

Revisiting the Agung 1963 volcanic forcing — impact of one or two eruptions

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Answers to reviewers on the ACPD paper (acp-2019-415):

Revisiting the Agung 1963 volcanic forcing — impact of one or two eruptions

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We thank Alan Robock and an anonymous reviewer for their helpful comments. We considered the recommendations carefully and made some changes in the text. The questions are in bold, answers in black and changes in the text in blue.

We realized an error in Fig. 8, the curves were averaged over different areas. This is corrected and a second set of curves, averaged over the inner tropics, plus a discussion is added. The text changes to: The measurements, averaged over stations between 30° ;N and 30° S, show a strong maximum at 70 hPa (Figure 8). The average over 30° N to 30 ° S of the model results (black lines) show a smaller anomaly and a stronger vertical extension of the heated area, more in AGUNG1 than in AGUNG2. Below the maximum at 70 hPa the simulated temperature than the measurements. Both features indicate too strong vertical lofting in the model. Figures 6 and 7 indicated a too low AOD in the model results around 30° S. Therefore, we added a second temperature profile, averaged over the main volcanic cloud at 15° N to 15° S (gray line), to Figure 8. Now the maximum is represented better. More important is the better agreement with the temperature decrease between 50 hPa and 70 hPa, especially for AGUNG2. The easterly phase of the QBO is related to downward motion and suppresses the vertical lofting caused by the heating, but is also also related to stronger vertical transport in the secondary meridional circulation around 30° North and South. This up welling seems to be stronger in the model than in the measurements causing the too high vertical extension of the simulated cloud.

20 Answers to reviewer1

We thank Alan Robock for his inspiring comments. We considered the recommendations carefully and performed an additional experiment: We changed the AGUNG2 setup and injected SO₂ twice at 50 hPa in a single simulation. The results are shortly discussed in the supplementary material. Further, we followed the recommendations on grammar etc. of the commented pdf-file and included some remarks in the following list of answers.

Did they (Self and King 1996) actually apportion the sulfur injections like this? The abstract only gives the total of 7 Tg.

Self and King (1996) give a total estimate of 7 to 7.5 Tg SO₂ as injection rate into the stratosphere for the Agung eruption, which they derived from stratospheric optical depths measurement a couple of months after the eruptions. The two eruptions
5 in March and May were too close to estimate the SO₂ emission of the observed two climatic eruptions with this method and satellite data were not available as nowadays.

In their table 3 Self and Rampino (2012) give a summary of the observed mass eruption rates, the length of the eruption and the estimated maximum column height of the eruption cloud. They obtain values of 4.10^7 kg s^{-1} and 2.10^7 kg s^{-1} for the first and second eruption, and a quite similar eruption length. Hence we assume for simplicity that the second eruption was half of
10 the size of the first one. This could only be an assumption as no injection rate for sulfur was given.

For clarification we also revised the text at several places , see below:

Abstract: The estimated mass flux of the first eruption was about twice as large as the mass flux of the second eruption. We followed the estimated emission profiles and assumed for the first eruption on March 17th an injection rate of 4.7 Tg SO₂, and 2.3 Tg SO₂ for the second eruption on May 16th.

15 Introduction: The mass flux of the second eruption on March 16th was about half the size of the mass flux of the first eruption on March 17th. Self and Rampino (2012) estimate the volumetric eruption rate for March 17th to be $\sim 1.8 \times 10^4 \text{ m}^3 \text{s}^{-1}$ over $\sim 3.5 \text{ h}$ duration. The volumetric eruption rate of the May 16th event was $\sim 0.9 \times 10^4 \text{ m}^3 \text{s}^{-1}$ over $\sim 4 \text{ h}$ duration. The resulting sulfate layer caused a climatic impact by scattering and absorbing solar and terrestrial radiation leading to a temperature decrease of about 0.4 K in the tropical troposphere (Hansen et al., 1978).

20 The sulfate load of the Mt. Agung eruptions was estimated to 7 to 7.5 Tg SO₂ from observations of aerosol optical depth (AOD) a couple of months after the eruption (Self and King, 1996). It was impossible to distinguish between single eruptions with this method. This could be the reason, that up to now, recent volcanic forcing data sets assume one large eruption phase of 7 Tg SO₂ for Mt. Agung, the one in March 1963, but neglect the second one.

25 Simulations: We performed experiments of two scenarios for the 1963 eruption of Mt. Agung. We assumed for the first experiment one eruption phase at March 17th (AGUNG1) with an injection of 7 Tg SO₂ over three hours. For the second scenario two eruptions were simulated with an ratio of the injection rate of 2:1. This reflects the mass flux of 4 kg s^{-1} and 2 kg s^{-1} given in Table 3 in Self and Rampino (2012). The heights of the eruptions were taken as average of the range of estimate heights in Self and Rampino (2012). This resulted for an assumption for the second experiment with two eruptions phases (AGUNG2): The first on March, 17th over three hours and a injection rate of 4.7 Tg SO₂ at an altitude of 50 hPa and a
30 second on May, 16th over four hours and a injection rate of 2.3 Tg SO₂ at a slightly lower altitude of 70 hPa.

The 2-eruption scenario changed both the amount and altitude of the sulfur injections. Thus, it is difficult to separate those effects. If you change two things at once, it is harder to understand the causes of the differences. Why not just change the number of eruptions, without changing the altitude, also?

The injection height was estimated to 50 hPa for the first eruption and 70 hPa for second eruption, following the values of column heights of 18 to 23 km and 16 to 21 km (Self and Rampino (2012), Table 3).

Your comment has inspired us to do an additional sensitivity experiment: We changed the AGUNG2 setup and injected SO₂ also at 50 hPa. We briefly discuss this in section 3.2 and added the results to the supplementary material.

5 We added in section 3.2: We performed an additional sensitivity study where we injected the SO₂ in both eruptions at 40 hPa to differentiate better between injection rates and emission height. The simulation AGUNG2 50hPa, with both eruptions at the same altitude, results in particle radii very similar to AGUNG1 and about 0.05 μm larger than in AGUNG2. This reflects the results of Laakso et al. (2016). See Section 2 in supplementary material for more information and figures.

I don't understand how the ensembles were created. The authors said there were two different initial conditions.
10 They did 6 ensemble members. Why did they use 2 different atmospheric states? And what were the states? Did they use actual weather patterns observed at the time of the eruption, from a reanalysis? If not, how they you choose them? And why did they only do 6 ensemble members?

We have not nudged meteorological parameters to the real meteorological situation because the model should respond to the different radiative forcing scenarios. Hence, we nudged the zonal winds in the tropical stratosphere, the QBO.

15 We found two situations with a QBO phase comparable to the observation in the control run. We used these as start for two members of our ensemble. We further enlarged the ensemble by slightly varying the vertical diffusion parameter of to four different values in one of the original members. This resulted in four additional different meteorological situations, so 6 ensemble members in total.

We assumed this number to give a certain range of results. We prescribe fixed SST values and, thus, no variations in the
20 ocean. Therefore, we expect our small ensemble to describe the variations in the limited range of solutions and would not expect a substantially different result from a larger ensemble.

We added a section to the supplementary materials: We performed a set of six ensemble-members for both, AGUNG1 and AGUNG2. We initialized the model from two different years, taken from a control simulation which was performed with ECHAM5-HAM under background conditions. Both years show a QBO phase similar, but still different, to observations before
25 the Agung eruption. We started all simulations of the ensemble in 1962 with nudged QBO. We enlarged the ensemble by setting in 1962 the factor by which stratospheric horizontal diffusion is increased from one level to next level above to 1.001, 1.0001, 0.999, 0.9999, respectively, instead of 1. This method is used regularly to disturb the atmosphere and creates a different state of the dynamical situation. Thus, we got six different states of the atmosphere to start our volcanic eruption simulations. Finally, all six simulations were used to calculate an ensemble mean. The sulfate burden simulated in the single simulations of the
30 ensemble are given in Figure 1.

We shortened the text in the main paper to: We performed a set of six ensemble members for each eruption case. See supplementary material for further details.

What SSTs were used? Observations?

We have used climatological SSTs, as we did in many previous studies for volcanic eruptions and geoengineering. Observed SSTs would have reflected the observed situation better. On the other hand is the model with climatological SSTs independent of any real situation — observational SSTs reflect the situation with two eruptions.

We added to the model description:

- 5 The sea surface temperatures (SST) are set to monthly mean climatological values based on the Atmospheric Model Intercomparison Project (AMIP) SST observational data set (Hurrell et al., 2008). Thus, the SST does not reflect the historical date but rather represents a climatological mean.

I would like to see the climate responses compared to each other, and to observations. What about surface air temperature patterns? Precipitation patterns? Northern and Southern Annular Modes? Did the injection strategies produce
10 significant differences?

It is difficult to discuss the requested variables with a GCM. We discuss the climatic impact briefly when we estimate the impact of the different forcings on the surface temperature. The prescribed SSTs, especially climatological ones, suppress a realistic simulation of surface climate change, e.g. precipitation. This could be done with an Earth-system model but would be out of the scope of this study. Therefore, we decided to keep the current discussion on stratospheric impacts only.

15 Except for Fig. 3, I did not see any statistics showing the spread from the ensemble members. Rather the authors argue at the end that the differences between different models are larger than then differences shown here between the two forcings. But how do those differences compare to chaotic differences in the climate system? How do weather differences, or differences in initial conditions, or different oceanic responses compare to the differences from different forcings?

20 Figure 3 shows the spread of the ensemble minimum and maximum values and indicates that the spread is larger than the difference in the ensemble mean. We used this figure for the argument in the conclusions. This was not fully correct as we discuss the forcing in the conclusion. We added a 2σ variability range in Figure 5 (forcing) and deleted the sentence at the end. Additionally, a reference to Fig. 1 of the supplementary material was added, which shows the single burden results. This reference was missed in the main text.

25 We tried to include the chaotic system when performing an ensemble (see answer to point 2). A GCM with a fixed SST cannot include different states of the ocean, beside prescribing different SSTs, which would result in a different topic of the article.

In 1963 there were no CFCs in the stratosphere. What would this response (on ozone) have been?

We decided to skip the sentence on the missing ozone impact in ECHAM-HAM in the text. Checking the literature again,
30 we decided that a full discussion of ozone changes after a volcanic eruption would be out of the scope of this paper.

CFC emission started prior to 1963. But in 1963 CFCs concentration was still small. However, chlorine impacts ozone at low temperatures and is more important at higher latitudes than in the tropics. In the tropics, changes in the NO_x/NO_y equilibrium impact ozone more strongly than chlorine (Richter et al., 2017).

Tie and Brasseur (1995) show in their Figure 1 very small changes in the column ozone abundance in the tropics in response to a Mt. Pinatubo-like volcanic eruption, calculated for different chlorine loadings. However, there is also recent increasing observational evidence that volcanic halogens can reach the upper troposphere and lower stratosphere. Model simulations show that this can cause long-lasting impact on the ozone layer (Brenna Hans et al., 2019) with decreases above 10% in the tropical troposphere.

What are the horizontal lines at each pressure level in Fig. 8?

Thanks for mentioning, we forgot to describe this in the capture.

We added to the capture: [The horizontal lines mark the 95% confidence interval for the RATPAC observations.](#)

Answers to reviewer 2

We thank the reviewer for the helpful comments. We considered the recommendations carefully and made some changes in the text.

P3L7: Not clear which ocean you used - was it a climatology or historical variability Sorry, we should have mentioned this. We have used climatological SSTs, as we did in many previous studies for volcanic eruptions and geoengineering. Observed SSTs would have reflected the observed situation better. On the other hand is the model with climatological SSTs independent of any real situation. We added in the text:

[The sea surface temperatures \(SST\) are set to monthly mean climatological values based on the Atmospheric Model Intercomparison Project \(AMIP\) SST observational data set \(Hurrell et al., 2008\). Thus, the SST does not reflect the historical date but rather represents a climatological mean.](#)

P6L14: 10% difference in the stratospheric vortex zonal wind speed is not so small to be so easily discarded, given that you already noted the traces of stronger meridional transport. Was it statistically significant? Was the change in stream function also around 10% or negligible?

The difference in the zonal wind is not statistically significant. Differences in the stream function are around 5 kg s^{-1} in the SH polar vortex. We added the figure for AGUNG1 to the supplementary materials.

We changed the text to: Figure 2 shows the monthly mean zonal wind (shaded) and the residual stream function for April and June 1963, one month after the eruption each, [of AGUNG2 \(see Fig. 5 in supplementary material for results of AGUNG1\).](#) Nudging of the QBO at the Equator results in similar zonal mean zonal winds in the tropics between the two experiments. Differences in the extratropics of about $\pm 10\%$ are not significant and are mainly caused by a meridional shift of the higher latitude wind systems.

P8L4-7: It is a bit incorrect to compare rather short-term effects after eruption to the long-term forcings from ozone and anthropogenic aerosols without specifying this difference.

The reviewer is right with this comment. We shortened the text and include the discussion on temperature impact only. We changed the text accordingly:

The climatic impact can be derived from the aerosol radiative forcing at top of the atmosphere (TOA), which was calculated with a radiation double call (Figure 5, left). The spread of the single ensemble members is large, but the average of the AGUNG2 ensemble is just out of the 2σ ensemble variability of AGUNG1. The global monthly mean TOA forcing of sulfate is about 0.1 to 0.3 Wm^{-2} larger in AGUNG1. The average difference in the short-term volcanic forcing over the 1st 21 post
5 eruption months is three to ten times larger than the long-term forcing radiative forcing of stratospheric ozone (-0.033 Wm^{-2}) in CMIP6 (Checa-Garcia et al., 2018) and comparable to the long-term radiative forcing of the total ozone column (0.28 Wm^{-2} in CMIP6). The long-term radiative forcing of anthropogenic sulfate aerosols is assumed as -0.4 Wm^{-2} (Stocker et al., 2013).

**P9L18: Too high wet deposition or gravitational sedimentation? Wet deposition implies precipitation, which occurs
10 in the troposphere and is already quite fast compared to the stratospheric aerosol lifetime. In your case it looks more like a quicker sedimentation from the stratosphere to the troposphere.**

We agree with the reviewer. However, the cited papers compare deposition and show too high values for our model at high latitudes. The reason is more complicated and included sedimentation and precipitation. Sedimentation has to be strong to get the particles into the troposphere. Partly, the reason could be the model resolution (T42). Brühl et al. (2018) show a
15 longer lifetime of sulfate aerosols after volcanic eruptions in T63 resolution, compared to T42, due to better representation of convection.

We changed the text to:

This is most probably related to too intense sedimentation at high latitudes (Brühl et al., 2018). The consequence is too high wet deposition, a well known phenomena phenomena of ECHAM-HAM.

20 P11L2-4: Mount Bingar data agrees better with the model than the Aspendale data only in the first months, while later (months 6,8,10) it is the other way around.

Yes, we agree. Unfortunately the measurements at Aspendale end 8 months after the eruption. The measurements at Mt. Bingar show quite large variations later.

We highlight the uncertainty related to the early measurements in the text:
25

The agreement between the model simulations and the individual stations differ with time. Between 35°S to 40°S, the model agrees better with the data of Mt. Bingar (yellow cross) than to the Aspendale data (red triangle) in the first months after the eruption, where the sulfate values increase two months earlier although the station is closer to the tropics. This may indicate the dependency of the point source to the position of the volcanic cloud.

30 P13L24-28: First you say that 10% justifies no importance and then the opposite. I would suggest rephrasing it in a simpler non-contradictive way, because your main message is still that it is important and actually does not require a lot of efforts to apply.

We changed the last paragraph to a more clear statement:

Overall, differences of around 10% in the radiative forcing between AGUNG1 and AGUNG2 should justify changes in the volcanic emission datasets. The more recent volcano datasets are rather detailed. Also future studies using high horizontal and vertical resolution and more sophisticated models will demand detailed input data. Details of our assumptions on the Agung eruptions might be critically reviewed again, but we recommend to include both eruptions of Mt. Agung in upcoming datasets.

Revisiting the Agung 1963 volcanic forcing — impact of one or two eruptions

Abstract. In 1963 a series of eruptions of Mt. Agung, Indonesia, resulted in the 3rd largest eruption of the 20th century and claimed about 1900 lives. Two eruptions of this series injected SO₂ into the stratosphere, a requirement to get a long lasting stratospheric sulfate layer. The estimated mass flux of the first eruption was about twice as large as the mass flux of the second eruption. We followed the estimated emission profiles and assumed for the first eruption on March 17th an injection rate of 4.7 Tg SO₂, and 2.3 Tg SO₂ for the second eruption on May 16th. The injected sulfur forms a sulfate layer in the stratosphere. The evolution of sulfur is non-linear and depends on the injection rate and aerosol background conditions. We performed ensembles of two model experiments, one with a single and a second one with two eruptions. The two smaller eruptions result in a lower burden, smaller particles and 0.1 to 0.3 Wm⁻² (10 - 20%) lower radiative forcing in monthly mean global average compared to the individual eruption experiment. The differences are the consequence of slightly stronger meridional transport due to different seasons of the eruptions, lower injection height of the second eruption and the resulting different aerosol evolution.

The differences between the two experiments are significant, but smaller than the variance of the individual ensemble means. Overall, the evolution of the volcanic clouds is different in case of two eruptions than with a single eruption only. We conclude that there is no justification to use one eruption only and both climatic eruptions should be taken into account in future emission datasets.

1 Introduction

In September 2017 Mt. Agung, a volcano on Bali, Indonesia (8.342°S, 115.58°E), became restless. Earthquakes, steam, ash clouds and lahars resulted in the evacuation of nearly 150.000 people from the volcano's environment within a radius of 9-12 km in November 2017 (Gertisser et al., 2018). The eruption resulted in an ash cloud reaching up to an altitude of about 9.3 km (Marchese et al., 2018) and about 10 DU SO₂ above Bali (Hansen, 2017), which was not large and high enough to result in a climatic impact. The last climatic eruption of Mt. Agung dates back more than 50 years. From February 1963 to January 1964 a series of eruptions from Mt. Agung are documented (Fontijn et al., 2015). The initial unrest resulted in the 3rd largest eruption of the 20th century global volcano record and claimed about 1900 lives. Revising the literature, it is obvious that not just one of the eruptions was strong enough to inject SO₂ into the stratosphere, a requirement to get a long lasting stratospheric sulfate layer, but also a second one (Self and Rampino, 2012). The mass flux of the second eruption on March 16th was about half the size of the mass flux of the first eruption on March 17th. Self and Rampino (2012) estimate the volumetric eruption rate for March 17th to be $\sim 1.8 \times 10^4 \text{ m}^3 \text{s}^{-1}$ over an $\sim 3.5 \text{ h}$ duration. The volumetric eruption rate of the May 16th event was $\sim 0.9 \times 10^4 \text{ m}^3 \text{s}^{-1}$ over an $\sim 4 \text{ h}$ duration. This second eruption injected 2.3 Tg SO₂ into the stratosphere on May 16th, half of the 4.7 Tg SO₂ of the first eruption on March 17th. The resulting sulfate layer caused a climatic impact by scattering and absorbing solar and terrestrial radiation leading to a temperature decrease of about 0.4 K in the tropical troposphere (Hansen et al., 1978).

The sulfate load of the Mt. Agung eruptions was estimated to 7 – 7.5 Tg SO₂ from observations of aerosol optical depth (AOD) a couple of months after the eruption (Self and King, 1996). It was impossible to distinguish between single eruptions

with this method. This could be the reason, that up to now, recent volcanic forcing data sets assume one large eruption phase of 7 Tg SO₂ for Mt. Agung, the one in March 1963, but neglect the second one. Within this paper we examine whether or not it is important to consider both eruption phases individually when simulating sulfate evolution and transport, as well as the impact on radiative forcing of the Mt. Agung eruption.

5 The radiative forcing of the sulfate aerosols can either be simulated by calculating the evolution and transport of sulfur with an aerosol microphysical model or, much simpler, by prescribing the optical parameters of the volcanic aerosols. The first needs volcanic injection data and information on emission strength and altitude, the latter optical properties like the aerosol optical depth (AOD). Most datasets base the estimated global coverage of sulfate aerosols after the Mt. Agung eruption on ground based and on ice core measurements, and provide the AOD (e.g. Sato et al. (1993), Stenchikov et al. (1998), Ammann et al.
10 (2003), Crowley et al. (2008), Crowley and Unterman (2012)). Satellite data were yet not available in 1963. Newer datasets rely not only on measurements, but they also include simulated sulfate distributions, e.g. results of an empirical aerosol forcing generator like [Easy Volcanic Aerosol \(EVA\)](#) (Toohey et al., 2016) or complex aerosol models, which simulate the evolution of the aerosol e.g. Arfeuille et al. (2014) for the SAGE-4λ data set. On the other hand new volcanic eruption data sets are released which provide the SO₂ injection rate for large climate relevant volcanic eruptions, e.g. Volcanic Emissions for Earth
15 System Models (VolcanEESM) (Neely III and Schmidt, 2016) and the eVolv2k data set (Toohey and Sigl, 2017), provide sulfur injection data. These newer datasets include one eruption phase only for Mt. Agung, the main eruption in March 1963, and merged [assume the injected amount of SO₂ of 7 Tg following the estimates of Self and King \(1996\)](#).

The evolution of the volcanic aerosols is strongly non-linear. In particular, the particle size depends on the erupted mass (Timmreck et al. (2010), Niemeier and Timmreck (2015)) and sulfur injected into an existing volcanic sulfate layer evolves
20 differently than sulfur injected into background conditions (Laakso et al., 2016). Additionally, many chemical processes depend on particle size and sulfate concentrations. For example stratospheric OH, NO_x and ozone concentrations change under high sulfur load. CCMVal (2010) shows in Figure 8.20 the temperature response of different stratospheric chemistry models to volcanic sulfate aerosols. Models using full aerosol microphysics or prescribing surface aerosol density tend to overestimate the measured heating in the stratosphere after the Mt. Agung eruption. This might be related to the assumption of one eruption
25 phase only, especially as for the Mt. Pinatubo eruption in 1991 the models show a slightly better result.

In this study we would like to address the following question: Is there a significant difference when simulating two medium eruptions instead of a single large one? We performed two experiments to provide an answer to this question. We describe the model and the simulations in more details in Section 2, show results in Section 3 where we describe the different burden results of the two experiment ensembles (Sect. 3.1) and the cumulative impact of the eruptions (Sect. 3.2). Finally, we compare our
30 results to measurements in Section 4 before we are concluding in Section 5.

2 Model and observation data

2.1 Model setup

The model simulations of this study were performed with the middle atmosphere version of the general circulation model (GCM) MAECHAM5 (Giorgetta et al., 2006). The aerosol microphysical model HAM (Stier et al., 2005) is interactively coupled to the GCM and was extended to a stratospheric version (Niemeier et al., 2009). MAECHAM5-HAM, ECHAM-HAM later in the text, was applied with the spectral truncation at wave-number 42 (T42), a horizontal grid size of about 2.8° , and 90 vertical layers (L90) up to 0.01 hPa. The model is not coupled to an ocean model and shows pure volcanic forcing response only. The sea surface temperatures (SST) are set to monthly mean climatological values based on the Atmospheric Model Intercomparison Project (AMIP) SST observational data set (Hurrell et al., 2008). Thus, the SST does not reflect the historical date but rather represents a climatological mean.

HAM calculates the evolution of sulfate from the injected SO_2 to sulfate aerosol, including nucleation, accumulation, condensation, and coagulation, as well as transport and sink processes like sedimentation and deposition (Stier et al., 2005). A simple stratospheric sulfur chemistry is applied above the tropopause (Timmreck, 2001; Hommel et al., 2011) and the sulfate is radiatively active. The model setup is described in more detail in Niemeier et al. (2009) and Niemeier and Schmidt (2017).

The L90 version of MAECHAM5-HAM generates interactively a quasi-biennial oscillation (QBO) (Giorgetta et al., 2006). However, we decided to nudge the QBO in the tropical stratosphere to the observed monthly mean winds at the Equator (updated Naujokat (1986)), as described in Giorgetta and Bengtsson (1999). This allows us to inject the volcanic sulfur into the observed QBO phase and still include the better resolved transport processes of the L90 version, e.g. a less permeable subtropical transport barrier (Niemeier and Schmidt, 2017). Nudging the QBO prescribes the feedbacks of the sulfate aerosol heating in the stratosphere on the QBO winds as observed. However, the QBO winds are prescribed on a monthly basis. This may suppress very short term changes in the transport due to dynamical changes caused by aerosol heating at the equatorial stratosphere.

2.2 Model simulations

We performed experiments of two scenarios for the 1963 eruption of Mt. Agung. We assumed for the first experiment one eruption phase at March 17th (AGUNG1) with an injection of 7 Tg SO_2 over three hours. For the second scenario two eruptions were simulated with a ratio of the injection rate of 2:1. This reflects the ratio of the volumetric eruption rate and the mass flux of 4 kg s^{-1} and 2 kg s^{-1} given in Table 3 in Self and Rampino (2012). The altitudes of the eruptions were taken as average of the range of estimated altitudes in Self and Rampino (2012). This resulted for the second experiment in the following assumption of two eruptions phases (AGUNG2): The first on March, 17th over three hours and an injection rate of 4.7 Tg SO_2 at an altitude of 50 hPa and a second on May, 16th over four hours and an injection rate of 2.3 Tg SO_2 at a slightly lower altitude of 70 hPa. The details of the eruptions were taken from Self and Rampino (2012) who provided various information about both climatic eruptions of Mt Agung. The ECHAM5-HAM input data for the eruptions are summarized in Table 1.

We performed a set of six ensemble members for each eruption case. See supplementary material for further details. We initialized the model with two different years from a control run of the same model and varied the stratospheric horizontal diffusion factor in the year before the eruption. This method is used regularly to disturb the atmosphere and create different states of the dynamical situation. All six simulations were used to calculate an ensemble mean. Additionally, we performed a single simulation where an eruption altitude of 50 hPa was assumed for both eruptions (AGUNG2-50hPa).

Table 1. Overview over the performed simulations and information to the eruption details, after (Self and Rampino, 2012).

Simulation name	Eruption mass	Eruption altitude	Eruption duration	Eruption date	Ensemble members	OH-limitation
AGUNG1	7 Tg SO ₂	50 hPa	6 - 9 UTC	March 17th 1963	6	no
AGUNG2	4.7 Tg SO ₂	50 hPa	6 - 9 UTC	March 17th 1963	6	no
	2.3 Tg SO ₂	70 hPa	17 - 21 UTC	May 16th 1963		
AGUNG2 50 hPa	4.7 Tg SO ₂	50 hPa	6 - 9 UTC	March 17th 1963	1	no
	2.3 Tg SO ₂	50 hPa	17 - 21 UTC	May 16th 1963		

2.3 Observations

We compare our simulation results to observations and volcanic data sets provided for the climate model intercomparison project (CMIP). The AOD prescribed for the years 1963 to 1964 in the CMIP5 simulations of the Max Planck Institute for Meteorology is based on Sato et al. (1993) and Stenchikov et al. (1998). The data rely mainly on astronomical observations summarized by Dyer and Hicks (1968), as no satellite data are available for the period. The AOD data for CMIP6 were taken from the SAGE-3λ database (Luo (2016), Revell et al. (2017)). They combine ice core data (Gao et al., 2008) and AOD data (Stothers, 2001) with aerosol microphysical model simulations and include only one eruption of Mt. Agung in their preparatory model simulations (Arfeuille et al., 2014).

Stothers (2001) assembled a revised chronology of observed AOD after the Mt. Agung eruption. The data contain mainly measurements of atmospheric attenuation of starlight and direct sunlight. Stothers (2001) provides values of monthly mean AOD data as an average over different measurement sites, between 20°N to 40°N and 20°S to 40°S and results of monthly mean data of single measurement sites. Stothers (2001) excluded data of tropical measurement sites because they were not reliable.

Radiosonde temperature measurements provide information on the heating of the stratospheric aerosol layer after the eruption. This heating causes changes in stratospheric dynamics (Aquila et al. (2014), Toohey et al. (2013)) and is, therefore, an important value which should be taken into account correctly. Free and Lanzante (2009) provide vertical temperature anomalies after volcanic eruptions from radiosonde data (RATPAC). The carefully examined dataset contains data of 85 radiosonde stations, 32 of them in the tropics (Free et al., 2005). The QBO and ENSO signals in the temperature were removed. To calculate the temperature anomalies, the average of the two years before the eruption was subtracted from the average over the two

years after the eruption. The corresponding model data of AGUNG1 and AGUNG2 were calculated by averaging over the two years after the first eruption and subtracting an ensemble mean of control simulations with nudged QBO data, but no volcanic eruption, for the period 1964 to 1965. This provides the anomaly and removes the QBO signal at the same time.

3 Results

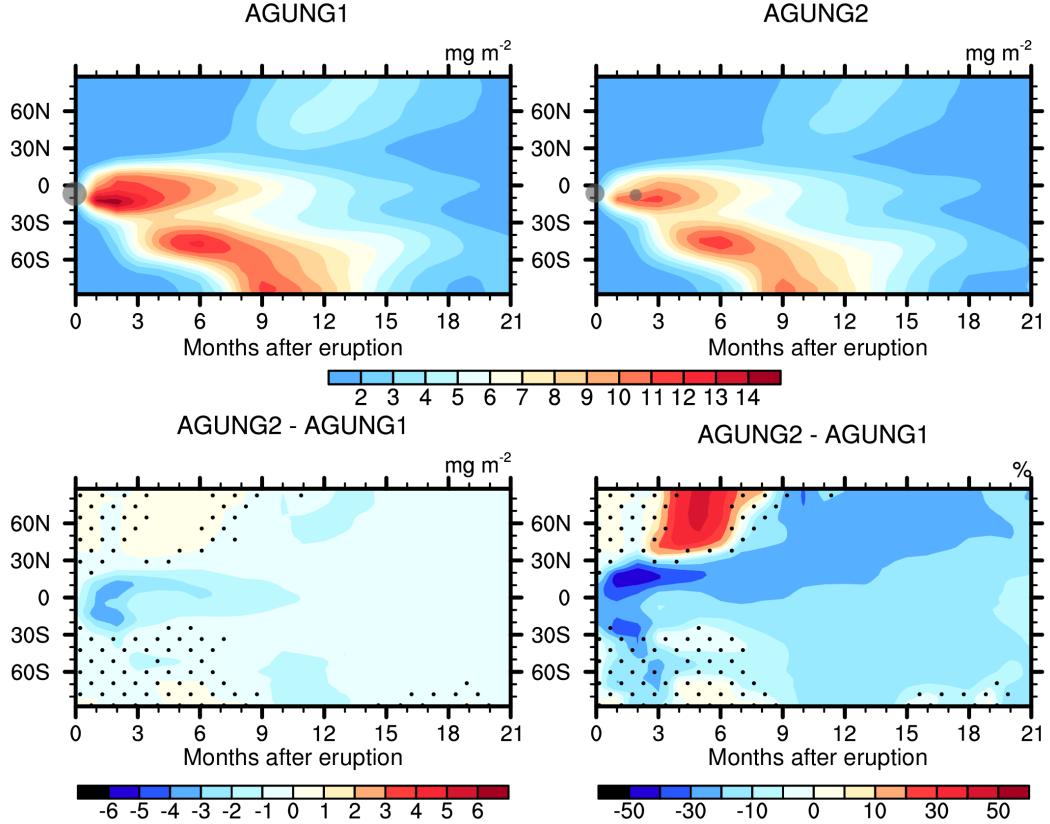


Figure 1. Top: Ensemble mean of sulfate burden of experiments AGUNG1 (left) and AGUNG2 (right). Bottom: Absolute (left) and relative (right) differences of the two ensembles. X-axis gives the months after the first eruption in March 1963. Stippling indicates non-significant differences at 99% level, following a student-t test. The gray dots mark the location and size of the volcanic eruptions.

Figure 1 shows the monthly and zonally averaged sulfate burden of the ensemble mean. Mt. Agung is located at 8° in the southern hemisphere (SH) tropics. Thus, the main transport direction of the aerosols is southward and, hence, burden values in the northern hemisphere (NH) remain small. The ensemble mean shows for both experiments, AGUNG1 and AGUNG2, two areas with high burden: a maximum in the southern tropics in the months 1 to 3 after the eruption, and about four months later
5 in the SH mid- and high-latitudes with a secondary maximum between 30° S and 60° S. The maximum burden is slightly above 14 mg/m^2 in the ensemble of AGUNG1 and 10 mg/m^2 , about 30% lower, in the ensemble of AGUNG2, reflecting the ratio of the initial injection. The initially higher injection in the ensemble of AGUNG1 results in a higher burden over almost all simulated months and regions. The strongest absolute difference between the two ensembles occurs in the time period between both climatic eruptions, when the injected sulfur amount in AGUNG2 is still smaller. The relative difference highlights that
10 more aerosols are transported into the NH tropics in AGUNG2 in the first months after the second eruption (months 4 to 6). The burden of AGUNG2 increases slightly after the second eruption but, overall, the tropical maximum of the burden is smaller and occurs later than in AGUNG1. In the SH extratropics the differences between the two ensembles are below 20%, 1 to 2 mg/m^2 . In contrast, the burden is up to 50% larger in AGUNG2 in months 6 to 10 in the NH extratropics, poleward of 30° N, but with small absolute values. Also towards NH winter the relative difference between the two simulations is larger in the NH
15 than in the SH, which indicates differences in the transport regime and wind systems.

3.1 Transport of aerosols

The ensemble of AGUNG2 results in most areas and times in a lower sulfate burden than AGUNG1 (Fig. 1). An exception is the sulfate burden in the extratropics in the first months after the second eruption. This indicates a stronger meridional transport in AGUNG2. The second eruption occurs two months later, thus, in a different season with different stratospheric transport
20 pattern. Additionally, the injection altitude is lower, 70 hPa instead of 50 hPa. Figure 2 shows the monthly mean zonal wind (shaded) and the residual stream function for April and June 1963, one month after the eruption each, [of AGUNG2 \(see Fig. 5 in supplementary material for results of AGUNG1\)](#). We show the results of the AGUNG2 simulation only, as the zonal wind and stream function is very similar between the two simulations. Nudging of the QBO at the Equator results in similar zonal
25 mean zonal winds in the tropics between the two experiments. Differences of the zonal mean zonal wind in the extratropics, around $\pm 10\%$, are not significant and are mainly caused by a meridional shift of the higher latitude wind systems.

Punge et al. (2009) showed that meridional transport in the tropics and sub-tropics depends on the QBO phase. Figure 2 shows that both eruptions phases inject into easterly zonal wind. Thus, the QBO phase should not play an important role in transport characteristics of both experiments. Seasonality seems more important. The streamlines show that the zero-line, indicating the tropical pipe, is shifted northward in June, allowing more sulfate to be transported into the NH. Additionally,
30 the second eruption occurs at a lower altitude, 70 hPa, where the sub-tropical transport barrier is weaker than at 50 hPa. This allows more meridional transport than at 50 hPa: the stream line at 70 hPa in June is stronger than at 50 hPa in April, 100 kg s^{-1} and around 50 kg s^{-1} , respectively.

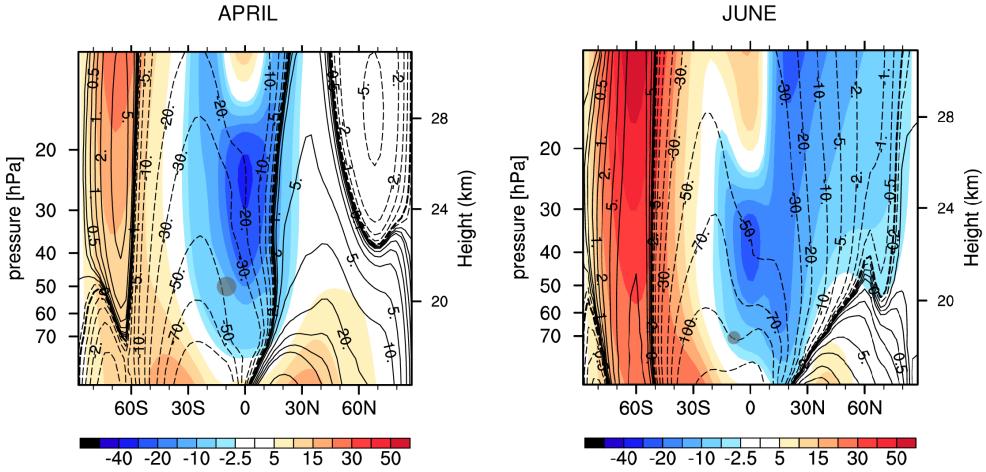


Figure 2. Monthly mean zonal wind [ms^{-1}] of AGUNG2 for April (left) and June (right) 1963 in shading. Contour lines show the stream function [kg s^{-1}]. Positive (solid) streamlines describe clockwise circulation, negative (dashed) ones counter-clockwise circulation. The gray dots mark the injection location of the two volcanic eruptions.

3.2 Cumulative impact — a sum over time

The zonally averaged cumulative burden (Fig. 3, left), time integrated monthly mean values over 21 months, starting with the month of the first eruption, is roughly 20% lower for AGUNG2 than AGUNG1. The difference between the two results is larger in the tropics than in the secondary maximum around 50°S . This results from the stronger meridional transport towards the SH 5 in AGUNG2. Thus, one eruption with larger SO_2 injection results in higher burden than the same injected amount of SO_2 , but split into two eruptions and in a slightly stronger tropical confinement of the aerosols. However, the shaded areas indicate that the variance within each ensemble is larger than the differences between the ensemble mean values. This result is confirmed in the cumulative AOD (Fig. 3, right). But, the AOD of AGUNG1 and AGUNG2 differs less (about 10%) than the burden (about 20%) in the tropics and even less in the SH extra-tropics (about 6%). The reason for this are different particle radii. Scattering 10 of sulfate aerosols decreases with increasing particle radius. The maximum effective radii of the sulfate aerosols reach $0.5 \mu\text{m}$ at 8°S for AGUNG1 and stay below $0.45 \mu\text{m}$ for AGUNG2 (Figure 4, top). Sulfate particles are $0.05 \mu\text{m}$ smaller in AGUNG2. Thus, they scatter more intensely and the AOD difference between the two experiments gets smaller. These smaller radii are the consequence of the lower injection rate of the first eruption in AGUNG2. Particle sizes increase with increasing injection rate 15 (Niemeier and Timmreck, 2015). Laakso et al. (2016) simulated an volcanic eruption into background sulfate level and into elevated sulfate level from continuous injections for climate engineering (CE). They show a shorter lifetime and larger particles under CE conditions. Thus, following Laakso et al. (2016), we would expect stronger coagulation after the second eruption, as newly formed particles coagulate fast with available larger particles. But the second eruption occurs at lower altitude, where sulfate concentration and particle radii are smaller. Thus, coagulation is less important. **We performed an additional sensitivity study where we injected the SO_2 in both eruptions at 50 hPa to differentiate better between injection rates and emission height.**

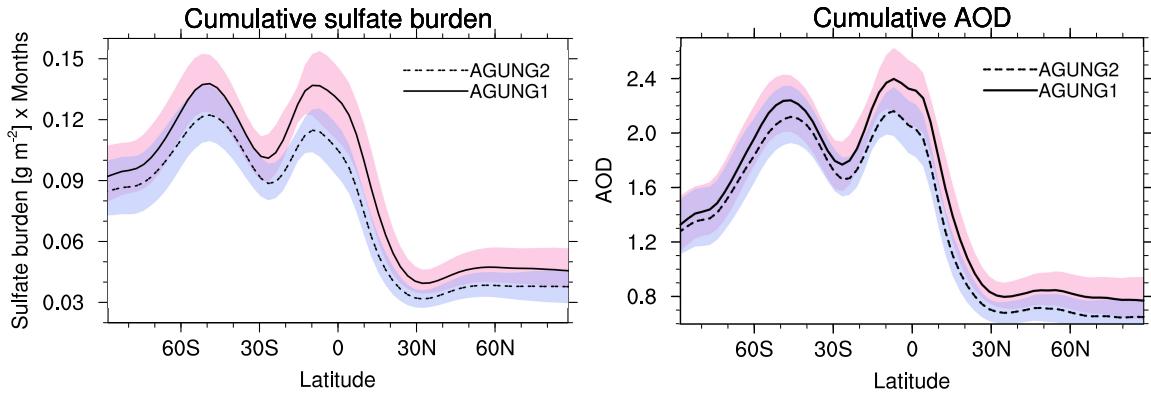


Figure 3. Cumulative values, integral over 21 monthly mean values, of (left) zonally averaged sulfate burden and (right) AOD at 550 nm. The shadings indicate the maximum and minimum values of the single simulations in the ensemble.

The sensitivity simulation AGUNG2-50hPa, with both eruptions at the same altitude, results in particle radii very similar to AGUNG1 and about 0.05 μm larger than in AGUNG2. This reflects the results of Laakso et al. (2016). See Section 2 in supplementary material for more information and figures.

The climatic impact can be derived from the aerosol radiative forcing at top of the atmosphere (TOA), which was calculated
5 with a radiation double call (Figure 5, left). The spread of the single ensemble members is large, but the average of the AGUNG2 ensemble is just out of the 2σ ensemble variability of AGUNG1. The global monthly mean TOA forcing of sulfate is about 0.1 to 0.3 Wm^{-2} larger in AGUNG1. The average difference in the short-term volcanic forcing over the 1st 21 post eruption months is three to ten times larger than the long-term forcing radiative forcing of stratospheric ozone (-0.033 Wm^{-2}) in CMIP6 (Checa-Garcia et al., 2018) and comparable to the long-term radiative forcing of the total ozone column (0.28
10 Wm^{-2} in CMIP6). The long-term radiative forcing of anthropogenic sulfate aerosols is assumed as -0.4 Wm^{-2} (Stocker et al., 2013).

We estimate surface temperature changes (ΔT_s) to give a rough estimate of the consequences of this forcing differences. ΔT_s relates to forcing as $\Delta T_s = \alpha F$, with F the radiative forcing and α the climate sensitivity (Gregory and Webb, 2008; Ramaswamy et al., 2001). α is a constant which differs for each model. Thus in our case

$$15 \quad \frac{\Delta T_s(\text{AGUNG1})}{\Delta T_s(\text{AGUNG2})} = \frac{F(\text{AGUNG1})}{F(\text{AGUNG2})} = \frac{-1.35 \text{ Wm}^{-2}}{-1.23 \text{ Wm}^{-2}} = 1.1, \quad (1)$$

with $F(\text{AGUNG1})$ and $F(\text{AGUNG2})$ the averages over the global radiative forcing of the months 3 to 9 after the eruption (Figure 5, left). Thus, we overestimate the surface cooling in AGUNG1 by a factor of 1.1 or 10%. Both experiments show the strongest difference of radiative forcing in the tropics (Figure 5, right) and the strongest surface cooling occurs in the tropics as well.

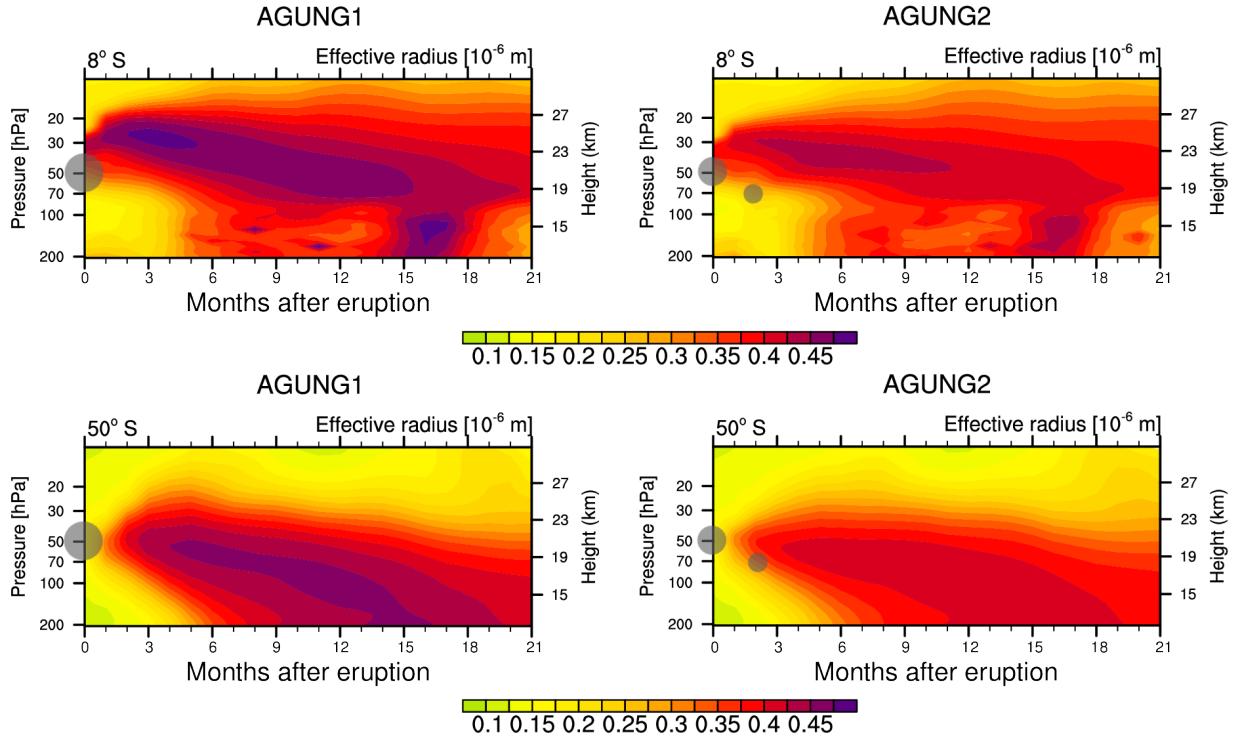


Figure 4. Hovmöller diagram of monthly mean effective radius μm of sulfate at the grid point corresponding to 8°S (top) and 50°S (bottom).

4 Comparison to observations

The zonally averaged AOD of AGUNG1 and AGUNG2 differs mainly in the tropics (Figure 6, top) and is rather similar in the SH extratropics. Our results agree quite well to the CMIP6 AOD, which shows, however, slower transport into the extra-tropics and no secondary maximum at 40°S to 50°S . Less aerosols reach the SH high-latitudes in CMIP6, but they have a longer lifetime. The CMIP5 volcanic forcing data used for the MPI-ESM simulations show a very different evolution which might be related to not reliable measurements in the tropics (Stothers, 2001).

Both AGUNG experiments fit in general well to the measurements of Stothers (2001), which are included as circles in Figure 6. The simulated NH AOD is slightly larger than the measurements. Thus, it seems that ECHAM-HAM overestimates the northward transport, but we have to take into account that Stothers (2001) noted the measured NH AOD is barely above noise level. In the SH the modeled AOD is smaller than the measurements, but larger than the CMIP6 data. In ECHAM-HAM, meridional exchange within the sub-tropics results in lower values between 20°S and 30°S and a maximum at 50°S where the meridional transport is blocked by the edge of the polar vortex. This maximum AOD, above 0.2, is more similar to the measured

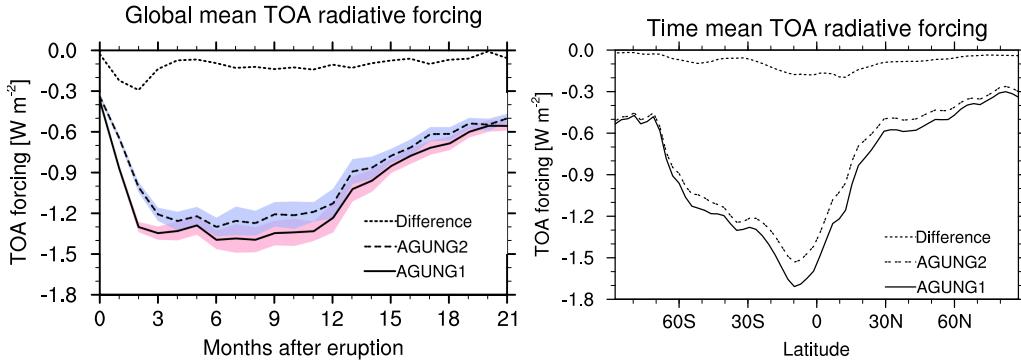


Figure 5. Top of the atmosphere (TOA) radiative forcing of sulfate aerosols under all sky conditions. Aerosol forcing was calculated using a radiation double call. Left: Global mean TOA forcing over time. The shadings indicate the 2σ variability range for both ensembles. Right: Zonally averaged radiative forcing as average over time (21 months).

AOD between 20°S and 40°S which could be an indication for too strong meridional transport in ECHAM-HAM. The AOD measurements of the months 19 to 21 and the CMIP6 data may indicate a too short lifetime of the simulated aerosols at the SH high latitudes. This is most probably related to too intense sedimentation at high latitudes (Brühl et al., 2018) in the T42 resolution of ECHAM5. The consequence is too high wet deposition at high latitudes, a well known phenomena phenomena of

5 ECHAM-HAM. also reported by Toohey et al (2013) and Marshall et al (2018), assume, again, too strong meridional transport in the stratosphere as a cause.

A more detailed analyses of the SH extra-tropics is shown in Figure 7. We averaged the model data over latitude bands 25°S to 30°S and 35°S to 40°S to compare those to the single measurement data given in Figure 1 of Stothers (2001). The simulated AOD is clearly smaller than the point measurements, but one should take into account that horizontal gradients in a volcanic 10 cloud can be large. Thus, a point measurement should give a higher value than an area mean of a model, which represents an area of several hundred kilometers. Additionally, measurements and simulated values depend not only on sulfate evolution but also on transport. Further possible reasons for the differences were stated before. In the first three months after the eruption, the simulated AOD agrees well to the measurements. The onset of the meridional transport is similar, but the simulated volcanic 15 cloud arrives slightly later in 25°S to 30°S (see also Fig 6). The agreement between the model simulations and the individual stations differ with time. Between 35°S to 40°S , the model agrees better with the data of Mt. Bingar (yellow cross) than to the Aspendale data (red triangle) in the first months after the eruption, where the sulfate values increase two months earlier although the station is closer to the tropics. This may indicate the dependency of the point source to the position of the volcanic 20 cloud. Both the timing of the maximum and the onset of the decline agree well in measurements and model results.

Measured data of particle radii are not available, but the following references provide some estimates, which are helpful for a rough comparison. Arfeuille et al. (2014) simulated for the SAGE-4λ dataset effective radii of $0.3 \mu\text{m}$ to $0.4 \mu\text{m}$ for eruptions of the size of the the Agung eruption. Stothers (2001) estimates a radius of $0.35 \mu\text{m}$ from the measurement data of the year

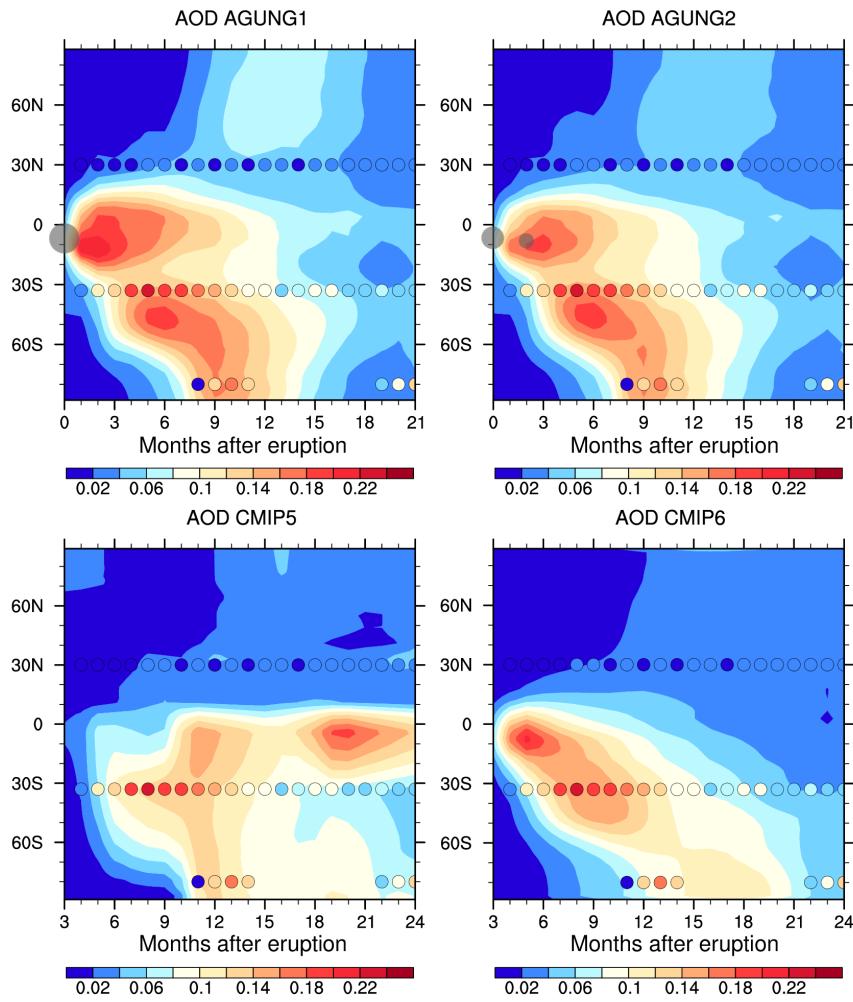


Figure 6. Monthly mean AOD at 550 μm over time. Top: AGUNG1 and AGUNG2 ensemble. Bottom: AOD used for CMIP5 simulations (Stenchikov et al., 1998) and AOD for CMIP6 simulations Luo (2016). Overlayed as colored circles are measurements of monthly mean AOD averaged over the regions 20°N to 40°N and 20°S to 40°S, given in Table 3 of Stothers (2001), and single values for the South pole (after Figure 2, same paper). The gray circles mark the volcanic eruptions, the size represents the size of the eruption.

1963 at Bloemfontain, South Africa (29°S). Our simulated radii at the Equator (above 0.45 μm) and at 50°S (above 0.4 μm) are larger than these values for both experiments (Figure 4), with a better agreement in AGUNG2. A smaller radius of the aerosols would cause a larger AOD. The larger radii in our experiments lead us to the question of the requirement to include an OH-limitation process for modeling the sulfate evolution, which is a still open research question. In case of high SO_2 concentrations OH might be limited for further SO_2 oxidation (Bekki et al., 1996). The slower formation of sulfuric acid vapor would result in smaller particles. Bekki et al. (1996) assumed that OH limitation occurs only in case of a super-eruption, but Mills et al. (2017) show OH-limitation also after the Mt. Pinatubo eruption. On the other hand, LeGrande et al. (2016) showed

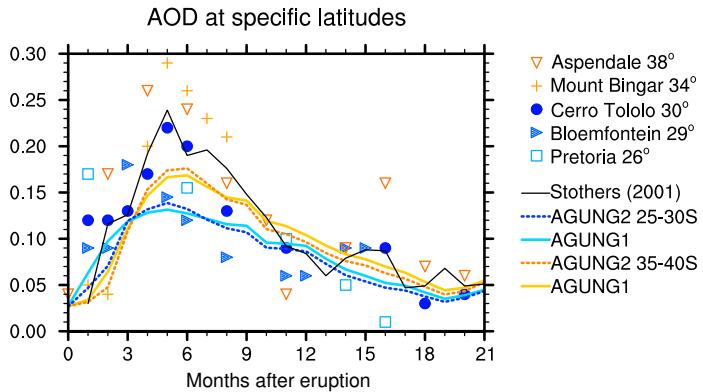


Figure 7. Monthly mean SH AOD (550 μm) over time. Colored lines show model results averaged over 25°S to 30°S (blue) and 35°S to 40°S (orange), each for both ensembles. The black line gives data of Stothers (2001), average of measurements between 20°S and 40°S. Single markers show single measurement data, estimated from Figure 1 in Stothers (2001), with a similar color code than the model data.

that water vapor in the eruption cloud increases the amount of available OH. This reaction was not included in the two studies cited above. The results presented here use a fixed monthly mean OH concentration which is not influenced by the volcanic cloud. Following Mills et al. (2017), this missing OH limitation leads to faster formation of sulfate resulting in larger sulfate particles. Therefore, we performed one simulation with a simple parameterization of OH limitation, see the supplementary materials for details. This simulation shows slower sulfate formation, which agrees less with the measurements, and only a slightly higher AOD half a year after the eruption (Figure 4 and Figure 5 in supplementary materials) for the OH-limited case. As we use a simplistic parameterization these results are very limited.

Sulfate aerosol absorbs terrestrial radiation and warms the stratosphere. This temperature signal depends in the model on the coupling to radiation. We compare the results of the ensemble mean temperature data to radiosonde data of Free and Lanzante (2009). Both, model and measurement data are independent of QBO temperature relations (see Section 2.3). The measurements, averaged over stations between 30°N and 30°S, show a strong maximum at 70 hPa (Figure 8). The average over 30°N to 30°S of the model results (black lines) show a smaller anomaly and a stronger vertical extension of the heated area, more in AGUNG1 than in AGUNG2. Below the maximum at 70 hPa the simulated temperature than the measurements. Both features indicate too strong vertical lofting in the model. Figures 6 and 7 indicated a too low AOD in the model results around 30°S. Therefore, we added a second temperature profile, averaged over the main volcanic cloud at 15°N to 15°S (gray line), to Figure 8. Now the maximum is represented better. More important is the better agreement with the temperature decrease between 50 hPa and 70 hPa, especially for AGUNG2. The easterly phase of the QBO is related to downward motion and suppresses the vertical lofting caused by the heating, but is also related to stronger vertical transport in the secondary meridional circulation around 30°North and South. This up welling seems to be stronger in the model than in the measurements causing

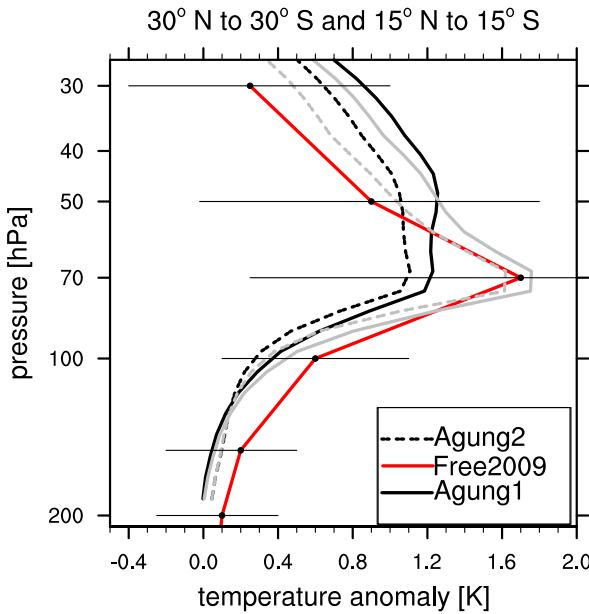


Figure 8. Profile of temperature anomaly [K] compared to RATPAC radiosonde measurements taken from (Free and Lanzante, 2009) in the tropics (30°N to 30°S): Results averaged over 30°N to 30°S (black) and results averaged over 15°N to 15°S (gray). Model results and measurements are averaged over two years after the eruption. The horizontal lines mark the 95% confidence interval for the RATPAC observations.

the too high vertical extension of the simulated cloud. The simulated temperature anomaly is 0.2 K to 0.3 K smaller below 70 hPa and up to 0.5 K larger above 50 hPa. Thus, the simulated sulfate layer is lifted to higher altitudes than the measurements indicate. Additionally, The ozone concentration in ECHAM-HAM is not impacted by the volcanic sulfate. This missing ozone response on high sulfate concentrations may cause a temperature offset in the ozone layer. AGUNG2 shows a clear maximum 5 at 70 hPa, similar to the radiosonde data, and a better vertical distribution of the temperature anomaly. While these results compare well to the radiosonde data, simulated temperature anomalies are only half of the observed one in the SH (not shown). This may hint towards a too short lifetime of the simulated sulfate aerosols (see Figure 6 too).

5 Summary and conclusions

We compared results of two scenarios for the Mt. Agung eruption in 1963: the commonly used one eruption scenario with a strength of 7 Tg SO₂ and the observed compared this to a scenario with two eruptions. From AOD measurements one single number of the injected sulfur amount was estimated, but observation and detection of the mass flux show a scenario of two eruptions. Therefore, we assumed a scenario with two climatic eruptions of 4.7 Tg SO₂ and 2.3 Tg SO₂ respectively. We simulated a lower burden in the tropics, but slightly stronger meridional flow into the extratropics in the simulation with two

eruptions, AGUNG2. We relate the stronger meridional flow to the lower injection altitude of the second eruption, where the tropical transport barrier is weaker. Additionally, the position of the tropical pipe is further northward in May than in March. This allows more aerosols to be transported into the NH. The smaller injection rate and the two different injection altitudes cause the particle to grow less than in AGUNG1. These processes result in 10% to 20% lower radiative forcing, or 0.1 to 0.3
5 Wm⁻² in monthly mean global average, and estimated 10% less surface cooling in AGUNG2. The strongest signal would occur in the tropics. ~~ensemble minimum and maximum values indicate that the difference in the climate impact between the two experiments is smaller than the spread of the single ensemble members.~~

When comparing to the few available measurements we see that the differences to the measurements are larger than the differences between the two experiments. We seem to underestimate the observed AOD and simulate larger particle radii.

10 The timing of the aerosol evolution in the model seems to be supported by the measurements. Given the low number of observations at that time, especially in the tropics, it is difficult to validate the two experiments. Overall, the smaller particle size and slightly better shape of the temperature anomaly in the vertical profile of AGUNG2 are consequences of different transport and microphysical processes between the two experiments. These are arguments to include both climatic eruptions into future emission datasets.

15 One could also argue that the large model spread, as e.g. described by Marshall et al. (2018) and Zanchettin et al. (2016), limits the interpretation of our model results. Other models may get quantitatively different results, but most probably the simulated difference between the two eruption scenarios would be qualitatively similar: A lower radiative forcing of the Agung 1963 eruption when including two eruption phases. Including a more sophisticated atmospheric chemistry (including OH chemistry, water vapor and ozone) may increase the differences between the scenarios. Lower SO₂ injection rates in AGUNG2
20 would cause less impact on OH and ozone, thus on chemical species in the stratosphere. Taking two eruptions phases into account will be important for processes in the early evolution of sulfate. Ash and ice are important species in this early phase. Both were not taken into account in the here presented simulations but are planned for the future.

Overall, differences of around 10% in the radiative forcing between AGUNG1 and AGUNG2 could justify taking only a single eruption phase of the 1963 Mt. Agung eruption into account. On the other hand, [should justify changes in the volcanic emission datasets](#). The more recent volcano datasets are rather detailed. Also future studies using high horizontal and vertical resolution and more sophisticated models will demand detailed input data. Therefore, [Details of our assumptions on the Agung eruptions might be critically reviewed again but, a single eruption avoided](#). We recommend to include both eruptions of Mt. Agung in upcoming datasets.

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- 5 https://cera-www.dkrz.de/WDCC/ui/cerasearch/entry?acronym=DKRZ_LTA_550_ds00002

Data availability Primary data and scripts used in the analysis and other supplementary information that may be useful in reproducing the author's work are archived by the Max Planck Institute for Meteorology and can be obtained by contacting publications@mpimet.mpg.de. Model results are available under:

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- 5 *Author contributions* CT had the main idea for the study. UN, CT, KK discussed the experiment design. UN performed the experiments. UN prepared the text with contributions of all co-authors.

Competing interests The authors declare that they have no conflict of interests.

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