

## Reply to Matthias Wiegner:

We appreciate the time and effort you spent on this manuscript for the very detailed and helpful review, which gives me a lot of inspiration and guide. We have carefully revised our manuscript. The point-by-point answers are as follows.

### Comments from the editors and reviewers:

#### General comments:

For the time being there is one reviewer's comment available. I agree with his/her general comments and suggestions so it is not necessary to repeat their remarks. Below please find a few additional comments focusing on selected technical or scientific details. As I am not an assigned reviewer I have only focused on the air quality aspect and the meaning of MLH'. Anyway, I hope that they can contribute to improve the paper.

1. Title: Mentioning "implications for air pollution" in the manuscript's title is a little bit misleading. The authors describe a methodology to derive the mixing layer height (convective, stable, residual layer (CBL, SL, RL)) from lidar measurements. They demonstrate that the agreement with radiosonde based retrievals is often quite limited. MLH is used as input for a numerical model (i.e. one equation) to estimate ground-based PM<sub>2.5</sub> concentrations. The agreement with in-situ measurements is also limited. Consequently the whole procedure results in a rough estimate of PM<sub>2.5</sub> only. Having this uncertainty and the heterogeneity of local sources of pollutants in mind (see also comments below), I feel that mentioning it in the title of the paper is somewhat exaggerated.

**A1:** The content is added to try to response to the comment. "The vertical structure of the mixing layer is important for the concentrations at the surface due to its impact on the volume into which pollutants are mixed. Mues et al., (2017) reported that black carbon concentrations show a clear anticorrelation with MLH measurements. Hu et al., (2014) found an anticorrelation between near-surface O<sub>3</sub> and MLH for seven cities in the North China Plain. In the study, as shown in Fig. 11, the correlation between MLH<sub>L</sub> and observed PM<sub>2.5</sub> data from the same observatory shows high negative correlation ( $R=-0.569$ ) with the four years measurement (2014-2017). Actually, the pollutant concentration near surface is affected by the overall effect of the local emission and meteorological condition, with variation of different spatio-temporal distribution. MLH is just one of these influencing factor. Geiß et al., (2017) indicates that when MLH and near-surface concentrations are linked, it is necessary to take the locations, i.e., meteorological conditions and local sources, and the details of the MLH retrieval into account. In fact, all the data used in our study is observed from the same observatory, and PMRS model used to calculate the surface PM<sub>2.5</sub> concentration includes the parameters of the emission (AOD) and meteorological condition (RH) into account."

As to the agreement with the in-situ, "Considering the uncertainty of the group of parameters used in the model, the agreement between calculated PM<sub>2.5</sub>\_lidar and in-situ measurement is reasonable good."

2. L28: "...identification of pollutant emissions and sources": This statement seems to be misleading. The MLH (among others) has an influence of the dispersion of pollutants, but how sources can be identified from the MLH is not obvious and must be explained if the authors insist on that statement.

**A2:** The sentence is rephrased by "quantification of pollutant emissions (Haeffelin et al., 2012; Seibert et al., 2000; Baars et al., 2008; Liu and Liang, 2010; Bruine et al., 2017)." With the knowledge of MLH and the surface pollutant concentration, the total pollutant emissions can be calculated when it is well mixed.

3. L33: "contributes to the assessment of the pollutant concentration near the surface": I agree that MLH "contributes" to concentrations of pollutants but it must be kept in mind that the spatio-temporal distribution of sources plays a dominating role; see Geiß et al., (2017).

**A3:** Yes, you are right. The pollutant concentration near surface is affected by the overall effect of the local emission and meteorological, with variation of different spatio-temporal distribution. MLH is just one of these influencing factor. In fact, all the data used in our study is observed from the same observatory, and the surface pollutant model used take the emission (AOD) and meteorology (RH) into account.

4. L38: "MLH can be estimated by ... the concentration of PBL constituents". It is rather the other way around (having in mind the inherent problems already mentioned).

**A4:** The sentence is rephrased as "MLH can be estimated by the measurement of variance of the mechanical turbulence, of the temperature enabling convection or of the substance content in the low troposphere."

5. L59: Here ceilometers should be explicitly mentioned. Lidars are comparably rare instruments, and only a few can be operated unattendedly and fully automatic (MPL is one of them, so often called a ceilometer or an "automated low power lidar, ALC"). Ceilometers are available as networks, e.g. in Europe. I don't know the situation in China but this option should be mentioned. It has been demonstrated that most ceilometers are capably to determine the MLH.

**A5:** The comment is added as "With recent upgrades of the hardware, ceilometer, an known as automated low power lidar, or automated lidars and ceilometers, has been demonstrated that be capably to determine the MLH."

6. L66: "only in the morning...". This statement is not true for all places in the world.

**A6:** Yes, the statement is not all true, taking into consideration that additional RS may be launched in other time. 08:00 LT and 20:00 LT is the universal launch time (Collaud et al., 2014; Guo et al.,

2016; Su et al., 2019). The sentence has been rephrased as “The meteorological radiosondes usually acquire the MLH in the morning (08:00 LT) and at night (20:00 LT), when the diurnal cycle of ML combined with stable and convective PBL cannot be well characterized.”

7. L68: "the low vertical resolution": Most of the ceilometers (and lidars) provide a 15 m resolution that is fully sufficient to determine the CBL. In the framework of Ceilinet2015 we have compared instruments from Vaisala, Lufft, and Campbell. In particular for Lufft CHM15k and Vaisala CL51 no problems appeared (the corresponding AMT-paper was mainly on water vapor absorption corrections).

**A7:** The description is removed.

8. L70: "low SBL height is not evaluated". I assume this is due to the overlap problem. The reader is not aware of this at this point of the manuscript. So a short explanation should be added or the sentence should be moved to the next section.

**A8:** It is moved the next section.

9. L85: Here the period of the measurements should be explicitly mentioned, and the time resolution, and the gaps in the measurement schedule if any. The coordinates of the location should be given with more decimal places.

**A9:** The corresponding content has been added “The period of the measurements is from 2013 to 2018, nearly six years. Except for the MLH data from lidar of 2013 mainly existing in winter and spring, the measurement of 2014-2018 are all annual continued observations.” “CE370 can detect a long range profile up to 30 km every 1 second. For the enhancement of signal noise ratio, 60 profiles are averaged to restore as one with the time resolution of 1 minute.” “the observation site (116.379° E, 40.005° N) in Beijing city”

10. L89: "correction of overlap". As this is an essential point, it would be nice to read a few detail, at least the height of the lowest "useful" range bin should be given here (actually it is mentioned later, sometimes called "gate", sometimes "range").

**A10:** The descriptions of overlap is present in the revised manuscript as “Due to the design of the lidar, the received view close to the ground does not completely coincide with transmitted view. There exist a detection blind area of lidar and a geometric overlap factor is used to correct the mismatch of field of view.” and “Due to the limitation of algorithm and insufficient lidar overlap, the minimum range of the MLH calculation is on the order of 250 m.”

11. L94: To my knowledge Baars et al. (2008) were (one of) the first who applied the Haar-wavelet technique to continuous lidar measurements (de Haij et al. used the very old LD-40 with a limited vertical measurement range) including a detailed sensitivity analysis. This paper could be cited as well.

**A11:** It has been added.

12. L99: When mentioning "attenuated backscattering profile  $f(z)$ " here, this quantity should be defined previously. But in L89 only RCS and "then logarithm calculation" ( $\ln(\beta'_{532})$ ) are mentioned, but not  $f(z)$ .

**A12:** It is added as "RCS is also expressed as  $f(z)$ , with  $z$  the measurement height."

13. L103: The interpretation of the wavelet approach may have some pitfalls: to associate the largest maximum of  $Wf$  to the MLH (CBL) is obvious (though exceptions may occur), however, the interpretation of the first local maximum is critical (MLH'). Often the aerosols are structured and several internal layers appear making the allocation of a local maximum to an atmospheric feature very difficult. In the last years a lot of research has been devoted to estimate MLH resulting in a number of papers that should be mentioned here, e.g. Kotthaus et al., Geiß et al., Morille et al., de Bruine et al., Poltera et al. and many more.

**A13:** It is added as "Actually, every local maximum corresponds an aerosol layer and several internal layers appear making the allocation of a local maximum to an atmospheric feature very difficult (Morille et al., 2008; Geiß et al., 2017; Poltera et al., 2017; Kotthaus et al., 2018)." And "However, the interpretation of the first local maximum (MLH') is critical. To form a diurnal cycle of MLH from these several layers, a geodesic approach was applied to pathfinderTURB (Poltera et al., 2017), while COBOLT (Geiß et al., 2017) uses a time–height-tracking approach with moving windows. Nevertheless, these method all are based on the selection of the lowest detected aerosol. The height of the lowest detected aerosol layer was regarded as the daytime MLH and the nocturnal stable boundary layer, respectively, as reported by Mues et al. (2017) and Kotthaus et al. (2018). Su et al. (2019) developed a DTDS algorithm, started with the lowest point and tracked depending time and stability, but the nocturnal MLH is not evaluated. Detection of nocturnal boundary-layer heights, in contrast to the residual layer, is a major challenge (Haeffelin et al., 2012; Lotteraner and Piringer, 2016; de Bruine et al., 2017). SBL seems to be more relevant for the accumulation of pollutants close to the surface than the RL in the evening and early morning. Thus, one of the objective of this study is to investigate the usefulness of  $MLH_L'$  from CE-370 to capture the SBL height over Beijing."

14. L113: It is stated that the temporal resolution of the MLH is one hour. In Figs. 1–4 the resolution seems to be better. Is this a inconsistency?

**A14:** You are right. The resolution of Figs. 1-4 is one minute, according to the primary MLH\_lidar result. The description of "with the time resolution of 1 minute. For convenient comparison with air quality and meteorological parameters, all MLH results are one hour averaged" is added in the manuscript.

15. L114: "eliminating ...false value and peak value": Please give a short hint, what is meant. L133: The description of the PMRS-model is quite relevant, so I suggest to move S2 to the main text. In particular the uncertainty of PM2.5 depending on the uncertainty of the MLH should be highlighted as the MLH and its (large) uncertainty is the main outcome of their study. Fig. 10 reveals extreme differences in case of the RS-retrieval.

**A15:** Due to incomplete screen of cloud and rainfall, it can be misjudged as the MLH, leading to some false and very large value, which should be eliminated. Now the sentence has been rephrased by “unrealistic outliers are deleted”

RMRS-model is moved to the main text.

“The correlations at 12, 13, 14, and 15 LST were 0.894, 0.922, 0.927, and 0.900, respectively. The higher accuracy may be due to the completed mixing of the aerosol at noon and the vertical distribution of the aerosol tend to be uniform. The correlation between 8, 9 and 17 LST is less than 0.8, and the relatively poor accuracy is related to the complex boundary layer structure in the morning and evening. It is difficult to achieve fully mixing of the aerosol in the stable boundary layer or the residual layer. The daily variation of calculated surface pollutant accuracy using MLH retrieval by lidar vary with the daily variation of aerosol mixing uniformity at different times during the daytime.”

The calculated PM<sub>2.5</sub>\_RS from MLH<sub>RS</sub> and in-situ measurement shows great discrepancy, as the reason that “above MLH<sub>RS</sub> there still exist a large amount of aerosol. The discrepancy makes sense using the method with the determinate total amount of pollutant of the column atmosphere. The gap may be narrowed if the total emission from surface is used.”

16. L139: What type of sunphotometer is used? Give a few comments on these measurements.

**A16:** The comment is added as “All the parameter is observed by the instruments employed in the same observatory of lidar. The optical parameters of the column aerosols (AOD and FMF.) are obtained by a sky-sun photometer (CE318-DP, CIMEL, France), which is affiliated with the Aerosol RObotic NETwork (AERONET) (Holben et al., 1998; Dubovik, 2000). Measurements are automatically scheduled with direct sun irradiance measurements each of about 15 min and angular sky radiance scanning of about 1 h each (Li et al., 2015; Che et al., 2014; Wang et al., 2019). Atmospheric meteorological data (relative humidity-RH, wind speed-WS, wind direction-WD, etc.) are obtained by automatic meteorological monitoring station (BLJW-4). PM<sub>2.5</sub> mass concentration is obtained by PM<sub>2.5</sub> monitor (BAM-1020, MetOne, USA), which shows good agreement with the measurement of national monitoring network near the observatory. All the data is quality controlled and calculated as one hour averaged and the measurement period is from 2014 to 2018.”

17. Section 3.1: "case study" is mentioned in the caption, but it is not very clear, what this is. Four case studies each covering a period of 3 days corresponding to Figs.1-4?

**A17:** “case study” in the caption is removed in the revised manuscript.

18. Figs. 1–4: The triangles cover a vertical range of 300 m or so. What part of the symbol indicates the RS-retrieval? The top, the center, the bottom? In many cases the MLH seems to be zero.

**A18:** The top of the triangle indicats the RS-retrieval.

19. L151: "the aerosol layer height keep...": This sentence should be rephrased.

**A19:** It has been rephrased.

20. L152: "MLH is always higher than MLH\_RS,...": this seems to be in contradiction to the findings in L204. Please check all conclusions carefully.

**A20:** The sentence of "MLH is always higher than MLH\_RS,..." is removed, while "MLH\_RS tends to be larger than MLH" is rephrased as "MLH\_RS tends to be larger than MLH in the afternoon". Actually, we want to express that MLH tend to be higher than MLH\_RS in the evening and early morning, while MLH is lower than MLH\_RS in the afternoon.

21. L175: "...RS is its very good precision". This should be explained. Why is the method conceptually superior to a ceilometer/lidar retrieval? The criteria involved for estimating the MLH from RS or lidars both have their "free parameters" (thresholds).

**A21:** The paper focus the measurement of lidar. Indeed, it is improper to emphasize the precision of RS. Yet, from my perspective ,in view of the definition of MLH inferring "convection or mechanical turbulence" (COST action 710 – Final report, 1998), RS measurement is likely to close to the two parameter, "due to their ability to characterize the thermodynamic and dynamic states of the boundary layer". And, a lot of study has been done to evaluate the precision of remote sensing measurement, with the comparison with RS (Wiegner et al., 2006; Milroy et al., 2012; Sawyer and Li, 2013; Cimini et al., 2013; Tang et al, 2016; Singh et al., 2016; Mues et al., 2017; Su et al, 2019). And, in section 2.3, there is the statement of "In most cases, the exact threshold value has only a small impact on the PBL height due to the large slope of  $Ri_b$  in this interval (Collaud et al., 2014)."

Nevertheless, the sentence has been rephrase as "The temporal resolution (usually two or three measurements per day) of PBL detection by RS is not able to provide the mixing layer height diurnal cycle, no matter its good precision."

22. L190: The histograms should be explained in much more detail to avoid confusion. The reader might expect that the columns (e.g. for winter) add up to 100 % (for the lidar and the RS retrieval). However, it seems that the total column is the annual relative frequency and the different colors indicate the contribution of each season to the total. If so, the seasonal distribution should be discussed as well. The overall agreement between the two data sets is actually low – neither the absolute values nor the shape of the distribution agree. Moreover, as stated by the authors, in 35 % of the cases no intercomparison is possible due to the overlap problem. This mainly occurs in spring – any idea why?

**A22:** Yes, It is added that "the total column is the annual relative frequency and the different colors indicate the contribution of each season to the total." The description of Fig. 5 is rephrased that "Fig. 5. Comparison of frequency distribution of all MLH (2013–2018) retrieved from lidar and radiosonde with the supplementary information of seasonal variation. Noted that for presenting the detail distribution,  $MLH_L$  adds up to 20%, while  $MLH_{RS}$  add up to 45%."

Actually, the seasonal distribution was discussed in the manuscript, as “As to the seasonal variation of both lidar and RS measurement at 0800 LST, the frequency of larger  $MLH_L$  value in summer is minimal, indicating summer MLH is lower than other season. As for radiosonde,  $MLH_L$  lower than 0.25 km mostly distributes in winter, with the rate of around 15% for both 0800 and 2000 LST, and the frequency decreases rapidly when  $MLH_L$  gets larger than 0.25 km.”

It is added that “This lower values mainly occurs in winter and autumn, when it tends to present lower MLH (Tang et al., 2016).”

23. Figs. 7 and 8: to compare these two figures (basically it is the same information, however, in Fig. 7 the annual mean and in Fig. 8 the seasonal means are shown?) relevant minimum/maximum values of the MLH should explicitly be given in the text. Then, it can be seen if the numbers are consistent. What is the "shaded area" in Fig. 7: from 550 m to 2000 m in case of MLH at 0000 hours? What are the consequences of such a large range for the significance of differences (in the course of the day, for inter-annual changes)?

**A23:** Besides the annual mean diurnal cycle, Fig. 7 also compared the mean MLH with MLH and  $MLH_{RS}$ , revealing that MLH shows overall good CBL height and  $MLH'$  generally cannot indicate SBL well. In the revised manuscript, Fig. 7 has been remove to the section of comaparisong of different MLH approach. The seasonal and annual variation is presented mainly based on MLH.

The content and table is added. “The maximum in summer is 1.526 km, and the maximum in autumn is 1.445 km. From the average of the four seasons, the averages in spring, summer, autumn and winter are 1.409 km, 1.261 km, 1.297 km and 1.228 km, respectively. The average value in autumn (1.297km) is greater than that in summer (1.261km).”

Table 1 Statistics of boundary layer height seasonal change

MLH/km	Spring	summer	autumn	winter
mean	1.409	1.261	1.297	1.228
maximum	1.647	1.526	1.445	1.404
minimum	1.126	0.932	1.117	1.098

The "shaded area" in Fig. 7 indicates the standard deviation of MLH and  $MLH'$ . In statistics, mean  $\pm$  standard deviation is commonly used to indicate the average and degree of dispersion of a set of data, referred Su et al. (2019) and Geiß et al., (2017). The inter-annual changes is analyzed based on the mean result, even if the data dispersion is large, it still has statistical significance.

Su, T., Z. Li, and R. Kahn, 2019: A new method to retrieve the diurnal variability of planetary boundary layer height from lidar under different thermodynamic stability conditions, *Remote Sens. Environ.*, 237, doi:10.1016/j.rse.2019.111519.

24. L228: According to the authors  $MLH'$  could be the residual layer or the stable layer (or any internal layer). So, the implications for the dispersion of pollutants are hard to infer. The benefit of  $MLH'$

should be clearly described in the paper (see comment above, and the conclusions of the manuscript).

**A24:** It is added in the conclusions that “MLH<sub>L</sub>’ have the potential to describe the stable layer height at night sometime, even though the capability is limited due to the high incomplete overlap of CE-370. The stable layer height detected by MLH<sub>L</sub>’ in the nighttime is the layer in which ground-emitted atmospheric pollutants are trapped, it contributes to the assessment of the surface pollutant concentration when there is emission in the nocturnal time using the numerical models.”

25. L236: The authors explain the "valley" in the diurnal cycle from the domination of the developing CBL over the RL (in terms of the signal gradient) after sunrise. In many publications the complete diurnal cycle is considered as the combination of the SL and the CBL. Then, a much smoother curve can be found. Moreover, the SL seems to be more relevant for the accumulation of pollutants close to the surface than the RL.

**A25:** The application of height of SL and RL may be different, as discussed in the conclusion section that “The stable layer height detected by MLH<sub>L</sub>’ in the nighttime is the layer in which ground-emitted atmospheric pollutants are trapped, it contributes to the assessment of the surface pollutant concentration when there is emission in the nocturnal time using the numerical models. Whilst the residual layer height corresponding to trapped atmospheric constituents discharged some hours before, which can be employed to convert column-mean optical depths into near-surface air quality information from remote sensing.”

26. L259: "...into near-surface air quality information". It has been shown by Geiß et al. (2017) that the near-surface air quality does not only depend on the MLH (see also comment L33, and manuscript L275).

**A26:** Please see the response to the comment 3.

27. Fig. 9: Are the inter-annual differences of the annual cycles significant in view of the very large uncertainty ranges (see also comment above)?

**A27:** The inter-annual changes is analyzed based on the mean result, even if the data dispersion is large, it still has statistical significance.

28. L262: A short description of the in-situ measurements should be added: where have they been made, what is their temporal resolution/coverage, and their accuracy. Is one site or an average over many sites considered? The differences between MLH\_RS and the in-situ seem to be indeed too large for any air quality application.

**A28:** It is added that “All the parameter is observed by the instruments employed in the same observatory of lidar. The optical parameters of the column aerosols (AOD and FMF.) are obtained by sky-sun photometer (CE318-DP, CIMEL, France), which is affiliated with the Aerosol RObotic NETwork (AERONET) (Holben et al., 1998; Dubovik, 2000). Measurements are automatically

scheduled with direct sun irradiance measurements each of about 15 min and angular sky radiance scanning of about 1 h each (Li et al., 2015; Che et al., 2014; Wang et al., 2019). Atmospheric meteorological data (relative humidity-RH, wind speed-WS, wind direction-WD, etc.) are obtained by automatic meteorological monitoring station (BLJW-4). PM<sub>2.5</sub> mass concentration is obtained by PM<sub>2.5</sub> monitor (BAM-1020, MetOne, USA), which shows good agreement with the measurement of national monitoring network near the observatory. All the data is quality controlled and calculated as one hour averaged and the measurement period is from 2014 to 2018.”

Like to the response of comment 15, the calculated PM<sub>2.5\_RS</sub> from MLH<sub>RS</sub> and in-situ measurement shows great discrepancy, as the reason that “above MLH<sub>RS</sub> there still exist a large amount of aerosol. The discrepancy makes sense using the method with the determinate total amount of pollutant of the column atmosphere. The gap may be narrow if the total emission from surface is used.”

29. L297: Last sentence: the authors should be aware that many sophisticated methods to retrieve the diurnal cycle has been published recently. They should be cited (many references in the manuscript are quite old), see suggestions.

**A29:** The reference of “Wiegner et al., 2006; de Bruine et al., 2017; Morille et al., 2017; Kotthaus et al., 2018” is cited.

The reference is added in the revised manuscript.

Baars, H., Ansmann, A., Engelmann, R., and Althausen, D.: Continuous monitoring of the boundary-layer top with lidar, *Atmos. Chem. Phys.*, 8, 7281-7296, <https://doi.org/10.5194/acp-8-7281-2008>, 2008.

de Bruine, M., Apituley, A., Donovan, D. P., Klein Baltink, H., and de Haij, M. J.: Pathfinder: applying graph theory to consistent tracking of daytime mixed layer height with backscatter lidar. *Atmos. Meas. Tech.* 10, 1893–1909, doi:10.5194/amt-10-1893-2017.

Geiß, A., Wiegner, M., Bonn, B., Schäfer, K., Forkel, R., von Schneidmesser, E., Münkel, C., Chan, K. L., and Nothard, R.: Mixing layer height as an indicator for urban air quality?, *Atmos. Meas. Tech.*, 10, 2969-2988, <https://doi.org/10.5194/amt-10-2969-2017>, 2017.

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Morille, Y., Haeffelin, M., Drobinski, P., and Pelon, J.: STRAT: An automated algorithm to retrieve the vertical structure of the atmosphere from single-channel lidar data, *JTech*, 24(5), 761-775, <https://doi.org/10.1175/JTECH2008.1>, 2007.

Poltera, Y., Martucci, G., Collaud Coen, M., Hervo, M., Emmenegger, L., Henne, S., Brunner, D., and Haeefe, A.: PathfinderTURB: an automatic boundary layer algorithm. Development, validation and application to study the impact on in situ measurements at the Jungfraujoch. *Atmos. Chem. Phys.* 2017, 17, 10051-10070, doi:10.5194/acp-17-10051-2017.