



Climate-driven chemistry and aerosol feedbacks in CMIP6 Earth system models

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Abstract.

25 Feedbacks play a fundamental role in determining the magnitude of the response of the climate system to external forcing, such as from anthropogenic emissions. The latest generation of Earth system models include aerosol and chemistry components that interact with each other and with the biosphere. These interactions introduce a complex web of feedbacks which it is important to understand and quantify.

This paper addresses the multiple pathways for aerosol and chemical feedbacks in Earth system models. This is achieved by

30 extending previous formalisms which include CO₂ concentrations as a state variable to a formalism which in principle includes the concentrations of all climate-active atmospheric constituents. This framework is demonstrated by applying it to the Earth system models participating in CMIP6 with a focus on the non-CO₂ reactive gases and aerosols (methane, ozone, sulphate aerosol, organic aerosol and dust).

We find that the overall climate feedback through chemistry and aerosols is negative in the CMIP6 Earth system models due

35 to increased negative forcing from aerosols with warmer temperatures. Through diagnosing changes in methane emissions and lifetime we find that if Earth system models were to allow methane to vary interactively, methane positive feedbacks (principally wetland methane emissions and biogenic VOC emissions) would offset much of the aerosol feedbacks.





1 Introduction

- 40 Earth system models extend the complexity of climate models by representing land and ocean biospheres, atmospheric chemistry and aerosols. Within these models, natural processes, chemical reactions and biological transformations respond to changes in climate; and these processes in turn affect the climate. Therefore, the physical climate system and the biogeochemical cycles are coupled, leading to climate feedbacks that may act to further amplify or dampen the climate response to a climate forcing (Ciais et al., 2013; Heinze et al., 2019). The importance of biogeochemical feedbacks has long been
- 45 recognised for the longer timescales involved in paleoclimate studies, but the realisation of their relevance in the context of anthropogenic climate change is more recent. A multitude of biogeochemical feedbacks have been identified but the evaluation of their importance for future climate change remains very limited. A recent review of Earth system feedbacks (Heinze et al., 2019) examined the extensive range of feedbacks possible in an Earth system framework. Arneth et al. (2010) considered a range of terrestrial biogeochemical feedbacks interacting with the carbon cycle. O'Connor et al., (2010) reviewed potential
- 50 feedbacks involving methane. Carslaw et al. (2010) reviewed climate feedbacks involving natural and anthropogenic aerosols. Climate change can impact both the source strength of natural aerosols such as sea-salt, dust, biomass burning aerosols, or their precursors (dimethylsulphide (DMS), biogenic volatile organic compounds) and the lifetime of natural and anthropogenic aerosols through changes in transport and dry and wet deposition (Bellouin et al., 2011; Raes et al., 2010). Here we focus especially on those feedbacks that are mediated through changes in the abundances of reactive gases and aerosols, using data
- 55 from CMIP6 (Coupled Model Intercomparison Project 6) (Eyring et al., 2016) Earth system models that conducted the AerChemMIP (Aerosols and Chemistry Model Intercomparison Project) simulations (Collins et al., 2017). Note that in this paper we use change in global mean surface temperature as our measure of climate change and for simplicity assume changes in other climate variables are proportional to this.

In section 2 we describe the theoretical framework used to diagnose the feedbacks. In section 3 we describe how the different

60 Earth System models implement the biogeochemical processes. Section 4 quantifies the feedbacks as implemented in the models. Section 5 compares these results with previous modelling and theoretical studies. Section 6 concludes. Supplementary material contains further details of the models used, and additional figures to support the process analysis of responses to dust and BVOCs.

2 Theoretical framework to analyse biogeochemical feedbacks

65 2.1 Theory

In order to compare climate feedbacks we need to compare them on a common scale of the change in the top of atmosphere radiation balance following a unit warming (in W m⁻² K⁻¹) (e.g. Gregory et al., 2009). Following Gregory et al. (2004) the radiative imbalance ΔN from an imposed forcing ΔF is given by $\Delta N = \Delta F + \alpha \Delta T$ where ΔT is the global mean change in surface temperature and α is the climate feedback parameter (= $\frac{d\Delta N}{d\Delta T}$). The total derivative $\frac{d\Delta N}{d\Delta T}$ can be split into a set of partial





derivatives $\frac{d\Delta N}{d\Delta T} = \sum_i \frac{\partial\Delta N}{\partial\Delta C_i} \frac{\partial\Delta C_i}{\partial\Delta T} = \sum_i \alpha_i$, where the α_i are the individual feedback terms due to a change in a climate variable C_i . For feedbacks involving changes in composition, the ΔC_i can represent changes in reactive gas or aerosol burdens or emissions. $\alpha_i = \frac{\partial\Delta N}{\partial\Delta C_i} \frac{\partial\Delta C_i}{\partial\Delta T}$ can then be expressed as $\phi_i \gamma_i$, where ϕ_i is the radiative efficiency of the species per burden (Wm⁻² Tg⁻¹) or per emission (Wm⁻² (Tg yr⁻¹)⁻¹), and γ_i is the change in species burden or emission with climate (Tg K⁻¹ or Tg yr⁻¹ K⁻¹). The radiative efficiencies are based on effective radiative forcing (ERF) (Myhre et al., 2013) to include rapid adjustments to changes in composition.

2.2 Applying the theory to Earth system models

With Earth system models, the ϕ_i and γ_i coefficients can be diagnosed from idealised simulations in which only climate or composition are changed. Here we use the set of simulations specified under the CMIP6 project (Eyring et al., 2016). The γ_i are diagnosed from a pair of idealised climate change scenarios, *piControl* where composition is maintained at a level

- 80 representative of 1850 conditions, and *abrupt-4xCO2* where CO₂ concentrations are abruptly quadrupled, but no other species are directly perturbed. We take the 30-year time means from years 121-150 of these simulations for both the surface temperature change and the burden/emission changes. The global mean surface temperature changes are therefore not the same as the equilibrium climate sensitivities (ECSs) derived from the *abrupt-4xCO2* but are temperatures consistent with the averaging period for the burden or emissions. The γ_i are calculated from the change in emission or burden divided by the
- 85 temperature change.

The ϕ_i coefficients for changes in emissions are derived from pairs of AerChemMIP simulations, *piClim-control* where composition and climate are maintained at a level representative of 1850 conditions, and experiments *piClim-2x* (table 1) in which individual natural emission fluxes are doubled. The climate change in these simulations is restricted by using fixed sea surface temperatures and sea ice cover (Collins et al., 2017) for a 30-year mean of the *piControl* simulation. The ERFs are

90 determined by the mean difference in top of atmosphere radiative fluxes between the *piClim-2x* and the *piClim-control* over a 30-year period. The ϕ_i are calculated from the ERF divided by either the change in emission or change in burden, depending on the units of γ_i above.





Experiment	Flux to be doubled
piClim-control	None
piClim-2xdust	Dust
piClim-2xss	Sea salt
piClim-2xDMS	Oceanic DMS
piClim-2xNOX	Lightning NO _X
piClim-2xVOC	Biogenic VOCs

Table 1: List of simulations for diagnosing ERFs of natural emitted species. The specified natural emission fluxes are doubled95compared to the 1850 control.

For ϕ_{0_3} a radiative efficiency of 0.042 W m⁻² per Dobson Unit (DU) is used in the troposphere (Stevenson et al., 2013). The ESM setups here, even with tropospheric chemistry, still constrain methane to specified concentrations at the surface. This means that any feedbacks mediated through changes in oxidising capacity have a negligible effect on methane. It is however possible to diagnose the change in methane that would be expected, if it were not constrained, from the change in its lifetime

100 $\frac{\Delta c}{c} = \left(\frac{\Delta \tau}{\tau} + 1\right)^f - 1 \approx f \frac{\Delta \tau}{\tau}$, where C is the methane concentration, τ is the total methane lifetime (including loss to soils) and f is the feedback of methane on its own lifetime (Fiore et al., 2009). The radiative forcing from the change in concentration is calculated using the formula from Etminan et al., (2016) scaled by 1.65 to account for change in ozone and stratospheric water vapour (Myhre et al., 2013). This gives 1.15 W m⁻² per fractional change in methane lifetime (based on 1850 baseline concentrations of methane and N₂O). Changes in methane concentration due to changes in emissions ΔE are given by $\Delta C = 105 \Delta E \tau f \left(\frac{m_{air}}{m_{CH_4}}\right) / M_{atm}$, where τ =9.25 years, and f=1.34 (Myhre et al., 2013). m_{air} and m_{CH_4} are the relative molecular masses of air and methane.

3 Model descriptions

3.1 Model implementation of aerosols, tropospheric and stratospheric chemistry

We use results from 6 Earth system models that contributed simulations under the AerChemMIP *piClim-2x* experimental setup.
 All six models have interactive aerosol schemes, four have interactive stratospheric chemistry and three have interactive tropospheric chemistry. The level of sophistication of the chemistry can affect the modelled responses to the emissions of reactive gases. For instance, in models without interactive tropospheric chemistry changes in biogenic volatile organic compound emissions (BVOCs) affect only organic aerosols, whereas in models with interactive tropospheric chemistry they also affect ozone, methane lifetime, and potentially the oxidation of other aerosol precursors.





	Tropospheric chemistry	Stratospheric chemistry	Reference
NorESM2	No	No	(Olivié et al., in prep; Kirkevåg et al., 2018))
UKESM1	Interactive	Interactive	(Archibald et al., 2019; Sellar et al., 2019)
CNRM-ESM2	No	Interactive	(Séférian et al., 2019)
MIROC6	No	No	(Tatebe et al., 2019)
GFDL-ESM4	Interactive	Interactive	(Horowitz et al., in prep)
CESM2-WACCM	Interactive	Interactive	(Gettelman et al., 2019)

Table 2 Sophistication of gas-phase chemistry used in the Earth system models (For further details see (Thornhill et al., submitted).

3.2 Model implementation of natural emissions

3.2.1 Land

The principle land-based natural emissions are dust and BVOCs (table 3).

- 120 Dust emissions are parameterised as a function of surface wind speeds or wind stress, and account for the amount of bare soil, soil type, and aridity (Ackerley et al., 2012; Collins et al., 2011; Evan et al., 2014; Fiedler et al., 2016; Huneeus et al., 2011; Shao et al., 2011; Zender et al., 2004). There is a variation between the models in the sizes considered, whether binned or modal, and the optical properties of the dust particles (Kok et al., 2018; Xie et al., 2018). Table S1 lists the parameterizations for desert-dust aerosol for the contributing models and the simulated dust-aerosol sizes.
- 125 BVOC emissions are parametrised as a function of vegetation type and cover, and also temperature and photosynthesis rates (gross primary productivity) (Guenther, 1995; Pacifico et al., 2011; Sporre et al., 2019; Unger, 2014). Some parameterisations also include dependence on CO₂ concentrations (Pacifico et al., 2012). Models differ in the speciation of the VOCs emitted but typically include isoprene and monoterpenes, with different emission parameterisations for different species. The ability of VOCs to form secondary organic aerosol are typically parameterised as a fixed yield (Mulcahy et al., 2019). For further
- 130 details see table S1 and references therein.





	Dust	VOC
NorESM2	LAI varies	Dependence on PAR, temperature
UKESM1	Interactive vegetation	Dependence on PAR, temperature, vegetation
CNRM-ESM2	Prescribed land cover	Prescribed
MIROC6	LAI varies	Prescribed
GFDL-ESM4	Interactive vegetation	Dependence on PAR, temperature. Not dependent on vegetation.
CESM2-WACCM	LAI varies	Dependence on PAR, temperature

Table 3 Levels of complexity of vegetation included in the land-based emssions schemes of dust and BVOCs for the ESMs.

3.2.2 Marine

The principle ocean emissions are of sea salt, dimethyl-sulphide (DMS) and primary organic aerosols (table 4).

135 The air-sea exchange processes for these emissions are parameterised as a function of wind speed and sometimes temperature (Gong, 2003; Jaeglé et al., 2011).

Changes in DMS emissions can be initiated by various factors such as changes in temperature, insolation, depth of the oceanmixed layer, sea-ice extent, wind strength, nutrient recycling, or shift in marine ecosystems (Heinze et al., 2019). The surface sea water concentrations of DMS are prescribed in some models (CNRM-ESM2, GFDL-ESM4, MIROC6, CESM2-WACCM)

140 and calculated interactively from ocean biogeochemistry in others (UKESM1, NorESM2). Oceanic organic aerosol emissions are also wind-speed dependent and in addition depend on chlorophyll concentrations generated either from interactive biogeochemistry or observation-based chlorophyll concentrations in models without ocean biogeochemistry components.





	Sea salt	DMS	Oceanic organic aerosol
NorESM2- LM	Temperature and wind dependent		Interactive biogeochemistry for Chlorophyll concentrations; wind speed; sea salt emission flux
UKESM1	Wind speed	Interactive biogeochemistry	Interactive biogeochemistry
CNRM- ESM2-1	Temperature, wind speed	Prescribed emissions	
MIROC6	Wind speed	Dependent on wind speed and chlorophyll	Dependent on wind speed and chlorophyll
GFDL- ESM4	Temperature, wind speed	Wind speed. Prescribed sea water concentration	
CESM2- WACCM	Wind speed	Wind speed. Prescribed sea water concentration	Wind speed

Table 4 Levels of complexity of marine emissions in the ESMs

145 3.2.3 Lightning

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The models with tropospheric chemistry (UKESM1, GFDL-ESM4, CESM2-WACCM) all include parameterisations of the emission of nitrogen oxides (NO_X) from lightning, related to the height of the convective cloud top (Price et al., 1997; Price and Rind, 1992). The lightning frequency depends strongly on the convective cloud top height, and the ratio of cloud-to-cloud versus cloud-to-ground lightning depends on the cold cloud thickness (from 0° C to the cloud top).

150 4 Quantification of feedbacks

The feedbacks in this section are all derived from the difference between the *piControl* and *abrupt-4xCO2* CMIP6 experiments. The Earth system models all respond with different levels of climate change, so all climate feedbacks are normalised to the change in global mean surface temperature between *abrupt-4xCO2* and *piControl* for the 30-year period years 121-150 (table 5). There is a factor of nearly two between the temperature responses of the models. Since this timeframe is not long enough for the models to have reached equilibrium these temperatures are not the same as equilibrium climate sensitivity (ECS).





	CNRM-ESM2	UKESM1	MIROC6	NorESM2	CESM2-WACCM	GFDL-ESM4
$\Delta T 4xCO2 (K)$	6.02	7.46	4.01	3.96	6.37	3.93

Table 5: Change in global mean surface temperature following an abrupt quadrupling of CO₂ concentrations. Difference between *abrupt-4xCO2* and *piControl* averaged over the years 121-150.

4.1 Aerosol species

160 **4.1.1 Desert Dust**

The 2xdust perturbation is applied by scaling the parameterisation in the emission scheme. Since changing dust emissions will affect the boundary layer meteorology the net effect is not an exact doubling of the emissions (table 6). Four of the five models in AerChemMIP have a negative radiative forcing for doubled dust aerosols as the negative shortwave radiative effects outweigh the positive longwave radiative effects of dust aerosols (figures 1(a), S1(a-e); table 6). The only exception is CNRM-

- 165 ESM2, where the global shortwave forcing is also positive, explaining the different sign of the ERF compared to the other models. The ERF for GFDL-ESM4 is not significantly different from zero. UKESM1 has by far the largest dust emissions (and change from doubling) because it includes particles that are emitted and deposited in the same timestep. CNRM-ESM2 also includes large particles (up to 50µm). These models however have similar changes in dust aerosol optical depth (AOD) compared to the other models and hence the magnitude of the forcing efficiency per change in AOD is not out of line with the
- others. MIROC6 has the strongest forcing even with the lowest emissions and smallest change in AOD, thus giving it the largest forcing efficiency per AOD (figure S1 (f-j)).
 The response of dust aerosols to *abrupt-4xCO2* (figure 1(b)) is substantially different across the model ensemble. Three models

(CNRM-ESM2, MIROC6 and GFDL-ESM4) show an increase in dust emission in a 4xCO2 climate due to increased aridity and near-surface wind speeds, whereas UKESM has a decrease in dust emissions with more CO₂ due to increased fertilisation

- 175 of the vegetation (hence less bare soil) paired with decreased near-surface winds. NorESM2 shows near zero change. The spatial pattern of the opposing response of dust emission to 4xCO2 in the two most extreme models, UKESM1 and CNRM-ESM2, is consistent with the responses in 10m-wind speed to 4xCO2 (figure S2). These clearly reflect larger (smaller) increases in mean winds over regions where the mean emission amount is larger (smaller) for 4xCO2 compared to the pre-industrial climatology. The increase or decrease in winds is also likely to be affected by changes in vegetation in semi-arid regions, e.g.,
- 180 the Sahel.

As well as affecting the emissions, changing climate can also affect the removal of dust. In all models except UKESM1 the lifetime of dust increases. The effect of an increase in lifetime can be seen by comparing the change in AOD. The modelled changes in dust AOD in the *abrupt-4xCO2* experiment are one and a half to twice as large (for those models where lifetime increases) as would be expected assuming a linear scaling with emissions across all size ranges ("scaled AOD" in table 6).





The climate feedback parameter for dust is given by the product of the radiative efficiencies (ϕ) with the sensitivities to climate (γ). These vary from -0.016 to +0.048 W m⁻² K⁻¹ with a multi-model mean of -0.003±0.008 W m⁻² K⁻¹ i.e. averaging to a value near zero. Although some models obtain similar feedback terms, this is not necessarily for the same reason. For instance, CNRM-ESM2 and UKESM1 have a positive dust feedback, though for opposite reasons; an increase in positive forcing in CNRM-ESM2 and a decrease in negative forcing in UKESM1. For instance, NorESM2 has a large ERF for doubled dust emissions, the small change in dust emission for *4xCO2*, however, does not lead to a large feedback for that model.

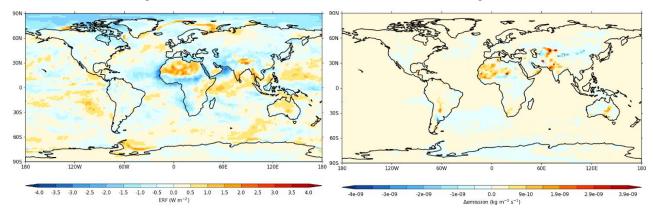


Figure 1 Multi-model mean (a) ERF from *piClim-2xdust* vs *piClim-control*, (b) Change in dust emissions for *abrupt-4xCO2* vs *piControl*.





	CNRM-ESM2	UKESM1	MIROC6	NorESM2	GFDL-ESM4	Multi-model
Emission <i>control</i> Tg yr ⁻¹	2750	8066	1106	1661	1981	
ΔEmission 2xdust Tg yr ⁻¹	2877	8256	1065	1397	1989	
ERF 2xdust W m ⁻²	0.09±0.03	-0.07±0.03	-0.18±0.04	-0.14±0.07	-0.00±0.03	
ERF/ Emission W m ⁻² (Tg yr ⁻¹) ⁻¹	3.1±1.0E-5	-8.9±3.6E-6	-1.7±0.4E-4	-1.1±0.5E-4	-0.2±1.5E-5	
ERF/AOD W m ⁻²	8.0±-2.7	-5.8±2.4	-25.6±5.6	-6.0±2.8	-0.2±1.6	
ΔEmission/ΔT Tg yr ⁻¹ K ⁻¹	65±4	-109±15	70.±7	-9±0	181±10	
Δlifetime/ΔT % K ⁻¹	2.6±0.2	-0.4±0.4	1.9±0.9	1.2±0.3	3.7±0.6	
scaled AOD/ ΔT K ⁻¹	2.5±0.2E-4	-1.7±0.2E-4	4.8±0.4E-4	-1.6±E-4	17.3±1.0E-4	
4xCO2 ΔAOD/ΔT K ⁻¹	6.0±0.3E-4	-2.6±0.6E-4	6.3±0.5E-4		26.5±1.3E-4	
α emissions W m ⁻² K ⁻¹	0.0020±0.0007	0.0010±0.0004	-0.012±0.003	0.0010±0.0005	-0.0004±0.0027	-0.002±0.005
α AOD W m ⁻² K ⁻¹	0.0048±0.0016	0.0015±0.0007	-0.016±0.004		-0.0006±0.0042	-0.003±0.008

195 Table 6. Dust radiative efficiencies by emission and AOD from *2xdust* experiments. Changes in emission and AOD from *abrupt-4xCO2*. "scaled" refers to scaling the *2xdust* relations between AOD and emissions by the *4xCO2* changes in emissions. alpha values are calculated assuming ERF is proportional to emissions or AOD. Uncertainties for each model are errors in the mean based on interannual variability. Uncertainties in the multi-model results are standard deviation across the models.

4.1.2 Sea Salt

- All models show a strong negative forcing to double sea salt emissions (figure 2(a), table 7), although the ERF for MIROC6 is significantly smaller than the others. The emissions and mass loading for the CNRM-ESM2 model are approximately twenty times those of the other models, although the AOD change is similar to other models. All models show a similar forcing efficiency per AOD change. All models show an increase in sea salt emissions in the Southern Ocean in 4xCO2 (figure 2(b)) due to increased wind speeds, with a general tendency for decreases elsewhere due to rising temperatures (Jaeglé et al., 2011).
- The global mean change in emissions is positive in all models except MIROC6. For models showing an increased lifetime in 4xCO2 the modelled increase in AOD is larger than that expected from scaling the emissions change. Although emissions (and



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the mass burden) of sea salt decrease in MIROC6 the AOD increases. The mean feedback is -0.031 ± 0.31 W m⁻² K⁻¹ based on emissions, and -0.060 ± 0.56 W m⁻² K⁻¹ based on the increase in AOD. The signs are consistently negative except for the emission-based feedback for MIROC6.

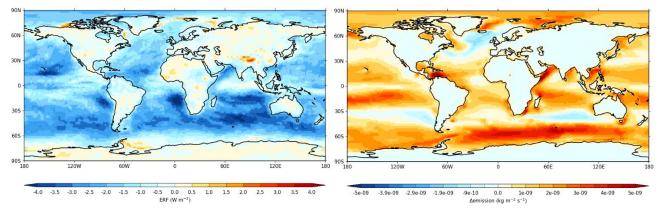


Figure 2 Multi-model mean (a) ERF from *piClim-2xss* vs *piClim-control*, (b) Change in sea-salt emissions for *abrupt-4xCO2* vs *piControl*.





	CNRM- ESM2	UKESM1	MIROC6	NorESM2	GFDL-ESM4	Multi-model
ΔEmission 2xss Tg yr ⁻¹	64939	5500	3577	3771	5675	
ERF 2xss W m ⁻²	-1.04±0.03	-1.29±0.03	-0.35±0.04	-2.28±0.07	-1.84±0.03	
ERF/ Emission W m ⁻² (Tg yr ⁻¹) ⁻¹	-1.61±0.04 E- 5	-2.30±0.05E-4	-9.72±1.12E-5	-6.0±0.2E-4	-3.20±0.07 E- 4	
ERF/AOD W m ⁻²	-19.8±0.6		-25±3	-26±0.8	-38.7±0.8	
ΔEmission/ΔT Tg yr ⁻¹ K ⁻¹	2570±87	6.0±2.6	-3.93±2.6	72±4	258±9	
Δ lifetime/ Δ T % K ⁻¹	0.44±0.13	-0.20±0.06	-0.68±0.09	-0.92±0.14	1.8±0.2	
Scaled AOD/ΔT K ⁻¹	20.8±0.7E-4		-0.16±0.10E-4	17±1E-4	21.6±0.8E-4	
$4xCO2 \Delta AOD/\Delta T$ K ⁻¹	24.8±0.8E-4		0.62±0.20E-4		33.6±1.0E-4	
α emissions W m ⁻² K ⁻¹	-0.041±0.002	-0.0014±0.0006	0.0004±0.0003	-0.044±0.003	-0.084±0.004	-0.031±0.031
α AOD W m ⁻² K ⁻¹	-0.049±0.002		-0.0015±0.0005		-0.130±0.005	-0.060±0.053

Table 7. Radiative efficiencies by emission and AOD from 2xss (sea-salt). Changes in emission and AOD from 4xCO2. "scaled" refers to scaling the 2xss relations between AOD to emissions by the 4xCO2 changes in emissions. α values are calculated assuming ERF is proportional to emissions or AOD. Uncertainties for each model are errors in the mean based on interannual variability. Uncertainties in the multi-model results are standard deviation across the models. Not all models provided AOD diagnostics.

4.1.3 DMS

All models except CNRM-ESM2 have interactive DMS emissions that vary with climate (wind speed), and two also include 220 interactive ocean biogeochemistry (UKESM1 and NorESM2). The latter two performed the 2xDMS experiment. CNRM-ESM2 also ran the 2xDMS experiment but uses prescribed emissions that are independent of climate. The ERF for 2xDMS is negative for all three models that ran this experiment (figure 3(a)), though less strongly so for CNRM-ESM2. Three of the models with interactive emissions show a decrease in sulphur emissions in 4xCO2 where the tropical decrease more than compensates for the increase along the edge of the sea ice retreat. GFDL-ESM4 shows an increase in overall sulphur emissions.

225 The multi-model mean is shown in figure 3(b). Since not all data is available for all models, we use the multi-model radiative efficiencies (by emission and by mass) and the multi-model sensitivities (of emissions and mass) to climate in order to calculate the multi-model feedback (table 8). The strong positive DMS increase in GFDL-ESM4 weakens the multi-model mean decrease in emission with climate. The multi-model mean emission-based α is therefore near-zero (within the uncertainty





range). There is an increased sulphur mass in all models in the 4xCO2 simulation due to an increase in the sulphate lifetime. 230 When scaled by the radiative efficiency for DMS emissions (which might not be appropriate for a lifetime increase) this leads to negative α (-0.048±0.028 W m⁻² K⁻¹).

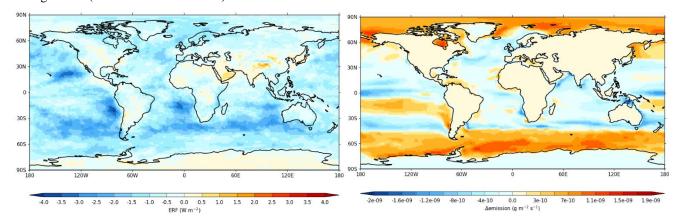


Figure 3 Multi-model mean (a) ERF from *piClim-2xDMS* vs *piClim-control*, (b) Change in DMS emissions for *abrupt-4xCO2* vs *piControl*





	CNRM-ESM2	UKESM1	MIROC6	NorESM2	GFDL- ESM4	Multi-model
ERF 2xDMS W m ⁻²	-0.37±0.03	-1.22±0.03		-1.27±0.07		
ERF/ Emission W m ⁻² (Tg(S) yr ⁻¹) ⁻¹	-0.0089±0.0007	-0.0386±0.0010		-0.0348±0.0019		-0.027±0.013
ERF/mass W m ⁻²	-3.8±0.3	-3.15±0.08		-5.4±0.3		-4.1±1.0
$\begin{array}{l} \Delta Emission/\Delta T \\ Tg(S) \ yr^{-1} \ K^{-1} \end{array}$		-0.07±0.02	-0.014±0.004	-0.36±0.03	0.39±0.03	-0.01±0.24
Scaled mass/ ΔT Tg(S) K ⁻¹		-8.9±2.1E-4		-23±2E-4		
$4xCO2 \Delta mass/\Delta T$ Tg(S) K ⁻¹	25.4±0.9E-4	186±7E-4	59±2E-4	14±6E-4	172±7E-4	120±6E-4
Δ lifetime/ Δ T % K ⁻¹	1.98±0.4	2.48±0.06	1.91±0.07	2.73±0.11	2.42±0.09	
α emissions W m ⁻² K ⁻¹		0.0028±0.0007		0.0125±0.0013		0.000±0.007
α mass W m ⁻² K ⁻¹	-0.0097±0.0009	-0.059±0.003		-0.075±0.005		-0.048±0.028

Table 8. Radiative efficiencies by emission and mass from 2xDMS. Changes in emission and mass from 4xCO2 experiment. Emissions are for DMS or SO₂+SO₄ depending on the model. "scaled" refers to scaling the 2xDMS relations between mass and emissions by the 4xCO2 changes in emissions. *a* values are calculated assuming ERF is proportional to emissions or mass. Multi-model mean values of *a* use the multi-model mean radiative efficiencies and sensitivities to climate, rather than being an average of the individual model *a* values. Uncertainties for each model are errors in the mean based on interannual variability. Uncertainties in the multi-model results are standard deviation across the models. MIROC6 and GFDL-ESM4 did not perform the 2xDMS experiment, but DMS changes are diagnosed from their 4xCO2 experiments. DMS emissions do not vary in the CNRM-ESM2 4xCO2 experiment.

4.1.4 Organic aerosol

Biogenic VOC emissions lead to both organic aerosol and ozone production (in those models with tropospheric chemistry). It is therefore difficult to distinguish the two in the ERFs in these models. An estimate of the direct aerosol effect can be determined by additional radiation diagnostics that are run without the contribution of aerosols "aerosol-free" (ERF_{af}), for clear sky conditions (ERF_{cs}), and both clear sky and aerosol free (ERF_{csaf}) (Ghan, 2013). Here the aerosol direct effect is ERF-ERF_{af} and the cloud effect is ERF_{af}-ERF_{csaf} (although this may include cloud forcing due to adjustments caused by the ozone changes too). The direct aerosol and cloud radiative effects are shown in figure 4. These estimated aerosol forcing changes are significant (between -0.3 and -0.69 W m⁻²). The most negative forcing comes from the NorESM2 model which has no changes

250 in gas-phase chemistry (table 9).

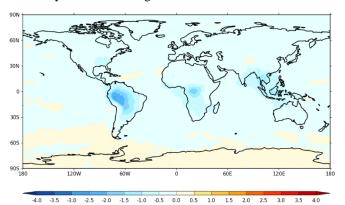


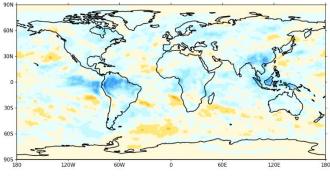


In terms of aerosol, there is an increase in organic aerosol (OA) mass and expected increase in AOD with very similar spatial pattern when the emission of BVOCs is doubled. Changes to cloud droplet number concentration are more complex and may not be spatially co-located with the changes to BVOC emission (figure S4). Whilst the additional secondary organic aerosol can grow particles to a size where they can act as cloud condensation nuclei, this process can also enhance the aging rate of

- 255 particles removing them from the atmosphere more quickly. In addition, for models with interactive tropospheric chemistry, the decrease in oxidant concentrations resulting from a doubling of VOC emissions can prevent the oxidation of sulphur containing species that might otherwise have formed aerosols, leading to a reduction in CDNC. In the 4xCO2 experiments, these models also simulate an increase in primary organic aerosol emission from the ocean which adds to the OA mass on top of the effect of BVOC emissions. The feedback factors are negative and very strong in some models, ranging from -0.003 to -0.276 W m⁻² K⁻¹ based on emissions and -0.025 to -0.359 W m⁻² K⁻¹ based on mass assuming all OA has the same radiative
- 260

efficiency as that from vegetation.





0.0 0.5 -1.5 -0.5 1.0 1.5 2.0 2.5 3.0 4.0 CRE (W m

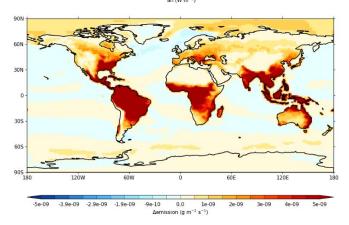


Figure 4 Multi-model mean (a) Aerosol direct effect from piClim-2xVOC vs piClim-control, (b) cloud radiative effect from piClim-265 2xVOC vs piClim-control, (c) Change in organic aerosol emissions for abrupt-4xCO2 vs piControl.





	UKESM1		NorESM2		GFDL-ESM4		CESM2- WACCM	Multi-model
ERF 2xVOC W m ⁻²	direct	cloud	direct	cloud	direct	cloud	-0.31	
	-0.19± 0.03	0.12± 0.03	-0.18± 0.07	-0.55± 0.07	-0.21± 0.04	-0.30± 0.04		
ERF/ Emission W m ⁻² (Tg) yr ⁻¹) ⁻¹	-1.0±0.4E	2-4	-11.8±1.2	E-4	-10.9±0.6	E-4	-4.7±0.6E-4	-7±4E-4
ERF/mass W m ⁻²	-0.19±0.0	8	-0.56±0.0	6	-0.70±0.04		-0.29±0.04	-0.34±0.16
$\Delta EmissionVOC/\Delta T$ Tg yr ⁻¹ K ⁻¹	33		234±7	234±7			150±2	124±76
Scaled mass/ ΔT Tg K ⁻¹	0.017		0.50±0.02	2	0.127±0.0	0.003	0.243±0.003	
$4xCO2 \Delta mass/\Delta T$ Tg K ⁻¹	0.135±0.0	004	0.644±0.0)18	0.022±0.001		0.510±0.018	0.33±0.26
Δ lifetime/ Δ T % K ⁻¹	1.1±0.2		33±6		-4.2±0.2			
α emissions W m ⁻² K ⁻¹	-0.003		-0.28±0.03		-0.089±0.006		-0.070±0.009	-0.088±0.077
α mass W m ⁻² K ⁻¹	-0.025±0.	01	-0.359±0.	03	-0.015±0.001		-0.148±0.02	-0.113±0.102

Table 9. Radiative efficiencies by emission and mass from 2xVOC. Changes in emission and mass from 4xCO2 experiment. "scaled"refers to scaling the 2xVOC relations between mass and emissions by the 4xCO2 changes in emissions. a values are calculated270assuming ERF is proportional to emissions or mass. Multi-model mean values of a use the multi-model mean radiative efficienciesand sensitivities to climate, so are different to the average of the individual model a values. Uncertainties for each model are errorsin the mean based on interannual variability. Uncertainties in the multi-model results are standard deviation across the models.

4.2 Gas-phase feedbacks

275 4.2.1 Biogenic VOCs

- 5 To estimate the stratospheric-temperature adjusted radiative forcing (SARF) from the ozone changes, and to remove the effect of aerosols we use the clear-sky aerosol-free ERF (ERF_{csaf}) (table 10). However, this neglects any cloud adjustments caused by the ozone, and any cloud masking of the direct ozone SARF. For GFDL-ESM4 and CESM2-WACCM the magnitude of the ozone forcing is smaller than that for aerosols leading to a net negative ERF from BVOCs. For UKESM1
- 280

the net ERF is positive due to a lower magnitude of aerosol forcing. The ozone contribution is also estimated assuming a radiative efficiency of 0.042 W/m² per Dobson Unit (Stevenson et al., 2013). This efficiency is strictly only applicable to





changes in tropospheric ozone but is also applied to the stratospheric ozone since these changes occur in the lower stratosphere just above the tropopause. The estimated ozone SARF (tropospheric + stratospheric) is within the range of the diagnosed ERF_{csaf}. CESM2-WACCM has the largest BVOC emissions and a decrease in tropospheric ozone column, although a strong increase in the stratospheric column. This is likely to be due to NOx-limited chemistry near the surface and increased transport of reactive nitrogen (NO_Y) away from the surface to the upper troposphere and lower stratosphere as peroxy-acetyl nitrate (PAN) and other organic nitrates. The overall feedback ranges from 0.004 W m⁻² K⁻¹ for UKESM1 which has the lowest ozone response to BVOC emissions, and the lowest BVOC increase with climate (due to CO₂ inhibition) to 0.028 W m⁻² K⁻¹ for CESM2-WACCM which has the strongest VOC response to climate.

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	UKESM1		GFDL-ESM4		CESM2-WACCM		Multi-	model
ERF _{csaf} 2xVOC W m ⁻²	0.09±	0.03	0.13±0.04					
$\frac{ERF_{csaf}}{W}m^{2}(Tg yr^{-1})^{-1}$	1.2±0.	4E-4	2.8±0	.8E-4				
	trop	strat	trop	strat	trop	strat		
Ozone/emission DU (Tg yr ⁻¹) ⁻¹	0.0015	0.0021	0.0022	0.0031	-0.0003	0.0044		
Ozone SARF /emission W m ² (Tg yr ⁻¹) ⁻¹	0.63±0.09 E-4	0.9±0.1 E-4	0.9±0.1 E-4	1.3±0.2 E-4	-0.10±0.01 E-4	1.8±0.3 E-4		
4xCO2 Tg yr ⁻¹ K ⁻¹	32.5±0.8		81±2	81±2				
$\begin{array}{c} \alpha \; ERF_{csaf} \\ W \; m^{\text{-2}} \; K^{\text{-1}} \end{array}$	0.004±0.001		0.023±0.007					
$\begin{array}{c} \alpha \; SARF_{03} \\ W \; m^{-2} \; K^{-1} \end{array}$	0.0021± 0.0003	$\begin{array}{c} 0.0028 \pm \\ 0.0004 \end{array}$	0.0076± 0.0011	0.011± 0.001	-0.0015± 0.0002	0.028± 0.004	0.003± 0.0040	0.014± 0.010

Table 10. Radiative efficiencies (clear-sky aerosol-free ERF_{csaf}) for 2xVOC emissions. Tropospheric and stratospheric ozone column changes and their estimated radiative effects. Changes in emission from 4xCO2 experiment. α values are calculated assuming ERF_{csaf} or a SARF efficiency for ozone of 0.042 W m⁻² DU⁻¹. Uncertainties for each model are errors in the mean based on interannual variability, and assuming a 14% uncertainty in the ozone radiative efficiency. Uncertainties in the multi-model results are standard deviation across the models.





BVOC emissions also affect the methane lifetime. Methane does not change in the AerChemMIP experimental setup, but the methane changes that would be expected if methane were allowed to evolve freely can be diagnosed from the change in methane lifetime. We diagnose this from changes in methane loss rate throughout the atmosphere (which includes stratospheric

- 300 loss processes) and assume a loss to soils with a lifetime of 120 years (Ciais et al., 2013). All three models show similar sensitivities 0.030 to 0.047 % increase in methane lifetime per Tg yr⁻¹ of BVOC change due to decreases in OH in the mid-upper tropical free troposphere (not shown). From this the expected lifetime changes can be deduced from the change in BVOC emissions with temperature (table 11). These lifetime changes are converted to feedbacks using the radiative efficiency (including impacts on ozone and stratospheric water vapour) for methane lifetime changes in section 2.2 (0.015 W m⁻² %⁻¹).
- 305 The feedbacks range from 0.012 to 0.081 W m⁻² K⁻¹ where the variability is mostly due to the different sensitivities of BVOCs to climate in the models. These are significantly stronger feedbacks than those due to ozone.

	UKESM1	GFDL-ESM4	CESM2-WACCM	Multi-model
$\tau_{\rm CH_4}$ /emission % (Tg yr ⁻¹) ⁻¹	0.033	0.030	0.047	
$ au_{\mathrm{CH}_4}/\Delta T$ % K ⁻¹	1.1	2.4	7.1	
$\begin{array}{c} \alpha \ \tau_{CH_4} \\ W \ m^{-2} \ K^{-1} \end{array}$	0.012±0.002	0.028±0.004	0.081±0.011	0.041±0.030

Table 11. Percentage change in methane lifetime for 2xVOC emissions. Estimated change in lifetime following changes in BVOC emission from 4xCO2 experiment. *a* values are calculated assuming a radiative efficiency of 0.015 W m⁻² %⁻¹. Uncertainties for each model assume a 14% uncertainty in the methane radiative efficiency. Uncertainties in the multi-model results are standard deviation across the models.

4.2.2 Lightning NOx

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Lightning NO_X leads to ozone production, and changes in methane lifetime. In UKESM1 NO_X is known to increase the formation of new sulphate particles (O'Connor and et al., submitted) offsetting positive ozone forcing. To separate the ozone effect, we use ERF_{csaf} for UKESM1 as in section 4.2.1. The assumption of radiative efficiency of 0.042 W m⁻² DU⁻¹ seems to

- 315 agree with the ERF for GFDL-ESM and CESM2-WACCM (table 12). For UKESM1 ERF_{csaf} is lower than expected from the ozone columns, suggesting that the clear-sky aerosol free component misses some of the ERF due to ozone.
 Lightning NO_X increases in UKESM1 and CESM2-WACCM but decreases slightly in GFDL-ESM4 although they all use variations on the cloud-top height schemes (Price et al., 1997; Price and Rind, 1992). Hence the feedback is positive for UKESM1 and CESM2-WACCM (0.009 and 0.011 W m⁻²K⁻¹), based on the ozone changes, but slightly negative for GFDL-
- 320 ESM4 (-0.001 W $m^{-2} K^{-1}$).





	UK	ESM1	GFDI	GFDL-ESM4		CESM2-WACCM		model
ERF 2xNOX W m ⁻²	0.12	2±0.03	0.11±0.04		0.15±0.04			
ERF/emission W m ² (Tg yr ⁻¹) ⁻¹	0.018	8±0.004	0.036±0.013		0.050±0.013			
	trop	strat	trop	strat	Trop	strat		
Ozone/emission DU (Tg yr ⁻¹) ⁻¹	0.59	0.13	0.72	0.22	0.90	0.37		
Ozone SARF /emission W m ² (Tg yr ⁻¹) ⁻¹	0.025± 0.003			0.038± 0.005	0.015 ± 0.002			
4xCO2 Tg yr ⁻¹ K ⁻¹	0.27±0.02	1	-0.029±0.00	-0.029±0.008)06		
$\begin{array}{c} \alpha \; ERF_{csaf} \\ W \; m^{-2} \; K^{-1} \end{array}$	0.005±0.0	001	-0.0010±0.0005		0.010±0.003			
$\begin{array}{c} \alpha \; SARF_{O3} \\ W \; m^{-2} K^{-1} \end{array}$	0.007± 0.001	0.0015 ± 0.0002	-0.0009± 0.0003	0.000±0.001	0.008± 0.003	0.0032± 0.0005	0.005 ± 0.004	0.001 ± 0.001

325 Table 12. Radiative efficiencies (clear-sky aerosol-free ERF_{csaf} for UKESM) for 2xNOX lightning NO_X emissions. Tropospheric and stratospheric ozone column changes and their estimated radiative effects. Changes in emission from 4xCO2 experiment. *a* values are calculated assuming ERF_{csaf} or a SARF efficiency for ozone of 0.042 W m⁻² DU⁻¹. Uncertainties for each model are errors in the mean based on interannual variability, and assuming a 14% uncertainty in the ozone radiative efficiency. Uncertainties in the multi-model results are standard deviation across the models.

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As with BVOC emissions (above) the potential impacts of lightning on methane lifetime can be diagnosed. All models show (table 13) a decrease in methane lifetime with increased lightning NOx emission from -2.3 to -4.8 % (Tg yr⁻¹)⁻¹. The feedbacks are negative for UKESM1 and CESM2-WACCM (0.007 and 0.012 W m⁻² K⁻¹) and slightly positive for GFDL-ESM4 (0.001 W m⁻² K⁻¹) and almost exactly cancel out the feedback due to the ozone column. The net (ozone + τ_{CH_4}) feedbacks for UKESM1, GFDL-ESM4 and CESM2-WACCM are -0.002, 0.000, and 0.001 W m⁻² K⁻¹. For UKESM1 a feedback of -0.006 W m⁻² K⁻¹ should be added to account for the increase in sulphate.





	UKESM1	GFDL-ESM4	CESM2-WACCM	Multi-model
$\tau_{\rm CH_4}$ /emission % (Tg yr ⁻¹) ⁻¹	-2.3	-3.8	-4.8	
$ au_{\mathrm{CH_4}}/\Delta\mathrm{T}$ % K ⁻¹	-0.6	0.1	1.0	
$lpha au_{CH_4}$ W m ⁻² K ⁻¹	-0.007±0.001	0.0012±0.0004	-0.012±0.002	-0.006±0.005

Table 13. Percentage change in methane lifetime for lightning NOx emissions. Estimated change in lifetime following changes in NO_x emission from *4xCO2* experiment. *α* values are calculated assuming a radiative efficiency of 0.015 W m⁻² %⁻¹. Uncertainties for each model assume a 14% uncertainty in the methane radiative efficiency. Uncertainties in the multi-model results are standard deviation across the models.

4.2.3 Wetland emissions

Although the wetland emissions do not directly affect methane concentrations in the model, changes in emission can be converted to concentration changes (section 2.2). UKESM and WACCM models with interactive wetland emissions show

345 strong responses to climate change, leading to a feedback of 0.16 ± 0.03 W m⁻² K⁻¹.

	UKESM1	CESM2-WACCM	Multi-model
4xCO2 Tg yr ⁻¹ K ⁻¹	40	60	
$\begin{array}{c} \alpha \\ W \ m^{-2} \ K^{-1} \end{array}$	0.13±0.02	0.19±0.03	0.16±0.03

Table 14. Sensitivity of wetland emissions to 4xCO2 in two models. Feedback parameter assuming pre-industrial conditions.350Uncertainties for each model assume a 14% uncertainty in the methane radiative efficiency. Uncertainties in the multi-model results are standard deviation across the models.

4.2.4 Temperature and humidity

As well as through changes in natural emissions, climate change can affect ozone burden and methane lifetime directly as the production and loss reactions are sensitive to temperature and water vapour. Here we add the expected changes in ozone and methane lifetime due to changes in BVOCs and lightning NO_X from sections 4.2.1 and 4.2.2 above and compare those to the changes diagnosed from the 4xCO2 experiments (table 15). The residual is then the direct effect of climate. For CESM2-WACCM and GFDL-ESM4 most of the total increase in tropospheric ozone can be explained by the changes in natural emissions (particularly BVOC) suggesting that non-emission drivers of tropospheric ozone change (temperature, humidity,





- transport from the stratosphere, dry deposition) balance to have little net effect. Increases in stratospheric ozone are much 360 larger than expected from the changes in natural emissions, suggesting that meteorological changes (principally cooling stratospheric temperatures) are the main driver. The tropospheric ozone change attributable to climate can be used to determine a feedback which is only significant for UKESM1 (-0.023 W $m^{-2}K^{-1}$). The stratospheric ozone changes cannot simply be converted to an ERF, since unlike for the natural emission (where the ozone changes were close to the tropopause) the tropospheric radiative efficiency cannot be applied.
- 365 In UKESM and GFDL-ESM4 the meteorological changes decrease methane lifetime by similar amounts (-4.5 and -4.6 % K⁻¹) and hence have similar feedbacks (-0.078 and -0.080 W $m^{-2}K^{-1}$). In the case of GFDL-ESM4 this leads to an overall decrease in lifetime rather than the increase expected from natural emission changes (principally BVOC). In WACCM the overall effect of climate is to increase the methane lifetime, almost entirely due to the increased BVOC emissions with little effect of meteorological drivers. This is surprising since there is no known mechanism whereby temperature and humidity increases
- 370 can increase the methane lifetime. This could be due to non-linearity whereby the effect of increased VOCs on methane lifetime is larger than expected from scaling the 2xVOC experiment.

Combining the results from ozone and methane lifetime changes leads to overall feedbacks from temperature of -0.101, -0.082 and +0.015 W m⁻² K⁻¹ for the three models.

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	UKESM1		GFDL-ESM4		CESM2-WACCM		Multi-model
	trop	strat	Trop	strat	trop	strat	
LNOx+BVOC Ozone (DU K ⁻¹)	0.210± 0.007	0.102± 0.002	0.160± 0.007	0.247± 0.006	0.151± 0.005	0.734± 0.008	
4xCO2 Ozone (DU K ⁻¹)	-0.33±0.02	1.28±0.10	0.18±0.02	3.46±0.06	0.162±0.003	2.82±0.04	
Ozone residual (DU K ⁻¹)	-0.54±0.02	1.18±0.10	0.02±0.02	3.22±0.06	0.011±0.006	2.09±0.05	
$\begin{array}{l} \alpha \text{ Ozone} \\ \text{residual} \\ W \ m^{-2} \ K^{-1} \end{array}$	-0.023±0.003		0.001±0.001		0.000±0.000		-0.007±0.011
LNOx+BVOC $\tau_{CH_4} \% K^{-1}$	0.43±0.04		+2.55±0.07		+6.05±0.09		
4 <i>xCO</i> 2 τ _{CH₄} % K ⁻¹	-4.08±0.02		-2.05±0.06		+6.92±0.06		
$ au_{ m CH_4}$ residual % K ⁻¹	-4.51±0.04		-4.60±0.08		+0.87±0.11		
alpha τ_{CH_4} residual W m ⁻² K ⁻¹	-0.078±0.011		-0.080±0.011		0.015±0.003		-0.048±0.045

Table 15. Comparison of expected changes in ozone column and methane lifetime with that diagnosed from *4xCO2*. Residual is given by the difference and is converted to a feedback using radiative efficiencies for tropospheric ozone and methane lifetime.

4.3 Overall feedback

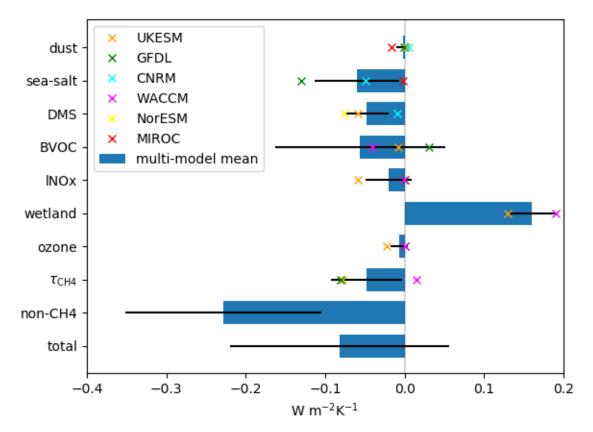
- The feedbacks are summarised in table 16. The largest individual feedbacks are due to the generation of aerosols by BVOCs $(-0.113\pm0.102 \text{ W m}^2 \text{ K}^1)$ and the emission of methane from wetlands $(0.16\pm0.03 \text{ W m}^2 \text{ K}^1)$. The overall uncertainty is dominated by the uncertainty in the aerosol response to BVOC emissions. Nearly all the feedbacks are negative, most because they come from an increase in aerosol with temperature. For BVOC emissions, the increase in aerosols outweighs the increases in ozone and methane. For lightning NOx, the ozone and methane changes cancel. A warmer and more humid climate also
- 385 leads to less ozone and methane.

The ESMs that use the *abrupt-4xCO2* experiment to quantify the climate sensitivity do not allow methane to vary, so we also quantify the non-methane feedbacks that will be contributing to the diagnosed climate sensitivity in these models. This





feedback is significantly negative (-0.228 \pm 0.123 W m⁻² K⁻¹) suggesting the climate sensitivity of ESMs might be expected to be lower than for their physical-only counterparts.



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Figure 5. Feedback parameters of all the aerosol and chemical processes in table 16. Multi-model mean and individual models. Uncertainties are inter-model standard deviations. BVOC and INOx include aerosol, ozone and methane lifetime effects (points are only shown for models that include all effects). Non-CH4 excludes methane lifetime effects and wetland feedback.





Process	Feedback parameter
	$\alpha (Wm^{-2}K^{-1})$
Dust (AOD)	-0.003±0.008
Sea Salt (AOD)	-0.060±0.053
DMS and sulphate lifetime	-0.048±0.028
BVOC (Aerosol mass)	-0.113±0.102
BVOC (ozone)	0.017±0.011
BVOC (τ_{CH_4})	0.041±0.030
lNO _X (Aerosol)	-0.002±0.003
lNOx (ozone)	0.006±0.004
$lNOx (\tau_{CH_4})$	-0.006±0.005
Wetland	0.163±0.032
Chemistry (ozone)	-0.007±0.011
Chemistry(τ_{CH_4})	-0.048±0.045
Total non-methane	-0.228±0.123
Total	-0.082±0.138

Table 16. Feedback parameters of all the aerosol and chemical processes addressed in this study. Uncertainties are inter-model395standard deviations.

5. Discussion

5.1 Dust

Dust-aerosol feedback assessments are a relatively new area of research owing to the large uncertainties of climate models in simulating dust aerosols with changes in atmospheric composition. For instance, the spread in model estimates for dust aerosol

400 changes in the 21st century is the largest among wildfires, biogenic SOA and DMS sulphate (Carslaw et al., 2010). Predictions for future dust emission range from an increase (Woodward et al., 2005) to a decrease (Mahowald and Luo, 2003). The modelled feedbacks in section 4.1.1 have a range of -0.016 to +0.048 W m⁻² K⁻¹ compared to the theoretical model estimates of -0.04 to +0.02 Wm⁻²K⁻¹ by Kok et al. (2018).

The model ranges in dust forcing and feedbacks are not surprising in light of past studies that highlight model differences in

405 dust-emitting winds and dust-aerosol parameterizations that contribute to the model diversity in the dust-aerosol loading, optical properties, and radiative effects (Ackerley et al., 2012; Evan et al., 2014; Huneeus et al., 2011; Shao et al., 2011; Zender et al., 2004). For instance, the parameterization of the planetary boundary layer plays an important role in determining the dust





loading, forcing, and regional feedbacks on winds (Alizadeh Choobari et al., 2012). Influencing factors for regional differences in the dust radiative effects are the surface albedo and aerosol size distribution (Kok et al., 2018; Xie et al., 2018), whereas feedbacks on winds depend also on meteorological factors (Heinold et al., 2008). The substantial model differences in the dust emission response to 4xCO2 paired with corresponding differences in mean 10m-wind speed in this study suggests that also the dust feedback parameter critically relies on accurately simulating atmospheric dynamics. Modelling atmospheric circulation has been identified as a grand challenge in climate research (Bony et al., 2015). Currently, we have no estimate which of the dust feedbacks shown are the most plausible, because convective dust storms are missing in such models, but this dust storm type is believed to be important for North African dust emissions (Heinold et al., 2013). Moreover, natural aerosolclimate feedbacks are thought to depend on the anthropogenic aerosol burden and might therefore be both time-dependent and

underestimated in the present-day polluted atmosphere (Spracklen and Rap, 2013). Taken together, we have a low confidence in the feedback estimates for dust aerosols to increases in atmospheric concentrations of greenhouse gases.

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5.2 Sea Salt

The doubled sea salt ERF in section 4.1.2 is -0.35 to -2.28 W m⁻², higher end than found in the literature (-0.3 to -1.1 W m⁻² which is for direct forcing only (Yue and Liao, 2012)). The efficiency per AOD ranges from -20 to 39 W m⁻², again higher than the literature for direct forcing (-18 to -24 W m⁻² (Heald et al., 2014; Yue and Liao, 2012)).

5.3 DMS

DMS is produced by marine biological activity in the ocean, and it is assumed to be the largest natural source of sulphur to the atmosphere. Up to now, there has been no comprehensive model effort to include all the important effects, and therefore the DMS emission strength change under climate change is still uncertain. The range here (-0.010 to -0.075 W m⁻² K⁻¹ including increases in sulphur lifetime) encompasses the -0.02 W m⁻² K⁻¹ from AR5 (Ciais et al., 2013), based on results from only one

model (HadGEM2-ES).

DMS production is closely linked to primary production. Modelling studies including ocean biogeochemistry have shown that under climate change, an increased stratification of the ocean at low and mid latitudes leads to a reduction in nutrients supply

435 into the surface ocean and thus a reduction in DMS emissions, whereas at high latitudes, retreat of sea-ice can lead to increased primary production and increase in DMS production (Kloster et al., 2007). Globally, most models which include ocean biogeochemistry show a slight increase in DMS production and emission to the atmosphere in a warming climate (Bopp et al., 2004; Gabric et al., 2004; Gunson et al., 2006; Vallina et al., 2007).

these ESMs ((Berndt et al., 2019; Wu et al., 2015).





440 Some more recent studies have included the impact of ocean acidification on ocean DMS production (Schwinger et al., 2017; Six et al., 2013). Both studies used a very similar description of the ocean biogeochemistry and extended it with an observationally-based relation between ocean alkalinity and ocean DMS production. Assuming a medium sensitivity of the DMS production on pH, Six et al. (2013) found a global DMS emission decrease by 18% in 2100 under the SRES A1B scenario, and Schwinger et al. (2017) an emission reduction by 31% in 2200 under the RCP8.5 scenario. In addition recent work has provided evidence for major pathways in the oxidation of DMS in the atmosphere which are not included in any of

5.4 BVOC

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When emissions of BVOCs are increased we see changes to organic aerosol concentration and (in some models) the atmospheric concentrations or lifetime of O_3 and CH_4 , with competing effects on climate. At the multi-model mean level, the cooling associated with an increase in organic aerosol (-0.113±0.102 W m⁻² K⁻¹) outweighs the warming associated with an

- increase in O₃ (0.017±0.011 W m⁻² K⁻¹) and an increase in CH₄ lifetime (0.041±0.030 W m⁻² K⁻¹). Using multi-annual simulations of global aerosol, Scott et al. (2018) diagnosed a feedback from biogenic secondary organic aerosol of -0.06 W m⁻² K⁻¹ globally, and -0.03 W m⁻² K⁻¹ when considering only extra-tropical regions. This global feedback value was composed of a direct aerosol radiative feedback of -0.048 W m⁻² K⁻¹ and an indirect aerosol (i.e., cloud albedo)
- 455 feedback of -0.013 W m⁻² K⁻¹. Using observations from eleven sites, Paasonen et al., (2013) estimated an indirect aerosol feedback of -0.01 W m⁻² K⁻¹ due to biogenic secondary organic aerosol. The ability of models to account for changes in vegetation has a significant effect on the feedback. Sporre et al (2019) found interactive vegetation, enhanced BVOC emissions by 63% greater relative to prescribed vegetation, producing more organic aerosol and an increase in (negative) aerosol forcing. The level of compensation between increased aerosol forcing and increased ozone and methane lifetime is dependent on the
- 460 model (here positive feedback for GFDL, negative for UKESM1 and WACCM). Unger (2014) found a positive feedback in NASA GISS ModelE2, whereas Scott et al. (2014) found a negative feedback in HadGEM2-ES.

5.5 Lightning

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The ESMs used in CMIP6 all use a cloud-top height parameterisation of lightning. Such schemes have previously been found to increase lightning production in warmer climates whereas more sophisticated schemes based on convective updraft mass flux or ice flux show decreases in lightning with temperature. (Clark et al., 2017; Finney et al., 2016b, 2018). Two models here (UKESM1 and WACCM) show increases in lightning emissions of 0.27 and 0.21 Tg(N) yr⁻¹ K⁻¹ which is slightly lower than the results from the Atmospheric Chemistry and Climate Model Intercomparison (ACCMIP) of 0.44 Tg(N) yr⁻¹ K⁻¹ (Finney et al., 2016a).





5.6 Wetland methane

- Wetland emissions are more strongly sensitive to CO₂ concentrations than to temperature or precipitation (Melton et al., 2013), so the values presented here are more likely to be "adjustments" to the CO₂ rather than feedbacks, and hence could be considered part of the CO₂ ERF. We find emission increases following quadrupled levels of CO₂ of 130-160%. This compares with results from the Wetland CH4 Inter-comparison of Models Project (WETCHIMP) of 20-160% following an increase in CO₂ of a factor of 2.8 (Melton et al., 2013). The CMIP6 simulation specifications do not include free-running methane
 concentrations therefore the effects of these increased wetland emissions will not be realised in any of the CMIP6 experiments.
- Outside CMIP6, ESMs are starting to include free-running methane (Ocko et al., 2018), so for these it will be important to understand the effects of changing CO_2 and meteorology on wetland emissions.

5.7 Temperature and humidity discussion

We find a decrease in methane lifetime of -4.5 to -4.6 % K⁻¹ in UKESM1 and GFDL-ESM4, but an increase of 0.9 % K⁻¹ in
WACCM. The first two models compare well with ACCMIP which found a sensitivity of 3.4±1.4% K⁻¹ (Naik et al., 2013; Voulgarakis et al., 2013). The impact of climate (including natural emission changes) on tropospheric ozone varies from negative in UKESM1 (-0.33 DU K⁻¹) to positive in GFDL-ESM4 and WACCM (0.18 and 0.16 DU K⁻¹). ACCMIP also found a variation in sign amongst models -0.024±0.027 W m⁻² for a 1850-2000 change in climate (equivalent to -0.57±0.64 DU using the same radiative efficiency as table 15). The ACCMIP models generally did not include stratospheric chemistry so
either explicitly prescribed the cross-tropopause flux of ozone or imposed a climatology of ozone above the tropopause. The

- 485 either explicitly prescribed the cross-tropopause flux of ozone or imposed a climatology of ozone above the tropopause. The three CMIP6 models here all treat the chemistry seamlessly across the troposphere and stratosphere so the impact of changes in stratosphere-troposphere exchange (STE) of ozone on the tropospheric column is likely to be different to ACCMIP. Changes in the stratospheric ozone column following a quadrupling of CO₂ are driven by cooling temperatures in the
- stratosphere. This is likely to be due to temperature adjustments to the stratospheric CO_2 concentrations, and so part of the 490 ERF for CO_2 rather than a feedback (Smith, submitted). Feedbacks and adjustments cannot be distinguished with this experimental setup.

6 Conclusions

Earth system models include more processes than physical-only climate models. These models will inherently include additional climate feedbacks, and so have a different overall climate feedback (and climate sensitivity) to their physical
counterparts. In this study we consider six earth system models (CNRM-ESM2, UKESM1, MIROC6, NorESM2, GFDL-ESM4, and CESM2-WACCM). Five of these (CNRM-ESM2, UKESM1, MIROC6, NorESM2 and GFDL-ESM4) participated in the aerosol-related feedback experiments, and three (UKESM1, GFDL-ESM4, and CESM2-WACCM) in the chemistry-related feedback experiments.





We focus in this study on the responses to an abrupt forcing of quadrupled CO_2 concentrations as that is the usual method to 500 diagnose climate feedbacks. By convention the feedbacks are quantified as a response to temperature (in W $m^{-2} K^{-1}$), but they may not necessarily be applicable to drivers of climate change other than CO_2 as some of the "feedbacks" may be instead adjustments to CO_2 concentrations. It should also be noted that *abrupt-4xCO2* feedbacks are based on atmospheric conditions representative of 1850s and thus may not be applicable to future responses starting from present day conditions.

Here we find that the dominant feedbacks are negative i.e. that they act to dampen the response to an imposed forcing. The 505 total feedback, excluding inferred changes in methane, is -0.228 ± 0.123 Wm⁻² K⁻¹. The increase in organic aerosols from increase emission of volatile organic compounds (VOCs) from vegetation makes the largest contribution to both the magnitude of the feedback and its uncertainty $(-0.113\pm0.102 \text{ Wm}^{-2} \text{ K}^{-1})$ with increases in sea salt and sulphate aerosol also contributing. The increase in sulphate comes both from an increase in DMS emissions and a decrease in sulphate removal.

Contributions from increases in ozone production from biogenic VOCs and lightning NOx are partially offset by decreased 510 tropospheric ozone lifetime in a warmer climate. Stratospheric ozone does substantially increase. Diagnoses of changes in wetland emissions of methane indicate that if ESMs did allow methane to vary interactively the combined aerosol and chemical feedbacks would be substantially less negative and consistent with zero.

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Author Contributions

Manuscript preparation was by WC, GT, DO, RC-G, CES, SF and additional contributions from all co-authors. Model simulations were provided by SB, GF, AG, J-FL, MM, JM, PN, TT. Analysis was carried out by GT, WC, DO, SF, RC-G, JW.





525 Data Availability

All data from the Earth system models used in this paper are available on the Earth System Grid Federation Website, and can be downloaded from there. https://esgf-index1.ceda.ac.uk/search/cmip6-ceda/

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