

Anonymous Referee #1

We thank the reviewer again for the comments to improve our understanding and the manuscript. For Methodology, we add more explanation to justify its validity. For results to conclusion, we make it clearer in the response. Please see our detailed response below.

Specific comments:

- *1) 'Smooth' topography?*

The authors argued that their method (applying single value to 5 by r grids) produces a smoothed topography by presenting an example. But I have to say that the example is misleading.

Considering a simple case, 10 grids at 4 km spacing have elevation values of (0, 1, 2, 3, 4), (5, 6, 7, 8, 9) along a horizontal dimension, meaning a constant slope of 1/4. After applying their method, so these grids turn to have values (2, 2, 2, 2, 2), (7, 7, 7, 7, 7). Now, the slopes are 0, 0, 0, 0, 5/4, 0, 0, 0, 0. As such, will you state that the topography is smoothed through their method? On the contrary, in this case a smooth topography becomes unsmooth (as being stepped) after applying their 'smoothing' method.

So, their methodology fails at all.

Thanks for the reviewer to raise this point. We re-checked our smoothing methodology and found that our previous statement is incorrect. We actually bilinearly interpolated the topography data of the grid cells at 20-km resolution into the grid cells at 4-km. We select a 10x10 grid cells at 4 km resolution and the corresponding 2x2 grid cells at 20 km resolution over the Himalayas region as an example (Fig. R1). It is evident the “smooth” topography is smoother. Sorry for the misleading and the text is revised as “Therefore, besides this control experiment, one sensitivity (idealized) experiment is also conducted with the same configuration as the control one except that the terrain heights of the inner domain at 4-km resolution are bilinearly interpolated from the terrain heights at 20-km resolution similar as previous studies (e.g., Shi et al., 2008; Wu et al., 2012b; Lin et al., 2018).”

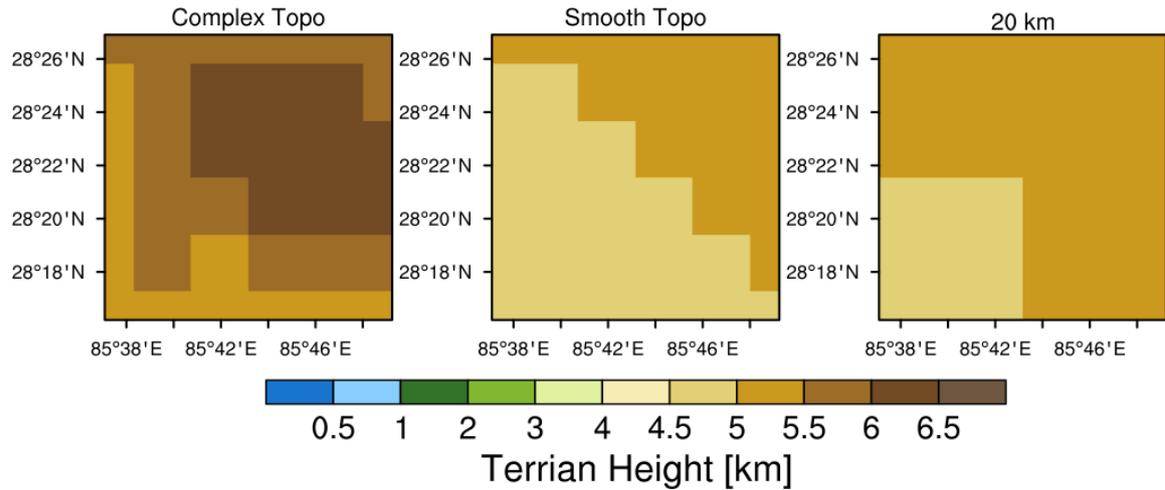


Figure R1. Spatial distributions of terrain height from the dataset at 4-km resolution (Complex Topo), bilinearly interpolated from the 20-km resolution dataset (Smooth Topo), and from the original 20-km resolution dataset.

In order to further prove that our methodology serves the purpose to smooth the complex topography of Himalayas region with many mountains and valleys, we analyze the slopes between the neighboring grids in our simulation domain (the new figure is added as Fig. S2 in the supporting material). It is pretty evident that after applying our methodology, the slopes between the neighboring grids are much lower with the smooth topography than with the complex topography in general, particularly over the Himalayas region. We do find the slopes of a few grids increase after “smoothing”, but the portion is very small. We believe this is convincing that after applying our method, the topography becomes much smoother overall. Now, we add the clarification in the revised manuscript as

“In addition, the slopes between the neighboring grids are significantly reduced in general with the smooth topography compared to with the complex topography, particularly over the Himalayas region (Fig. S2 in the supporting material).”

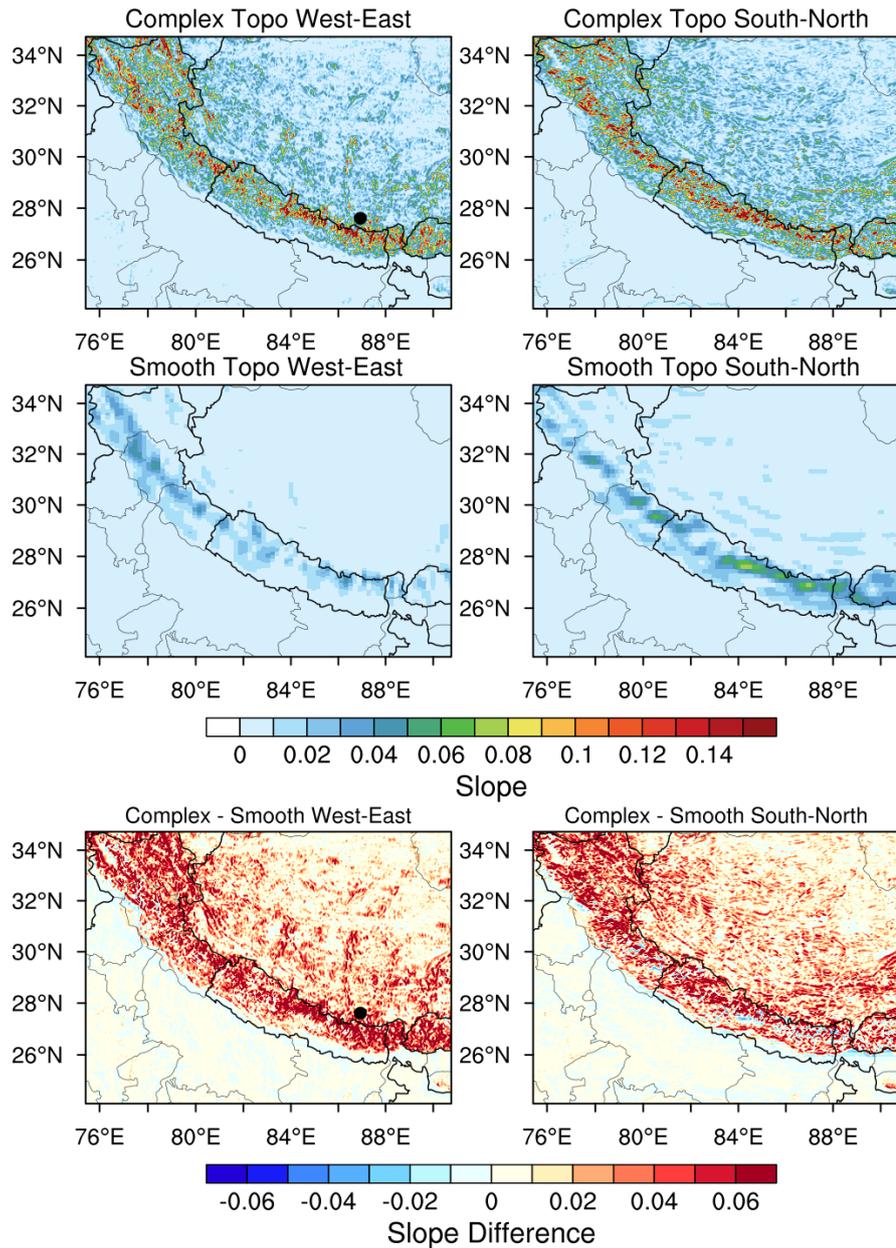


Figure S2. The slopes between the neighboring grids in our 4-km simulation domain with the complex and smooth topography. The slopes are calculated in west-east and south-north direction by the formula: $\text{slope} = |(z_1 - z_2)/dx|$, where z_1 and z_2 denote the terrain heights (in km) of the two neighboring grids and dx is 4 km.

- 2) “which come first, chicken or egg?”.
The authors intended to conclude that the wind difference between simulations of different representation of topography leads to BC transport difference and further BC concentration difference. The reviewer found from their results that

BC transport difference is rather contributed by the BC concentration difference simulated. Then the authors argued that this is a “which come first, chicken or egg?” question.

Well, the problem is not that the reviewer raised such a question but that the question comes from the non-linear nature. If the authors want to draw the such conclusion, they have to find a way circumventing it, as the magnitude of BC transport is determined by both wind and concentration (or mass). That is, the authors have to strictly prove that BC transport is the only factor affecting the BC concentration tendency so that to make their conclusion ('the wind difference between simulations of different representation of topography leads to BC transport difference and further BC concentration difference') valid.

We have proved our statement that the wind difference is the key factor influencing the transport in our last response to the reviewer’s comment. Here, we clarify it again as following.

We provide the evidence about the enhancement of southerly wind due to the complex topography in the last version of revised manuscript, in which one new figure (Fig. 13) is added into the main text about the changes of near-surface meridional wind during our simulation period due to the impacts of complex topography. The near-surface southerly wind during the daytime of simulation period is increased with the complex topography over the Himalayas (Fig. 13), which indicates that the transport towards the TP is strengthened with the complex topography in the study period, particularly over the central and eastern Himalayas which is near the source region of high BC mass loading.

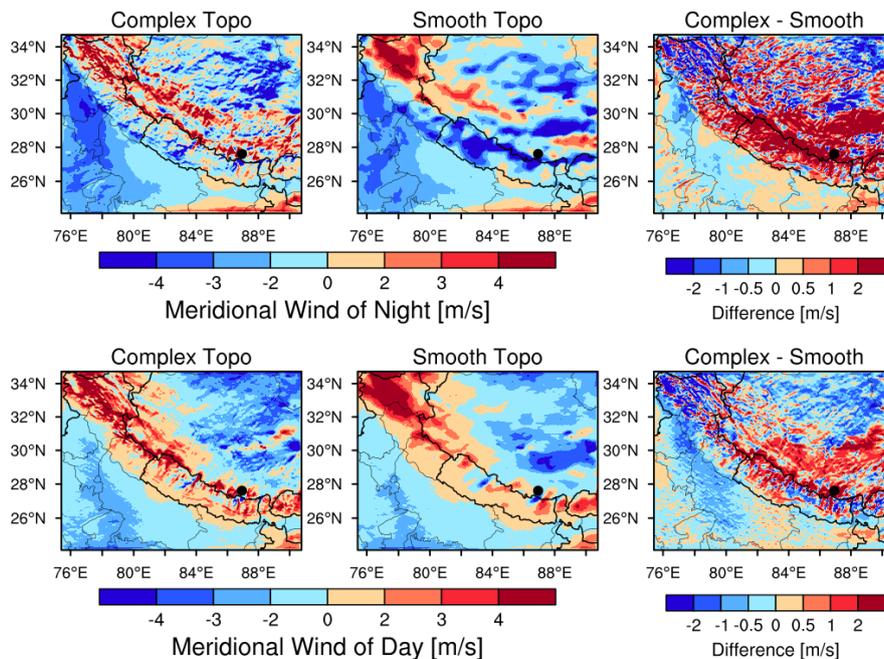


Figure 13. Spatial distributions of meridional wind speed averaged within 500 m above the ground for day and night during April 1-20, 2016 from the simulations with complex and smooth topography. The difference between the two is also shown. Nighttime is

defined as local time 21:00-6:00, and daytime is defined as 9:00-18:00. Positive value denotes southerly, and negative value denotes northerly.

The Himalayas and TP regions are relatively clean. The BC mass is mostly transported from the source region of South Asia, which can be reflected from both emissions (Fig. 1) and satellite retrievals (Fig. 6). As the reviewer stated, the higher mass over the source region may also lead to stronger transported mass even if the wind does not change. We have shown that the BC column mass loading is actually lower over the source region from the simulation with the complex topography compared to that with the smooth topography (Fig. 5). This can prove that the stronger mass transport is not due to the higher mass loading over the source region in the simulation with the complex topography. In fact, this can reflect that the stronger transport with the complex topography reduces the mass loading over the source region and increases the mass loading over the relatively clean region over the Himalayas and TP.

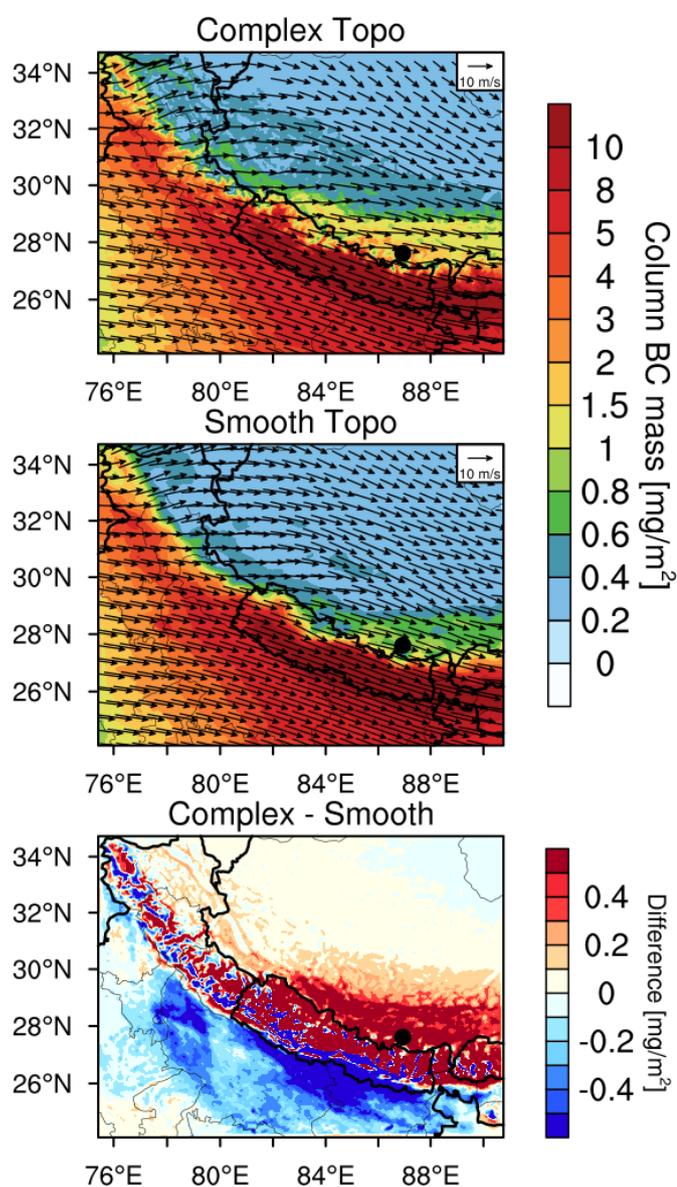


Figure 5. Spatial distributions of column integrated BC mass and the horizontal wind field at 500 hPa from the simulations with complex and smooth topography (Complex Topo and Smooth Topo) averaged for April 1-20, 2016. The difference between the two is also shown.

Based on the evidence and discussion above, we conclude that one key factor leading to the stronger transport with the complex topography is the strengthened efficiency of near-surface meridional transport towards the TP in the study period.

1 **Impact of topography on black carbon transport to the southern Tibetan** 2 **Plateau during pre-monsoon season and its climatic implication**

3 ¹Meixin Zhang, ¹Chun Zhao*, ^{2,3}Zhiyuan Cong, ¹Qiuyan Du, ¹Mingyue Xu, ¹Yu Chen, ⁴Ming
4 Chen, ¹Rui Li, ¹Yunfei Fu, ¹Lei Zhong, ^{3,5}Shichang Kang, ⁶Delong Zhao, ⁶Yan Yang

5
6
7 ¹School of Earth and Space Sciences, University of Science and Technology of China, Hefei,
8 China

9 ²Key Laboratory of Tibetan Environment Changes and Land Surface Processes, Institute of
10 Tibetan Plateau Research, Chinese Academy of Sciences (CAS), Beijing 100101, China

11 ³CAS Center for Excellence in Tibetan Plateau Earth Sciences, Institute of Tibetan Plateau
12 Research, CAS, Beijing 100101, China

13 ⁴National Center for Atmospheric Research, Boulder, CO, USA

14 ⁵State Key Laboratory of Cryosphere Science, Northwest Institute of Eco-Environment and
15 Resources, CAS, Lanzhou 730000, China

16 ⁶Beijing Weather Modification Office, Beijing 100101, China

17
18 Manuscript for submission to Atmos. Chem. Phys.

19
20
21 *Corresponding author: Chun Zhao (chunzhao@ustc.edu.cn)

22 23 **Key points:**

24 1. The black carbon (BC) transport across the Himalayas can overcome a majority of
25 mountain ridges, but the valley transport is much more efficient during the pre-monsoon
26 season.
27

28 2. The complex topography results in stronger overall crossing-Himalayas transport during
29 the study period primarily due to the strengthened efficiency of near-surface meridional
30 transport towards the TP, enhanced wind speed at some valleys, and deeper valley channels
31 associated with larger transported BC mass volume.

32 3. The complex topography generates 50% higher transport flux of BC across the Himalayas
33 and 30-50% stronger BC radiative heating in the atmosphere up to 10 km over the Tibetan
34 Plateau (TP) than that with the smoother topography, which implies that global climate
35 models with relatively coarse resolution may introduce significant negative biases in
36 estimating BC radiative forcing over the TP due to smooth topography.

37 4. The different topography also leads to different distributions of snow cover and BC forcing
38 in snow over the TP.

40 **Abstract**

41 Most of previous modeling studies about black carbon (BC) transport and impact over
42 the Tibetan Plateau (TP) conducted simulations with horizontal resolutions coarser than 10
43 km that may not be able to resolve well the complex topography of the Himalayas. In this
44 study, the two experiments covering entire Himalayas with the Weather Research and
45 Forecasting Model coupled with chemistry (WRF-Chem) at the horizontal resolution of 4 km
46 but with two different topography datasets (4-km complex topography and 20-km smooth
47 topography) are conducted for pre-monsoon season (April, 2016) to investigate the impacts
48 of topography on modeling the transport and distribution of BC over the TP. Both
49 experiments show evident accumulation of aerosols near the southern Himalayas during the
50 pre-monsoon season, consistent with the satellite retrievals. The observed episode of high
51 near-surface BC concentration at the station near the Mt. Everest due to heavy biomass
52 burning near the southern Himalayas is well captured by the simulations. The simulations
53 indicate that the prevailing up-flow across the Himalayas driven by the large-scale westerly
54 and small-scale southerly circulations during the daytime is the dominant transport
55 mechanism of South Asian BC into the TP, and is much stronger than that during the
56 nighttime. The simulation with 4-km topography resolves more valleys and mountain ridges,
57 and shows that the BC transport across the Himalayas can overcome a majority of mountain
58 ridges but the valley transport is more efficient. The complex topography results in stronger
59 overall crossing-Himalayas transport during the simulation period primarily due to the
60 strengthened efficiency of near-surface meridional transport towards the TP, enhanced wind
61 speed at some valleys, and deeper valley channels associated with larger transported BC mass
62 volume. This results in 50% higher transport flux of BC across the Himalayas and 30-50%
63 stronger BC radiative heating in the atmosphere up to 10 km over the TP from the simulation
64 with 4-km complex topography than that with 20-km smoother topography. The different
65 topography also leads to different distributions of snow cover and BC forcing in snow. This
66 study implies that global climate models generally with even coarser resolutions than 20 km
67 and therefore relatively smoother topography may introduce significant negative biases in
68 estimating light absorbing aerosol radiative forcing over the TP.

69

70

71

72

73

74 **1. Introduction**

75 The Tibetan Plateau (TP) is the highest plateau in the world with an average elevation
76 over 4 km and an area of approximately $2.5 \times 10^6 \text{ km}^2$, known as the world's third pole (Qiu,
77 2008), and its enormous dynamic and thermal effects have a huge impact on large-scale
78 atmospheric circulation through the energy exchange with the atmosphere especially the
79 troposphere, such as Asian monsoon (e.g., Ye and Wu, 1998; Duan and Wu, 2005; Wu et al.,
80 2007, 2012a; Boos and Kuang, 2013; Chen and Bordoni, 2014; He et al., 2019; Zhao et al.,
81 2019). In addition, the glacial melting water of TP is one of the important sources of water
82 resources of the Indus River, Ganges River, Yangtze River, and Yellow River in Asia (e.g.,
83 Singh and Bengtsson, 2004; Barnett et al., 2005; Immerzeel et al., 2010; Lutz et al., 2014).
84 Previous studies found aerosols in the atmosphere over/around the TP could change the
85 regional climate of Asia (e.g., Qian et al., 2011, 2015; Lau et al., 2017, 2018). Model
86 simulations showed that the absorptive aerosols changed the surface radiative flux over the
87 TP by $5\text{-}25 \text{ W m}^{-2}$ during the pre-monsoon season in April and May and led to the changes in
88 summer monsoon circulations (Qian et al., 2011). Meanwhile, aerosol may affect the
89 atmosphere by modulating the vertical structure of cloud and precipitation around the TP, and
90 thus change the distribution of atmospheric latent heat around the TP, which is the main
91 driving force of regional atmosphere circulations (e.g., Li et al., 2010, 2017, 2019). Moreover,
92 when absorbing aerosols settle on the snow-covered areas, they will blacken the surface of
93 snow cover and glacier to a large extent (e.g., Hansen and Nazarenko, 2004; Ramanathan and
94 Carmichael, 2008; Lau et al., 2010, 2018; Lee et al., 2013; Zhang et al., 2017, 2018), reduce
95 the snow albedo so as to absorb more solar radiation and cause the consequences of
96 accelerated melting (e.g., Ramanathan et al., 2007; Ming et al., 2009; Yasunari et al., 2010; Ji
97 et al., 2015; Zhang et al., 2015). According to the Intergovernmental Panel on Climate
98 Change Fifth Assessment Report (IPCC AR5), the radiative forcing caused by the important
99 component of absorbing aerosols, black carbon (BC), on the surface snow is 0.04 W m^{-2}
100 ($0.02\text{-}0.09 \text{ W m}^{-2}$) on global average, and the regional forcing (such as over the Arctic and
101 the Himalayas) can be considerably large.

102 The TP is surrounded by various sources of pollutants. Over the South of TP, previous
103 studies have suggested that South Asia was the main source of pollutants transported to the
104 plateau (e.g., Cong et al., 2009, 2015a, b; Kopacz et al., 2011; Lu et al., 2012; Zhao et al.,
105 2013; Wang et al., 2015; Zhang et al., 2015; Kang et al., 2016, 2019; Li et al., 2016; Chen et
106 al., 2018). A huge blanket or layer of “haze” composes of light-absorbing carbonaceous

107 aerosol particles that often erupts in the pre-monsoon season over South Asia and has a
108 significant influence on the plateau (e.g., Prasad and Singh, 2007; Engling and Gelencser,
109 2010). Among them, biomass burning emission reaching the maximum in pre-monsoon
110 season over South Asia is one of the dominant sources (e.g., Cong et al., 2015b). Many
111 studies investigated the transport mechanisms of South Asian pollutants to the TP and found
112 that the pollutants transported across the Himalayas were mainly due to the combination of
113 large-scale circulation and regional wind (e.g., Hindman and Upadhyay, 2002; Cao et al.,
114 2010; Dumka et al., 2010; Marinoni et al., 2010; Cong et al., 2015a; Kang et al., 2016; Lüthi
115 et al., 2015; Zhang et al., 2017). Cong et al. (2015b) suggested that strong large-scale
116 westerly and local small-scale mountain-valley wind passed through western Nepal,
117 northwest India and Pakistan (i.e., southern Himalayas) in the pre-monsoon season. Dumka et
118 al. (2010) and Kang et al. (2016) inferred from the trajectory analysis that long-distance
119 transport from Africa and Europe may also affect the BC concentration of Himalayas in
120 addition to the influence of regional pollution. The synoptic troughs and ridges were also
121 found favoring the transport of pollutants into the TP from South Asia (Lüthi et al., 2015).

122 Although previous studies have confirmed the transport of pollutants across the
123 Himalayas, the complex topography of Himalayas complicates transport mechanisms. On one
124 hand, Cao et al. (2010) revealed that the Himalayas acted as a huge barrier to the transport of
125 a large amount of BC over the plateau based on model simulations. On the other hand, some
126 studies found that the valleys across the Himalayas served as channels for efficient transport
127 of pollutants (e.g., Hindman and Upadhyay, 2002; Marinoni et al., 2010). Marinoni et al.
128 (2010) analyzed the observation of wind at a station of the southern Himalayas and found that
129 a distinct valley wind system with the prominent southerly continuously transported
130 pollutants to the plateau. Most of these studies used observations and back-trajectory models
131 to demonstrate the transport pathways of pollutants to the TP, which cannot explicitly reveal
132 the transport mechanisms underneath, in particular quantifying the impacts of complex
133 topography.

134 A few of modeling studies investigated the pollutant transport mechanisms using 3-D
135 chemical transport models (e.g., Kopacz et al., 2011; Liu et al., 2015; Zhang et al., 2017;
136 Yang et al., 2018). However, most of them simulated transport processes at relatively coarse
137 horizontal resolutions (e.g., 20-100 km), which cannot resolve well the complex topography
138 of Himalayas. It is noteworthy that studies about the aerosol climatic impact over the TP also
139 used climate models at relatively coarse horizontal resolutions (e.g., Flanner and Zender,
140 2005; Menon et al., 2010; Kopacz et al., 2011; Qian et al., 2011, 2015; He et al., 2014; Zhang

141 et al., 2015; Ji et al., 2016). So far, there is only one study that used a chemical transport
142 model at a horizontal resolution of sub-10 km to investigate pollutant transport mechanisms
143 over the eastern Himalayas (Cao et al., 2010). Furthermore, none of studies assessed
144 quantitatively the impacts of topography on modeling the pollutant transport across the
145 Himalayas and hence on estimating aerosol distribution and radiative forcing over the TP.

146 In order to examine the potential impacts of complex topography on pollutant transport
147 across the Himalayas over the TP, this study conducts multiple experiments with the Weather
148 Research and Forecasting Model coupled with chemistry (WRF-Chem, Grell et al., 2005;
149 Skamarock et al., 2008). The WRF-Chem model is selected because it includes the
150 interaction between meteorology and aerosol and is widely used for regional modeling of
151 aerosol and its climatic impact (e.g., Cao et al., 2010; Zhao et al., 2010, 2011, 2012, 2014;
152 Wu et al., 2013; Gao et al., 2014; Huang et al., 2015; Fan et al., 2015; Feng et al., 2016;
153 Zhong et al., 2017; Sarangi et al., 2019; Liu et al., 2020). The model has also been used to
154 investigate the aerosol transport and climatic impact over the Himalayas region (e.g., Feng et
155 al., 2016; Cao et al., 2010; Sarangi et al., 2019). The model is suitable for simulations at
156 hydrostatic and non-hydrostatic scales and thus can be used for investigating the impacts of
157 resolution-dependent feature, such as topography, on modeling results. In particular, the
158 meteorological part of the model (WRF) has been systematically evaluated and used to
159 investigate the impacts of resolutions on simulations of moisture transport and climate over
160 the Himalayas region (e.g., Shi et al., 2008; Karki et al., 2017; Lin et al., 2018; Zhou et al.,
161 2017, 2018; Wang et al., 2020). All of these previous studies with the model lay the
162 foundation for this modeling study.

163 Two experiments with different topography representations are conducted to investigate
164 the impacts of topography complexity on the pollutant transport across the Himalayas and the
165 resulting radiative forcing over the TP. The simulations are conducted for April 2016 in
166 pre-monsoon season, because South Asia is seriously polluted during this period and the
167 pollutants transported to the TP during the period may have significant impacts on Asian
168 monsoon system (e.g., Lau et al., 2006a, b; Ding et al., 2009; Kuhlmann and Quaas, 2010;
169 Qian et al., 2011, 2015). In addition, the observed concentration of BC at the observation
170 station besides Mt. Everest shows an evident pollution episode from April 5th to 16th of 2016,
171 deserving the investigation of the transport mechanisms. The rest of the paper is organized as
172 follows. Section 2 describes briefly the WRF-Chem model, the physics parameterizations,
173 and the model configuration for this study, followed by a description of data for evaluation.

174 The series of numerical experiments at different resolutions are analyzed in Section 3. The
175 findings are then summarized and discussed in Section 4 and 5.

176

177 **2. Methodology**

178 **2.1 Model and experiments**

179 2.1.1 WRF-Chem model

180 In this study, the version of WRF-Chem updated by University of Science and
181 Technology of China (USTC version of WRF-Chem) is used. This USTC version of
182 WRF-Chem includes some additional capabilities such as the diagnosis of radiative forcing
183 of aerosol species, land surface coupled biogenic volatile organic compound (VOC) emission,
184 aerosol-snow interaction compared with the publicly released version (Zhao et al., 2013a, b,
185 2014, 2016; Hu et al., 2019; Du et al., 2020). The Model for Simulating Aerosol Interactions
186 and Chemistry (MOSIAC) (Zaveri et al., 2008) and the Carbon Bond Mechanism-Z (CBM-Z)
187 gas phase mechanisms (Zaveri and Peters, 1999) are selected. The MOSAIC aerosol scheme
188 uses an approach of segmentation to represent aerosol size distribution with four or eight
189 discrete size bins (Fast et al., 2006). It consists of a range of physical and chemical processes
190 such as nucleation, condensation, coagulation, aqueous phase chemistry, and water uptake by
191 aerosol. The parameterization of dry deposition of aerosol mass and number is according to
192 the method of Binkowski and Shankar (1995), including particle diffusion and gravitational
193 effects. Aerosol-cloud interactions were included in the model by Gustafson et al. (2007) for
194 calculating the activation and re-suspension between dry aerosols and cloud droplets. The wet
195 removal of grid-resolved stratiform clouds/precipitation includes two aspects, namely
196 in-cloud removal (rainout) and below-cloud removal (washout) by Easter et al. (2004) and
197 Chapman et al. (2009), respectively. Aerosol optical properties such as single scattering
198 albedo (SSA) and scattering asymmetry and so on are calculated at each model grid through
199 the function of wavelength. The shortwave (SW) and longwave (LW) refractive indices of
200 aerosols use the Optical Properties of Aerosols and Clouds (OPAC) data set (Hess et al.,
201 1998), with a detailed description of the computation of aerosol optical properties can be
202 found in Barnard et al. (2010) and Zhao et al. (2013a). For both short wave and long wave
203 radiation, aerosol radiation feedback combined with the Rapid Radiative Transfer Model
204 (RRTMG) (Mlawer et al., 1997; Iacono et al., 2000) was implemented by Zhao et al. (2011).
205 For the diagnosis of the optical properties and direct radiative forcing of various aerosol
206 species in the atmosphere, the method described by Zhao et al (2013a) is adopted. The

207 radiative forcing of light absorbing aerosol in surface snow is estimated with the Snow, Ice,
208 and Aerosol Radiative model (SNICAR) (Flanner and Zender, 2005) in the land surface
209 scheme as introduced by Zhao et al. (2014). More details about the coupling between the
210 WRF-Chem and SNICAR models can be found in Zhao et al. (2014).

211

212 2.1.2 Numerical experiments

213 In this study, the WRF-Chem simulations are performed with two nested domains
214 (one-way nesting), one outer domain at 20-km horizontal resolution with 350×250 grid cells
215 (62°E -112°E, 1°N -38°N) and one inner domain at 4-km horizontal resolution with 400×300
216 grid cells (75°E -92°E, 23°N -35°N) (Fig. 1). The inner domain roughly covers the entire
217 Himalayas. The WRF-Chem simulations conducted in this study use the terrain following
218 coordinate (Skamarock et al., 2008). To resolve the vertical structure of transport across the
219 Himalayas, the simulations are configured with 54 vertical layers and denser layers near the
220 surface. For example, averaged over a region (26°N-28°N, 76°E-80°E) near the southern
221 Himalayas, there are about 17 layers below 2 km above the ground (Fig. 2). The goal of this
222 study is to investigate the impacts of different representations of topography on the transport
223 of BC across the Himalayas. Therefore, besides this control experiment, one sensitivity
224 (idealized) experiment is also conducted with the same configuration as the control one
225 except that the terrain ~~heights~~ of the inner domain at 4-km resolution ~~is prescribed to~~
226 ~~follow that are bilinearly interpolated from the terrain heights~~ at 20-km resolution similar as
227 previous studies (e.g., Shi et al., 2008; Wu et al., 2012b; Lin et al., 2018). ~~More specifically,~~
228 ~~the sensitivity experiment applies a single value for each nested 5×5 grids over the inner~~
229 ~~domain as the corresponding grid of 20 km over the outer domain.~~ The two experiments are
230 referred to the simulations with complex and smooth topography, respectively, hereafter.

231 Fig. 3 shows the spatial distribution of terrain height over the inner domain with complex
232 (4-km dataset) and smooth (20-km dataset) topography. It is evident that the terrain is much
233 smoother from the 20-km dataset than from the 4-km dataset. The mountain ridges and
234 valleys can be resolved to some extent in the 4-km dataset but mostly missed or
235 underestimated at 20-km. The probability distributions of terrain height from the 20-km and
236 4-km datasets (Fig. S1 in the supporting material) show that the difference between the two
237 datasets is small for the terrain height lower than ~4.5 km but is significant for the terrain
238 height above ~4.5 km. ~~In addition, the slopes between the neighboring grids are significantly~~
239 ~~reduced in general with the smooth topography compared to with the complex topography.~~

240 [particularly over the Himalayas region \(Fig. S2 in the supporting material\)](#). The difference of
241 results from the two experiments over the inner domain is analyzed as the impacts of
242 topography representations. Therefore, all the results shown below are from the simulations
243 of the inner domain at 4-km resolution with different topography if not otherwise stated. It is
244 noteworthy that this study focuses on understanding the impact of complex topography
245 resolved by 4 km instead of the difference between 4-km and 20-km simulations. Prescribing
246 the topography at 4 km following the 20-km resolution distribution is just one way to smooth
247 the topography. In fact, the sensitivity experiment at 4-km resolution with the topography
248 from the one-degree resolution dataset is also conducted, and the result is consistent. In
249 addition, although the topography at 4-km resolution resolves much better topography of
250 Himalayas than that at 20-km resolution, it still cannot fully resolve the complexity of
251 topography of Himalayas. The higher resolution (e.g., 1 km or sub-1 km) may be needed.
252 Previous studies have found that the simulations at the resolutions between 1 km and 4 km
253 can produce generally consistent features, but the simulation at 1 km with better
254 representation of topography can produce a little better meteorological field compared to the
255 observations (e.g., Karki et al., 2017). One sensitivity experiment at 1.5-km resolution is also
256 conducted in this study and found the difference between the simulations at 1.5-km and 4-km
257 resolutions is relatively small. However, it should be noted that the simulation at 1.5-km
258 resolution is only conducted covering a much smaller region for a shorter period due to the
259 computational cost. The experiment at 4-km instead of 1.5-km resolution is conducted finally
260 for the study region and period due to the balance of resolving the complex topography to
261 some extent and affordable computational cost.

262 The simulations are conducted for March 29th-April 20 of 2016 for the reason as
263 discussed in the introduction. The results of April 1th-20th are analyzed for the observed
264 pollution episode to allow a few days spin-up for chemical initial condition. The
265 meteorological initial and lateral boundary conditions are derived from the European Centre
266 for Medium-Range Weather Forecasts (ECMWF) reanalysis data at $0.5^{\circ} \times 0.66^{\circ}$ horizontal
267 resolution and 6 h temporal intervals (ERA-Interim dataset). The modeled u and v component
268 wind, atmospheric temperature, and geopotential height over the outer domain are nudged
269 towards the reanalysis data with a nudging timescale of 6 h following previous studies (e.g.,
270 Stauffer and Seaman, 1990; Seaman et al., 1995; Liu et al., 2012; Zhao et al., 2014; Karki et
271 al., 2017; Hu et al., 2016, 2020). Spectral nudging method is applied to balance the
272 performance of simulation at the large and small scales (Liu et al., 2012), and only to the

273 layers above the planetary boundary layer (PBL) with nudging coefficients of $3 \times 10^{-4} \text{ s}^{-1}$. A
274 wave number of three is selected for both south-north and west-east directions. Please note
275 that the choices of nudging coefficients and wave numbers for spectral nudging in this study
276 are empirical. The purpose of nudging is to simulate reasonably large-scale feature so that
277 small-scale impacts from the complex topography can be focused. Therefore, the modeling
278 sensitivity to these choices is not tested in this study. The results show that the simulations
279 with nudging method can reproduce the large-scale circulation at 700 hPa and higher over the
280 outer domain compared to the reanalysis dataset with the spatial correlation coefficient of
281 0.96-0.98.

282 The Mellor-Yamada-Nakanishi-Niino (MYNN) planetary boundary layer scheme
283 (Nakanishi and Niino, 2006), Community Land Model (CLM) land surface scheme (Oleson
284 et al., 2010), Morrison 2-moment microphysics scheme (Morrison et al., 2009), Kain-Fritsch
285 cumulus scheme (Kain, 2004), and Rapid Radiative Transfer Model (RRTMG) longwave and
286 shortwave radiation schemes (Iacono et al., 2000) are used in this study. The chemical initial
287 and boundary conditions are provided by a quasi-global WRF-Chem simulation for the same
288 time period to include long-range transported chemical species. The quasi-global WRF-Chem
289 simulation is performed at $1^\circ \times 1^\circ$ horizontal resolution using a quasi-global channel
290 configuration with 360×130 grid cells (180°W - 180°E , 60°S - 70°N). More details about the
291 general configuration of quasi-global WRF-Chem simulation can be found in Zhao et al.
292 (2013b) and Hu et al. (2016). The detailed configuration of WRF-Chem experiments is
293 summarized in Table 1. Due to the lack of publicly available in-situ observations, this study
294 does not tend to evaluate systematically the simulated meteorological fields over the
295 Himalayas region. However, as shown in Table 1, the choice of physical parameterizations in
296 this study follows that of one previous study (Karki et al., 2017) that evaluated systematically
297 the WRF simulation for one entire year over the Himalayas region. Their results showed that
298 the WRF simulation at convection-permitting scale could generally capture the essential
299 features of meteorological fields such as precipitation, temperature, and wind over the
300 Himalayas region. Therefore, the WRF-Chem simulations in this study are reliable to
301 investigate the impacts of topography over the Himalayas region.

302

303 2.1.3 Emissions

304 Anthropogenic emissions for outer and inner simulation domains are obtained from the
305 Hemispheric Transport of Air Pollution version-2 (HTAPv2) at $0.1^\circ \times 0.1^\circ$ horizontal

306 resolution and a monthly temporal resolution for year 2010 (Janssens-Maenhout et al., 2015),
307 except that emissions of East Asia are from the MIX Asian anthropogenic emission inventory
308 at $0.1^\circ \times 0.1^\circ$ horizontal resolution for 2015 (Li et al., 2017). Biomass burning emissions are
309 obtained from the Fire Inventory from National Center for Atmospheric Research (FINN)
310 with hourly temporal resolution and 1-km horizontal resolution (Wiedinmyer et al., 2011) for
311 the simulation period, and are vertically distributed following the injection heights suggested
312 by Dentener et al. (2006) from the Aerosol Comparison between Observations and Models
313 (AeroCom) project. Sea-salt emission follows Zhao et al. (2013b), which includes correction
314 of particles with radius less than $0.2 \mu\text{m}$ (Gong, 2003) and dependence of sea-salt emission
315 on sea surface temperature (Jaeglé et al., 2011). The vertical dust fluxes are calculated with
316 the Georgia Tech/Goddard Global Ozone Chemistry Aerosol Radiation and Transport
317 (GOCART) dust emission scheme (Ginoux et al., 2001), and the emitted dust particles are
318 distributed into the MOSAIC aerosol size bins following a theoretical expression based on
319 the physics of scale-invariant fragmentation of brittle materials derived by Kok (2011). More
320 details about the dust emission scheme coupled with MOSAIC aerosol scheme in
321 WRF-Chem can be found in Zhao et al. (2010, 2013b).

322 As shown in Fig. 1, anthropogenic fossil fuel emissions of BC are high over Northeast
323 India. The fossil fuel BC emissions over Nepal, the country nearby the southern Himalayas,
324 are relatively low. Instead, biomass burning emissions of BC are extremely high in Nepal and
325 Northwest India (South Himalayas, 26°N - 29°N). Averaged over the South Himalayas of
326 inner domain that may significantly affect the pollutant transport into the TP, the biomass
327 burning emissions of BC are much higher than its anthropogenic fossil fuel emissions,
328 particularly for the pollution episode (Fig. 4). The anthropogenic BC emissions are set
329 constant through April, while biomass burning emissions show a strong fire event in April
330 5-16. During the event, the biomass burning BC emissions can be a factor of 2 of the
331 anthropogenic fossil fuel BC emissions over South Himalayas.

332

333 **2.2 Dataset**

334 Three datasets are used to compare with the modeling results to demonstrate the
335 pollutant episode and spatial distribution. One is from the Moderate Resolution Imaging
336 Spectroradiometer (MODIS) instruments on Aqua and Terra satellites. The MODIS Aerosol
337 Product monitors the ambient aerosol optical thickness over the oceans globally and over the
338 continents. Daily Level 2 Aerosol Optical Depth (AOD) at 550 nm products with the spatial
339 resolution of $10 \text{ km} \times 10 \text{ km}$ (at nadir) from both Aqua and Terra are applied. When compared

340 with the modeling results, the simulations are sampled at the satellite overpass time and
341 location. The second one is from the Aerosol Robotic Network (AERONET) (Holben et al.,
342 1998) that has ~100 similar globally distributed sun and sky scanning ground-based
343 automated radiometers, which provide measurements of aerosol optical properties throughout
344 the world (Dubovik and King, 2000; Dubovik et al., 2002). In this study, AERONET
345 measured AOD at 675 nm and 440 nm from two sites over the TP, QOMS_CAS site
346 (86.95°E, 28.36°N) and NAM_CO site (90.96°E, 30.77°N) are used to derive the AOD at
347 550 nm (using the Angström exponent) for comparison with modeling results at 550 nm. All
348 of the retrievals of AOD are at quality level 2, and the uncertainty of AOD measurements is
349 about 0.01 (Holben et al., 2001). In this study, the available data in April 2016 are used to
350 evaluate the modeling results during the same period.

351 The third one is the measurement of near-surface BC mass concentration collected
352 during the simulation period for April 4-20 of 2016 at the Qomolangma Station for
353 Atmospheric and Environmental Observation and Research (QOMS, 86.95°E, 28.36°N)
354 which is located at the northern slope of the Mt. Everest, about 4276 meters above sea level.
355 The BC mass concentration is measured with the widely-used instrument Aethalometer
356 (AE-33) that can provide real-time BC mass concentration measurements. The calibration of
357 air flow is routinely conducted to maintain the data quality. The instrument estimates the BC
358 mass concentration based on the optical method through measuring the reduction in light
359 intensity induced by BC. The method assumes that the relationship between attenuation and
360 BC surface loading is linear for low attenuation values. However, this relationship becomes
361 nonlinear when the attenuation values are high due to a filter saturation effect, which may
362 lead to underestimation of the high BC concentration. The detection limit of AE-33
363 instrument is 5 ng/m³, and the uncertainty is estimated to be within 10% (e.g., Chen et al.,
364 2018; Bansal et al., 2019; Kant et al., 2019). The dataset of BC mass concentration used in
365 this study was reported by Chen et al., (2018), where more details about the measurements
366 can be found.

367

368 **3. Results**

369 **3.1 Spatial distribution of BC around the TP**

370 Figure 5 shows the spatial distributions of column integrated BC mass within the inner
371 domain from the simulations at 4-km resolution with complex and smooth topography
372 averaged for April 1-20, 2016, and the difference between the two is also shown. For both

373 experiments, the Himalayas is an apparent boundary line for the distribution of BC with a
374 sharp gradient across the Himalayas. The high BC mass loading exists near the southern
375 Himalayas reaching over 10 mg/m^2 , which is largely contributed by the biomass burning
376 emissions during the period (Fig. 4), while the value reduces significantly to less than 0.4
377 mg/m^2 over the TP. The BC mass loading near the central and eastern Himalayas is higher
378 than that near the western Himalayas. In general, the column BC mass loading from the
379 simulation with complex topography is higher over the TP and lower over the region to the
380 south of Himalayas compared with the smooth topography, reflecting the stronger transport
381 of BC from the source region to the Himalayas and TP due to the complex topography (see
382 the discussion in Section 3.2). Figure 6 displays the spatial distributions of AOD from the
383 MODIS retrievals and the simulations at 4 km with two different topography averaged for
384 April 1-20, 2016. In general, both simulations reproduce the overall spatial distribution of
385 AOD, with the large values near the southern Himalayas, consistent with the BC mass
386 loading. In addition, both the simulations and satellite retrievals show higher AOD near the
387 central and eastern Himalayas than that near the western Himalayas during the study period.
388 The difference between the simulations and retrievals may be partly related to the
389 uncertainties in emissions particularly for biomass burning emissions. Other than intense
390 emissions, the wind circulation around the TP may also play an important role in
391 accumulating BC near the southern Himalayas. Because of the block of Himalayas, the wind
392 circulation at 500 hPa is divided into two branches as westerly and northwesterly. Both of
393 them are relatively dry airflows with little effect on pollutant removal, favor the accumulation
394 of pollutants near the southern Himalayas, and carry the pollutants to the TP (e.g., Dumka et
395 al., 2010; Kang et al., 2016; Cong et al., 2015a).

396 The AOD retrieved at two AERONET sites over the TP are compared with the two
397 simulations for April 1-20, 2016 (Fig. 7). The AOD at the QOMS_CAS site near the northern
398 Himalayas is higher than that at the NAM_CO site inside of the TP. Both simulations can
399 capture this gradient. The simulation with complex topography produces higher AOD than
400 does the one with smooth topography at both sites. The modeling biases (normalized mean
401 bias, NMB) reduce from -46% (smooth topography) to 9% (complex topography) at the
402 QOMS_CAS site and from -26% (smooth topography) to -10% (complex topography) at the
403 NAM_CO site. Although the correlation coefficient between the simulations and observation
404 increases from 0.37 (smooth topography) to 0.53 (complex topography) at the QOMS_CAS
405 site, it is similar (~ 0.2) between the two simulations at the NAM_CO site. The correlation
406 coefficient is higher at the QOMS_CAS site near the source region than the NAM_CO site

407 farther away, which may indicate the model processes affecting the transport over the TP still
408 need examination with more observations. The NAM_CO site over the eastern TP may also
409 be affected by other sources that are not counted in this study. The modeling of temporal
410 variations of pollutants over the TP deserves further investigation with more observations.

411 There is one in-situ observational station (QOMS) near the Mt. Everest (black dot shown
412 in Fig. 1) to collect the near-surface BC concentration. The observed near-surface BC
413 concentration at this station is compared with the corresponding simulations for this period as
414 shown in Figure 8. Without local emission source, the near-surface BC concentration at
415 QOMS is primarily contributed by the transport. The temporal variation of observed
416 near-surface BC concentration correlates highly with the biomass burning emissions as
417 shown in Fig. 4, with the peak value on April 11 reaching $\sim 3 \text{ ug/m}^3$. One sensitivity
418 experiment without biomass burning emissions shows that the simulated BC concentration at
419 QOMS will be significantly reduced without the peak (not shown), which further proves that
420 the BC concentration over the northern Himalayas can be largely influenced by the pollution
421 episode near the southern Himalayas. It is noteworthy that both simulations can reproduce the
422 episode in time and magnitude, and the difference at this station is small. The spatial
423 distribution of difference in near-surface BC concentration between the two simulations (Fig.
424 [S2S3](#)) is more heterogeneous than that of column BC mass (Fig. 5), reflecting the impact of
425 topography on near-surface transport (see the discussion in Section 3.2).

426

427 **3.2 Transport flux into the TP**

428 To further understand the difference in BC near-surface concentration and column mass
429 loading over the TP between the two simulations with different topography, Figure 9 shows
430 the longitude-height cross section of BC transport flux along the cross line (shown as the
431 black dash line in Fig. 3) from the two simulations at local time (LT) 03:00 and 15:00
432 averaged for April 1-20 to represent nighttime and daytime transport, respectively. The PBL
433 height along the cross line is also shown as the black dash line. The transport flux is
434 calculated by projecting the wind field perpendicularly to the cross line and then multiplying
435 the BC mass concentration along the cross line. More specifically, the transport flux is
436 calculated as following:

$$437 \quad \text{TF} = C * (u * \sin \alpha + v * \sin \beta) \quad (1)$$

438 Where α is the angle between east-west wind component and the cross line, β is the angle
439 between south-north wind component and the cross line, and C is the BC mass

440 concentration at the grid along the cross line. The flux is estimated at each model level.
441 Positive values represent the transport towards the TP, while negative values represent the
442 transport away from the TP. It is evident that BC is imported into the TP during the day and
443 night on the west of $\sim 85^\circ\text{E}$, although the transport flux is much larger during the daytime
444 than nighttime. On the east of $\sim 85^\circ\text{E}$, BC is imported into the TP during the day but exported
445 slightly from the TP during the night. The difference of transport flux between the western
446 and eastern Himalayas is primarily due to the influence of large-scale westerly that is weak
447 over the eastern Himalayas (Fig. 5). The transport across the western Himalayas is controlled
448 by the large-scale westerly, while local southerly dominates the transport across the eastern
449 Himalayas and also influences the transport across the central Himalayas (Fig. S3S4 in the
450 supporting material). The stronger diurnal variation of local southerly (towards the TP in the
451 daytime to away from the TP in the nighttime) than that of westerly near the surface (Fig.
452 S3S4) leads to the large difference in diurnal variation of transport between the western and
453 eastern Himalayas. The strong transport is primarily within the PBL during the daytime, and
454 the deeper PBL during the daytime allows BC over the source region mixed to higher altitude,
455 which also leads to stronger import transport during the day than the night. The relatively
456 small difference in simulated PBL heights and structure between the two experiments can be
457 due to their different surface heating resulted from different topography complexity (e.g.,
458 Wagner et al., 2014).

459 The difference between the simulations with two different topography is evident. The
460 mountain ridges are much higher and valleys are much deeper with the complex topography
461 than with the smooth topography. The simulation with smooth topography produces
462 overwhelming crossing-Himalayas transport towards the TP within the PBL, in particular
463 during the daytime. Although, in the simulation with complex topography, the mountain
464 ridges resolved weaken the crossing-Himalayas transport compared to the simulation with
465 smooth topography, the overall positive values near the surface indicate that the transport can
466 overcome most mountain ridges along the Himalayas. The transport fluxes near the surface
467 from the simulation with complex topography become close-to-zero only at a few mountain
468 ridges that are 6.5 km or higher. To better demonstrate the transport pathway across mountain
469 ridges, one cross-section across the mountain ridge as shown as one black solid line in Fig. 3
470 is taken as one example. Figure 10 shows the latitude-height cross section of BC mass
471 concentration and transport flux across one mountain ridge from the simulations with
472 complex and smooth topography at local time (LT) 03:00 and 15:00 averaged for April 1-20,
473 2016. Near the southern part of mountain, the elevated concentration of BC mass

474 accumulates and can mix up reaching as high as 5 km with the much stronger transport
 475 during the daytime. It is obvious that the mountain ridge in the simulation with smooth
 476 topography is quite low. With the high mountain ridge resolved by the complex topography,
 477 the simulated BC transport flux can still cross the mountain. Analysis of transport flux across
 478 a few more mountain ridges indicates similar results (not shown). The results above indicate
 479 that the transport of pollutants can cross a majority of mountain ridges of Himalayas, which
 480 is consistent with the observation-based estimate by Gong et al. (2019) that also found
 481 pollutants could overcome the blocking effect of mountain ridges of Himalayas as a transport
 482 pathway. On the other hand, the resolved deeper valleys in the simulation with complex
 483 topography enhance the transport flux compared to the one with the smooth topography.
 484 Similarly, Figure 11 shows one example of latitude-height cross section of BC mass
 485 concentration and transport flux across one valley from the simulations with complex and
 486 smooth topography at local time (LT) 03:00 and 15:00 averaged for April 1-20, 2016. The
 487 transport is much stronger and deeper along the valley from the simulation with complex
 488 topography than the one with smooth topography. Again, analysis of transport flux across a
 489 few more valleys does not show different results (not shown).

490 In order to further demonstrate the overall inflow flux across the Himalayas, the
 491 vertically integrated BC mass flux along the longitudinal cross section (as shown in Fig. 9)
 492 from the simulations with different topography is shown in Figure 12. The terrain heights
 493 from the two simulations along the cross section are also shown as black lines. The total mass
 494 flux is calculated by integrating the right-hand term of equation (1) as following:

$$495 \quad \text{ITF} = \int_{z=z_{sf c}}^{z=z_{top}} \delta z * C * (u * \sin \alpha + v * \sin \beta) \quad (2)$$

496 Where δz is the thickness of each vertical model level. Similarly, positive values represent
 497 the transport towards the TP, while negative values represent the transport away from the TP.
 498 More evidently, the positive BC inflows towards the TP occur not only through the valleys
 499 but also across the mountain ridges with both topography. The negative values only exist to
 500 the east of 88°E. With complex topography, higher mountain ridges can reduce the transport
 501 flux to some extent compared to the smooth topography. The complex topography results in
 502 significantly larger BC inflow towards the TP compared to the smooth topography,
 503 particularly corresponding to the deep valleys, such as the Karnali River Valley around 82°E
 504 and the Kali Gandaki Valley around 84°E.

505 One reason for the enhanced transport across the Himalayas with the complex
 506 topography is the resolved deeper valleys that lead to the increased valley wind. The wind

507 across some valleys can be significantly larger with the complex topography than the smooth
508 one (Fig. S3S4). The enhanced valley wind across the Himalayas has also been found by
509 previous studies with observations and numerical simulations (e.g., Egger et al., 2000; Zängl
510 et al., 2001; Carrera et al., 2009; Karki et al., 2017; Lin et al., 2018). However, it is
511 noteworthy that previous studies have found that the orographic drag (including gravity wave
512 drag and turbulence orographic form drag) over the region with complex topography, such as
513 the Himalayas and other mountainous areas, would weaken the overall near-surface wind
514 speed (e.g., Beljaars et al., 2004; Horvath et al., 2012; Jiménez and Dudhia, 2012; Zhou et al.,
515 2017, 2018; Lin et al., 2018; Wang et al., 2020). Therefore, the near-surface wind speed is
516 also examined. The complex topography does lead to the overall reduction of near-surface
517 wind speed over the Himalayas area (Fig. S4S5 in the supporting material), which is
518 consistent with previous studies. However, it is interesting to note that the near-surface
519 southerly wind during the daytime of the simulation period is overall increased over the
520 Himalayas area with the complex topography (Fig. 13), which indicates that the transport
521 towards the TP is strengthened with the complex topography in the daytime, particularly over
522 the central and eastern Himalayas where the BC mass loading is higher (Fig. 5). During the
523 night, the meridional wind is dominated by northerly over the Himalayas region in the
524 simulation with the smooth topography. The complex topography weakens the transport
525 away from the TP or change the wind direction from northerly to southerly over some areas
526 of Himalayas. Both effects enhance the overall transport efficiency across the Himalayas
527 towards the TP. Therefore, although the complex topography weakens the overall
528 near-surface wind speed around the Himalayas, it induces more realistic small-scale
529 mountain-valley circulation that favors the BC transport across the Himalayas towards TP
530 during the study period. Another effect of resolving valleys is that the volume of
531 relatively-high-concentration BC could be higher with deeper valleys (Fig. S5S6 in the
532 support material), which can also result in stronger transport towards the TP even if the wind
533 condition is similar. For example, the altitude (above the ground) below which the BC mass
534 concentration is larger than $0.3 \mu\text{g}/\text{m}^3$ is much higher along the valleys with the complex
535 topography than with the smooth topography (Fig. S6S7 in the support material). The
536 correlation coefficient between the difference of terrain heights of valleys and of volumes of
537 relatively-high-concentration BC can reach -0.76, indicating that the lower the valleys are,
538 the higher the volumes of BC mass can be transported across the Himalayas. The combined
539 influence of these factors results in significantly enhanced BC transport towards the TP with
540 the complex topography (Fig. 12), which can also be demonstrated by the distributions of

541 wind and BC mass concentration along the longitudinal cross section (Fig. S7aS8a, b in the
542 support material).

543 The enhanced transport across the Himalayas turns out that the overall BC inflow with
544 the complex topography is much stronger than that with the smooth topography. Figure 14
545 shows the accumulated integrated total transport flux of BC across the Himalayas estimated
546 from the simulations with complex and smooth topography for April 1-20, 2016. The
547 accumulated import flux of BC increases during the period in both experiments, and the
548 difference between the two experiments gradually increases with the time. At the end of
549 period, the simulation with complex topography estimates a total import flux of BC of
550 $\sim 1.5 \times 10^4$ Ton that is $\sim 50\%$ higher than $\sim 1.0 \times 10^4$ Ton estimated based on the simulation with
551 smooth topography. The sensitivity analysis by moving the cross line (cross-section of the
552 analysis in Fig. 9, 12, 14) towards or away from the TP within a certain distance and
553 re-calculating the flux indicates that the impacts of topography on the simulated results do
554 not change significantly.

555 All the analysis above focuses on investigating the BC transport flux across the
556 Himalayas. Although the inflow can reflect the impact of transport on the BC mass over the
557 TP to some extent, the change of BC mass concentration is eventually determined by the
558 convergence of transport. Therefore, the contribution of each model process (transport,
559 dry-deposition, emission, PBL mixing, and wet deposition) to the increase of BC column
560 mass averaged over the TP (with elevation > 4 km) during this episode is analyzed for both
561 simulations following the methodology introduced by Du et al. (2020). The results show that
562 the two main processes affecting the BC column mass over the TP during the period are
563 transport and dry deposition. The transport is the dominant process that increases the BC
564 column mass over the TP, while the dry deposition reduces it. The contribution of transport to
565 the increase of BC column mass over the TP during the episode from the simulation with
566 complex topography is significantly larger than that with the smooth topography, which is
567 consistent with the results shown by analyzing the transport flux across the Himalayas.
568 Although the impacts of PBL mixing and wet deposition on the BC column mass over the TP
569 are also different between the simulations with different topography, their impacts are much
570 smaller than those of transport and dry deposition during the study period.

571

572 **3.3 Radiative forcing of BC over the TP**

573 The BC transported over the TP could significantly influence the regional climate and
574 water resources over Asia through heating the atmosphere and accelerating the melting of
575 snow and glacier (e.g., Qian et al., 2011, 2015; Lau et al., 2017). Therefore, the impact of the
576 complex topography on estimating the BC radiative heating profile in the atmosphere and
577 radiative forcing in surface snow deserves investigation. Figure 15 shows the vertical profiles
578 of BC induced radiative heating rate in the atmosphere averaged over the TP (with
579 elevation > 4 km) within the inner domain shown in Fig.1 for April 1-20, 2016 from the
580 simulations with complex and smooth topography. Both simulations generate higher BC
581 heating rate near the surface and the rate gradually decreases with altitude, which is
582 consistent with the vertical profiles of BC mass concentration averaged over the TP (Fig.
583 [S8S9](#) in the supporting material). The BC heating rate over the TP from the simulation with
584 complex topography is ~0.17 K/day near the surface and reduces to ~0.08 K/day at 8 km,
585 which is ~50% and ~30%, respectively, higher than that from the simulation with smooth
586 topography at the corresponding altitudes. The higher BC heating rate over the TP estimated
587 by the simulation with complex topography is consistent with its higher BC column mass
588 (Fig. 5) and concentration profile (Fig. [S8S9](#)).

589 The BC radiative forcing in surface snow is controlled by both the distributions of BC
590 mass concentration and snow coverage (e.g., Zhao et al., 2014). Figure 16 shows the spatial
591 distributions of snow water equivalent (SWE) averaged for April 1-20, 2016 from the
592 simulations with two topography. The difference between the two is also shown. It shows
593 that the simulation with complex topography generates more areas with higher SWE
594 compared to that with the smooth topography over the TP. Along the Himalayas, the
595 simulated SWE is higher over the mountain ridges with the complex topography, particularly
596 for the East Himalayas, while the smooth topography leads to broader snow coverage over
597 the West Himalayas. The difference in SWE between the two simulations is highly correlated
598 with their difference in precipitation (Fig. [S9S10](#) in the supporting material). Along the
599 Himalayas, the simulated precipitation with the complex topography is larger than that with
600 the smooth topography at the mountain ridges and smaller at the valleys. Over the TP, the
601 overall precipitation is larger with the complex topography than that with the smooth
602 topography (Fig. [S9S10](#)). Previous studies have found that the topography could significantly
603 affect the precipitation over the Himalayas region (e.g., Bookhagen and Burbank, 2010; Wulf
604 et al., 2016; Cannon et al., 2017; Karki et al., 2017).

605 Figure 17 shows the spatial distributions of BC radiative forcing in the surface snow
606 over the TP averaged for April 1-20, 2016 from the simulations with two topography, and the

607 difference between the two is also shown. The BC radiative forcing in surface snow is largely
608 coincident with the spatial distributions of SWE as shown in Fig. 16, mainly due to the
609 heterogeneous distributions of snow cover over the TP. The BC radiative forcing in surface
610 snow over the TP from the simulation with complex topography reaches 5 W/m^2 where the
611 snow exists, larger than that with the smooth topography. Along the Himalayas, the
612 simulation with complex topography produces higher BC snow forcing over the mountain
613 ridges, particularly over the eastern Himalayas, while the one with the smooth topography
614 simulates higher BC snow forcing over most areas of western Himalayas due to its broader
615 snow coverage there. Overall, the complex topography leads to higher BC forcing in snow
616 over the TP and the eastern Himalayas and lower BC forcing in snow over the western
617 Himalayas, and therefore results in the different distribution of BC forcing in snow over the
618 TP and Himalayas, compared to that with the smooth topography.

619

620

621

622 **4. Summary**

623 In this study, the model experiments with different topography are conducted to
624 illustrate the impacts of complexity of topography of Himalayas on BC transport from South
625 Asia to the TP. The observed pollution episode at the QOMS station besides the Mt. Everest
626 during the pre-monsoon season is simulated. The observed near-surface BC concentration
627 shows a peak of $\sim 3 \text{ ug/m}^3$ much larger than the background value of $< 0.4 \text{ ug/m}^3$ over the TP.
628 The observed temporal variation of near-surface BC concentrations correlates highly with
629 that of biomass burning emissions near the southern Himalayas, indicating the significant
630 impacts of biomass burning on the pollutants over the TP. The simulations can reproduce the
631 episode in time and magnitude, and are used to investigate the BC transport mechanisms and
632 the impacts of topography.

633 The high BC mass loading during the simulation period accumulates near the southern
634 Himalayas driven by the large-scale westerly and small-scale southerly circulations, which is
635 also observed by satellites. The modeling results demonstrate that the circulations favor the
636 accumulation of pollutants near the Himalayas, particularly over the central and eastern parts,
637 and can carry the pollutants to the TP during the study period, which is consistent with
638 previous modeling studies (e.g., Kopacz et al., 2011). It is noteworthy that the BC
639 accumulated near the southern Himalayas can be transported across the Himalayas

640 overcoming a majority of mountain ridges, which is consistent with the observation-based
641 estimate by Gong et al. (2019) that also found pollutants could overcome the blocking effect
642 of the mountain ridges of Himalayas. However, the transport through the valleys is found
643 much stronger and more efficient than across the mountain ridges and the enhancement effect
644 cannot be ignored. The complex topography results in 50% higher overall transport flux
645 across the Himalayas during the simulation period than that with the smooth topography,
646 primarily due to the strengthened efficiency of near-surface meridional transport towards the
647 TP, enhanced wind speed at some valleys, and deeper valley channels associated with larger
648 BC mass volume that can be transported into the TP, although the overall wind speed is
649 weakened due to the orographic drags with the complex topography. This turns out that the
650 simulation with complex topography produces 30-50% higher BC radiative heating rate in
651 the atmosphere up to 10 km averaged over the TP than does the simulation with smooth
652 topography.

653 For the BC radiative forcing in surface snow, the simulation with complex topography
654 produces stronger forcing over the TP than that with the smooth one. The complex
655 topography makes the distribution of BC forcing in surface snow quite different from the
656 simulation with smooth topography, partly due to its different distribution of surface snow.
657 The simulated BC radiative forcing in snow is distributed more heterogeneously than those in
658 previous studies using global models at relatively coarse resolutions (e.g., Qian et al., 2011).
659 He et al. (2014) used a global chemical transport model to simulate the BC forcing in snow at
660 the horizontal resolution of $\sim 0.2^\circ$ and obtained the similar distribution as the simulation with
661 smooth topography in this study with the high values over the western Himalayas. However,
662 their simulated values near the Himalayas are higher than the simulated results of this study,
663 which may be due to their estimation are averaged for November-April.

664 This study highlights the importance of resolving complex topography of the Himalayas
665 in modeling the aerosol transport across the Himalayas and radiative impact over the TP.
666 Although this study focuses on the impacts of topography on the simulated results, the
667 additional analysis (Fig. [S10-12S11-13](#) in the supporting material) of the outer domain
668 simulation at 20-km resolution and the inner domain simulation at 4 km with different
669 topography indicates that the resolution-dependent difference between 20 km and 4 km is
670 largely contributed by their different representations of topography over the Himalayas
671 region, consistent with previous studies (e.g., Karki et al., 2017; Lin et al., 2018). Climate
672 models at coarser horizontal resolutions than 20 km and thus with relatively smooth
673 topography may underestimate the aerosol transport from South Asia to the TP during the

674 pre-monsoon season and represent inappropriately the aerosol radiative forcing in the
675 atmosphere and surface snow over the TP.

676

677 **5. Discussion**

678 Previous studies also found the induced change of circulation and transport due to the
679 complex topography at convection-permitting scales with the focus on the meteorological
680 fields over the Himalayas and TP regions (e.g., Karki et al., 2017; Zhou et al., 2017, 2018;
681 Lin et al., 2018; Wang et al., 2020). Most of them either conducted the sub-10 km
682 simulations covering a relatively smaller region (e.g., 101×96 grids at 5 km in Karki et al.,
683 2017; 181×121 grids at 2 km in Lin et al., 2018; ~330×230 grids at 3 km in Wang et al., 2020)
684 compared to this study (400×300 grids at 4 km) or conducted the simulations covering the
685 entire Himalayas but at the resolutions above 10 km and with the sub-grid
686 orographic drag parameterization to consider the impact of complex topography. Although
687 some of previous studies also showed that the resolved complex topography yielded more
688 realistic small-scale mountain-valley circulations and enhanced valley winds over the
689 Himalayas region compared to the smoother topography, the overall moisture transport
690 across the Himalayas towards the TP was weaker with the complex topography due to the
691 orographic drags.

692 The difference between previous studies and this study can be due to several factors.
693 First, previous studies focused on moisture instead of air pollutants. The spatial (horizontal
694 and vertical) distributions between air pollutants and moisture are different and may
695 contribute to the different impacts of topography on the overall transport flux across the
696 Himalayas. However, the analysis of the moisture from the simulations in this study shows
697 the increase of moisture transport (not shown) and hence the increase of precipitation over
698 the TP with the complex topography (Fig. [S9S10](#)). Second, most of previous studies focused
699 on monsoon season instead of pre-monsoon season. Therefore, the meteorological
700 simulations for monsoon season (June-July-August) at different resolutions are also
701 conducted in this study. The results show that the moisture transport and precipitation are
702 reduced at the higher resolution with complex topography and the meridional wind is overall
703 weakened particularly over the central and eastern Himalayas and TP (not shown), which is
704 consistent with previous studies. This may indicate that the different large-scale circulations
705 between the two seasons (much stronger southerly during the monsoon season) may also lead

706 to different impacts of complex topography on meridional winds and hence cross-Himalayas
707 transport.

708 Since this study only demonstrates the potential impacts for a relatively short period, a
709 longer-term study should be conducted to examine the impacts of topography on aerosol
710 climatic effect over the TP in both pre-monsoon and monsoon seasons. In addition, the active
711 convection during the monsoon season may also play an important role on pollutant transport
712 across the Himalayas, which deserves further investigation. Furthermore, aerosol impact on
713 cloud and precipitation, particularly during the monsoon season, and thus on the latent heat in
714 the atmosphere and the associated responses may also depend on the complex topography.
715 Previous studies based on observations found that the rain frequency and intensity reached
716 the highest and the cloud thickness reached the deepest at the foothill of Himalayas and
717 decreased as the elevation increased up to the TP (e.g., Chen et al., 2017; Fu et al., 2018;
718 Zhang et al., 2018), which was explained by Fu et al. (2018) due to the blocking of the air
719 flow by the steep slope of southern Himalayas. However, the large amount of transported
720 aerosol along the slope from the foothill up to the TP may also play a role. These potential
721 impacts of aerosols on regional hydro-climate around the TP and over Asia using
722 high-resolution model that can resolve the complex topography of Himalayas and TP deserve
723 further investigation.

724

725 **Data availability**

726 The released version of WRF-Chem can be downloaded from
727 http://www2.mmm.ucar.edu/wrf/users/download/get_source.html. The updated USTC
728 version of WRF-Chem can be downloaded from <http://aemol.ustc.edu.cn/product/list/> or
729 contact chunzhao@ustc.edu.cn. Also, the code modifications will be incorporated the
730 release version of WRF-Chem in future.

731

732 **Author contributions**

733 Meixin Zhang and Chun Zhao designed the experiments, conducted and analyzed the
734 simulations. All authors contributed to the discussion and final version of the paper.

735

736 **Acknowledgements**

737 This research was supported by the National Key Research and Development Program of
738 China (2016YFA0602001), the National Natural Science Foundation of China NSFC (Grant

739 No. 91837310), the second Tibetan Plateau Scientific Expedition and Research Program
740 (STEP) (2019QZKK0605), and the Fundamental Research Funds for the Central Universities.
741 The study used computing resources from the High-Performance Computing Center of
742 University of Science and Technology of China (USTC) and the TH-2 of National
743 Supercomputer Center in Guangzhou (NSCC-GZ).
744

745 **Reference**

- 750 Bansal, O., Singh, A., and Singh, D.: Characteristics of Black Carbon aerosols over Patiala
751 Northwestern part of the IGP: Source apportionment using cluster and CWT analysis,
752 Atmospheric Pollution Research, 10, 244–256, doi:10.1016/j.apr.2018.08.001, 2019.
- 753 Barnard, J. C., Fast, J. D., Paredes-Miranda, G., Arnott, W. P., and Laskin, A.: Technical
754 Note: Evaluation of the WRF-Chem "Aerosol Chemical to Aerosol Optical Properties"
755 Module using data from the MILAGRO campaign, Atmos. Chem. Phys., 10, 7325–7340,
756 doi:10.5194/acp-10-7325-2010, 2010.
- 757 Beljaars, A. C., Brown, A. R., and Wood, N.: A new parametrization of turbulent orographic
758 form drag, QJ Roy. Meteorol. Soc., 130, 1327–1347, doi: 10.1256/qj.03.73, 2004.
- 759 Barnett, T. P., Adam, J. C., and Lettenmaier, D. P.: Potential impacts of a warming climate
760 on water availability in snow-dominated regions, Nature, 438, 303–309,
761 doi:10.1038/nature04141, 2005.
- 762 Binkowski, F. S. and Shankar, U.: The Regional Particulate Matter Model: 1. Model
763 description and preliminary results, J. Geophys. Res., 100, 26191, doi:10.1029/95JD02093,
764 1995.
- 765 Bookhagen, B. and Burbank, D. W.: Toward a complete Himalayan hydrological budget:
766 Spatiotemporal distribution of snowmelt and rainfall and their impact on river discharge, J.
767 Geophys. Res., 115, 39, doi:10.1029/2009JF001426, 2010.
- 768 Boos, W. R. and Kuang, Z.: Sensitivity of the South Asian monsoon to elevated and
769 non-elevated heating, Scientific reports, 3, 1192, doi:10.1038/srep01192, 2013.
- 770 Cannon, F., Carvalho, L. M. V., Jones, C., Norris, J., Bookhagen, B., and Kiladis, G. N.:
771 Effects of topographic smoothing on the simulation of winter precipitation in High
772 Mountain Asia, J. Geophys. Res. Atmos., 122, 1456–1474, doi:10.1002/2016JD026038,
773 2017.
- 774 Cao, J., Tie, X., Xu, B., Zhao, Z., Zhu, C., Li, G., and Liu, S.: Measuring and modeling black
775 carbon (BC) contamination in the SE Tibetan Plateau, Journal of Atmospheric Chemistry,
776 67, 45–60, doi:10.1007/s10874-011-9202-5, 2010.
- 777 Carrera, M. L., Gyakum, J. R., and Lin, C. A.: Observational Study of Wind Channeling
778 within the St. Lawrence River Valley, J. Appl. Meteorol. Clim., 48, 2341–2361,
779 doi:10.1175/2009JAMC2061.1, 2009.
- 780 Chapman, E. G., Gustafson, W. I., Easter, R. C., Barnard, J. C., Ghan, S. J., Pekour, M. S.,
781 and Fast, J. D.: Coupling aerosol-cloud-radiative processes in the WRF-Chem model:

782 Investigating the radiative impact of elevated point sources, *Atmos. Chem. Phys.*, 9,
783 945–964, doi:10.5194/acp-9-945-2009, 2009.

784 Chen, J. and Bordoni, S.: Orographic Effects of the Tibetan Plateau on the East Asian
785 Summer Monsoon: An Energetic Perspective, *J. Climate*, 27, 3052–3072,
786 doi:10.1175/JCLI-D-13-00479.1, 2014.

787 Chen, X., Kang, S., Cong, Z., Yang, J., and Ma, Y.: Concentration, temporal variation, and
788 sources of black carbon in the Mt. Everest region retrieved by real-time observation and
789 simulation, *Atmos. Chem. Phys.*, 18, 12859–12875, doi:10.5194/acp-18-12859-2018,
790 2018.

791 Chen, Y., Fu, Y., Xian, T., and Pan, X.: Characteristics of cloud cluster over the steep
792 southern slopes of the Himalayas observed by CloudSat, *Int. J. Climatol.*, 37, 4043–4052,
793 doi:10.1002/joc.4992, 2017.

794 Cong, Z., Kang, S., and Qin, D.: Seasonal features of aerosol particles recorded in snow from
795 Mt. Qomolangma (Everest) and their environmental implications, *Journal of environmental
796 sciences (China)*, 21, 914–919, doi:10.1016/S1001-0742(08)62361-X, 2009.

797 Cong, Z., Kang, S., Kawamura, K., Liu, B., Wan, X., Wang, Z., Gao, S., and Fu, P.:
798 Carbonaceous aerosols on the south edge of the Tibetan Plateau: concentrations,
799 seasonality and sources, *Atmos. Chem. Phys.*, 15, 1573–1584,
800 doi:10.5194/acp-15-1573-2015, 2015a.

801 Cong, Z., Kawamura, K., Kang, S., and Fu, P.: Penetration of biomass-burning emissions
802 from South Asia through the Himalayas: new insights from atmospheric organic acids,
803 *Scientific reports*, 5, 9580, doi:10.1038/srep09580, 2015b.

804 Dentener, F., Kinne, S., Bond, T., Boucher, O., Cofala, J., Generoso, S., Ginoux, P., Gong, S.,
805 Hoelzemann, J. J., Ito, A., Marelli, L., Penner, J. E., Putaud, J. P., Textor, C., Schulz, M.,
806 van der Werf, G. R., and Wilson, J.: Emissions of primary aerosol and precursor gases in
807 the years 2000 and 1750, prescribed data-sets for AeroCom, *Atmos. Chem. Phys.*, 6,
808 4321–4344, doi:10.5194/acp-6-4321-2006, 2006.

809 Ding, Y., Sun, Y., Wang, Z., Zhu, Y., and Song, Y.: Inter-decadal variation of the summer
810 precipitation in China and its association with decreasing Asian summer monsoon Part II:
811 Possible causes, *Int. J. Climatol.*, 29, 1926–1944, doi:10.1002/joc.1759, 2009.

812 Du, Q., Zhao, C., Zhang, M., Dong, X., Chen, Y., Liu, Z., Hu, Z., Zhang, Q., Li, Y., Yuan, R.,
813 and Miao, S.: Modelling diurnal variation of surface PM_{2.5} concentration over East
814 China with WRF-Chem: Impacts from boundary layer mixing and anthropogenic

815 emission, *Atmos. Chem. Phys. Discuss.*, <https://doi.org/10.5194/acp-2019-739>, in review,
816 2020.

817 Duan, A. M. and Wu, G. X.: Role of the Tibetan Plateau thermal forcing in the summer
818 climate patterns over subtropical Asia, *Climate Dynamics*, 24, 793–807,
819 doi:10.1007/s00382-004-0488-8, 2005.

820 Dubovik, O. and King, M. D.: A flexible inversion algorithm for retrieval of aerosol optical
821 properties from Sun and sky radiance measurements, *J. Geophys. Res.*, 105, 20673–20696,
822 doi:10.1029/2000JD900282, 2000.

823 Dubovik, O., Holben, B., Eck, T. F., Smirnov, A., Kaufman, Y. J., King, M. D., Tanré, D.,
824 and Slutsker, I.: Variability of Absorption and Optical Properties of Key Aerosol Types
825 Observed in Worldwide Locations, *J. Atmos. Sci.*, 59, 590–608,
826 doi:10.1175/1520-0469(2002)059<0590:VOAAOP>2.0.CO;2, 2002.

827 Dumka, U. C., Moorthy, K. K., Kumar, R., Hegde, P., Sagar, R., Pant, P., Singh, N., and
828 Babu, S. S.: Characteristics of aerosol black carbon mass concentration over a high altitude
829 location in the Central Himalayas from multi-year measurements, *Atmospheric Research*,
830 96, 510–521, doi:10.1016/j.atmosres.2009.12.010, 2010.

831 Easter, R. C., Ghan, S. J., Zhang, Y., Saylor, R. D., Chapman, E. G., Laulainen, N. S.,
832 Abdul-Razzak, H., Leung, L. R., Bian, X., and Zaveri, R. A.: MIRAGE: Model
833 Description and Evaluation of Aerosols and Trace Gases, *J. Geophys. Res.*, 109, D20210,
834 doi:10.1029/2004JD004571, 2004.

835 Egger, J., Bajracharya, S., Egger, U., Heinrich, R., Reuder, J., Shakya, P., Wendt, H., and
836 Wirth, V.: Diurnal winds in the Himalayan Kali Gandaki Valley. Part I: Observations, *Mon.*
837 *Weather Rev.*, 128, 1106–1122, 2000.

838 Engling, G. and Gelencser, A.: Atmospheric Brown Clouds: From Local Air Pollution to
839 Climate Change, *Elements*, 6, 223–228, doi:10.2113/gselements.6.4.223, 2010.

840 Fan, J., Rosenfeld, D., Yang, Y., Zhao, C., Leung, L. R., and Li, Z.: Substantial contribution
841 of anthropogenic air pollution to catastrophic floods in Southwest China, *Geophys. Res.*
842 *Lett.*, 42, 6066–6075, doi:10.1002/2015GL064479, 2015.

843 Fast, J. D., Gustafson Jr, W. I., Easter, R. C., Zaveri, R. A., Barnard, J. C., Chapman, E. G.,
844 Grell, G. A., and Peckham, S. E.: Evolution of ozone, particulates, and aerosol direct
845 radiative forcing in the vicinity of Houston using a fully coupled
846 meteorology-chemistry-aerosol model, *J. Geophys. Res.*, 111, D21305,
847 doi:10.1029/2005JD006721, 2006.

848 Feng, Y., Kotamarthi, V. R., Coulter, R., Zhao, C., and Cadeddu, M.: Radiative and
849 thermodynamic responses to aerosol extinction profiles during the pre-monsoon month
850 over South Asia, *Atmos. Chem. Phys.*, 16, 247–264, doi:10.5194/acp-16-247-2016, 2016.

851 Flanner, M. G. and Zender, C. S.: Snowpack radiative heating: Influence on Tibetan Plateau
852 climate, *Geophys. Res. Lett.*, 32, L06501, doi:10.1029/2004GL022076, 2005.

853 Fu, Y., Pan, X., Xian, T., Liu, G., Zhong, L., Liu, Q., Li, R., Wang, Y., and Ma, M.:
854 Precipitation characteristics over the steep slope of the Himalayas in rainy season observed
855 by TRMM PR and VIRS, *Climate dynamics*, 51, 1971–1989,
856 doi: 10.1007/s00382-017-3992-3, 2018.

857 Gao, Y., Zhao, C., Liu, X., Zhang, M., and Leung, L. R.: WRF-Chem simulations of aerosols
858 and anthropogenic aerosol radiative forcing in East Asia, *Atmospheric Environment*, 92,
859 250–266, doi:10.1016/j.atmosenv.2014.04.038, 2014.

860 Ginoux, P., Chin, M., Tegen, I., Prospero, J. M., Holben, B., Dubovik, O., and Lin, S.-J.:
861 Sources and distributions of dust aerosols simulated with the GOCART model, *J. Geophys.*
862 *Res.*, 106, 20255–20273, doi:10.1029/2000JD000053, 2001.

863 Gong, P., Wang, X., Pokhrel, B., Wang, H., Liu, X., Liu, X., and Wania, F.:
864 Trans-Himalayan Transport of Organochlorine Compounds: Three-Year Observations and
865 Model-Based Flux Estimation, *Environ. Sci. Technol.*, 53, 6773–6783,
866 doi:10.1021/acs.est.9b01223, 2019.

867 Gong, S. L.: A parameterization of sea-salt aerosol source function for sub- and super-micron
868 particles, *Global Biogeochem. Cycles*, 17, n/a-n/a, doi:10.1029/2003GB002079, 2003.

869 Grell, G. A., Peckham, S. E., Schmitz, R., McKeen, S. A., Frost, G., Skamarock, W. C., and
870 Eder, B.: Fully coupled “online” chemistry within the WRF model, *Atmospheric*
871 *Environment*, 39, 6957–6975, doi:10.1016/j.atmosenv.2005.04.027, 2005.

872 Gustafson, W. I., E. G. Chapman, S. J. Ghan, R. C. Easter, and J. D. Fast: Impact on modeled
873 cloud characteristics due to simplified treatment of uniform cloud condensation nuclei
874 during NEAQS 2004, *Geophys. Res. Lett.*, 34, L19809, doi:10.1029/2007GL030021,
875 2007.

876 Hansen, J. and Nazarenko, L.: Soot climate forcing via snow and ice albedos, *Proceedings of*
877 *the National Academy of Sciences*, 101, 423–428, doi:10.1073/pnas.2237157100, 2004.

878 He, C., Li, Q., Liou, K. N., Takano, Y., Gu, Y., Qi, L., Mao, Y., and Leung, L. R.: Black
879 carbon radiative forcing over the Tibetan Plateau, *Geophys. Res. Lett.*, 41, 7806–7813,
880 doi:10.1002/2014GL062191, 2014.

881 He, C., Wang, Z., Zhou, T., and Li, T.: Enhanced Latent Heating over the Tibetan Plateau as
882 a Key to the Enhanced East Asian Summer Monsoon Circulation under a Warming
883 Climate, *J. Climate*, 32, 3373–3388, doi:10.1175/JCLI-D-18-0427.1, 2019.

884 Hess, M., Koepke, P., and Schult, I.: Optical Properties of Aerosols and Clouds: The
885 Software Package OPAC, *Bull. Amer. Meteor. Soc.*, 79, 831–844,
886 doi:10.1175/1520-0477(1998)079<0831:OPOAAC>2.0.CO;2, 1998.

887 Hindman, E. E. and Upadhyay, B. P.: Air pollution transport in the Himalayas of Nepal and
888 Tibet during the 1995–1996 dry season, *Atmospheric Environment*, 36, 727–739,
889 doi:10.1016/S1352-2310(01)00495-2, 2002.

890 Holben, B. N., Eck, T. F., Slutsker, I., Tanré, D., Buis, J. P., Setzer, A., Vermote, E., Reagan,
891 J. A., Kaufman, Y. J., Nakajima, T., Lavenu, F., Jankowiak, I., and Smirnov, A.:
892 AERONET—A Federated Instrument Network and Data Archive for Aerosol
893 Characterization, *Remote Sensing of Environment*, 66, 1–16,
894 doi:10.1016/S0034-4257(98)00031-5, 1998.

895 Holben, B. N., Tanre, D., Smirnov, A., ECK T. F., Slutsker, I., Abuhassan, N., Newcomb, W.,
896 Schafer, J., Chatenet, B., Lavenu, F., Kaufman, Y., Vande Castle, J., Setzer, A., Markham,
897 B., Clark, D., Frouin, R., Halthore, R., Karneli, A., O'Neill, N., Pietras, C., Pinker, R.,
898 Voss, K., and Zibordi, G.: An emerging ground-based aerosol climatology: Aerosol optical
899 depth from AERONET, *J. Geophys. Res.*, 106, 12067-12097, doi:10.1029/2001JD900014,
900 2001.

903 Horvath, K., Koracin, D., Vellore, R., Jiang, J., and Belu, R.: Sub - kilometer dynamical
904 downscaling of near - surface winds in complex terrain using WRF and MM5 mesoscale
905 models, *J. Geophys. Res. Atmos.*, 117, D11111, doi:10.1029/2012JD017432, 2012

906 Hu, Z., Huang, J., Zhao, C., Bi, J., Jin, Q., Qian, Y., Leung, L. R., Feng, T., Chen, S., and Ma,
907 J.: Modeling the contributions of Northern Hemisphere dust sources to dust outflow from
908 East Asia, *Atmospheric Environment*, 202, 234–243, doi:10.1016/j.atmosenv.2019.01.022,
909 2019.

910 Hu, Z., Huang, J., Zhao, C., Jin, Q., Ma, Y., and Yang, B.: Modeling dust sources, transport,
911 and radiative effects at different altitudes over the Tibetan Plateau, *Atmos. Chem. Phys.*
912 *Discuss.*, <https://doi.org/10.5194/acp-2019-431>, in press, 2020.

913 Hu, Z., Zhao, C., Huang, J., Leung, L. R., Qian, Y., Yu, H., Huang, L., and Kalashnikova,
914 O.V.: Trans-pacific transport and evolution of aerosols: Evaluation of quasi global

915 WRF-Chem simulation with multiple observations, *Geosci. Model Dev.*, 9, 1725–1746,
916 doi:10.5194/gmd-9-1725-2016, 2016.

917 Huang, X., Song, Y., Zhao, C., Cai, X., Zhang, H., and Zhu, T.: Direct Radiative Effect by
918 Multicomponent Aerosol over China, *J. Climate*, 28, 3472–3495,
919 doi:10.1175/JCLI-D-14-00365.1, 2015.

920 Iacono, M. J., Mlawer, E. J., Clough, S. A., and Morcrette, J. J.: Impact of an improved
921 longwave radiation model, RRTM, on the energy budget and thermodynamic properties of
922 the NCAR community climate model, CCM3, *J. Geophys. Res.*, 105, 14873–14890,
923 doi:10.1029/2000JD900091, 2000.

924 Immerzeel, W. W., van Beek, L. P. H., and Bierkens, M. F. P.: Climate change will affect the
925 Asian water towers, *Science (New York, N.Y.)*, 328, 1382–1385,
926 doi:10.1126/science.1183188, 2010.

927 Jaeglé, L., Quinn, P. K., Bates, T. S., Alexander, B., and Lin, J. T.: Global distribution of sea
928 salt aerosols: new constraints from in situ and remote sensing observations, *Atmos. Chem.*
929 *Phys.*, 11, 3137–3157, doi:10.5194/acp-11-3137-2011, 2011.

930 Janssens-Maenhout, G., Crippa, M., Guizzardi, D., Dentener, F., Muntean, M., Pouliot, G.,
931 Keating, T., Zhang, Q., Kurokawa, J., Wankmüller, R., van der Denier Gon, H., Kuenen, J.
932 J. P., Klimont, Z., Frost, G., Darras, S., Koffi, B., and Li, M.: HTAP_v2.2: a mosaic of
933 regional and global emission grid maps for 2008 and 2010 to study hemispheric transport
934 of air pollution, *Atmos. Chem. Phys.*, 15, 11411–11432, doi:10.5194/acp-15-11411-2015,
935 2015.

936 Ji, Z. M.: Modeling black carbon and its potential radiative effects over the Tibetan Plateau,
937 *Advances in Climate Change Research*, 7, 139–144, doi:10.1016/j.accre.2016.10.002,
938 2016.

939 Ji, Z., Kang, S., Cong, Z., Zhang, Q., and Yao, T.: Simulation of carbonaceous aerosols over
940 the Third Pole and adjacent regions: distribution, transportation, deposition, and climatic
941 effects, *Clim Dyn*, 45, 2831–2846, doi:10.1007/s00382-015-2509-1, 2015.

942 Jiménez, P. A. and Dudhia, J.: Improving the representation of resolved and unresolved
943 topographic effects on surface wind in the WRF model, *J. Appl. Meteorol. Clim.*, 51,
944 300–316, doi:10.1175/JAMC-D-11-084.1, 2012.

945 Kain, J. S.: The Kain–Fritsch Convective Parameterization: An Update, *J. Appl. Meteor.*, 43,
946 170–181, doi:10.1175/1520-0450(2004)043<0170:TKCPAU>2.0.CO;2, 2004.

947 Kang, S, Chen P, Li C, Liu B, Cong Z: Atmospheric Aerosol Elements over the Inland
948 Tibetan Plateau: Concentration, Seasonality, and Transport, *Aerosol Air Qual. Res.*, 16,
949 789–800, doi:10.4209/aaqr.2015.02.0307, 2016.

950 Kang, S., Q. Zhang, Y. Qian, Z. Ji, C. Li, Z. Cong, Y. Zhang, J. Guo, W. Du, J. Huang, Q.
951 You, A. K. Panday, M. Rupakheti, D. Chen, O. Gustafsson, M. H. Thiemens, and D. Qin:
952 Linking atmospheric pollution to cryospheric change in the Third Pole region: current
953 progress and future prospects, *National Science Review*, 6, 796–809,
954 doi:10.1093/nsr/nwz031, 2019.

955 Kant, Y., Shaik, D. S., Mitra, D., Chandola, H. C., Babu, S. S., and Chauhan, P.: Black
956 carbon aerosol quantification over north-west Himalayas: Seasonal heterogeneity, source
957 apportionment and radiative forcing, *Environmental pollution (Barking, Essex 1987)*,
958 113446, doi:10.1016/j.envpol.2019.113446, 2019.

959 Karki, R., ul Hasson, S., Gerlitz, L., Schickhoff, U., Scholten, T., and Böhner, J.: Quantifying
960 the added value of convection-permitting climate simulations in complex terrain: a
961 systematic evaluation of WRF over the Himalayas, *Earth Syst. Dynam.*, 8, 507–528,
962 doi:10.5194/esd-8-507-2017, 2017.

963 Kok, J. F.: A scaling theory for the size distribution of emitted dust aerosols suggests climate
964 models underestimate the size of the global dust cycle, *Proceedings of the National
965 Academy of Sciences of the United States of America*, 108, 1016–1021,
966 doi:10.1073/pnas.1014798108, 2011.

967 Kopacz, M., Mauzerall, D. L., Wang, J., Leibensperger, E. M., Henze, D. K., and Singh, K.:
968 Origin and radiative forcing of black carbon transported to the Himalayas and Tibetan
969 Plateau, *Atmos. Chem. Phys.*, 11, 2837–2852, doi:10.5194/acp-11-2837-2011, 2011.

970 Kuhlmann, J. and Quaas, J.: How can aerosols affect the Asian summer monsoon?
971 Assessment during three consecutive pre-monsoon seasons from CALIPSO satellite data,
972 *Atmos. Chem. Phys.*, 10, 4673–4688, doi:10.5194/acp-10-4673-2010, 2010.

973 Lau, K. M. and Kim, K. M.: Observational relationships between aerosol and Asian monsoon
974 rainfall, and circulation, *Geophys. Res. Lett.*, 33, D22101, doi: 10.1029/2006GL027546,
975 2006b.

976 Lau, K. M., Kim, M. K., and Kim, K. M.: Asian summer monsoon anomalies induced by
977 aerosol direct forcing: the role of the Tibetan Plateau, *Clim Dyn*, 26, 855–864, doi:
978 10.1007/s00382-006-0114-z, 2006a.

979 Lau, W. K. and Kim, K. M.: Impact of Snow Darkening by Deposition of Light-Absorbing
980 Aerosols on Snow Cover in the Himalayas–Tibetan Plateau and Influence on the Asian

981 Summer Monsoon: A Possible Mechanism for the Blanford Hypothesis, *Atmosphere*, 9,
982 438, doi:10.3390/atmos9110438, 2018.

983 Lau, W. K. M., Kim, K. M., Shi, J. J., Matsui, T., Chin, M., Tan, Q., Peters-Lidard, C., and
984 Tao, W. K.: Impacts of aerosol–monsoon interaction on rainfall and circulation over
985 Northern India and the Himalaya Foothills, *Clim Dyn*, 49, 1945–1960,
986 doi:10.1007/s00382-016-3430-y, 2017.

987 Lau, W. K. M., Kim, M. K., Kim, K. M., and Lee, W. S.: Enhanced surface warming and
988 accelerated snow melt in the Himalayas and Tibetan Plateau induced by absorbing aerosols,
989 *Environ. Res. Lett.*, 5, 25204, doi:10.1088/1748-9326/5/2/025204, 2010.

990 Lee, W. S., Bhawar, R. L., Kim, M. K., and Sang, J.: Study of aerosol effect on accelerated
991 snow melting over the Tibetan Plateau during boreal spring, *Atmospheric Environment*, 75,
992 113–122, doi:10.1016/j.atmosenv.2013.04.004, 2013.

993 Li, C., Bosch, C., Kang, S., Andersson, A., Chen, P., Zhang, Q., Cong, Z., Chen, B., Qin, D.,
994 and Gustafsson, Ö.: Sources of black carbon to the Himalayan–Tibetan Plateau glaciers,
995 *Nat Commun*, 7, 4825, doi:10.1038/ncomms12574, 2016.

996 Li, M., Zhang, Q., Kurokawa, J. i., Woo, J. H., He, K., Lu, Z., Ohara, T., Song, Y., Streets, D.
997 G., Carmichael, G. R., Cheng, Y., Hong, C., Huo, H., Jiang, X., Kang, S., Liu, F., Su, H.,
998 and Zheng, B.: MIX: a mosaic Asian anthropogenic emission inventory under the
999 international collaboration framework of the MICS-Asia and HTAP, *Atmos. Chem. Phys.*,
1000 17, 935–963, doi:10.5194/acp-17-935-2017, 2017.

1001 Li, R. and Min, Q. L.: Impacts of mineral dust on the vertical structure of precipitation, *J.*
1002 *Geophys. Res.*, 115, 1337, doi:10.1029/2009JD011925, 2010.

1003 Li, R., Dong, X., Guo, J., Fu, Y., Zhao, C., Wang, Y., and Min, Q.: The implications of dust
1004 ice nuclei effect on cloud top temperature in a complex mesoscale convective system, *Sci*
1005 *Rep*, 7, 291, doi:10.1038/s41598-017-12681-0, 2017.

1006 Li, R., Shao, W., Guo, J., Fu, Y., Wang, Y., Liu, G., Zhou, R., and Li, W.: A Simplified
1007 Algorithm to Estimate Latent Heating Rate Using Vertical Rainfall Profiles Over the
1008 Tibetan Plateau, *J. Geophys. Res. Atmos.*, 124, 942–963, doi:10.1029/2018JD029297,
1009 2019.

1010 Lin, C., Chen, D., Yang, K., and Ou, T.: Impact of model resolution on simulating the water
1011 vapor transport through the central Himalayas: implication for models’ wet bias over the
1012 Tibetan Plateau, *Clim Dyn*, 51, 3195–3207, doi:10.1007/s00382-018-4074-x, 2018.

1013 Liu, P., Tsimpidi, A. P., Hu, Y., Stone, B., Russell, A. G., and Nenes, A.: Differences
1014 between downscaling with spectral and grid nudging using WRF, *Atmos. Chem. Phys.*, 12,
1015 3601–3610, doi:10.5194/acp-12-3601-2012, 2012.

1016 Liu, Y., Sato, Y., Jia, R., Xie, Y., Huang, J., and Nakajima, T.: Modeling study on the
1017 transport of summer dust and anthropogenic aerosols over the Tibetan Plateau, *Atmos.*
1018 *Chem. Phys.*, 15, 12581–12594, doi:10.5194/acp-15-12581-2015, 2015.

1019 Liu, Z., Ming, Y., Zhao, C., Lau, N. C., Guo, J., Bollasina, M., and Yim, S. H. L.:
1020 Contribution of local and remote anthropogenic aerosols to a record-breaking torrential
1021 rainfall event in Guangdong Province, China, *Atmos. Chem. Phys.*, 20, 223–241,
1022 doi:10.5194/acp-20-223-2020, 2020.

1023 Lu, Z., Streets, D. G., Zhang, Q., and Wang, S.: A novel back-trajectory analysis of the origin
1024 of black carbon transported to the Himalayas and Tibetan Plateau during 1996-2010,
1025 *Geophys. Res. Lett.*, 39, n/a-n/a, doi:10.1029/2011GL049903, 2012.

1026 Lüthi, Z. L., Škerlak, B., Kim, S. W., Lauer, A., Mues, A., Rupakheti, M., and Kang, S.:
1027 Atmospheric brown clouds reach the Tibetan Plateau by crossing the Himalayas, *Atmos.*
1028 *Chem. Phys.*, 15, 6007–6021, doi:10.5194/acp-15-6007-2015, 2015.

1029 Lutz, A. F., Immerzeel, W. W., Shrestha, A. B., and Bierkens, M. F. P.: Consistent increase
1030 in High Asia's runoff due to increasing glacier melt and precipitation, *Nature Clim Change*,
1031 4, 587–592, doi:10.1038/nclimate2237, 2014.

1032 Marinoni, A., Cristofanelli, P., Laj, P., Duchi, R., Calzolari, F., Decesari, S., Sellegri, K.,
1033 Vuillermoz, E., Verza, G. P., and Villani, P.: Aerosol mass and black carbon
1034 concentrations, a two year record at NCO-P (5079 m, Southern Himalayas), *Atmos. Chem.*
1035 *Phys.*, 10, 8551–8562, doi:10.5194/acp-10-8551-2010, 2010.

1036 Menon, S., Koch, D., Beig, G., Sahu, S., Fasullo, J., and Orlikowski, D.: Black carbon
1037 aerosols and the third polar ice cap, *Atmos. Chem. Phys.*, 10, 4559–4571,
1038 doi:10.5194/acp-10-4559-2010, 2010.

1039 Ming, J., Xiao, C., Cachier, H., Qin, D., Qin, X., Li, Z., and Pu, J.: Black Carbon (BC) in the
1040 snow of glaciers in west China and its potential effects on albedos, *Atmospheric Research*,
1041 92, 114–123, doi:10.1016/j.atmosres.2008.09.007, 2009.

1042 Mlawer, E. J., Taubman, S. J., Brown, P. D., Iacono, M. J., and Clough, S. A.: Radiative
1043 transfer for inhomogeneous atmospheres: RRTM, a validated correlated-k model for the
1044 longwave, *J. Geophys. Res.*, 102, 16663–16682, doi:10.1029/97JD00237, 1997.

1045 Morrison, H., Thompson, G., and Tatarskii, V.: Impact of Cloud Microphysics on the
1046 Development of Trailing Stratiform Precipitation in a Simulated Squall Line: Comparison

1047 of One- and Two-Moment Schemes, *Mon. Wea. Rev.*, 137, 991–1007,
1048 doi:10.1175/2008MWR2556.1, 2009.

1049 Nakanishi, M. and Niino, H.: An Improved Mellor–Yamada Level-3 Model: Its Numerical
1050 Stability and Application to a Regional Prediction of Advection Fog, *Boundary-Layer*
1051 *Meteorol*, 119, 397–407, doi:10.1007/s10546-005-9030-8, 2006.

1052 Oleson, K. W., Lawrence, D. M., Bonan, G. B., Flanner, M. G., Kluzek, E., Lawrence, P. J.,
1053 Levis, S., Swenson, S. C., Thornton, P. E., Dai, A., Decker, M., Dickinson, R., Feddema, J.,
1054 Heald, C. L., Hoffman, F., Lamarque, J. F., Mahowald, N., Niu, G. Y., Qian, T.,
1055 Randerson, J., Running, S., Sakaguchi, K., Slater, A., Stockli, R., Wang, A., Yang, Z. L.,
1056 Zeng, X., and Zeng, X.: Technical Description of version 4.0 of the Community Land
1057 Model (CLM), Tech. Rep. NCAR/TN-478+STR, National Center for Atmospheric
1058 Research, Boulder, Colorado, USA, 2010.

1059 Prasad, A. K. and Singh, R. P.: Comparison of MISR-MODIS aerosol optical depth over the
1060 Indo-Gangetic basin during the winter and summer seasons (2000–2005), *Remote Sensing*
1061 *of Environment*, 107, 109–119, doi:10.1016/j.rse.2006.09.026, 2007.

1062 Qian, Y., Flanner, M. G., Leung, L. R., and Wang, W.: Sensitivity studies on the impacts of
1063 Tibetan Plateau snowpack pollution on the Asian hydrological cycle and monsoon climate,
1064 *Atmos. Chem. Phys.*, 11, 1929–1948, doi:10.5194/acp-11-1929-2011, 2011.

1065 Qian, Y., Yasunari, T. J., Doherty, S. J., Flanner, M. G., Lau, W. K. M., Ming, J., Wang, H.,
1066 Wang, M., Warren, S. G., and Zhang, R.: Light-absorbing particles in snow and ice:
1067 Measurement and modeling of climatic and hydrological impact, *Adv. Atmos. Sci.*, 32,
1068 64–91, doi:10.1007/s00376-014-0010-0, 2015.

1069 Qiu, J.: China: The third pole, *Nature*, 454, 393–396, doi:10.1038/454393a, 2008.

1070 Ramanathan, V. and Carmichael, G.: Global and regional climate changes due to black
1071 carbon, *Nature Geosci*, 1, 221–227, doi:10.1038/ngeo156, 2008.

1072 Ramanathan, V., Ramana, M. V., Roberts, G., Kim, D., Corrigan, C., Chung, C., and Winker,
1073 D.: Warming trends in Asia amplified by brown cloud solar absorption, *Nature*, 448,
1074 575–578, doi:10.1038/nature06019, 2007.

1075 Sarangi, C., Qian, Y., Rittger, K., Bormann, K. J., Liu, Y., Wang, H., Lin, G., and Painter, T.
1076 H.: Impact of light-absorbing particles on snow albedo darkening and associated radiative
1077 forcing over high-mountain Asia: high-resolution WRF-Chem modeling and new satellite
1078 observations. *Atmos. Chem. Phys.*, 19, 7105–7128, doi:10.5194/acp-19-7105-2019, 2019.

1079 Seaman, N. L., Stauffer, D. R., and Lario-Gibbs, A. M.: A Multiscale Four-Dimensional Data
1080 Assimilation System Applied in the San Joaquin Valley during SARMAP. Part I:

1081 Modeling Design and Basic Performance Characteristics, *J. Appl. Meteor.*, 34, 1739–1761,
1082 doi:10.1175/1520-0450(1995)034<1739:AMFDDA>2.0.CO;2, 1995.

1083 Shi, X., Wang, Y., and Xu, X.: Effect of mesoscale topography over the Tibetan Plateau on
1084 summer precipitation in China: A regional model study, *Geophys. Res. Lett.*, 35, 255,
1085 doi:10.1029/2008GL034740, 2008.

1086 Singh, P. and Bengtsson, L.: Hydrological sensitivity of a large Himalayan basin to climate
1087 change, *Hydrol. Process.*, 18, 2363–2385, doi:10.1002/hyp.1468, 2004.

1088 Skamarock, W. C., Klemp, J. B., Dudhia, J., Gill, D. O., Barker, D. M., Duda, M., Huang, X.
1089 Y., Wang, W., and Powers, J. G.: A Description of the Advanced Research WRF Version 3,
1090 NCAR Technical Note, NCAR/TN-468+STR, available at:
1091 http://wrf-model.org/wrfadmin/docs/arw_v2.pdf, 2008.

1092 Stauffer, D. R. and Seaman, N. L.: Use of Four-Dimensional Data Assimilation in a
1093 Limited-Area Mesoscale Model. Part I: Experiments with Synoptic-Scale Data, *Mon. Wea.*
1094 *Rev.*, 118, 1250–1277, doi:10.1175/1520-0493(1990)118<1250:UOFDDA>2.0.CO;2,
1095 1990.

1096 Wagner, J. S., Gohm, A., and Rotach, M. W.: The Impact of Horizontal Model Grid
1097 Resolution on the Boundary Layer Structure over an Idealized Valley, *Mon. Wea. Rev.*,
1098 142, 3446–3465, doi:10.1175/MWR-D-14-00002.1, 2014.

1099 Wang, X., Gong, P., Sheng, J., Joswiak, D. R., and Yao, T.: Long-range atmospheric
1100 transport of particulate Polycyclic Aromatic Hydrocarbons and the incursion of aerosols to
1101 the southeast Tibetan Plateau, *Atmospheric Environment*, 115, 124–131,
1102 doi:10.1016/j.atmosenv.2015.04.050, 2015.

1103 Wang, Y., Yang, K., Zhou, X., Chen, D., Lu, H., Ouyang, L., Chen, Y., Lazhu., and Wang,
1104 B.: Synergy of orographic drag parameterization and high resolution greatly reduces biases
1105 of WRF-simulated precipitation in central Himalaya, *Climate Dynamics*, 54, 1729–1740,
1106 doi:10.1007/s00382-019-05080-w, 2020.

1107 Wiedinmyer, C., Akagi, S. K., Yokelson, R. J., Emmons, L. K., Al-Saadi, J. A., Orlando, J. J.,
1108 and Soja, A. J.: The Fire INventory from NCAR (FINN): a high resolution global model to
1109 estimate the emissions from open burning, *Geosci. Model Dev.*, 4, 625–641,
1110 doi:10.5194/gmd-4-625-2011, 2011.

1111 Wu, G., Liu, Y., Dong, B., Liang, X., Duan, A., Bao, Q., and Yu, J.: Revisiting Asian
1112 monsoon formation and change associated with Tibetan Plateau forcing: I. Formation,
1113 *Clim Dyn*, 39, 1169–1181, doi:10.1007/s00382-012-1334-z, 2012a.

- 1114 Wu, G., Liu, Y., He, B., Bao, Q., Duan, A., and Jin, F. F.: Thermal controls on the Asian
1115 summer monsoon, *Scientific reports*, 2, 404, doi:10.1038/srep00404, 2012b.
- 1116 Wu, G., Liu, Y., Zhang, Q., Duan, A., Wang, T., Wan, R., Liu, X., Li, W., Wang, Z., and
1117 Liang, X.: The Influence of Mechanical and Thermal Forcing by the Tibetan Plateau on
1118 Asian Climate, *J. Hydrometeor.*, 8, 770–789, doi:10.1175/JHM609.1, 2007.
- 1119 Wu, L., Su, H., and Jiang, J. H.: Regional simulation of aerosol impacts on precipitation
1120 during the East Asian summer monsoon, *J. Geophys. Res. Atmos.*, 118, 6454–6467,
1121 doi:10.1002/jgrd.50527, 2013.
- 1122 Wulf, H., Bookhagen, B., and Scherler, D.: Differentiating between rain, snow, and glacier
1123 contributions to river discharge in the western Himalaya using remote-sensing data and
1124 distributed hydrological modeling, *Advances in Water Resources*, 88, 152–169,
1125 doi:10.1016/j.advwatres.2015.12.004, 2016.
- 1126 Yang, J., Kang, S., Ji, Z., and Chen, D.: Modeling the Origin of Anthropogenic Black Carbon
1127 and Its Climatic Effect Over the Tibetan Plateau and Surrounding Regions, *J. Geophys.*
1128 *Res. Atmos.*, 123, 671–692, doi:10.1002/2017JD027282, 2018.
- 1129 Yasunari, T. J., Bonasoni, P., Laj, P., Fujita, K., Vuillemoz, E., Marinoni, A., Cristofanelli,
1130 P., Duchi, R., Tartari, G., and Lau, K.-M.: Estimated impact of black carbon deposition
1131 during pre-monsoon season from Nepal Climate Observatory – Pyramid data and snow
1132 albedo changes over Himalayan glaciers, *Atmos. Chem. Phys.*, 10, 6603–6615,
1133 doi:10.5194/acp-10-6603-2010, 2010.
- 1134 Ye, D. Z. and Wu, G. X.: The role of the heat source of the Tibetan Plateau in the general
1135 circulation, *Meteorol. Atmos. Phys.*, 67, 181–198, doi:10.1007/BF01277509, 1998.
- 1136 Zängl, G., Egger, J., and Wirth, V.: Diurnal Winds in the Himalayan Kali Gandaki Valley.
1137 Part II: Modeling, *Mon. Wea. Rev.*, 129, 1062–1080,
1138 doi:10.1175/1520-0493(2001)129<1062:DWITHK>2.0.CO;2, 2001.
- 1139 Zaveri, R. A. and Peters, L. K.: A new lumped structure photochemical mechanism for
1140 large-scale applications, *J. Geophys. Res.*, 104, 30387–30415, doi:10.1029/1999JD900876,
1141 1999.
- 1142 Zaveri, R. A., Easter, R. C., Fast, J. D., and Peters, L. K.: Model for Simulating Aerosol
1143 Interactions and Chemistry (MOSAIC), *J. Geophys. Res.*, 113, 1591,
1144 doi:10.1029/2007JD008782, 2008.
- 1145 Zhang, A., Fu, Y., Chen, Y., Liu, G., and Zhang, X.: Impact of the surface wind flow on
1146 precipitation characteristics over the southern Himalayas: GPM observations, *Atmospheric*
1147 *Research*, 202, 10–22, doi:10.1016/j.atmosres.2017.11.001, 2018.

1148 Zhang, R., Wang, H., Qian, Y., Rasch, P. J., Easter, R. C., Ma, P. L., Singh, B., Huang, J.,
1149 and Fu, Q.: Quantifying sources, transport, deposition, and radiative forcing of black
1150 carbon over the Himalayas and Tibetan Plateau, *Atmos. Chem. Phys.*, 15, 6205–6223,
1151 doi:10.5194/acp-15-6205-2015, 2015.

1152 Zhang, R., Wang, Y., He, Q., Chen, L., Zhang, Y., Qu, H., Smeltzer, C., Li, J., Alvarado, L.
1153 M. A., Vrekoussis, M., Richter, A., Wittrock, F., and Burrows, J. P.: Enhanced
1154 trans-Himalaya pollution transport to the Tibetan Plateau by cut-off low systems, *Atmos.*
1155 *Chem. Phys.*, 17, 3083–3095, doi:10.5194/acp-17-3083-2017, 2017.

1156 Zhang, Y., Kang, S., Cong, Z., Schmale, J., Sprenger, M., Li, C., Yang, W., Gao, T.,
1157 Sillanpää, M., Li, X., Liu, Y., Chen, P., and Zhang, X.: Light-absorbing impurities enhance
1158 glacier albedo reduction in the southeastern Tibetan plateau, *J. Geophys. Res. Atmos.*, 122,
1159 6915–6933, doi:10.1002/2016JD026397, 2017.

1160 Zhang, Y., Kang, S., Sprenger, M., Cong, Z., Gao, T., Li, C., Tao, S., Li, X., Zhong, X., Xu,
1161 M., Meng, W., Neupane, B., Qin, X., and Sillanpää, M.: Black carbon and mineral dust in
1162 snow cover on the Tibetan Plateau, *The Cryosphere*, 12, 413–431,
1163 doi:10.5194/tc-12-413-2018, 2018.

1164 Zhao, C., Chen, S., Leung, L. R., Qian, Y., Kok, J., Zaveri, R., and Huang, J.: Uncertainty in
1165 modeling dust mass balance and radiative forcing from size parameterization, *Atmos.*
1166 *Chem. Phys.*, 13, 10733–10753, doi:doi:10.5194/acp-13-10733-2013, 2013b.

1167 Zhao, C., Hu, Z., Qian, Y., Leung, L. R., Huang, J., Huang, M., Jin, J., Flanner, M., Zhang,
1168 R., Wang, H., Yan, H., Lu, Z., and Streets, D. G.: Simulating black carbon and dust and
1169 their radiative forcing in seasonal snow: a case study over North China with field
1170 campaign measurements, *Atmos. Chem. Phys.*, 14, 11475–11491,
1171 doi:10.5194/acp-14-11475-2014, 2014.

1172 Zhao, C., Huang, M., Fast, J. D., Berg, L. K., Qian, Y., Guenther, A., Gu, D., Shrivastava, M.,
1173 Liu, Y., and Walters, S.: Sensitivity of biogenic volatile organic compounds to land surface
1174 parameterizations and vegetation distributions in California, *Geosci. Model Dev*, 9,
1175 1959–1976, doi:10.5194/gmd-9-1959-2016, 2016.

1176 Zhao, C., Liu, X., and Leung, L. R.: Impact of the Desert dust on the summer monsoon
1177 system over Southwestern North America, *Atmos. Chem. Phys.*, 12, 3717–3731,
1178 doi:10.5194/acp-12-3717-2012, 2012.

1179 Zhao, C., Liu, X., Leung, L. R., and Hagos, S.: Radiative impact of mineral dust on monsoon
1180 precipitation variability over West Africa, *Atmos. Chem. Phys.*, 11, 1879–1893,
1181 doi:10.5194/acp-11-1879-2011, 2011.

1182 Zhao, C., Liu, X., Leung, L. R., Johnson, B., McFarlane, S. A., Gustafson, W. I., Fast, J. D.,
1183 and Easter, R.: The spatial distribution of mineral dust and its shortwave radiative forcing
1184 over North Africa: modeling sensitivities to dust emissions and aerosol size treatments,
1185 *Atmos. Chem. Phys.*, 10, 8821–8838, doi:10.5194/acp-10-8821-2010, 2010.

1186 Zhao, C., Ruby Leung, L., Easter, R., Hand, J., and Avise, J.: Characterization of speciated
1187 aerosol direct radiative forcing over California, *J. Geophys. Res. Atmos.*, 118, 2372–2388,
1188 doi:10.1029/2012JD018364, 2013a.

1189 Zhao, P., Zhou, X., Chen, J., Liu, G., and Nan, S.: Global climate effects of summer Tibetan
1190 Plateau, *Science Bulletin*, 64, 1–3, doi:10.1016/j.scib.2018.11.019, 2019.

1191 Zhou, X., Beljaars, A., Wang, Y., Huang, B., Lin, C., Chen, Y., and Wu, H.: Evaluation of
1192 WRF simulations with different selections of subgrid orographic drag over the Tibetan
1193 Plateau, *J. Geophys. Res. Atmos.*, 122, 9759–9772, doi:10.1002/2017JD027212, 2017.

1194 Zhou, X., Yang, K., and Wang, Y.: Implementation of a turbulent orographic form drag
1195 scheme in WRF and its application to the Tibetan Plateau, *Climate dynamics*, 50,
1196 2443-2455, doi: 10.1007/s00382-017-3677-y, 2018.

1197 Zhao, Z., Cao, J., Shen, Z., Xu, B., Zhu, C., Chen, L. W. A., Su, X., Liu, S., Han, Y., Wang,
1198 G., and Ho, K.: Aerosol particles at a high-altitude site on the Southeast Tibetan Plateau,
1199 China: Implications for pollution transport from South Asia, *J. Geophys. Res. Atmos.*, 118,
1200 11,360-11,375, doi:10.1002/jgrd.50599, 2013.

1201 Zhong, S., Qian, Y., Zhao, C., Leung, R., Wang, H., Yang, B., Fan, Ji., Yan, H., Yang, X.,
1202 and Liu, D.: Urbanization-induced urban heat island and aerosol effects on climate
1203 extremes in the Yangtze River Delta region of China, *Atmos. Chem. Phys.*, 17, 5439–5457,
1204 doi:10.5194/acp-17-5439-2017, 2017.

1205
1206
1207
1208
1209
1210
1211
1212
1213
1214
1215
1216
1217
1218

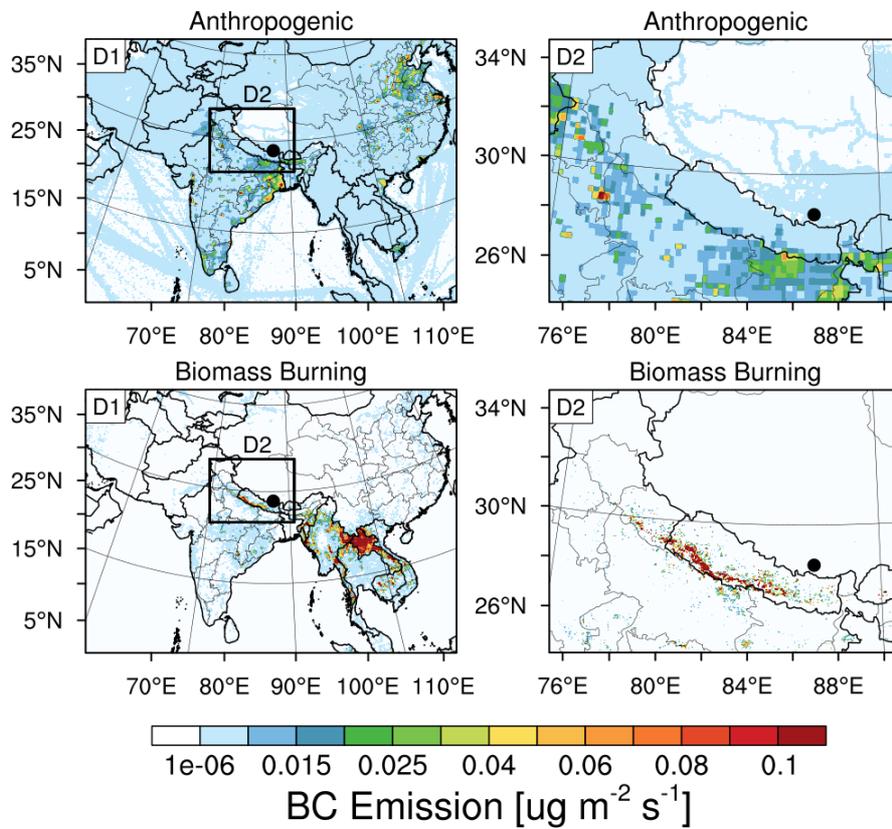
1219
 1220
 1221
 1222
 1223
 1224
 1225
 1226
 1227
 1228
 1229
 1230

Table 1. Summary of model configurations.

Description	Selection	References
Horizontal grid spacing	20 km (D1), 4 km (D2)	
Grid dimensions	250×350, 300×400	
Topography	30 arcsec (USGS)	
Vertical layers	54 (roughly 17 layers below 2 km)	
Model top pressure	50 hPa	
Nesting approach	One-way	
Aerosol scheme	MOSAIC 8 bin	Zaveri et al., 2008
Gas-phase chemistry	CBM-Z	Zaveri and Peters, 1999
Long wave Radiation	RRTMG	Iacono et al., 2000; Zhao et al., 2011, 2013a
Short-wave Radiation	RRTMG	
Cloud Microphysics	Morrison 2-moment	Morrison et al., 2009
Cumulus Cloud	Kain-Fritsch	Kain, 2004
Planetary boundary layer	MYNN level 2.5	Nakanishi and Niino, 2006
Land surface	CLM	Oleson et al., 2010
Meteorological Forcing	ERA-Interim, 0.5°×0.66°, 6 hourly	

1231
 1232
 1233
 1234
 1235
 1236
 1237
 1238
 1239
 1240
 1241
 1242
 1243
 1244
 1245
 1246
 1247
 1248

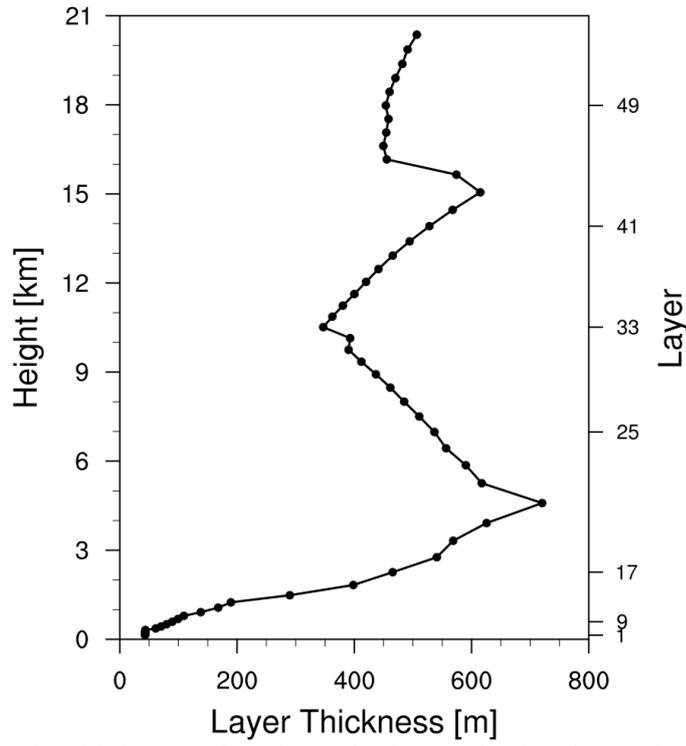
1249
1250
1251
1252
1253
1254
1255
1256
1257
1258
1259
1260
1261



1262
1263
1264
1265
1266
1267
1268
1269
1270
1271
1272
1273
1274
1275
1276
1277

Figure 1. Anthropogenic and fire emissions over the entire simulated regions of 20-km and 4-km resolutions, the black dot represents the Qomolangma Station (QOMS, 86.95°E , 28.36°N).

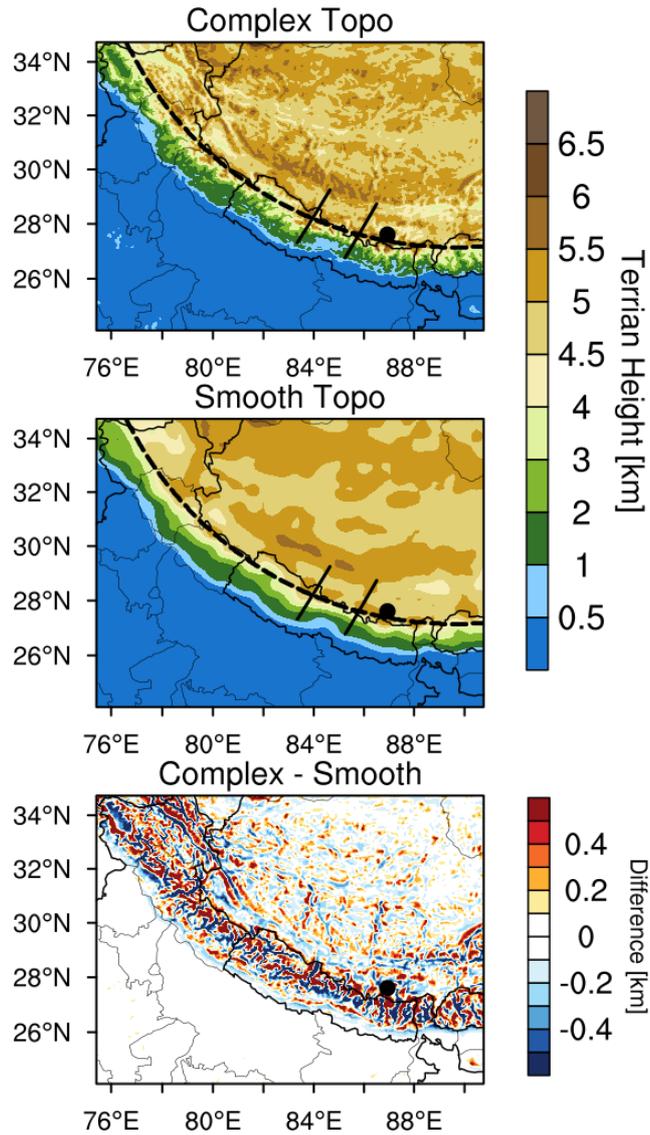
1278
1279
1280
1281
1282
1283
1284
1285
1286
1287
1288



1289
1290
1291
1292
1293
1294
1295
1296
1297
1298
1299
1300
1301
1302
1303
1304
1305
1306
1307
1308

Figure 2. The thickness of each vertical layer in the simulations (54 layers in total).

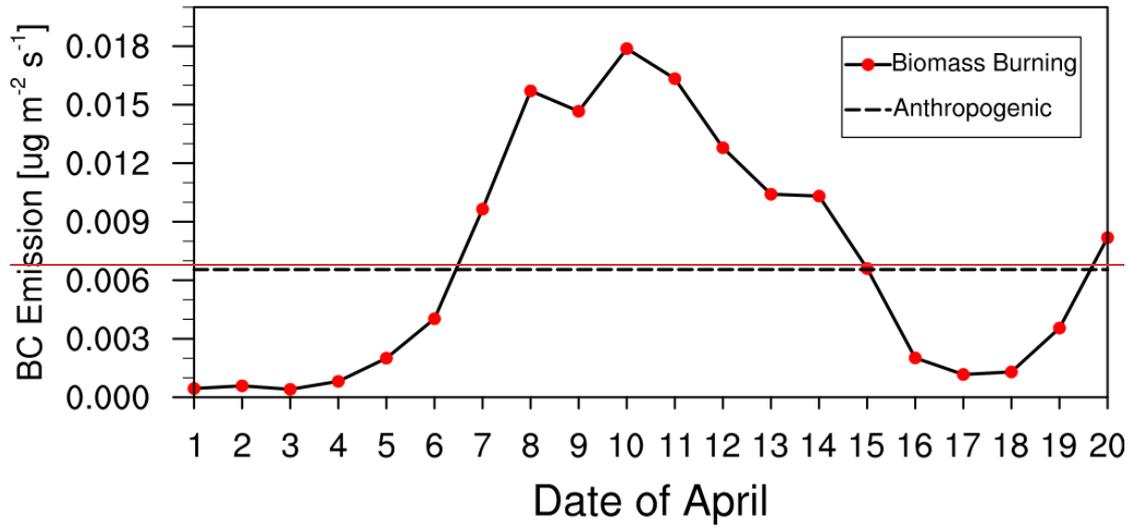
1309
1310
1311
1312
1313
1314
1315
1316
1317
1318
1319



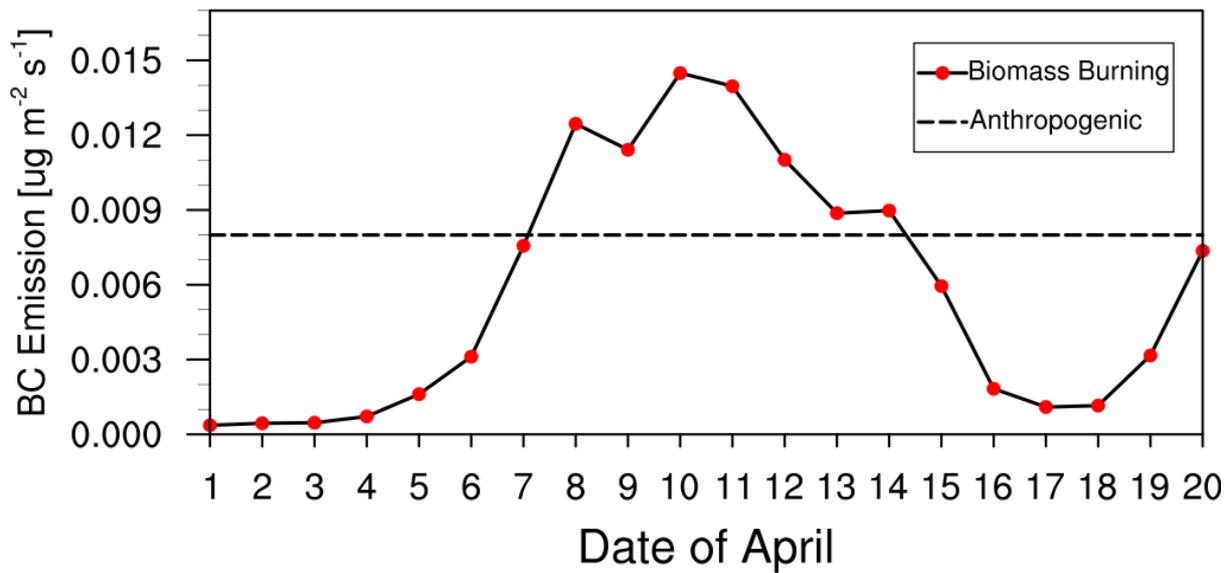
1320
1321
1322
1323
1324
1325
1326
1327
1328

Figure 3. Spatial distributions of terrain height from the dataset at 20-4-km (Smooth Topo) and 4 km-resolution (Complex Topo) and bilinearly interpolated from the 20-km resolution dataset (Smooth Topo). The one dash line and two solid lines represent the cross sections for analysis in the following.

1329
1330
1331
1332
1333
1334
1335
1336
1337
1338
1339



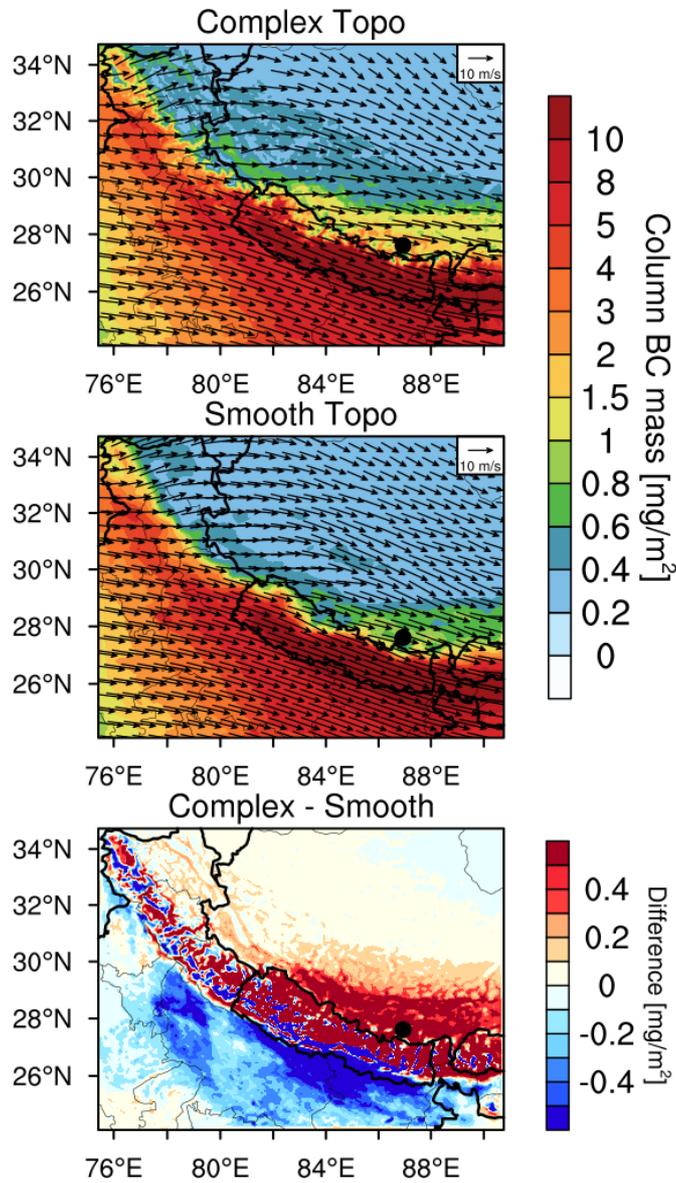
1340
1341
1342



1343
1344
1345
1346
1347
1348
1349
1350

Figure 4. Time series of area-averaged daily fire emissions between 26°N and 29°N over the simulation domain at 4-km resolution (The dash line in the figure represents the anthropogenic emissions).

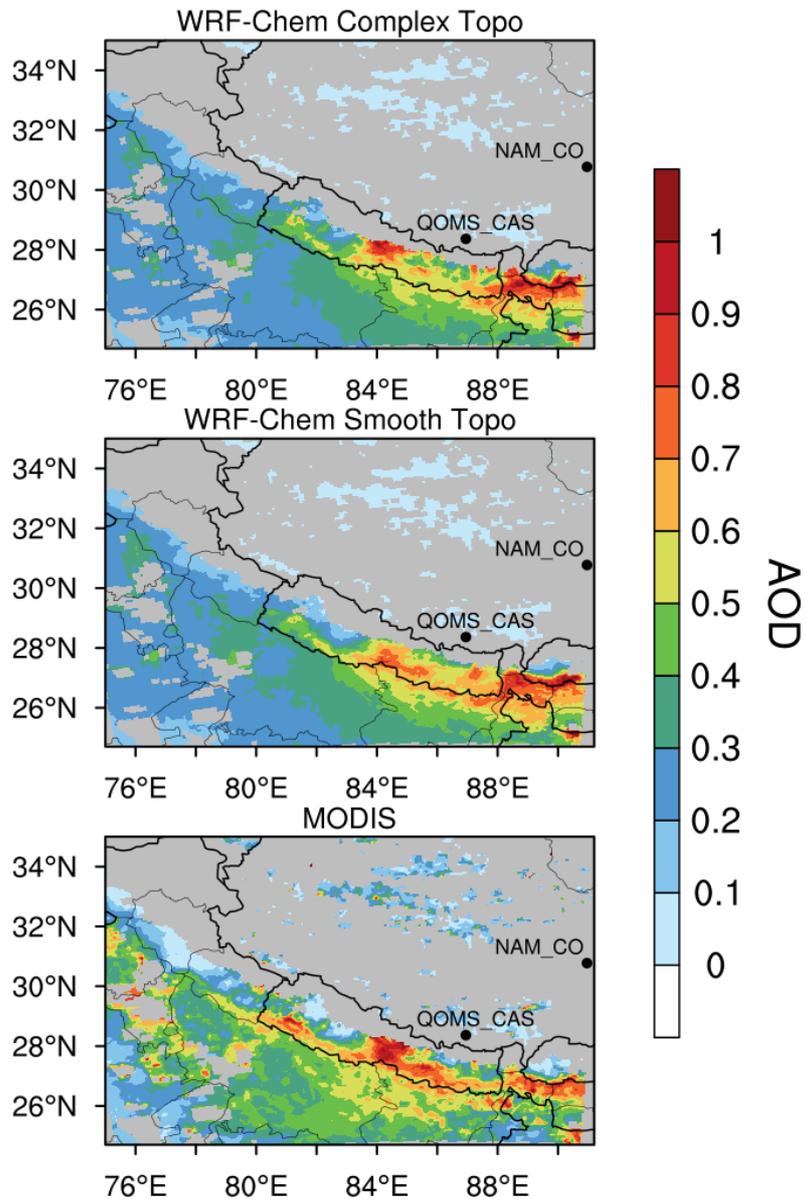
1351
1352
1353
1354
1355
1356
1357
1358
1359
1360
1361
1362
1363
1364
1365
1366
1367
1368
1369
1370
1371
1372
1373
1374



1375
 1376 **Figure 5.** Spatial distributions of column integrated BC mass and the horizontal wind field at
 1377 500 hPa from the simulations with complex and smooth topography (Complex Topo and
 1378 Smooth Topo) averaged for April 1-20, 2016. The difference between the two is also shown.
 1379

1380
 1381
 1382
 1383
 1384
 1385
 1386
 1387
 1388
 1389
 1390
 1391
 1392
 1393

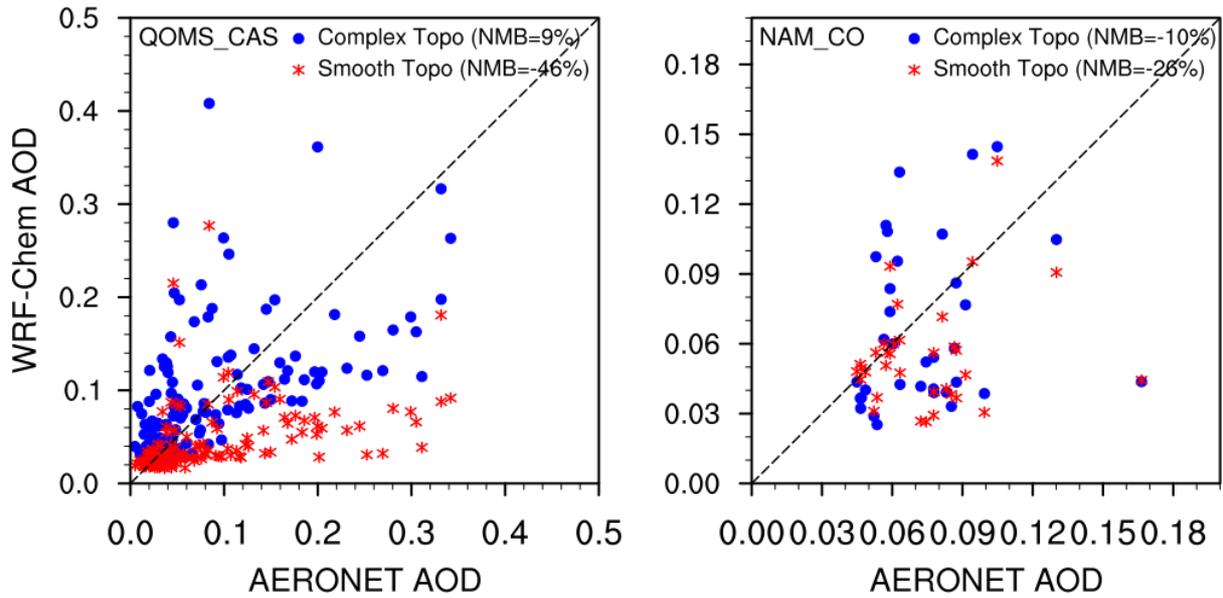
1394
1395



1396
1397
1398
1399
1400
1401
1402
1403
1404
1405
1406
1407
1408
1409
1410
1411
1412

Figure 6. Spatial distributions of AOD from the MODIS retrievals and the simulations with complex and smooth topography averaged for April 1-20, 2016. The two black dots represent the two AERONET sites over the TP (QOMS_CAS, 86.95°E, 28.36°N; NAM_CO, 90.96°E, 30.77°N).

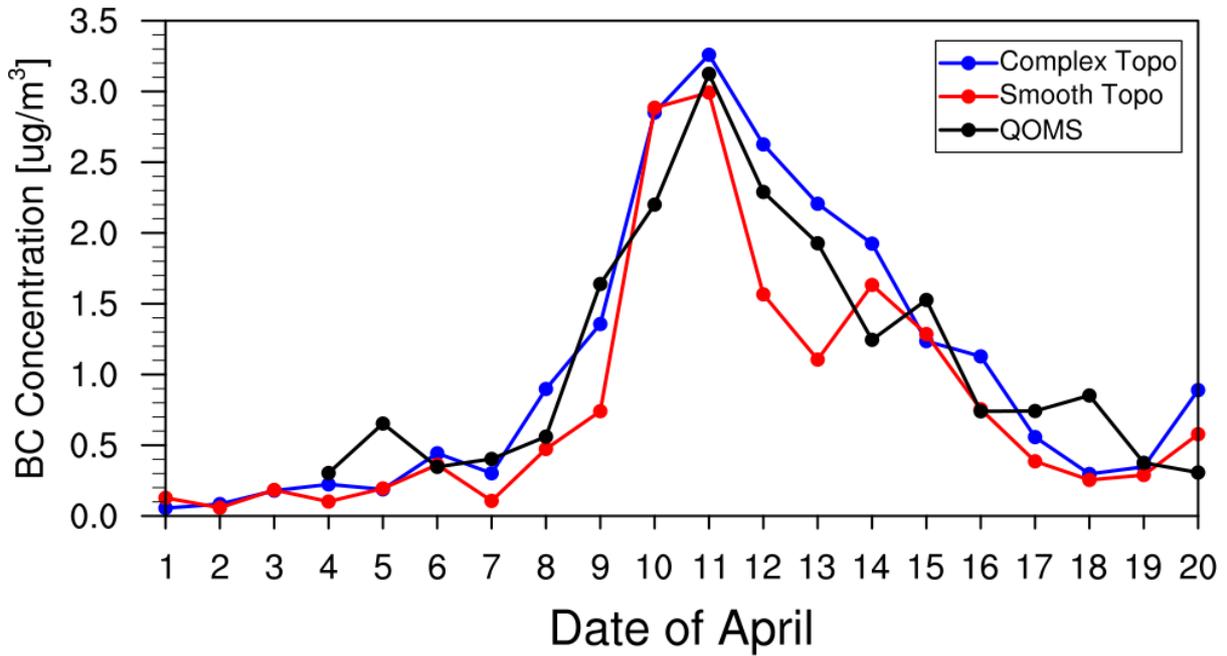
1413
1414
1415



1416
1417
1418
1419
1420
1421
1422
1423
1424
1425
1426
1427
1428
1429
1430
1431
1432
1433
1434
1435
1436
1437
1438
1439
1440
1441
1442
1443
1444
1445
1446

Figure 7. Hourly AOD from the measurements of AERONET and simulations by WRF-Chem at the two sites over the TP (QOMS_CAS, 86.95°E, 28.36°N; NAM_CO, 90.96°E, 30.77°N) for April 1-20, 2016.

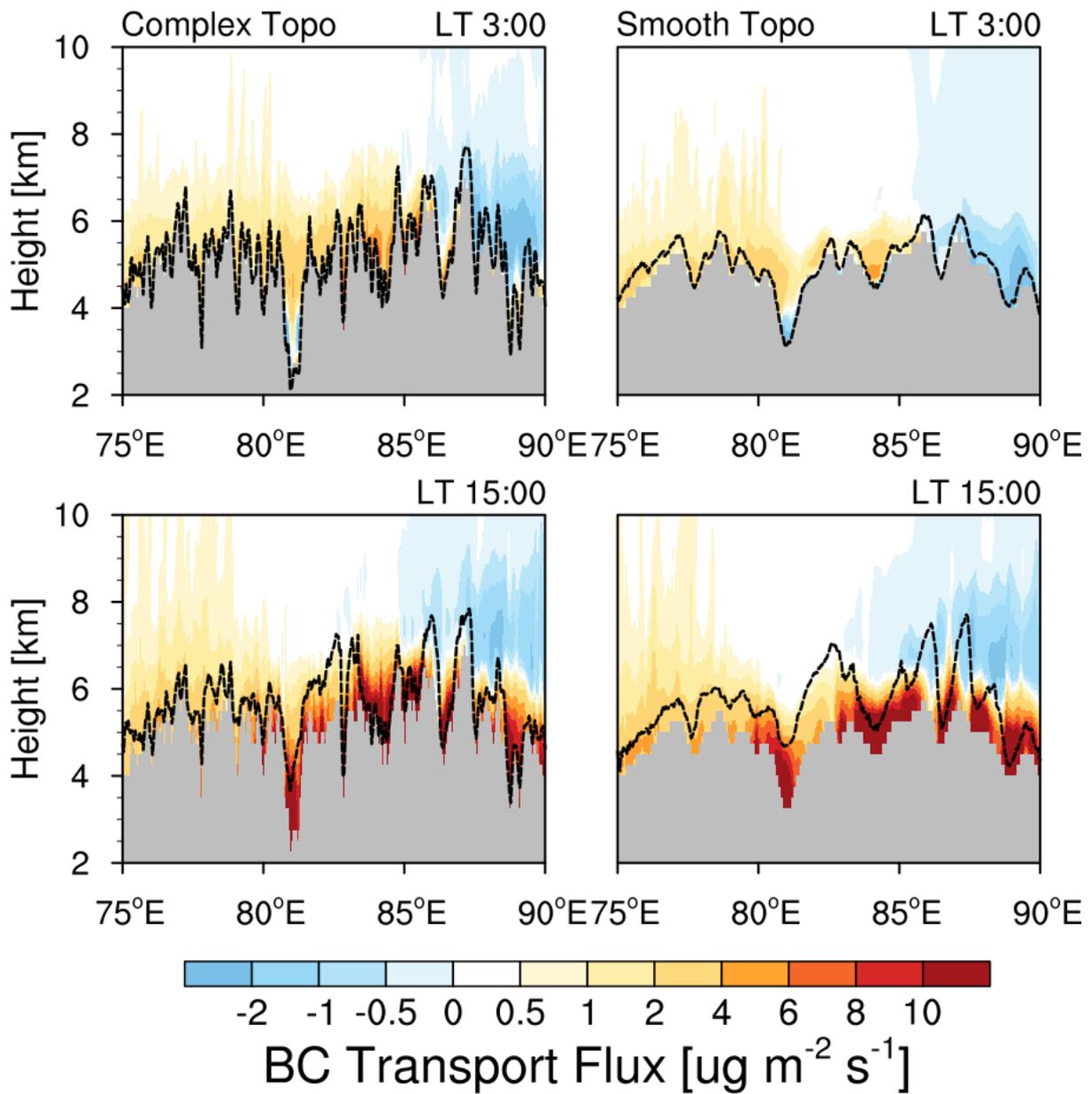
1447
1448



1449
1450
1451
1452
1453
1454
1455
1456
1457
1458
1459
1460
1461
1462
1463
1464
1465
1466
1467
1468
1469
1470
1471
1472
1473
1474
1475
1476
1477
1478
1479

Figure 8. The simulated (colored) and observed (black) temporal variability of ~~near~~-surface BC mass concentration at the measurement station during April 1-20 in 2016.

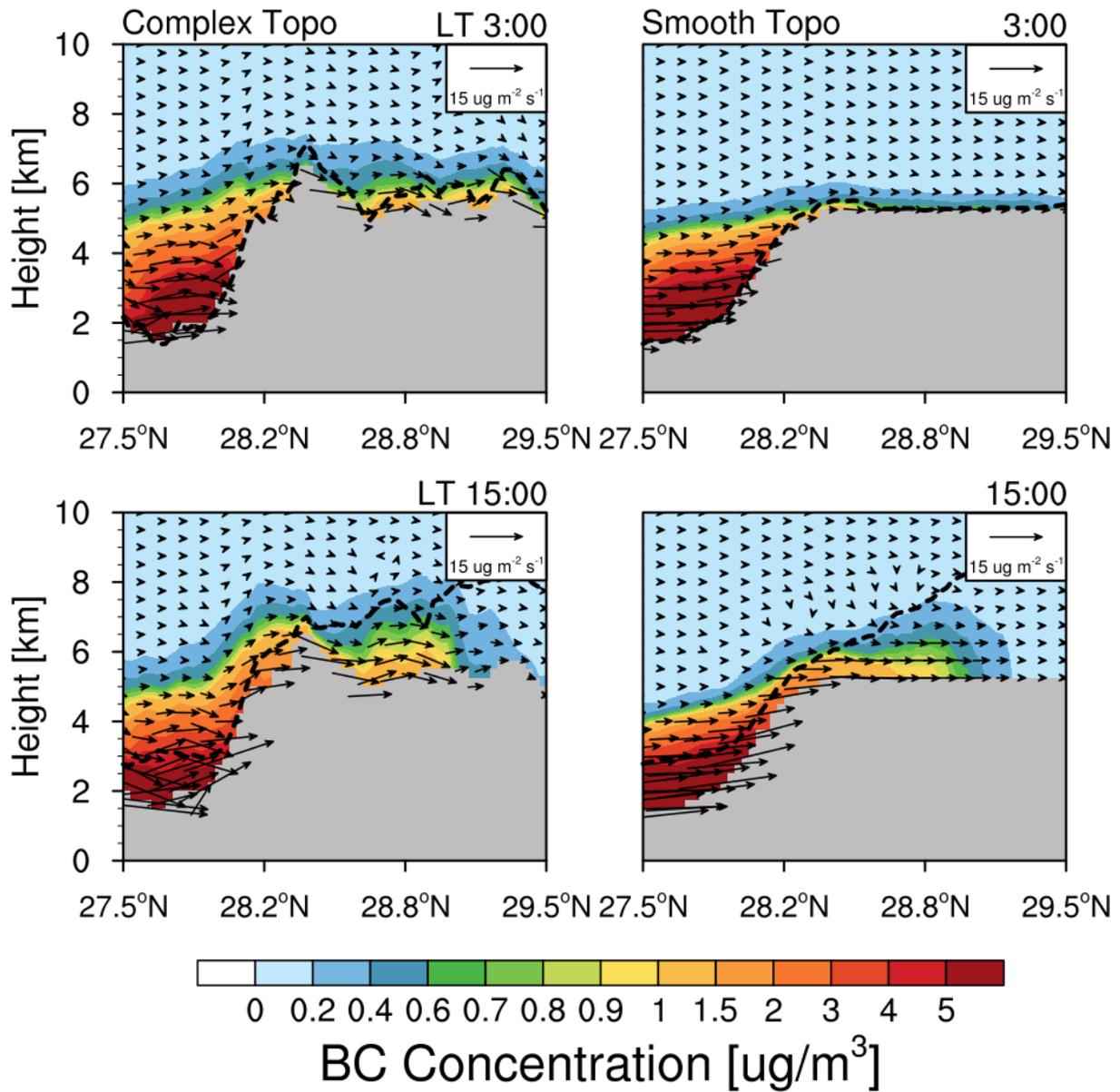
1480
1481



1482
1483
1484
1485
1486
1487
1488
1489
1490
1491
1492
1493
1494
1495
1496
1497

Figure 9. Longitude-height cross section of BC transport flux along the cross line (shown as the black dash line in Fig. 3) from the simulations with complex and smooth topography at local time (LT) 03:00 and 15:00 averaged for April 1-20. The PBL height along the cross section is shown here as the black dash line.

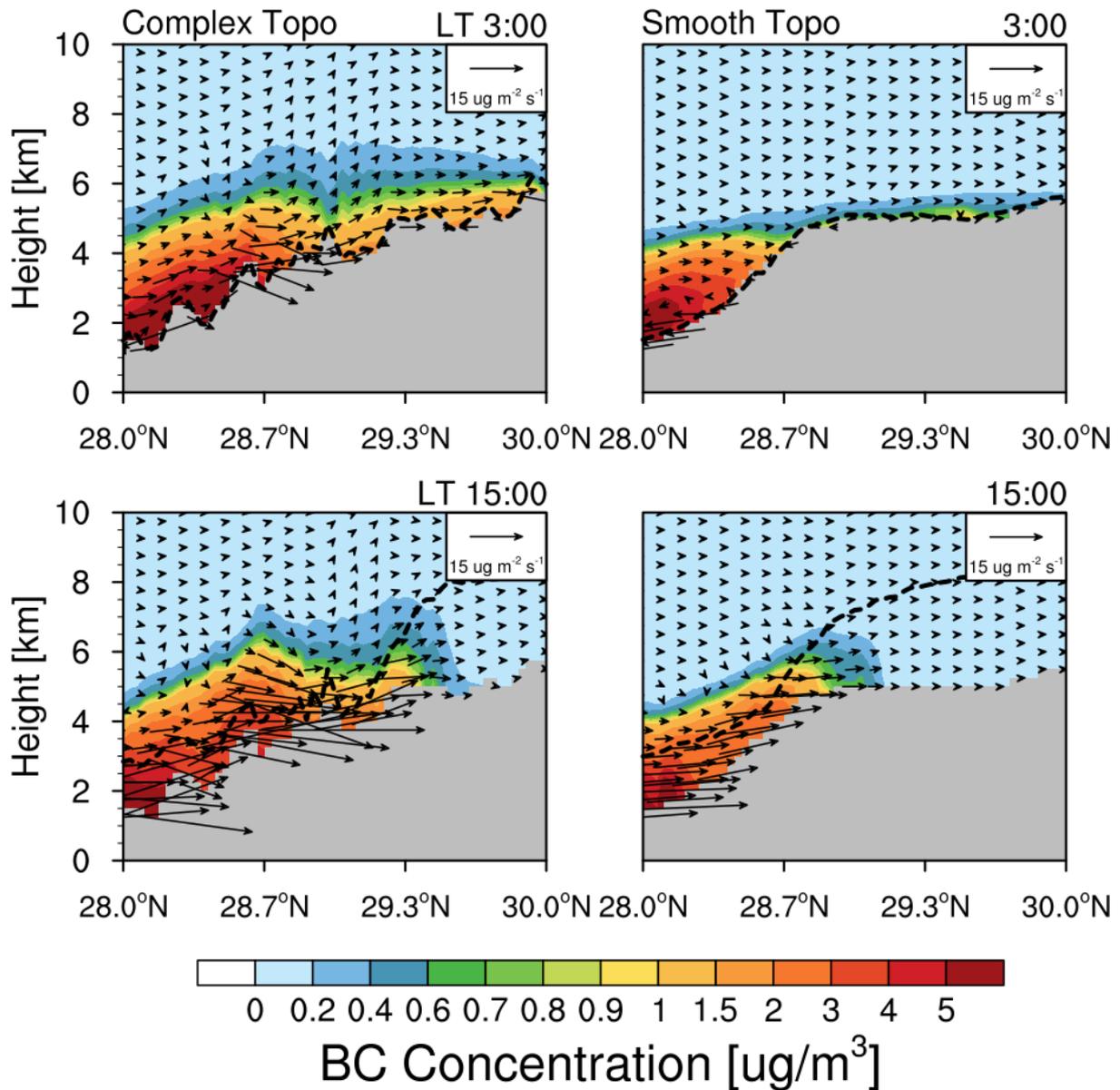
1498
1499
1500
1501



1502
1503
1504
1505
1506
1507
1508
1509
1510
1511
1512
1513
1514
1515
1516

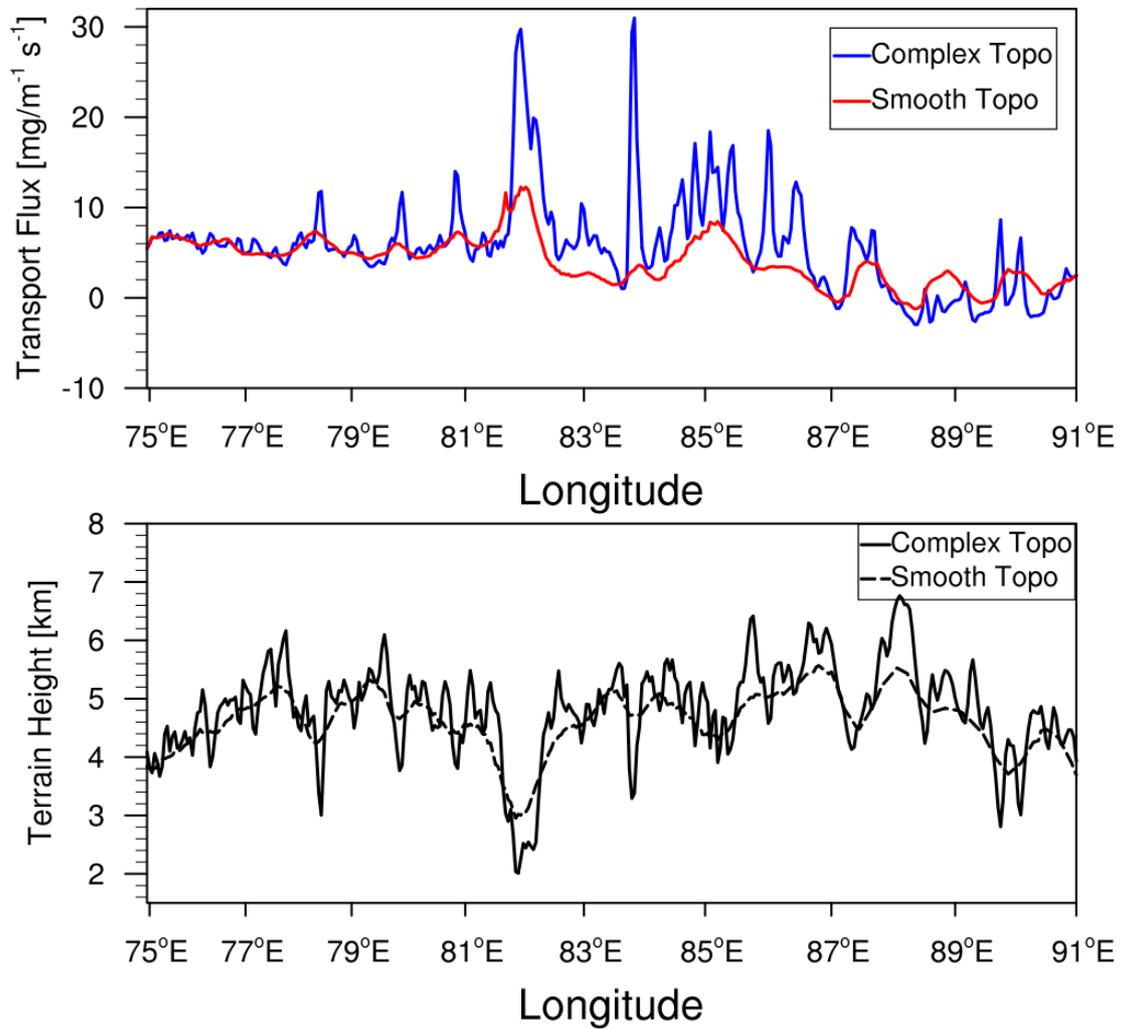
Figure 10. Latitude-height cross section of BC flux (vector) across the mountain (shown as the East black solid line in Fig.3) from the simulations with complex and smooth topography at local time (LT) 03:00 and 15:00 averaged for April 1-20, 2016. Contour represents the BC concentration.

1517
1518



1519
1520
1521
1522
1523
1524
1525
1526
1527
1528
1529
1530
1531
1532
1533
1534

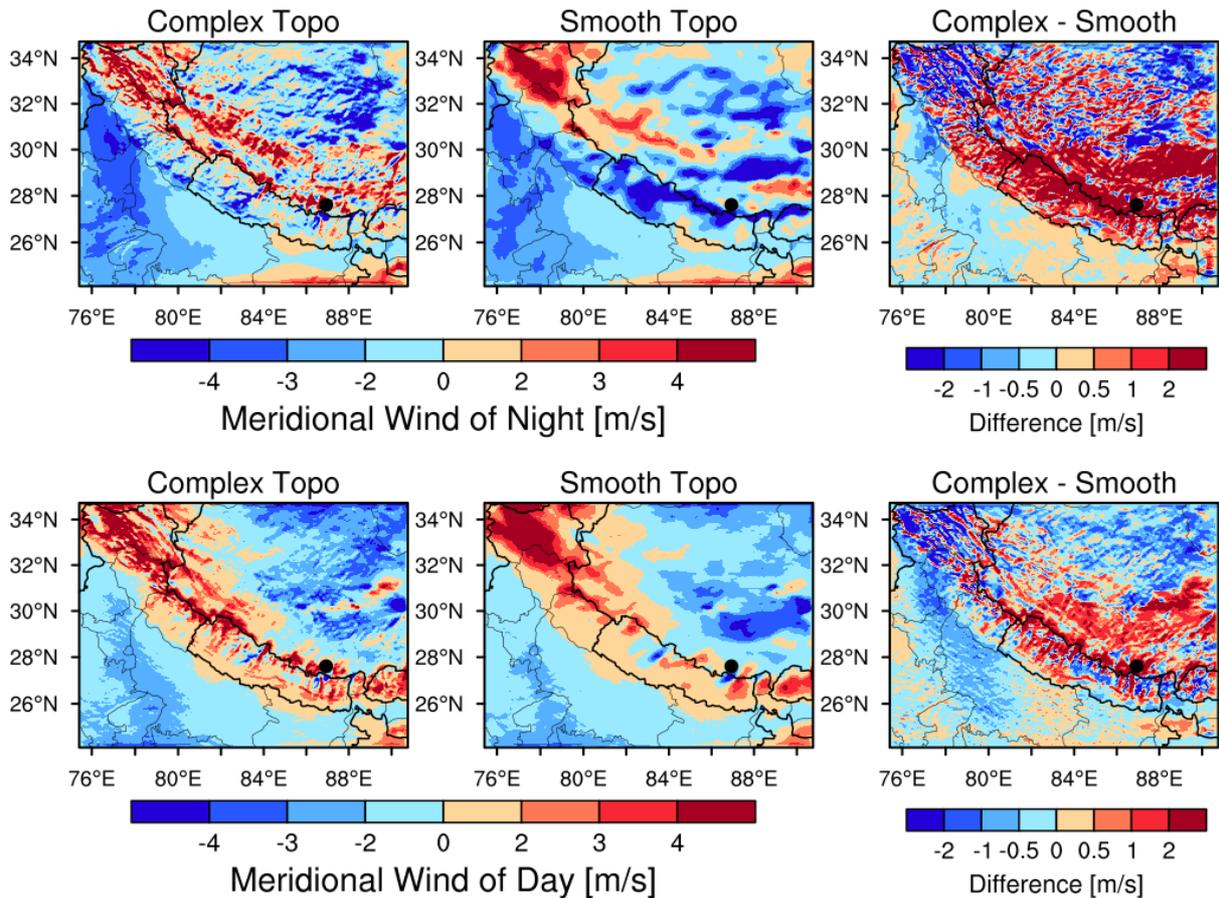
Figure 11. Latitude-height cross section of BC flux (vector) along the valley (shown as the West black solid line in Fig. 3) from the simulations with complex and smooth topography at local time (LT) 03:00 and 15:00 averaged for April 1-20, 2016. Contour represents the BC concentration.



1535
 1536
 1537
 1538
 1539
 1540
 1541
 1542
 1543
 1544
 1545
 1546
 1547
 1548
 1549
 1550
 1551
 1552
 1553
 1554
 1555
 1556
 1557

Figure 12. Longitudinal distribution of integrated BC mass flux along the cross section in Fig. 3 from the simulations with complex and smooth topography. The black lines represent the terrain heights with different topography.

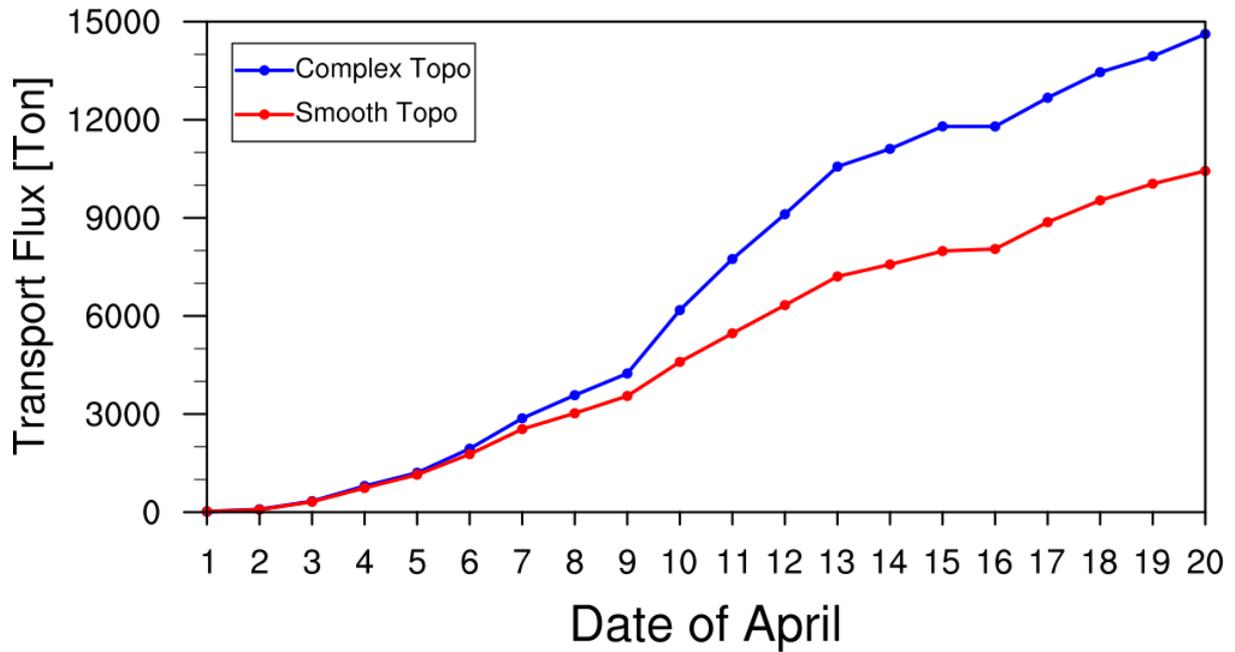
1558
1559
1560



1561
1562
1563
1564
1565
1566
1567
1568
1569
1570
1571
1572
1573
1574
1575
1576
1577
1578
1579
1580
1581
1582
1583
1584

Figure 13. Spatial distributions of meridional wind speed averaged within 500 m above the ground for day and night during April 1-20, 2016 from the simulations with complex and smooth topography. The difference between the two is also shown. Nighttime is defined as local time 21:00-6:00, and daytime is defined as 9:00-18:00. Positive value denotes southerly, and negative value denotes northerly.

1585



1586

1587

Figure 14. Accumulated integrated total transport flux of BC across the Himalayas estimated from the simulations with complex and smooth topography during April 1-20, 2016.

1588

1589

1590

1591

1592

1593

1594

1595

1596

1597

1598

1599

1600

1601

1602

1603

1604

1605

1606

1607

1608

1609

1610

1611

1612

1613

1614

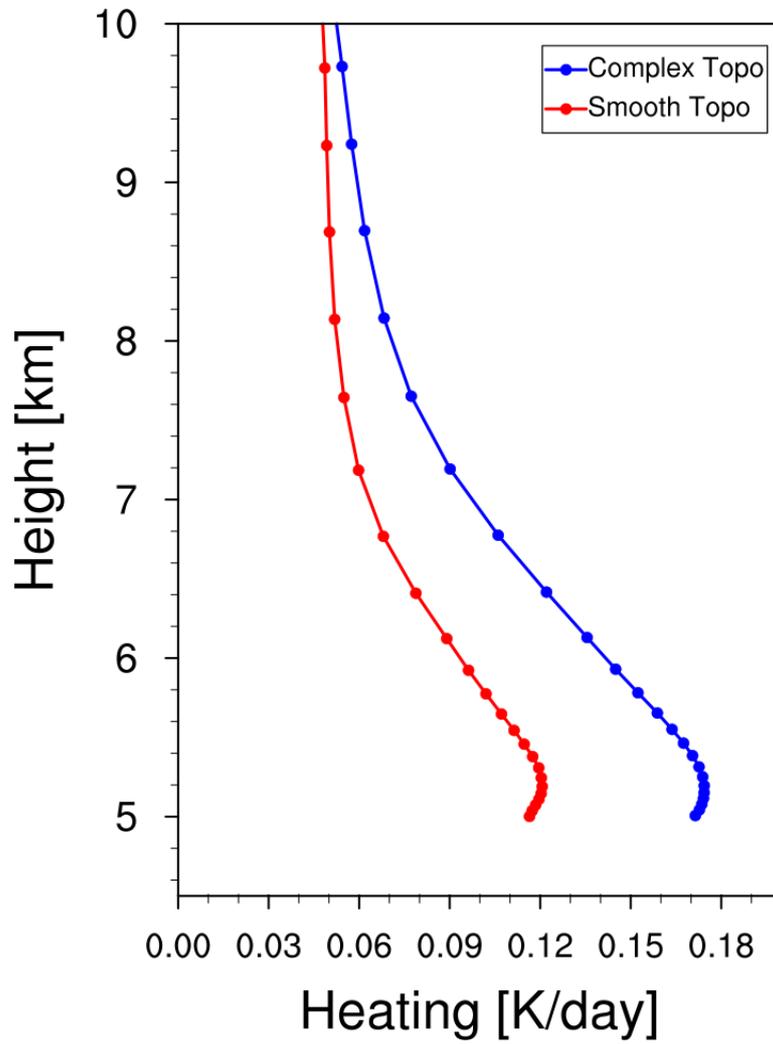
1615

1616

1617

1618

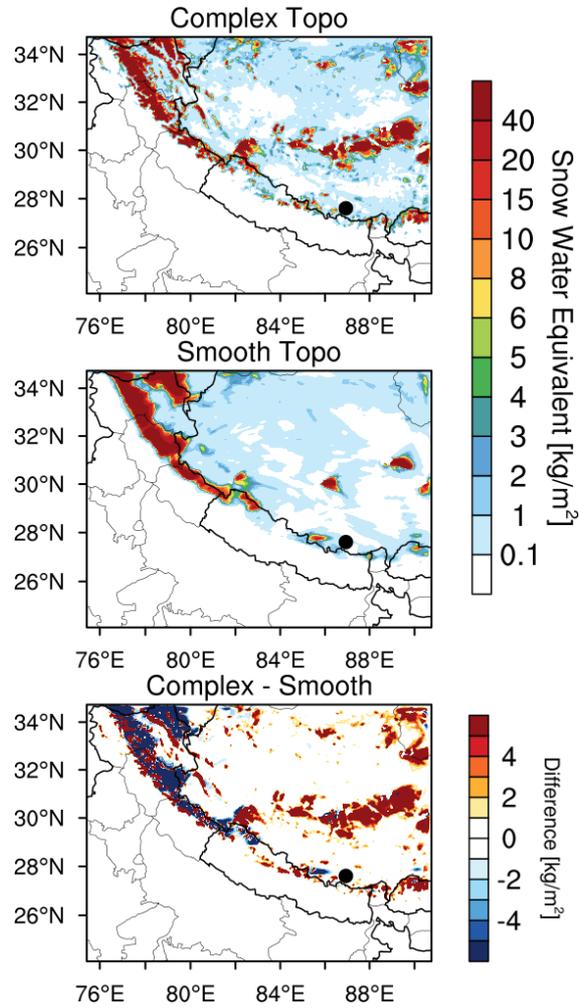
1619



1620
1621
1622
1623
1624
1625
1626
1627
1628
1629
1630
1631
1632
1633
1634
1635
1636
1637
1638
1639
1640
1641

Figure 15. Vertical profiles of BC induced radiative heating rate in the atmosphere averaged over the TP (with elevation > 4 km) from the simulations with complex and smooth topography during April 1-20, 2016.

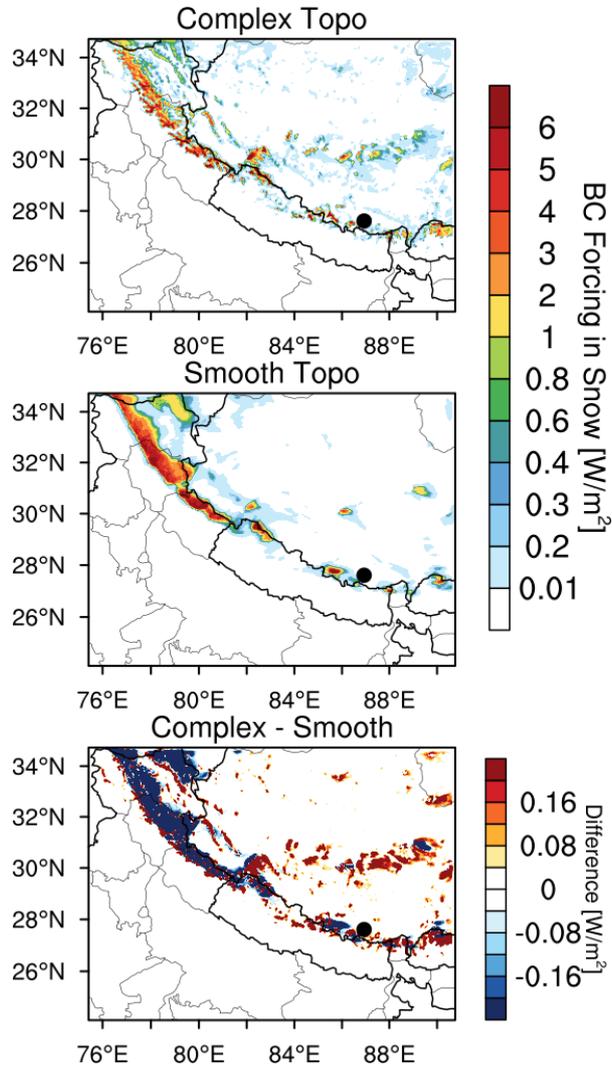
1642



1643
1644
1645
1646
1647
1648
1649
1650
1651
1652
1653
1654
1655
1656
1657
1658
1659
1660
1661
1662
1663
1664
1665

Figure 16. Spatial distributions of snow water equivalent averaged for April 1-20, 2016 from the simulations with complex and smooth topography. The difference between the two is also shown.

1666



1667

1668

Figure 17. Spatial distributions of BC radiative forcing in the surface snow averaged for April 1-20, 2016 from the simulations with complex and smooth topography. The difference between the two is also shown.

1670

1671

1672

1673

1674

1675

1676

1677

1678