 m s^{-1} from (a) LandAero, (b)
739 LandAero - No_LandAero, (c) LandAero - No_Land, and (d) LandAero - No_Aero over the
740 study area as shown in the red box in Figure 5 during the strong convection periods (60-min



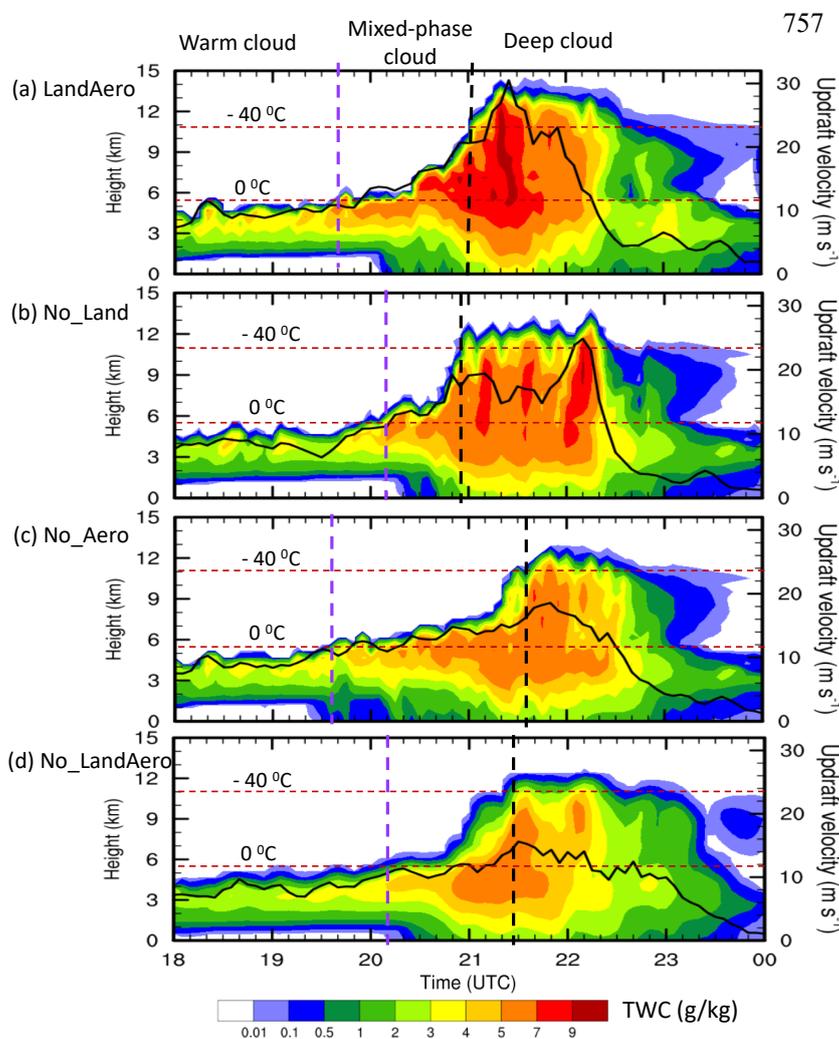
741 duration with 30 min before and after the strongest convection). (e-g) present the differences of
742 CFAD (%) of reflectivity for (e) LandAero - No_LandAero, (f) LandAero -No_Land, and (g)
743 LandAero – No_Aero.
744



745
746 **Figure 9** Time series of (a) maximum reflectivity (dBZ) and (b) storm area (km²) for the tracked
747 convective cell from NEXRAD, LandAero, No_LandAero, No_Land, and No_Aero. The time
748 window is from 2140 UTC to 2300 UTC for observations and from 2100 UTC to 2220 UTC for
749 model simulations. (c) Box-whisker plots of maximum reflectivity and (d) PDFs of averaged
750 storm areas for the Houston cell from NEXRAD, LandAero, No_LandAero, No_Land, and
751 No_Aero over the respective 80 min time windows as described above. The center line of the
752 box indicates the median value, and the lower (upper) edge of the box indicates the 25th (75th)



753 percentiles. The whiskers indicate the minimum and maximum values. The storm area of the
754 tracked cell is defined as the number of grid points with LWP > 50 g m⁻² multiplied by the grid
755 box area (0.5 km * 0.5 km).
756



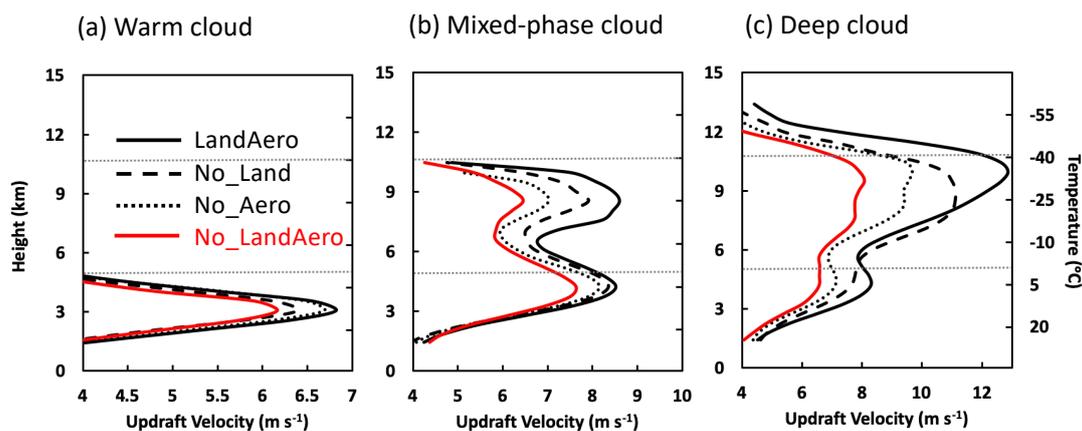
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759 **Figure 10** Time series of maximal total water content (shaded) and maximal updraft velocity
760 (black line, second y-axis) over the study area as shown in the red box in Figure 5 from
761 LandAero, No_LandAero, No_Land, and No_Aero. Brown horizontal dashed lines denote the



762 freezing level (0 °C) and homogeneous freezing level (-40 °C). The initiation of mix-phase cloud
763 and deep cloud is denoted by the purple and black vertical dashed line, respectively.
764

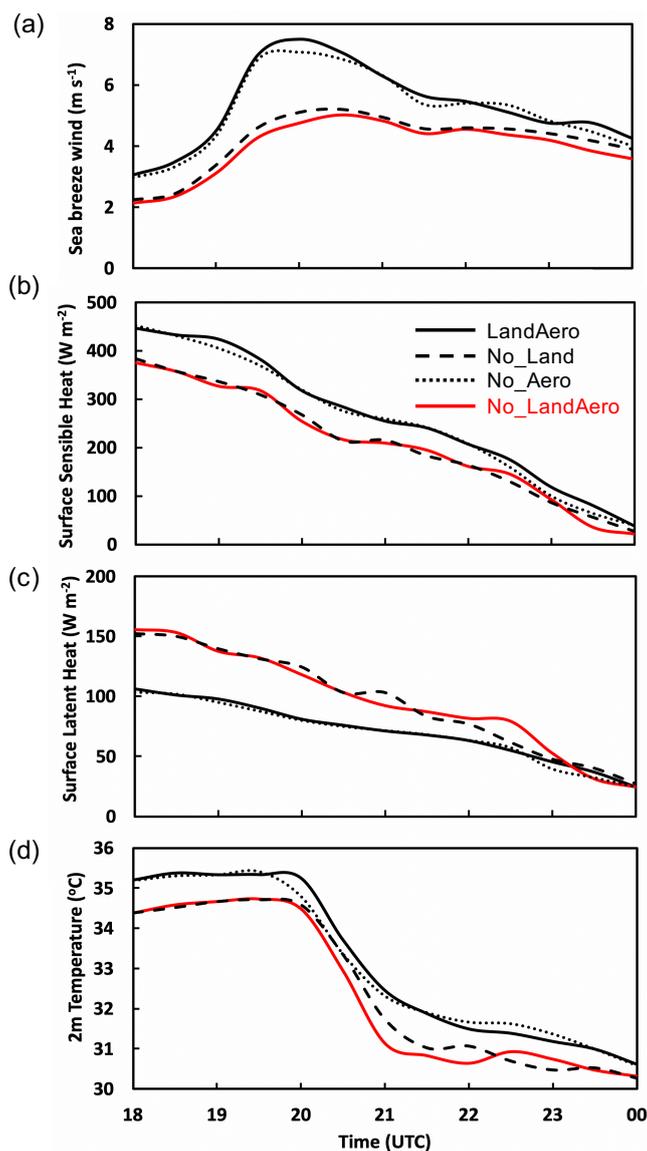


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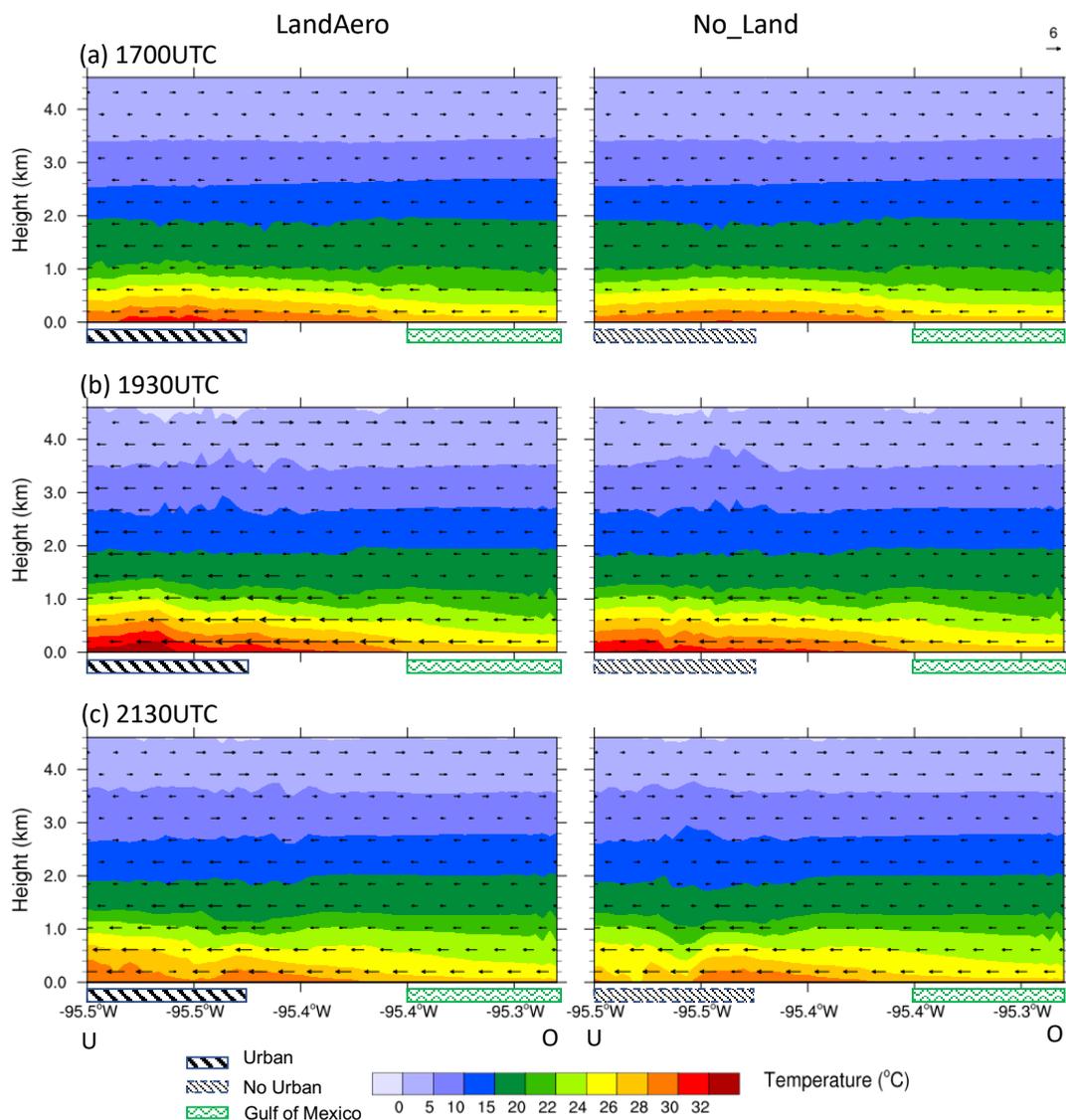


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767 **Figure 11** Vertical profiles of updraft velocity averaged over the top 25 percentiles (i.e., 75th to
768 100th) of the updrafts with value greater than 2 m s^{-1} from the simulations LandAero,
769 No_LandAero, No_Land, and No_Aero over the study area at the (a) warm cloud, (b) mixed-
770 phase cloud, and (c) deep cloud stages. The dotted line denotes the freezing level ($0 \text{ }^\circ\text{C}$).



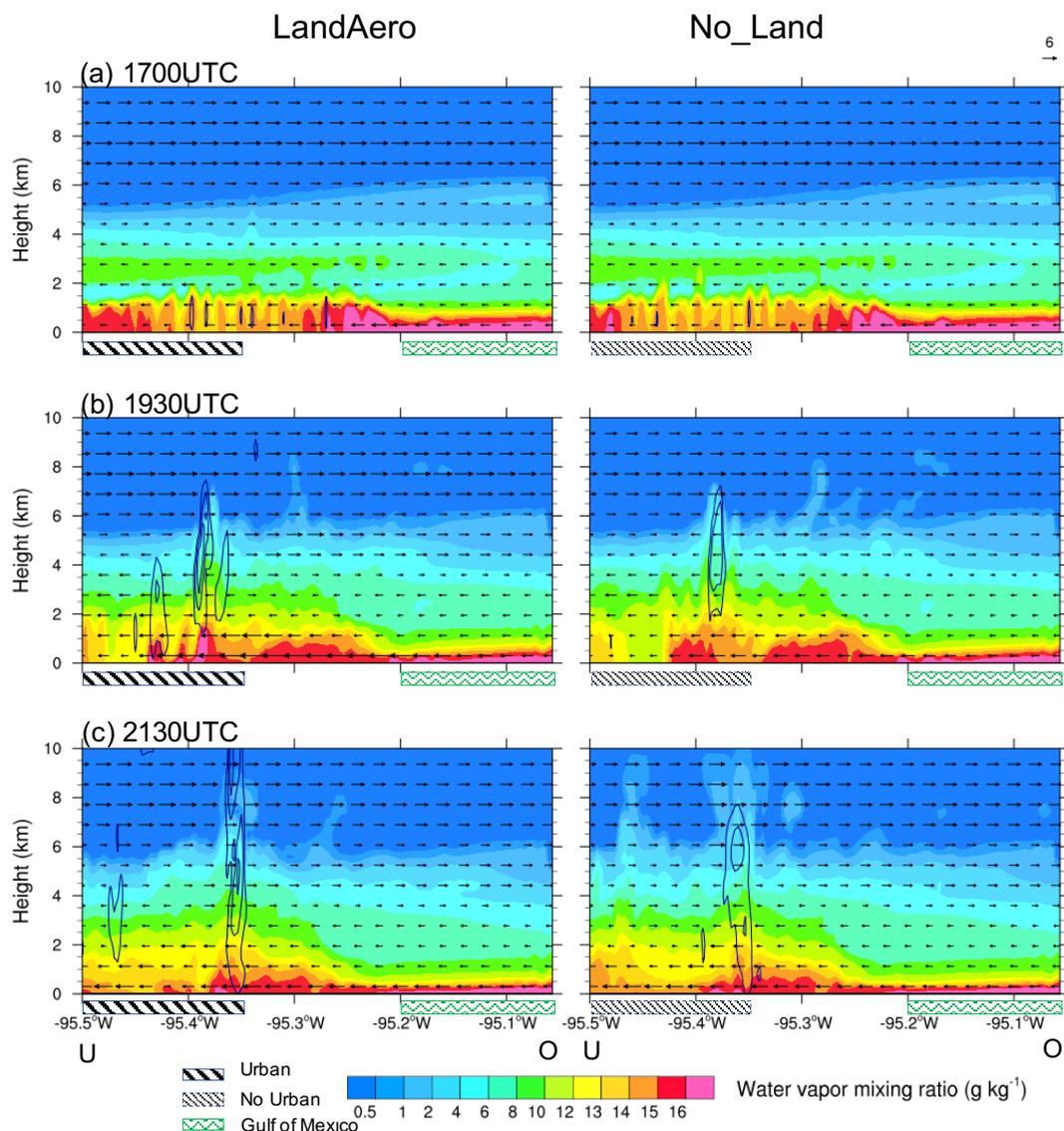
771
772 **Figure 12** Time series of (a) sea breeze wind speed (m s⁻¹), (b) surface sensible heat flux (W m⁻²),
773 (c) surface latent heat flux (W m⁻²), (d) 2-m temperature (°C) from LandAero, No_Land,
774 No_Aero and No_LandAero. Sea breeze winds are averaged over the horizontal winds along line
775 UO (Figure 4a) from O to U below 1km. Heat fluxes and temperature are averaged over the
776 study area.
777



778

779 **Figure 13** Vertical cross sections of temperature ($^{\circ}\text{C}$; shaded) and wind vectors (m s^{-1}) along the
 780 line UO in Figure 4a for LandAero (left) and No_Land (right) at (a) 1700, (b) 1930, and (c) 2130
 781 UTC. The bars with stripes and waves on the x-axis represent the urban land and water body in
 782 Gulf of Mexico, respectively.

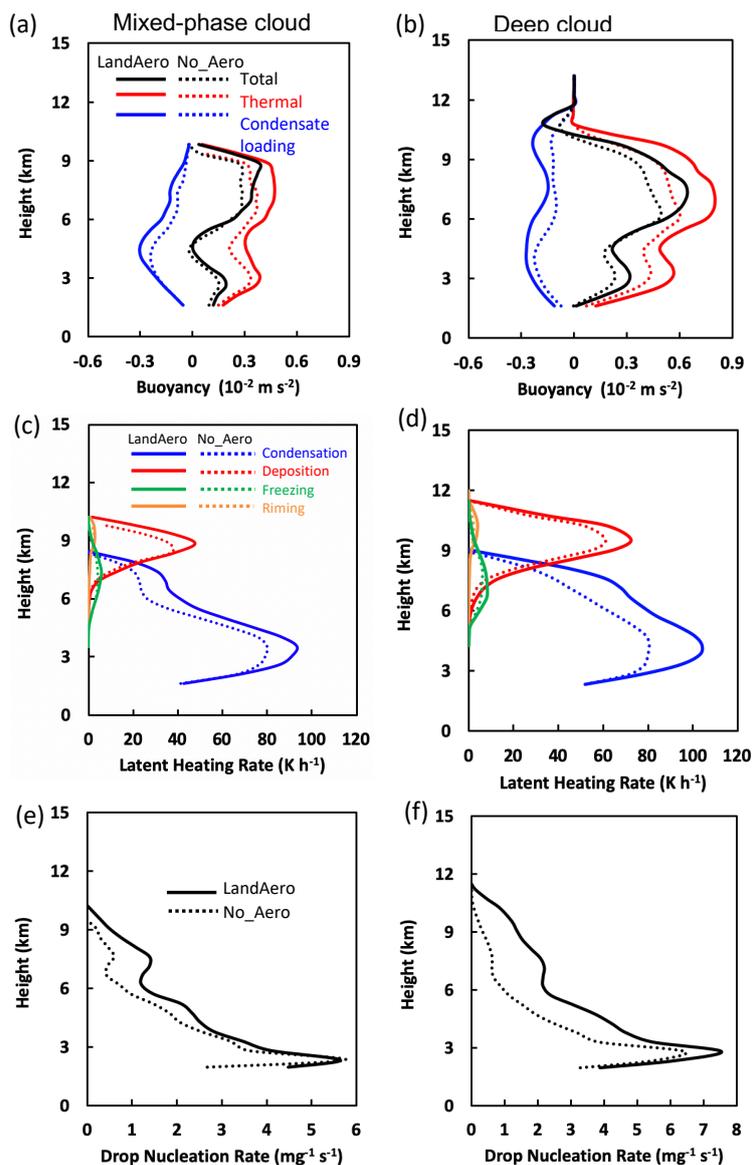
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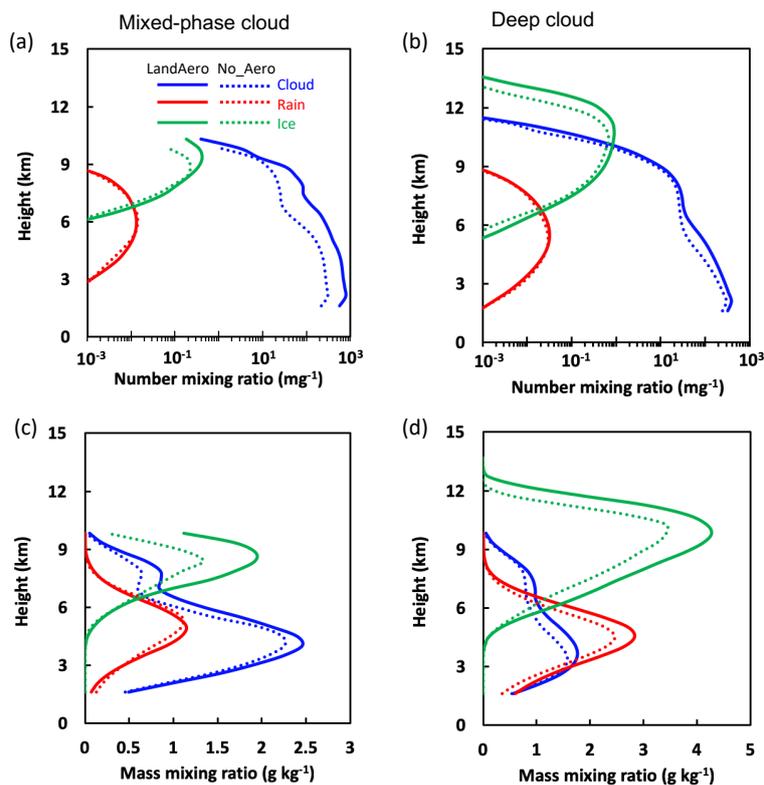
785 **Figure 14** Vertical cross sections of water vapor mixing ratio (g kg^{-1} ; shaded), updraft velocity
786 (contour lines are 2, 6, and 11 m s^{-1}), and wind vectors along the line UO in Figure 4a for
787 LandAero and No_Land at (a) 1700, (b) 1930, and (c) 2130 UTC.

788



789

790 **Figure 15** Vertical profiles of (a-b) buoyancy terms (m s^{-2} ; red for Thermal buoyancy, blue for
 791 condensate loading and black for total buoyancy), (c-d) latent heating (K h^{-1}) from condensation
 792 (blue), deposition (red), drop freezing (orange), and riming (green), and (e-f) droplet nucleation
 793 rate ($\text{mg}^{-1} \text{ s}^{-1}$) averaged over the top 25 percentiles (i.e., 75th to 100th) of the updrafts with value
 794 greater than 2 m s^{-1} from the simulations LandAero and No_Aero in the study area during the
 795 mixed-phase cloud (left) and deep cloud (right) stages.



796

797 **Figure 16** Vertical profiles of (a-b) number mixing ratio (mg^{-1}) and (c-d) mass mixing ratio (g
798 kg^{-1}) of cloud droplets (blue), rain drops (red) and ice particles (green) averaged over the top 25
799 percentiles (i.e., 75th to 100th) of the updrafts with value greater than 2 m s^{-1} from the
800 simulations LandAero and No_Aero in the study area during the mixed-phase cloud (left) and
801 deep cloud (right) stages.