



# 1 Optical properties of meteoric smoke analogues

2 *Tasha Aylett<sup>1</sup>, James S. A. Brooke<sup>1</sup>, Alexander D. James<sup>1</sup>, Mario Nachbar<sup>2</sup>, Denis Duft<sup>2</sup>, Thomas*  
3 *Leisner<sup>2,3</sup>, John M. C. Plane<sup>1</sup>*

4 (1) School of Chemistry, University of Leeds, Leeds, UK.

5 (2) Institute for Meteorology and Climate Research, Karlsruhe Institute of Technology (KIT),  
6 Karlsruhe, Germany

7 (3) Institute of Environmental Physics (IUP), Ruprecht-Karls-University Heidelberg, Heidelberg,  
8 Germany

9

## 10 **Abstract**

11 Accurate determination of the optical properties of analogues for meteoric smoke particles  
12 (MSPs), which are thought to be composed of iron-rich oxides or silicates, is important for their  
13 observation and characterization in the atmosphere. In this study, a photochemical aerosol flow  
14 reactor (PAFS) has been used to measure the optical extinction of iron oxide MSP analogues in  
15 the wavelength range 325-675 nm. The particles were made photochemically, and agglomerate  
16 into fractal-like particles with sizes on the order of 100 nm. Analysis using Transmission  
17 Electron Microscopy (TEM), Energy Dispersive X-ray spectroscopy (EDX) and Electron Energy  
18 Loss Spectroscopy (EELS) suggested the particles were most likely maghemite-like ( $\gamma\text{-Fe}_2\text{O}_3$ ) in  
19 composition. The optical extinction coefficients measured using the PAFS were then combined  
20 with maghemite absorption coefficients measured using a complementary experimental system,  
21 the MICE-TRAPS, to derive complex refractive indices which are able to reproduce both the  
22 measured absorption and extinction.



23

## 24 1. Introduction

25 The ablation of cosmic material in the mesosphere leads to the formation of nanometer-sized  
26 meteoric smoke particles (MSPs) (Plane et al., 2015). Reaction, condensation and subsequent  
27 agglomeration of stable reservoir species such as FeOH, Mg(OH)<sub>2</sub>, NaHCO<sub>3</sub> and SiO<sub>2</sub> leads to  
28 the formation of MSPs over a timescale of several days. However, very little is known about the  
29 physical and chemical properties of these particles. It is important to establish the composition  
30 and other characteristics of MSPs because the particles are thought to be involved in a wide  
31 range of atmospheric processes as they are transported down through the atmosphere, including:  
32 mesospheric metal chemistry; mesospheric oxygen chemistry; nucleation of polar mesospheric  
33 clouds (PMCs); stratospheric aerosol chemistry (including the nucleation of polar stratospheric  
34 clouds (PSCs)); and deposition of bioavailable metal sulfates into the oceans (Plane et al., 2015).

35 The detection and characterisation of MSPs has proven extremely challenging as the  
36 mesosphere-lower thermosphere (MLT) is a notoriously difficult region in which to perform in  
37 situ studies. In terms of the composition, at present only two types of investigations exist: rocket-  
38 borne instruments (e.g. Faraday cup detectors and electric work-function studies) and remote  
39 sensing (e.g. optical spectroscopy). The only direct measurements have been obtained *via*  
40 sounding rocket flights, though only charged particles have been sampled with any success. One  
41 example is the ECOMA (Existence and Charge state Of Meteoric smoke particles in the middle  
42 Atmosphere) project (Rapp et al., 2010). This work constrained the MSP size and work function,  
43 with electronic structure calculations inferring a likely MSP composition of Fe and Mg  
44 hydroxide clusters with low silica content (Rapp et al., 2012).

45 Important progress has also been achieved using remote sensing techniques: the SOFIE (Solar  
46 Occultation for Ice Experiment) instrument on the AIM (Aeronomy of Ice in the Mesosphere)  
47 satellite has detected MSPs by optical extinction, conducting solar occultation measurements  
48 from April 2007 to the present. Extinction measurements at 330, 867 and 1037 nm were used to  
49 show that the best-fit particle compositions are iron-rich oxides (magnetite (Fe<sub>3</sub>O<sub>4</sub>), wüstite  
50 (FeO), magnesiowüstite (Mg<sub>x</sub>Fe<sub>1-x</sub>O,  $x=0-0.6$ ) or iron-rich olivine (Mg<sub>2x</sub>Fe<sub>2-2x</sub>SiO<sub>4</sub>,  $x=0.4-0.5$ )  
51 (Hervig et al., 2017). That is, the major meteoric elements Fe, Mg and Si are either mixed in  
52 olivinic particles with a single average composition, or MSPs are a mix of metal oxide and silica  
53 particles. However, this technique makes an important assumption: that the bulk (crystalline)  
54 refractive indices (RIs) used to infer smoke compositions are applicable to MSPs, despite  
55 evidence that the particles are structurally amorphous, fractal-like agglomerates (Saunders and  
56 Plane, 2006). This assumption is currently not confirmed, and as such it is important to measure  
57 RIs of realistic MSP analogues, especially those of iron-rich particles.

58 A number of crystalline MSP analogues (Fe<sub>2</sub>O<sub>3</sub>, silica (SiO<sub>2</sub>) and iron silicates (Fe<sub>x</sub>Si<sub>(1-x)</sub>O<sub>3</sub> ( $0 \leq$   
59  $x \leq 1$ )) with radii on the order of 2 nm have recently been generated in the laboratory using a  
60 low pressure, non-thermal microwave resonator (Nachbar et al., 2018a; Nachbar et al., 2018c).  
61 The particles are transferred to a low pressure, supersaturated particle trap - the Molecular Flow  
62 Ice Cell/Trapped Reactive Atmospheric Particle Spectrometer (MICE/TRAPS) - in which  
63 particle properties can be determined. The latest work using this system derived absorption  
64 efficiencies for Fe<sub>2</sub>O<sub>3</sub> particles at 450, 488 and 660 nm (Nachbar et al., 2018c). David et al.  
65 (2012) have demonstrated the production of maghemite particles with a similar experimental



66 arrangement. In fact, Navrotsky et al. (2008) have argued that maghemite is thermodynamically  
67 favored with respect to hematite for particles smaller than 16 nm in diameter. The particles  
68 produced in the study of Nachbar et al. (2018b) are therefore very likely to have been  
69 maghemite.

70 Amorphous MSP analogues have previously been generated in the laboratory using a  
71 photochemical aerosol flow system (PAFS) (Saunders and Plane, 2011, 2010, 2006). Particles  
72 with compositions close to the minerals hematite ( $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>), goethite (FeOOH), fayalite  
73 (Fe<sub>2</sub>SiO<sub>4</sub>) and silica (SiO<sub>2</sub>) were produced when metal-containing precursors were photolysed in  
74 the presence of O<sub>3</sub>/O<sub>2</sub>. Particle size distributions were measured using a Scanning Mobility  
75 Particle Sizer (SMPS), and optical extinction measurements were obtained for comparison with  
76 values calculated from Mie theory using literature RIs for the unidentified particles. Although the  
77 experimental size distributions of the MSP analogues produced could be replicated using an  
78 agglomeration model (Jacobson, 2005; Saunders and Plane, 2010, 2006), there was significant  
79 uncertainty in the measured size distribution. Consequently, Mie theory was able to reproduce  
80 the measured extinction using bulk RIs for  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> and Fe<sub>2</sub>SiO<sub>4</sub> particles, though not when using  
81 the experimental size distribution.

82 In this manuscript, the photochemical technique used by Saunders and Plane has been developed  
83 further to study the agglomeration and optical properties of iron oxide particles. The measured  
84 optical extinction has been modelled using Mie theory and the Rayleigh-Debye-Gans (RDG)  
85 approximation (discussed below). Analysis using Transmission electron microscopy (TEM),  
86 Electron Energy Loss Spectroscopy (EELS) and EDX (Energy Dispersive Xray) spectroscopy  
87 indicate a maghemite-like ( $\gamma$ -Fe<sub>2</sub>O<sub>3</sub>) particle composition. The measured optical extinction data  
88 was combined with absorption efficiencies from Nachbar et al. (2018c) to derive wavelength-  
89 dependent complex RIs that reproduce the measured extinction. Maghemite particles have not  
90 been previously considered in the compositional analysis of MSPs because no RIs are available  
91 in the literature. However, the formation of maghemite nanoparticles in laboratory studies *via*  
92 two different production methods (*via* photolysis/in microwave plasma) that operate under  
93 distinctly different conditions demonstrates the potential relevance of this species in the  
94 atmosphere, and the need for further studies on this compound as a potential candidate for MSPs.

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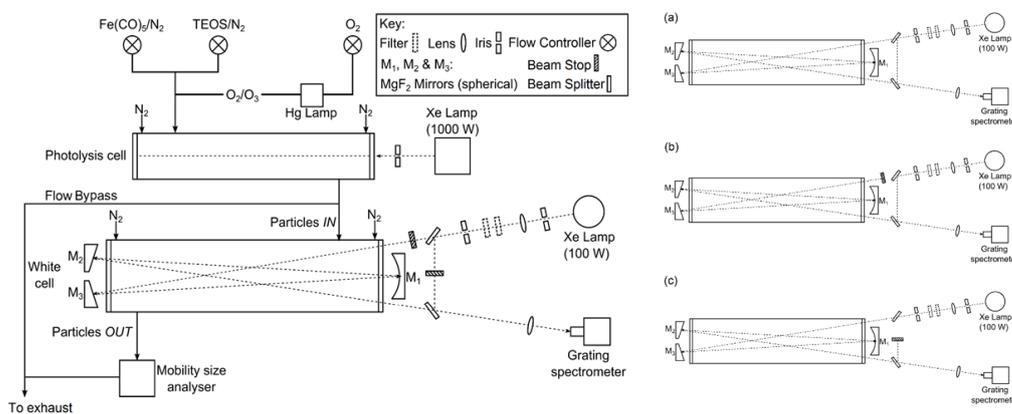
## 96 **2. Experimental Methods**

### 97 **2.1 PAFS**

98 The photochemical apparatus used to generate analogue MSPs (Figure 1) has been described  
99 previously (Saunders and Plane, 2006, 2010, 2011). The setup consists of a cylindrical glass  
100 photolysis cell with quartz end windows ( $r = 4$  cm;  $\phi = 25$  cm) into which a combined flow of  
101 the Fe precursor, iron pentacarbonyl vapour (Fe(CO)<sub>5</sub>), and O<sub>3</sub>/O<sub>2</sub> was introduced. The Fe(CO)<sub>5</sub>  
102 was generated by passing a flow of N<sub>2</sub> through a round-bottomed flask containing  $\sim 3$  cm<sup>3</sup> of  
103 liquid Fe(CO)<sub>5</sub> (Aldrich) cooled in a water-ice bath to 0 °C. The round-bottomed flask and ice-  
104 bath were covered to prevent any premature photolysis (and subsequent build-up of material on  
105 the flow tube walls). O<sub>3</sub> was produced by photolysing O<sub>2</sub> at 184 nm, by passing a flow of O<sub>2</sub>  
106 through a glass cell with a quartz window in front of a Hg pen lamp. Once in the photolysis cell,  
107 the gases were irradiated using a 1000 W ozone-free Xenon arc lamp. Variable N<sub>2</sub> ‘curtain’  
108 flows were passed across each of the cell windows such that the total flow rate was 550 sccm (1  
109 sccm = 1 cm<sup>3</sup> min<sup>-1</sup> at standard temperature and pressure (273 K and 1 bar)). After leaving the



110 photolysis cell the particle flow was directed through an absorption cell ( $r = 10$  cm;  $\phi = 48$  cm)  
 111 with White cell optics in which the optical extinction of the particles was measured (hereafter  
 112 referred to as the White cell). On exit from the White cell, particle size distributions were  
 113 recorded using a SMPS consisting of a differential mobility analyser (DMA) and a condensation  
 114 particle counter (CPC). The DMA sheath and aerosol flow rates were  $3 \text{ L min}^{-1}$  and  $0.3 \text{ L min}^{-1}$   
 115 respectively, with a scan taken every 3 minutes (a scan time of 120 seconds and retrace of 30 s  
 116 was used).  
 117



118  
 119 Figure 1. Schematic diagram of the experimental system used for the generation and optical detection of  
 120 MSP analogues, where a, b & c show different beam configurations used to generate a normalised cell  
 121 spectrum.

122 Light from a 100W Xenon arc lamp was focused into the cell using a quartz lens (focal length =  
 123 75 cm). The lamp intensity could be controlled by the insertion of a selection of neutral density  
 124 filters, and stray lamp light was eliminated using an iris. Borosilicate White cell windows  
 125 prevented further photolysis by the spectroscopy lamp, and excluded second-order light at  
 126 wavelengths below  $\sim 330$  nm from entering the spectrometer and potentially contaminating the  
 127 spectra.  $\text{N}_2$  curtain flows (500 sccm) were passed across the windows to prevent aerosol  
 128 deposition. Particle extinction was measured between 325 and 675 nm. A total optical path  
 129 length ( $l$ ) of 624 cm was achieved by folding the light path 12 times between three concave  
 130 mirrors comprising the White cell. The exit beam was focused with a quartz lens onto a fibre  
 131 optic coupled to an Acton Research Spectra Pro 500i spectrometer, in which the light was  
 132 dispersed using a grating ( $150 \text{ groove mm}^{-1}$ ) onto a CCD camera. The exposure time was 0.1 s,  
 133 with 57 accumulations per spectrum generating 9 spectra per minute.

134 To measure smaller levels of optical extinction than in our previous work (Saunders and Plane,  
 135 2006, 2010, 2011), an optical by-pass was introduced to normalize for drifts in the Xe lamp  
 136 spectral intensity with time. Beam stops were used to create three different beam configurations  
 137 from which the separate signals could be extracted (Figure 1). Spectra were recorded in three  
 138 minute cycles with one minute of spectra taken using each configuration: (a) the beam directed  
 139 through the cell and additionally through the bypass ( $I_a$ ); (b) the beam directed only through the  
 140 bypass ( $I_b$ ); and (c) the beam directed only through the cell ( $I_c$ ). This generated one normalized



141 spectrum ( $I_n$ ) every three minutes (see equation E1). The minimum detectable absorbance ranged  
142 from 0.07 to 0.004 over the wavelength range studied.

143 E1 
$$(I_n(\lambda) = (I_a(\lambda) - I_b(\lambda))/(I_a(\lambda) - I_c(\lambda)),$$

144 In a typical experiment, the sample flows were switched on, with the particle flow initially  
145 diverted to an exhaust rather than through the White cell. The background particle size  
146 distribution and optical intensity in the White cell ( $I_{n,bg}(\lambda, t)$ ) were then measured for about 30  
147 minutes. The particle flow was then directed through the White cell, and a further 21 minutes of  
148 sample measurements were recorded ( $I_{n,sa}(\lambda, t)$ ). Thereafter, the particle flow was diverted back  
149 to the exhaust and background measurements resumed for around 45 minutes. A repeat sample  
150 measurement was recorded followed by approximately 30 minutes of reference measurements  
151 until the peak of the recorded size distribution had stabilized to within 1 %.

152 The gas-phase spectrum for the  $\text{Fe}(\text{CO})_5$  precursor was measured with a PerkinElmer Lambda 90  
153 UV/Vis spectrometer in a  $1 \times 1$  cm gas cuvette. Due to the wide range covered by the absorption  
154 cross section in the measured wavelength range (4 orders of magnitude), the final spectrum was a  
155 composite of two spectra; the low-wavelength end of the spectrum ( $\lambda < 280$  nm) was an average  
156 of three low pressure measurements ( $P \sim 2$  torr) and the high wavelength portion ( $\lambda > 280$  nm)  
157 was an average of two higher pressure measurements ( $P \sim 20$ -30 torr). A reference spectrum for  
158 the empty cuvette was subtracted from each individual spectrum before averaging.

159

## 160 2.2 TEM

161 Particles formed in the photochemical aerosol flow system were collected by diverting the flow  
162 bypass through a round-bottomed flask containing a suspended transmission electron microscopy  
163 (TEM) grid (copper mesh with a holey carbon film coating). The grids were then stored under  
164 vacuum in the dark prior to imaging. Particles were analysed using TEM with energy dispersive  
165 X-ray spectroscopy (EDX) and electron energy loss spectroscopy (EELS) at the University of  
166 Leeds (FEI Titan3 Themis 300).

167

## 168 2.3 MICE/TRAPS

169 Absorption efficiencies determined in Nachbar et al. (2018c) for maghemite particles with the  
170 MICE/TRAPS apparatus were used in combination with the optical extinction measured in this  
171 work for iron oxide particles produced with the PAFS apparatus, in order to derive complex RIs.  
172 The experimental and analytical methods used for the MICE/TRAPS experiment have been  
173 described in detail previously (Meinen et al., 2010a; Meinen et al., 2010b; Duft et al.,  
174 2015; Nachbar et al., 2016), with the recent methodology for particle production outlined in  
175 Nachbar et al. (2018a). The analysis procedure for the determination of absorption efficiencies is  
176 specified in Nachbar et al. (2018c).

177 In brief, singly charged, spherical and compact nanoparticles are produced by mixing vapour  
178 from a volatile precursor (solid ferrocene,  $\text{Fe}(\text{C}_5\text{H}_5)_2$ ,  $\sim 353$  K) with a flow of oxygen and helium.  
179 This mixture then flows through a low pressure, non-thermal microwave resonator to create a  
180 plasma in which metastable excited Fe is oxidised to produce  $\text{Fe}_2\text{O}_3$  particles. A portion of the  
181 flow passes into a vacuum chamber through an aerodynamic lens, a flow-limiting orifice and an  
182 octupole ion guide (Figure S1, supplementary information). Particles of a chosen size are



183 deflected with a quadrupole deflector and are subsequently trapped into a cloud of ~ 1 mm radius  
184 within the ion trap MICE, where a He bath gas is added to thermalize the particles. Within the  
185 MICE, the particles are subject to a well calibrated concentration of gas phase H<sub>2</sub>O molecules  
186 (Nachbar et al., 2018b). Small numbers of particles are extracted from the trap at regular time  
187 intervals to a Time-of-Flight (ToF) mass spectrometer for particle mass determination.

188 In a typical experimental run, Fe<sub>2</sub>O<sub>3</sub> particles were admitted into the MICE, where H<sub>2</sub>O  
189 molecules were adsorbed onto the particle surfaces with increasing trapping time until an  
190 equilibrium of adsorbing and desorbing molecules was reached. A number of repeat runs were  
191 performed where the cloud of particles was irradiated using optically pumped continuous wave  
192 semiconductor lasers (OBIS LX, Coherent, at 405 nm, 488 nm and 660 nm), increasing the laser  
193 power in each subsequent run. Absorption of the laser light by the particles caused heating and  
194 desorption of H<sub>2</sub>O molecules from the particle surface (see Figure S2, supplementary  
195 information). Parameters such as the initial mass and radius (*r*) of the particles, and the  
196 temperature change due to irradiation could then be calculated from the mass of the levitated  
197 nanoparticles as a function of the residence time in MICE. Assuming an equilibrium between  
198 radiative heating and collisional cooling enables the absorption cross section (*C<sub>abs</sub>*), and  
199 absorption efficiency (*Q<sub>abs</sub>*, see equation E2) to be calculated. The latter is typically used when  
200 comparing the absorption of different sized particles.

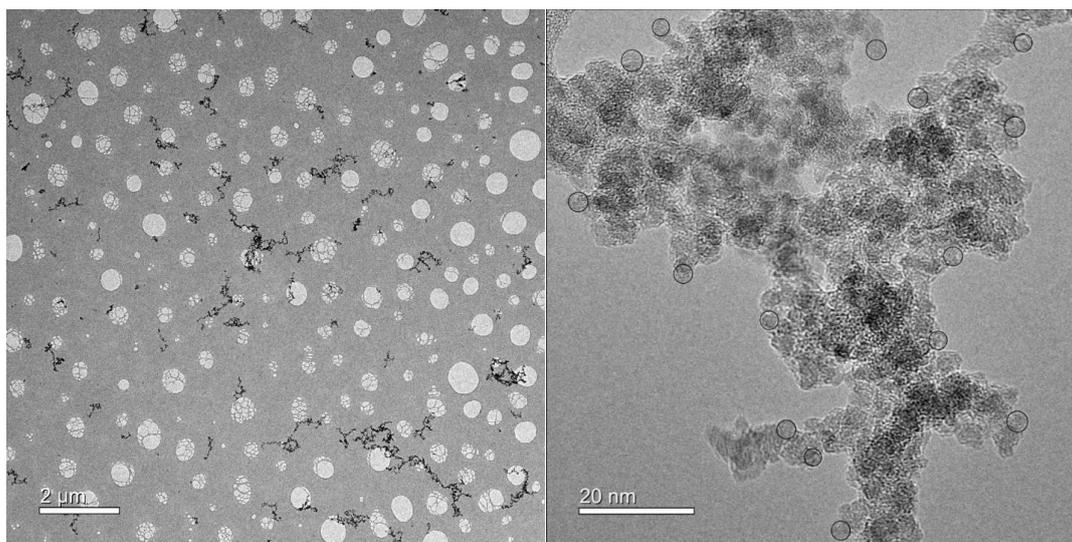
201 E2 
$$Q_{abs} = \frac{C_{abs}}{\pi \cdot r^2}$$

202

### 203 3. Results and Discussion

#### 204 3.2 TEM

205 Examination of particles collected from the PAFS using TEM show non-spherical, fractal-like  
206 particles. A range of particle sizes can be observed, ranging from tens of nanometers to microns  
207 in radius (Figure 2). The fractal-like agglomerates are formed of primary spheres, whose size  
208 was estimated by taking a number of measurements from three high resolution images of  
209 different agglomerates, one of which is shown in Figure 2 (right-hand panel). In each of these  
210 three images, 15 primary spheres were measured from around the visible ‘edge’ of the particle,  
211 where a defined spherical shape could be seen. This analysis resulted in a primary particle radius  
212 of  $1.65 \pm 0.15$  nm.



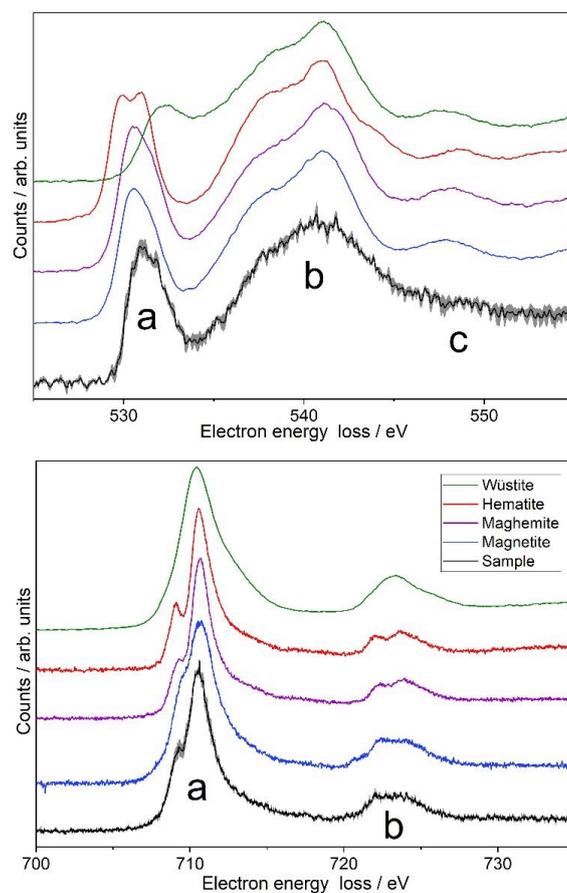
213

214 Figure 2. (Left panel) Low resolution TEM image showing the size-range of iron oxide agglomerates (dark  
215 grey/black particles) collected on a holey-carbon grid (light grey holes and webbing). (Right panel) High  
216 resolution TEM image showing the primary particles forming an agglomerate. Black circles indicate  
217 measured primary spheres used for size characterisation.

218

219 Comparison of the background-subtracted, low-loss deconvolved Fe L-edge and O K-edge EELS  
220 spectra with those from iron oxide standards can provide information on the particle composition  
221 (Figure 3) (Brown et al., 2017; Brown et al., 2001). The O K-edge spectra for the iron oxide  
222 standards have been aligned using the energy loss for the peak designated as *b* in Figure 3, due to  
223 the invariance of this peak in the spectra. Likewise, the Fe L-edge spectra have been aligned to  
224 the sample peak *a*. On inspection of the O K-edge, a wüstite-like sample composition can be  
225 excluded due to the differing edge-onset energy and shape of peak *a*. A hematite-like sample  
226 composition can also be rejected on the basis of the lack of the double-peak structure  
227 characteristic of hematite in the sample spectrum. This is corroborated on inspection of the Fe L-  
228 edge, where a well-defined shoulder on the low energy side and a broad shoulder on the high  
229 energy side of peak *a* are observed for hematite and wüstite, respectively, neither of which are  
230 present in the sample spectrum. In the case of both magnetite and maghemite there are no  
231 distinctive features in either the O K or Fe-L edges to distinguish between the two species, and as  
232 such it is not possible to identify the sample composition from the EEL spectra alone.

233



234

235 Figure 3. Electron energy loss spectra measured with the TEM compared to spectra for iron oxide standards  
236 (Brown et al., 2017; Brown et al., 2001). Top panel: O K-edge. Bottom panel: Fe L edge. Grey shaded  
237 region indicates the experimental uncertainty.

238

239 The defined peak *c* is not observed in the sample O K-edge, which we speculate may be due to the  
240 poor crystallinity of the particles. This is confirmed by the electron diffraction pattern (Figure S3,  
241 supplementary information) where two broad rings are observed coinciding with the intense  
242 reflections of magnetite/maghemite. The interplanar distances measured, accounting for a camera  
243 calibration error of  $\pm 6\%$ , were  $2.60 \text{ \AA}$  and  $1.47 \text{ \AA}$  near to the 311 and 440 diffraction planes,  
244 respectively (cf. measured distances of  $2.57 \text{ \AA}$  and  $1.52 \text{ \AA}$  in a maghemite standard). A faint ring  
245 was observed at an interplanar distance of  $2.09 \text{ \AA}$ , close to the 400 diffraction plane at  $2.11 \text{ \AA}$ . The  
246 diffraction pattern cannot distinguish between maghemite and magnetite, since both are based on  
247 a spinel crystal structure. However, this analysis does corroborate that the sample composition is  
248 very similar to one or other of these minerals. Elemental quantification using EELS resulted in a  
249 Fe/O ratio of  $0.48 \pm 0.12$  – more oxygen rich than any of the possible compositions  
250 hematite/maghemite, magnetite or wüstite (the oxides have Fe/O ratios of 0.67, 0.75 and 1,



251 respectively, i.e. Fe:O = 2:3, 3:4 and 1:1). As such, the composition is most likely to be maghemite-  
252 like, although potentially with additional oxidation or oxygen contamination.

253 The Energy Dispersive X-ray (EDX) spectrum (Figure S4, supplementary information) confirms  
254 the presence of Fe and O, though some differences are observed in the intensities of the peaks in  
255 the spectra for the agglomerate and the maghemite standard. In the agglomerate spectrum, the  
256 intensity of the low energy Fe L-peak is higher than for the standard, which we speculate may be  
257 due to fluorescence from excited Cu X-rays from a grid bar, consistent with a relatively large  
258 amount of Cu in the agglomerate spectrum. The sample is also more oxygen-rich than the standard.  
259 This could result from contamination which was introduced after deposition, prior to TEM  
260 imaging. A significant carbon peak is observed in the agglomerate spectrum, suggesting a possible  
261 C and O rich hydrocarbon source for this contamination. Alternatively, oxygen could have been  
262 introduced within the flow apparatus, by coordination to, or reaction with, an oxygen-rich species  
263 O<sub>3</sub>, forming an oxide coating.

264 FeO<sub>3</sub> is thought to form from the sequential oxidation of Fe by O<sub>3</sub> (Fe → FeO → FeO<sub>2</sub> → FeO<sub>3</sub>);  
265 the rate coefficients for these three reaction have been measured in the gas phase to be fast (Self  
266 and Plane, 2003). The formation of Fe<sub>2</sub>O<sub>3</sub> smoke analogues in the PAFS apparatus has been  
267 previously proposed to occur by polymerization and subsequent re-ordering of FeO<sub>3</sub> in the solid  
268 phase (Saunders and Plane, 2006); it may be that incomplete re-structuring of the FeO<sub>3</sub> has  
269 occurred, thus causing the decreased Fe/O ratio. Previous work using the PAFS under comparable  
270 experimental conditions obtained a Fe/O ratio of 0.65 ± 0.06 (Saunders and Plane, 2006). Although  
271 this was suggested to imply the formation of hematite, it would also be consistent with maghemite.  
272 Navrotsky et al. (2008) show that for nanoparticles less than ~16 nm in size, maghemite is more  
273 stable than hematite since it has a lower surface enthalpy. We therefore conclude that a maghemite-  
274 like composition is most likely for the smoke analogues generated using the PAFS.

275

### 276 3.3 PAFS

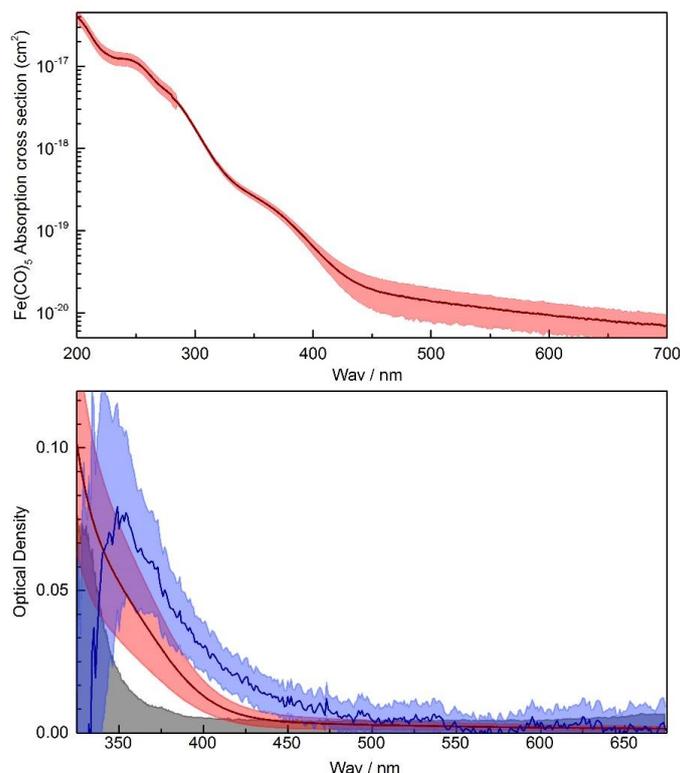
277 The reduction in intensity of a beam of light from  $I_0$  to  $I$  as it traverses a distance  $l$  through an  
278 absorbing medium can be expressed as an optical density (OD) using the Beer-Lambert equation:

279 E3 
$$OD = \ln\left(\frac{I_0}{I}\right) = \alpha_{ext} \cdot l$$

280 where the extinction coefficient  $\alpha_{ext}$  arises from both absorption and scattering. The intensity ( $I$ )  
281 at time  $t$  is given by the sample spectrum recorded with the particle flow directed through the  
282 absorption cell ( $I_{n,sa}(\lambda, t)$ ). A straight line reference fitted to the background spectrum ( $I_{n,bg}(\lambda, t)$ )  
283 yields  $I_0$  at time  $t$ , enabling the time and wavelength-dependent OD to be extracted from the raw  
284 spectra. Once the particle size distribution exiting the absorption cell of the PAFS had stabilized,  
285 spectra were averaged to obtain one OD spectrum for the iron oxide nanoparticles (Figure 4). As  
286 shown by the black shaded area in the bottom panel of Figure 4, the uncertainty in the OD  
287 increased significantly at small wavelengths as a result of the decreasing intensity of the  
288 spectroscopic lamp and the fall-off in quantum efficiency of the CCD detector. Consequently,  
289 the optical data below 350 nm was discarded. At long wavelengths, data above 550 nm was also  
290 discarded because the OD decreased below the detection limit. The OD spectrum was also  
291 corrected for contributions from the residual precursors used to make the particles. The OD of  
292 residual O<sub>3</sub> was negligible over the wavelength range of usable experimental data ( $\lambda > 350$  nm).



293 However, the residual  $\text{Fe}(\text{CO})_5$  spectrum did need to be subtracted. Inspection of the literature  
294 did not yield appropriate data, so the  $\text{Fe}(\text{CO})_5$  absorption cross section was measured (Figure 6,  
295 top panel). The cross section data is listed in Table S3. The extent of  $\text{Fe}(\text{CO})_5$  photolysis in the  
296 photolysis cell (Figure 1) was calculated using the flow rates, the lamp irradiance and the  
297 wavelength-dependent absorption cross sections.



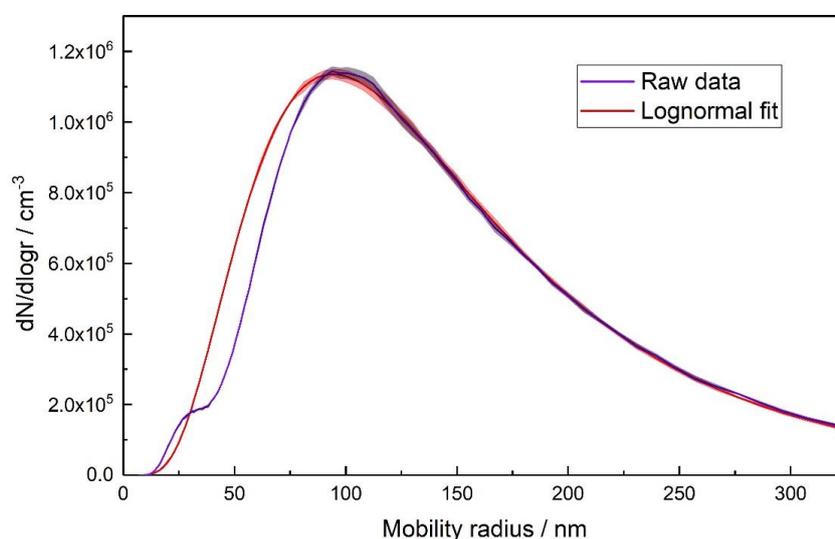
298  
299 Figure 4. (Top panel) Measured  $\text{Fe}(\text{CO})_5$  absorption cross section ( $\text{cm}^2$ ) with experimental uncertainty  
300 indicated by red shading. (Bottom panel) Iron oxide particle extinction with the precursor spectrum  
301 removed (blue line) and experimental uncertainty indicated by light blue shading. Also shown is the  
302 spectrum for the  $\text{Fe}(\text{CO})_5$  present in the absorption cell (red line), with the experimental uncertainty  
303 indicated by red shading. The detection limit for the experiment is shown with the black line and shaded  
304 region.

305

306 The size distribution of agglomerates measured with the SMPS (Figure 5), which follows a  
307 lognormal distribution peaking around 100 nm radius, is a measure of the mobility radius of the  
308 particles. The mobility radius of amorphous particles is typically larger than the fractal (outer)  
309 radius and thus represents an upper limit to the fractal radius; amorphous particles are typically  
310 sized differently to spherical particles in an SMPS as they experience higher drag compared to a  
311 sphere with the same mass (DeCarlo et al., 2004). However, some very large ( $\sim 2 \mu\text{m}$ ) particles  
312 are observed in the TEM images, which may have resulted from further agglomeration during  
313 deposition on the collection grid. As such, it should be noted that it may not be appropriate to use



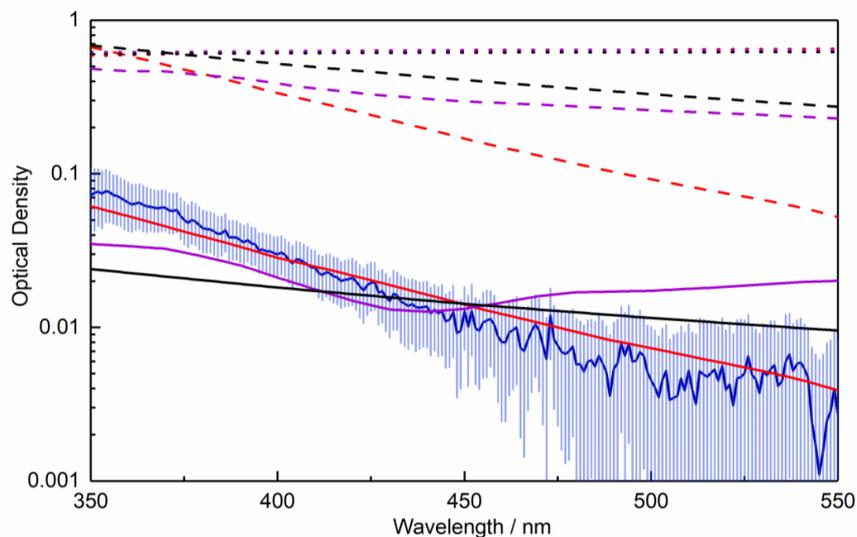
314 the measured size distribution to calculate the optical extinction. As shown in Figure 6, using  
315 Mie theory with the experimental size distribution over-predicts the OD by at least an order of  
316 magnitude when using literature RIs for hematite, magnetite and wüstite (Hsu and Matijevic,  
317 1985; Longtin et al., 1988; Querry, 1985; Fontijn et al., 1997; Huffman and Stapp, 1973; Henning  
318 and Mutschke, 1997). No equivalent calculation can be performed for maghemite as the bulk RIs  
319 are not available in the literature.



320

321 Figure 5. Measured size distribution (purple) and a lognormal fit to the experimental data (red) with shaded  
322 areas indicating the experimental uncertainty.

323



324

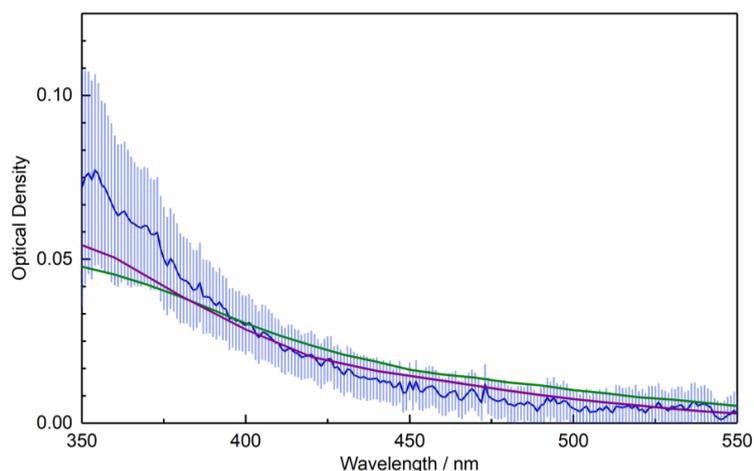


325 Figure 6. Measured OD as a function of wavelength (blue line), compared with the average OD calculated  
326 from literature data for hematite (red, (Hsu and Matijevic, 1985; Longtin et al., 1988; Query, 1985)),  
327 magnetite (purple, (Fontijn et al., 1997; Huffman and Stapp, 1973; Query, 1985)) and wüstite (black,  
328 (Henning and Mutschke, 1997)) using the measured size distribution (dotted lines), the RDG approximation  
329 with a monomer concentration derived from the measured size distribution (dashed lines) and the RDG  
330 approximation with a monomer concentration fitted to the experimental data (solid lines).

331

332 An alternative method for calculating the OD of amorphous agglomerates is the Rayleigh-  
333 Debye-Gans (RDG) approximation (Sorensen, 2001), where an agglomerate is treated as a  
334 monodisperse distribution of primary spheres and the overall agglomerate extinction is  
335 calculated by summing those of the individual primary particles. Using a concentration of  $r =$   
336  $1.65 \text{ nm}$  monomers calculated by integrating the measured size distribution ( $4.3 \times 10^{11} \text{ cm}^{-3}$ ),  
337 Figure 6 shows that the OD is again over-predicted by around an order of magnitude, though a  
338 decrease in OD with increasing wavelength is obtained which more closely matches the  
339 experimental data. If the monomer concentration is reduced, as would be expected if the  
340 observed size distribution is made up of fractal-like particles rather than solid spheres,  
341 significantly better fits to the experimental data can be achieved for all species considered, with  
342 the best agreement achieved when using hematite RIs (Figure 6). Assuming the RDG  
343 approximation holds, a comparison can be made with absorbance data for maghemite (Jain et al.,  
344 2009; Tang et al., 2003): for particles on the order of a few nanometers in size, absorption  
345 dominates over scattering (for the iron oxides, scattering  $< 0.01 \%$  absorption). As such, the  
346 contribution from scattering to the OD can be neglected, and the absorbance data available in the  
347 literature can be arbitrarily scaled for comparison with the experimental data, since scaling the  
348 absorbance is equivalent to changing the concentration of monomers in the RDG approximation.  
349 This comparison is shown in Figure 7, where the literature data agrees reasonably well with the  
350 measured OD. As the literature optical data for hematite and maghemite best replicate the  
351 measured OD, this again suggests the most likely composition to be maghemite-like, given that  
352 the EELS analysis definitively excludes a hematite composition.

353



354

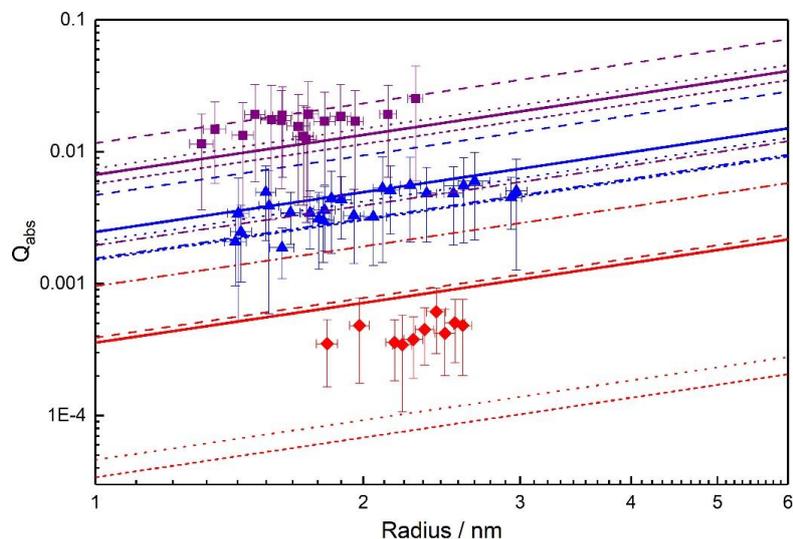


355 Figure 7. Measured OD (blue line), scaled maghemite OD from Jain et al. (2009) (green line) and scaled  
356 maghemite OD from Tang et al. (2003) (purple line), as a function of wavelength.

357

### 358 3.4 Photochemical Modelling

359 The previously measured size-dependent absorption efficiencies from the MICE/TRAPS  
360 experiment that were used to derive complex RIs are shown in Figure 8. The solid lines represent  
361 an average of the absorption efficiencies calculated with Mie theory from hematite RIs available  
362 in the literature (Querry, 1985; Bedidi and Cervelle, 1993; Hsu and Matijevic, 1985; Longtin et al.,  
363 1988). The experimental data generally agrees with that from the literature, given the  
364 experimental uncertainties and the variation in literature values. The best agreement is seen at  
365 488 nm, where there is approximately a 20 % difference between the size-dependent literature  
366 average and the experimental values. The absorption efficiencies at 405 nm are around 45 %  
367 larger than the average literature values, whilst still being within the spread of the experimental  
368 error. Those at 660 nm are around 45 % smaller than the average literature values, on the edge of  
369 the range spanned by the experimental errors.



370

371 Figure 8. Absorption efficiencies for a range of particle sizes at three different wavelengths: 405 nm  
372 (purple), 488 nm (blue) and 660 nm (red). Also shown are the literature data for hematite particles from  
373 Querry (long dash), Hsu and Matijevic (short dash), Bedidi and Cervelle (dot dash), Longtin et al. (dotted)  
374 and the average (bold lines).

375

376 In order to model the PAFS data, at each of the three wavelengths studied with the  
377 MICE/TRAPS (405, 488 and 660 nm), a range of best-fit complex RIs (equation E4, where  $n$  and  
378  $k$  are the real and imaginary parts, respectively) was established by iterating over a range of  
379 possible RIs and calculating absorption cross sections for each real-imaginary pair using Mie  
380 theory. The indices resulting in the smallest normalized square difference ( $d_{abs,\lambda}$ , E5) between the  
381 measured ( $C_{abs,exp}$ ) and calculated ( $C_{abs,calc}$ ) absorption cross section gave the best-fit RIs to the  
382 absorption data at that wavelength (Figure 9).



383 E4 
$$\underline{n} = n + ik$$

384 E5 
$$d_{abs,\lambda} = ((C_{abs,exp} - C_{abs,calc})/C_{abs,exp})^2$$

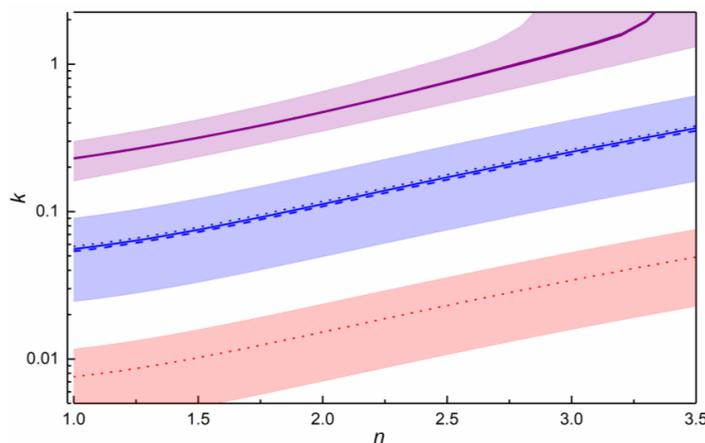
385 By neglecting the scattering component in the absorption-dominated OD, the extinction cross  
 386 sections measured in the PAFS could be approximated using the absorption cross sections  
 387 measured in the MICE/TRAPS. This enabled a best-fit primary particle concentration to be  
 388 determined for the PAFS particles, using data from the two wavelengths at which the measured  
 389 extinction was above the detection limit (405 nm and 488 nm). Using the best-fit complex RIs  
 390 from the MICE/TRAPS data, the PAFS extinction was calculated using the RDG approximation  
 391 for a range of primary particle concentrations. At each wavelength (405 or 488 nm), the  
 392 normalized square difference between the measured and calculated extinction cross sections was  
 393 calculated for each concentration ( $\delta_{ext,\lambda}$ , equation E6, where  $C_{ext,exp}$  and  $C_{ext,calc}$  are the  
 394 experimental and calculated extinction cross sections, respectively). The  $\delta_{ext,\lambda}$  values for the two  
 395 wavelengths were summed to derive  $\chi^2_{ext}$  (equation E7) and the concentration resulting in the  
 396 smallest  $\chi^2_{ext}$  value gave the best-fit primary particle concentration, generating the best match to  
 397 the measured extinction over the two wavelengths.

398 Using this best-fit primary particle concentration of  $3.14 \times 10^{10} \text{ cm}^{-3}$ ,  $\delta_{ext,\lambda}$  was calculated for a  
 399 range of complex RIs at 405 and 488 nm, with the indices giving the smallest  $\delta_{ext,\lambda}$  value  
 400 defining the best-fit to the extinction data at each wavelength (Figure 9). The final best-fit RIs at  
 401 each wavelength, fitting both the absorption and extinction data, were those that generated the  
 402 minimum combined  $\delta$  value ( $\delta_\lambda$ , equation E8). At 660 nm, the final best-fit RIs used were those  
 403 which best fit the absorption (gave the minimum  $\delta_{abs,\lambda}$ ).

404 E6 
$$\delta_{ext,\lambda} = ((C_{ext,exp} - C_{ext,calc})/C_{ext,exp})^2$$

405 E7 
$$\chi^2_{ext} = \sum \delta_{ext,\lambda}$$

406 E8 
$$\delta_\lambda = (\delta d_{ext,\lambda} - \delta_{abs,\lambda})^2$$

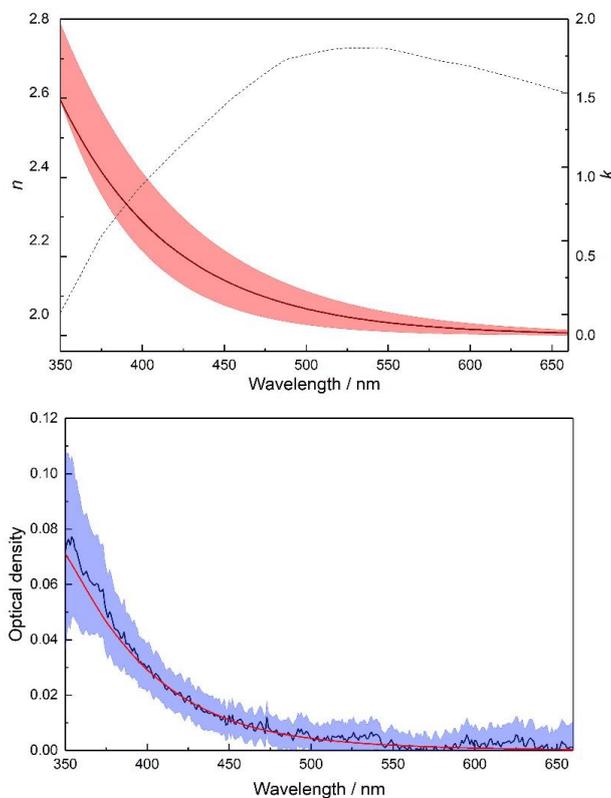


407

408 Figure 9. Best-fit RIs  $k$  and  $n$  for data at 405 nm (purple), 488 nm (blue) and 660 nm (red), for absorption  
 409 (dotted lines), extinction (dashed lines) and the combination (solid lines). Shaded regions indicate where  
 410 the resulting absorption and extinction cross sections are within experimental error for both experiments.



411 As it is possible to reproduce the measured absorption and extinction data at each wavelength  
412 using multiple different combinations of RIs, it is not possible to identify a unique solution for  
413 the wavelength dependence of these parameters. However, one way forward is first to select the  
414 wavelength dependence of the real RIs, which have a much smaller impact on the extinction  
415 cross section than the imaginary RIs in the absorption-dominated regime. The literature data for  
416 hematite from Hsu and Matijevic (1985), Longtin et al. (1988) and Querry (1985) very  
417 satisfactorily fit the experimental data across the whole wavelength range within experimental  
418 errors using the RDG approximation (Figure 6, red line). Thus, for the unidentified particles an  
419 average of the real RIs from these data was used (Figure 10, top panel). Using these real RIs at  
420 405, 488 and 660 nm, the imaginary RI at each wavelength was selected from the best-fit data  
421 (Table S1). The wavelength dependence was then determined by fitting an exponential decay  
422 function through the three values (Figure 10, top panel, Table S1). The wavelength dependent  
423 optical densities calculated using these RIs are shown in Figure 10 (bottom panel). At 405, 488  
424 and 660 nm the calculated absorption efficiencies for a 1.65 nm particle are  $1.59 \times 10^{-2}$ ,  $3.19 \times$   
425  $10^{-3}$  and  $3.19 \times 10^{-4}$  respectively, compared to the experimentally determined values of  $(1.60 \pm$   
426  $1.15) \times 10^{-2}$ ,  $(3.31 \pm 1.92) \times 10^{-3}$  and  $(3.19 \pm 1.73) \times 10^{-4}$ .



427

428 Figure 10. Top panel: Real ( $n$ ) and imaginary ( $k$ ) RIs for maghemite particles (dashed and solid lines,  
429 respectively) with the uncertainty in  $k$  indicated with red shading. Bottom panel: Experimental OD (blue)  
430 and the calculated OD (red) using the wavelength dependent RIs for maghemite particles.

431



#### 432 4. Conclusions

433 Wavelength-dependent complex RIs have been derived for maghemite meteoric smoke  
434 analogues generated under atmospherically relevant conditions using multiple different  
435 experimental systems. Data from the two experiments was combined using an iteration  
436 procedure to determine ‘best-fit’ complex RIs that replicate both experimental datasets at 405 nm  
437 and 488 nm, and the absorption data at 660 nm. Values for the real RIs from the literature that  
438 generated the closest match to the measured extinction data (using the RDG approximation for  
439 1.65 nm particles) were used with the best-fit data to determine the imaginary RIs at wavelengths  
440 between 350 and 660 nm.

441 Despite a number of iron oxides being considered as some of the most probable constituents of  
442 meteoric smoke, maghemite particles have not previously been investigated due to a lack of RIs  
443 available in the literature. However, the production of this iron oxide conformation in the  
444 laboratory using very different experimental conditions demonstrates the potential importance of  
445 this species in the atmosphere, and the need for further studies on the optical properties of  
446 maghemite in this context; for example, to extend measurements of the complex RIs further into  
447 both ultra-violet and infra-red wavelengths. With the current data, although extrapolation to a  
448 wavelength of 330 nm may be feasible, it is not possible to extrapolate to the other wavelengths  
449 used for characterization with the SOFIE satellite (867 and 1037 nm); the difference in  
450 wavelength is too great, given the unpredictable variation in RIs usually observed across wide  
451 wavelength ranges. Nevertheless, the RIs could be used in global climate models to probe the  
452 optical properties of meteoric smoke and make comparisons to observations.

453

#### 454 Author contribution

455 The PAFS experiments were designed by TA, AJ and JP, and carried out by TA, who also  
456 performed the data analysis. The photochemical model was designed and written by TA, based  
457 on code written by JB. The MICE/TRAPS experiments were designed by MN, DD and TL.  
458 Experiments were carried out by MN and TA. MN performed the data analysis. TA prepared the  
459 manuscript with contributions from all co-authors. DD, JP and TL supervised the project.

460

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468 index data and Fe(CO)<sub>5</sub> absorption spectrum are archived at the Leeds University PETAL  
469 (PetaByte Environmental Tape Archive and

470 Library; <http://www.see.leeds.ac.uk/business-and-consultation/facilities/petabyte-environmental-tape-archiveand-library-petal/>) and are available upon request to JMCP.

471

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