



1 Estimating vehicle carbon dioxide emissions from Boulder, Colorado using horizontal path-integrated  
2 column measurements

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9

10 Abstract

11 We performed seven and a half weeks of path-integrated concentration measurements of CO<sub>2</sub>, CH<sub>4</sub>,  
12 H<sub>2</sub>O, and HDO over the city of Boulder, Colorado. An open-path dual-comb spectrometer  
13 simultaneously measured time-resolved data across a reference path, located near the mountains to the  
14 west of the city, and across an over-city path that intersected two-thirds of the city, including two major  
15 commuter arteries. By comparing the measured concentrations over the two paths when the wind is  
16 primarily out of the west, we observe daytime CO<sub>2</sub> enhancements over the city. We then use a Gaussian  
17 plume model to estimate city emissions of on-road CO<sub>2</sub> as  $(6.9 \pm 1.8) \times 10^5$  metric tons (MT) CO<sub>2</sub>/year,  
18 compared to the city bottom-up greenhouse gas inventory for the on-road vehicle sector of  $4.5 \times 10^5$   
19 MT CO<sub>2</sub>/year. The two values nearly agree to within the quoted uncertainty, which does not include  
20 additional systematic uncertainty associated in the temporal and spatial scaling of the given  
21 measurements to annual city-wide emissions. Finally, we discuss experimental modifications that could  
22 lead to improved estimates.

23

24 1. Introduction

25 Measurements of greenhouse gases, especially CO<sub>2</sub> and CH<sub>4</sub>, are critical for monitoring,  
26 verification, and reporting as countries and cities work towards decreasing their carbon emissions.  
27 Measurements on the city-scale are critical because cities contribute to a large fraction of global  
28 emissions (Marcotullio et al., 2013; Seto et al., 2014). However, quantification of city greenhouse gas  
29 emissions is challenging, especially for CO<sub>2</sub> since it has a high background and numerous point and  
30 diffuse sources including traffic, power plants, and animal and plant respiration. Emissions of pollutants  
31 are typically determined using two methods: top-down measurements over a specific site or area, and  
32 bottom-up inventories that calculate emissions based on sector activity and sector emissions factors.  
33 Significant work has been done recently to compare and reconcile these two methods for CH<sub>4</sub> emissions  
34 from oil and natural gas sources, e.g. (Allen, 2014; Zavala-Araiza et al., 2015) and for CO<sub>2</sub> in Indianapolis  
35 (Gurney et al., 2017) as well as ongoing work in Los Angeles (e.g. (Verhulst et al., 2017)), Paris (e.g.  
36 (Staufner et al., 2016)), and other cities through the Megacities Carbon Project.

37 Quantification of CO<sub>2</sub> fluxes from cities has primarily been determined from eddy covariance  
38 flux measurements with a point sensor located on a tower in or near a city, e.g. (Nemitz et al., 2002;  
39 Velasco et al., 2005; Coutts et al., 2007; Bergeron and Strachan, 2011; Velasco et al., 2014). However,  
40 for a single sensor, the relatively small footprint of the eddy covariance flux measurements limits the  
41 utility of this technique for large cities.

42 To overcome this limitation, tower networks of point sensors can measure CO<sub>2</sub> at multiple sites  
43 within a city and at background sites outside the city, e.g. (McKain et al., 2012; Lauvaux et al., 2013;  
44 Bréon et al., 2015; Staufner et al., 2016; Lauvaux et al., 2016; Shusterman et al., 2016; Mueller et al.,  
45 2017). To distinguish the small enhancements compared to the large background, these networks often  
46 use expensive, high-precision cavity ringdown (CRDS) instruments resulting in a high cost. The BEACO<sub>2</sub>N  
47 network (Shusterman et al., 2016), on the other hand, has a much lower cost per sensor but requires



48 significant calibration for quantitative results. All of these methods use an inversion to determine the  
49 total emissions and thus rely heavily on well-known priors and high-resolution mesoscale atmospheric  
50 models.

51 More recently, several other approaches that do not rely as heavily on well-known priors have  
52 also been applied to city-scale emissions. Aircraft mass balance measurements (White et al., 1976;  
53 Ryerson et al., 2001) have been used to determine city emissions from Indianapolis (Mays et al., 2009;  
54 Heimburger et al., 2017) during the INFLUX campaign (Davis et al., 2017), following previous CH<sub>4</sub>  
55 emissions measurements from oil and gas fields (Karion et al., 2013, 2015). However, this method is  
56 costly and labor intensive, and therefore not suited to long-term continuous measurements. Column  
57 measurements from the Total Carbon Column Observation Network (TCCON) were used to calculate  
58 total South Coast Air Basin (SoCAB) CO and CH<sub>4</sub> emissions, but not CO<sub>2</sub> (Wunch et al., 2009). Satellite-  
59 based instruments have not yet quantified city CO<sub>2</sub> emissions, though OCO-2 may yield CO<sub>2</sub> emissions  
60 from megacities like Los Angeles, Riyadh, and the Pearl River Delta region (Ye et al., 2017), albeit with  
61 low temporal resolution with the current generation of satellites.

62 As an alternative to these approaches, horizontal, kilometer-scale, open-path instruments could  
63 in principle be used to determine CO<sub>2</sub> emissions from cities. Such instruments are capable of continuous  
64 measurements over a large area with a single instrument, e.g. (Wong et al., 2016; Dobler et al., 2017;  
65 Coburn et al., 2018). These sensors also have the advantage of being insensitive to small changes in local  
66 meteorology and are not subject to the same representation errors as point sensors (Ciais et al., 2010).  
67 Several such systems have been deployed. A laser absorption spectrometer system (GreenLITE) has  
68 mapped CO<sub>2</sub> concentrations over Paris, but has not yet been used to quantify emissions (Dobler et al.,  
69 2017). The California Laboratory of Atmospheric Remote Sensing Fourier Transform Spectrometer  
70 (CLARS-FTS) is a downward-looking slant column Fourier transform spectrometer (FTS) that scans across  
71 28 measurement targets in the Los Angeles Basin to measure CO<sub>2</sub>, CH<sub>4</sub>, and O<sub>2</sub>. Based on the measured  
72 CH<sub>4</sub>:CO<sub>2</sub> ratio and the bottom-up CO<sub>2</sub> inventory from California Air Resources Board, researchers have  
73 calculated the LA Basin CH<sub>4</sub> emissions (Wong et al., 2016), but not yet the CO<sub>2</sub> emissions.

74 Here we present the quantification of city CO<sub>2</sub> emissions using open-path measurements made  
75 with a dual frequency comb spectrometer. While dual-comb spectroscopy is a relatively new technique  
76 it has a unique set of attributes that make it attractive for open path measurements (Rieker et al., 2014;  
77 Coddington et al., 2016; Waxman et al., 2017; Coburn et al., 2018). Dual-comb spectroscopy (DCS) is a  
78 high-resolution, broadband technique spanning hundreds of wavenumbers, but with a resolution that  
79 exceeds even high-end FTIRs leading to a negligible instrument lineshape. This allows for simultaneous  
80 measurements of multiple species and path-integrated temperature without the need for instrument  
81 calibration. Additionally, the eye-safe, high-brightness, single transverse-mode output of a frequency  
82 comb allows for beam paths exceeding 10 km while the speed and parallelism of the measurement  
83 suppress any spectral distortion from the inevitable turbulence-induced power fluctuations over such a  
84 path. Agreement in retrieved concentrations of CO<sub>2</sub> and CH<sub>4</sub> between multiple DCS instruments  
85 measuring the same 1 km path has been shown to be as low as 0.14% for CO<sub>2</sub> and 0.35% for CH<sub>4</sub> over a 2  
86 week period (Waxman et al., 2017).

87 Fig. 1 shows the measurement layout for an initial campaign to quantify CO<sub>2</sub> emissions from  
88 Boulder, Colorado. Here we take the light from a dual comb spectrometer near the edge of the city and  
89 simultaneously measure two paths: a reference path that points west-southwest towards the mountains  
90 and an over-city path that crosses the city to the northeast, covering the main traffic arteries of the city  
91 with sensitivity to traffic emissions. We acquire time-resolved data at 32-second resolution of CO<sub>2</sub>, CH<sub>4</sub>,  
92 H<sub>2</sub>O and isotopologues over 7.5 weeks. The dry mole fraction of CO<sub>2</sub> and CH<sub>4</sub> show a diurnal cycle  
93 expected from anthropogenic sources. In addition, there is a distinct difference between the weekday  
94 and weekend cycles for CO<sub>2</sub>, consistent with traffic patterns. To estimate the total carbon emission from  
95 traffic, we filter the data for days when the wind is out of the west and not too strong so that there is a



96 measurable daytime enhancement in CO<sub>2</sub> between the reference path and over-city path. We then  
97 apply a Gaussian plume model to calculate the city emissions based on the expected distributed source  
98 (due to traffic) and the path-averaged concentrations. This emission value is scaled to annual city-wide  
99 emissions based on city traffic count data. We estimate  $(6.9 \pm 1.8) \times 10^5$  metric tons (MT) CO<sub>2</sub>/year,  
100 compared to the bottom-up City of Boulder inventory estimate of  $4.5 \times 10^5$  MT CO<sub>2</sub>/year. Finally, we  
101 discuss improvements to this estimate, which could be realized by more advantageous beam paths that  
102 sample a larger spatial and temporal fraction of the full city emissions.

103

## 104 2. Experimental data

105

## 106 2.1 DCS measurements

107

108 The dual frequency comb spectroscopy (DCS) system was located on the top floor of the  
109 National Institute of Standards and Technology (NIST) building in Boulder, Colorado. This instrument has  
110 been described previously (Truong et al., 2016; Waxman et al., 2017). The light from the combs is split to  
111 generate two combined dual-comb outputs, one of which is transmitted over the reference path and  
112 one of which is transmitted over the city path (see Fig. 1.) Here, we transmit 2-10 mW of light spanning  
113 1.561 to 1.656 μm, which includes absorption lines from CO<sub>2</sub>, CH<sub>4</sub>, H<sub>2</sub>O and HDO. The returning light  
114 from each path is detected and digitized to yield the transmitted optical spectrum at a point spacing of  
115 0.0067 cm<sup>-1</sup> (1.5 picometer) and with effectively perfect (10 ppb) frequency accuracy and narrow  
116 instrument lineshape ( $\sim 4 \times 10^{-6}$  cm<sup>-1</sup>). A typical spectrum from the reference path is shown in Fig. 2. A  
117 fit of this transmitted spectrum yields the path-averaged gas concentrations. The absolute frequency  
118 accuracy and high frequency resolution of the dual-comb spectrometers translates to a high precision  
119 and accuracy in the retrieved concentrations. Previously, two DCS instruments measuring atmospheric  
120 air were compared over a two-week period and retrieved gas concentrations were found to agree to  
121 better than 0.6 ppm for CO<sub>2</sub> and 7 ppb for CH<sub>4</sub> (Waxman et al., 2017). Further, DCS spectra are  
122 undistorted by turbulence due to the simultaneous acquisition of all spectral channels and the fast  
123 sample rate of the instrument (1.6 ms/spectrum, averaged up to 32 seconds) (Rieker et al., 2014).

124

125 For the reference path, 2 mW of dual-comb light is launched from a 2-inch home-built off-axis  
126 telescope (Cossel et al., 2017; Waxman et al., 2017). The light travels to a retroreflector located on a  
127 hilltop 1 km to the southwest of NIST and then is reflected back to a detector that is co-located with the  
128 launch telescope for a  $1950.17 \pm 0.15$  m round-trip path. Return powers vary constantly with air  
129 turbulence but we collect about 200 μW for a typical 10 dB link loss. For the city path, 10 mW of dual-  
130 comb light is launched from a modified 10-inch diameter astronomical telescope to a retroreflector  
131 located on a building roof 3.35 km to the northeast for a  $6730.66 \pm 0.15$  m round-trip path. We collect  
132 about 100 μW for a typical 20 dB link loss. Round-trip path distances were measured with a laser range  
133 finder. Telescope tracking of the retroreflector is implemented to compensate for thermal drifts via a  
134 co-aligned 850 nm light emitting diode (LED) and Silicon CCD camera (Cossel et al., 2017; Waxman et al.,  
135 2017).

136

137 The measured spectra are analyzed as described in (Rieker et al., 2014; Waxman et al., 2017) at  
138 32 second intervals. Briefly, we fit a 7<sup>th</sup>-order polynomial and HITRAN data to the measured spectrum in  
139 100-GHz sections to remove the underlying structure from the comb themselves (as opposed to the  
140 atmospheric absorption). We fit the resulting absorption spectrum twice: once in the region from 185-  
141 188 THz (1.595 to 1.620 μm) to obtain the path-averaged temperature from the 1.6 μm CO<sub>2</sub> band, and  
once over the entire spectrum to obtain <sup>12</sup>CO<sub>2</sub>, <sup>13</sup>CO<sub>2</sub>, CH<sub>4</sub>, H<sub>2</sub>O, and HDO concentrations using the  
retrieved temperature. We then use the retrieved H<sub>2</sub>O concentration to correct the wet CO<sub>2</sub> and CH<sub>4</sub>  
mole fractions to dry mole fractions, hereafter referred to as X<sub>CO<sub>2</sub></sub> and X<sub>CH<sub>4</sub></sub> given in units of ppm and ppb



142 (micromole of CO<sub>2</sub> per mole of dry air, and nanomole of CH<sub>4</sub> per mole of dry air). The correction  
143 equations are  $X_{\text{CO}_2} = \text{CO}_2/(1-\text{H}_2\text{O})$  and  $X_{\text{CH}_4} = \text{CH}_4/(1-\text{H}_2\text{O})$ .

144

## 145 2.2 Meteorological Measurements

146 Meteorological data including pressure, wind direction, and wind speed measurements are  
147 obtained from meteorological stations located at NCAR-Mesa and NCAR-Foothills  
148 (<ftp://ftp.eol.ucar.edu/pub/archive/weather>), which are approximately the endpoints of our  
149 measurement paths (see Fig. [ure 1](#)), as well as a 3-D sonic anemometer located at NIST. The path-  
150 averaged air temperature was retrieved from the CO<sub>2</sub> spectra as described above.

151

## 152 2.3 Traffic data

153 We measure a subset of Boulder traffic, so we use the city traffic data to determine the fraction  
154 covered by our footprint (see Fig. [ure 1](#)). Traffic data from the City of Boulder is freely available at:  
155 [https://maps.bouldercolorado.gov/traffic-counts/?\\_ga=2.264109964.1414067815.1500302174-](https://maps.bouldercolorado.gov/traffic-counts/?_ga=2.264109964.1414067815.1500302174-274759643.1492121882)  
156 [274759643.1492121882](https://maps.bouldercolorado.gov/traffic-counts/?_ga=2.264109964.1414067815.1500302174-274759643.1492121882). The city provides two types of traffic data that are useful in this work: the  
157 Arterial Count Program (ART) and the Turning Movement Count (TMC) data.

158 ART measures traffic at 18 major intersections in Boulder for five days (one work week, Monday  
159 through Friday) every year in one-hour bins to create a diurnal cycle. The traffic counts for 2016 are  
160 shown in Fig. [ure 3](#). We use these data to scale our selected measurement time periods to a full day as  
161 discussed in section 3.3.4.

162 TMC measures the number of vehicles at 140 intersections in Boulder for one work day per year  
163 during the hours of 7:45-8:45, 12:00-13:00, and 16:45-17:45. One third of each of these sites is  
164 measured every year. We have scaled the 2014 and 2015 data to 2016 traffic levels by using total  
165 vehicle mile values available from the City of Boulder. We approximate the location of city vehicle  
166 emissions by using the TMC locations as our Gaussian plume source locations with the source strengths  
167 scaled based on the location's fractional traffic count. (See Section 3.3.2).

168

## 169 3 Results and Discussion

170

### 171 3.1 DCS measurements

172 All 7.5 weeks of DCS measurements of CO<sub>2</sub>, CH<sub>4</sub>, H<sub>2</sub>O, and HDO are shown in Fig. [ure 4](#). We have  
173 insufficient precision to measure time-resolved <sup>13</sup>CO<sub>2</sub> concentrations over the 2-km path. However,  
174 there are very clear enhancements in the over-city path relative to the reference path for the trace  
175 gases, especially for CO<sub>2</sub>. These enhancements are observed primarily at night when the boundary layer  
176 is lower. For example, on Oct. 13 the CO<sub>2</sub> enhancement reaches 129 ppm and the CH<sub>4</sub> enhancement  
177 reaches 265 ppb. Daytime enhancements occur when the wind speed is very low and intermittent  
178 (typically below 5 m/s), which allows emitted gases to build up over the city. When the wind increases  
179 to steady moderate speeds, the concentrations drop quickly as the emissions are flushed out of the city.

180

### 181 3.2 Diurnal Cycles

182 The diurnal cycle of X<sub>CO<sub>2</sub></sub> and X<sub>CH<sub>4</sub></sub> for both the reference path and the over city path are shown  
183 in Fig. [ure 5](#) for weekdays (midnight to midnight Monday through Friday) and weekends (midnight to  
184 midnight Saturday and Sunday). We choose to include Monday as a weekday and Saturday as a  
185 weekend because the influence of emissions from the previous day is expected to be low. The diurnal  
186 cycle of the wind direction and the wind speed measured at NCAR Foothills are also shown in the top  
187 panel of Fig. [ure 5](#). All diurnal cycles are the median values over the full 7.5 weeks of measurements.

188 The diurnal cycle of the reference path CO<sub>2</sub> is nearly flat and nearly identical for both weekends  
189 and weekdays. It has only a slight maximum between 9 and 10 am, with average values of 410 to 420



190 ppm. The flatness of these diurnal cycles supports our assumption that this path represents the  
191 reference air entering the city, as there is no buildup over the course of the night as the boundary layer  
192 drops.

193 The diurnal cycle of the city path CO<sub>2</sub> shows a different trend with a stronger diurnal variation.  
194 Overnight from about 6 pm (18:00) to 9 am, there is an enhancement in the CO<sub>2</sub> relative to the  
195 reference path as the CO<sub>2</sub> from the city sources builds up due to the low winds out of the west and a  
196 presumed collapsing nighttime boundary layer. During the weekdays, this enhancement increases in the  
197 morning consistent with a commuter traffic peak. After the morning, the combination of the presumed  
198 rising boundary layer, increased wind speed, and shift in average wind direction out of the west (270°)  
199 to the southeast (135 °) result in a drop in the city path CO<sub>2</sub> so that it matches the reference path CO<sub>2</sub>.  
200 In the early evening, as the wind speed drops and the wind shifts back to out of the west, the buildup  
201 reappears and continues overnight as the boundary layer presumably drops. In general the CO<sub>2</sub> mixing  
202 ratios tend to be higher on the weekdays, sometimes exceeding 500 ppm, while weekend mixing ratios  
203 are entirely below 490 ppm. This difference is reflected in the median values as well, which reach about  
204 440 ppm during the weekdays but only 430 ppm during the weekend.

205 The diurnal cycle of the reference path CH<sub>4</sub> is relatively flat for both weekends and weekdays at  
206 just over 1.9 ppm, with a slight peak between 9 and 10 am. The diurnal cycle of the city path CH<sub>4</sub> shows  
207 an enhancement, relative to the reference path, between midnight and about 9 am. We attribute this  
208 enhancement to sources of CH<sub>4</sub> within the city combined again with low nighttime winds and collapsing  
209 boundary layer. These sources may be leaking natural gas infrastructure such as observed in Boston  
210 (Phillips et al., 2013; McKain et al., 2015; Hendrick et al., 2016), Washington, D.C. (Jackson et al., 2014),  
211 and Indianapolis (Lamb et al., 2016). Unlike for CO<sub>2</sub>, the CH<sub>4</sub> diurnal cycle appears unrelated to traffic  
212 (nor would we expect it to be for clean-burning vehicles) as it does not increase during peak traffic  
213 times.

214

### 215 3.3 Estimate for CO<sub>2</sub> emissions due to traffic

216

#### 217 3.3.1 Measurement day selections

218 To select test case days to estimate the city emissions, we filter the X<sub>CO<sub>2</sub></sub> time series for time  
219 periods with daytime enhancement and a moderate wind strength predominantly out of the west (270  
220 °). Given the prevailing daytime winds are from the southeast (135°) and often strong, this limits the test  
221 case days significantly. However, as is clear from Fig. ure 1, for these wind conditions, the city path  
222 samples a significant fraction of the traffic emissions and the reference path samples no traffic  
223 emissions. We consider only daytime enhancements because the nighttime boundary layer behavior is  
224 significantly more complicated than a well-mixed daytime stable boundary layer. We find two days that  
225 meet these criteria: Saturday 22 October 2016 from 11:00 to 16:00. and Tuesday 25 October 2016 from  
226 10:00 to 16:00. Both days have moderate wind speeds (on average, 5 m/s) as measured at both  
227 meteorological sites. There are additional days with daytime enhancement in X<sub>CO<sub>2</sub></sub>, but the wind  
228 direction is variable. Additionally, there are many days with no daytime enhancement in X<sub>CO<sub>2</sub></sub> because  
229 the high wind speeds (6 m/s or higher) prevented buildup of CO<sub>2</sub>. We use Oct. 22 as a proxy for all  
230 weekend days and Oct. 25 as a proxy for all weekdays. The X<sub>CO<sub>2</sub></sub> and X<sub>CH<sub>4</sub></sub> mixing ratios as well as wind  
231 speed and wind direction for these two case study days are shown in Fig. ure 6.

232 The variability in the reference CO<sub>2</sub> on both days is a real effect. (In processing, any data is  
233 removed if the signal power is low, which is indicative of poor telescope alignment or strong weather-  
234 related attenuation over the beam path, so the variability is not due to variable signal strength). We  
235 observe a weak correlation of the variability with the NCAR Mesa wind speed – approximately 5 minutes  
236 prior to most drops in CO<sub>2</sub> there is a spike in the wind speed suggesting that a gust of very clean air has



237 crossed the measurement path. We see no correlations with other meteorological variables (e.g.  
 238 temperature, wind direction, pressure).

239 To convert from the measured enhancement to an emissions rate, we require a model that  
 240 connects the source strength to the plume concentration. Here, as described below, for this initial  
 241 demonstration we use a Gaussian plume model although future efforts could employ a more  
 242 sophisticated model.

### 243 3.3.2 Gaussian plume calculations

244 The standard Gaussian plume model that includes total reflection at the Earth's surface is  
 245 (Seinfeld and Pandis, 2006):

$$247 \quad c(x, y, z, t) = \frac{q}{2\pi\sigma_y\sigma_z u} \exp\left(\frac{-(y-y_0)^2}{2\sigma_y^2}\right) \left[ \exp\left(\frac{-(z-H)^2}{2\sigma_z^2}\right) + \exp\left(\frac{-(z+H)^2}{2\sigma_z^2}\right) \right] \quad (1)$$

248 where  $(x, y, z)$  is the location in space for which the plume concentration is being calculated,  
 249  $(x_0, y_0, H)$  is the emissions location,  $c(x, y, z, t)$  is the concentration at location  $(x, y, z)$  and time  $t$ ,  
 250  $q$  is the emissions strength (usually in kg/s),  $\sigma_y$  and  $\sigma_z$  are the plume variances in the y and z direction  
 251 as a function of travel distance and Pasquill stability class (Seinfeld and Pandis, 2006), and  $u$  is the wind  
 252 speed in m/s. The wind is assumed to be in the x-direction. The plume variances are calculated as:

$$253 \quad \sigma_y = \exp\left[I_y + J_y (\ln \Delta x) + K_y (\ln \Delta x)^2\right] \quad (2)$$

254 and

$$255 \quad \sigma_z = \exp\left[I_z + J_z (\ln \Delta x) + K_z (\ln \Delta x)^2\right] \quad (3)$$

256 where  $I_y$ ,  $J_y$ ,  $K_y$ ,  $I_z$ ,  $J_z$ , and  $K_z$  are from a look-up table based on the Pasquill stability class, which  
 257 depends on the wind speed and solar insolation (Seinfeld and Pandis, 2006) and  $\Delta x$  is the x-distance  
 258 relative to the plume origin.

259 We modify this equation in several ways: 1) Since we measure the column-integrated  
 260 concentration over a finite beam path at an angle to the wind direction, we integrate the plume  
 261 concentration along this beam path and then normalize to the length of the beam path. 2) We sum  
 262 over the emissions locations in the city that contribute emissions to our measurements. Thus our  
 263 overall measurement equation is:

$$264 \quad (c - c_0) = \frac{Q}{L} \sum_{(x_j, y_j)} \int_0^L \frac{f_j}{2\pi\sigma_y\sigma_z u} \exp\left(\frac{-(s \sin \theta - y_j)^2}{2\sigma_y^2}\right) \left[ \exp\left(\frac{-(15-1)^2}{2\sigma_z^2}\right) + \exp\left(\frac{-(15+1)^2}{2\sigma_z^2}\right) \right] ds \quad (4)$$

265 where  $(c - c_0)$  is our path-integrated concentration enhancement measurement along our path  $s$  (in  
 266  $\text{MT}/\text{m}^3$  and  $\text{MT}$  is metric tons;  $1 \text{ MT} = 1000 \text{ kg}$ ),  $Q$  is the total city emissions in  $\text{MT}/\text{year}$ ,  $L$  is our path  
 267 length in m,  $(x_j, y_j)$  are the source emissions locations,  $f_j$  is the fraction of traffic at source location  
 268  $(x_j, y_j)$  relative to traffic over all locations in the city from the TMC database,  $u$  is the wind speed in  
 269 m/s,  $\theta$  is the angle of the beam path with respect to the wind direction, and  $\sigma_y$  and  $\sigma_z$  are the plume  
 270 dispersions in m in the y and z directions, which depend on the sources distance from the beam path.  
 271 In writing (4), we assume the wind is in the  $+\hat{x}$  direction (which assumption is relaxed below). We  
 272 assume that all plume emissions locations are emitted from vehicle tailpipes at 1 m above the ground,  
 273 and the beam path runs 15 m above ground so all measurement heights are at 15 m above ground.

274  
 275 *Grid rotation for variable wind directions*



276 To calculate (4), we grid the emissions locations using UTM (Universal Transverse Mercator)  
 277 coordinates obtained from Google Earth, where we then define north as  $+\hat{y}$  and east as  $+\hat{x}$ . We  
 278 translate the coordinate system such that the DCS path begins at the origin (0,0) and travels a distance  $L$   
 279 at angle  $\theta$  with respect to the x-axis. Eq. (4) is then valid provided the wind is directly in the  $+\hat{x}$   
 280 direction. More generally, the wind is at a time varying small angle  $\phi(t)$  with respect to  $+\hat{x}$ . Therefore,  
 281 we apply a rotation about the origin (Prussin et al., 2015):

$$282 \begin{bmatrix} \cos \phi & \sin \phi \\ -\sin \phi & \cos \phi \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} x' \\ y' \end{bmatrix}$$

283 to generate new traffic coordinates  $(x'_j, y'_j)$  and a new parameterized DCS beam path of  
 284  $(s \cos(\theta'), s \sin(\theta'))$  where  $s$  goes from 0 to  $L$  and  $\theta' = \theta - \phi(t)$ . In this new coordinate system,  
 285 the wind is along the  $+\hat{x}'$  direction and Eq. (4) holds with the substitutions  $\theta \rightarrow \theta'$  and  $y_j \rightarrow y'_j$ , and  
 286 where the  $\sigma_y$  and  $\sigma_z$  are calculated based on the distance  $\Delta x = |x'_j - s_j \cos \theta'|$  where  $s_j \sin \theta' = y_j$ .

287  
 288 *Time dependent estimate of  $Q(t)$*

289 The rotated Eq. (4) can be solved for  $Q$  in terms of the measured or estimated values of  
 290  $c(t) - c_0(t), u(t), \Delta \phi(t), \sigma_y(t), \sigma_z(t), \theta, L, f_i$ , where the first five quantities are time dependent.  
 291 The resulting, time-dependent  $Q(t)$  for each test case day is shown in the bottom panels of Fig. 6 and  
 292 has a mean value and standard deviation of  $(5.1 \pm 2.8) \times 10^4$  MT CO<sub>2</sub>/year for October 22 and  
 293  $(6.4 \pm 1.8) \times 10^5$  for October 25. A large part of the variability is driven changes in  $c(t) - c_0(t)$  and in  
 294  $\phi(t)$  (which results in greater or fewer number of plumes from the given traffic locations intercepting  
 295 the measurement path).

296  
 297 3.3.4 Scaling to annual emissions

298 The Gaussian plume results are scaled to daily emissions using the hourly traffic data in Fig. ~~ure~~  
 299 3. The traffic data in Fig. ~~ure~~ 3 comes from weekday measurements, but due to the lack of available  
 300 weekend data we assume that the distribution is the same for weekends. Based on these data, 33% of  
 301 the total traffic counts on Oct. 22 occur during the 5-hour measurement period and 39% of the total  
 302 traffic counts on Oct. 25 occur during the 6-hour measurement period. Then we scale to annual  
 303 emissions by assuming that the emissions on Oct. 22 are representative of all 104 weekend days and the  
 304 emissions on Oct. 25 are representative of all 261 week days. Scaling the mean values of  $Q(t)$  in this  
 305 way, we estimate an annual emission rate of  $(6.9 \pm 1.8) \times 10^5$  MT CO<sub>2</sub>/year. The uncertainty is simply  
 306 the scaled variability in the measured  $Q(t)$  and does not include additional uncertainty from scaling to  
 307 annual emissions or the use of TMC data as a proxy for emissions locations.

308  
 309 3.3.5 Corrections for non-traffic sources of CO<sub>2</sub>

310 We first consider the two power generation facilities on the Department of Commerce campus  
 311 and collocated with NIST: the Central Utilities Plant (CUP), and the NOAA boilers. To calculate their  
 312 average CO<sub>2</sub> emissions, we used available fuel consumption data (October 2016 monthly average for the  
 313 CUP and mid-November to mid-December 2016 average for the NOAA boilers; October data was  
 314 unavailable) and the EPA emissions factor (EPA, 1995). We then modeled their plume emissions using  
 315 WindTrax (Flesch et al., 1995, 2004) with wind speed and direction data from the NCAR-Mesa site. We



316 find that due to the moderate wind speeds (>5 m/s) during our case study days and the height mismatch  
317 between the emission stacks and our measurement path over the DOC campus, there should be  
318 negligible enhancement. Therefore, we apply no correction for these power plant emissions.

319 It is also possible that emissions from the University of Colorado power plant could intersect our  
320 beam path. The EPA Greenhouse Gas Reporting Program (GHGRP, <https://www.epa.gov/ghgreporting>)  
321 lists the 2016 emission from the power plant as  $3.0 \times 10^4$  MT CO<sub>2</sub>, which is approximately 5% of our  
322 calculated emissions value and thus not a significant bias. If we account for the CO<sub>2</sub> from this power  
323 plant then our annual vehicle emissions estimate is reduced slightly to  $(6.6 \pm 1.8) \times 10^5$  MT/year.

324 A large power plant lies just outside the city limits to the east of Boulder (the Valmont power  
325 station); however, given its location and the dominant westerly wind, emissions from this source should  
326 not reach our beam path.

327 Of course, other small power generation facilities exist within the city that do not report to the  
328 GHGRP but may still produce emissions that intersect our beam path. Certainly, there are diffuse  
329 emissions from residential and commercial furnaces and water heaters. We likely also measure  
330 contributions from plant and soil respiration as these measurements were made in the late fall when  
331 photosynthesis was likely minimal but respiration was likely ongoing because the temperatures were  
332 above freezing. Respiration was found to be a significant source of CO<sub>2</sub> emissions in Indianapolis  
333 (Gurney et al., 2017). As with the emissions from furnaces or smaller generation facilities, we have not  
334 attempted to quantify or correct for this effect, which could inflate our estimate.

335

### 336 3.3.6 Uncertainty discussion

337

#### 338 *Uncertainty in mean values $\langle Q(t) \rangle$ for the test case days*

339 Seven measured parameters factor in to the emissions calculation of  $Q(t)$  for the two days.  
340 These are given in Table I along with the instrumental measurement precision and the observed  
341 variability. In terms of instrument uncertainty, the precision of the retrieved CO<sub>2</sub> is 0.9 ppm for the  
342 reference path at our 30-second time resolution (Waxman et al., 2017) and 0.5 ppm for the over-city  
343 path. The precision is better over the longer path because the absorption lines are stronger. Note that  
344 solar insolation is used solely in the determination of the Pasquill stability class (Seinfeld and Pandis,  
345 2006). The stability class is relatively insensitive to the variations in solar insolation observed on the two  
346 test case days. As can be seen in the table, the uncertainty is dominated by the natural variability in  
347 parameters like wind speed, wind direction, and CO<sub>2</sub> concentration rather than the instrument  
348 precision. The observed variability over the 5-6 hour period is typically at least a factor of 2 larger than  
349 the instrument precision. The variability in these parameters leads to the observed variability in  $Q(t)$ .  
350 We use the mean of  $Q(t)$  as our emissions value and the standard deviation as its uncertainty. In using  
351 this standard deviation as a measure of the uncertainty, we attempt to capture the uncertainty  
352 associated with the discrepancies between, for example, the weather-station measurements of wind  
353 direction and speed relative to the true wind speed and direction across our distributed path. For  
354 example, slight shifts in wind direction will cause plumes from different traffic locations to intersect or  
355 not intersect the beam path. This variability appears in the calculated  $Q(t)$  as the nominal measured  
356 wind direction varies. Future systems with redundant, distributed DCS beam paths would provide a  
357 superior estimate of all these uncertainties.

358

#### 359 *Uncertainty in the scaling to city-wide annual emissions*

360 Values supplied by the city of Boulder – traffic count data and emissions inventory numbers – do  
361 not have an associated uncertainty value. However, even if there was negligible uncertainty on the



362 measurements, there is inherent statistical uncertainty in the strong extrapolation from our 5-6 hour  
363 period to a full day and then to the entire year. Moreover, the traffic data used to scale the emissions up  
364 from 6 hours to 24 hours was collected solely on week days, which might lead to a slight overestimate in  
365 the weekend data because a larger fraction of the weekend emissions occur between 11 am and 4 pm  
366 than weekday emissions. Obviously, the extrapolation also misses seasonality in the emissions. Further,  
367 implicitly built in to Equation 4 is a spatial scaling that uses discrete points from a subsection of the city  
368 (those emissions locations whose beam paths cross our measurement path). The systematic uncertainty  
369 in this spatial and temporal scaling from our measurements to annual city emissions is unknown but  
370 possibly substantial. Without additional information, it is not possible to add additional uncertainty to  
371 the current 26% uncertainty, which is based solely on the measured variability in  $Q(t)$ .

372

373 *Assumptions required for the Gaussian plume model*

374 There are a number of assumptions inherent to the Gaussian plume model. For example, it does  
375 not include the effects of buildings, trees, or other objects that could break up the plume between the  
376 emissions location and the beam path. Further, even within the Gaussian plume model, we make a  
377 number of assumptions. We assume that all CO<sub>2</sub> emissions come from the discrete locations shown in  
378 Fig. 1, while in reality the emissions are likely substantially more diffuse. The assumption of discrete  
379 emissions simplifies modeling and is feasible due to the city traffic data, but may result in a bias due to  
380 the coarse distribution of traffic measurements. We approximate that all emissions are released at 1 m  
381 above ground (vehicle tailpipes) and are measured at 15 m above ground. In reality, the beam height  
382 differs over the path since Boulder is not perfectly flat. Finally, we use standard  $I_y$ ,  $J_y$ ,  $K_y$ ,  $I_z$ ,  $J_z$ ,  
383 and  $K_z$  values which were derived for rural areas (Turner, 1970) which may be different than urban or  
384 suburban areas. However, the greatest differences between rural and urban conditions are expected to  
385 be at night (Turner, 1970).

386

387 *4 Comparison with city estimates*

388 The City vehicle emissions estimate comes from total vehicle miles traveled based on data from  
389 the Transportation department, miles per gallon inputs from the EPA state inventory tool, and vehicle  
390 type distribution from the Colorado Department of Public Health and the Environment (Kimberlee  
391 Rankin, City of Boulder, personal communication). The City of Boulder estimates that the total vehicle  
392 emissions were  $4.47 \times 10^5$  metric tons (MT) of CO<sub>2</sub> equivalent (CO<sub>2</sub>e) in 2015, the most recent year of the  
393 city greenhouse gas inventory. When scaled up to 2016 levels based on total vehicle miles traveled  
394 ( $8.98 \times 10^8$  miles in 2015 and  $9.09 \times 10^8$  miles in 2016), this is  $4.52 \times 10^5$  MT CO<sub>2</sub>e in 2016. We assume that  
395 all traffic emissions are CO<sub>2</sub> rather than a mix of CO<sub>2</sub> and CH<sub>4</sub>. There is no uncertainty provided by the  
396 city on this value.

397 In comparison, we estimate  $(6.9 \pm 1.8) \times 10^5$  MT CO<sub>2</sub>/year, which is 155% of the city estimate.

398 While the discrepancy is moderately large it is reasonable agreement for a top down measurement and  
399 bottom up inventory comparison, especially given that there are possibly additional CO<sub>2</sub> sources  
400 contributing to our measured values that we are currently unable to quantify. Other studies have also  
401 found that emissions measurements were higher than the reported inventory values. Brioude et al.,  
402 (2013) found top-down aircraft estimates of Los Angeles county and the South Coast Air Basin (SoCAB)  
403 CO<sub>2</sub> were 1.45 times larger than the Vulcan 2005 inventory (Gurney et al., 2009). An earlier aircraft  
404 campaign over Sacramento, CA found an average CO<sub>2</sub> emission, with 100% uncertainty, that was 15-20%  
405 higher than the Vulcan estimate (Turnbull et al., 2011). Lauvaux et al. (2016) compared Indianapolis city  
406 CO<sub>2</sub> emissions measured by a network of CRDS instruments to the HESTIA inventory (Gurney et al.,  
407 2012) during INFLUX (Davis et al., 2017). They found that despite the building-scale resolution in the  
408 HESTIA inventory, it still under-estimated the annual CO<sub>2</sub> flux by 20%. An updated version of HESTIA



409 predicted very similar emissions estimates for on-road, residential, and commercial sectors, so the  
410 discrepancy was attributed to missing sources of CO<sub>2</sub>, including animal (primarily human and companion  
411 animal) respiration, biofuel combustion, and biosphere respiration (Gurney et al., 2017).

412

#### 413 4.1 Improvements in future measurements

414 Future improvements should include additional and different beam paths, selected based on  
415 prevailing wind directions. (Our initial assumption that the mountain path would generally act as a  
416 reference path was incorrect since the prevailing daytime winds are not out of the west but rather the  
417 southeast.) An east-west running beam north of the city and one south of the city would allow us to  
418 utilize a larger fraction of the data as the predominant midday wind direction during the fall is out of the  
419 north to north-east (see Fig. 5). Even longer beam paths would also interrogate a larger fraction of  
420 the city and measure a correspondingly larger fraction of the vehicle emissions. Vertically-resolved data  
421 from e.g. a series of stacked retroreflectors would better test the assumption of vertically-dispersing  
422 Gaussian plumes.

423 Additionally, more extensive modeling to cover variable wind directions and speeds would allow  
424 the incorporation of a much larger fraction of the data than the two days selected here. An inversion-  
425 based model similar to (Lauvaux et al., 2013) could potentially be applied to a small city like Boulder;  
426 however this would depend heavily on the quality of the bottom-up emissions inventory used to  
427 generate the priors.

428

#### 429 5 Conclusions

430 We demonstrate the use of an open-path dual frequency comb spectroscopy system for  
431 quantifying city emissions of carbon dioxide. We send light over two paths: a reference path that  
432 samples the concentration of gases entering the city from the west, and an over-city path that measures  
433 the concentrations of gases after the air mass has crossed approximately two-thirds of the city including  
434 two major commuter arteries. The measured diurnal cycle shows a significant commuter peak in the  
435 carbon dioxide signal during weekdays in the over-city path compared to the reference path. We select  
436 two case study days with appropriate wind conditions and apply Gaussian plume modeling to estimate  
437 the total vehicular carbon emission. We then scale these results up to annual city-wide emissions using  
438 traffic data from the City of Boulder. We find overall traffic related carbon emissions that are  
439 approximately 1.55 times greater than the city's bottom-up traffic emissions inventory. This is  
440 a reasonably good agreement given the limited number of measurement days that were suitable for the  
441 modeling and assumptions in the use of a Gaussian plume model. Further improvements to this method  
442 should include improved design of reference and over-city paths, enabling this method to be used for  
443 multiple wind directions.

444

445 Acknowledgements: We thank Kimberlee Rankin, Randall Rutsch, Bill Cowern, and Chris Hagelin from  
446 the City of Boulder for city inventory and traffic information and ~~✖~~Dave Plusquellic and ~~✖~~Caroline  
447 Alden for assistance with the manuscript. This work was funded by Defense Advanced Research  
448 Program Agency DSO SCOUT program, and James Whetstone and the NIST special program office.  
449 Eleanor M. Waxman and Kevin C. Cossel are partially supported by National Research Council  
450 postdoctoral fellowships.

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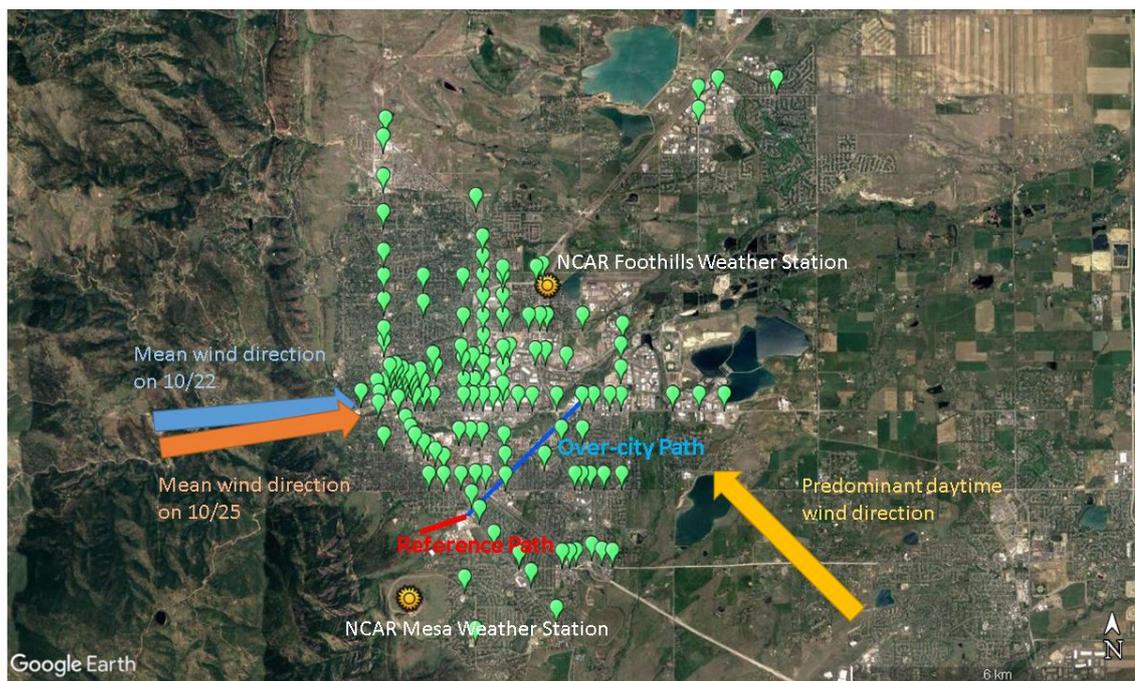
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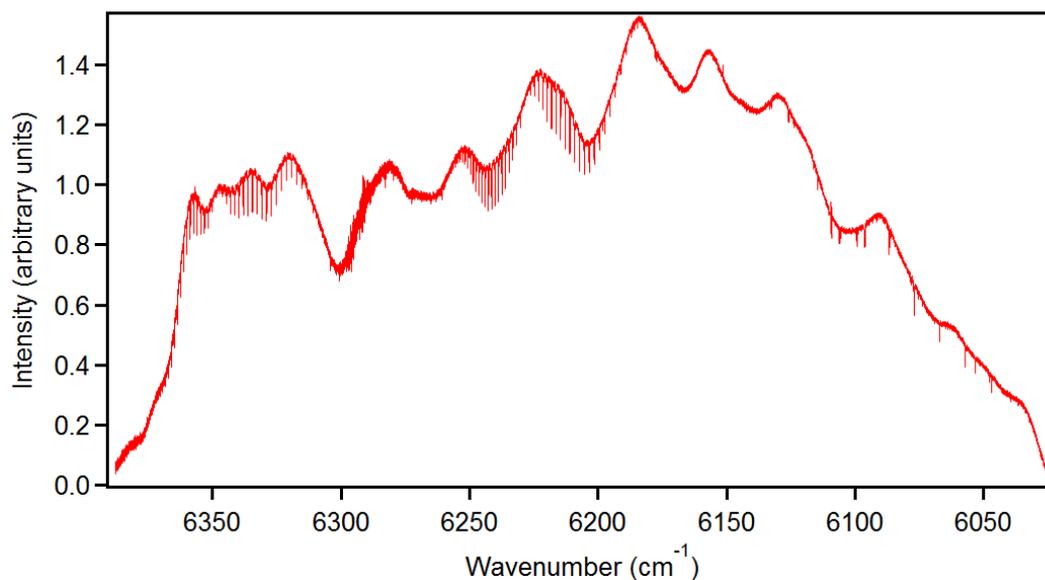


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Figure 1: Measurement layout. The two measurement paths are shown by red (reference) and blue (over-city) lines. The two weather stations that provided wind speed and direction data are given by the sun symbols. The green markers are TMC locations and the Gaussian plume source locations. Dominant wind directions for the campaign overall and the test case days are given by colored arrows. Data: Google, USDA, USGS, Digital Globe

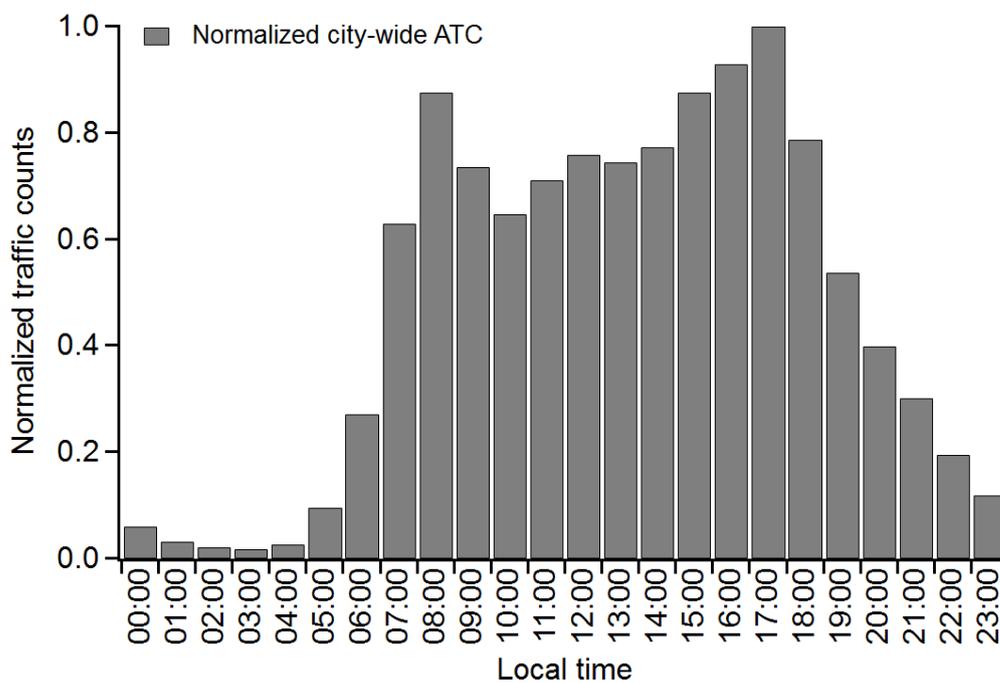


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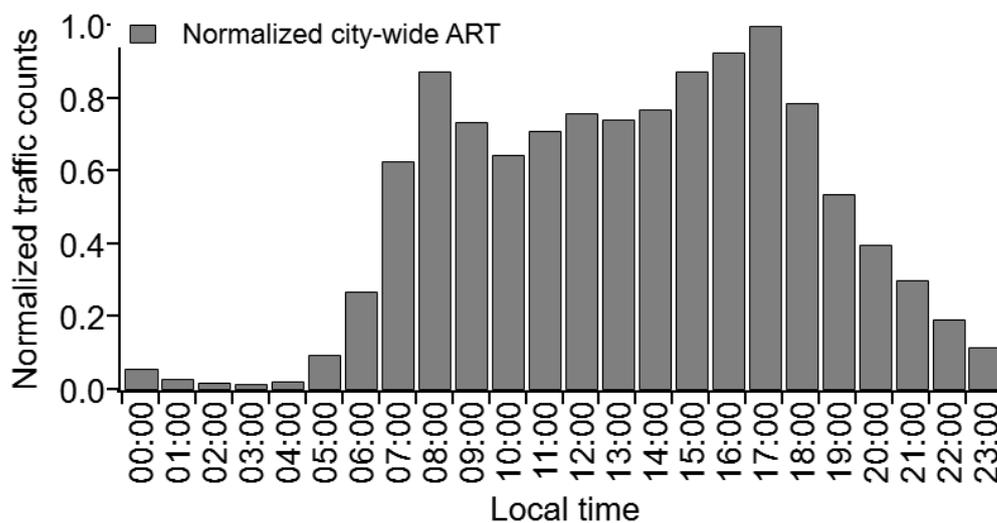
Figure 2: Typical 32-second spectrum measured over the 2-km reference path. CO<sub>2</sub> bands are observed in the 6350 cm<sup>-1</sup> and 6225 cm<sup>-1</sup> regions, while CH<sub>4</sub> and H<sub>2</sub>O are measured between 6150 and 6050 cm<sup>-1</sup>. The larger, slowly varying structure is from the comb spectra. The atmospheric absorption appears as the small and narrow dips.



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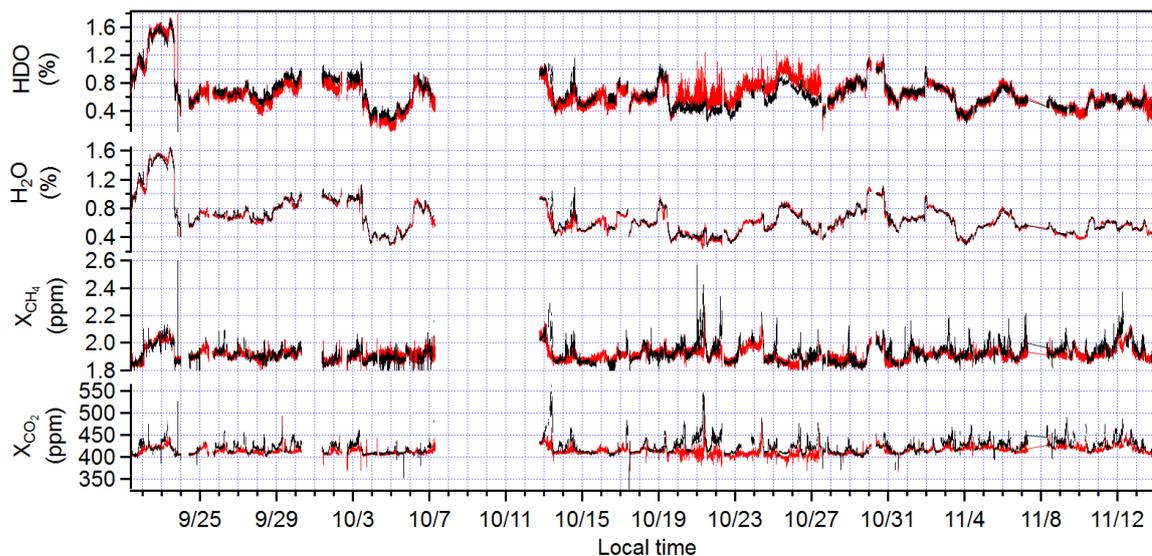


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Figure 3: City-wide traffic counts for determining diurnal cycle, normalized to a peak of unity.



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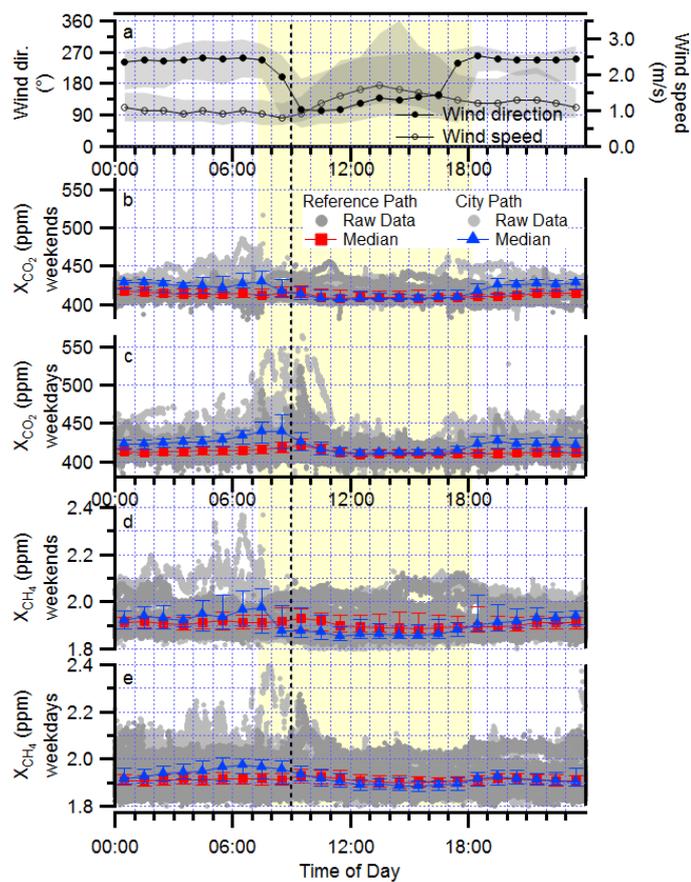
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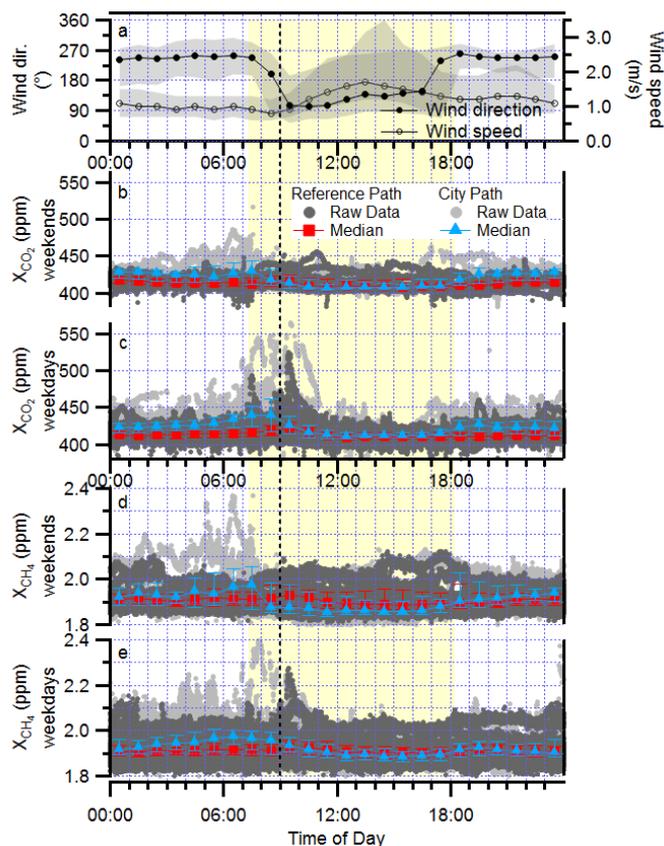
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Figure 4: 7.5 weeks of dual-comb spectroscopy data for the reference path (red) and the over-city path (black). Enhancements in the over-city path relative to the reference path are observed in  $\text{CO}_2$  and  $\text{CH}_4$  but not in  $\text{H}_2\text{O}$  or  $\text{HDO}$ . (Note: the  $\text{HDO}$  concentration includes the HITRAN isotopic scaling.)



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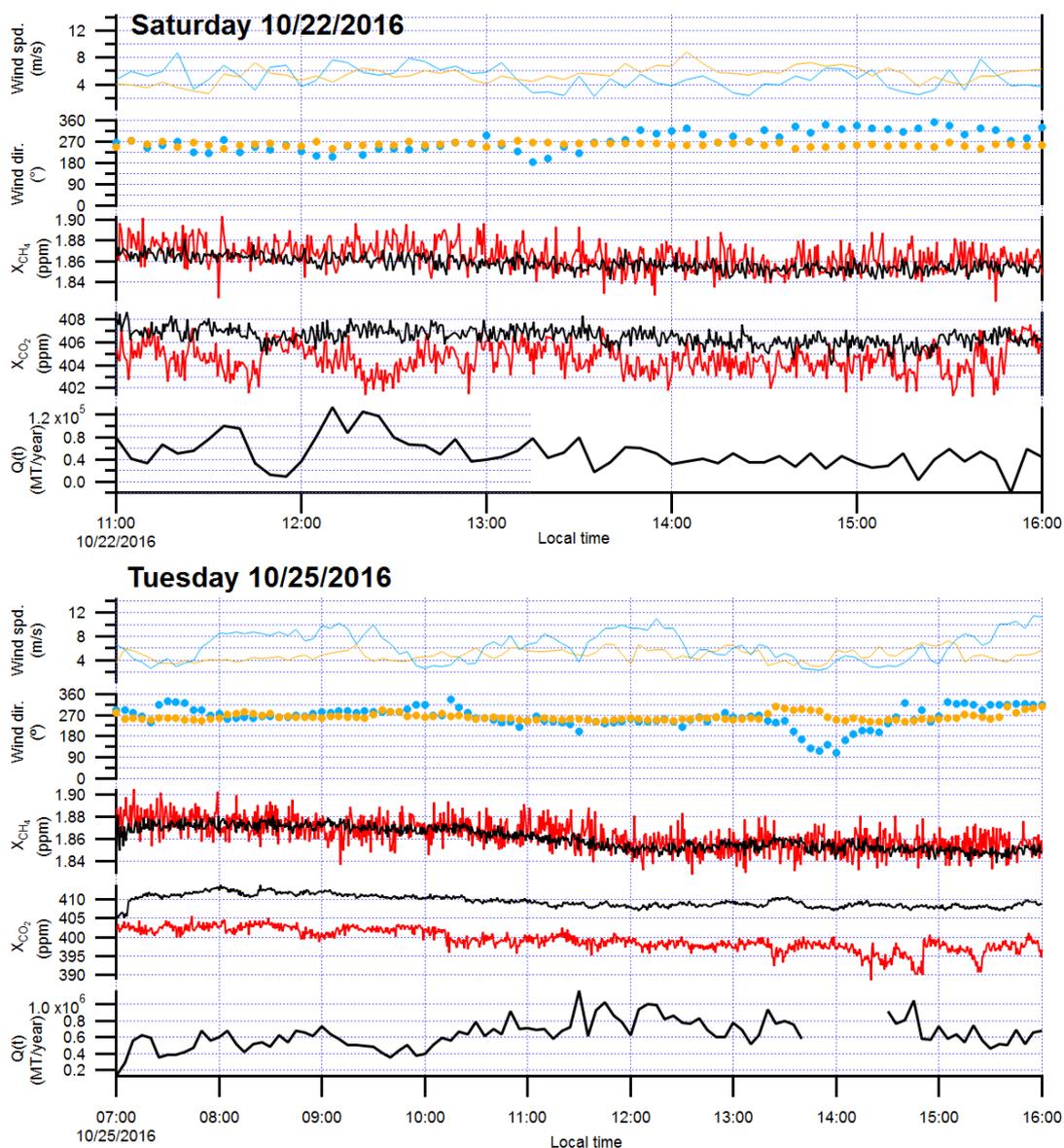


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Figure 5: Diurnal cycle analysis. Data is the median of the full 7.5 weeks. (a) The mean wind direction as measured from its origin (black trace, left axis) and wind speed (gray trace, right axis) both from the NCAR Foothills measurement station, shaded regions reflect the 25<sup>th</sup> to 75<sup>th</sup> quartiles; (b) the weekend and (c) weekday  $X_{\text{CO}_2}$  over the city path (light grey dots) and reference path (dark grey dots) over all days as well as median values for the over-city path (blue triangles) and reference path (red squares). (d) and (e) Same data for  $X_{\text{CH}_4}$ . The vertical dashed black line marks 9:00 local time and the yellow shaded region highlights the region from sunrise to sunset on Oct. 22, 2016.



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686 Figure 6: Time series data for the two case study days. Left plot: Saturday, 22 Oct. 2016. Right plot:  
687 Tuesday, 25 Oct. 2016. Figures show  $X_{\text{CO}_2}$  and  $X_{\text{CH}_4}$  over the reference path (red) and city path (black), as  
688 well as wind speed and wind direction measurements taken at NCAR Mesa (blue) and NCAR Foothills  
689 (orange). Bottom panel in each plot shows  $Q(t)$  for each day. On Oct. 25,  $Q(t)$  data near 14:00 has  
690 been removed since the reference path wind direction (NCAR Mesa) is out of the southeast to east,  
691 resulting in city contamination along the reference path.



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Table I: Parameters used to calculate the emission rate from Eq. (4). The measurement precision refers to the instrument uncertainty in the measurement quantity. The variability refers to the observed environmental variability over the measurement period. The variability from the enhancement, the wind direction, and the wind speed drive the observed variability in the estimated  $Q(t)$ . (The distance from a given source location to the DCS measurement path,  $\Delta x_j$ , varies with location and has a 5-m uncertainty.)

Quantity	Measurement precision	10/22 11:00-16:00		10/25 10:00-16:00	
		Mean	Variability	Mean	Variability
Pathlength $L$	0.15 m	6730.66 m	0	6730.66 m	0
Enhancement ( $c - c_0$ )	0.9 ppm (ref.) 0.5 ppm (city)	1.98 ppm	1.4 ppm (72%)	10.9 ppm	2.3 ppm (21%)
Wind speed $u$	0.3 m/s	5.2 m/s	0.85 m/s (16%)	5.6 m/s	1.4 m/s (25%)
Solar insolation	5%	570 W/m <sup>2</sup>	76 W/m <sup>2</sup> (13%)	275 W/m <sup>2</sup>	185 W/m <sup>2</sup> (67%)
Wind direction $\theta$	2°	265°	20°	261°	27°

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