



1	The role of HONO in O <sub>3</sub> formation and insight into its formation
2	mechanism during the KORUS-AQ Campaign
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5	Junsu Gil <sup>1)</sup> , Jeonghwan Kim <sup>2)</sup> , Meehye Lee <sup>1)</sup> , Gangwoong Lee <sup>2)</sup> , Dongsoo Lee <sup>3)</sup> , Jinsang Jung <sup>4)</sup> , Joonyeong
6	An <sup>5</sup> ), Jinkyu Hong <sup>6</sup> ), Seogju Cho <sup>7</sup> ), Jeonghoon Lee <sup>8</sup> ), Russell Long <sup>9</sup> )
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9	<sup>1</sup> Department of Earth and Environmental Sciecne, Korea University, Seoul, Korea
10	<sup>2</sup> Department of Environmental Sciecne, Hankuk University of Foreign Studies, Yongin, Korea
11	<sup>3</sup> Department of Chemistry, Yonsei University, Seoul, Korea
12	<sup>4</sup> Korea Research Institute of Standards and Science (KRISS), Daejeon, Korea
13	<sup>5</sup> National Institute of Environmental Research (NIER), Incheon, Korea
14	<sup>6</sup> Department of Atmospheric Sciences, Yonsei University, Seoul, Korea
15	<sup>7</sup> Seoul Research Institute of Public Health and Environment, Seoul, Korea
16	<sup>8</sup> School of Mechanical Engineering, Korea University of Technology and Education, Cheonan, Korea
17	<sup>9</sup> United States Environmental Protection Agency, Washington, USA
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26	* Correspondence to: Meehye Lee (meehye@korea.ac.kr)





### 27 ABSTRACT

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29 Photolysis of nitrous acid (HONO) has long been recognized as an early morning source of OH radicals in 30 urban air, but the detailed mechanism of its formation is still unclear. During the Korea-US Air Quality (KORUS-AQ) 31 campaign, HONO was measured using Quantum Cascade Tunable Diode Laser Absorption Spectroscopy (QC-TDLAS) 32 at Olympic Park in Seoul from 17 May to 10 June, 2016. HONO concentrations ranged from 0.07 ppbv to 3.46 ppbv with 33 an average of 0.93 ppbv. HONO remained high at night from 1 am to 5 am, during which the mean concentration was 34 higher in high-O<sub>3</sub> episodes (1.82 ppbv) than non-episode (1.20 ppbv). In the morning, OH budget due to HONO photolysis 35 were higher by 50 % (0.95 pptv) during high-O<sub>3</sub> episodes compared to non-episode. Diurnal variations of HO<sub>x</sub> and O<sub>3</sub> 36 simulated by the F0AM model demonstrated a difference of ~20 ppbv in daily maximum O<sub>3</sub> between the two periods. 37 The HONO concentration increased with relative humidity (RH) until 80 %, of which the highest HONO was associated 38 with the top 10 % NO<sub>x</sub>, confirming that NO<sub>x</sub> is a crucial precursor of HONO and its formation is facilitated by humidity. 39 The conversion ratio of NOx to HONO was estimated to be 0.86×10<sup>-2</sup> h<sup>-1</sup> at night and also increased with RH. As surrogate 40 for the catalyst surface, the mass concentrations of black carbon (eBC) and the surface areas of particles smaller than 120 41 nm showed a tendency for RH similar to conversion ratio. Using an Artificial Neuron Network (ANN) model, HONO 42 concentrations were successfully simulated with measured variables (r = 0.8 for the best suite), among which NO<sub>x</sub>, surface area, and RH were found to be main factors affecting ambient HONO concentrations with weigh values of 26.2 %, 11.9 %, 43 44 and 10.6 %, respectively. This study demonstrates the coupling of HONO with HOx-VOCs-O3 cycle in Seoul Metropolitan 45 Areas (SMA) and provides practical evidence for heterogeneous formation of HONO by employing the ANN model to 46 atmospheric chemistry.





(R1)

#### 49 1 INTRODUCTION

HONO +  $hv \rightarrow$  NO + OH (300nm <  $\lambda$  < 405 nm)

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51 The photolysis of nitrous acid (HONO) can severely impact the OH budget in the lower atmosphere 52 (Brandenburger et al., 1998;Plass-Dülmer et al., 1998;Kotamarthi et al., 2001;Xing et al., 2019) through the following 53 reaction.

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57 In recent studies, R1 was shown to contribute daily OH formation up to 30 %, and strongly impacted the early 58 morning OH budget, which finally caused the oxidation capacity to increase, even in low HONO concentrations (Alicke 59 et al., 2002; Ryan et al., 2018). HONO accelerates the morning oxidation process by transferring OH through the HONO-60 VOCs-O3 chain and provides NOx (Alicke et al., 2003). This implies that there is a huge influence of HONO on the early 61 morning photochemistry cycle, along with the promotion of VOC oxidation, causing high O3 concentrations in afternoon 62 (Aumont et al., 2003;Alicke et al., 2003;Kleffmann, 2007). Therefore, it is important to observe and predict the 63 atmospheric HONO in order to understand the HO<sub>x</sub> (HO<sub>2</sub> + OH) and NO<sub>x</sub> (NO + NO<sub>2</sub>) chemistry which influences  $O_3$ 64 production (Pitts and Pitts, 2000).

65 Although the importance of HONO to O<sub>3</sub> production was suggested in previous research, its role has not been 66 fully understood due to the intricacies involved in HONO and O<sub>3</sub> formation under variety of ambient conditions. Recently, 67 the high O<sub>3</sub> mechanism was a major concern in lots of studies. The Korea US – Air Quality (KORUS-AQ) campaign is 68 one of these researches which made efforts to solve the photochemical pollution problem. KORUS-AQ campaign was 69 conducted in May to June 2016, at Seoul Metropolitan Area (SMA) in South Korea. SMA is one of the most populated 70 regions in the world, and it has been reported that SMA suffers from poor air quality caused by high concentrations of O3 71 and PM2.5 (Kim et al., 2018a;Kim et al., 2018b). In SMA, a better understanding of the chemical mechanisms is needed 72 due to high NO<sub>x</sub>-levels and dynamic change in meteorology which further complicates the photochemical process (Park, 73 2018;Kim et al., 2016;Ryu et al., 2013). During the KORUS-AQ campaign, airborne, satellite, and ground level 74 measurements were conducted simultaneously within the same space-time frame. This provided a good opportunity for a 75 comprehensive study of the chemical processes at high-NO<sub>x</sub> condition and overall insight into the photochemical cycle, 76 encompassing gaseous and particle phase.

77 To measure the HONO, a number of techniques have been adopted. Annular Denuder (AD) coupled with Ion 78 Chromatography (IC) was commonly used in the beginning (Ferm and Sjödin, 1985;Allegrini et al., 1987;Koutrakis et 79 al., 1988; Appel et al., 1990; Komazaki et al., 1999). This collection system has been improved to Diffusion Denuder (DD) 80 and Parallel Plate Diffusion Scrubber (PPDS), lowing detection limit to several ppt levels with less interference from 81 other nitrogen species (Keuken et al., 1988;Simon et al., 1991;Simon and Dasgupta, 1993, 1995). This configuration has 82 been utilized to date without any major changes (Takeuchi et al., 2004;Li et al., 2017c;Gu et al., 2009;Song et al., 83 2009;Kim et al., 2015). A Measuring AeRosols and GAses (MARGA) system is similar to PPDS-IC instruments at the 84 point of measuring water-soluble trace gases as ionic species using a denuder. It can detect low concentrations and has 85 shown clear variation, as have other comparison methods; however, it has been required to show improved accuracy until 86 recently due to its artifacts (Makkonen et al., 2012;Stieger et al., 2018).

87 The introduction of spectroscopy technique has facilitated HONO measurement through instrumentation such
88 as Chemical Ionization Mass Spectrometry (CIMS) (Fortner et al., 2004;Roberts et al., 2010;Levy et al., 2014), Cavity



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90 Optical Absorption Spectrometry (DOAS) (Winer and Biermann, 1994;Febo et al., 1996;Hendrick et al., 2014;Kleffmann 91 et al., 2006;Stutz et al., 2010;Garcia-Nieto et al., 2018;Perner and Platt, 1979), and Photo Fragmentation with Laser 92 Induced Fluorescence (PF-LIF) (Liao et al., 2006). All these methods have their own advantages and limitation. For 93 example, CRDS has the advantage of short time resolution, but has a relatively high detection limit (Wang and Zhang, 94 2000). PF-LIF has the strength to measure low concentrations and has a short time resolution, but shows high uncertainty 95 compared with other methods. Recently, Long Path Absorption Photometer (LOPAP) that is specialized to detecting high 96 HONO concentrations, has been employed for field measurements and chamber studies (Heland et al., 2001;Kleffmann 97 et al., 2006;Rohrer et al., 2005). 98 In addition, improved open-path spectroscopy, using Quantum Cascade Tunable Diode Laser Absorption 99 Spectroscopy (QC-TDLAS) has been applied in HONO measurements (Lee et al., 2011;Cui et al., 2018b). It is more 100 stable at room temperature without cooling, and easy to calibrate compared with using normal diode lasers. The inter-101 comparison study of HONO instruments conducted in polluted condition demonstrated that there was general agreement 102 among all techniques and of these, TIDLAS instrument was used as a basis for pairwise comparison (Pinto et al., 2014). 103 The HONO formation mechanism is still not fully elucidated, albeit the progress in HONO measurement 104 techniques. There have been several suggestions for HONO formation reactions during the nighttime, but most models 105 have failed to accurately simulate HONO concentrations because various homogeneous and heterogeneous reactions are 106 involved in the production of HONO under certain conditions (Su et al., 2011;Sun et al., 2001;Aumont et al., 2003;Kim 107 et al., 2015;Su et al., 2008;Fu et al., 2019;Zhang et al., 2019a). 108 109  $NO + OH \rightarrow HONO$ (R2) 110  $NO_2 + H_2O \rightarrow HONO + OH$ (R3) 111  $NO_2 + ortho, para-nitrophenols \rightarrow HONO$ (R4) 112  $2NO_2 + H_2O + NH_3 \rightarrow HONO + NH_4NO_3$ (R5) 113  $2NO_2 + H_2O \rightarrow HONO + HNO_3$ (R6)

Ring Down Spectrometry (CRDS) (O'Keefe and Deacon, 1988; Scherer et al., 1997; Wheeler et al., 1998), Differential

- 114 $NO_2 + NO + H_2O \rightarrow 2HONO$ (R7)115 $NO + HNO_3 \rightarrow HONO + NO_2$ (R8)116 $NO_2 + Soot \rightarrow HONO$ (R9)117 $NO_2 + VOCs \rightarrow HONO$ (R10)
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119 The reaction (R2) is well known as a HONO source in high NO and OH environments. This homogeneous 120 reaction is a major process for HONO production, but it is not sufficient to explain the HONO concentration in low OH 121 environments, especially during the nighttime (Kurtenbach et al., 2001). Therefore, other reactions (R3, R4, R5) were 122 suggested (Bejan et al., 2006;Li et al., 2008;Zhang and Tao, 2010;Barsotti et al., 2017), but the estimated HONO budget 123 still shows great uncertainty (Liu et al., 2019a). As a result, several studies suggested the possibility of HONO being 124 formed by heterogeneous reactions occurring on surfaces. Several laboratory and outdoor studies considered aqueous and 125 dry surfaces as reaction catalysts (Bari et al., 2003;Finlayson-Pitts et al., 2003;Wang et al., 2017;Spataro et al., 2017), 126 including aerosol (Hendrick et al., 2014;Tong et al., 2016;Bernard et al., 2016;Wang et al., 2016;Lu et al., 2018), black 127 carbon (Liang et al., 2017), and humic acid (Han et al., 2017; Yang et al., 2018) (R6~R10). Moreover, the surface includes





128 not only atmospheric particles but also ground soils, with the report of direct soil emission (Meusel et al., 2018;Bhattarai 129 et al., 2018). These heterogeneous conversions and emissions from soil are controlled by several conditions such as pH, 130 moisture, and microbials and mainly affect daytime HONO concentrations (Ermel et al., 2018; Wu et al., 2019). For these 131 reactions, the measurement of active surface area hinders the accurate estimation of HONO formation (Romer et al., 132 2016). Recently, the photochemical reaction involving a nitrate series (HNO<sub>3</sub>, NO<sub>3</sub>) was considered as a major daytime 133 HONO source (Han et al., 2017;Li et al., 2018;Ye et al., 2017;Tsai et al., 2018;Cui et al., 2019). The HONO production 134 reaction is still controversial due to a wide variety of environments involving NOx, RH, and surfaces and incomplete 135 understanding of multi-phase reaction (Bao et al., 2018;Zhang et al., 2019c;Wen et al., 2019;Zhang et al., 2019b). In this 136 context, new approaches are needed to elucidate the HONO formation mechanism.

137 In this study, we conducted a measurement and modeling at Olympic Park in Seoul for two purposes: To figure 138 out the photochemical processes responsible for high O<sub>3</sub>, with an emphasis on HONO that contributes the early morning 139 OH budget, and to enhance the understanding of HONO formation mechanisms by evaluating the influence of key factors 140 on HONO variation. To achieve these objectives, we used a 0-dimensional photochemical model and newly introduced 141 the Artificial Neuron Network (ANN) method.

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#### 143 **2 METHODOLOGY** 144

- 145 2.1 Measurement
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147During the KORUS-AQ campaign, ground measurements were conducted at Olympic Park (37.57°, 127.14°)148in Seoul to get a comprehensive view of air quality (Figure 1). Olympic Park is 145 ha of natural green area located149southeast of the city center. The Han river flows northeast of the park, along which the Olympic Expressway extends. The150details about measurement will be found in Kim et al., 2019.

HONO was measured by the three institutions using the two techniques: Parallel Plate Diffusion Scrubber coupled with Ion Chromatography (IC) system by Yonsei University and Korea University and Tunable infrared-laser differential absorption spectrometer (TILDAS) with applied quantum cascade (QC) laser by Hankuk University for Foreign Languages. All three measurements showed a reasonable correlation of  $r = 0.75 \sim 0.84$ . Because optical measurement is free of sampling artifact with high time resolution (Pinto et al., 2014), HONO measurements by the QC-TILDAS were used for further analysis in the present study.

157 QC-TILDAS was developed by Aerodyne Research Incorporation and is suitable for measuring highly reactive 158 trace gases, especially in the mid-infrared region, because of its high sensitivity, short response time (1 to 10 Hz), and 159 theoretically low detection limit (~0.1 ppb). It determines the mixing ratio of the target trace gas by monitoring its 160 molecular absorption at a certain wavenumber. In this study, we used 1276 cm<sup>-1</sup> for measuring HONO. HONO data were 161 collected every 1 seconds, and averaged hourly for assimilation with other measurement data. The absorption was then 162 compared with the theoretical spectrum of the HIgh-resolution TRANsmission (HITRAN) database to calculate the 163 mixing ratio of the trace gases. The Tunable Diode Laser Wintel data acquisition program (TDL Wintel) installed in the 164 instrument is designed to perform a frequency scan and acquire the resulting absorption spectrum, and then to analyze the 165 spectrum obtained by the instrument. Dry N2 gas was injected every 5 minutes to clear out the Multi-Pass Cell (MPC) 166 and stabilize the baseline.

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QC-TILDAS requires the management of physical conditions such as the pressure in the absorption chamber,





168 the temperature of the laser, and the temperature of the detector in order to keep the resolution spectrum stable. Large 169 noise levels were observed in the preliminary measurement data of QC-TILDAS probably due to the difficulty to manage 170 these physical conditions, especially due to the instability of the laser temperature. For this reason, the Kalman filter, 171 which is generally used for estimating and analyzing data from environment with large noise levels, was applied to 172 minimize the noise levels. First, assuming that the spectrum obtained by the non-negative least square method was the 173 reference spectrum, the Kalman filter was applied and then the HONO concentration was sequentially calculated by the 174 non-negative least square method again. All of these calculations were implemented in Python 175 Equivalent Black Carbon (eBC) was measured using Multi-Angle Absorption Photometer (MAAP, Thermo.

Inc) by Korea University of Technology and Education. Particle number concentration was measured using Scanning Mobility Particle Sizer (SMPS, TSI. Inc) by National Institute of Environmental Research (NIER). Along with, were measured reactive trace gases including O<sub>3</sub>, NO<sub>x</sub>, CO, VOCs, and HCHO, PM<sub>2.5</sub> mass, and meteorological parameters including temperature, relative humidity (RH), and wind speed (Kim et al., 2019), and mixing layer height (MLH, (Lee et al., 2019). Measurement periods started from 17<sup>th</sup> May to 10<sup>th</sup> June 2016, and all data were assimilated as 1 hour averages.

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183 2.2 Model configuration184

185 2.2.1 Framework for 0-D Atmospheric Modeling (F0AM)
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187 Framework for 0-D Atmospheric Modeling (F0AM) was developed by (Wolfe et al., 2016), which was 188 advanced version from the 1-D Chemistry of Atmosphere-Forest Exchange (CAFE). Its prior objective is to simulate the 189 chemical and physical process within the forest canopy, but it is also capable of tracing the change in chemical pollutants 190 in other environments. Due to the intrinsic nature of the model, it may overestimate the influence of the vegetation, but it 191 is suitable for this study because of the campaign period, which are most affected by the biogenic emissions. FOAM is 192 written in MATLAB and provides the option to choose the one of chemical reactions based on Master Chemical 193 Mechanism (MCM), Carbon Bond Mechanism (CB05), Regional Atmospheric Chemistry Mechanism (RACM), and 194 Goddard Earth Observing System - Chemical (GEOS-Chem) mechanism. In this study, we utilized MCMv3.3.1 with the 195 measured chemical and meteorological data sets which were hourly averaged. The dilution factor (kdil) was adjusted and 196 a sensitivity test was conducted by excluding each factor. Finally, we quantified the impact of HONO on OH formation 197 and daily maximum O<sub>3</sub> concentration. The detailed conditions and results are discussed in session 3.3.

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#### 199 2.2.2 Artificial Neural Network (ANN)

200

201 The Artificial Neuron Network (ANN) was developed in computer science in the 1940s, but it has only been 202 recent years that successful applications could be possible because of the limitation of computational performance and 203 algorithm problems. Among various disciplines, ANN was integrated into atmospheric sciences to predict the movement 204 of airmass, meteorological change, and pollutant concentration variations (Shrivastava et al., 2012;Ong et al., 2016;Li et 205 al., 2017a;Li et al., 2017b;Nieto et al., 2018;Franceschi et al., 2018;Gardner and Dorling, 1998). ANN applied not only 206 in atmospheric science, but also in quantum chemistry for calculating energy state of HONO (Pradhan and Brown, 2017). 207 In comparison, there is little attempts to understand how the input data are related to output results in ANN, because it is 208 difficult to evaluate the weight of each neural network nodes. Therefore, in this study, we applied the ANN for the first





209 time to estimate the impact of each input factor to output HONO concentrations and approximately evaluate the weight 210 of each input species. 211 To easily construct the ANN model, we utilized powerful 'neuralnet' packages in R (Riedmiller and Rprop, 212 1994: Anastasiadis et al., 2005). Description for ANN models are written in references, and we changed the stepmax from 213 10<sup>5</sup> to 10<sup>8</sup> and repetitions from 1 to 3 for making calculation be possible and more accurate. Also, we fix the random 214 number sets using function offered from R for the repeatability of ANN model. To get the integrity of data, we selected 215 the data set from which all input factors are usable. Because the range of the measurement data set is largely different, all 216 data are normalized  $(x_{nor})$  to the range of HONO using Eq. (2), application form of Eq. (1): 217 x-minimum(X)218  $x_{nor} = \frac{1}{maximum(X) - minimum(X)}$ (1) 219 220  $x_{nor}$  is the normalized value for X using 'min-max scaling' (Mohamad and Usman, 2013;Patel and Mehta, 221 2011). Then,  $x_{nor}$  was adjusted to the HONO concentration scale following Eq. (2): 222  $x_{nor,HONO} = \frac{x - minimum(X)}{maximum(X) - minimum(X)} \times (maximum(HONO) - minimum(HONO)) + minimum(HONO) (2)$ 223 224 225 In a fully-connected artificial neural network (FC-ANN) which has only 1 hidden layer, the output (y) is 226 calculated by the Eq. (3) (Figure 2). 227  $y = \phi(h_{1,0}w_0^{out} + h_{1,1}w_1^{out} + h_{1,2}w_2^{out} + \dots + h_{1,j}w_i^{out}) = \phi(\sum_{m=0}^j h_{1,m}w_m^{out})$ 228 (3) 229 The  $h_{1,m}$  indicates the value of  $m^{th}$  node in 1<sup>st</sup> hidden layer, and the  $w_m^{out}$  indicates the weight of  $m^{th}$  node 230 231 in a 1<sup>st</sup> hidden layer to the output. The terms expressed using 0  $(h_{1,0}, \text{ and } w_0^{out})$  come from 'bias' terms, which were 232 represented as '+1' in the calculation. As similar as the propagation method from 1st hidden layer to output, each node 233 value in a 1st hidden layer can be shown as the result of the activation function which includes the sum of the multiple of 234 input layer variables (x) and weight (w) following Eq. (4): 235  $h_{1,m} = \phi\left(x_0 w_0^{h_{1,m}} + x_1 w_1^{h_{1,m}} + x_2 w_2^{h_{1,m}} + \dots + x_i w_i^{h_{1,m}}\right) = \phi\left(\sum_{n=0}^i x_n w_n^{h_{1,m}}\right), \text{ where } 1 \le m \le j$ 236 (4) 237 238 In general, the results of the activation function are represented as  $\phi(z)$ , where z is the sum of multiple input (x, or h) and weights (w). Therefore, z can be written as  $\sum_{m=0}^{j} h_m w_m^{out}$  or  $\sum_{n=0}^{i} x_n w_n^{h_{1,m}}$ , meaning that we can compare 239 the weight of each variable such as  $w_m^{out}$  or  $w_n^{h_{1,m}}$  because they are linearly coupled, and the result z is proportional to 240 the  $\phi(z)$ . However, there was a little issue for using the weight directly because the range and sign are different in each 241 weight. Therefore, we employed the softmax function, softmax  $(w_p) = \frac{e^{w_p}}{\sum_{k=1}^{l} e^{w_k}}$ , where  $1 \le p \le l$ . It is widely used for 242 243 measuring the portion of each variable p, due to its advantage that makes all variables in the range between 0 and 1. By 244 applying this function, we can compare the weight of each variable as Eq. (5) and Eq. (6): 245





246	weight of $h_{1,j}$ in hidden layer to y in output $(P_y^{h_{1,j}}) = \frac{e^{w_j^{out}}}{\sum_{m=0}^{j} e^{w_m^{out}}}$ ,	(5)			
247	weight of $x_i$ in input layer to $h_{1,j}$ in hidden layer $(P_{h_{1,j}}^{x_i}) = \frac{e^{w_i^{h_{1,j}}}}{\sum_{n=0}^i e^{w_n^{h_{1,j}}}}$	(6)			
248					
249	As a result, we can estimate the influence of $x_i$ to the final result (y) using Eq. (7):				
250	weight of $x_i$ in input layer to y in output = $100(\%) \times \sum_{m=1}^{j} (P_{h_m}^{x_i} \times P_y^{h_m})$ ,	(7)			
251					
252 253	3 RESULTS AND DISCUSSION				
254 255	3.1 Characteristic variation of HONO				
256	During the measurement, the average HONO concentration was 0.93 ppbv in the range of 0.07~3.	46 ppbv, and			
257	the average $O_3$ concentration was 40.6 ppbv in the range of 0.8~127.8 ppbv (Figure 3). When compared to	the previous			
258	measurement study, the HONO concentration in this study was lower than other urban sites (0.44~2.80 ppbv) (Table 1),				
259	but it is obviously higher than suburban (0.28~0.66 ppbv) or rural (0.16~0.65 ppbv) sites.				
260	In the entire experiment, there were 14 days when O3 concentration exceeded 90 ppbv, close to the	ne 95 %ile of			
261	O3 concentration (91.5 ppbv), which corresponds to the 'Unhealthy for sensitive groups' level of the Comprehensive Air-				
262	quality Index (CAI). Thus, 17, 18, 19, 20, 22, 23, 25, 29, and 30 May, and 2, 5, 7, 9, and 10 June were categorized as				
263	'high O3 episodes', and the other 11 days were categorized as 'non-episodes'. O3 and HONO concentrations were higher				
264	in high O3 episodes than non-episodes: average O3 and HONO concentrations were 41.0 and 1.05 ppbv in high O3 episodes,				
265	and 40.1 and 0.81 ppbv in non-episodes, respectively. Especially, these differences were evident for the 95 %ile				
266	concentration of $O_3$ and HONO, which were 94.7 and 2.58 ppbv during high $O_3$ episodes, and 79.7 and 1	.91 ppbv for			
267	non-episodes, respectively.				
268	These High O3 periods were distinguished by synoptic meteorological conditions: stagnant or trans-	nsport period			
269	in May and blocking period in June (Miyazaki et al., 2019). While the distribution of major chemical specie	s was greatly			
270	affected by the local or synoptic circulation of the atmosphere in May, the air mass was relatively homogene	ous and aged			
271	under domestic influence in June (Kim et al., 2019). It resulted in noticeable difference in chemical and m	eteorological			
272	characteristics between May and June. For example, the daytime temperature was higher by 2.2 °C in May the	nan June, and			
273	the average CO concentration was higher by 178 ppbv in May than June. The $NO_x$ and VOCs concentration	ons were also			
274	higher in May than June. The mean NO and NO2 concentrations were 11.1and 30.1 ppbv in May and 6.9 at	nd 25.6 ppbv			
275	in June, respectively, leading to higher NO2/NO in June than May. The sum of Benzene, Toluene, Ethylber	nzene, and o-			
276	Xylene (BTEX) was 52.5 ppbC in May and 43.6 ppbC in June, on average. At lower precursor levels,	however, the			
277	daytime concentrations of HCHO and PAN were slightly higher in June than May. Likewise, the 95	%ile HONO			
278	concentration at night was higher in June (2.41 ppbv) than May (2.39 ppbv). This result demonstrates that	HONO was			
279	intimately coupled with photochemical oxidation process during the KORUS-AQ campaign.				
280	In general, HONO and O <sub>3</sub> showed an inverse correlation (Figure 4). In the present study, the overa	ll correlation			

280In general, HONO and  $O_3$  showed an inverse correlation (Figure 4). In the present study, the overall correlation281between the two species was good ( $r^2 = 0.41$ ). Interestingly, the nighttime HONO was higher during the high  $O_3$  episodes282than other days. Comparing with the high  $O_3$  episodes and non-episodes, average nighttime HONO concentrations ( $00 \sim 05$ 283LST) was 1.82 ppbv in high  $O_3$  episodes and 1.20 ppbv in non-episodes. BTEX concentration was higher at nighttime





than daytime on both high  $O_3$  and non-episodes. In comparison, HCHO showed a clear peak in the morning and afternoon, corresponding to the maximum concentration of  $NO_x$  and  $O_3$ , respectively. It is likely that HONO was photolyzed in the early morning, and the OH produced by HONO photolysis oxidized VOCs that was accumulated at night, generating HCHO. Consequently,  $O_3$  was formed as a final product, which will be discussed in terms of HONO-VOCs- $O_3$  chain in section 3.2.

289 NO<sub>x</sub> showed a typical diurnal variation in urban areas with a rush hour peak at 8 am and started to increase 290 again at 4 pm shortly after O<sub>3</sub> reached its maximum. In particular, NO<sub>2</sub> remained high during the night, implicitly 291 indicating the possibility of NO2-HONO interaction at high RH environment if high HONO was observed. While HONO 292 was positively correlated with NO<sub>x</sub>, the correlation was better for NO<sub>2</sub> ( $r^2 = 0.39$ ) than NO ( $r^2 = 0.22$ ). HONO is also well 293 correlated with CO due to the common in diurnal variation with higher concentration at nighttime than daytime, in 294 accordance with the change in MLH. PM2.5 and eBC showed an inversed pattern in their diurnal variation, albeit not clear. 295 It implies that there was contribution from secondary formation to PM2.5, in addition to the influence of local emission, 296 airmass change, and transport.

297 HONO concentration increased with the increase of RH up to 80 %, where the maximum HONO was observed 298 (Figure 5). When RH was over 80 %, however, HONO concentration decreased. This type of RH dependency has been 299 reported in previous studies, and several hypotheses were suggested (Cui et al., 2018a; Huang et al., 2017; Li et al., 2012). 300 Figure 5 also illustrates that the highest HONO was associated with the top 10 % of NOx in all RH ranges except for those 301 with RH > 80 %. In Korea, RH = 80 % is the criteria that distinguishes haze and mist. Thus, it can be said that the 302 conversion of HONO from NOx was most efficient under haze conditions. Under high RH, the active surfaces available 303 for HONO formation would have been scavenged into mist particles. These results are convincing evidence for the active 304 role of NO<sub>x</sub> and aerosol surface in the formation of HONO. The detailed homogeneous-heterogeneous HONO formation 305 mechanism will be further discussed in section 3.3.

306

# 307 3.2 O<sub>3</sub> formation through HONO-HO<sub>x</sub>-VOCs mechanism under sunlight 308

As we stated in a previous chapter, HONO concentration was higher during the high  $O_3$  episodes than nonepisodes, and it was highlighted at nighttime (Figure 6). It is already known that HONO affects the daily OH budget, so we compared the OH concentration produced from HONO photolysis (R1) between high  $O_3$  episodes and non-episodes. In previous studies, the steady-state HONO mixing ratio ([HONO]<sub>ss</sub>) was calculated using the following Eq. (8) with concentrations of NO ([NO]) and OH ([OH]), a photolysis rate constant of R1 ( $J_{HONO}$ ), and reaction rate constant of R2 ( $k_2$ ) and R11 ( $k_3$ ) (Kleffmann, 2007;Wong et al., 2012).

315

316	$HONO + OH \rightarrow NO + H_2O$	(R11)
317	$[\text{HONO}]_{ss} = \frac{k_2[NO][OH]}{ HONO+k_3[OH]},$	(8)

318

In this study, the OH mixing ratio was estimated using the measured HONO concentration, assuming that OH is produced only from HONO. The photolysis rate constant of HONO ( $J_{HONO}$ ) was calculated using Eq. (9), which was developed by (Hayman, 1997), complemented by (Jenkin et al., 1997;Saunders et al., 2003), and incorporated in MCM photolysis calculations (Wolfe et al., 2016).





323	
324	$J = l\left(\cos(SZA)\right)^m exp(-n\sin(SZA)),\tag{9}$
325	
326	The constant l, m, and n are 0.002644, 0.261, and -0.288, respectively. The calculated $J_{HONO}$ is in the range
327	between $0.6 \times 10^{-4}$ s <sup>-1</sup> and $0.2 \times 10^{-2}$ s <sup>-1</sup> with an average of $0.1 \times 10^{-2}$ s <sup>-1</sup> , which is close to those of other measurement
328	references (Wong et al., 2012;Li et al., 2012). Finally, OH mixing ratio was calculated from Eq. (10):
329	
330	$[OH] = J_{HONO}([HONO]_{t1} - [HONO]_{t2}), $ (10)
331	
332	The estimated OH produced by HONO was significantly different between high O3 episodes and non-episodes.
333	While the averaged OH concentration showed little difference in the afternoon (12:00~18:00 LST) between high O <sub>3</sub>
334	episode (0.24±0.18 pptv) and non-episode (0.22±0.15 pptv), the OH concentration of the early morning (5:00~11:00 LST)
335	was noticeably higher in high O3 episode (0.41±0.25 pptv) than non-episode (0.27±0.14 pptv). The integrated OH
336	concentration produced by HONO photolysis was also higher in high O <sub>3</sub> episodes ( $\int_{early morning} OH dt = 2.87$ pptv,
337	$\int_{afternoon} OH dt = 1.66 \text{ pptv}$ ) than non-episode ( $\int_{early morning} OH dt = 1.92 \text{ pptv}$ , $\int_{afternoon} OH dt = 1.56 \text{ pptv}$ ). This
338	simple estimation and comparison highlight the role of HONO in OH production.
339	In addition, F0AM was run with our measurements of HONO, BTEX, and HCHO for the period of June, to
340	quantitatively understand the role of HONO in HONO-HO <sub>x</sub> -O <sub>3</sub> chain. In June, there were 5 days of high $O_3$ episodes and
341	details regarding measurements are stated in chapter 3.1. First, we adjusted the model configuration so that it properly
342	simulated the measured O <sub>3</sub> maximum and diurnal variation, which is a control run (S1) (Figure 7). Then, the model was
343	run with the three scenarios for comparison (Table 2). Without BTEX (S2), the maximum O <sub>3</sub> was decreased by 33.7 ppbv.
344	If HCHO as well as BTEX was not included (S3), the maximum O <sub>3</sub> concentration was lowered as large as 65.8 ppbv. The
345	S4 scenario without HONO reduced O <sub>3</sub> by 50.3 ppbv and shifted the maximum to morning. The result of sensitivity test
346	demonstrates the significant role of HONO in diurnal photochemical cycle.
347	For control scenario (S1), we estimated the contribution of HONO to HO <sub>x</sub> and O <sub>3</sub> concentration by comparing
348	high O <sub>3</sub> episodes with non-episodes in June (Figure 8). The nighttime HONO concentration was higher by 0.04~0.7 ppbv
349	in high O <sub>3</sub> episode than non-episode, promoting the production of HO <sub>x</sub> radicals: 0.1~0.2 pptv of OH in early morning and
350	late afternoon and 4.7~15.8 pptv of HO2 in the late afternoon. Consequently, it resulted in increase in maximum O3 by
351	about 20 ppbv. The results of F0AM model calculation confirm the role of HONO in HOx cycle in such that the photolysis
352	of HONO produces OH radical in the early morning, initiating the photochemical reaction involving VOCs and NOx and
353	facilitating the formation of O <sub>3</sub> .
354	
355 356	3.3 Insight into HONO formation mechanism
357	Despite the importance of HONO in photochemistry, detailed HONO formation mechanism is still not clear. It
358	has been proposed that NO, NO2, and H2O are intimately linked in HONO formation through physical mechanism as well
359	as chemical reactions. However, it is still difficult to quantitatively determine the contribution of each precursor to HONO
360	formation. Among all reactions, we examined the role of NO, NO2 and RH in HONO formation through R3, R6, and R7.

361 Their relative importance was estimated simply by correlating HONO concentration with the product of all reactant





concentration as a surrogate of production rate. For all three reactions, the surrogate was positively correlated with HONO,
 in which the low and high HONO was associated with low (~60 %) and high (60~90 %) RH, respectively. It suggests RH
 is critical parameter determining HONO concentration under high NO<sub>x</sub> environment like Seoul.

365 Because HONO concentration stayed high at night from 0 am to 5 am (LST), the HONO formation mechanism 366 was investigated under this time zone, considering HONO photolysis, SZA, and MLH. In addition, we distinguished the 367 direct HONO emission ([HONO]<sub>emission</sub>) from the chemical HONO formation ([HONO]<sub>formation</sub>). The direct emission of 368 HONO from the tailpipe of vehicle was estimated as about 0.65 % of total NOx concentration (Kurtenbach et al., 2001;Liu 369 et al., 2017), which is commonly used in recent studies (Qin et al., 2009; Tong et al., 2015; Cui et al., 2018a). In the present 370 study, [HONO]<sub>emission</sub> is in the range from 0.02 to 0.53 ppbv with a mean of 0.17 ppbv. [HONO]<sub>formation</sub> is calculated by 371 subtracting [HONO]emission from measured HONO concentrations. Using this [HONO]formation, we calculated the conversion ratio of NO<sub>x</sub> (C<sub>HONO,NOx</sub>) to HONO from the following Eq. (11), which was application of suggested equation 372 373 in previous studies (Alicke et al., 2002; Alicke et al., 2003; Su et al., 2008; Hou et al., 2016).

375 
$$C_{HONO,NO_x} = \frac{[HONO]_{cor,t2} - [HONO]_{cor,t1}}{(t_2 - t_1) \times [NO_x]}$$
 (11)

376

377 It assumes that all HONO was only converted by NO and NO2 in the nighttime. The average NOx to HONO 378 conversion ratio is  $0.86 \times 10^{-2}$  h<sup>-1</sup>. It is similar to the NO<sub>2</sub> conversion ratio of Shanghai (Cui et al., 2018a), and is in the 379 range of urban and rural areas which were reported in previous studies (Li et al., 2012;Xu et al., 2015;Huang et al., 380 2017; Wang et al., 2015). As expected, the conversion ratio was increased along with the increase of RH until 80 %, as 381  $1.06 \times 10^{-2}$  h<sup>-1</sup> (Figure 9.a). However, the conversion ratio decreased when RH was over 80 %, like the HONO 382 concentration. This phenomenon already reported, and Wojtal et al., suggested that it was caused by the surface loss or 383 particle loss in marine boundary layers, which is in accordance with what we found in the present study discussed in 384 section 3.1 (Liu et al., 2019b; Wojtal et al., 2011). Therefore, the observed HONO is likely to be formed through 385 heterogeneous conversion which is mainly controlled by factors like NO<sub>x</sub>, RH, and surface area.

386 In previous study, BC particles were suggested to serve as catalyst for heterogeneous reactions by providing 387 active sites for H<sub>2</sub>O and gaseous species owing to its complex microstructure (Zhang et al., 2008). The mass concentration 388 of eBC is available in this study and showed similar variation with C<sub>HONO,NO<sub>x</sub></sub> against RH (Figure. 9.b). In urban areas, 389 the count median diameter (CMD) of fresh BC particle is typically found between 50 nm and 80 nm, and the range of 390 CMD is broadened approximately 30 to 120 nm when air mass is affected by a plume from aircraft engine exhaust or 391 wildfire (Petzold et al., 2005; Reddington et al., 2013). Based on number-size distributions obtained from the SMPS measurement, the dry surface area was estimated, assuming that particles with a diameter of 30 nm to 121.9 nm likely 392 393 represent BC. Similarly to eBC and  $C_{HONO,NO_{*}}$ , the calculated surface area reached the maximum around RH = 80 % 394 (Figure 9.d). In comparison, PM2.5 mass concentration was linearly increased with RH (Figure 9.c). In addition, there was 395 no consistent relationship between the total surface areas of particles smaller than 500 nm measured by SMPS and 396  $C_{HONO,NO_x}$ , either.

Finally, we employed an Artificial Neural Network (ANN) method to test sensitivity and quantify the contribution of NO<sub>x</sub>, H<sub>2</sub>O, and surface area on HONO formation (Figure 2). For model training and validating, we used measurements of NO, NO<sub>2</sub>, temperature (°C), RH (%), surface area of the 30~121 nm diameter range, wind speed, MLH, and SZA.





401 To optimized node numbers in the hidden layer, we constructed the ANN from 8 to 20 of nodes which were in 402 the proper range of other references (Qiu et al., 2018). In addition, the k-fold cross validation method was applied for 403 more appropriate approach, using the number of k = 7. The performance of model was evaluated by the correlation 404 coefficient between the observed HONO (HONOobs) and modeled HONO (HONOmod). First, the measurement data was 405 divided into seven sets, of which the six subsets were used to train the ANN and the left one was to validate the result of 406 ANN. This process was repeated for all combinations of seven subsets with varying the number of nodes from 8 to 20 407 and iteration. Lastly, the correlation coefficient was averaged and the node number shown the highest coefficient was 408 selected. Consequently, the seven time of repeated training with 11 nodes resulted in the best correlation coefficient (r = 409 0.74). For the training sets with 11 nodes, the 6<sup>th</sup> iteration gave the highest correlation coefficient (r = 0.85).

410 In this configuration, ANN was employed to test the sensitivity of eight selected variables to HONO 411 concentration. As shown in Figure 10, the total weight of 8 variables was 61.1%. Of these, the weight of four variables 412 including NO, NO<sub>2</sub>, RH, and surface area was substantial (> 10 %), in which the weight of NO<sub>x</sub> (26.2 %) was evidently 413 higher than that of surface area (11.9 %) or RH (10.6 %). The weight of other meteorology-related variables such as SZA 414 or MLH was relatively low, compare to those of chemical variables. It is probably because they are tightly coupled with 415 day-night cycle. The ANN model result is a convincing evidence for the heterogeneous formation of HONO from  $NO_x$  in 416 urban atmosphere, albeit not fully explained by eight variables. There could be other sources than the three reactions 417 considered in this study such as soil emission or removal processes. Nonetheless, this study clearly demonstrates that the 418 ANN model realistically simulates the ambient HONO concentration using the measured variables and highlights that 419 NO<sub>x</sub>, surface area, and RH are key factors for HONO formation in Seoul during the early summer.

420

#### 421 4 CONCLUSIONS

422 423 To identi

423 To identify key mechanisms for high levels of O<sub>3</sub> and PM<sub>2.5</sub> in Seoul Metropolitan Areas (SMA) and to get solid 424 evidences for implementing policies, the KORUS-AQ (Korea-US Air Quality Study) campaign was conducted during 425 May ~ June 2016. As part of it, O<sub>3</sub> and trace gases including HONO were measured at Olympic Park in Seoul, a key 426 ground site.

427 HONO was measured using Quantum Cascade Tunable Diode Laser Absorption Spectroscopy (QC-TILDAS) at 428 1276 cm<sup>-1</sup> every 1 second, which was averaged for 1 h for subsequent analysis. The theoretical detection limit was better 429 than 0.1 ppbv. For the entire experiment, the HONO concentration ranged from 0.07 ppbv to 3.46 ppbv with the mean of 430 0.93 ppby. HONO showed a typical diurnal cycle with the maximum at 4 am, and remained low but above the detection 431 limit during the day. The daily maximum concentration of HONO was different between the high-O3 episodes and non-432 episode. The high-O<sub>3</sub> episodes were selected for a total of 14 days based on the daily maximum O<sub>3</sub> of 90 ppbv (Kim et al., 2019). The 95 %tile concentrations of O3 and HONO were much higher in high O3 episodes (94.7 ppbv and 2.58 ppbv) 433 434 than in non-episodes (79.1 ppbv and 1.91 ppbv). Similarly, the concentrations of NO<sub>x</sub>, VOCs, and HCHO were higher in 435 high-O<sub>3</sub> periods than non-episodes. It implies that HONO is closely linked to the photochemical oxidation process that 436 produces O<sub>3</sub>.

437 When OH concentration was calculated using  $J_{HONO}$  assuming that OH production from HONO photolysis is 438 the only OH source, it was about 50 % higher in the early morning (5~11 am) during high-O<sub>3</sub> episodes (2.87 pptv) 439 compared to non-episodes (1.92 pptv). In addition, the photochemical F0AM model was utilized to simulate diurnal 440 photochemical cycle of HO<sub>x</sub> radicals and O<sub>3</sub> using the measurements of HONO and VOCs in June. The nighttime HONO





concentration of 0.3 ppbv that is the difference between the high-O<sub>3</sub> episodes and non-episode lead to the enhancement
 of OH and HO<sub>2</sub> concentrations in the morning and afternoon, respectively, thereby increasing the maximum O<sub>3</sub>
 concentration by 20 ppbv.

444 The HONO concentration was increased with RH until 80 %, which was evident under high NOx condition (top 445 10 %). It implies that NO<sub>x</sub> is converted to HONO and this process is facilitated by humidity. The conversion ratio of NO<sub>x</sub> 446 to HONO was estimated from the measured NO<sub>x</sub> and HONO concentrations and the average ratio was  $0.86 \times 10^{-2}$  h<sup>-1</sup> at 447 night from 0 am to 5 am. The conversion ratio was highest at RH of 70~80 %. Similarly, the surface area of particles 448 between 30 nm and 121.9 nm in diameter and the mass concentration of eBC showed a similar trend for RH, as a surrogate 449 for aerosol surfaces where HONO formation can be catalyzed. Based on this empirical evidence, HONO concentrations 450 were successfully simulated in an Artificial Neural Network model with the eight measurement variables. Of these, NO, 451 NO<sub>2</sub>, RH, and surface area were found to be the main factors that have the greatest impact on ambient HONO 452 concentrations. For the best suite of the ANN calculation and the measurement (r = 0.85), the weight values of NO<sub>x</sub>, RH, 453 and surface area comprised 26.2 %, 10.6 %, and 11.9 %, respectively. This ANN model results demonstrate the 454 heterogeneous formation of HONO in SMA under high NO<sub>x</sub> condition. Consequently, ANN approach can be a useful 455 alternative to conventional model for investigating formation mechanisms of atmospheric constituents, which are not 456 unequivocally understood.

457

#### 458 5 AUTHOR CONTRIBUTION

459

All authors participated in ground measurements at Olympic Park in Seoul during the KORUS-AQ campaign.
J. Gil, J. Kim, M. Lee, G. Lee, D. Lee measured HONO with difference methods. In particular, TILDAS system was
run by J. Kim and G. Lee. J. An established the platform for ground measurement. J. Jung, S. Cho, J. Hong, J. Lee, and
R. Long made measurements of NO<sub>x</sub> and CO, VOCs, mixing layer height, eBC, and HCHO, respectively. J. Gil and M.
Lee were responsible for model simulations and writing the manuscript.

465

466

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468

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## 473 7 TABLES AND FIGURES

474



475

Figure 1. The map shows the location of Olympic Park as a key surface measurement site in Seoul, South Korea. (© Google Earth)







477

478 Figure 2. Structure of fully connected artificial neuron network (FC-ANN) model used in this study. In general ANN

479 expression, the equation of output is  $\mathbf{y} = \mathbf{\phi}(\sum xw + b)$ . In this study, we express the bias term 'b' as  $x_0w_0$ .







481 Figure 3. The variation of selected species measured at Olympic park during the KORUS-AQ campaign.







483 Figure 4. Diurnal variations of selected species measured at Olympic Park during the KORUS-AQ campaign.







485 Figure 5. Correlation between HONO and RH color-coded with NO<sub>x</sub> level for entire measurements.







486



488 NO during the high-O<sub>3</sub> episodes and non-episode for the entire experiment.







490 Figure 7. Diurnal variation of modeled O<sub>3</sub> in case of each scenario







491

492 Figure 8: Diurnal variation of observed HONO, and modeled OH, HO<sub>2</sub>, and O<sub>3</sub> using measurement data of high O<sub>3</sub>







494

Figure 9. Relationship between (a) conversion ratio of NOx to HONO (see the text), (b) eBC mass concentration, (c)
 PM<sub>2.5</sub> mass concentration, (d) surface area of particles between 30 to 120 nm diameter obtained from SMPS
 measurement against relative humidity (RH). Solid circle represents the mean, and the upper and lower bar
 stands for Q<sub>1</sub> - 1.5IQR, and Q<sub>3</sub> + 1.5IQR, respectively.

499







501

502 Figure 10. Weight percent of variables indicating their impact on HONO concentration in artificial neural network

503 (ANN) model.

504





506 Table 1. The summary of HONO measurements from previous studies.

Туре	Location	Period	Maen (ppbv)	Method*	Reference
Urban					
	Hebei, China	Jun	2.57	SC-IC	(Xue et al., 2019)
	Shanghai, China	May	2.31	LOPAP	(Cui et al., 2018a)
	Kensington, UK	Jul~Aug	1.05	LOPAP	(Lee et al., 2016)
	Shanghai, China	Oct	1.30	LOPAP	(Bernard et al., 2016)
	Xi'an, China	Jul~Aug	1.12	LOPAP	(Huang et al., 2017)
	Guangzhou, China	Jul	2.80	DOAS	
	Beijing, China	D	0 44 1 24	DOAGICAC	(Qin et al., 2009)
	(Suburban~Urban)	Dec	0.44~1.34	DOAS/GAC	
Suburban					
	Tungchung, HK	Summer	0.66	LOPAP	(Xu et al., 2015)
	Palaiseau, France	Jul	0.28	NitroMAC	(Michoud et al., 2014)
Rural					
	Wangdu, China	Jun~Jul	0.49	LOPAP	(Tan et al., 2018)
	Norfolk coast, UK	Jun~Jul	0.16	LOPAP	(Reed et al., 2016)
	Gyeong-Gi, South Korea	Jun	0.65	PPDS-IC	(Kim et al., 2015)
	Seoul, South Korea	Aug	1.23	LP-DOAS	(Lee et al., 2005)
	Seoul, South Korea	May~Jul	0.36	HEDS	(Song et al., 2009)
This study					
		May~Jun	0.93	QC-TILDAS#	

507

508 # HONO was measured using PPDS-IC technique and their mean concentrations were lower than that of TILDAS.

510

511 Table 2. F0AM model configuration and four scenarios used in the present study.

k <sub>dil</sub> : 21600 s <sup>-1</sup> (6 hr <sup>-1</sup> )	<b>S</b> 1	S2	S3	S4
HONO	0	0	0	Х
BTEX (only o-xylene)	0	Х	Х	0
НСНО	0	0	Х	0
$*O_{3_{mod}}/O_{3_{obs}}$	1.02	0.63	0.28	0.45

512 \* Daily maximum concentration.

<sup>509</sup> 





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