



The impact of cloudiness and cloud type on the atmospheric heating rate of black and brown carbon

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Abstract. We experimentally quantified the impact of cloud fraction and cloud type on the heating rates (HRs) of black and brown carbon (HR_{BC} and HR_{BrC}). In particular, in this work, we examine in more detail the average cloud effect (Ferrero et al., 2018) using high time-resolution measurements of aerosol absorption at multiple-wavelengths coupled with spectral measurements of the direct, diffuse and surface reflected radiation and lidar data in the Po Valley. The experimental set-up allowed a direct determination of HR_{BC} and HR_{BrC} in any sky condition. The highest values of total HR were found in the middle of the winter (1.43 ± 0.05 K day⁻¹) while the lowest in spring (0.54 ± 0.02 K day⁻¹). Overall the HR_{BrC} accounted for $13.7 \pm 0.2\%$ of the total HR, the BrC being characterized by an AAE of 3.49 ± 0.01 .

Simultaneously, sky conditions were classified (from clear-sky to cloudy) in terms of fraction of sky covered by clouds (oktas) and cloud types. Cloud types were grouped as a function of altitude into the following classes: 1) low level (<2 km) stratus, cumulus and stratocumulus; 2) middle level (2-7 km) altostratus, altocumulus; 3) high level (> 7 km) cirrus, cirrocumulus-cirrostratus. Measurements carried out in different sky conditions at high-time resolution showed a constant decrease of HR with increasing cloudiness of the atmosphere enabling us to quantify for the first time the bias (in %) in the aerosol HR introduced by improperly assuming clear-sky conditions in radiative transfer calculations. In fact, during the campaign, clear sky conditions were only present 23% of the time while the remaining time (77%) was characterized by cloudy conditions. Our results show that, by incorrectly assuming clear-sky conditions, the HR of light absorbing aerosol can be largely overestimated (by 50% in low cloudiness, oktas=1-2), up to over 400% (in complete overcast conditions, i.e., oktas=7-8). The impact of different cloud types on the HR compared to a clear sky condition was also investigated. Cirrus were found to have a modest impact, decreasing the HR_{BC} and HR_{BrC} by -1 – -5%. Cumulus decreased the HR_{BC} and HR_{BrC} by -31 ± 12 and $-26 \pm 7\%$, respectively, while cirrocumulus-cirrostratus by -60 ± 8 and $-54 \pm 4\%$, which was comparable to the impact



of altocumulus (-60 ± 6 and $-46\pm 4\%$). A high impact on HR_{BC} and HR_{BrC} was found for stratocumulus (-63 ± 6 and $-58\pm 4\%$, respectively) and altostratus (-78 ± 5 and $-73\pm 4\%$, respectively), although the highest impact was found to be associated to stratus that suppressed the HR_{BC} and HR_{BrC} by -85 ± 5 and $-83\pm 3\%$, respectively. Additionally, the cloud influence on the radiation spectrum that interacts with the absorbing aerosol was investigated. Black and brown carbon (BC and BrC) have different spectral responses (a different absorption Angstrom exponent, AAE) and our results show that the presence of clouds causes a greater decrease for the HR_{BC} with respect to HR_{BrC} going clear sky to complete overcast conditions; the observed difference is $12\pm 6\%$. This means that, compared to BC, BrC is more efficient in heating the surrounding atmosphere in cloudy conditions than in clear sky. Overall, this study extends the results of a previous work (Ferrero et al., 2018), highlighting the need to take into account both the role of cloudiness and of different cloud types to better estimate the HR associated to both BC and BrC, and in turn decrease the uncertainties associated to the quantification of the impact of these species on radiation and climate.

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1 Introduction

The impact of aerosols on climate is traditionally investigated focusing on their direct, indirect and semi-direct effects (Bond et al., 2013; IPCC, 2013; Ferrero et al., 2018, 2014; Bond et al., 2013; Ramanathan and Feng, 2009; Koren et al. 2008; Koren et al., 2004; Kaufman et al., 2002). Direct effects are related to the sunlight interaction with aerosols through absorption and scattering, indirect effects are related to the ability of aerosol to act as cloud condensation nuclei affecting the clouds' formation and properties, semi-direct effects are those related to a feedback on cloud evolution affecting other atmospheric parameters (e.g. the thermal structure of the atmosphere) (Ramanathan and Feng, 2009; Koren et al. 2008; IPCC, 2013; Koren et al., 2004; Kaufman et al., 2002).

Both the direct and indirect radiative effects on the climate caused by anthropogenic and natural aerosols still represent major sources of uncertainty (IPCC, 2013); for example the aerosol direct radiative effect (DRE), on a global scale, may switch from positive to negative forcing on short (e.g. daily) time-scales (Lolli et al., 2018; Tosca et al., 2017; Campbell et al., 2016).

This is due to the fact that aerosol is a heterogeneous complex mixture of particles characterized by different size, chemistry, and shape (e.g., Costabile et al., 2013), greatly varying in time and space both in the horizontal and vertical dimension (e.g., Ferrero et al., 2012). On the global scale, most of the values reported for the DRE, used to quantify the aerosol impact on the climate, were derived from models (Bond et al., 2013; Koch and Del Genio, 2010). This has the advantage of providing continuous DRE fields in space and time. However, inaccuracies related to simplified model assumptions on chemistry, shape, and the mixing state of the particles can affect the results (Nordmann et al., 2014; Koch et al., 2009), amplifying the uncertainties on the estimated global and regional aerosol climate effects (Andreae and Ramanathan, 2013). Another important issue is that the aerosol DRE is usually determined in clear-sky conditions/approximations. Although the clear sky approximation is useful when comparing measurements to radiative transfer modelling outcomes during experimental campaigns performed in fair weather conditions (e.g., Ferrero et al., 2014; Ramana et al., 2007), in general this simplification cannot capture the complexity of the phenomenon in the majority of weather conditions (Myhre et al., 2013). In fact, clouds are one of the most important factors modulating the solar radiation that reaches the ground. By scattering and absorbing the radiation passing through them, clouds strongly affect the radiation and also modify the spectrum of

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the short-wave radiation, especially in the UV region (Calbó et al., 2005; López et al., 2009). In some specific cases (e.g. cirrus/cumulus clouds), scattering of radiation from the sides of the cloud may enhance global irradiance to the levels higher than those in clear sky conditions (Mims and Frederick, 1994; Feister et al., 2015).

80 Up to now, the role of cloudiness and of cloud type on the aerosol DRE was poorly investigated. Matus et al. (2015) recently used a complex combination of the CLOUDSAT's satellite multi-sensor radiative fluxes and heating rates (HR) products to infer both the DRE at the top-of-atmosphere (TOA) and HR profiles of aerosols that lie above the clouds. The study showed how results were affected by the cloudiness (e.g. cloud fraction) and, for example for the south eastern Atlantic, reported a DRE ranging from -3.1 to -0.6 W m^{-2} going from clear sky

85 to cloudy conditions.

A further investigation by Myhre et al. (2013) reported results of modelling simulations during the AeroCom Project (Phase II): In all sky conditions (thus including the effect of clouds) they estimated an all-sky DRE for total anthropogenic aerosols of -0.27 W m^{-2} (range: -0.58 to -0.02 W m^{-2}), this being about half of the clear sky one. The most important factors responsible for the observed difference were the amount of aerosol absorption,

90 the location of aerosol layers in relation to clouds (above or below), and the cloud distribution. In fact, the presence of absorbing aerosols (i.e. Black Carbon, BC; Brown Carbon, BrC; or mineral dust) might have important effects on the radiative balance. It is estimated that, due to its absorption of sunlight, BC is the second most important positive anthropogenic climate-forcing agent after CO_2 (Bond et al., 2013; Ramanathan and Carmichael, 2008), while BrC contributes ~ 10 -30% to the total absorption on a global scale (Ferrero et al., 2018; Shamjad et al., 2015;

95 Chung et al., 2012; Kumar et al., 2018). As a main difference compared to CO_2 , absorbing aerosols are short-lived climate forcers, thus representing a potential global warming mitigation target. However, the real potential benefit of any mitigation strategy should also be based on observational measurements, possibly carried out in all sky conditions.

It also noteworthy that the HR induced by absorbing aerosol can trigger different atmospheric feedbacks. BC and dust can alter the atmospheric thermal structure, thus affecting atmospheric stability, cloud distribution and even

100 synoptic winds such as the monsoons (IPCC, 2013; Bond et al., 2013; Ramanathan and Feng, 2009; Koch et al., 2009; Ramanathan and Carmichael, 2008; Koren et al. 2008; Koren et al., 2004; Kaufman et al., 2002). Even in this case, the feedbacks should be quantified on the basis of HR measurements carried out in any sky conditions. In agreement with the aforementioned points, both Andreae and Ramanathan (2013) and Chung et al. (2012) called

105 for model-independent, observation-based determination of the absorptive direct radiative forcing (ADRE) of aerosols. Since cloudiness and cloud type change on short time scales, long-term, highly time-resolved measurements covering different conditions, are necessary to unravel the role of absorbing aerosol on the HR.

Some satellite-based studies investigated the role of cloudiness and cloud type on the HR of aerosol layers above clouds (Matus et al., 2015). To our knowledge, there has been no experimental investigation on the impact aerosol

110 layers laying below the clouds, where conversely most of the aerosol pollution resides. This study was performed in Milan (Italy), located in the middle of the Po Valley (section 2), this region representing a pollution hotspot in Europe due to the high emissions coupled to a complex topology of the landscape. In fact, similarly to a multitude of basin valleys surrounded by hills or mountains in Europe, low wind speeds and stable atmospheric conditions are common, thus promoting high concentrations of aerosol and BC (Zotter et al., 2017; Moroni et al., 2013;

115 Moroni et al., 2012; Ferrero et al., 2011a ; Carbone et al., 2010; Rodriguez et al., 2007). At the same time, cloud



presence cannot be neglected considering that in the last 50 years annual mean cloudiness, expressed in oktas, is estimated to be ~ 5.5 over Europe (Stjern et al., 2009) and ~ 4 over Italy (Maugeri et al., 2001). This is in agreement with 80 years of data of cloud cover in the United States (Crock et al., 1999). Moreover, recently, Perlwitz and Miller (2010) reported a counterintuitive feedback linking the atmospheric heating induced by tropospheric absorbing aerosol to a cloud cover increase.

Due to the aforementioned reasons, this study attempts to experimentally unravel for the first time the impact of different cloud types on the HR exerted by aerosol layers. To this purpose we use a methodology, previously developed in Ferrero et al. (2018), and further extended the analysis to explore the effects of different cloud types on BC and BrC on HR. More in detail, with respect to the preliminary results by Ferrero et al. (2018), this work introduces the following novelties: 1) the introduction of a cloud type classification; 2) the determination of the average photon energy impinging the absorbing aerosol; 3) the determination of the impact of both cloudiness and cloud types on the HR of BC and BrC; 4) the investigation of the relative and synergic role of cloudiness and of different cloud types on HR of both BC and BrC. The results presented in this study thus add an important piece of information in the general context of cloud - absorbing aerosol HR interactions

2 Methods

Aerosol clouds and spectral radiation measurements were carried in an experimental measurement station located on the rooftop (10 m above the ground level) of the U9-building of the University of Milano-Bicocca (45°30'38"N, 9°12'42"E, Italy; Figure 1). The site is located in the Po Valley in the midst of one of the most industrialized and heavily populated area in Europe. In the Po Valley, stable atmospheric conditions often occur causing a marked seasonal variation of aerosol concentrations within the mixing layer, well visible even from satellites (Ferrero et al., 2019; Di Nicolantonio et al., 2009; Barnaba and Gobbi 2004). A full description of the aerosol behavior in Milan at the University of Milano-Bicocca and the related aerosol properties (vertical profiles, chemistry, hygroscopicity, sources, and toxicity) are reported in previous studies (Diemoz et al., 2019; D'Angelo et al., 2016; Curci et al., 2015; Ferrero et al., 2015, 2010; Perrone et al., 2013; Sangiorgi et al., 2011). Within the framework of the present work is important to underline that the U9 experimental site is particularly well suited for atmospheric radiation transfer measurements, in fact it is characterized by a full hemispherical sky-view equipped with the instruments described in Section 2.1. The measurements assembly allow the experimental determination of the instantaneous aerosol HR (K day^{-1}) induced by absorbing aerosol (e.g. BC and BrC) as detailed in Section 2.2. The methodological approach used to quantify the cloud fraction and to classify the cloud type is instead reported in Section 2.3.

2.1 Instruments

At the U9 sampling site in Milan, the aerosol, cloud and radiation instrumentation (Figure S1) needed to determine the HR (section 2.2), the cloud fraction and the cloud type (section 2.3) has been installed since 2015.

In particular, measurements of the wavelength dependent aerosol absorption coefficient $b_{abs(\lambda)}$ in the UV-VIS-NIR region were obtained using the Magee Scientific Aethalometer AE-31. The reason of this choice (detailed in Ferrero et al., 2018) is related to the number and range of spectral channels (7- λ : 370, 470, 520, 590, 660, 880 and 950 nm) not available in other instruments (e.g. MAAP, PSAP, photoacoustic) (Virkkula et al., 2010; Petzold et al., 2005). This spectral range is needed for the HR determination (section 2.2). It noteworthy that the Aethalometers



take also the advantage of global long-term data series (Ferrero et al., 2016; Eleftheriadis et al., 2009; Collaud-Coen et al., 2010; Junker et al., 2006) that should allow in the future to derive historical data of the HR.

To account for both the multiple scattering (the optical path enhancement induced by the filter fibers) and the loading effects (the non-linear optical path reduction induced by absorbing particles accumulating in the filter), the AE-31 data were corrected applying the Weingartner et al. (2003) procedure (Ferrero et al., 2018, 2014, 2011; Collaud-Coen et al., 2010). As detailed by Collaud Coen et al. (2010), the Weingartner et al. (2003) procedure compensates for all the Aethalometer artifacts (the backscattering is indirectly included within the multiple scattering correction), showing a good robustness (negative values are not generated and results in good agreement with other filter photometers) and, most importantly, it does not affect the derived aerosol Absorption Angstrom Exponent (AAE) (fundamental for HR determination, section 2.2).

Overall, the multiple scattering parameter C was 3.24 ± 0.03 as obtained by comparing the AE31 data at 660 nm with a MAAP at the same wavelength (Figure S2). This value lies very close to that suggested by GAW (2016), i.e. $C=3.5$. The loading effects were dynamically determined following the Sandradewi et al. (2008b) approach while the final equivalent BC concentrations (eBC) were obtained applying the AE-31 apparent mass attenuation cross-section ($16.6 \text{ m}^2 \text{ g}^{-1}$ at 880 nm).

Radiation measurements ($F_{n(\lambda, \theta)}$) were collected using a Multiplexer-Radiometer-Irradiometer (MRI) (Figure S1). The MRI resolves the UV-VIS-NIR spectrum (350 - 1000 nm) in 3648 spectral bands for both the downwelling and the upwelling radiation fluxes. The MRI was equipped with a rotating shadow-band enabling to measure separately the spectra of the direct, diffuse and reflected radiation.

The reflected radiation originated from the Lambertian concrete surface (due to its flat and homogeneous characteristics which well represents the average spectral reflectance of the Milano urban area; Ferrero et al, 2018). Details of the MRI are reported in Cogliati et al. (2015).

Broadband downwelling (global and diffuse) and upwelling (reflected) radiation measurements were also collected using LSI-Lastem radiometers (DPA154 and C201R, class1, ISO-9060, 3% accuracy; 300-3000 nm). Diffuse radiation was measured using the DPA154 global radiometer equipped with a shadow band whose effect was corrected (Ferrero et al., 2018) to determine the true amount of both diffuse and direct (obtained after subtraction from the global) radiation.

In addition to radiation measurements, temperature, relative humidity, pressure and wind parameters were measured using the following LSI-Lastem sensors: DMA580 and DMA570 for thermo-hygrometric measurements (for T and RH: range -30 - +70 °C and 10% - 98%, accuracy of ± 0.1 °C and $\pm 2.5\%$ sensibility of 0.025°C and 0.2%), the CX110P barometer model for pressure (range 800-1100 hPa, accuracy of 1 hPa) and the combiSD anemometer (range of 0 - 60 m/s and 0-360°) for wind.

The experimental station U9 is also equipped with an Automatic Lidar-Ceilometer (ALC) operated by ISAC-CNR in the framework of the Italian Automated Lidar-Ceilometers network (ALICENET, www.alice-net.eu) and contributing to the EUMETNET E-Profile ALC Network (<https://www.eumetnet.eu/>). It is a Jenoptik Nimbus 15k biaxial lidar-ceilometer operating 24 hours per day, 7 days per week. It is equipped with a Nd:YAG laser that emits light pulses at 1064 nm with an energy of 8 μJ per pulse and a repetition rate of 5 kHz. The backscattered light is detected by an avalanche photodiode in photon counting mode (Wiegner & Geiß, 2012). The vertical and temporal resolution of the raw signals are 15 m and 15 seconds, respectively. Signals are recorded up to 15 km height, with an overlap height < 1000 m. Vertical signals are averaged at 120 seconds to improve the signal to



noise ratio. The Nimbus 15k lidar-ceilometer is able to determine cloud base heights, penetration depths, mixing layer height and, with specific processing, vertical profiles of aerosol optical and physical properties (e.g., Haefelin et al., 2011, Dionisi et al., 2018; Diemoz et al., 2019a, 2019b). For the specific purpose of this study, exploitation of the U9 ALC data has been limited to cloud layering and relevant cloud base height as the system can reliably detect multiple cloud layers and cirrus clouds (Boers et al., 2010; Martucci et al., 2010; Wiegner et al., 2014) within its operating vertical range (up to 15 km).

Global and diffuse radiation measurements, coupled with the ALC data were used to determine the sky cloud fraction and to classify the cloud types by following the methodology presented in the Section 2.3.

205 2.2 Heating rate measurements

The instantaneous aerosol HR (K day^{-1}) induced by absorbing aerosol is experimentally obtained following Eq. 1 using the methodology reported and validated in Ferrero et al. (2018). Here we briefly summarize the method and the reader is referred to the aforementioned publication for the physical demonstration of the approach.

The integral over the whole shortwave solar spectrum and over the whole 2π hemispherical sky of the interaction between the radiation (either direct from the sun, diffuse by atmosphere and clouds and reflected from the ground) and the absorbing components of aerosol (BC and BrC in Milan, as detailed in Ferrero et al., 2018) gives the HR as:

$$HR = \frac{1}{\rho C_p} \cdot \sum_{n=1}^3 \int_{\theta} \int_{\lambda} \frac{F_{n(\lambda,\theta)}}{\mu} b_{abs(\lambda)} d\lambda d\theta \quad (1)$$

where ρ represents the air density (kg m^{-3}), C_p ($1005 \text{ J kg}^{-1} \text{ K}^{-1}$) is the isobaric specific heat of dry air, n is the index indicating the n^{th} type of radiation (direct, diffuse or reflected) impinging the absorbing aerosol, λ and θ represent the wavelength and zenith angle of the radiation, $F_{n(\lambda,\theta)}$ is the n^{th} type (direct or diffuse or reflected) monochromatic radiation of wavelength λ that strikes with an angle θ the aerosol layer, μ is the cosine of θ ($\cos\theta$), $b_{abs(\lambda)}$ is the wavelength dependent aerosol absorption coefficient.

Considering that the absorptive DRE (ADRE), i.e. the radiative power absorbed by the aerosol for unit volume of the atmosphere (W m^{-3}), is equals to:

$$ADRE = \sum_{n=1}^3 \int_{\theta} \int_{\lambda} \frac{F_{n(\lambda,\theta)}}{\mu} b_{abs(\lambda)} d\lambda d\theta \quad (2)$$

Eq. 1 can be also re-written as:

$$HR = \frac{1}{\rho C_p} \cdot ADRE \quad (3)$$

Both Eq. 1 and 2 can also be solved for each of the three components of radiation (direct, diffuse, or reflected), i.e.:

$$HR = HR_{dir} + HR_{dif} + HR_{ref} \quad (4)$$

$$ADRE = ADRE_{dir} + ADRE_{dif} + ADRE_{ref} \quad (5)$$

where the subscript *dir*, *dif* and *ref* refers to the direct, diffuse and reflected radiation, respectively.

Eq. 4 and 5 allow to split the total ADRE and HR into the three components of radiation. As the intensity of these radiation components is a function of cloudiness and cloud type (section 2.3), Eqs. 4 and 5 enable to assess the impact of the latter components on the aerosol absorption of shortwave radiation and thus on the corresponding HR (sections 3.2 and 3.3).



In addition, as the spectral signature of $b_{abs(\lambda)}$ reflects the different nature of absorbing aerosol (BC and BrC), $b_{abs(\lambda)}$ and thus the HR can be apportioned to determine the contributions of BC and BrC (HR_{BC} and HR_{BrC}), respectively.

235 This result can be achieved considering that BC aerosol absorption is characterized by an Absorption Angstrom Exponent, $AAE \approx 1$ (Massabò et al., 2015; Sandradewi et al., 2008a; Bond and Bengtström, 2006). Conversely, BrC absorption is spectrally more variable, with an AAE from 3 to 10 (Ferrero et al., 2018; Shamjad et al., 2015; Massabò et al., 2015; Bikkina et al., 2013; Yang et al., 2009; Kirchstetter et al., 2004). This is due to the negligible BrC absorption in the infrared compared to UV. In this study we determined AAE_{BrC} following the innovative

240 apportionment method proposed by Massabò et al. (2015). This allows to apportion $b_{abs(\lambda)}$ from BC and BrC at the same time and to determine the AAE_{BrC} assuming that the whole BrC is completely produced by biomass burning. The method by Massabò et al. (2015) was successfully applied to the Milan U9 measurements leading to an average AAE_{BrC} (over a full solar year) of 3.66 ± 0.03 , and to an associated HR_{BrC} explaining $13 \pm 1\%$ of the total HR (Ferrero et al., 2018). The apportionment of absorption coefficient also enables to investigate the role of clouds

245 on different absorbing aerosol species. As already pointed out in Ferrero et al. (2018), it is worth recalling that in the present method (equation 1), both the ADRE and the HR are independent from the thickness (Δz) of the investigated atmospheric aerosol layer. At the same time, BC and HR vertical profiles data previously collected both at the same site and in other basin valley sites (Ferrero et al., 2014) revealed that ADRE and HR were constant inside the mixing layer. The methodology is therefore believed to be valid for applications in atmospheric layers

250 below clouds, assuming that near-surface measurements are representative of the whole mixing layer. Main advantage of the new method to quantify the impact of clouds on the LAA HR is that it allows to obtain experimental measurement (not estimations) of ADRE and HR, which are continuous in time and resolved in terms of sources, species of LAA, cloud cover, and cloud types.

255 2.3 Cloud fraction, cloud classification and average photon energy

2.3.1 Cloud fraction

The cloud fraction was determined following the approach reported in Ehnberg and Bollen (2005). In particular, radiometer measurements were used to calculate the fraction of the sky covered by cloud in terms of oktas (N), overall leading to 9 classes, corresponding to values of N ranging from 0 (clear sky) to 8 (complete overcast

260 situation). As reported in Ehnberg and Bollen (2005), the amount of global radiation (F_{glo}) can be related to the solar elevation angle ($\pi/2 - \theta$) and to the cloudiness condition following the Nielsen et al. (1981) equation:

$$F_{glo-N} = \left[\frac{a_0(N) + a_1(N) \sin\left(\frac{\pi}{2} - \theta\right) + a_3(N) \sin^3\left(\frac{\pi}{2} - \theta\right) - L(N)}{a(N)} \right] \quad (6)$$

where N represents one of the possible 9 classes of cloud fraction and a , a_0 , a_1 , a_3 and L are empirical coefficients that enable to compute the expected global radiation for each oktas class (F_{glo-N}), at a fixed solar elevation angle

265 ($\pi/2 - \theta$). Their values, extracted from the original work of Ehnberg and Bollen (2005), are summarized in Table S1. Eq. 6 allows to determine the unique oktas value N by comparing the measured global radiation (F_{glo}) with F_{glo-N} at any given time.

Still, the so-derived cloud fraction can be used to evaluate the interaction between incoming radiation and light absorbing aerosol in cloudy conditions but without the possibility to discriminate between cloud type. The

270 following sections (2.3.2 and 2.3.3) describe the methods applied to overcome this limitation by implementing a cloud classification scheme.



2.3.2 Cloud classification

275 Cloud classes and cloud cover is by common practice still largely determined on the basis of human observations
based on the reference standard defined by the World Meteorological Organisation (WMO). However, these
observations lack high time resolution information and are subjective. Due to high spatial and temporal variability
of clouds, determination of cloud classes can be improved by measurements, adding highly temporally resolved
and observer-independent information on the cloud base height and the magnitude of solar radiation.

280 In this study, clouds were classified coupling measurements of broadband solar radiation (global irradiance, F_{glo})
and lidar-ceilometer measurements. The full methodology is described below.

As first introduced in the study by Duchon & O'Malley (1999), measurements of the magnitude of global solar
irradiance and its deviation in 20-minute intervals can be used for cloud classification. Irradiance is used to
calculate two quantities: 1) the ratio (R) between observed global irradiance (F_{glo}) and the modelled clear sky
irradiance (GHI) (Robledo and Soler, 2000) expected at the same time and place (also referred to as scaled
285 irradiance) and 2) the standard deviation (SD) of the measured global irradiance in 20 minute time intervals.
Following the work of Duchon & O'Malley (1999), the SD-R plot enables to distinguish different cloud categories:
clear sky conditions (CS), Stratus (St), Cirrus clouds (Ci) and cumulus (Cu). Figure 2a-h shows an example of the
temporal evolution of the observed F_{glo} , F_{dif} and GHI, together with the corresponding SD-R diagrams for the
aforementioned 4 cloud classes identified by Duchon & O'Malley (1999). In a CS case (Figure 2a), F_{glo} approaches
290 GHI without any significant temporal variation, thus leading to a R close to 1 and SD close to 0 $W m^{-2}$ (Figure
2b). Conversely, St clouds suppress the incoming radiation (Figure 2c) for all the time related to their presence
resulting in R and SD both approaching 0 (Figure 2d) allowing a complete separation from the CS case. Ci clouds
(Figure 2e) moderately suppress F_{glo} with smoothed fluctuations in time leading to a R between 0.75 and 1 and a
SD ranging from 0 to $\sim 100 W m^{-2}$ (Figure 2f); Ci clouds region thus lies adjacent but separated from the CS one.

295 Finally the last case study (Figure 2g) shows a transition from CS (before midday) to Cu clouds in the afternoon;
the arrival of Cu clouds in the sky first scattered R around 1 (Figure 2h; both below and slightly above it in keeping
with Mims and Frederick (1994) and Feister et al. (2015)) and, most important, SD increased from 100 up to 500
 $W m^{-2}$ due to the Cu clouds movement in the sky which results in fast sun/shadows transitions. As a consequence,
the Cu clouds regions is wider and above the one of Ci clouds. More recently, Harrison et al. (2008), went deeper
300 showing that the SD-R differentiates between St and stratocumulus (StCu) clouds as StCu clouds are characterized
by R values mostly moving from 0.4 to 0.8 and SD between 0 and 200 $W m^{-2}$. In this respect, StCu clouds can be
found in the middle region of the SD-R space, with different levels of SD, depending on the cumuliform condition.
As a consequence, despite the promising classification introduced by Duchon & O'Malley (1999) and Harrison et
al. (2008), by using the SD-R diagram alone it is possible to encounter episodes of misclassification, especially
305 because it is impossible to retrieve information concerning the contemporary presence of different cloud levels
from the SD-R diagram alone. Therefore, the cloud classification was further improved in this study by including
information from the automated Lidar-Ceilometer measurements on the cloud base height and the number of cloud
layers. First of all, to avoid misclassification cases due to the presence of multiple cloud layers, we limited the
analysis to those cases where only one cloud layer was detected by ceilometer (ALC). In this respect, the ALC-
310 derived cloud base height information allowed us to cluster clouds according to their altitude and distinguishing
between low level clouds (< 2 km), mid-altitude clouds (2-7 km) and high-altitude clouds (> 7 km). The cloud



altitude of each analyzed data is reported in Figure 3 within the SD-R diagram. It shows that, on average, low level clouds are located on the left side of the SD-R diagram (stratiform clouds), high-altitude clouds are conversely on the opposite side (this being the the region of Ci and Cu clouds); finally, mid-altitudes clouds density in the diagram mostly cover its the central part describing all the possible transitions/combinations from St to Cu and Ci, e.g. altostratus (AlSt) altocumulus (AlCu). Figure 3

315 further shows that use of the clouds base height as a third source of information (in addition to R and SD) allows to better separate overlapping cases in the bi-dimensional, SD-R diagram alone.

Overall, coupling the SD-R plot and cloud base height, enabled us to identify seven classes: St (stratus), Cu (cumulus) and StCu (stratocumulus) as low level class; AlSt (altostratus) and AlCu (altocumulus) as mid-altitude clouds; and Ci (cirrus) and CiCu-CiSt (cirrocumulus and cirrostratus) as high-altitude clouds. The final overview of the parameters (R, SD, cloud level) and their threshold values used for cloud classification is presented in Table 1. The final SD-R diagram with presentation of mean value and 99% confidence interval for R and SD of each cloud class, plus the clear sky (CS) case, is presented on Figure 4 while the same SD-R diagram with presentation of mean value and the standard deviation of each cloud classes, plus the clear sky (CS) case, is presented on Figure S3. Note in particular that the overlapping in the standard deviation of each classes shown in the SD-R plot in Figure S3 are solved by the introduction of the cloud base height from lidar data underling the reliability of the performed cloud classification. Final cloud classification was obtained for the period from November 2015 - March 2016, during which all necessary parameters were available (section 3).

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2.3.3 Average photon energy

The relative distribution of energy over the solar spectrum in the measured range of the MRI (350 - 1000 nm) was also investigated for each cloud type calculating the average photon energy (APE) which describes the spectral characteristics of direct and diffuse radiation modulated by clouds. In fact APE quantifies the spectral shape of solar irradiance and represents the average energy of photons impinging upon a target, in this case the aerosol layer close to the surface. Thus, single APE can identify a unique spectral irradiance distribution which describes the light available for absorption in different spectral regions. APE (expressed in eV) is calculated dividing the total energy in a spectrum by the total number of photons it contains (Norton et al., 2015), i.e.:

$$APE_n = \frac{1}{q} \left[\frac{\int F_{n,\lambda} d\lambda}{\int \Phi_\lambda d\lambda} \right] \quad (7)$$

340 where q represents the electron charge, $F_{n,\lambda}$ is the n^{th} type (direct, diffuse) radiation at wavelength λ ($\text{W m}^{-2} \text{nm}^{-1}$), and Φ_λ (photons $\text{m}^{-2} \text{s}^{-1} \text{nm}^{-1}$) is the photon flux density at wavelength λ determined using the Plank-Einstein equation:

$$\Phi_\lambda = \frac{F_{n,\lambda}}{hc/\lambda} \quad (8)$$

where h is the Plank constant and c the speed of light.

345 From Eq. (8) it follows that APE is normalized for the total amount of radiation, becoming thus independent from the absolute intensity of light at each λ and indicating only the average distribution of light across the spectrum. Particularly, higher APE values describe the shift of a radiation spectrum towards UV-blue region (Figure S4). It has to be noted that the APE index depends on the range of the investigated spectrum (lower and upper limits of



the integral), which in our case relate to the MRI measurements (350 - 1000 nm), thus for any absolute APE
350 comparison with other studies, the spectrum range should be taken into account.

Characteristic APE values of diffuse (APE_{diff}) and direct (APE_{dir}) irradiance measured from U9 site for different
sky condition are presented in Section 3.4 together with a discussion concerning the relationship between APE
and HR.

355 3 Results and Discussion

HR values considered in this study were measured over Milan from November 2015 to March 2016 are, as this
period covers the simultaneous presence of radiation, lidar and absorption measurements fundamental for the
analysis presented here (section 2). These data are presented in Section 3.1. The role of cloudiness and its influence
on the HR is discussed in section 3.2 while section 3.3 describes the impact of each cloud type on the HR. In
360 Section 3.4, the clouds impact on the HR is discussed with respect to the light absorbing aerosol species: BC and
BrC. All the data are reported everywhere as $\text{mean} \pm 95\%$ confidence interval.

3.1 HR, eBC and radiation data

Monthly average values of eBC and HR are presented in Figure 5a while the corresponding numerical values of
365 these and additional parameters (e.g. ADRE, b_{abs}) are also summarized in Table 2. Corresponding high time
resolution data (5 minutes) are shown in Figure S5.

The highest values of eBC (and $b_{abs(880nm)}$) were found, as expected, in the middle of the winter, in December
($6.29 \pm 0.09 \mu\text{g m}^{-3}$ and $31.1 \pm 0.5 \text{ Mm}^{-1}$, respectively) when strong emissions in the Po Valley are released into the
stable boundary layer (Sandrini et al., 2014; Ferrero et al., 2011b; Barnaba et al., 2010). In fact, in this month the
370 average PM_{10} and $\text{PM}_{2.5}$ were also at their maximum, with 73.1 ± 0.6 and $69.3 \pm 0.6 \mu\text{g m}^{-3}$, respectively (source:
Milan Environmental Protection Agency, ARPA Lombardia). Thus, eBC accounted for $\sim 10\%$ of PM mass
concentration, resulting in the absorption of shortwave radiative power (ADRE) of $20.7 \pm 0.7 \text{ mW m}^{-3}$ which was
responsible for an HR of $1.43 \pm 0.05 \text{ K day}^{-1}$. The lowest HR (monthly average) was recorded in spring (March)
with a value of $0.54 \pm 0.02 \text{ K day}^{-1}$ related to an amount of eBC of $1.54 \pm 0.04 \mu\text{g m}^{-3}$.

375 These values of eBC, HR and ADRE agree with those observed previously in the Po Valley (Ferrero et al., 2014,
2018) and confirm that eBC is the main driver for the behavior of HR and ADRE on the seasonal time scale.
However, in agreement with Eq. 1 (section 2.1), the interaction of absorbing aerosol with the impinging radiation
cannot be neglected as heating rate varies differently than anticipated from the concentrations alone. In fact, during
the investigated period, the ratio between maximum and minimum eBC monthly mean concentration (December
380 to March, eBC ratio: 4.10 ± 0.12) was higher than that of HR (2.65 ± 0.16). This is because the incoming radiation
was lower in December ($F_{glo}: 141 \pm 4 \text{ W m}^{-2}$; Figures 5b and S5) with respect to March ($F_{glo}: 310 \pm 7 \text{ W m}^{-2}$, ratio
of 0.45 ± 0.02), partially compensating the marked wintertime increase of eBC. This is mainly due to the interaction
of light absorbing aerosol with F_{dir} . In fact, once F_{dir} is scaled by μ (eq. 1, section 2.1, Figure S6) it is quite constant
along the year (and perfectly constant only in clear sky conditions). Conversely, the diffuse and reflected radiation
385 (Figure S6), even when scaled by μ (under the isotropic and Lambertian assumptions), linearly follow the behavior
of irradiance F_{diff} and F_{ref} thus being seasonally modulated (Figure S6). A detailed discussion about this explanation
is reported in Ferrero et al. (2018).



390 These considerations introduce the importance of both amount and kind (direct, diffuse and reflected) of the radiation that interacts with light absorbing aerosol. In brief, any process able to influence the total amount and the kind of impinging radiation (e.g. presence/absence of clouds, cloudiness and cloud type) will result in a different HR, even keeping constant eBC levels. The investigation of this aspect is the main focus and added value of this study and is reported in the next sections.

3.2 Cloud fraction impact on the heating rate

395 The first indication of the important role played by clouds on the HR can be derived from the contribution of the diffuse radiation to the HR (HR_{dif}) as reported in Figure 5a. It shows the monthly average values of HR, HR_{dir} , HR_{dif} and HR_{ref} revealing that the diffuse contribution accounted for $40\pm 1\%$ of the total HR. On a monthly basis, this was comparable or even higher than HR_{dir} . The only exception was in November 2015 where a lower fraction of diffuse radiation was measured (Figure 5b) compared to the other months. In fact, in November, the average
400 okta value was 2.91 ± 0.06 , lower than that observed in the other months (3.75 ± 0.03), due to the highest frequency of clear sky conditions. The aforementioned data demonstrate the importance of diffuse radiation and thus of cloudy days in determining the HR induced by the absorbing aerosol. In order to investigate the role of cloudiness, it is necessary to decouple the variability of the HR induced by radiation from that due to eBC concentrations. In Figure 6 we thus show how fast a volume of air containing a specific BC mass heats due to the absorption of the
405 impinging radiation – that is, HR values normalized to the unit mass of eBC ($K m^3 day^{-1} \mu g^{-1}$) – as a function of oktas and further differentiate its components (HR_{dir}/eBC , HR_{dif}/eBC) as well as radiation (F_{dir} and F_{dif}). HR_{dir}/eBC decreased constantly from clear sky conditions (oktas=0) to complete overcast situation (oktas=7-8) following the decreasing amount of the incoming solar F_{dir} . At oktas values of 7-8, the HR_{dir} reached values close to 0. Conversely, HR_{dif}/eBC increased with increasing cloudiness, but not continuously. In fact, HR_{dif}/eBC showed a
410 maximum peak ($0.16\pm 0.01 K m^3 day^{-1} \mu g^{-1}$) at intermediate cloudiness conditions (5-6 oktas) when also the diffuse radiation peaked reaching F_{dif} : $147\pm 6 W m^{-2}$, doubling the relevant value in completely overcast conditions ($74\pm 3 W m^{-2}$; 7-8 oktas) and exceeding 150% of that in clear sky ($91\pm 2 W m^{-2}$). In overcast situations (oktas=7-8) both HR_{dif}/eBC and the diffuse radiation reached their minimum due to the capability of clouds to effectively attenuate the radiation. Yet, differently from the direct radiation, the HR_{dif}/eBC is still not null ($0.08\pm 0.01 K m^3 day^{-1} \mu g^{-1}$)
415 becoming the highest contributor of the total atmospheric HR, with a percentage of $84\pm 1\%$.

The absolute values of the HR and its components as a function of cloudiness is shown in Figure 6b. We show seasonally averaged (winter: NDJ, spring: FM) HR in clear sky (oktas=0) and complete overcast situation (oktas=7-8). In clear sky, the direct component of the HR (HR_{dir}) was higher than HR_{dif} and HR_{ref} accounting for $1.35\pm 0.04 K day^{-1}$ and explaining on average $60\pm 5\%$ of the total HR during winter. Similarly, in clear sky
420 springtime conditions, HR_{dir} was $0.47\pm 0.01 K day^{-1}$ again higher than HR_{dif} and HR_{ref} . Conversely, in complete overcast conditions (Oktas=7-8), HR_{dif} alone ($84\pm 1\%$ of total HR) accounted for 0.33 ± 0.01 and for $0.19\pm 0.01 K day^{-1}$ during winter and spring, in agreement with Figure 5a.

These results highlight that clouds are responsible for an important feedback on the aerosol HR that needs to be carefully quantified, pointing to the need to correctly include and model cloudy conditions in radiative transfer
425 calculations of the ‘real world’ aerosol DRE and the HR.

Experimental HR measurements at high-time resolution hence enable us to estimate the degree of error introduced by improperly assuming clear-sky conditions in radiative transfer calculations. Particularly, we found that by



430 incorrectly assuming clear-sky conditions the HR of light absorbing aerosol can be overestimated by the following factors: 50% (low cloudiness, oktas=1-2), 109% (moderate cloudiness, oktas=3-4), 148% (intermediate cloudiness, oktas=5-6) and 470% in cloudy conditions (oktas=7-8). Note that, during the campaign, clear sky conditions were present only 23% of the time, the remaining time (77%) being characterized by partially cloudy (35%, 1-6 oktas) to totally cloudy (42%, 7-8 oktas) conditions.

3.3 Cloud type impact on the heating rate

435 The previous section showed the importance of cloudiness in determining both the kind of the active radiation and the suppression of HR with increasing the cloud cover. This is relevant as it was found that cloudy conditions are dominant in terms of frequency. Here we will further investigate the clouds-HR relationships by exploring the effect of different types of clouds on this relationship. Figure 7a shows how the overall 77% of cloudy conditions encountered during the observational period was composed by the different cloud types, revealing that these were
440 mainly St (42%), followed by StCu (13%) Ci, CiCu-CiSt (7% and 5%, respectively). The contribution of each cloud type to the cloudiness (expressed in oktas) of the sky is reported in Figure 7b. This clearly shows that, while St were mostly responsible of overcast situations (oktas=7-8, frequency: 87 and 96%), StCu dominated the intermediate cloudiness conditions (oktas=5-6, frequency: 47 and 66%); moderate cloudiness (oktas=3-4) were mostly due to a transition from CiCu-CiSt to StCu while low cloudiness (oktas=1-2) were mostly dominated by
445 Ci and Cu (frequency: 59 and 40%, respectively). The impact of each cloud type on the HR/eBC and F_{glo} , together with the corresponding components (HR_{dir}/eBC and F_{dir} ; HR_{dif}/eBC and F_{dif} ; HR_{ref}/eBC and F_{ref}) is reported in Figure 8a-d. The figure shows a perfect agreement between cloud radiation suppression of different cloud types and the consequent HR decrease ($R^2 > 0.93$; not shown). It also highlights how critical is, for radiative transfer calculations and HR determination, to conduct a proper simulation taking into account the role of each cloud type.
450 We see that roughly, all different cloud types reduce HR/eBC differently, while their influence on the diffuse component HR_{dif}/eBC is less diverse. In terms of absolute values (not normalized for eBC), Figure 9 reveals that the HR due to direct radiation was only dominant during CS and Ci conditions (HR_{dir} : 1.11 ± 0.04 and 0.92 ± 0.05 K day⁻¹, respectively), explaining 66 ± 3 and $57 \pm 4\%$ of the total atmospheric HR of light absorbing aerosol (LAA). In the other cloudy cases (St, AlSt and StCu) HR_{dif} dominates, reaching the highest absolute contribution of
455 84.4 ± 3.8 , 83.0 ± 10.7 and $76 \pm 4\%$ (HR_{dif} : 0.25 ± 0.01 , 0.34 ± 0.03 and 0.66 ± 0.02 K day⁻¹), respectively. Exploring the relationship between cloud type and HR, we found a strong linear relationship between the mean cloudiness (in oktas) and the percent decrease of HR due to each cloud type with respect to the clear sky (CS) case (Figure 10). These results were obtained by averaging the cloudiness (in oktas) for each cloud type (as detected in section 3.3) and computing the cloud-type resolved percentage decrease of LAA HR with respect to clear sky
460 conditions. Overall, the derived linear regression ($R^2 = 0.96$) indicates a HR decrease of about 12% per okta. Knowledge of the dominant cloud types associated to the different cloud cover also allows us to associate this decrease to specific cloud types (Figure 10). In particular, Ci are found to produce a modest impact on cloudiness (0.50 ± 0.05 oktas) decreasing the HR by ~3%, while Cu (1.76 ± 0.09 oktas) decrease the LAA HR by -26±8%. CiCu-CiSt (oktas of 3.56 ± 0.14) were responsible for a -49±6 decrease of the HR. Their impact was comparable to that of StCu (4.68 ± 0.10 oktas, -48±4% of HR). AlCu (4.11 ± 0.18 oktas) had a higher impact, decreasing the HR of -59±6%. The highest impact was given by AlSt (6.57 ± 0.15 oktas; -76±4% of HR) and finally by St (oktas: 7.19 ± 0.04) that suppressed the LAA HR by a factor of -83±4%.



470 It is also worth to mention that not only the absolute value of the HR changes as a function of clouds in the atmosphere, but the presence of clouds also alters its diurnal pattern. In fact, as introduced in section 3.1, F_{dir} is scaled by μ in Eq. 1 (section 2.1) and thus it is perfectly constant along the day only in clear sky conditions. Conversely, even when scaled by μ , the diffuse and reflected radiation linearly follow the behavior of irradiance F_{dif} and F_{ref} (under the assumption of isotropic and Lambertian surface, Ferrero et al., 2018).

475 Thus any influence of clouds on F_{dir} , F_{dif} and F_{ref} will reflect into the interaction between the radiation itself and the absorbing aerosol, changing the HR diurnal pattern. To illustrate this effect, Figure 11 shows the average diurnal pattern of the HR in both clear sky (blue) and cloudy conditions (red; oktas=7-8, dominated exclusively by St and AlSt). This clearly shows that, while in clear sky conditions the HR exhibits an asymmetric diurnal pattern with a maximum around 10:00 LST, in cloudy conditions it shows a bell shape curve similar to that of F_{glo} (which is driven by the diffuse only component, which peaks at midday). As explained in more detail in Ferrero et al. (2018), the presence of the asymmetrical peak in clear sky conditions is due to the coupling between the eBC daily pattern (characterized by a morning rush hour peak) and that of F_{dir}/μ , that is constant in CS. This is not the case in cloudy conditions when the most important radiation is F_{dif} .

480 A further important consequence of that change in the diurnal pattern of HR is that it reflects into related atmospheric feedbacks, such as the influence on the liquid water content (Jacobson et al., 2002), planetary boundary layer dynamics (Ferrero et al., 2014; Wang et al., 2018), regional circulation systems (Ramanathan and Carmichael, 2008; Ramanathan and Feng, 2009) and finally on the cloud dynamic and evolution itself (Koren et al., 2008; Bond et al., 2013). Thus, any inappropriate use of clear sky assumption in models will also reflect on the modelled HR-triggered feedbacks.

3.4 The impact of clouds on the absolute and relative BC and BrC heating rates

490 As mentioned in the introduction, one of the key uncertain factors in climate change evaluations is the role played by different species of absorbing aerosol, the two most important species being BC and BrC. In this work we thus investigate the contribution of these two species to the HR at our measuring site. In the previous sections we discussed the absolute intensity of HR_{BC} and HR_{BrC} . They vary as function of four main variables, namely: 1) the absolute absorption coefficient values ($b_{abs(\lambda)}$) of both BC and BrC, 2) the absolute magnitude of the impinging radiation ($F_{n(\lambda,\theta)}$), 3) the different spectral absorption of BC and BrC, described by their AAE, and 4) the spectral features of the impinging radiation ($F_{n(\lambda,\theta)}$) described by the APE (section 2.3.3). Among these factors, the first two are the dominant ones. However, the presence of clouds influences both the absolute magnitude and the spectral feature of the impinging radiation (sections 3.2 and 3.3).

500 We first present the impact of cloudiness and cloud type on both HR_{BC} and HR_{BrC} considering the absolute values of $b_{abs(\lambda)}$ and $F_{n(\lambda,\theta)}$ measured during the campaign (section 3.4.1). Then, in Section 3.4.2, we discuss the influence of different sky conditions and cloud type on HR due to both BC and BrC, focusing on the radiation APE through a HR_{BC} and HR_{BrC} data normalization with respect to the absolute magnitude of the $b_{abs(\lambda)}$ of both species.

3.4.1 The role of cloudiness

505 To complement the results in Figure 5a, the contribution of BC and BrC to the monthly averaged HR is reported in Figure 12. On average, the HR_{BrC} accounted for $13.7 \pm 0.2\%$ of the total HR, the BrC being characterized by an AAE of 3.49 ± 0.01 , thus fully within ranges previously observed in other studies (e.g., Yang et al, 2009; Massabò



et al., 2015; Ferrero et al., 2018). In Figure 13, HR_{BC} and HR_{BrC} are reported as a function of the oktas (total HR in Figure 13a and the contribution of direct, diffuse and reflected HR in panels b-d, respectively). As expected, Figure 13a shows that both HR_{BC} and HR_{BrC} decreased with increasing oktas, going from the clear sky maxima (HR_{BC} and HR_{BrC} : 1.14 ± 0.03 and 0.20 ± 0.01 K day⁻¹) to the overcast conditions minima (mainly due to St and AlSt clouds; see Figure 7b) of 0.16 ± 0.01 and 0.02 ± 10^{-3} K day⁻¹, respectively. This change is an important result related to the decrease of the absolute magnitude of impinging radiation, as described in sections 3.2 and 3.3. In fact, during the campaign, clear sky conditions were present only 23% of the time, the remaining time (77%) being characterized by partially cloudy (35%, 1-6 oktas) to totally cloudy (42%, 7-8 oktas) conditions. Moreover, as reported in the same sections (3.2 and 3.3) and shown in Figures 5 and 6, the change of radiation magnitude with cloudiness was different for direct, diffuse and reflected radiation. This behavior affected the corresponding direct, diffuse and reflected radiation components of HR_{BC} and of HR_{BrC} (Figure 13 b-d). For the direct radiation, Figure 13b shows both $HR_{BC,dif}$ and $HR_{BrC,dif}$ to decrease as a function of cloudiness to negligible levels ($HR < 10^{-4}$ K day⁻¹) in overcast conditions. Conversely, $HR_{BC,dif}$ and $HR_{BrC,dif}$ increased for increasing oktas (Figure 13c), reaching their maximum in partially cloudy conditions (at oktas=6, 0.51 ± 0.01 and 0.09 ± 0.01 K day⁻¹) when also the maximum of F_{dif} was registered (section 3.2 and Figure 6a). Then, for further increasing cloudiness, they dropped down to minimum values (0.13 ± 0.01 and 0.02 ± 0.01 K day⁻¹). Finally, $HR_{BC,ref}$ and $HR_{BrC,ref}$ (Figure 13d) behave similarly to the total HR_{BC} and HR_{BrC} , being the reflected radiation dominated by the total radiation impinging on the ground (see Figures 8a and 8d for a comparison). In this respect, $HR_{BC,ref}$ and $HR_{BrC,ref}$ decreased with increasing oktas from maximum values in clear sky ($HR_{BC,ref}$ and $HR_{BrC,ref}$: $0.17 \pm 4 \cdot 10^{-3}$ and $0.03 \pm 1 \cdot 10^{-3}$ K day⁻¹) down to overcast minimum ($HR_{BC,ref}$ and $HR_{BrC,ref}$ 0.02 ± 10^{-3} and $3 \cdot 10^{-3} \pm 10^{-3}$ K day⁻¹).

Figure 13 also clearly shows that HR_{BC} is always greater than HR_{BrC} , as expected. However, a deeper investigation of the data reported in Figure 13 allows us to better describe the interaction between radiation and LAA in heating the surrounding atmosphere. To this purpose, it is particularly useful to compare the relative decrease of HR_{BrC} from clear sky to complete overcast situation to that of HR_{BC} . The clouds, going from 0 to 8 oktas, decrease the HR_{BrC} $12 \pm 6\%$ more compared to HR_{BC} . The same happened to $HR_{BC,dif}$ and $HR_{BrC,dif}$. The diffuse component of the HR behaves differently: the clouds decrease $HR_{BrC,dif}$ $38 \pm 6\%$ more compared to $HR_{BC,dif}$.

At a first glance, Figure 13 could give the impression that BrC is more efficient in heating the surrounding atmosphere (with respect to BC) in clear sky conditions, compared to cloudy ones. Note however that, as stated at the beginning of this section, any change of both BC and BrC $b_{abs(\lambda)}$ in different sky conditions has to be accounted for to avoid any misinterpretation of the results.

In fact, we observed that at all wavelengths and for both BC and BrC, $b_{abs(\lambda)}$ was not constant during periods with different cloudy conditions (Figure S7). However, while the variability of $b_{abs(\lambda)}$ BC with varying oktas was limited, this was not the case for BrC (Figure S7a). Values of $b_{abs(\lambda)}$ BrC in high cloud cover conditions were statistically lower than the one in clear sky/moderate cloudy conditions (at oktas=8 the $b_{abs(\lambda)}$ of BrC was on average $-23 \pm 3\%$ lower than in clear sky, Figure S7b). The full understanding of this behavior, perhaps linked to the formation of secondary BrC at high radiation in clear sky compared to cloudy ones (Kumar et al., 2018), is beyond the aim of the present paper. Here we focus the attention on the fact that the magnitude of $b_{abs(\lambda)}$ of BC and BrC changed differently with cloudiness. This behavior explains why, at a first glance, the relative decrease of the HR_{BrC} , from 0 to 8 oktas, was higher compared to that of HR_{BC} . At the same time, the fact that the diffuse component of the HR_{BrC} ($HR_{BrC,dif}$) experienced a higher relative decrease (from clear sky situation to overcast ones) than those



observed for the total HR_{BrC} asks for further investigation. Some insights into this behavior are given in the next Section.

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3.4.2 The role of the average photon energy and cloud type

In order to decouple the variability of the HR induced by radiation from that due to $b_{abs(\lambda)}$, both HR_{BC} and HR_{BrC} were normalized for the adimensional integral of the $b_{abs(\lambda)}$ over the whole aethalometer spectrum. In this way, the magnitude of $b_{abs(\lambda)}$ is accounted for along the whole spectrum avoiding the choice of an arbitrary λ as a reference for the normalization. Figure S8 reports the same data present in Figure 13a after the normalization for $b_{abs(\lambda)}$ and for the corresponding CS HR_{BC} and HR_{BrC} values. Results first show that the relative decrease of the HR_{BrC} , from 0 to 8 oktas, was $12\pm 6\%$ lower compared to that of HR_{BC} , or, in other words, it was the decrease the HR_{BC} that was $12\pm 6\%$ higher compared to that of HR_{BrC} . A counter-intuitive consequence of this analysis is that, compared to CS, cloudy conditions suppress much more the HR_{BC} with respect to HR_{BrC} . The diffuse component of the HR was the only one that kept an opposite behavior after the normalization for $b_{abs(\lambda)}$. The decrease of $HR_{BrC,dif}$ was $21\pm 6\%$ higher compared to that of $HR_{BC,dif}$ (from clear sky situation to overcast ones); however this value is lower than the $38\pm 6\%$ reported in section 3.4.1 before the normalization for $b_{abs(\lambda)}$ meaning that, even at equal absorption, the diffuse component of radiation plays a role in affecting the BrC response. This means that cloudiness and clouds not only affect absolute values of both HR_{BC} and HR_{BrC} but they markedly affect their ratio.

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This pattern can be related to the different APE (section 2.3.3) that the direct and diffuse radiations feature in different sky conditions (Figure 14). Higher APE values describe the shift of a radiation spectrum towards UV-blue region and vice versa (section 2.3.3). Figure 14 shows that while APE_{dir} slightly increases towards overcast conditions, APE_{dif} strongly decreases going from clear sky to 8 oktas. The $APE_{dif,dif}$ behavior can easily be explained considering the features of the direct and diffuse radiation spectra (Figure S9). In fact, in clear sky conditions, the diffuse radiation is characterized by a high density in the UV-blue high energy region with respect to the direct radiation, which indeed is depleted in that region by the molecular Rayleigh scattering. APE_{dir} in clear sky conditions is in fact 1.89 ± 0.01 eV, lower than the 2.20 ± 0.01 eV of APE_{dif} (Figure 14). Conversely, in cloudy conditions (Figure 14 and Figure S9) $F_{dif,\lambda}$ and $F_{dir,\lambda}$ behave similarly and the APE_{dif} values equals that of APE_{dir} : 1.99 ± 0.01 eV. The BrC has the capacity to absorb much more radiation in the UV-blue region (featuring higher AAE of 3.49 ± 0.01 , compared to ~ 1 of BC). It follows that, depending on sky conditions, different parts of the absorption spectra are important for BrC relative to BC. In this respect, ΔAPE_{dir} (cloudy-CS) was $0.11\pm 3\cdot 10^{-3}$ eV while ΔAPE_{dif} was 2 times higher ($0.22\pm 2\cdot 10^{-3}$ eV). This explains the behavior of $HR_{BC,dif}$ and $HR_{BrC,dif}$ and of $HR_{BC,dif}$ and $HR_{BrC,dif}$ after the normalization for $b_{abs(\lambda)}$. However, they do not explain the behavior of the total HR_{BC} and HR_{BrC} with respect to cloudiness: the absolute amount of direct and diffuse radiation F_{dir} , F_{dif} (and not only their spectral feature) has to be accounted for. Thus, the APE for the total sky radiation was determined as a weighted average with respect to the absolute amount of F_{dir} and F_{dif} in function of cloudiness expressed in oktas; results are reported in Figure 14 and clearly show an increasing APE_{tot} from clear sky to cloudy conditions, approaching APE_{dif} at $okta=8$. This APE_{tot} feature explain the counter-intuitive property that cloudy conditions suppress much more the HR_{BC} with respect to HR_{BrC} , as shown above.

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We have shown that different cloud types are responsible for the different cloudiness (Section 3.3 and Figure 7b). It is worth to explore the relationship between cloud type and both HR_{BC} and HR_{BrC} as previously done for the total LAA HR (Figure 10). Also in this case, the variability of the HR induced by radiation was decoupled from



that due to $b_{abs(\lambda)}$ by normalizing HR_{BC} and HR_{BrC} for the adimensional integral of $b_{abs(\lambda)}$ over the whole
aethalometer spectrum. We found a strong linear relationship between the mean cloudiness (in oktas) and the
590 percent decrease of both (BC and BrC) HRs with respect to those in clear sky conditions (Figure 15). These results
were obtained by averaging the cloudiness (in oktas) for each cloud type (as detected in section 3.3) and combining
them with percentage decrease of HR_{BC} and HR_{BrC} (again averaged for each cloud type) with respect to clear sky
conditions. Overall, the derived linear regression indicates for both HR_{BC} and HR_{BrC} a decrease of about 12% per
oktas (with high R^2). Knowledge of the dominant cloud types associated to the different cloud cover also allows
595 us to associate this decrease to specific cloud types. In particular, Ci were found to produce a modest impact on
cloudiness (0.50 ± 0.05 oktas) decreasing the HR_{BC} and HR_{BrC} by ~ 1 -6%, respectively. Instead, Cu (1.76 ± 0.09
oktas) decreased the HR_{BC} and HR_{BrC} by $-31 \pm 12\%$ and $-26 \pm 7\%$, respectively. CiCu-CiSt were associated to an
averaged oktas of 3.56 ± 0.14 , and were responsible for a $-60 \pm 8\%$ and $-54 \pm 4\%$ decrease of the HR_{BC} and HR_{BrC} .
Their impact was comparable to that of AlCu (4.11 ± 0.18 oktas): $-60 \pm 6\%$ and $-46 \pm 4\%$ decrease of the HR_{BC} and
600 HR_{BrC} . StCu (4.68 ± 0.10 oktas) had a higher impact, decreasing HR_{BC} and HR_{BrC} of $-63 \pm 6\%$ and $-58 \pm 4\%$. The
highest impact was given by AlSt (6.57 ± 0.15 oktas; $-78 \pm 5\%$ and $-73 \pm 4\%$ of HR_{BC} and HR_{BrC}) and finally by St
(oktas: 7.19 ± 0.04) that suppressed the HR_{BC} and HR_{BrC} by a factor of $-85 \pm 5\%$ and $-83 \pm 3\%$, respectively.
These results confirm that, on average, the HR_{BC} is more affected by cloudy conditions than HR_{BrC} , further proving
that the presence of different cloud types in different proportions in the sky can bring to inaccurate HR_{BC} and
605 HR_{BrC} estimations if clear sky assumptions are improperly used to model the aerosol DRE. Particularly, if clear
sky is assumed improperly, HR_{BC} and HR_{BrC} can be overestimated up to a factor of ~ 6 in highly cloudy St
conditions. Thus, the aerosol DRE and related HR has to be properly calculated in the presence of clouds for
correct future scenario of our climate system.

610 Conclusions

The heating rates (HR) associated to Black Carbon (BC) and Brown Carbon (BrC) (HR_{BC} and HR_{BrC}) were
experimentally determined at high time resolution (5-minutes) in the Po Valley and further examined in relation
to sky conditions to determine the impact of cloud-aerosol-radiation interactions on the atmospheric heating.
Results showed a constant decrease of HR with increasing cloudiness of the atmosphere. From the obtained results,
615 the error (in %) associated to HR radiative transfer calculations in case of a simplified but incorrect assumption of
clear sky was calculated as a function of the real (observed) cloudiness showing overestimations up to 470%. The
effect of different cloud types on the HR was also investigated. While cirrus were characterized by a modest impact
cumulus, cirrocumulus-cirrostratus and Altopcumulus: suppressed the HR of both BC and BrC by a factor of ~ 2 .
Stratocumulus, altostratus stratus suppressed the HR_{BC} and HR_{BrC} up to 80%. The cloudiness also changed the
620 diurnal pattern of HR with possible feedbacks on planetary boundary layer dynamics and/or regional circulation
systems. Thus, any inappropriate use of clear sky assumption in models will also reflect on the modelled HR-
triggered feedbacks.

Finally, the cloud impact on the solar radiation spectrum affected more, on average, the HR_{BC} than HR_{BrC} . This
means that cloudiness and clouds type not only affect absolute values of both HR_{BC} and HR_{BrC} but they markedly
625 affect their ratio further proving that the presence of different cloud types in different proportions in the sky can
bring to inaccurate HR_{BC} and HR_{BrC} estimations if clear sky assumptions are improperly used.



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Data Availability

Data will be available upon request.

Author contribution

Conceptualization, L. Ferrero, E. Bolzacchini, Grisa Mocnik, Martin Rigler and A. Gregoric; Methodology, L. Ferrero, A. Gregoric, S. Cogliati, N. Losi, F. Barnaba, L. Di Liberto, G.P. Gobbi; Data Investigation, L. Ferrero, A. Gregoric, S. Cogliati, F. Barnaba, G. Mocnik, M. Rigler; Resources, E. Bolzacchini, M. Rigler; Original Draft Preparation, L. Ferrero; Writing-Review & Editing, L. Ferrero, A. Gregoric, G. Mocnik and F. Barnaba; Supervision, G. Mocnik, Martin Rigler, F. Barnaba, E. Bolzacchini.

Conflict of interest

The authors declare no conflict of interest. The funders had no role in the design of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript, and in the decision to publish the results.

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Level	Cloud type	SD	R	N cloud layer
Low (<2 km)	Stratus (St)	<120	0.0-0.4	1
	Cumulus (Cu)	/	0.8-1.1	1
	Stratocumulus (StCu)	/	0.4-0.8	1
Middle (2-7 km)	Altostratus (AlSt)	<120	0.0-0.4	1
	AltoCumulus (AlCu)	>120	0.4-0.8	1
High (>7 km)	Cirrus (Ci)	/	0.8-1.1	1
	Cirrocumulus-Cirrostratus (CiCu-CiSt)	/	0.0-0.8	1
	Clear Sky (CS)	/	/	0

Table 1. Final criteria adopted for cloud classification. SD represents the standard deviation of the measured global irradiance with respect to the theoretical behaviour in clear sky conditions; R represents the ratio between observed global irradiance (F_{glo}) and the irradiance (E) expected at the same at the top of the atmosphere; N is cloud layer number detected by the lidar.

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Month	Metric	T °C	P hPa	eBC* ng m ⁻³	b _{abs} * Mm ⁻¹	ADRE mW m ⁻²	ADRE _{dir} mW m ⁻²	ADRE _{diff} mW m ⁻²	ADRE _{ref} mW m ⁻²	HR K day ⁻¹	HR _{dir} K day ⁻¹	HR _{diff} K day ⁻¹	HR _{ref} K day ⁻¹	F _{glo} W m ⁻²	F _{dir} W m ⁻²	F _{diff} W m ⁻²	F _{ref} W m ⁻²
Nov-15	mean	12.8	1003.8	4288	21.2	18.42	10.17	5.62	2.64	1.30	0.72	0.40	0.19	200	131	69	51
	CI 95%	0.2	0.3	96	0.5	0.61	0.44	0.18	0.08	0.04	0.03	0.01	0.01	5	1	5	1
Dec-15	mean	8.4	1012.8	6289	31.1	20.70	9.29	8.64	2.77	1.43	0.64	0.59	0.19	141	66	75	34
	CI 95%	0.1	0.1	97	0.5	0.68	0.48	0.24	0.08	0.05	0.03	0.02	0.01	4	2	3	1
Jan-16	mean	7.2	997.4	4198	20.8	12.57	5.53	5.26	1.79	0.87	0.38	0.36	0.12	150	85	65	36
	CI 95%	0.2	0.4	106	0.5	0.55	0.36	0.23	0.07	0.04	0.02	0.02	0.01	5	2	5	1
Feb-16	mean	9.2	995.5	2851	14.1	8.62	3.50	3.81	1.31	0.61	0.25	0.27	0.09	191	104	87	46
	CI 95%	0.1	0.3	74	0.4	0.35	0.23	0.14	0.05	0.02	0.02	0.01	0.00	6	3	6	2
Mar-16	mean	12.6	996.2	1535	7.6	7.58	2.96	3.28	1.34	0.54	0.21	0.23	0.10	310	174	136	77
	CI 95%	0.1	0.2	36	0.2	0.22	0.14	0.08	0.04	0.02	0.01	0.01	0.00	7	3	7	2

Table 2. Monthly averaged data and confidence interval at 95% of temperature (T), pressure (P), equivalent black carbon (eBC), absorption coefficient (b_{abs}), absorptive direct radiative effect (ADRE) together with the heating rate (HR) divided into their direct (dir), diffuse (dif) and reflected (ref) components and, finally, global (F_{glo}), direct (F_{dir}), diffuse (F_{diff}) and reflected (F_{ref}) irradiances. * denotes Aethalometer data referred to λ=880 nm.

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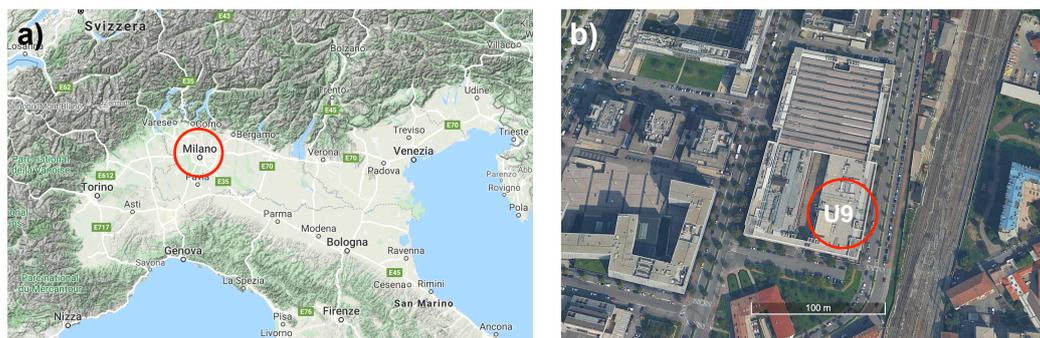


Figure 1. a) location of the Milano sampling site in the Po Valley, Italy; b) the U9 sampling site on the rooftop (10 m agl) of the University of Milano-Bicocca. The copyright holder of Figure 1 is GoogleMaps (©GoogleMaps).

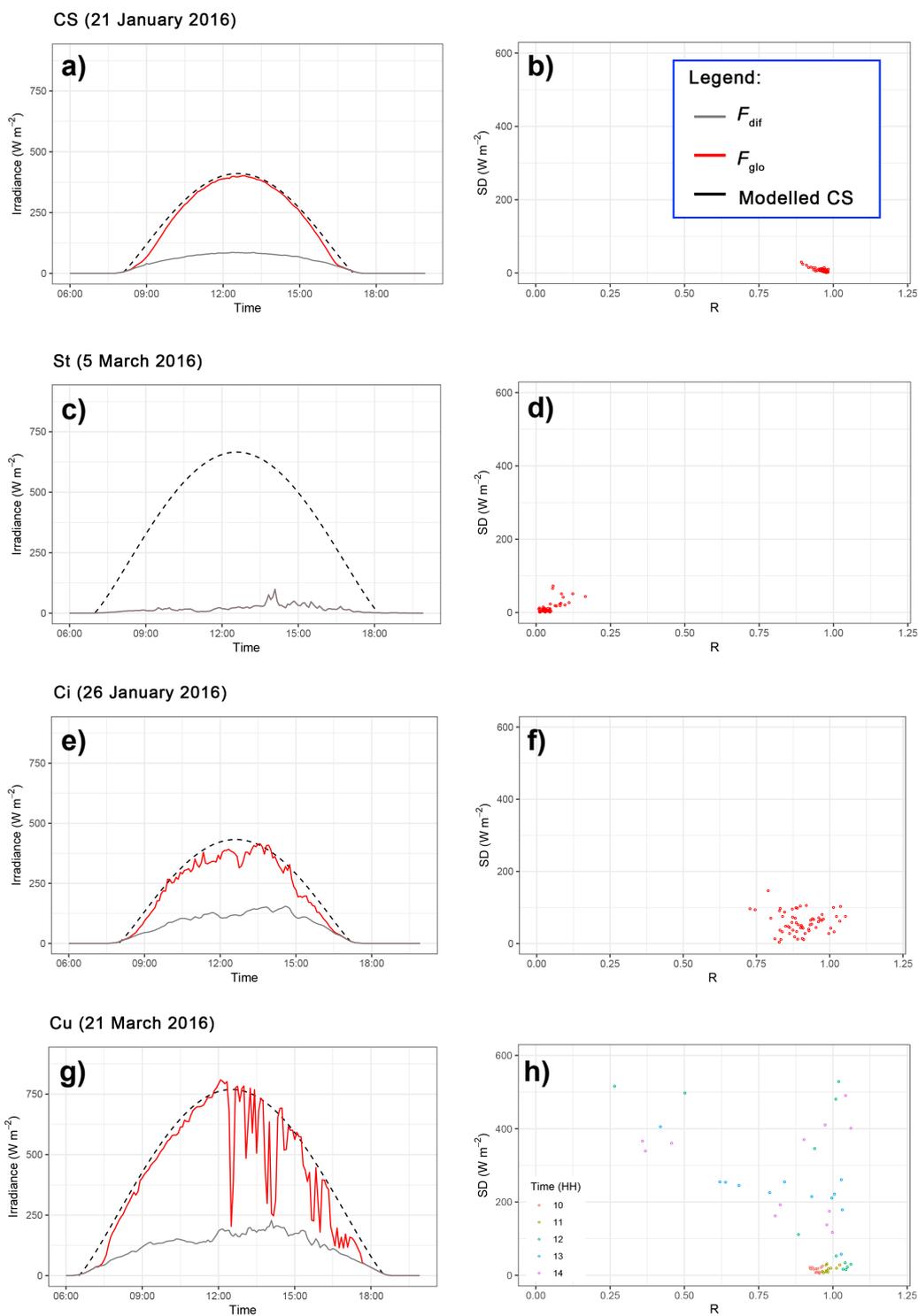




Figure 2. Cloud classification based on broadband solar radiation following Duchon & O'Malley (1999). Each row represents a different cloud type in a specific day as a case study. The left columns represent the time series of global and diffuse measured solar irradiance and modelled clear sky irradiance (GHI), while the right column the scatter plot of the observed standard deviation of irradiance vs. the fraction of modelled clear sky irradiance. In the panel (h) different colors are related to different time (hours) of the day as reported in the legend.

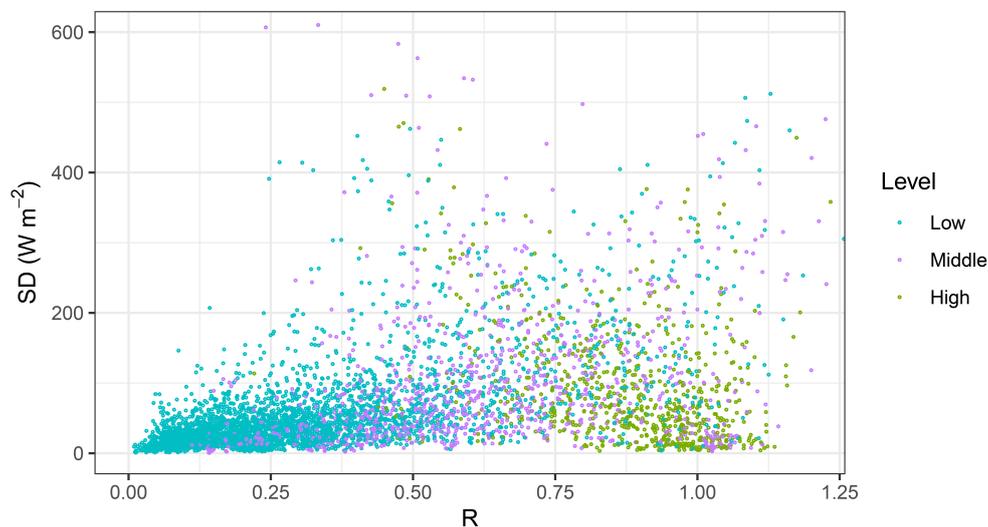


Figure 3. SD-R plot of the whole dataset concerning the cloud base altitude grouped into three levels, namely Low level clouds (<2 km), Middle altitude clouds (2-7 km) and High-altitude clouds (>7 km).

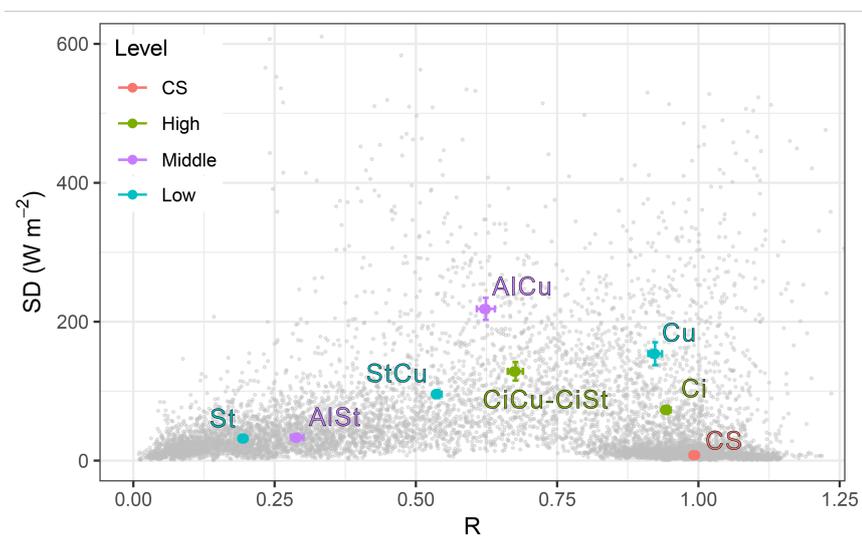


Figure 4. Cloud classification based on the improved broadband solar radiation following Duchon & O'Malley (1999) and Harrison et al. (2008) coupled with lidar data of cloud base height. From left to right: Stratus (St), Altostratus (AlSt), Stratocumulus (StCu), Alto cumulus (AlCu), Cirrus cumulus and Cirrus stratus (CiCu-CiSt), Cumulus (Cu), Cirrus (CS).



(Ci), and finally clear-sky (CS). The SD-R plot reports in grey the single data of the whole dataset, while centroids and 99% confidence bound of each cloud type are plotted in a color scale related to the cloud base level.

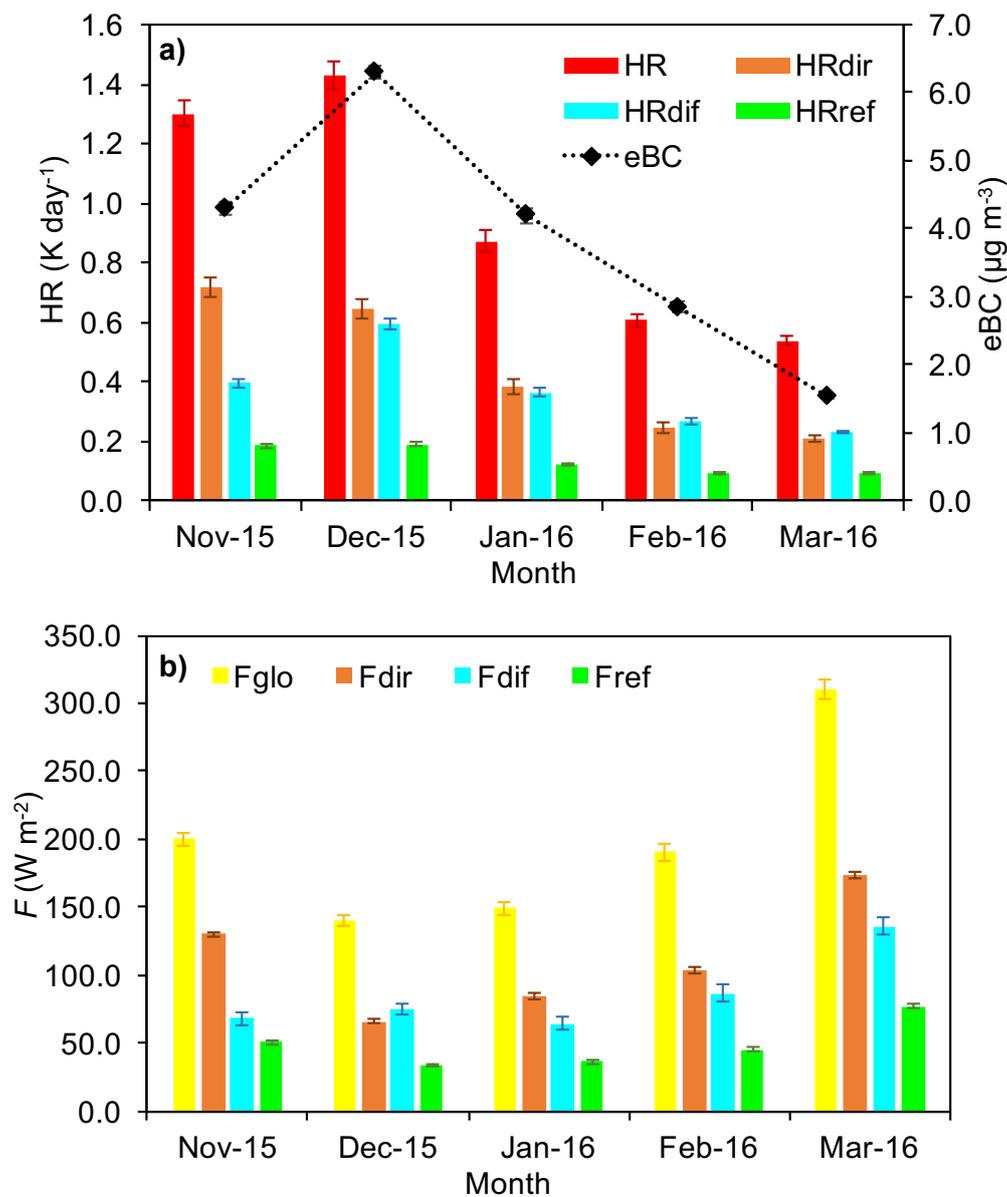


Figure 5. Monthly averaged values of: a) eBC, HR values and their direct, diffuse and reflected components (HR_{dir}, HR_{dif} and HR_{ref}); b) global radiation values (F_{glo}) and their direct, diffuse and reflected components (F_{dir}, F_{dif} and F_{ref}).

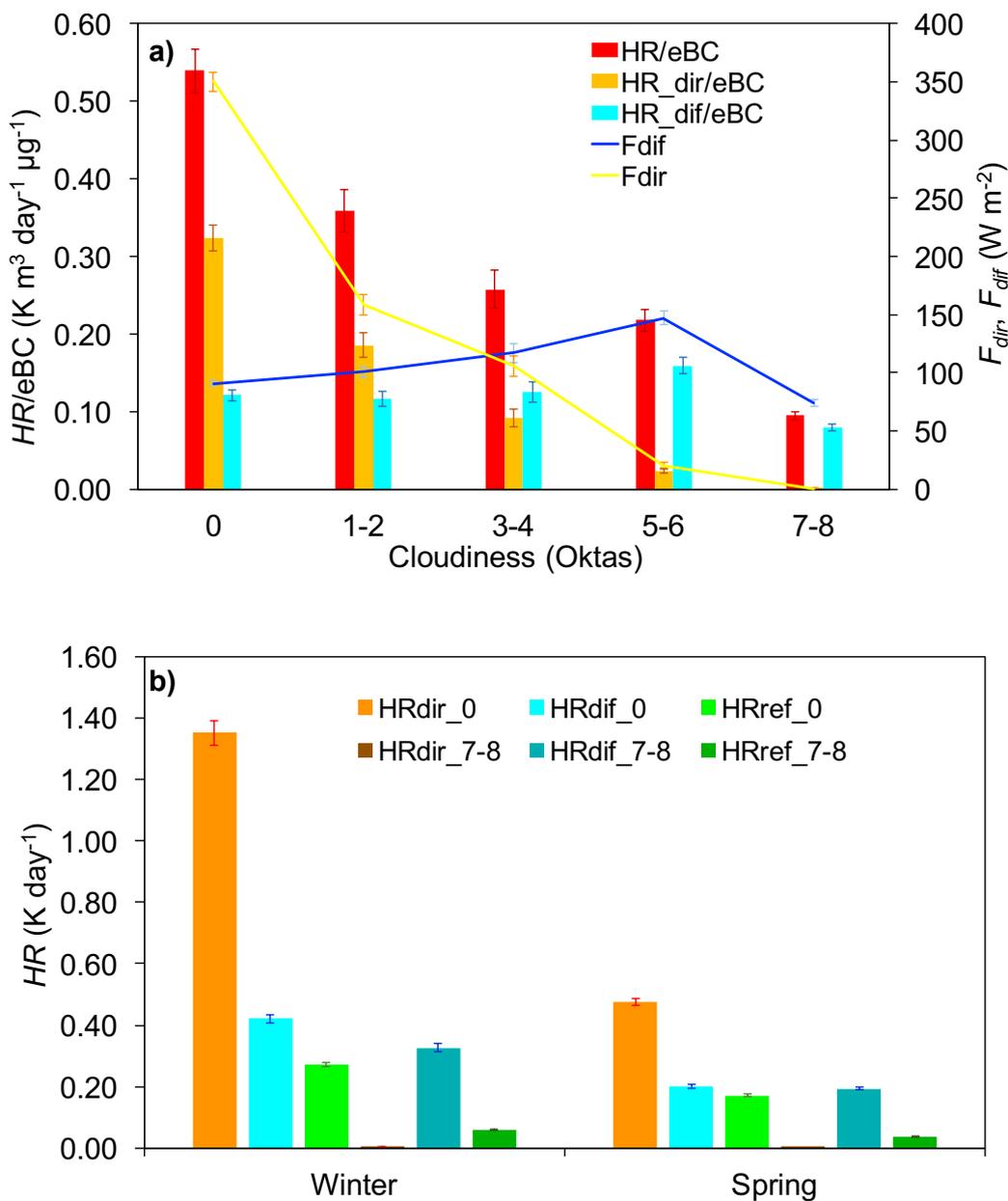


Figure 6. Monthly averaged values of: a) HR/eBC values together with their direct and diffuse components (HR_{dir}/eBC and HR_{dif}/eBC) and the direct and diffuse components of global radiation (F_{dir} and F_{dif}); b) HR values and their direct, diffuse and reflected components (HR_{dir} , HR_{dif} and HR_{ref}) during winter and spring both in clear sky (oktas=0) and cloudy (oktas=7-8) conditions.

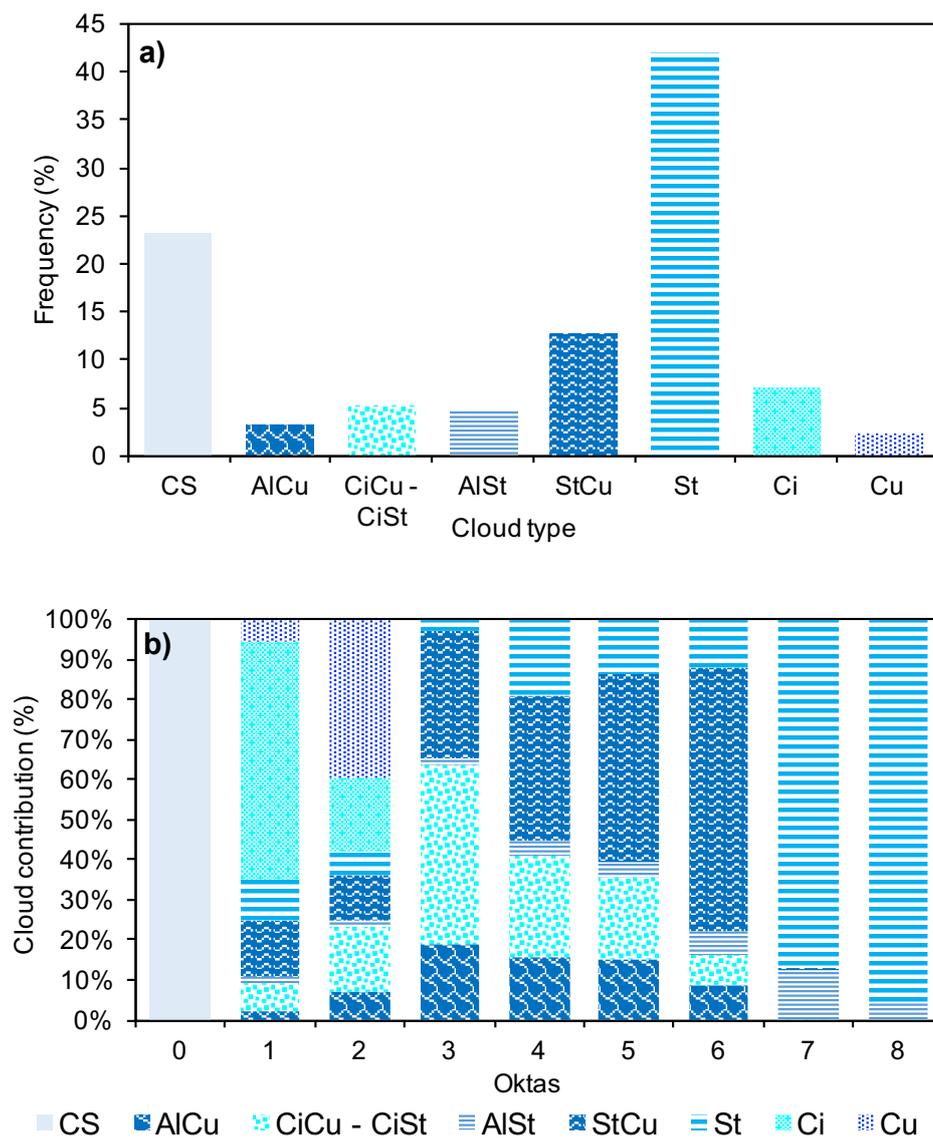


Figure 7. a) Time frequency (%) of the cloud type classified over the U9 site (CS means clear sky); b) contribution (%) of each cloud type to the oktats measured over the U9 site.

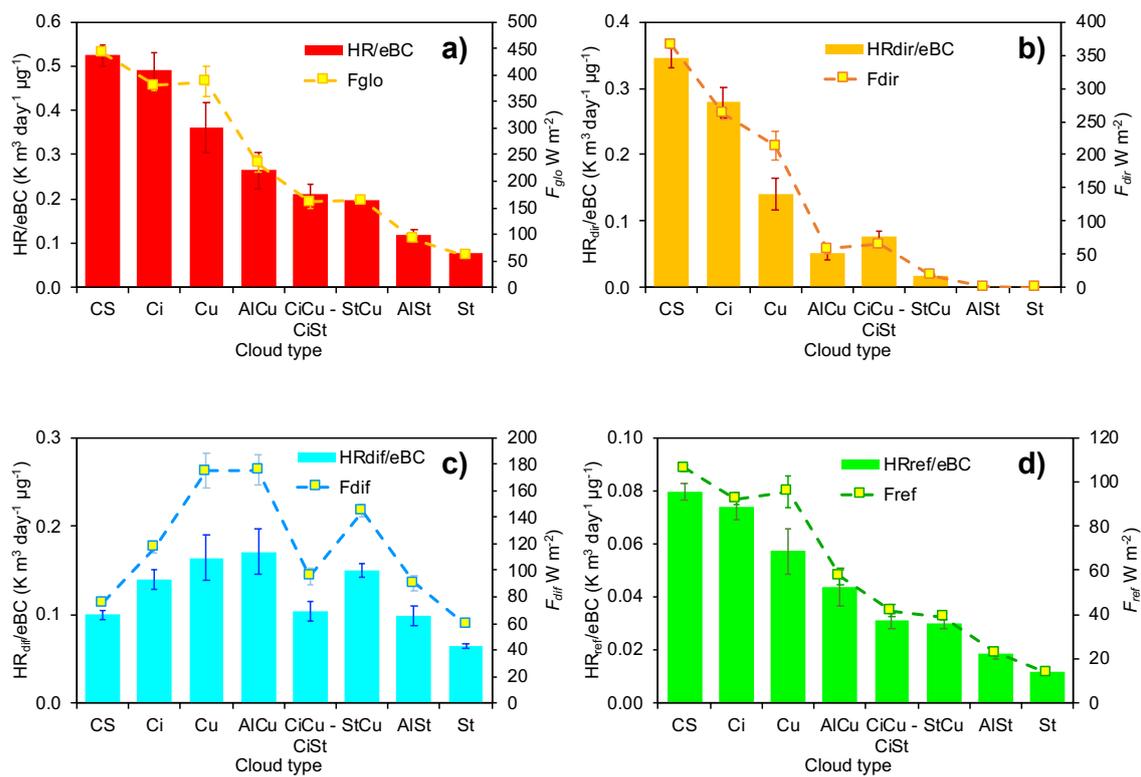


Figure 8. Impact of each cloud type on: HR/eBC and F_{glo} (a), HR_{dir}/eBC and F_{dir} (b), HR_{dif}/eBC and F_{dif} (c), HR_{ref}/eBC and F_{ref} .

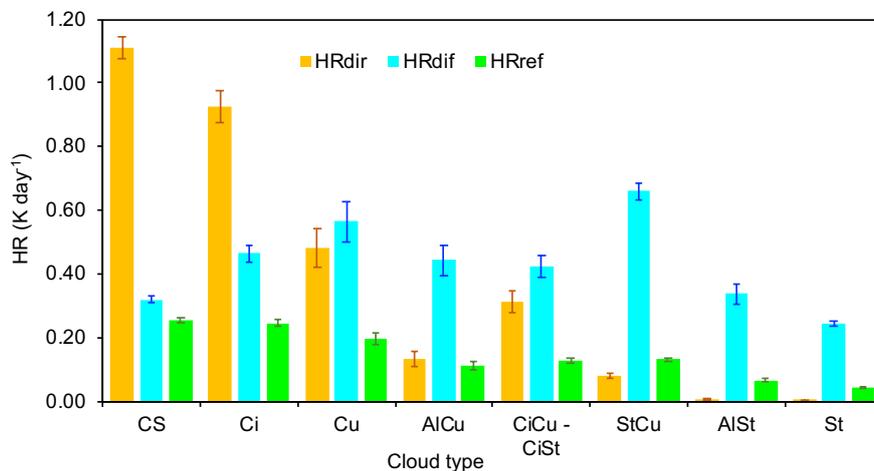


Figure 9. Average values of HR_{dir} , HR_{dif} and HR_{ref} in function of the cloud type.

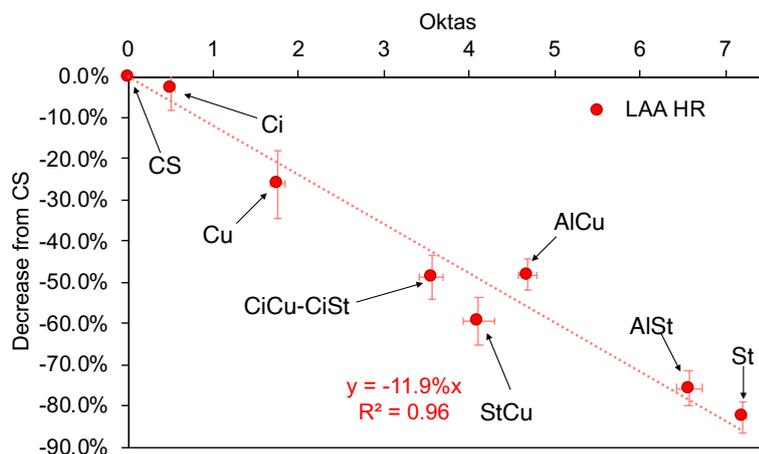


Figure 10. Percentage decrease of HR with respect to clear sky conditions in function of the oktas averaged for each cloud type.

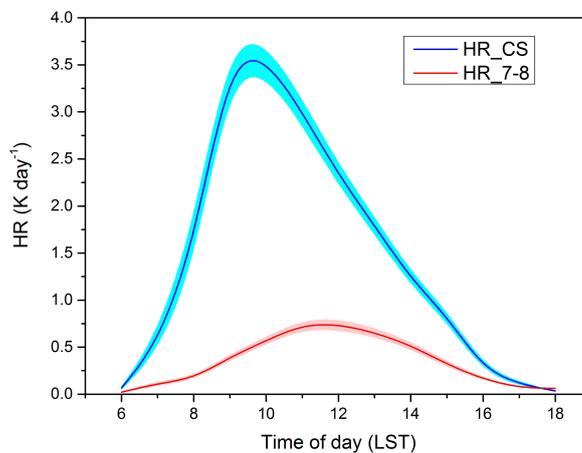


Figure 11. Diurnal pattern of the HR averaged for clear sky conditions and cloudy conditions (oktas=7-8).

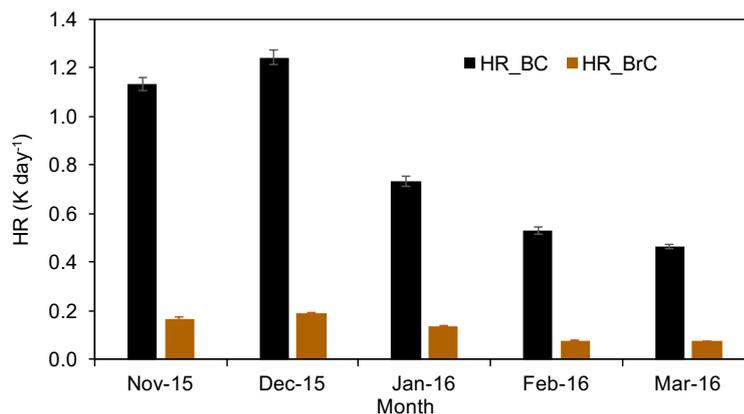


Figure 12. Monthly averaged data for the HR of both BC and BrC.

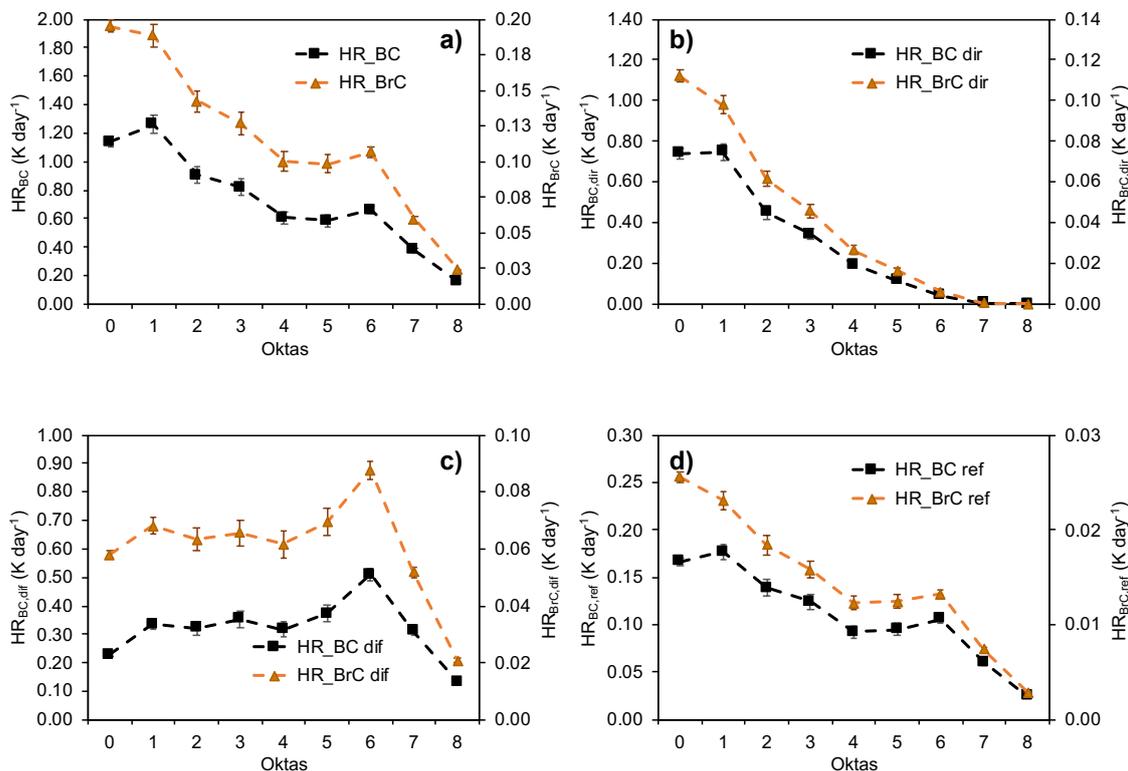


Figure 13. HR of BC and BrC in function of the oktas: a) total HR_{BC} and HR_{BrC}, b) direct component of both HR_{BC} and HR_{BrC} (HR_{BC,dir} and HR_{BrC,dir}), c) diffuse component of both HR_{BC} and HR_{BrC} (HR_{BC,dif} and HR_{BrC,dif}) and d) reflected component of both HR_{BC} and HR_{BrC} (HR_{BC,ref} and HR_{BrC,ref}). Note that, due to the different magnitude of HR_{BC} and HR_{BrC}, the y-axis of HR_{BrC} in the four panels was chosen as 1/10 of that of HR_{BC}.

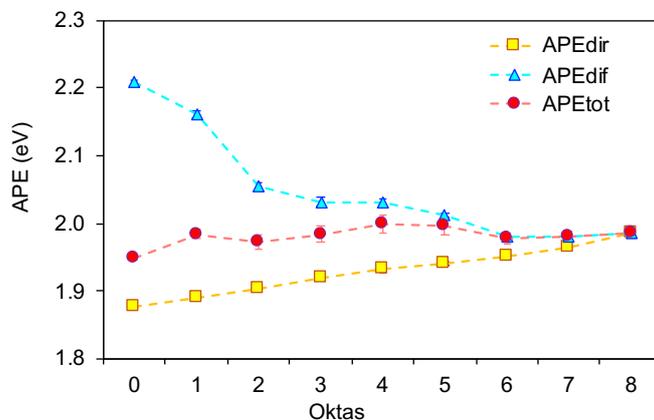


Figure 14. Direct and diffuse average photon energy of the radiation (APE_{dir} and APE_{dif}) together with the total impinging from sky (APE_{tot}).

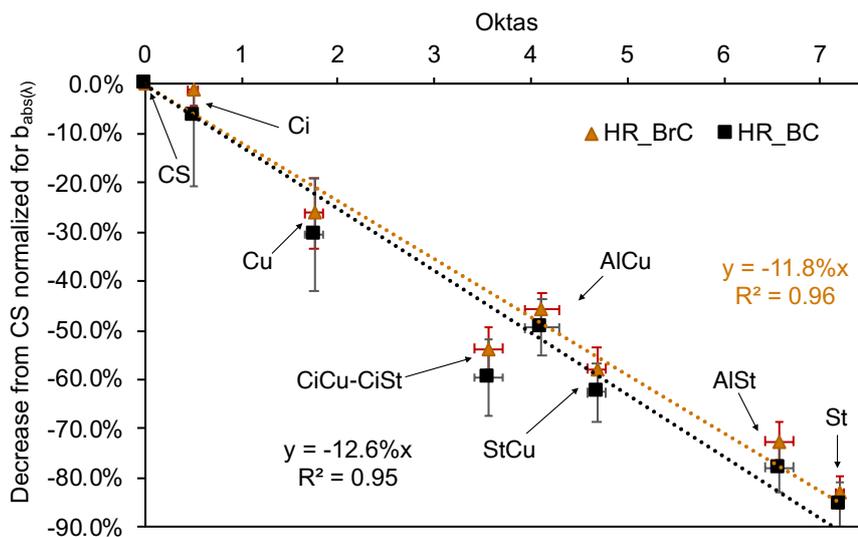


Figure 15. Percentage decrease of HR_{BC} and HR_{BrC} with respect to clear sky conditions in function of the oktas averaged for each cloud type.