



1   **The haze pollution under strong atmospheric oxidization capacity in summer in**  
2   **Beijing: Insights into the formation mechanism of atmospheric physicochemical**  
3   **process**

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16   **Abstract:** Under strong atmospheric oxidization capacity, haze pollution in the summer  
17   of Beijing was the result of the synergistic effect of physicochemical process in the  
18   atmospheric boundary layer (ABL). The south/southwest areas generally ~60-300 km  
19   far away from Beijing were seriously polluted, in contrast to a clean situation in Beijing.  
20   The southerly winds moving more than ~20-30 km h<sup>-1</sup> since early morning primarily  
21   caused the initiation of haze pollution. The PM<sub>2.5</sub> level increased to 75 µg m<sup>-3</sup> in several  
22   hours at daytime, which was simultaneously affected by the ABL structure. Additionally,  
23   the O<sub>3</sub> concentration was quite high at daytime (250 µg m<sup>-3</sup>), corresponding to a strong  
24   atmospheric oxidation capacity. Numerous sulfate and nitrate were formed through  
25   active atmospheric chemical processes, with sulfur oxidation ratio (SOR) up to ~0.76  
26   and nitrogen oxidation ratio (NOR) increasing from 0.09 to 0.26, which further  
27   facilitated the particulate matter (PM) level rising. Even so, the increase in sulfate was  
28   mainly linked by southerly transport. At midnight, the PM<sub>2.5</sub> concentration sharply  
29   increased from 75 µg m<sup>-3</sup> to 150 µg m<sup>-3</sup> in 4 hours and stayed the highest level till the



next morning. With the premise of an extremely stable ABL structure, the formation of secondary aerosols dominated by nitrate was quite intense, driving the outbreak of haze pollution. PM levels in the south/southeast of Beijing were significantly lower than that in Beijing over this time, even below air quality standards, thus, the contribution of pollution transport was almost gone. With the formation of nocturnal stable boundary layer of 0-0.3 km altitude, the extremely low turbulence kinetic energy (TKE) of 0-0.05  $\text{m}^2 \text{s}^{-2}$  inhibited the spread of particles and moisture, ending up with elevated levels of  $\text{PM}_{2.5}$  and relative humidity (~90 %) near the surface. Under quite high humidity and strong ambient oxidization capacity, the NOR rapidly increased from 0.26 to 0.60 and heterogeneous hydrolysis reactions at the moist particle surface were very significant. The nitrate concentration explosively increased from  $11.6 \mu\text{g m}^{-3}$  to  $57.8 \mu\text{g m}^{-3}$ , while the concentrations of sulfate and organics slightly increased by  $6.1 \mu\text{g m}^{-3}$  and  $3.1 \mu\text{g m}^{-3}$ , respectively. With clean & strong winds passing through Beijing, the stable ABL was broken with potential temperature gradient turning to negative and ABL heights increasing to ~2.5 km. The strong turbulence activity with TKE of ~3-5  $\text{m}^2 \text{s}^{-2}$  notably promoted the pollution diffusion. The self-cleaning capacity of the atmosphere is always responsible for the dispersion of air pollution. Even so, reducing atmospheric oxidization capacity such as strengthening the collaborative control of nitrogen oxide (NOx) and volatile organic compounds (VOCs) was urgent, as well as continuously deepening regional joint control of air pollution.

## 1 Introduction

Due to a series of stringent emission control measures (China's State Council 2013 Action Plan for Air Pollution Prevention and Control available at <http://gov.cn/zwgk/2013-09/12/>), including shutting down heavily polluting factories and replacing coal fuels with clean energies, the annual mean  $\text{PM}_{2.5}$  (particulate matter with dynamic equivalent diameter less than  $2.5 \mu\text{m}$ ) concentration in major regions, especially in Beijing, has dropped continuously in recent years (Chen et al., 2019; Liu et al., 2019a; Cheng et al., 2019a; Ding et al., 2019). However, the ground-level  $\text{O}_3$  concentration across China increased rapidly in recent years, especially in summer,



despite recent reductions in the emissions of SO<sub>2</sub> and nitrogen oxide (NOx) (Chen et al., 2018; Anger et al., 2016; Wang et al., 2018; Wang et al., 2017b). This discrepancy of variation trend between O<sub>3</sub> and PM<sub>2.5</sub> may be attributed to the inappropriate reduction ratio of NOx and volatile organic compounds (VOCs) in PM<sub>2.5</sub>-control oriented emission reduction measures which mainly focus on NOx reduction (Liu et al., 2013a; Cheng et al., 2019b). Besides, a number of studies have shown that the reduce in ambient particles can influence the surface ozone generation via changing the heterogeneous reaction and decreasing the photodecomposition rate (O<sub>3</sub> and its precursors) through the aerosol-radiation interaction (Liu et al., 2019b; Wang et al., 2019b; He and Carmichael, 1999; Dickerson et al., 1997; Tie et al., 2001; Martin et al., 2003; Tie et al., 2005). Recently, even though the PM<sub>2.5</sub> level in Beijing is generally low due to stringent emission control measures, several haze pollution episodes with alternate/synchronous high ozone concentration have still occurred in the summer of 2019. Regarding the causes of particulate matter (PM) pollution, numerous previous studies have reported that the stationary synoptic condition, local emissions and regional transport, adverse atmospheric boundary layer (ABL) structure and meteorology conditions as well as the secondary aerosol formation are major factors in the formation of haze pollution (Li et al., 2019; Sun et al., 2012; Wang et al., 2016; Liu et al., 2019c; Huang et al., 2017; Luan et al., 2018; Han et al., 2019). Huang et al. (2017) demonstrated that haze pollution in the Beijing-Tianjin-Hebei usually occurred when air masses originating from polluted industrial regions in the south prevailed and is characterized by high PM<sub>2.5</sub> loadings with considerable contributions from secondary aerosols. Bi et al. (2017) stated that strong wind and vertical mixing in daytime scavenged the pollution, and the weak wind and stable inversion layer at night favorably accumulated the air pollutants near the surface. Zhong et al. (2018) showed that the positive ABL meteorological feedback on PM<sub>2.5</sub> mass concentration explains over 70 % of the outbreak of pollution. Zhao et al. (2019) also pointed out that the constant feedback effect between aerosol radiative forcing and the ABL stability continually reduced atmospheric environmental capacity and aggravated air pollution. The



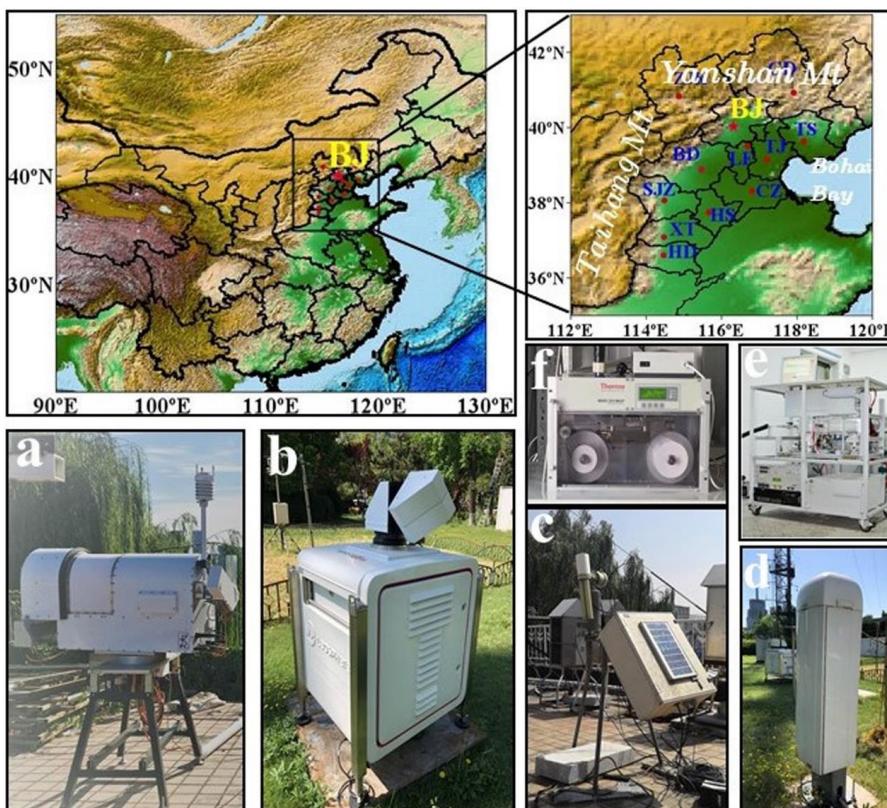
88 dominated components of PM, including sulfate, nitrate, ammonium, and organics, are  
89 mostly formed via the homogeneous/heterogeneous reactions of gas phase precursors  
90 in the atmosphere (Orrling et al., 2011; Wang et al., 2016) and account for over 50 %  
91 of the PM<sub>2.5</sub> mass (Wang et al., 2013; Liu et al., 2019a; Sun et al., 2015; Yao et al.,  
92 2002). Ming et al. (2017) have proved that the contribution of secondary aerosol  
93 formation during haze pollution episodes was much higher than before and after the  
94 episodes.

95 Although the causes of heavy PM<sub>2.5</sub> loading were widely examined, most of these  
96 studies referenced to haze pollution in winter and only involved in one or several key  
97 factors. In the summer of Beijing, with strong solar radiation, O<sub>3</sub> can be quickly  
98 formed via photochemical reactions among precursors, including volatile organic  
99 compounds (VOCs) and nitrogen oxides (NOx), which contributes the increase in  
100 ambient oxidizing capacity (Wang et al., 2017c; Ainsworth et al., 2012; Hassan et al.,  
101 2013; Trainer et al., 2000; Sillman, 1999). Meteorology conditions, including solar  
102 radiation, temperature, relative humidity, wind speed and direction, and cloud cover,  
103 also play an important role in short-term ozone variations, further affecting the  
104 atmospheric oxidization capacity (Lu et al., 2019; Cheng et al., 2019b; Toh et al., 2013;  
105 Wang et al., 2017d; Zeng et al., 2018). As ozone pollution is more and more prominent,  
106 and the ability of atmospheric oxidation is gradually stronger, the formation  
107 mechanism of haze pollution under strong atmospheric oxidization capacity need to  
108 be concerned. Previous studies have demonstrated that strong atmospheric  
109 photochemical reactions in summer enhanced secondary aerosols formation and led to  
110 the synchronous occurrence of high concentrations of PM<sub>2.5</sub> and O<sub>3</sub> on a regional scale  
111 (Pathak et al., 2009; Wang et al., 2016; Shi et al., 2015). Nevertheless, the mechanisms  
112 of how overall regional transport, ABL structure, meteorological conditions and the  
113 formation of secondary aerosols work together to quantitatively influence the haze  
114 pollution under strong atmospheric oxidization capacity in summer remain unclear.  
115 Therefore, with minutely observation of air temperature and relative & absolute  
116 humidity profiles, vertical velocity and horizontal wind vector profiles, atmospheric



117 backscattering coefficient (BSC) profiles and ABL heights (ABLH), as well as mass  
118 concentration and composition of PM<sub>2.5</sub>, aerosol optical depth (AOD) and mass  
119 concentrations of gas pollutants including O<sub>3</sub>, SO<sub>2</sub>, and NO<sub>2</sub>, this paper would  
120 comprehensively explore the formation mechanism of haze pollution under strong  
121 ambient oxidization capacity insights into atmospheric physics and chemistry, for  
122 proposing selected recommendations for model forecast and cause analysis of  
123 complex air pollution in the summer of Beijing.

124 **2 Instruments and data**



125  
126 Figure 1. The geographical location of Beijing city (BJ) marked by a red star as well as  
127 surrounding regions, and the relevant measurement instruments used in this paper. Left-top  
128 panel is the topographic distribution of most of China with Beijing and surrounding areas  
129 circled, and right-top panel is the topographic distribution of the Beijing-Tianjin-Hebei (BTH)  
130 region, with Yanshan mountains to the north, Taihang Mountains to the west, and Bohai Bay to



131 the east. Blue words represent abbreviations for city names in the BTH. The pictures of (a)-(f)  
132 are Microwave Radiometer, 3D Doppler Wind Lidar, CIMEL sun-photometer, Ceilometer,  
133 Aerodyne Aerosol Chemical Speciation Monitor and Multi-angle Absorption Photometer set in  
134 the BJ site.

135 **2.1 Instruments and related data**

136 The observation site was at the Tower Branch of the Institute of Atmospheric  
137 Physics, Chinese Academy of Sciences (IAP, 39°58'N, 116°22'E, altitude: 58 m). And  
138 the IAP site is located at north ring-3 and north ring-4 of Beijing, China, within  
139 educational, commercial and residential areas, a representative for a typical urban site  
140 in Beijing (hereinafter BJ site). All the sampling instruments are placed at the same  
141 place and operate simultaneous monitoring. All the data used in this paper are from July  
142 22 to 27 in 2019 and are reported in Beijing Standard Time.

143 Air temperature and relative & absolute humidity profiles were collected by  
144 Microwave Radiometer (RPG-HATPRO-G5 0030109, Germany). The Microwave  
145 Radiometer (hereinafter MWR) produces profiles at 10-30 m resolution up to 0.5 km,  
146 40-70 m resolution from 0.5 km to 2.5 km and 100-200 m resolution from 2 km to 10  
147 km with a temporal resolution of one second. The detailed description of instruments  
148 of the RPG-HATPRO type can be found at the Internet site of [http://www.radiometer-](http://www.radiometer-physics.de)  
149 [physics.de](http://www.radiometer-physics.de).

150 Vertical wind speed and horizontal wind vector profiles were retrieved by 3D  
151 scanning Doppler Wind Lidar (Windcube 100s, Leosphere, France). The wind  
152 measurement results have a spatial resolution of 1-20 m up to 0.3 km and 25 m from  
153 0.3 km to 3 km, with a temporal resolution of one second. More details of this  
154 instrument can be looked up at the Internet site of [www.leosphere.com](http://www.leosphere.com).

155 The Ceilometer (CL51, Vaisala, Finland), is responsible for the detection of  
156 atmospheric BSC profiles. The CL51 ceilometer digitally samples the return  
157 backscattering signal from 0 to 100  $\mu$ s and provides BSC profiles with a spatial  
158 resolution of 10 m from the ground to a height of 15 km. As the PM is almost in the  
159 ABL and is barely in the free atmosphere, the ABL height was determined by a sharp

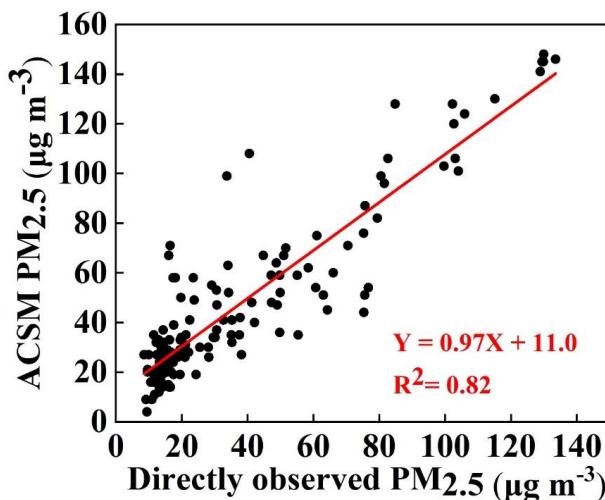


160 change in the negative gradient in the BSC profile (Muenkel et al., 2007). More detailed  
161 information on ABL height calculation and screening can be found in previous studies  
162 (Tang et al., 2016; Zhu et al., 2018).

163 Aerosol optical depth (AOD) is observed by the CIMEL sun-photometer (CE318,  
164 France) and AOD in 500 nm is used in this paper. CE318 is a multi-channel, automatic  
165 sun-and-sky scanning radiometer and takes measurements only during daylight hours  
166 (sun above the horizon). Detailed information of the AOD inversion method and the  
167 CE318 instrument is introduced in Gregory (2011).

168 The real-time hourly mean ground-level of PM<sub>2.5</sub>, PM<sub>10</sub>, O<sub>3</sub>, NO<sub>2</sub> and SO<sub>2</sub>, were  
169 downloaded from the China National Environmental Monitoring Center (CNEMC)  
170 (available at <http://106.37.208.233:20035/>). All operational procedures are conducted  
171 strictly following “The Specification of Environmental Air Quality Automatic  
172 Monitoring Technology” (HJ/T193-2005, available at  
173 [http://kjs.mep.gov.cn/hjbhbz/bzwb/dqhjhb/jcgfffbz/200601/t20060101\\_71675.htm](http://kjs.mep.gov.cn/hjbhbz/bzwb/dqhjhb/jcgfffbz/200601/t20060101_71675.htm)).

174 The chemical species of PM including organics (Org), sulfate (SO<sub>4</sub><sup>2-</sup>), nitrate (NO<sub>3</sub><sup>-</sup>),  
175 ammonium (NH<sub>4</sub><sup>+</sup>) and chloride (Cl<sup>-</sup>) were hourly measured by Aerosol Chemical  
176 Speciation Monitor (ACSM). More detailed descriptions for ACSM have been given in  
177 Ng et al. (2011). The black carbon (BC) mass concentration is observed by the Multi-  
178 angle Absorption Photometer (MAAP5012, Thermo Electron). A more detailed  
179 description of this MAAP could be found in Petzold and Schonlinner (2004). As shown  
180 in Fig.2, the ACSM PM<sub>2.5</sub> mass concentration (=organics + sulfate + nitrate +  
181 ammonium + chloride + BC) tracked well the online PM<sub>2.5</sub> mass concentration, which  
182 directly observed by the particulate matter analyzer (from the CNEMC), with the  
183 correlation coefficient (R<sup>2</sup>) of 0.82. On average, the ACSM PM<sub>2.5</sub> mass concentration  
184 reports 80 % of the online PM<sub>2.5</sub> mass concentration. All chemical compositions  
185 measured by the ACSM, including organics, sulfate, nitrate ammonium and chloride,  
186 plus the BC can represent the dominant species of PM<sub>2.5</sub>.



187  
188 Figure 2. Scatter plot of the relationship between directly observed PM<sub>2.5</sub> mass concentration  
189 by the PM analyzer from the China National Environmental Monitoring Center and calculated  
190 PM<sub>2.5</sub> mass concentration from chemical constituent mass concentration measured by Aerosol  
191 Chemical Speciation Monitor plus black carbon mass concentration measured by Multi-angle  
192 Absorption Photometer.

## 193 2.2 Other datasets

194 Virtual potential temperature ( $\theta_v$ ) and pseudo-equivalent potential temperature  
195 ( $\theta_{se}$ ) are respectively calculated by Eq. (1) and Eq. (2):

$$196 \quad \theta_v = T(1 + 0.608q)\left(\frac{1000}{P}\right)^{0.286} \quad (1)$$

$$197 \quad \theta_{se} = T\left(\frac{1000}{P}\right)^{0.286} \exp\left(\frac{r_s L_v}{C_{pd} T}\right) \quad (2)$$

198 where  $T$  is air temperature,  $q$  is specific humidity,  $P$  is air pressure,  $r_s$  is saturation  
199 mixing ratio,  $L_v$  is the latent heat of vaporization of  $2.5 \times 10^6 \text{ J kg}^{-1}$  and  $C_{pd}$  is the specific  
200 heat of air of  $1005 \text{ J kg}^{-1} \text{ K}^{-1}$ . All the relevant parameters can be calculated from  
201 temperature and humidity profile data of MWR, then the values of  $\theta_v$  and  $\theta_{se}$  at  
202 different altitudes can be obtained further. Hourly turbulence kinetic energy (TKE) is  
203 calculated as:

$$204 \quad \text{TKE} = 0.5 \times (\delta_u^2 + \delta_v^2 + \delta_w^2). \quad (3)$$

205 The one-hour vertical velocity standard deviation ( $\delta_w^2$ ) and the one-hour horizontal  
206 wind standard deviation ( $\delta_u^2$ ;  $\delta_v^2$ ) are respectively calculated by Eq. (4) and Eq. (5)-(6):

207  $\delta_w^2 = \frac{1}{N-1} \sum_{i=1}^N (w_i - \bar{w})^2$  (4)

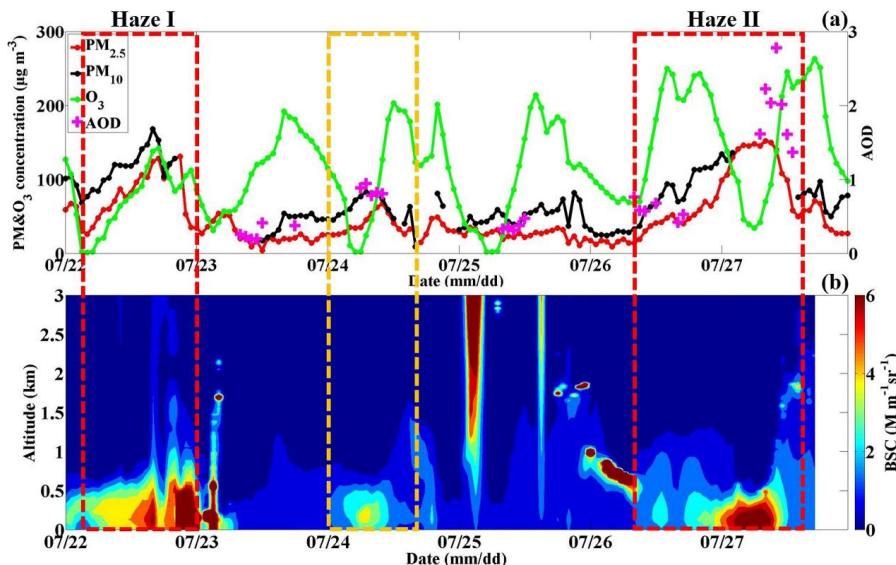
208  $\delta_u^2 = \frac{1}{N-1} \sum_{i=1}^N (u_i - \bar{u})^2$  (5)

209  $\delta_v^2 = \frac{1}{N-1} \sum_{i=1}^N (v_i - \bar{v})^2$  (6)

210 where N is the record number every one hour,  $w_i$  denotes the  $i$ th vertical wind velocity  
211 ( $m s^{-1}$ ),  $u_i(v_i)$  denotes the  $i$ th horizontal wind speed ( $m s^{-1}$ ),  $\bar{w}$  is the mean vertical  
212 wind speed ( $m s^{-1}$ ), and  $\bar{u}(\bar{v})$  is the mean horizontal wind speed ( $m s^{-1}$ ) (Wang et al.,  
213 2019a; Banta et al., 2006). Atmospheric reanalysis data from the National Centers for  
214 Environmental Prediction (NCEP) were collected 4 times daily at 0200, 0800, 1400,  
215 and 2000 (LT) with a horizontal resolution of  $2.5^\circ \times 2.5^\circ$ .

### 216 3 Results and discussion

#### 217 3.1 Typical air pollution episodes in summer in Beijing



218  
219 Figure 3. (a) Temporal variation on mass concentrations of PM<sub>2.5</sub>, PM<sub>10</sub> and O<sub>3</sub> as well as  
220 aerosol optical depth (AOD) in the BJ site during July 22-27, 2019; (b) Temporal variation on  
221 vertical profiles of atmospheric backscattering coefficient (BSC) in the BJ site during July 22-  
222 27, 2019. (The yellow-mark part represents the light haze pollution period and the red-mark  
223 part represents heavy haze pollution episode.)

224 Considering both the daily-mean PM<sub>2.5</sub> mass concentration on the 22<sup>nd</sup> and 26<sup>th</sup>-

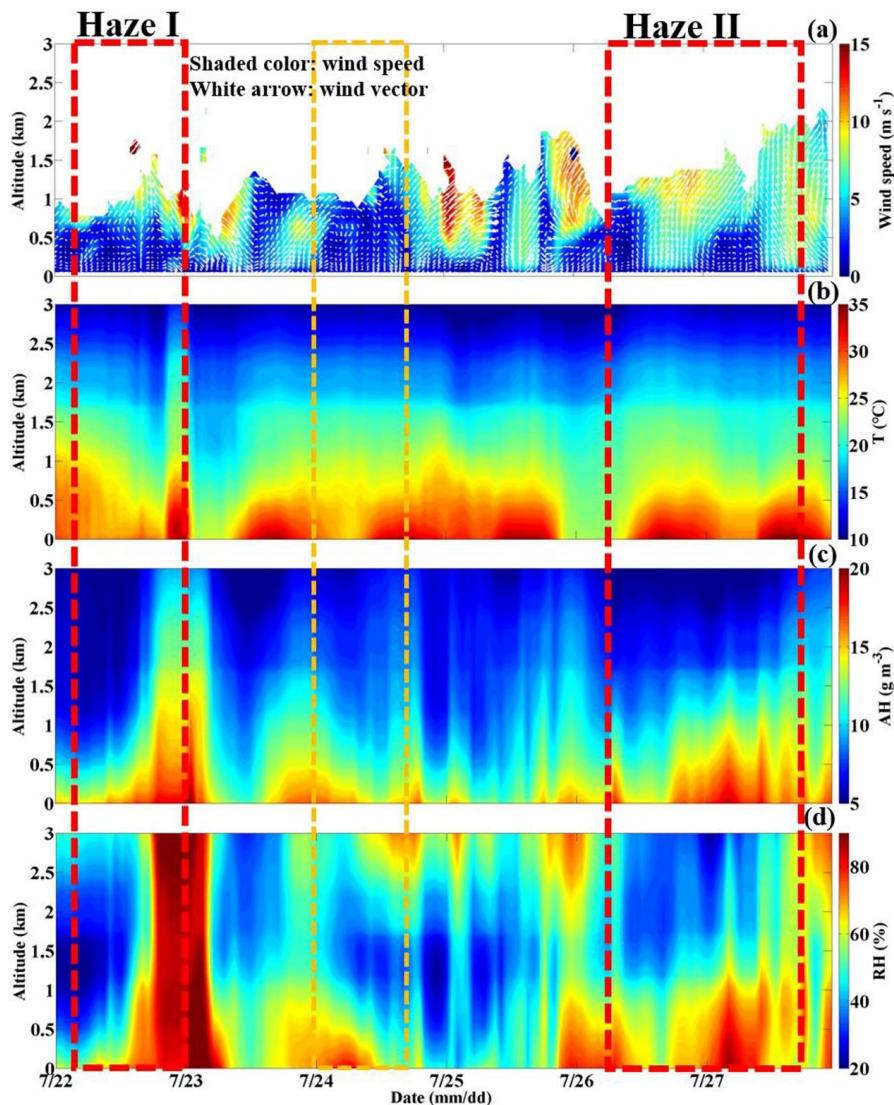


225 27<sup>th</sup> exceeded the national secondary standard ( $75 \mu\text{g m}^{-3}$ ) (GB3095-2012) with  
226 maximum hourly average up to  $131 \mu\text{g m}^{-3}$  and  $152 \mu\text{g m}^{-3}$ , respectively, two serious  
227 PM pollution processes occurred, defined as Haze I and Haze II, respectively. During  
228 the two haze periods, high atmospheric BSC levels mainly distributed below 0.5 km  
229 altitude, with values of  $\sim 4\text{-}6 \text{ M m}^{-1} \text{ sr}^{-1}$ . It reflects the vertical distribution of ambient  
230 particles from the aspect of aerosol scattering to some degree, that is, suspended  
231 numerous particles just concentrated in the lower atmosphere layer. According to  
232 National Ambient Air Quality Standards (GB3095-2012), a day when the hourly-mean  
233  $\text{O}_3$  concentration more than  $160 \mu\text{g m}^{-3}$  is regarded as ozone pollution day, thus, there  
234 was serious ozone pollution every day during the observation periods. As reported by  
235 the Ministry of Ecology and Environment, in 2018, the number of motor vehicles  
236 reached 327 million, up 5.5 percent year-on-year (available at  
237 [http://www.mee.gov.cn/xxgk2018/xxgk/xxgk15/201909/t20190904\\_732374.html](http://www.mee.gov.cn/xxgk2018/xxgk/xxgk15/201909/t20190904_732374.html)).  
238 Although stringent pollution control measures on factories, the number of motor  
239 vehicles still discharged a large number of primary pollutants into the atmosphere,  
240 including NOx, HC, VOCs, and CO. And with strong solar radiation and high  
241 temperature in summer, photochemical processes are prominent, contributing to the  
242 high concentration of  $\text{O}_3$  along with many highly reactive radicals, which further  
243 enhanced the oxidizing capacity of the atmosphere (Fischer et al., 1999; Sharma et al.,  
244 2013). Haze pollution under the condition of strong atmospheric oxidation capacity was  
245 thought to respectively occur on the 22<sup>nd</sup> and 26<sup>th</sup>-27<sup>th</sup>. Generally, under stringent  
246 pollutants emission control measures, the emission of primary aerosols is few with a  
247 really low PM<sub>2.5</sub> level in summer in Beijing. The sudden elevated ambient particle  
248 concentration (Haze I and Haze II) brought the worst PM pollution in Beijing this  
249 summer and has been widely concerned by the public. Thus, the formation mechanism  
250 of Haze I and Haze II in which the concentrations of PM<sub>2.5</sub> and  $\text{O}_3$  were  
251 simultaneously/alternately high should be discussed systematically. The key point is to  
252 determine the oxidation capacity of regional atmosphere and to clarify the formation  
253 mechanism of secondary aerosols. Besides, the occurrence and evolution patterns of the



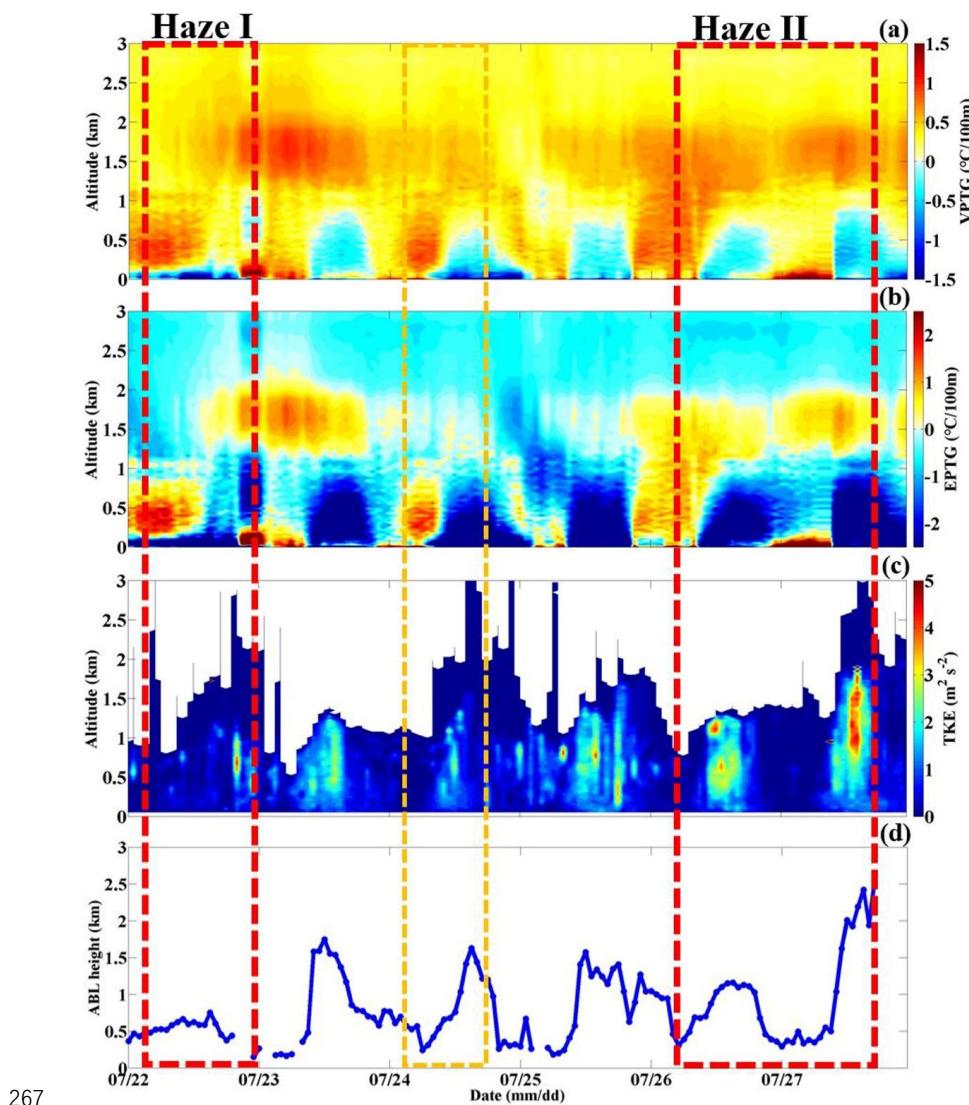
254 two haze processes were different, which could refer to the diverse accumulation  
255 mechanism, regional transfer contribution, ABL structure, and removal process. So, by  
256 clarifying the various pollution processes, it is possible to conclude the leading factors  
257 of the haze phenomenon in Beijing in summer. In short, we are going to explore the  
258 causes of haze pollution under strong atmospheric oxidization capacity, in terms of the  
259 physics process such as sources and sinks of pollutants and ABL structure influence,  
260 and the chemistry process, which means aerosol transformation process.

261 **3.2 The formation mechanism of haze pollution in summer in Beijing**

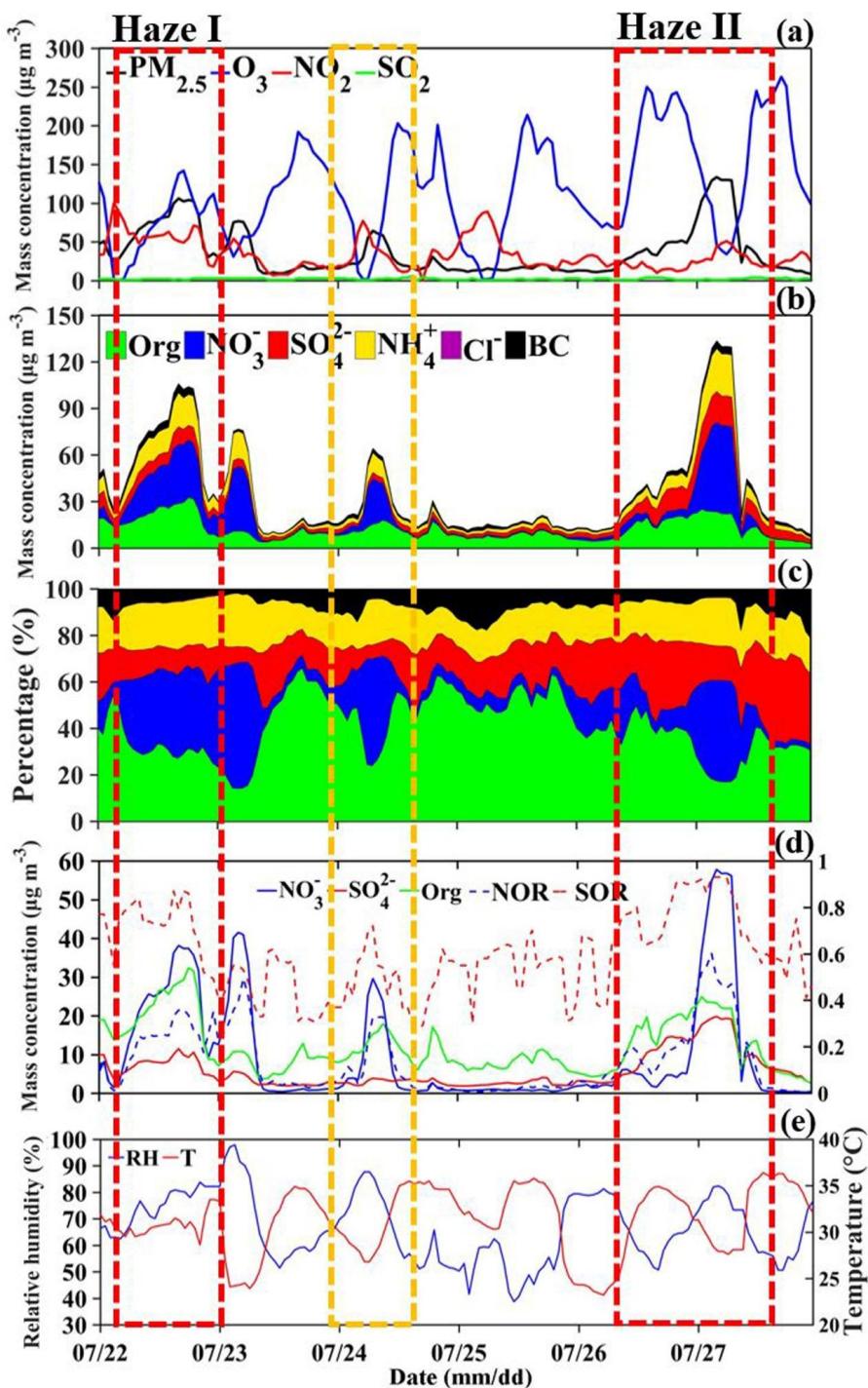


262

263 Figure 4. Temporal variation on vertical profiles of (a) horizontal wind vector (white arrows  
264 denote wind vectors), (b) temperature (T), (c) absolute humidity (AH), and (d) relative humidity  
265 (RH) in the BJ site during July 22-27, 2019. (The yellow-mark part represents the light haze  
266 pollution period and the red-mark part represents heavy haze pollution episode.)



267  
268 Figure 5. Temporal variation on vertical profiles of (a) virtual potential temperature gradient  
269 (VPTG:  $\partial\theta_v/\partial z$ ), (b) pseudo-equivalent potential temperature gradient (EPTG:  $\partial\theta_{se}/\partial z$ ) and (c)  
270 turbulent kinetic energy (TKE), along with corresponding (d) atmospheric boundary layer  
271 height (ABLH) in the BJ site during July 22-27, 2019. (The yellow-mark part represents the  
272 light haze pollution period and the red-mark part represents heavy haze pollution episode.)  
273  
274



275

276 Figure 6. Temporal variation on mass concentrations of (a)  $\text{PM}_{2.5}$ ,  $\text{O}_3$ ,  $\text{NO}_2$  as well as  $\text{SO}_2$ , (b)



PM<sub>2.5</sub> chemical compositions, including Organics (Org), sulfate (SO<sub>4</sub><sup>2-</sup>), nitrate (NO<sub>3</sub><sup>-</sup>), ammonium salt (NH<sub>4</sub><sup>+</sup>), chlorine salt (Cl<sup>-</sup>) and black carbon (BC) in the BJ site during July 22-27, 2019. (c) Temporal variation on the relative contribution of chemical compositions to PM<sub>2.5</sub> mass concentration in the BJ site during July 22-27, 2019. (d) Temporal variation on mass concentration of dominant PM<sub>2.5</sub> chemical compositions, sulfur oxidation ratio (SOR) and nitrogen oxidation ratio (NOR) in the BJ site during July 22-27, 2019. (e) Temporal variation on relative humidity (RH) and temperature (T) in the BJ site during July 22-27, 2019. (The yellow-mark part represents the light haze pollution period and the red-mark part represents heavy haze pollution episode.)

### 3.2.1 The occurrence stage

As shown in Fig. 3a, the PM levels in Beijing have been gradually increasing from 8:00 to 22:00 on the 26<sup>th</sup> (Haze II) and from 4:00 to 22:00 on the 22<sup>nd</sup> (Haze I), with PM<sub>2.5</sub> mass concentration eventually reaching 75 µg m<sup>-3</sup> and 131 µg m<sup>-3</sup>, respectively. The two stages were respectively regarded as the occurrence stages of Haze I and Haze II.

#### a. The contribution of pollution transport

Owing to the serious control measures in the summer of Beijing, the sudden elevated PM levels were very likely to origin the outside region. It's clear that since the wee hours on the 22<sup>nd</sup> and 26<sup>th</sup>, Beijing was located behind the northwest-southeast trough of the 850-hPa potential height field, which bordered the Sichuan Basin to the west (Fig. 7a-d; Fig. 7i-l). Based on it, Beijing was always under the control of strong southerly winds at high altitudes. With Taihang mountains to the east and Yanshan mountains to the north (Fig. 2), Beijing is in semi-enclosed terrain, thus, the south wind belt passing through the north China plain to Beijing will be strengthened (Su et al., 2004). The southerly wind speed respectively reached ~8-10 m s<sup>-1</sup> (Haze II) and ~5-7 m s<sup>-1</sup> (Haze I) at >0.5 km altitude. As the strong southerly winds persistently blew from the south, the moisture transport channel, where the water vapor was carried to Beijing under the southerly winds, was formed and became more and more significant (Fig. 7a-d; Fig. 7i-l). In response, the humidity in Beijing showed a conspicuous increase in the



306 morning of 26<sup>th</sup> with the AH (RH) reaching ~15-17 g m<sup>-3</sup> (~75 %) while decreased from  
307 10:00 with the AH (RH) down to ~13 g m<sup>-3</sup> (~70 %) (Fig. 4c-d). The air temperature  
308 during the daytime was extremely high reaching ~30-35 °C (Fig. 4b), and high-  
309 temperature weather would reduce the humidity by the evaporation to some degree.  
310 Considering air temperature was always at a distinctly high level (~30 °C) since the  
311 early morning of 22<sup>nd</sup>, the AH (RH) was ~13 g m<sup>-3</sup> (~65 %) during the occurrence stage.

312 With the more populated industrial regions in the south of Beijing, strong winds  
313 blowing from the south were also highly possible to transport heavy anthropogenic  
314 aerosols to Beijing (Chang et al., 2018; Liu et al., 2013b). To explore the potential PM  
315 transportation, we made the distribution maps of PM<sub>2.5</sub> mass concentration in most parts  
316 of China (Fig. 8) and combined with the corresponding background circulation fields  
317 to try illustrating the pollution transportation. The regional distribution of PM<sub>2.5</sub> mass  
318 concentration was obtained by interpolating PM<sub>2.5</sub> data from more than 1000 stations  
319 of China National Environmental Monitoring Centre into grid data ( $0.5^\circ \times 0.5^\circ$ ). Noted  
320 that at 2:00 of the 26<sup>th</sup> and 22<sup>nd</sup>, both the high PM<sub>2.5</sub> mass concentration (~70 µg m<sup>-3</sup> for  
321 Haze I and ~50 µg m<sup>-3</sup> for Haze II) were mainly distributed in the south/southwest of  
322 Beijing, dramatically higher than that (~10 µg m<sup>-3</sup>) in Beijing city (Fig. 8a-b; Fig. 8i-l).  
323 The south area of Beijing heavily polluted was mainly in Baoding, Langfang and  
324 Shijiazhuang and so on, which are generally ~60-300 km far away from Beijing (Fig.  
325 2). The southerly air mass above ~0.5 km moved more than ~20-30 km h<sup>-1</sup> (estimated  
326 from the measured wind speed) on the 26<sup>th</sup> and 22<sup>nd</sup>, which is fast enough to transport  
327 pollutants to Beijing in several hours. As expected, the high PM<sub>2.5</sub> mass concentration  
328 area gradually spread northward sensitively corresponding to the southerly winds, and  
329 consequently, the highest PM<sub>2.5</sub> level located in Beijing at 20:00 on both the 26<sup>th</sup>  
330 (reaching ~65 µg m<sup>-3</sup>) and 22<sup>nd</sup> (reaching ~80 µg m<sup>-3</sup>). It was consistent with the rising  
331 trends of PM<sub>2.5</sub> in this time shown in Fig. 3a. The averaged rising rate of PM<sub>2.5</sub>  
332 concentration (~5.8 µg m<sup>-3</sup> h<sup>-1</sup>) on the 22<sup>nd</sup> was higher than that (~3.73 µg m<sup>-3</sup> h<sup>-1</sup>) on  
333 the 26<sup>th</sup>, possibly related to the bigger difference of PM<sub>2.5</sub> concentration between  
334 Beijing city and the south area of Beijing. The results were consistent with the findings



335 reported in Zhong et al. (2018). Thus, multiple results implied that PM transportation  
336 by southerly winds was primarily responsible for the PM rising in the occurrence stage.

337 ***b. The effect of atmospheric boundary layer structure***

338 As shown in Fig. 5a-b, in the forenoon of the 26<sup>th</sup> and 22<sup>nd</sup>, the positive values of  
339 the virtual potential temperature gradient ( $\partial\theta_v/\partial z$ ) and pseudo-equivalent potential  
340 temperature gradient ( $\partial\theta_{se}/\partial z$ ) at 0-2 km altitude (Haze II) and at 0-1 km altitude (Haze  
341 I) indicated a stable atmosphere layer existing. Generally, with no solar radiation  
342 reaching the ground and more upward long-wave radiation from the ground in the  
343 nighttime, the surface is cooled faster than the upper atmosphere, promoting a stable  
344 atmosphere. In response, the turbulent kinetic energy (TKE) was extremely low (0-1  
345  $m^2 s^{-2}$ ) along with a low ABLH of ~0.5 km (Fig. 5c-d). It means for both the 26<sup>th</sup> and  
346 22<sup>nd</sup>, the south winds kept blowing when the ABL structures were not conducive to the  
347 vertical diffusion of substances. The stable ABL structure suppressing the vertical  
348 diffusion of pollution also to some degree contributed to the occurrence of PM pollution.  
349 Both the  $\partial\theta_v/\partial z$  and  $\partial\theta_{se}/\partial z$  at 0-1.5 km altitude turned to negative at 14:00-16:00 on the  
350 26<sup>th</sup>, indicating an unstable atmosphere layer. Generally, strong daytime solar radiation  
351 reaching the surface may rebuild the vertical temperature structure and break the stable  
352 ABL, especially in summer. Thus, the turbulence was quickly generated by  
353 thermodynamic activity with TKE growing to ~2-3  $s^2 m^{-2}$  and continued to develop  
354 upwards causing ABLH gradually increased to ~1.2 km. This ABL process would  
355 explain the slight fluctuations in the PM rising at this time in which the  $PM_{10}$  mass  
356 concentration sharply decreased from 100  $\mu g m^{-3}$  to 73  $\mu g m^{-3}$ . Different from the ABL  
357 condition on the 26<sup>th</sup> (Haze II), the  $\partial\theta_{se}/\partial z$  was negative but the  $\partial\theta_v/\partial z$  was positive  
358 below ~1.5 km in the afternoon on the 22<sup>nd</sup> (Haze I). And combined with the low TKE  
359 (~0-0.5  $m^2 s^{-2}$ ) like that in the forenoon, the atmospheric stratification below ~1.5 km  
360 was of absolutely stable state. Maybe little solar radiation heating the ground in the  
361 afternoon on account of cloudy weather, the original stable ABL structure formed at  
362 nighttime cannot be broken. All the above results imply that the ABL structure also  
363 plays a role in the PM rising in the occurrence stage.



364        *c. The secondary aerosol formation driven by strong atmospheric oxidation  
365        capacity*

366        When the PM<sub>2.5</sub> increased sensitively to strong southerly winds in Beijing during  
367        the occurrence stage in Haze II (Haze I), O<sub>3</sub> showed a sharper growth trend, increasing  
368        rapidly from 67 (26) µg m<sup>-3</sup> and peaking at 250 (131) µg m<sup>-3</sup>. As mentioned in section  
369        3.1, the high concentration of O<sub>3</sub> indicates active atmospheric photochemical reactivity  
370        (Li et al., 2012; Seinfeld, 1986), thus, the atmosphere was of strong oxidizing capacity  
371        with the presence of large amounts of free radicals (OH, etc.) and ozone, which can  
372        promote the formation of secondary aerosols (Pathak et al., 2009; Shi et al., 2015; Wang  
373        et al., 2016). As seen in Fig. 6b, along with the increase in PM<sub>2.5</sub> concentration in this  
374        occurrence stage, the concentrations of organics, sulfate, and nitrate in PM<sub>2.5</sub> also  
375        gradually increased. The average concentrations of organics, sulfate, and nitrate in the  
376        occurrence stage of Haze II (Haze I) were 15.6 (23.0) µg m<sup>-3</sup>, 10.0 (8.0) µg m<sup>-3</sup> and 4.3  
377        (24.7) µg m<sup>-3</sup> and accounted for 40.7 (32.1) %, 25.3 (11.2) %, and 12.2 (31.5) % to  
378        PM<sub>2.5</sub> concentration, respectively. The total concentration of sulfate, organics, and  
379        nitrate (SON) accounted for more than 75 % to PM<sub>2.5</sub> concentration in the occurrence  
380        stage of both Haze II and Haze I (Fig. 6c), implying that the increase of SON is the  
381        leading cause of increase of PM<sub>2.5</sub> concentration. Secondary organics aerosols can be  
382        formed from the photochemical oxidation reactions of VOCs, emitted by vehicles  
383        (Hennigan et al., 2011). Thus, the high concentration and relative contribution of  
384        organics are mainly attributed to the active photochemical reactions in summer and  
385        huge vehicle emissions of VOCs in Beijing city. Due to the lack of VOCs data, the  
386        detailed formation mechanism of secondary organics would be further studied in the  
387        future. To explore the possible formation mechanism of secondary inorganic aerosols,  
388        sulfur oxidation ratio (SOR) and nitrogen oxidation ratio (NOR) respectively defined  
389        as  $SOR = [SO_4^{2-}] / ([SO_4^{2-}] + [SO_2])$  and  $NOR = [NO_3^-] / ([NO_3^-] + [NO_2])$ , of which  
390        [ ] stands for the molar concentration were important and used in this paper. Higher  
391        SOR and NOR suggest the higher oxidation efficiency of sulfur and nitrogen, which  
392        means more secondary inorganic aerosols exist in the atmosphere (Liu et al., 2019c;



393 Han et al., 2019; Yao et al., 2002; Kong et al., 2018; Sun et al., 2006).

394 Both homogeneous gas-phase and heterogeneous reactions can promote the  
395 formation of sulfate from SO<sub>2</sub> during haze episodes (Khoder, 2002; Harris et al., 2013),  
396 increasing the SOR. Noted that SOR values during the whole observation period (from  
397 the 22<sup>nd</sup> to the 27<sup>th</sup>) were relatively high, averaging 0.62, along with relatively low SO<sub>2</sub>  
398 level, averaging 2.2 µg m<sup>-3</sup> (Fig. 6a; d). The observed high SOR values could be  
399 attributed to the relatively high RH (averaged ~66.6 %) (Fig. 6e) and ubiquitous  
400 photochemical reactions in summer in Beijing (Han et al., 2019). Nevertheless,  
401 compared to the quite low PM level in clean day (on the 25<sup>th</sup>) (Fig. 6d), the temporal  
402 variation of sulfate concentration on the 26<sup>th</sup> (Haze II) and 22<sup>nd</sup> (Haze I) showed a  
403 distinct increase trend in the occurrence stage, gradually increasing from 3.7 µg m<sup>-3</sup> to  
404 14.4 µg m<sup>-3</sup> and from 4.2 µg m<sup>-3</sup> to 11.5 µg m<sup>-3</sup>, respectively. Meanwhile, SOR values  
405 also at higher level averaged ~0.76 in the occurrence stage of both Haze II and Haze I  
406 than those in clean day averaged ~0.55 (Fig. 6c). The results indicated an enhanced  
407 secondary sulfate aerosol formation in the occurrence stage. However, the PM level and  
408 sulfate concentration in clean day are quite low, but the concentration of O<sub>3</sub> is relatively  
409 high (Fig. 6a), reaching up to 214 µg m<sup>-3</sup>, which means active photochemical reactions.  
410 Thus, although the significant photochemical reactions occurred at daytime on the 26<sup>th</sup>  
411 and 22<sup>nd</sup> facilitated the homogeneous gas-phase oxidation of SO<sub>2</sub> to a certain extent,  
412 but it is not the dominant reason for the increase of sulfate in the occurrence stage.  
413 Noted that the PM level and total chemical compositions mass slowly increased on the  
414 24<sup>th</sup> with no pollution transportation by south winds (Fig. 3a-b; Fig. 7e-h; Fig. 8e-h;),  
415 while the averaged concentration of sulfate was 2.8 µg m<sup>-3</sup> and only accounted for  
416 10.7 %, far lower than those in Haze II and similar to those on the clean day. And the  
417 average RH was 61.4 % and 75.3 % in the occurrence stage of Haze II and Haze I,  
418 which also higher than that in the clean day (54.5 %). According to the results  
419 mentioned above, strong winds blowing from the south and southwest of Beijing bring  
420 numerous moisture and particles, we infer that the increase in sulfate aerosols in Haze  
421 II and Haze I could be mainly attributed to the regional transport, then the moisture and



422 particles transported to Beijing further facilitated the heterogeneous reactions of SO<sub>2</sub>  
423 on moist aerosol surface. This highlights the importance and urgency of enhancing joint  
424 regional pollution emission control.

425 Nitrate can be formed predominantly via both the homogeneous gas-phase  
426 photochemical reaction of NO<sub>2</sub> with OH radical at daytime when photochemical  
427 activity is high (Wang et al., 2006; Wen et al., 2018; Seinfeld and Pandis, 2006), and  
428 heterogeneous hydrolysis reaction of NO<sub>3</sub> and N<sub>2</sub>O<sub>5</sub> in the atmosphere during the  
429 nighttime (Richards, 1983; Russell et al., 1986; Wang et al., 2009; Wang et al., 2017a;  
430 Pathak et al., 2011). In addition, there is an equilibrium between particulate nitrate and  
431 gaseous HNO<sub>3</sub> and NH<sub>3</sub> in the atmosphere due to ammonium nitrate is semi-volatile  
432 (Seinfeld, 1986). High temperature could promote the decomposition of ammonium  
433 nitrate, thus, the regional transport of ammonium nitrate in summer was not considered  
434 (Li et al., 2019). As shown in Fig. 6b and d, the nitrate concentration (NOR) in the  
435 occurrence stage of Haze II was lightly increased from 3.2 µg m<sup>-3</sup> (0.09) at 8:00 to 5.2  
436 µg m<sup>-3</sup> (0.23) at 22:00. While the nitrate concentration (NOR) in the occurrence stage  
437 of Haze I sharply increased from 2.7 µg m<sup>-3</sup> (0.02) at 8:00 to 38.1 µg m<sup>-3</sup> (0.36) at 16:00.  
438 The concentration of nitrate and relative contribution of nitrate to PM during Haze I  
439 were markedly higher than those in Haze II (Fig. 6c). This inconsistency could be  
440 attributed to the high temperature (averaging ~34 °C) in Haze II than that (averaging  
441 ~27 °C) in Haze I (Fig. 6e). These results indicated that strong photochemical reactions  
442 can facilitate the formation of nitrates, increasing the PM<sub>2.5</sub> level, while the nitrate  
443 would be decomposed into gaseous HNO<sub>3</sub> and NH<sub>3</sub> once the temperature is high. After  
444 15:00, the concentration of nitrates began to increase for the presence of large amounts  
445 of radicals and dropped temperature inhibited the reverse reaction. Into the night, the  
446 increase of nitrate aerosols was predominantly through heterogeneous hydrolysis  
447 reaction of NO<sub>3</sub> and N<sub>2</sub>O<sub>5</sub> in the atmosphere, more details would be discussed in the  
448 next section.

### 449 **3.2.2 The outbreak stage**

450 The PM<sub>2.5</sub> mass concentration suddenly increased from 75 µg m<sup>-3</sup> at 22:00 on the



451 26<sup>th</sup> to 146  $\mu\text{g m}^{-3}$  at 4:00 on the 27<sup>th</sup> and stayed high values of  $\sim 150 \mu\text{g m}^{-3}$  until 10:00,  
452 which was identified as an outbreak stage of haze pollution (Fig. 3a). Comparing to  
453 the atmospheric BSC of  $\sim 2.5\text{-}3 \text{ M m}^{-1}\text{sr}^{-1}$  on the 26<sup>th</sup>, the ambient particles  
454 concentrated below  $\sim 0.5 \text{ km}$  altitude with a sharply increased atmospheric scattering  
455 coefficient, reaching more than  $6 \text{ M m}^{-1}\text{sr}^{-1}$  (Fig. 3b).

456 *a. The contribution of southerly transport was almost gone*

457 There were still strong southerly winds controlling Beijing at high altitude ( $>0.5$   
458 km), accompanied by a more significant vapor transportation channel under it (Fig.  
459 7m-n). However, PM levels in the south/southeast of Beijing, ranging from 0 to  $\sim 60$   
460  $\mu\text{g m}^{-3}$ , were significantly lower than that ( $>80 \mu\text{g m}^{-3}$ ) in Beijing, even below air  
461 quality standards (Fig. 8n-m). It's not likely to make an explosive growth of PM level  
462 and maintain a high PM level in Beijing by pollution transportation.

463 *b. Extremely stable ABL structure was the prerequisite for pollution outbreak*

464 Without the effect of pollution transportation, more attention was paid to the  
465 interior of the local ABL, and Fig. 5 exhibited the temporal variation of the ABL  
466 structure. Both the values of  $\partial\theta/\partial z$  and  $\partial\theta_{sc}/\partial z$  turned to positive ( $\sim 1.5 \text{ }^{\circ}\text{C}/100 \text{ m}$  and  
467  $\sim 2.5 \text{ }^{\circ}\text{C}/100 \text{ m}$ , respectively) below  $\sim 0.3 \text{ km}$  altitude, as depicted in Fig. 5a-b. It  
468 implied a very stable lower layer defined as nocturnal stable boundary layer (NSBL)  
469 was formed with ABLH of  $\sim 0.3 \text{ km}$ . By the strong radiation effect of already-existing  
470 high aerosol loading at daytime, the surface solar radiation could be strongly blocked  
471 and reduced, conducive to a stable stratification formed at midnight (Zhao et al., 2019;  
472 Zhong et al., 2017). In such a thermally stable state, the buoyancy transport heat flux  
473 in the atmosphere will continuously consume turbulent energy, suppressing the  
474 development of turbulence. Therefore, the corresponding TKE was in a sharp decrease  
475 compared to that in 14:00-16:00 on the 26<sup>th</sup>, lower than  $\sim 0.5 \text{ m}^2 \text{ s}^{-2}$  even near to  $\sim 0 \text{ m}^2$   
476  $\text{s}^{-2}$  (Fig. 5c-d). However, the values of  $\partial\theta/\partial z$  and  $\partial\theta_{sc}/\partial z$  were respectively positive  
477 and negative from  $\sim 0.3 \text{ km}$  to  $\sim 1.5 \text{ km}$ , which means this atmospheric layer was of  
478 conditional instability. Considering the quite low TKE like that below  $\sim 0.3 \text{ km}$ , this  
479 layer recognized as the residual layer was also absolutely stable. Thus, the ambient



480 particles were restrained from vertically spreading and concentrated below the NSBL,  
481 leading to a growth of the ground PM level. The same work would happen to the  
482 ambient water vapor transported by the southerly winds, which explained the  
483 extremely high humidity during this period. As shown in Fig. 4c-d, the atmospheric  
484 humidity in the outbreak stage was distinctly higher than that on the 26<sup>th</sup> with the AH  
485 (RH) reaching  $\sim 20 \text{ g m}^{-3}$  ( $\sim 90\%$ ). Different from the role of moisture transport channel,  
486 the unique NSBL structure has a more significant impact on the increase of air  
487 humidity.

488 In contrast, for the Haze I on the 22<sup>nd</sup>, there was no such thing as an outbreak  
489 stage of PM pollution, as the PM<sub>2.5</sub> mass concentration had sharply decreased from  
490  $131 \mu\text{g m}^{-3}$  to  $53 \mu\text{g m}^{-3}$  in one hour since 21:00. The reason that the ambient particles  
491 were not accumulated and maintain high level like that in Haze II was the ABL  
492 structure has not met the similar characteristics. The already-existing high PM<sub>2.5</sub> level  
493 ( $\sim 130 \mu\text{g m}^{-3}$ ) at daytime would accelerate the surface cooling causing the NSBL  
494 formed more easily with a very low height of  $\sim 0.2 \text{ km}$ . This situation was similar to  
495 that in Haze II. Nevertheless, the TKE above the NSBL was very high reaching  $\sim 2\text{-}3$   
496  $\text{m}^2 \text{s}^{-2}$ , in notable contrast to that in Haze II where the TKE was extremely low ( $\sim 0 \text{ m}^2$   
497  $\text{s}^{-2}$ ) in the whole 0-1.5 km layer. The vertical temperature structures above the NSBL  
498 meant the atmosphere was of conditional instability, while in terms of the TKE  
499 distribution, the atmospheric stratification above the NSBL in Haze I was unstable, in  
500 contrast to the stable one in Haze II. Because it was raining at night with the high AH  
501 ( $\sim 15\text{-}20 \text{ g m}^{-3}$ ) and RH ( $>90\%$ ) extending from surface to  $\sim 3 \text{ km}$  altitude, the  
502 convection activity was quite strong accompanied by a wet deposition process. Due to  
503 the unstable ABL structure and the accompanying wet deposition, the ambient particle  
504 concentration cannot explosively increase but instead was removed from the  
505 atmosphere.

506 Noted that the PM on the 24<sup>th</sup> also showed a tendency to increase, but it suddenly  
507 reduced like that in Haze I. There was no transportation effect contributing to the  
508 increase of PM level on the 24<sup>th</sup> with westerly circulation field controlling (Fig. 7e-h).



509 Similar to the occurrence stages in Haze I and Haze II, a stable atmosphere near the  
510 surface was formed with the positive  $\partial\theta_v/\partial z$  and  $\partial\theta_{se}/\partial z$ . Under these stable  
511 stratifications, the PM from local emission on the 24<sup>th</sup> started increasing. By the strong  
512 daytime solar radiation heating the surface quickly, it may break up the anomalous  
513 vertical temperature structures formed by long-wave radiation cooling at nighttime and  
514 changed them into the unstable stratifications with negative  $\partial\theta_{se}/\partial z$  ( $\partial\theta_v/\partial z$ ) profiles. As  
515 discussed in Haze II, the ABL structure characterized by increased TKE ( $\sim 2-3 \text{ m}^2 \text{ s}^{-2}$ )  
516 and elevated ABLH ( $\sim 1.5 \text{ km}$ ) would dissipate the pollution soon. However, the  
517 difference between the Haze II and the pollution process on the 24<sup>th</sup> was that the  
518 unstable atmospheric stratifications with strong TKE on the 24<sup>th</sup> kept developing until  
519 the end of the day, while for Haze II, this condition just lasted two or three hours at  
520 noon. Additionally, an NSBL formed at midnight in Haze II with the ABL height of  
521  $\sim 0.3 \text{ km}$ , making the vertical diffusion condition in the near stratum even worse.  
522 Therefore, the subsequent stable atmospheric stratification on the 26<sup>th</sup> was the necessary  
523 premise for the pollution outbreak in Haze II. Particles would not be accumulated and  
524 lead to an outbreak of pollution without a stable ABL structure and can be easily  
525 dissipated by the self-cleaning capacity of the atmosphere.

526 ***c. Intense secondary aerosol formation driven by atmospheric oxidation  
527 capacity drove the pollution outbreak***

528 Heterogeneous aqueous reactions reference to the secondary formation of sulfates  
529 and nitrates largely related to ambient humidity (Wang et al., 2012; Gibson et al., 2007).  
530 The accumulation of water vapor in the NSBL would facility the formation of  
531 secondary aerosols and further driven the outbreak of PM pollution. In order to  
532 investigate the explosive growth mechanisms, we divided the outbreak stage of PM  
533 pollution during Haze II into two stages: Stage I, from 22:00 on the 26<sup>th</sup> to 4:00 on the  
534 27<sup>th</sup>; Stage II, from 5:00 to 10:00 on the 27<sup>th</sup>. During Stage I, along with the explosive  
535 growth of  $\text{PM}_{2.5}$ , the concentration of nitrate rapidly increased from  $11.6 \mu\text{g m}^{-3}$  to  $57.8 \mu\text{g m}^{-3}$ ,  
536 while sulfate and organics slightly increased from  $13.7 \mu\text{g m}^{-3}$  to  $19.8 \mu\text{g m}^{-3}$  and from  $21.8 \mu\text{g m}^{-3}$  to  $24.9 \mu\text{g m}^{-3}$  (Fig. 6d), respectively. During Stage II, the nitrate



concentration stayed the highest value of  $\sim 57 \mu\text{g m}^{-3}$  and the sulfate level maintained  $\sim 19 \mu\text{g m}^{-3}$ , with organics slowly dropping (Fig. 6d). The explosive growth trend of nitrate is the most consistent with that of  $\text{PM}_{2.5}$ . In addition, the average concentrations of organics, sulfate, and nitrate in the whole outbreak stage were  $20.6 \mu\text{g m}^{-3}$ ,  $15.9 \mu\text{g m}^{-3}$  and  $43.0 \mu\text{g m}^{-3}$  and accounted for 22.0 %, 17.8 %, 34.9 %, respectively. Compared to the occurrence stage, the relative contribution of organics and sulfate to  $\text{PM}_{2.5}$  decreased significantly, while the contribution of nitrate obviously increased. These results indicated that the explosive growth of  $\text{PM}_{2.5}$  concentration was driven by the sharp increase in nitrate concentration. With strong photochemical reactions at daytime, the mass concentration of  $\text{O}_3$  was very high before the outbreak stage, up to  $214 \mu\text{g m}^{-3}$ .  $\text{NO}_2$  would be produced by  $\text{O}_3$  reacting with a large amount of NO which was discharged by vehicle in the evening peak. While  $\text{NO}_2$  would react with  $\text{O}_3$  aloft to form  $\text{NO}_3$  which will rapidly react with  $\text{NO}_2$  to form  $\text{N}_2\text{O}_5$  at nighttime. During stage I, NOR rapidly increased from 0.26 to 0.60, which implied the oxidization rate of  $\text{NO}_2$  sharply increased in a few hours. Considering  $\text{NO}_2$  stayed relative low value of  $\sim 25 \mu\text{g m}^{-3}$  and  $\text{O}_3$  rapidly decreased from  $214 \mu\text{g m}^{-3}$  to  $46 \mu\text{g m}^{-3}$  in stage I (Fig. 6a), the consumption process of  $\text{NO}_2$  was more significant than the generation process. The  $\text{NO}_2$  produced by consuming  $\text{O}_3$  was constantly oxidized by  $\text{O}_3$  to produce a large amount of  $\text{N}_2\text{O}_5$ , resulting in a sharp decline in  $\text{O}_3$  concentration. Once  $\text{N}_2\text{O}_5$  was produced, it would absorb on the moist particle surfaces and react with water in droplets to form nitrate, resulting in a sudden increase in nitrate, from  $11.6 \mu\text{g m}^{-3}$  to  $57.8 \mu\text{g m}^{-3}$ . During Stage II,  $\text{O}_3$  slowly decreased to  $34 \mu\text{g m}^{-3}$  at 6:00 on the 27<sup>th</sup> and  $\text{NO}_2$  stayed relatively high value ( $\sim 44\text{--}51 \mu\text{g m}^{-3}$ ), which meant the generation process of  $\text{NO}_2$  was dominated. Thus, the oxidization of  $\text{NO}_2$  was not further increasing with the NOR maintaining  $\sim 0.45$  during Stage II. Then, the nitrate, formed by the pathway that  $\text{N}_2\text{O}_5$  adsorbed on surfaces and reacts with water in droplets, did not increase anymore, maintaining the highest mass concentration of  $\sim 57 \mu\text{g m}^{-3}$ . The processes mentioned above were unimportant at daytime because  $\text{N}_2\text{O}_5$  was in equilibrium with  $\text{NO}_3$ , that is,  $\text{NO}_3$  was photolyzed as well as rapidly destroyed by NO which in turn was present whenever



567 there were NO<sub>x</sub> and sunlight. During both Stage I and Stage II, SOR always maintained  
568 a relatively high level of ~0.95, accompanied by a high RH of ~90 %. High SOR and  
569 RH signified that the heterogeneous reaction dominated the formation of particulate  
570 sulfate during the outbreak stage. The increased amount of sulfate lower than nitrate  
571 may relate to the few emissions of SO<sub>2</sub> and massive emission of NO from vast vehicles.  
572 This highlights the importance and urgency of enhancing NO<sub>x</sub> (vehicles) emission  
573 control.

574 Contrary to expectations, after the wet deposition process in Haze I, the  
575 concentrations of PM<sub>2.5</sub>, NO<sub>2</sub> and the total chemical composition abruptly increased at  
576 0:00 on the 23<sup>rd</sup>, accompanied by a sharp increase of nitrate and NOR (from 9.3 µg m<sup>-3</sup>  
577 to 41.5 µg m<sup>-3</sup> and 0.26 to 0.49, respectively). These results may be related to the high  
578 RH (more than 93 %), which facilitated the heterogeneous hydrolysis reaction of NO<sub>3</sub>  
579 and N<sub>2</sub>O<sub>5</sub>, formed by gas pollutants on NO<sub>x</sub> and O<sub>3</sub> that wet deposition process did not  
580 completely clear.

581 **3.2.3 The diffusion stage**

582 Since 10:00 on the 27<sup>th</sup>, the PM<sub>2.5</sub> mass concentration had sharply reduced to 50  
583 µg m<sup>-3</sup> in three hours, during which the atmospheric BSC down to <1×10<sup>3</sup> M m<sup>-1</sup>sr<sup>-1</sup> on  
584 the whole ABL (Fig.3 and Fig. 8o-p). It represented a stage of pollution diffusion. As  
585 no wet deposition process existed, the diffusion stage of Haze II was different from that  
586 of Haze I. Generally, the arrival of strong and clean air mass from the south is the main  
587 factor that dissipates the air pollution in Beijing (Zhong et al., 2017; Zhong et al., 2018;  
588 Zhao et al., 2019). Calm/light winds in the lower layer were dominated in the outbreak  
589 stage, while sudden increased southerly winds blew in the 0-2 km layer since 8:00 on  
590 the 27<sup>th</sup>, with a wind speed of ~6-9 m s<sup>-1</sup> (Fig. 7n-q and Fig. 4a). Strong winds would  
591 play a role in the horizontal diffusion of the accumulated PM at surface. Then,  
592 accompanied by the horizontal diffusion, the strong solar radiation at noon reached the  
593 surface and changed the vertical temperature structure. The ABL was in extremely  
594 unstable state for both the  $\partial\theta/\partial z$  and  $\partial\theta_{sc}/\partial z$  were negative below ~1.0 km with values  
595 of -0.5 °C/100 m and -2.5 °C/100 m, respectively (Fig. 5a-b). Along with the instability,



596 the development of turbulence in the ABL was very strong and quick, with the TKE  
597 values suddenly increasing to  $\sim 3\text{-}5 \text{ m}^2 \text{ s}^{-2}$  (Fig. 5c). Accompanied by the pronounced  
598 turbulence development, the ABL continuously developed upward with the ABLH up  
599 to the  $\sim 2.5 \text{ km}$  in short time (Fig. 5d). The ABL structure quickly became extremely  
600 suitable for the vertical diffusion of pollutants, thus, the PM level sharply decreased  
601 during this time.

602 Different from  $\text{PM}_{2.5}$ , the concentration of  $\text{O}_3$  rapidly increased due to the  
603 increasing radiation, along with the high concentrations of  $\text{NO}_2$  and NO attributed to  
604 morning traffic emissions. Along with the decline in  $\text{PM}_{2.5}$ , organics, and sulfate slowly  
605 decreased to less than  $\sim 3 \mu\text{g m}^{-3}$  and nitrate reduced to below  $1.0 \mu\text{g m}^{-3}$ . The average  
606 concentrations of organics, sulfate, and nitrate were down to  $6.8 \mu\text{g m}^{-3}$ ,  $6.2 \mu\text{g m}^{-3}$  and  
607  $1.9 \mu\text{g m}^{-3}$  and accounted for 33.0 %, 32.3 %, 6.0 %, respectively. As significant  
608 turbulence activity made vertical transportation of vapor, heat, and particles and so on,  
609 the RH decreased to  $\sim 60 \%$  accompanied by a decline in SOR ( $\sim 0.75$ ). This emphasized  
610 the strong correlation between humidity and the heterogeneous formation mechanism  
611 of sulfate. In addition, NOR rapidly decreased from 0.22 to 0.01, coincide with the  
612 variation of nitrate. At this stage, temperature always maintained a high level of  $\sim 35^\circ\text{C}$ .  
613 Thus, similar to the situation in the occurrence stage, ammonium nitrate evaporated  
614 under the high temperature, contributing to a decline in nitrate. In short, during the  
615 diffusion stage, the unstable ABL structure was not only conducive to the diffusion of  
616 pollution but also changed the T and RH, so as to inhibit the formation of secondary  
617 aerosols and further reduce the secondary aerosols.

618 No matter the wet deposition in Haze I or the vertical diffusion in Haze II,  
619 eventually, the air pollution would be cleared as long as the atmosphere of a specific  
620 state. In other words, it implies that the self-cleaning capacity of the atmosphere is  
621 responsible for the dispersion of air pollution. When the atmosphere is in what state can  
622 the self-cleaning capacity of the atmosphere come into play so that the pollution can be  
623 removed from the atmosphere. To discuss it, the key factors characterizing the self-  
624 cleaning capacity of the atmosphere should be found out first. As analyzed above, once



the TKE increased to  $>1.5\text{-}2 \text{ m}^2 \text{ s}^{-2}$ , the ABLH grew to more than  $\sim 1 \text{ km}$ , and the  $\partial\theta_v/\partial z$  &  $\partial\theta_{sc}/\partial z$  turned to negative, as well as no calm/light winds, the atmosphere was in a state of instability with strong turbulence activities and advection transport, and air pollution was spread away immediately. Owing to limited observation time, the results about the characteristics of self-cleaning capacity of the atmosphere may be not universal, and more comprehensive discussions on the self-cleaning capacity of the atmosphere would be studied in the future.

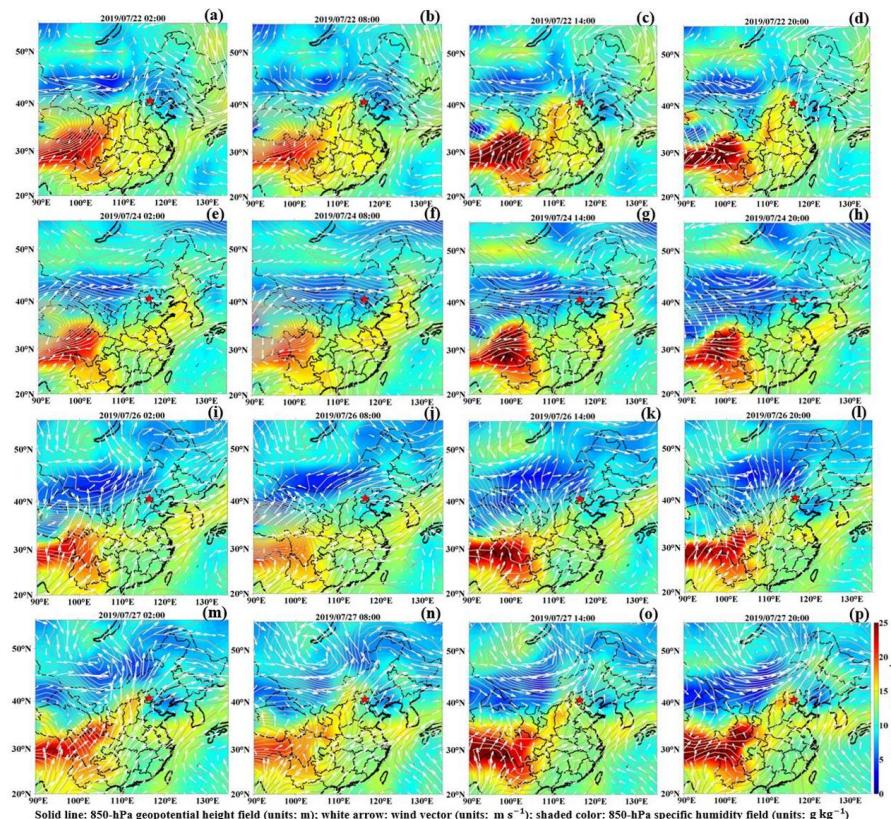
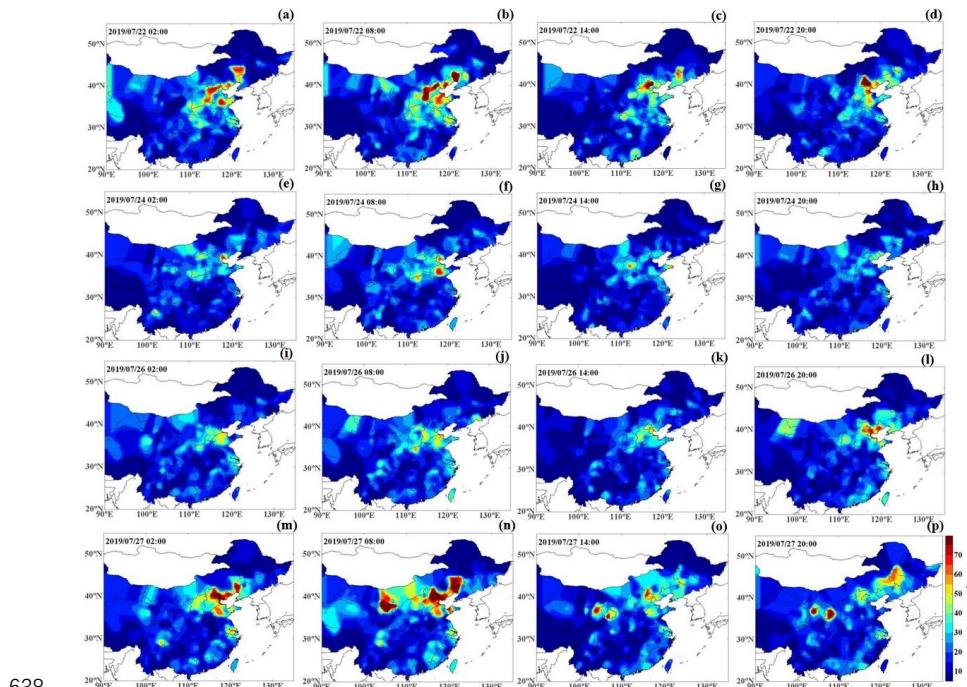


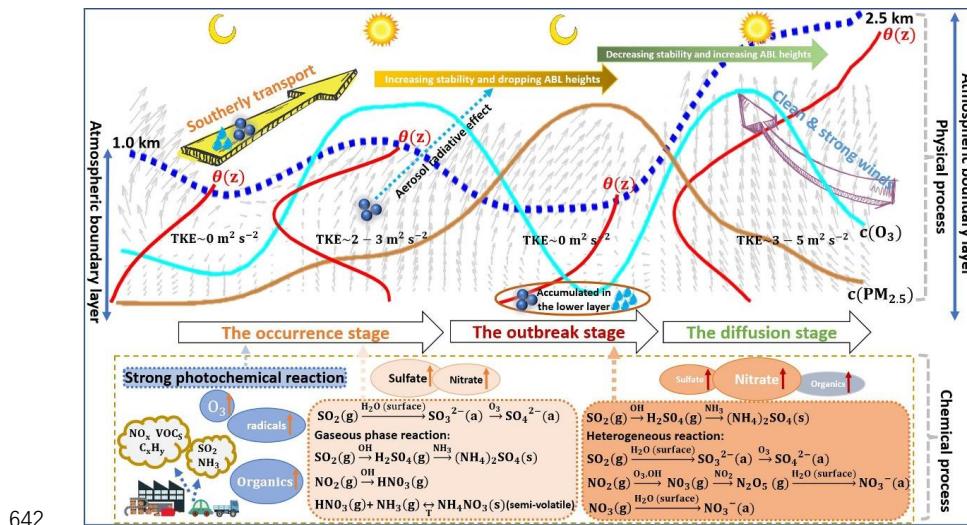
Figure 7. Composites of the 850-hPa horizontal wind vector field (units:  $\text{m s}^{-1}$ , white arrows), 850-hPa geopotential height field (units: m, solid lines) and 850-hPa specific humidity field (units:  $\text{g kg}^{-1}$ , shaded colors) at 0200, 0800, 1400, and 2000 (LT) on July 22, 24 and 26-27, labeled as (a) - (p). The star shows the location of the BJ site.



638

639 Figure 8. The PM<sub>2.5</sub> mass concentration distribution (units:  $\mu\text{g m}^{-3}$ , shaded colors) over most of  
 640 China at 0200, 0800, 1400, and 2000 (LT) on July 22, 24 and 26–27, labeled as (a)–(p).

#### 641 4 Conclusion



642

643 Figure 9. A schematic diagram for the formation mechanism of haze pollution under strong  
 644 atmospheric oxidation capacity in summer in Beijing.



645        The extremely serious haze pollution episode characterized by  
646        alternate/synchronous heavy PM loading and high ozone concentration occurred this  
647        summer in Beijing. Combined with a series of observations, the formation mechanism  
648        of haze pollution under strong atmospheric oxidization capacity has been deeply and  
649        systematically analyzed in terms of atmospheric physical process and chemical process.

650        The occurrence of haze pollution in summer in Beijing was mainly attributed to  
651        southerly transport and affected by the ABL structure to some degree (physical process),  
652        which was further promoted by the intense secondary aerosol formation with strong  
653        atmospheric oxidation capacity (chemical process). On the one hand, the physical  
654        process, where large amounts of moisture and particles were transported to Beijing  
655        under strong southerly winds, caused the initiation of haze pollution in Beijing.  
656        Moreover, it occurred when the ABL structure was extremely stable with low TKE and  
657        positive potential temperature gradient ( $\partial\theta/\partial z$ ), facilitating the PM level rising in  
658        Beijing. And the stable ABL was broken and transformed into unstable (negative  $\partial\theta/\partial z$ )  
659        with strong solar radiation in the afternoon, responsible for the fluctuation of the PM  
660        rising process. On the other hand, the moisture and particles transported to Beijing  
661        further facilitated the heterogeneous reactions of SO<sub>2</sub> on moist aerosol surfaces. And  
662        for the significant photochemical reaction, the concentration of O<sub>3</sub> was quite high at  
663        daytime and the atmosphere was of strong oxidation capacity with large amounts of  
664        radicals (OH, etc.) and O<sub>3</sub>, promoting the formation of secondary aerosols (sulfate,  
665        nitrate, and organics). Even so, the distinct increase in sulfate concentration was mainly  
666        linked by southerly transport, which carried heavy sulfate aerosol loading to Beijing.  
667        The physical process, where extremely stable ABL inhibited the diffusion of PM and  
668        moisture making an accumulation of ambient humidity and ground-level PM<sub>2.5</sub>, was the  
669        premise of the outbreak of haze pollution. Under stable ABL, the formation of  
670        secondary aerosols dominated by nitrate was quite intense, and this pronounced  
671        chemical process was the key driving force leading to pollution outbreak. PM levels in  
672        the south/southeast of Beijing were significantly lower than that in Beijing, even below  
673        air quality standards. The contribution of pollution transportation was not important.



674 Owing to the already-existing high PM<sub>2.5</sub> level at daytime, strong aerosol radiation  
675 effect would cool the surface and heat the above layer, facilitated the formation of the  
676 nocturnal stable boundary layer (NSBL). The  $\partial\theta/\partial z$  in the NSBL turned to positive  
677 increasing the atmosphere stability, dropping the ABLH and decreasing the TKE. The  
678 ambient particles & moisture were restrained from vertically spreading and  
679 concentrated below the NSBL, resulting in an elevated PM & humidity levels at surface.  
680 Due to the high level of O<sub>3</sub> produced by strong photochemical reactions at daytime and  
681 NOx discharged by vehicle in the evening peak, vast N<sub>2</sub>O<sub>5</sub> and NO<sub>3</sub> were formed with  
682 a sharp increase of NOR. The heterogeneous hydrolysis reactions of N<sub>2</sub>O<sub>5</sub> and NO<sub>3</sub> at  
683 the moist particle surface were very significant under quite high humidity. It resulted  
684 in the formation of numerous nitrate, which was the main cause of the explosive growth  
685 of PM<sub>2.5</sub> levels in the outbreak stage. More controls should be made to reduce NOx  
686 emissions and atmospheric oxidization capacity, such as strengthening the supervision  
687 of heavy diesel vehicles and collaborative control of NOx and VOCs, and continuously  
688 deepen regional joint control of air pollution. As PM level gradually increasing, a  
689 wet deposition process and an extremely unstable ABL structure respectively appeared  
690 on the 22<sup>nd</sup> (Haze I) and 24<sup>th</sup>, the ambient particles experienced a sharp decline before  
691 the outbreak stage. It emphasized that the ABL structure extremely restrained the  
692 diffusion of substances was a prerequisite for the pollution outbreak. With clean &  
693 strong winds passing through Beijing, the ABL changed to unstable with negative  $\partial\theta/\partial z$   
694 and increased ABLH. The strong turbulence activity promoted pollution diffusion. No  
695 matter the wet deposition process or the strong turbulence activity, eventually, the air  
696 pollution would be cleared as long as the atmosphere was of a specific state. The self-  
697 cleaning capacity of the atmosphere is responsible for the dispersion of air pollution.  
698 When the atmosphere is in what state can the self-cleaning capacity of the atmosphere  
699 comes into play is worthy of further study.

700 **Data availability.**

701 The surface PM<sub>2.5</sub> & PM<sub>10</sub> and other trace gases observation data used in this study can  
702 be accessed from <http://106.37.208.233:20035/>. Atmospheric reanalysis data was



703 obtained from the National Centers for Environmental Prediction (NCEP)  
704 (<https://www.esrl.noaa.gov/psd/data/>). Other datasets can be accessed upon request to  
705 the corresponding author.

706 **Author contribution**

707 ZD and LG performed the research and wrote the paper, contributing equally to this  
708 study. XJ, QJ, WY and WX provided writing guidance, revised and polished the paper.  
709 LZ, TG, HB and WL designed the experiments and DL, MY, WX and WF carried them  
710 out. GC contributed to discussions of results. All the authors have made substantial  
711 contributions to the work reported in the manuscript.

712 **Competing interests.**

713 The authors declare that they have no conflict of interest.

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722 Infrastructure of China (available at <http://www.geodata.cn>).

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