



1 **Core and margin in warm convective clouds. Part II: aerosol effects**  
2 **on core properties**

3 <sup>1</sup>Reuven H. Heiblum, <sup>1</sup>Lital Pinto, <sup>1</sup>Orit Altaratz, <sup>1</sup>Guy Dagan, <sup>1</sup>Ilan Koren

4

5 <sup>1</sup>Department of Earth and Planetary Sciences, Weizmann Institute of Science, Rehovot, Israel

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21 Corresponding Email – [ilan.koren@weizmann.ac.il](mailto:ilan.koren@weizmann.ac.il)

22 **Abstract:**

23 The effects of aerosol on warm convective cloud cores are evaluated using single  
24 cloud and cloud field simulations. As presented in Part I, the  $B_{core} \subseteq RH_{core} \subseteq W_{core}$   
25 property is seen during growth of warm convective clouds. We show that this  
26 property is kept irrespective of aerosol concentration. During dissipation core  
27 fractions generally decrease with less overlap between cores. However, for clouds that  
28 develop in low aerosol concentrations capable of producing precipitation,  $B_{core}$  and  
29 subsequently  $W_{core}$  volume fractions may increase during dissipation (i.e. loss of  
30 cloud mass). The  $RH_{core}$  volume fraction decreases during cloud lifetime and shows  
31 minor sensitivity to aerosol concentration.

32 It is shown that a  $B_{core}$  forms due to two processes: i) Convection – condensation  
33 within supersaturated updrafts and release of latent heat, ii) Adiabatic heating due to  
34 weak downdrafts. The former process occurs during cloud growth for all aerosol  
35 concentrations. The latter process only occurs for low aerosol concentrations during  
36 dissipation and precipitation stages where large mean drop sizes permit slow  
37 evaporation rates.

38 The aerosol effect on the diffusion efficiencies play a crucial role in the development  
39 of the cloud and its partition to core and margin. Using the  $RH_{core}$  definition, it is  
40 shown that the total cloud mass is mostly dictated by core processes, while the total  
41 cloud volume is mostly dictated by margin processes. Increase in aerosol  
42 concentration increases the core (mass and volume) due to enhanced condensation but  
43 also decreases the margin due to evaporation. In clean clouds larger droplets  
44 evaporate much slower, enabling preservation of cloud volume and even increase by  
45 dilution (detrainment while losing mass). This explains how despite having smaller  
46 cores and less mass, cleaner clouds may live longer and grow to larger sizes.

47

48

49

50

51



## 52 1. Introduction

53 Aerosols remain one of the largest sources of uncertainty in climate predictions,  
54 mainly via their effects on clouds (IPCC, 2013). Here we focus on the aerosol effects  
55 on warm clouds. Aerosols act as cloud condensation nuclei (CCN) during  
56 heterogeneous nucleation by reducing the supersaturation required for droplet  
57 activation (Köhler, 1936; Mason and Chien, 1962), yielding differences in the initial  
58 cloud droplet size distribution (DSD). Polluted clouds have more, but smaller  
59 droplets, and a narrower DSD compared to clean clouds (Twomey, 1977; Andreae et  
60 al., 2004). Changes in the initial DSD drive various effects and feedbacks on the  
61 cloud's evolution and key processes, such as: droplet mobility,  
62 condensation/evaporation budgets, collision-coalescence, and entrainment (Jiang et  
63 al., 2006; Xue and Feingold, 2006; Small et al., 2009; Koren et al., 2015).

64 It is well known that an abundance of small droplets in a cloud (a narrow DSD)  
65 reduces the efficiency of the collision-coalescence process (Squires, 1958; Warner,  
66 1968; Twomey, 1977), prolongs the diffusional growth time (Khain et al., 2005; Wang,  
67 2005), and delays or even completely suppresses the initiation of precipitation  
68 (Hudson and Yum, 2001; Hudson and Mishra, 2007; L'Ecuyer et al., 2009; Albrecht,  
69 1989). Moreover, in-cloud condensational growth is more efficient in consuming  
70 supersaturation because of the larger surface area-to-volume ratio of droplets (Mordy,  
71 1959; Reutter et al., 2009; Pinsky et al., 2012; Seiki and Nakajima, 2013; Dagan et al.,  
72 2015a, b). These processes above enable the more polluted cloud to condense more  
73 water and intensify its growth via increased release of latent heat (Kogan and Martin,  
74 1994; Koren et al., 2014). The smaller droplets are also pushed higher in the  
75 atmosphere due to larger droplet mobility (Koren et al., 2014; Koren et al., 2015)).

76 However, the increase in aerosol amount yields suppressing effects as well. The  
77 symmetry of the diffusion equation dictates that an opposite effect should take place  
78 in the sub saturated regions of the cloud, where more numerous and smaller droplets  
79 increase the evaporation rate and loss of cloud mass. Increased evaporation can  
80 promote entrainment mixing which in turn mixes more sub saturated air into the cloud  
81 and further promotes evaporation (Jiang et al., 2006; Xue and Feingold, 2006; Small et  
82 al., 2009), effectively initiating a positive feedback between evaporation and mixing  
83 with the eventual suppression of cloud growth. This effect may also be accompanied



84 by a suppressing effect of the larger water loading in polluted clouds which contain  
85 more liquid water mass.

86 The competition between those opposing processes that are driven by enhanced  
87 aerosol loading determines the net aerosol effect on cloud properties such as cloud  
88 fraction, lifetime, albedo, mass, size, and precipitation amount. However, the sign and  
89 magnitude of such effects are non-trivial (Jiang and Feingold, 2006;Stevens and  
90 Feingold, 2009). Previous studies report opposing findings regarding the total aerosol  
91 effects on warm clouds (Altaratz et al., 2014). Some studies suggest cloud  
92 invigoration by aerosols (bigger and deeper clouds) (Kaufman et al., 2005;Dey et al.,  
93 2011;Yuan et al., 2011;Koren et al., 2014) while some suggest cloud suppression or  
94 no effect at all (Jiang and Feingold, 2006;Xue et al., 2008;Li et al., 2011;Savane et al.,  
95 2015). Moreover, other work has shown that the precipitation susceptibility (i.e.  
96 quantifies the sensitivity of precipitation to the aerosol increase) has a non-monotonic  
97 behavior that reaches its maximum at intermediate LWP values (Sorooshian et al.,  
98 2009), implying that the resultant aerosol effects are heavily dependent on cloud type  
99 and environmental conditions.

100 A unified theory for the contradicting results regarding aerosol effects was shown in  
101 recent work (Dagan et al., 2015b). It was shown that the competition between  
102 opposite processes leads to an optimum value of aerosol concentration regarding  
103 various cloud properties like total mass, cloud top, or rain. A cloud that develops  
104 under low aerosol concentration is aerosol limited, as it does not have enough  
105 collective droplet surface area to consume the available water vapor. On the other side  
106 of the trend, a cloud that develops in polluted environment (with more aerosols than  
107 the optimum) is influenced significantly by enhanced entrainment and larger water  
108 loading, causing suppression of cloud development. The optimal concentration is a  
109 function of the thermodynamic conditions (temperature and humidity profiles) and  
110 cloud size.

111 Environments that support larger clouds development will have larger cloud cores that  
112 are positively affected by aerosol increase and can be regarded as aerosol limited (i.e.  
113 on the ascending branch of the aerosol trend) up to a higher optimal aerosol  
114 concentration. Environmental conditions that support small clouds are more strongly  
115 affected by cloud suppression processes at the cloud margins (due to higher cloud



116 surface area to volume ratio) and would have a lower optimal aerosol concentration.  
117 This can explain why studies biased to smaller clouds (mostly numerical modeling  
118 studies) report cloud suppression and studies biased to larger clouds (mostly  
119 observational studies) report cloud invigoration. Similar conclusions were reached for  
120 the cloud field scale as well (Dagan et al., 2017).

121 In addition, it was shown that clouds impact differently the environmental  
122 thermodynamics according to the aerosol level in the field (Seifert and Heus,  
123 2013;Seifert et al., 2015;Dagan et al., 2016). For example changes in aerosol loading  
124 impact the amount of precipitation reaching the surface and subsequently the  
125 evaporative cooling below cloud base and the organization patterns (Xue et al.,  
126 2008;Seifert and Heus, 2013;Seigel, 2014). Moreover, an increase in aerosol loading  
127 may increase evaporation rates around the margins and tops of clouds (Xue and  
128 Feingold, 2006;Stevens, 2007;Seigel, 2014), cooling the upper cloudy layer and  
129 increasing the convective instability. Therefore aerosol effects on phase changes and  
130 precipitation result in vertical redistribution of heat and moisture, which may either  
131 stabilize or destabilize the environment in which subsequent clouds grow (Seifert and  
132 Heus, 2013).

133 Irrespective of the definition chosen, the cloud's core and margin are dominated by  
134 different processes (Dagan et al., 2015b). These processes often compete with each  
135 other, with the dominant one changing along the cloud's evolution. For example, at  
136 the initial stage of cloud formation, a cloud is more adiabatic and is controlled by the  
137 core's processes (condensation), and when it dissipates the margin processes are more  
138 dominant (entrainment and evaporation). Aerosols affect each of these processes and  
139 thus each stage in the cloud's lifetime.

140

## 141 **2. Methods**

142 The analyses performed here are to the most part identical to those described in Part I  
143 (hereafter PTI) of this work. In this section we shall thus only give a brief review of  
144 the methods used. For single cloud simulations we use the Tel-Aviv University  
145 axisymmetric cloud model (TAU-CM (Reisin et al., 1996)), and for cloud field  
146 simulations we use the System for Atmospheric Modeling (SAM) Model (version



147 6.10.3, for details see webpage: <http://rossby.msrc.sunysb.edu/~marat/SAM.html>)  
148 (Khairoutdinov and Randall, 2003)).

149 Both models utilize explicit bin microphysics schemes (Tzivion et al., 1987;Khain et  
150 al., 2004), solving nucleation, diffusion (i.e. condensation and evaporation),  
151 collisional coalescence, breakup, and sedimentation microphysical processes. The  
152 single cloud model is initialized using a Hawaiian thermodynamic profile, based on  
153 the 91285 PHTO Hilo radiosonde at 00Z, 21 Aug, 2007. The cloud field model is  
154 setup based on the BOMEX case study, including an initialization setup (sounding,  
155 surface fluxes, and surface roughness) and large scale forcing setup (Siebesma et al.,  
156 2003). More details on the model setups and definitions can be found in PTI.

157 To study the effects of aerosols on the cloud cores we run each model setup with three  
158 different aerosol concentrations: clean –  $25 \text{ cm}^{-3}$ , intermediate –  $250 \text{ cm}^{-3}$ , and  
159 polluted –  $2000 \text{ cm}^{-3}$ . As defined in Part I, all pixels with at least  $0.01 \text{ g kg}^{-1}$  of liquid  
160 water are considered cloudy. Cloud cores are defined using three definitions: 1)  
161  $RH_{core}$ : relative humidity  $> 100\%$ , 2)  $B_{core}$ : buoyancy  $> 0$ , and 3)  $W_{core}$ : vertical  
162 velocity  $> 0$ . Relative humidity (RH) and vertical velocity (W) are standard outputs of  
163 the model, while the buoyancy (B) is calculated based on eq. 1 in PTI, where each  
164 cloudy pixel is compared with the mean non-cloudy thermodynamic reference state  
165 per height.

166 In order to reduce the problem's dimensionality and distill signals in a cloud field  
167 system governed by high variance, we use the Gravity vs. Mass (CvM) phase space in  
168 combination with an automated 3D cloud tracking algorithm (Heiblum et al., 2016b).  
169 The CvM phase space enables a compact view of all clouds in the simulation, by  
170 projecting only their Center-of-Gravity (COG) height and mass at each output time  
171 step. Using the cloud tracking, it was shown that the lifetime of a cloud can be  
172 described by a trajectory on this phase space. Hence, the different locations in the  
173 CvM space are associated with different stages in a cloud's lifetime (i.e. growing,  
174 precipitating, and dissipating). For an in-depth explanation of the CvM space, the  
175 reader is referred to Sect. 2.4 in PTI (see schematic illustration - Fig. 1, PTI).

176

177



### 178 3. Results – Single cloud simulations

#### 179 3.1. Sensitivity of different core types to aerosol concentration

180 Figure 1 presents time series of single cloud core volume fractions and cores'  
181 properties, for three aerosol concentrations (clean, intermediate, and polluted). Also  
182 included are time series of instantaneous rain-rates [ $\text{mm hr}^{-1}$ ] at the domain surface.  
183 For all aerosol concentrations and during most of the clouds' lifetimes, the volume  
184 fraction of  $W_{core}$  tends to be the largest and of  $B_{core}$  the smallest. Exceptions to this  
185 finding are seen either at the initial time step for the polluted cloud or the later stages  
186 of cloud lifetime for the lower concentration clouds. In addition, we find that  
187  $RH_{core} \subseteq W_{core}$  for all stages of cloud lifetime while  $B_{core} \subseteq W_{core}, RH_{core}$  for all  
188 stages of the polluted cloud but only applies to the growing stages of lower  
189 concentration clouds before precipitation production. Thus, the main finding from PTI  
190 (i.e.  $B_{core} \subseteq RH_{core} \subseteq W_{core}$ ) generally applies to all aerosol concentrations during  
191 the pre-precipitation stages of the clouds' lifetimes.

192 Lower aerosol concentration simulations produce more rain, and at earlier stages of  
193 cloud lifetime due to efficient collision coalescence. The increase in  $B_{core}$  volume  
194 fraction at later stages of cloud lifetime in those simulations (clean and intermediate)  
195 coincides with initiation of precipitation production, followed by a consequent  
196 increase in  $W_{core}$  volume fraction as well (more so for the intermediate  
197 concentration). The possible mechanism behind the increase in prevalence of buoyant  
198 parcels during precipitation is explored in Sect. 3.2. The lack of  $RH_{core}$  pixels at these  
199 stages indicates that the  $W_{core}$  is composed of pixels with small vertical velocities,  
200 insufficient for supersaturation production. The  $RH_{core}$  is the only one which is not  
201 sensitive to rain and monotonically decreases during all clouds' lifetimes. Another  
202 clear aerosol effect seen in Fig. 1 is an increase in cloud lifetime with decrease in  
203 aerosol concentration. This point will be further explored in Sect. 3.3.

204

#### 205 3.2. Mechanisms governing positive buoyancy

206 The theoretical arguments in PTI showed that  $B_{core}$  should be the smallest of the  
207 three. This was shown for both the adiabatic cloud column case and also the non-  
208 adiabatic case where entrainment mixing and consequent evaporation has a strong net



209 negative effect has on cloud buoyancy. Despite this fact, results show (see Fig. 1, and  
210 Fig. 2 in PTI) that pockets of positive buoyancy may form independent of the other  
211 cores during dissipation and precipitation stages, even though evaporation is to be  
212 expected then. Since positive buoyancy is the result of either higher temperature or  
213 vapor content (or both) than the surrounding environment, we choose to analyze these  
214 two terms during different stages of the single cloud lifetimes.

215 Figure 2 shows the values of the temperature ( $B_T$ ) and humidity ( $B_{Q_v}$ ) buoyancy terms  
216 in pixel buoyancy vs. pixel vertical velocity phase space. The scatter plots include all  
217 cloudy pixels during all time steps, for the three different aerosol concentration  
218 simulations. The distribution of points for the polluted simulation shows a positive  
219 linear dependence of buoyancy on vertical velocity. Negative vertical velocity is  
220 associated with negative buoyancy and positive vertical velocity shows a transition  
221 from negative to positive buoyancy with increase in magnitude. For this case both  $B_T$   
222 and  $B_{Q_v}$  increase with increase in vertical velocity, as is generally expected in  
223 convective clouds. The sign of pixel buoyancy is mostly dependent on  $B_T$  since all  
224 pixels have positive  $B_{Q_v}$  and a negative water loading term. This behavior is also seen  
225 for lower aerosol concentrations, where the sign of buoyancy is to the most part  
226 determined by  $B_T$ .

227 The clean and intermediate simulations show a similar dependence of buoyancy on  
228 vertical velocity; however, it is apparent that these simulations also include an outlier  
229 scatter region of pixels with positive buoyancy and weak negative vertical velocity  
230 which is absent in the polluted simulation (see white arrows, Fig. 2). Consistent with  
231 the rest of the cloudy pixels, these outlier pixels have positive  $B_T$ , but differ in that  
232 they show neutral  $B_{Q_v}$ . It can also be seen that these pixels are only attributed to the  
233 stages after surface precipitation has commenced (indicated by black dots in markers).  
234 Precipitation is indicative of both downdraft motion and abundance of large droplet  
235 sizes.

236 Thus, we hypothesize that pockets of positive buoyancy may form due to transport of  
237 parcels with higher potential temperature from above, namely adiabatic heating. The  
238 weak downdrafts also transport lower mixing ratio ( $Q_v$ ) values, as is indicated by the  
239 neutral  $B_{Q_v}$ . Moreover, the occurrence during precipitating stages and for lower  
240 aerosol concentrations indicates that slow evaporation due to larger droplet sizes is



241 crucial. Indeed, most pixels with negative buoyancy show positive  $B_{Qv}$  except for the  
242 clean case where rain pixels from the cloudy layer sediment well below the cloud base  
243 and experience higher environmental  $Q_v$  (while evaporating slowly), resulting in  
244 negative  $B_{Qv}$ .

245

### 246 **3.3. The dependency of cloud characteristics on core and margin's** 247 **processes**

248 Here we evaluate how aerosol effects within the core and margin affect the cloud  
249 characteristics, focusing on two main parameters; size (or volume) and mass. In Fig. 3  
250 we follow the evolution of cloud, core, and margin mass and volume for different  
251 aerosol concentrations, using only the  $RH_{core}$  definition. We choose the  $RH_{core}$  since  
252 it is the most well behaved out the core types, generally decreasing monotonically  
253 (see Fig. 1). A non-monotonic dependency of total cloud mass on aerosol  
254 concentration is seen, showing a maximum for the intermediate concentration. This  
255 type of dependency has been previously reported for warm cumulus clouds (Dagan et  
256 al., 2015b; Savane et al., 2015).

257 One can generally expect an increase in diffusion and decrease in collision-  
258 coalescence processes efficiency with increase in aerosol concentration (Hudson and  
259 Yum, 2001; Jiang et al., 2009; L'Ecuyer et al., 2009; Pinsky et al., 2012), affecting both  
260 condensation and evaporation processes. The intermediate concentration shows the  
261 highest total mass as a result of being an optimal case with higher condensation  
262 efficiency than the clean case and lower evaporation efficiency than the polluted case.  
263 It is convenient to represent the condensation and evaporation efficiencies by the  
264  $RH_{core}$  and  $RH_{margin}$  mass, respectively. The intermediate cloud has almost identical  
265 core mass as does the polluted cloud, but retains higher mass in its margin as well.  
266 The clean cloud shows the lowest core mass but manages to accumulate the largest  
267 mass in its margin that dissipates slowly in subsaturated conditions. By comparing the  
268 total cloud mass evolution with the core and margin mass evolutions, it becomes clear  
269 that the total mass is primarily dependent on the cloud core. Another way to see this is  
270 by plotting the core mass fraction (Fig. 3 bottom panel), which shows that clouds are  
271 core dominated (core fraction  $> 0.5$ ) with respect to mass for most of their lifetimes,  
272 and for all aerosol concentrations.



273 With respect to cloud total volume, the lower the concentration, the larger the total  
274 cloud volume. We note that the cloud volume here excludes regions of precipitation  
275 below the initial cloud base height. By separating to core and margin regions, one can  
276 see that the total cloud volume is primarily dependent on the volume of the margin,  
277 which increases significantly with decreasing concentration. This is especially true  
278 during the dissipating stages of cloud lifetime, when the cloud is margin dominated.  
279 Although increasing the aerosol concentration does initially yield an increase in core  
280 volume (as was seen for the mass), the extents of the core size are typically smaller  
281 than those of the margin. There are large differences in the relative core volume  
282 percent for the different clouds. The clean (polluted) cloud is margin (core) dominated  
283 with respect to volume for most of its lifetime. Excluding time of formation, the clean  
284 cloud shows the lowest core volume fractions, but manages to maintain its core for the  
285 longest time span.

286 These results can again be attributed to higher diffusion efficiencies with increase in  
287 aerosol concentration. Additionally, lower collision-coalescence efficiencies also  
288 maintain a narrow droplet spectrum of small droplets in the polluted cloud. During the  
289 growing stage a higher aerosol concentration may permit the cloud to condense more  
290 water, release more latent heat, and promote cloud growth. This explains the larger  
291 core volume sizes. However, after the cloud exhausts its convective potential (i.e. the  
292 growth of the convective core terminates and reaches its peak in mass), its main  
293 method of expansion is by mixing with the environment (i.e. detrainment). We note  
294 that precipitation can also be considered a method of expansion, however our choice  
295 to focus on volume above initial cloud base excludes this effect. Detrainment results  
296 in sub-saturation conditions and evaporation of LWC. A clear indication for dilution  
297 is seen in Fig. 3 where between 30 and 35 mins of simulation time both the clean and  
298 polluted clouds lose total mass but only the clean cloud increases in total volume. The  
299 polluted cloud evaporates its margin regions efficiently and is thus limited in  
300 detrainment growth. The clean cloud is less efficient in evaporating its margins and  
301 hence can grow by dilution of its LWC upon a larger volume. This large margin  
302 "shields" the core during dissipation stages and enables it to live for a longer time.

303 The mechanism behind the results in Fig. 3 is demonstrated in Fig. 4, where  
304 horizontal cross-sections of mean (taken in the vertical dimension) cloud RH are  
305 shown for different stages during the clouds' lifetimes. For the polluted cloud, super-



306 or sub- saturated conditions are rare. The RH throughout the cloud is near 100%  
307 (almost always between 99.8% and 100.2%) except for a few pixels at its far edges  
308 which are a bit below 99%. The polluted cloud resembles what one would expect to  
309 see using a moist adiabatic approximation (i.e. saturation adjustment), where all  
310 excess water vapor above saturation is converted to liquid water, mimicking infinitely  
311 efficient condensation (and evaporation).

312 The clean cloud shows opposite behavior, with extremes of large super-saturation  
313 during cloud growth (initial stages) and large sub-saturation during cloud dissipation  
314 (final stages). Both extremes can be explained by the low diffusion efficiency in this  
315 case. This enables the clean cloud to expand to larger horizontal extents (by dilution  
316 and mixing with the environment without fully evaporating) and live for longer times.  
317 The intermediate aerosol concentration shows a midway scenario, where the super-  
318 saturation is consumed more efficiently than the clean case and at the same time much  
319 larger values of sub-saturation may exist than those seen for the polluted case.

320

#### 321 **4. Results – Cloud field simulations**

322 In the following section we expand our analyses of aerosol effects on cloud core and  
323 margin from the single cloud scale to the cloud field scale. A cloud field can be  
324 considered as composed of many individual clouds and thus can serve to test the  
325 robustness of the aerosol effects seen for a single cloud. Moreover, cloud fields  
326 include the added complexity of interactions between clouds and the clouds' effects  
327 on their thermodynamic environment.

##### 328 **4.1. Sensitivity of different core types to aerosol concentration**

329 Here CvM space representations (see Sect. 2) are used to observe the core volume  
330 fractions of all clouds in BOMEX cloud field simulations. The rows in Fig. 5  
331 represent different aerosol concentrations while the columns represent different core  
332 type definitions. Different aerosol concentrations produce a vastly different scatter of  
333 clouds in the CvM space, as was previously discussed in depth (Heiblum et al.,  
334 2016a). The clean simulation ( $25 \text{ cm}^{-3}$ ) shows two disconnected regions of cloud  
335 scatter: one which is adjacent to the adiabatic approximation and one of mainly small  
336 mass and high COG clouds. The former region includes both clouds during their



337 growth stages (smaller masses,  $LWP < 10 \text{ g m}^{-2}$ ) and large precipitating entities  
338 (larger masses,  $LWP > 10 \text{ g m}^{-2}$ ) which form due to merging processes (see (Heiblum  
339 et al., 2016a)). The latter region (small mass and high COG) includes clouds at their  
340 dissipating stage, which form by shedding mechanism off the large cloud entities. We  
341 note also the existence of small mass elements well below the adiabat, representing  
342 precipitation cloud segments which shed off large precipitating clouds.

343 The polluted simulation ( $2000 \text{ cm}^{-3}$ ) shows a much more homogeneous scatter of  
344 clouds. The lower part of the scatter (closest to the adiabat) represents the cloud  
345 growing branch while the rest of the scatter represents dissipating clouds, either by  
346 gradual process of rising cloud base or by immediate process of shedding off larger  
347 cloud entity (see Fig. 1, PTI). Precipitating cloud segments below the adiabat are  
348 absent from this simulation. The intermediate simulation ( $250 \text{ cm}^{-3}$ ) shows a scatter  
349 which generally more resembles the polluted case. However, the existence of  
350 relatively disconnected (from the main cloud scatter) small mass cloud segments  
351 below the adiabat and near the inversion base height resembles the clean simulation as  
352 well.

353 The results in Fig. 5 show a consistent behavior of the core volume fractions for all  
354 aerosol concentrations, where the  $W_{core}$  type shows the largest fractions and the  $B_{core}$   
355 type shows the smallest fractions. The  $W_{core}$  and  $RH_{core}$  generally show a decrease in  
356 core fractions along the growing branch while the  $B_{core}$  fraction initially increase with  
357 cloud growth and then decrease for the large mass growing clouds. These results are  
358 consistent with PTI and the single cloud simulations in Sect. 3.1. Nevertheless, some  
359 significant aerosol effects on the partition to core types can be seen. Focusing on the  
360 growing branch first (i.e. clouds located near the adiabat), we note the following:

- 361 1) For the  $RH_{core}$  type, the core volume fractions of clouds after formation (i.e.  
362 with small mass) increase with decreasing aerosol concentration. This effect  
363 was also seen for the single cloud simulations and can be explained by the  
364 reduced efficiency of super-saturation consumption for fewer aerosols.
- 365 2) The  $B_{core}$  volume fraction increases at smaller mass values (or earlier in  
366 cloud's lifetime) and to higher values for increasing aerosol concentration.  
367 This effect is complimentary to the previous one, since efficient consumption



368 of super-saturation should result in more latent heat release and positive  
369 buoyancy.

370 3) The core volume fractions of the largest mass clouds increase with increasing  
371 aerosol concentration, for all core types.

372 For the dissipating branch clouds a highly variable pattern of core volume fractions  
373 can be seen, especially for the small mass clouds. For all aerosol concentrations, these  
374 small cloud fragments can be either core dominated, margin dominated, or equally  
375 partitioned. One can assume that these differences can be related to the different  
376 mechanisms by which cloud fragments form, either by gradual dissipation of a large  
377 cloud and by instantaneous shedding of a large cloud. As for aerosol effects on the  
378 dissipating clouds, we see the following:

379 1) Higher  $RH_{core}$  and  $W_{core}$  volume fractions for gradually dissipating clouds (by  
380 rising cloud base) with increase in aerosol concentration. This is manifested by  
381 a slower transition from red to blue colors in Fig. 5. It can be explained by the  
382 fact that more aerosols increase the convective intensity and extend the core  
383 size, while efficiently losing the margins, yielding a higher core volume  
384 fraction out of the total cloud.

385 2) The likelihood to find dissipating cloud fragments with a  $B_{core}$  increases with  
386 decrease in aerosol concentration. For the polluted case most of the dissipating  
387 clouds lack a  $B_{core}$ . This effect was seen in Fig. 1 and explained in Sect. 3.2,  
388 showing that weak downdrafts promote heating and positive buoyancy in low  
389 aerosol concentration cases where evaporation efficiency (and hence cooling)  
390 is limited. This hypothesis is checked for the cloud field scale in Sect. 4.2.

391 As opposed to the single cloud simulations (Sect. 3) where cloud lifetime can be  
392 easily defined, in cloud field simulations (especially the cleaner cases) many clouds  
393 do not live as individual clouds from formation to dissipation but rather split and  
394 merge with other clouds continuously (Heiblum et al., 2016a). Thus, in order to  
395 evaluate the lifetime evolution of cores in cloud fields, we focus on the growing  
396 branch and use cloud mass [kg] as a proxy for the cloud lifetime during its initial and  
397 mature stages. We assume that in the vicinity of the growing branch a larger mass  
398 corresponds to a later stage in lifetime.



399 In Fig. 6 the core mass and volume fractions (using the RH definition) of all growing  
400 branch clouds are sorted by mass for the three aerosol concentrations. We note that  
401 the higher cloud masses reached by lower aerosol concentration simulation can be  
402 explained by cloud field organization effects due to precipitation (i.e. increased  
403 merging of clouds) rather than increased cloud condensation (Seigel, 2014; Heiblum et  
404 al., 2016a). The clean case starts off with the highest core fractions (both mass and  
405 volume) which decrease steadily with increase in mass (or increase in lifetime). For  
406 all concentrations, most of the cloud mass is concentrated in the core region. The  
407 polluted case shows a slight increase in mass fractions with increase in mass, while  
408 the other two cases show decreases in mass fractions.

409 The core volume fractions show lower values than the mass fractions. The clean  
410 clouds are margin dominated for most masses, and the polluted clouds are core  
411 dominated for all masses. The intermediate case is generally confined to values  
412 between the other two cases. Figure 6 can be considered comparable with the lower  
413 panels in Fig. 3, but excluding the dissipating part of those time series. The similar  
414 findings in both figures indicate the robustness of the aerosol effects on core  
415 properties in clouds.

416 Following the analyses of Sect. 3.1, we next test how aerosol concentration affects the  
417 subset properties of one core type within another for all clouds in a field (Fig. 7). We  
418 focus only on the typically smaller sized cores ( $B_{core}$ ,  $RH_{core}$ ) within larger sized  
419 cores. Out of the three permutations, the  $RH_{core}$  inside  $W_{core}$  shows the lowest  
420 sensitivity to aerosol. All three growing branches (for the different aerosol  
421 concentrations) consistently show that the  $RH_{core}$  is a subset of  $W_{core}$  (i.e.  $RH_{core} \subseteq$   
422  $W_{core}$ ) while the dissipation branches show much lower overlap fraction between the  
423 two cores.

424 Generally, for the dissipating clouds, the lower the mass and the higher the COG, the  
425 smaller the overlap. The dissipating branches do include a scatter of small cloud for  
426 which  $RH_{core} \subseteq W_{core}$ , comprised of small cloud segments which shed of the main  
427 core regions of larger clouds. These findings slightly differ from those of the single  
428 cloud simulations that show  $RH_{core} \subseteq W_{core}$  for their entire lifetimes while for cloud  
429 fields this property breaks down during dissipation. This difference highlights the  
430 importance of cloud interactions (i.e. splitting, merging) and cloud field air flow



431 patterns (i.e. organized advection, updrafts, and downdrafts) in determining the  
432 relationships between core types, enabling supersaturation and downdrafts to coincide  
433 in small dissipating clouds.

434 The other two permutations (i.e.  $B_{core}$  inside  $RH_{core}$ ,  $W_{core}$ ) show significant changes  
435 due to aerosol. For the polluted case,  $B_{core} \subseteq W_{core}$  for nearly all clouds, including  
436 clouds at initial stages of dissipation. Similar results are seen for  $B_{core}$  inside  $RH_{core}$ ,  
437 but with slightly lower pixel fractions. The polluted case thus illustrates the case of  
438 buoyancy production due to convective processes. For the lower aerosol  
439 concentrations, two main aerosol effects are seen:

440 1) The lower the concentration, the lower the chance that  $B_{core}$  is a proper subset  
441 of the other cores for large growing branch clouds.

442 2) The lower the concentration, the more prevalent the independent dissipating  
443 branch  $B_{core}$  that has little to no overlap with the other cores.

444 For the case of  $B_{core}$  within  $RH_{core}$ , the lower concentrations show an almost binary  
445 scenario where either  $B_{core} \subseteq RH_{core}$  or  $B_{core} \not\subseteq RH_{core}$ . These result bear similarity  
446 with the single cloud simulations, where a quick transition (in time) from  $B_{core} \subseteq$   
447  $RH_{core}$  to  $B_{core} \not\subseteq RH_{core}$  was seen. This results implies the existence of two different  
448 buoyancy production processes (as will be shown in Sect. 4.2), one associated with  
449 supersaturation and the other with subsaturation. In contrary,  $B_{core}$  inside  $W_{core}$ ,  
450 which shows higher values and more fluctuations in pixels fractions for both single  
451 clouds and clouds fields during dissipation. This is to be expected due to the a more  
452 direct physical link and feedbacks between the  $B_{core}$  and  $W_{core}$ .

453

#### 454 4.2. Analysis of cloud field buoyancy

455 In Sect. 3.2 it was seen that for single clouds, positive buoyancy results from two  
456 main mechanisms: i) convection - where updrafts promote supersaturation and latent  
457 heat release, and thus positive  $B_T$  and  $B_{Qv}$ , and ii) adiabatic heating - where weak  
458 downdrafts promote a positive  $B_T$  and neutral  $B_{Qv}$ . The latter case is dependent on low  
459 evaporation efficiency and hence seen mostly for precipitating stages of low aerosol  
460 concentration simulations.



461 In Fig. 8 we perform a similar test for the cloud field scale. Instead of analyzing pixel  
462 by pixel, we check whether each buoyancy core within a cloud is  $B_T$  or  $B_{Qv}$   
463 dominated. To quantify this we use a normalized buoyancy dominance parameter  
464  $\frac{\sum pixel_{B_T>0} - \sum pixel_{B_{Qv}>0}}{\sum pixel_{B>0}}$ , where a core comprised of only  $B_T>0$  ( $B_{Qv}>0$ ) pixels yields 1  
465 ( $-1$ ). Hence, we expect negative (positive) values to indicate dominance of  
466 convective buoyancy (adiabatic heating buoyancy).

467 Analysis of the buoyancy components in the CvM space (right column, Fig. 8) shows  
468 that the large majority of clouds are  $B_{Qv}$  dominated. For all concentrations, clouds  
469 initiate with all pixels showing  $B_{Qv}>0$ . As clouds develop along the growing branch  
470 the  $B_{core}$  becomes more abundant with  $B_T>0$  pixels. This is expected with increasing  
471 release of latent heat during cloud growth. During dissipation  $B_{Qv}$  again becomes the  
472 dominant component for the majority of clouds. The polluted simulation shows an  
473 extreme case where all buoyancy cores in the simulation are  $B_{Qv}$  dominated, while for  
474 the lower concentrations a portion of the dissipating and precipitating clouds are  $B_T$   
475 dominated.

476 Thus, we hypothesize that the polluted simulation only permits buoyancy cores of the  
477 convective type which intersect with the other cores types (i.e.  $B_{core} \in RH_{core}, W_{core}$ ),  
478 while the lower concentrations also permit buoyancy cores of the adiabatic heating  
479 type which do not intersect with the other core types (i.e.  $B_{core} \notin RH_{core}, W_{core}$ ). This  
480 hypothesis is tested by observing the effects of cloud maximum vertical velocity (left  
481 column, Fig. 8) and mean drop size (middle column, Fig. 8) on the relative dominance  
482 of the buoyancy terms. The data is further separated to independent ( $B_{core} \notin$   
483  $RH_{core}, W_{core}$ ) and dependent ( $B_{core} \in RH_{core}, W_{core}$ ) buoyancy subsets of the data.  
484 Clear aerosol effects are seen on cloud mean drop size and maximal  $W$ . As expected,  
485 there is a decrease in drop size with increase in aerosol concentration and increase in  
486 maximal velocity. Regarding cloud field buoyancy, as predicted the independent  
487 buoyancy cores are more frequently  $B_T$  dominated than the dependent buoyancy  
488 cores.

489 The polluted case is populated with dependent cores (white scatter) and shows a  
490 classic pre-precipitation convective growth scenario, where relative dominance of the  
491  $B_T$  term increases linearly with increase in cloud mean drop size. A logarithmic  
492 dependence of  $B_T$  dominance on maximal  $W$  is seen, which saturates at high maximal



493 W. This can be explained by the fact increased convection mainly increases the  
494 abundance of pixels with  $B_T > 0$ , but without changing the fact that the entire cloud is  
495  $B_{Qv} > 0$ , so that  $B_T$  is unlikely to become the dominant term.

496 The lower concentrations show a more complex scenario. These simulations show a  
497 superposition of dependent core convective growth behavior (i.e. the scatter pattern  
498 seen for the polluted case) and additional populations of both dependent (other white  
499 scatter points) and independent (black scatter) cores. The independent cores span all  
500 the range of possibilities of  $B_T$  and  $B_{Qv}$  relative dominances. They tend to have larger  
501 cloud mean drop sizes, and near zero maximum W, indicating that they only form at  
502 late non-convective stages of cloud development. The independent cores that are  
503  $B_T$ -dominated thus fulfill the characteristics of adiabatic heating process, while the  
504 independent cores that are  $B_{Qv}$  dominated may originate from larger clouds (shedding  
505 mechanism) with high humidity content and are slow to evaporate.

506 The intermediate simulation shows an additional scatter area of dependent core clouds  
507 with increasing of  $B_T$  relative dominance for lower maximal W, located between the  
508 independent core clouds and the convective growth core clouds. These clouds may  
509 represent a gradual transition from  $B_{Qv}$  dominance to  $B_T$  dominance during dissipation  
510 which is only possible in the intermediate simulation. This scatter area is absent from  
511 the clean and polluted simulation. In the former case due to absence of the gradual  
512 dissipation pathway, and in the latter case due to efficient evaporation eliminating  
513  $B_{core}$  during dissipation.

514

### 515 4.3. Aerosol effects on cloud relative humidity

516 From Fig. 3 it was learned that a large part of the differences in single cloud  
517 characteristics (such as mass, volume, and the partition of these to core and margin  
518 regions) due to aerosols can be attributed to differences in vapor diffusion  
519 efficiencies. In Fig. 9 we check how these aerosol effects are manifested in the cloud  
520 field scale (using the CvM space) by observing the mean relative humidity (RH) in  
521 the cloud core and margin of all clouds. The core mean RH can be taken as a proxy  
522 for condensation efficiency, the margin mean RH as a proxy for evaporation  
523 efficiency. To gain additional intuition regarding the distribution of RH values within



524 the clouds, vertical cross-sections (parallel to the prevailing wind direction) of the  
525 most massive clouds from each simulation are shown.

526 The vertical cross-sections demonstrate the large differences in the massive clouds for  
527 each of the simulations. In addition to the increase in precipitation production, lower  
528 aerosol concentrations yield much larger horizontal extents of clouds. The clean,  
529 intermediate, and polluted most massive clouds have a maximum radius of  $\sim 3$ ,  $\sim 1$ ,  
530 and  $\sim 0.5$  km, respectively. It is clear from the cross-section that the clean cloud is  
531 actually composed of two large clouds which merge together. For the clean case, the  
532 highest RH values are reached slightly below the cloud top. The edges of the clouds  
533 show sub-saturation conditions, with the lowest RH values observed below the LCL  
534 (precipitation regions) and at the upper interface of the cloud with the environments.

535 The intermediate case cloud shows lower maximal and minimal RH values and an  
536 increased dominance of the margin region. This cloud penetrates the inversion layer  
537 and entrains dry air into the cloud. In addition, the cloud produces significant  
538 precipitation which initiates downdrafts of dry entrained air through the cloud center.  
539 It can be seen that the increased vertical development of the intermediate case cloud  
540 in comparison with the clean case increases the mixing with the environment. Thus,  
541 the dynamic effect of increased mixing and reduction in cloud RH overcomes the  
542 microphysical effect of increased evaporation and increase in cloud RH. The polluted  
543 case cloud on the other hand shows a homogeneous RH pattern, with most of the  
544 cloud showing around 100% RH and only a thin layer at the cloud edges (mainly at  
545 the upper regions) shows lower RH values. The polluted cloud penetrates the  
546 inversion layer as well, but this case lacks precipitation and the microphysical effect  
547 of evaporation overcomes the dynamical effect of mixing.

548 Keeping in mind the insights obtained from comparisons of individual cloud, we  
549 move on to compare the RH characteristics of all clouds within the field. Looking first  
550 at core mean RH, a robust decrease is seen with increase in aerosol concentration.  
551 This decrease is seen for all cloud types and locations within the CvM space. The  
552 polluted case displays the most homogeneous pattern with all clouds showing core  
553 mean RH values around 100%, indicating efficient consumption of the  
554 supersaturation. The intermediate case displays a slightly less homogeneous pattern  
555 with values ranging from 100% to 101%, the higher values occurring along the



556 growing cloud branch, especially for the largest clouds. The clean case shows the  
557 largest variance in core mean RH, ranging from 100% for some cloud fragments that  
558 soon start to dissipate, to 103% in the cores of the large cloud entities. In addition to  
559 the low efficiency in consuming supersaturation, the high RH values in clean large  
560 clouds are due to the "protection" by large margin regions surrounding the core  
561 region.

562 The CvM patterns of mean margin RH show significant differences between the  
563 polluted case and the other two. The mean margin RH values of the polluted case are  
564 only marginally lower than 100%, since sub-saturated conditions within the cloud are  
565 quickly adjusted by efficient evaporation. Only the largest clouds in the polluted case  
566 permit lower mean margin RH values (~ 95%) due to the entrainment of very dry  
567 environmental pixels near the cloud tops (as seen in the vertical cross-section as well).  
568 The intermediate and clean cases show similar patterns. The smaller mass clouds  
569 (both growing and dissipating) show values above 95%, while the larger mass clouds  
570 show values as low as 85%. The larger clouds are most likely to reach low RH areas  
571 near the inversion base and below the LCL (i.e. sub-cloudy layer) and entrain dry air  
572 and by that reduce the cloud margin RH.

573 As seen in the vertical cross-section examples, the largest clouds in the intermediate  
574 case have even lower margin RH values than for the clean case. This can be explained  
575 by the increased development of the large intermediate clouds to heights with lower  
576 RH and by more intense downdrafts for these large clouds. The lowest RH values in  
577 the domain are seen for the precipitating fragments (i.e. located below the adiabat).  
578 These fragments typically contain low concentrations of large drop sizes  
579 (precipitation drops) which are slow to evaporate and capable of surviving in low RH  
580 conditions within the sub-cloudy layer.

581

## 582 **Summary**

583 In this work we explored how the aerosol effects on warm convective clouds are  
584 reflected in their partition to core and margin regions. Following part I of this work  
585 (PTI), we evaluated three types of core definitions: positive buoyancy ( $B_{core}$ ), super-  
586 saturation ( $RH_{core}$ ), and positive vertical velocity ( $W_{core}$ ). Both single cloud and



587 cloud field models have been used. The former distills the dominant in-cloud  
588 processes affected by aerosols while the latter also takes into consideration the  
589 multiple temporal cloud evolution pathways and the additional effects of cloud field  
590 organization and interactions between clouds.

591 For all aerosol concentrations, it is shown that the self-contained property of different  
592 core types (i.e.  $B_{core} \subseteq RH_{core} \subseteq W_{core}$ ) is maintained for clouds during their growing  
593 and mature stages. This is especially robust for the  $RH_{core} \subseteq W_{core}$  subset. The  $W_{core}$   
594 and  $RH_{core}$  volume fractions decrease monotonically during cloud growth, while  
595  $B_{core}$  initially increases and then decreases after convection ceases. During growth,  
596 the  $RH_{core}$  ( $B_{core}$ ) volume fractions are largest for clean (polluted) clouds. This is due  
597 to low (high) diffusion efficiencies, respectively, where efficient condensation  
598 promotes  $B_{core}$  at the expense of the  $RH_{core}$ .

599 During dissipation stages cores frequently cease to be subsets of one another and may  
600 either increase or decrease in their volume fractions. In cloud fields we also observe  
601 small cloud fragments which shed off larger cloud entities. This shedding increases  
602 for the lower concentration simulation which produce long-lived large cloud entities.  
603 These fragments show large variance in volume fraction (for all core types)  
604 magnitudes without any consistent behavior. This is due to the fact that they shed off  
605 various locations of the cloud. The polluted, non-precipitating cases, are unique in that  
606 can one expect the  $B_{core}$  to decrease monotonically and remain the smallest and a  
607 proper subset of the other cores.

608 For low aerosol concentration, clouds which are capable of producing precipitation, a  
609  $B_{core}$  may form during dissipation and exist independently of the other core types.  
610 These cores are typically located at the periphery of large clouds, or throughout small  
611 precipitation or dissipating cloud fragments. The increase in  $B_{core}$  during dissipation  
612 typically coincides with precipitation production. The fluctuations in  $B_{core}$  for low  
613 concentrations may also create a subsequent  $W_{core}$ , but not of sufficient strength to  
614 also create a  $RH_{core}$ . Hence, the  $RH_{core}$  can be considered the most “well-behaved”  
615 and indicative of cloud lifetime, generally monotonically decreasing in volume  
616 fraction irrespective of aerosol concentration.

617 We show that the  $B_{core}$  in the warm convective cases considered here may form by  
618 two main processes:



619 1. Convection: adiabatic cooling within updrafts promotes supersaturation,  
620 condensation, and release of latent heat.

621 2. Adiabatic heating: weak downdrafts during dissipation or precipitation  
622 transport higher potential temperatures from above.

623 The convective case is seen for all aerosol concentrations, and is characterized by a  
624 dependent  $B_{core}$  (i.e.  $B_{core} \in RH_{core}, W_{core}$ ). During convection  $B_{core}$  pixels have a  
625 positive humidity term ( $B_{Qv}$ ), with an increasing abundance of a positive temperature  
626 term ( $B_T$ ) pixels with increase in cloud maximum vertical velocities. During  
627 dissipation this type of  $B_{core}$  shrinks rapidly due to negative  $B_T$ . The adiabatic heating  
628 case is only seen for lower aerosol concentrations, and is characterized by  
629 independent  $B_{core}$  (i.e.  $B_{core} \notin RH_{core}, W_{core}$ ). In this case  $B_T$  is the dominant term in  
630 the cloud. The clouds with independent  $B_{core}$  experience near neutral vertical  
631 velocities for all pixels, and typically show larger cloud mean drop sizes than for the  
632 dependent type ones.

633 The fact that the adiabatic heating  $B_{core}$  is absent from polluted clouds highlights the  
634 importance of mean drop size and its effect on evaporation rate. The high (low)  
635 diffusion (collision coalescence) efficiencies in polluted clouds maintain a small mean  
636 drop size and efficient evaporation during entrainment. In PTI we saw that  
637 evaporation always has a strong negative effect on buoyancy. In the polluted case the  
638 convective  $B_{core}$  disappear rapidly during dissipation and cannot form in small cloud  
639 fragments even if they experience weak downdrafts. The importance of drop size is  
640 illustrated by the fact that even for lower concentrations, clouds with independent  
641  $B_{core}$  only exist during late dissipation and precipitating stages after drop size has  
642 grown considerably.

643 Focusing on cores using the RH definition, a cloud's mass (volume) is dependent  
644 primarily on the processes in its core (margin). The core increases cloud mass by  
645 condensation while the margin increases the cloud's volume by mixing with the  
646 environment, or dilution. The magnitude of the effects in each region of the cloud is  
647 strongly dependent on the aerosol concentration. Increasing the aerosol concentration  
648 increases the vapor diffusion rate, minimizing both the super-saturation and sub-  
649 saturation (absolute) values in the cloud. Thus, polluted clouds are efficient in  
650 accumulating water mass but also in losing it. This competition between the core mass



651 gain and margin mass loss regions is what brings about the concept of an optimal  
652 aerosol concentration (Dagan et al., 2015b), and explains why more polluted clouds  
653 are not necessarily more massive.

654 Polluted clouds are core dominated both in terms of mass and volume, since they can  
655 hardly maintain their margins. Clean clouds are also core dominated in terms of mass,  
656 but to a lesser degree. However, expect for the initial time of cloud formation where  
657 the entire cloud is super-saturated, clean clouds tend to be margin dominated in terms  
658 of volume for most their lifetimes. Thus, despite weaker convection in the clean  
659 clouds, their large, slow evaporating margins enable their cores (and the entire cloud)  
660 to exist for longer time spans by applying a large "protecting shield" around the core.

661 The different diffusion efficiencies are demonstrated by observing the relative  
662 humidity (RH) values in clouds. Cleaner clouds show larger variance in RH values.  
663 During their growing stages large super-saturation in the core and sub-saturation in  
664 the margin can be seen. During their dissipation stages clouds may exist for minutes  
665 without any cloud core, with the entire cloud at sub-saturation. Polluted clouds show  
666 the opposite, with RH values nearing 100% throughout the cloud, at all stages. Hence,  
667 above a certain aerosol concentration, the saturation adjustment approximation (i.e.  
668 instant condensation of all super-saturation) can be considered valid. However, the  
669 transition from clean to polluted is not always linear. For example, for the largest  
670 clouds in the intermediate case have lower margin RH value than both the clean and  
671 polluted cases. This is due to the fact that the intermediate case manages to develop  
672 taller (than the clean case) clouds with stronger updrafts and downdrafts which entrain  
673 drier air from above the inversion layer base, but at the same time is less efficient in  
674 evaporating (than the polluted case) water and adjusting the RH to 100%.

675 Finally, we note that the cloud organization also changes with aerosol concentration,  
676 and thus serves as an additional factor affecting the cloud partition to core and margin.  
677 Decreasing the aerosol concentration increases the precipitation yield, which alters the  
678 sub-cloudy layer organization and promotes merging between different clouds (Seifert  
679 and Heus, 2013; Seigel, 2014; Heiblum et al., 2016a). These effects are minimal in the  
680 polluted cases. Hence, to a first approximation polluted cloud fields can be considered  
681 as a superposition of many single clouds while clean cloud fields behave very  
682 differently than a collection of single clean clouds. The continuous merging between



683 clean clouds creates large cloud entities that evolve along relatively long times. These  
684 large precipitating entities also frequently shed small cloud fragments into the upper  
685 cloudy layer. This effect, combined with the low vapor diffusion, explains why clean  
686 clouds tend to be even more margin dominated (in terms of volume) during growth,  
687 while showing larger core fractions (especially  $B_{core}$ ) during dissipation.

### 688 **Acknowledgements**

689 The research leading to these results was supported by the Ministry of Science &  
690 Technology, Israel (grant no. 3-14444).

691

### 692 **References**

- 693 Albrecht, B. A.: Aerosols, Cloud Microphysics, and Fractional Cloudiness, *Science*,  
694 245, 1227-1230, 10.1126/science.245.4923.1227, 1989.
- 695 Altaratz, O., Koren, I., Remer, L. A., and Hirsch, E.: Review: Cloud invigoration by  
696 aerosols—Coupling between microphysics and dynamics, *Atmospheric Research*,  
697 140–141, 38-60, 10.1016/j.atmosres.2014.01.009, 2014.
- 698 Andreae, M. O., Rosenfeld, D., Artaxo, P., Costa, A. A., Frank, G. P., Longo, K. M.,  
699 and Silva-Dias, M. A.: Smoking rain clouds over the Amazon, *Science*, 303, 1337-  
700 1342, doi:10.1126/science.1092779, 2004.
- 701 Dagan, G., Koren, I., and Altaratz, O.: Aerosol effects on the timing of warm rain  
702 processes, *Geophysical Research Letters*, 42, 2015GL063839,  
703 10.1002/2015GL063839, 2015a.
- 704 Dagan, G., Koren, I., and Altaratz, O.: Competition between core and periphery-based  
705 processes in warm convective clouds – from invigoration to suppression, *Atmos.*  
706 *Chem. Phys.*, 15, 2749-2760, 10.5194/acp-15-2749-2015, 2015b.
- 707 Dagan, G., Koren, I., Altaratz, O., and Heiblum, R. H.: Aerosol effect on the  
708 evolution of the thermodynamic properties of warm convective cloud fields, *Scientific*  
709 *Reports*, 6, 38769, 10.1038/srep38769, 2016.
- 710 Dagan, G., Koren, I., Altaratz, O., and Heiblum, R. H.: Time-dependent, non-  
711 monotonic response of warm convective cloud fields to changes in aerosol loading,  
712 *Atmos. Chem. Phys.*, 17, 7435-7444, 10.5194/acp-17-7435-2017, 2017.
- 713 Dey, S., Di Girolamo, L., Zhao, G., Jones, A. L., and McFarquhar, G. M.: Satellite-  
714 observed relationships between aerosol and trade-wind cumulus cloud properties over



715 the Indian Ocean, *Geophysical Research Letters*, 38, L01804,  
716 10.1029/2010GL045588, 2011.

717 Heiblum, R. H., Altaratz, O., Koren, I., Feingold, G., Kostinski, A. B., Khain, A. P.,  
718 Ovchinnikov, M., Fredj, E., Dagan, G., Pinto, L., Yaish, R., and Chen, Q.:  
719 Characterization of cumulus cloud fields using trajectories in the center of gravity  
720 versus water mass phase space: 2. Aerosol effects on warm convective clouds, *Journal*  
721 *of Geophysical Research: Atmospheres*, 121, 6356-6373, 10.1002/2015JD024193,  
722 2016a.

723 Heiblum, R. H., Altaratz, O., Koren, I., Feingold, G., Kostinski, A. B., Khain, A. P.,  
724 Ovchinnikov, M., Fredj, E., Dagan, G., Pinto, L., Yaish, R., and Chen, Q.:  
725 Characterization of cumulus cloud fields using trajectories in the center of gravity  
726 versus water mass phase space: 1. Cloud tracking and phase space description, *Journal*  
727 *of Geophysical Research: Atmospheres*, 121, 6336-6355, 10.1002/2015JD024186,  
728 2016b.

729 Hudson, J. G., and Yum, S. S.: Maritime–Continental Drizzle Contrasts in Small  
730 Cumuli, *J Atmos Sci*, 58, 915-926, 10.1175/1520-  
731 0469(2001)058<0915:mcdcis>2.0.co;2, 2001.

732 Hudson, J. G., and Mishra, S.: Relationships between CCN and cloud microphysics  
733 variations in clean maritime air, *Geophysical Research Letters*, 34,  
734 doi:10.1029/2007GL030044, 2007.

735 IPCC: Clouds and Aerosols, in: *Climate Change 2013 - The Physical Science Basis*,  
736 Cambridge University Press, 571-658, 2013.

737 Jiang, H., and Feingold, G.: Effect of aerosol on warm convective clouds: Aerosol-  
738 cloud-surface flux feedbacks in a new coupled large eddy model, *J Geophys Res-*  
739 *Atmos*, 111, 10.1029/2005jd006138, 2006.

740 Jiang, H., Xue, H. W., Teller, A., Feingold, G., and Levin, Z.: Aerosol effects on the  
741 lifetime of shallow cumulus, *Geophysical Research Letters*, 33,  
742 10.1029/2006gl026024, 2006.

743 Jiang, H., Feingold, G., and Koren, I.: Effect of aerosol on trade cumulus cloud  
744 morphology, *Journal of Geophysical Research: Atmospheres*, 114, D11209,  
745 10.1029/2009JD011750, 2009.

746 Kaufman, Y. J., Koren, I., Remer, L. A., Rosenfeld, D., and Rudich, Y.: The effect of  
747 smoke, dust, and pollution aerosol on shallow cloud development over the Atlantic



- 748 Ocean, Proceedings of the National Academy of Sciences of the United States of  
749 America, 102, 11207-11212, 10.1073/pnas.0505191102, 2005.
- 750 Khain, A. P., Pokrovsky, A., Pinsky, M., Seifert, A., and Phillips, V.: Simulation of  
751 Effects of Atmospheric Aerosols on Deep Turbulent Convective Clouds Using a  
752 Spectral Microphysics Mixed-Phase Cumulus Cloud Model. Part I: Model  
753 Description and Possible Applications, *J Atmos Sci*, 61, 2963-2982, 10.1175/JAS-  
754 3350.1, 2004.
- 755 Khain, A. P., Rosenfeld, D., and Pokrovsky, A.: Aerosol impact on the dynamics and  
756 microphysics of deep convective clouds, *Quarterly Journal of the Royal*  
757 *Meteorological Society*, 131, 2639-2663, 10.1256/qj.04.62, 2005.
- 758 Khairoutdinov, M. F., and Randall, D. A.: Cloud Resolving Modeling of the ARM  
759 Summer 1997 IOP: Model Formulation, Results, Uncertainties, and Sensitivities, *J*  
760 *Atmos Sci*, 60, 607-625, 10.1175/1520-0469(2003)060<0607:CRMOTA>2.0.CO;2,  
761 2003.
- 762 Kogan, Y. L., and Martin, W. J.: Parameterization of Bulk Condensation in Numerical  
763 Cloud Models, *J Atmos Sci*, 51, 1728-1739, 10.1175/1520-  
764 0469(1994)051<1728:POBCIN>2.0.CO;2, 1994.
- 765 Köhler, H.: The nucleus in and the growth of hygroscopic droplets, *Transactions of*  
766 *the Faraday Society*, 32, 1152-1161, 1936.
- 767 Koren, I., Dagan, G., and Altaratz, O.: From aerosol-limited to invigoration of warm  
768 convective clouds, *Science*, 344, 1143-1146, 10.1126/science.1252595, 2014.
- 769 Koren, I., Altaratz, O., and Dagan, G.: Aerosol effect on the mobility of cloud  
770 droplets, *Environmental Research Letters*, 10, 104011, 10.1088/1748-  
771 9326/10/10/104011, 2015.
- 772 L'Ecuyer, T. S., Berg, W., Haynes, J., Lebsock, M., and Takemura, T.: Global  
773 observations of aerosol impacts on precipitation occurrence in warm maritime clouds,  
774 *Journal of Geophysical Research: Atmospheres*, 114, doi:10.1029/2008JD011273,  
775 2009.
- 776 Li, Z., Niu, F., Fan, J., Liu, Y., Rosenfeld, D., and Ding, Y.: Long-term impacts of  
777 aerosols on the vertical development of clouds and precipitation, *Nature Geoscience*,  
778 4, 888-894, 10.1038/ngeo1313, 2011.
- 779 Mason, B., and Chien, C.: Cloud-droplet growth by condensation in cumulus,  
780 *Quarterly Journal of the Royal Meteorological Society*, 88, 136-142, 1962.



- 781 Mordy, W.: Computations of the growth by condensation of a population of cloud  
782 droplets, *Tellus*, 11, 16-44, 10.1111/j.2153-3490.1959.tb00003.x, 1959.
- 783 Pinsky, M., Mazin, I. P., Korolev, A., and Khain, A. P.: Supersaturation and  
784 Diffusional Droplet Growth in Liquid Clouds, *J Atmos Sci*, 70, 2778-2793,  
785 10.1175/JAS-D-12-077.1, 2012.
- 786 Reisin, T., Levin, Z., and Tzivion, S.: Rain Production in Convective Clouds As  
787 Simulated in an Axisymmetric Model with Detailed Microphysics. Part I: Description  
788 of the Model, *J Atmos Sci*, 53, 497-519, 10.1175/1520-  
789 0469(1996)053<0497:RPICCA>2.0.CO;2, 1996.
- 790 Reutter, P., Su, H., Trentmann, J., Simmel, M., Rose, D., Gunthe, S. S., Wernli, H.,  
791 Andreae, M. O., and Pöschl, U.: Aerosol-and updraft-limited regimes of cloud droplet  
792 formation: influence of particle number, size and hygroscopicity on the activation of  
793 cloud condensation nuclei (CCN), *Atmos Chem Phys*, 9, 7067-7080, 10.5194/acp-9-  
794 7067-2009, 2009.
- 795 Savane, O., Vant-Hull, B., Mahani, S., and Khanbilvardi, R.: Effects of Aerosol on  
796 Cloud Liquid Water Path: Statistical Method a Potential Source for Divergence in  
797 Past Observation Based Correlative Studies, *Atmosphere*, 6, 273,  
798 10.3390/atmos6030273, 2015.
- 799 Seifert, A., and Heus, T.: Large-eddy simulation of organized precipitating trade wind  
800 cumulus clouds, *Atmos. Chem. Phys.*, 13, 5631-5645, 10.5194/acp-13-5631-2013,  
801 2013.
- 802 Seifert, A., Heus, T., Pincus, R., and Stevens, B.: Large-eddy simulation of the  
803 transient and near-equilibrium behavior of precipitating shallow convection, *J Adv  
804 Model Earth Sy*, 7, 1918-1937, 10.1002/2015MS000489, 2015.
- 805 Seigel, R. B.: Shallow Cumulus Mixing and Subcloud-Layer Responses to Variations  
806 in Aerosol Loading, *J Atmos Sci*, 71, 2581-2603, 10.1175/JAS-D-13-0352.1, 2014.
- 807 Seiki, T., and Nakajima, T.: Aerosol Effects of the Condensation Process on a  
808 Convective Cloud Simulation, *J Atmos Sci*, 71, 833-853, 10.1175/JAS-D-12-0195.1,  
809 2013.
- 810 Siebesma, A. P., Bretherton, C. S., Brown, A., Chlond, A., Cuxart, J., Duynkerke, P.  
811 G., Jiang, H., Khairoutdinov, M. F., Lewellen, D., and Moeng, C. H.: A large eddy  
812 simulation intercomparison study of shallow cumulus convection, *J Atmos Sci*, 60,  
813 1201-1219, 10.1175/1520-0469(2003)60<1201:ALESIS>2.0.CO;2, 2003.



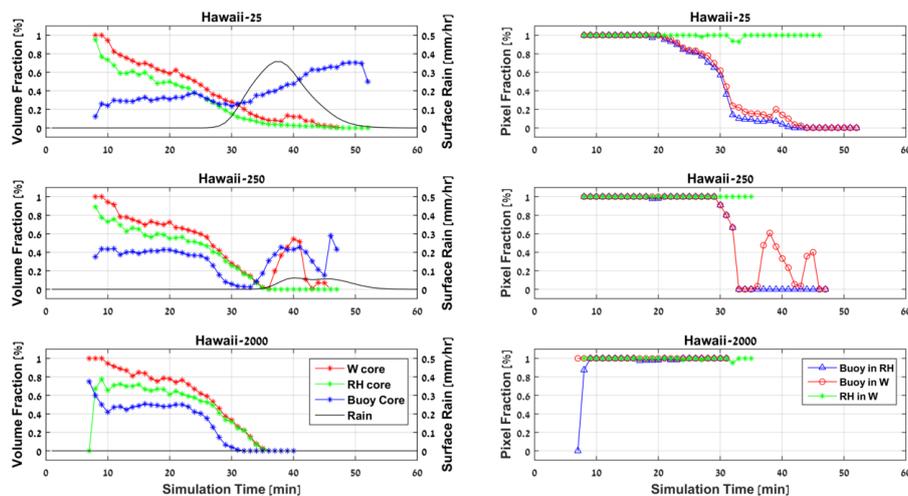
- 814 Small, J. D., Chuang, P. Y., Feingold, G., and Jiang, H.: Can aerosol decrease cloud  
815 lifetime?, *Geophysical Research Letters*, 36, n/a-n/a, 10.1029/2009GL038888, 2009.
- 816 Sorooshian, A., Feingold, G., Lebsock, M. D., Jiang, H., and Stephens, G. L.: On the  
817 precipitation susceptibility of clouds to aerosol perturbations, *Geophysical Research*  
818 *Letters*, 36, L13803, 10.1029/2009GL038993 2009.
- 819 Squires, P.: The Microstructure and Colloidal Stability of Warm Clouds, *Tellus*, 10,  
820 256-261, 10.1111/j.2153-3490.1958.tb02011.x, 1958.
- 821 Stevens, B.: On the Growth of Layers of Nonprecipitating Cumulus Convection, *J*  
822 *Atmos Sci*, 64, 2916-2931, 10.1175/JAS3983.1, 2007.
- 823 Stevens, B., and Feingold, G.: Untangling aerosol effects on clouds and precipitation  
824 in a buffered system, *Nature*, 461, 607-613, 10.1038/nature08281, 2009.
- 825 Twomey, S.: The influence of pollution on the shortwave albedo of clouds, *J Atmos*  
826 *Sci*, 34, 1149-1152, 10.1175/1520-0469(1977)034<1149:TIOPOT>2.0.CO;2, 1977.
- 827 Tzivion, S., Feingold, G., and Levin, Z.: An efficient numerical solution to the  
828 stochastic collection equation, *J Atmos Sci*, 44, 3139-3149, 10.1175/1520-  
829 0469(1987)044<3139:AENSTT>2.0.CO;2, 1987.
- 830 Wang, C.: A modeling study of the response of tropical deep convection to the  
831 increase of cloud condensation nuclei concentration: 1. Dynamics and microphysics,  
832 *Journal of Geophysical Research: Atmospheres*, 110, D21211,  
833 10.1029/2004JD005720, 2005.
- 834 Warner, J.: A Reduction in Rainfall Associated with Smoke from Sugar-Cane Fires—  
835 An Inadvertent Weather Modification?, *Journal of Applied Meteorology*, 7, 247-251,  
836 10.1175/1520-0450(1968)007<0247:ARIRAW>2.0.CO;2, 1968.
- 837 Xue, H. W., and Feingold, G.: Large-eddy simulations of trade wind cumuli:  
838 Investigation of aerosol indirect effects, *J Atmos Sci*, 63, 1605-1622,  
839 10.1175/jas3706.1, 2006.
- 840 Xue, H. W., Feingold, G., and Stevens, B.: Aerosol effects on clouds, precipitation,  
841 and the organization of shallow cumulus convection, *J Atmos Sci*, 65, 392-406,  
842 10.1175/2007jas2428.1, 2008.
- 843 Yuan, T., Remer, L. A., and Yu, H.: Microphysical, macrophysical and radiative  
844 signatures of volcanic aerosols in trade wind cumulus observed by the A-Train,  
845 *Atmos. Chem. Phys.*, 11, 7119-7132, 10.5194/acp-11-7119-2011, 2011.

846



847

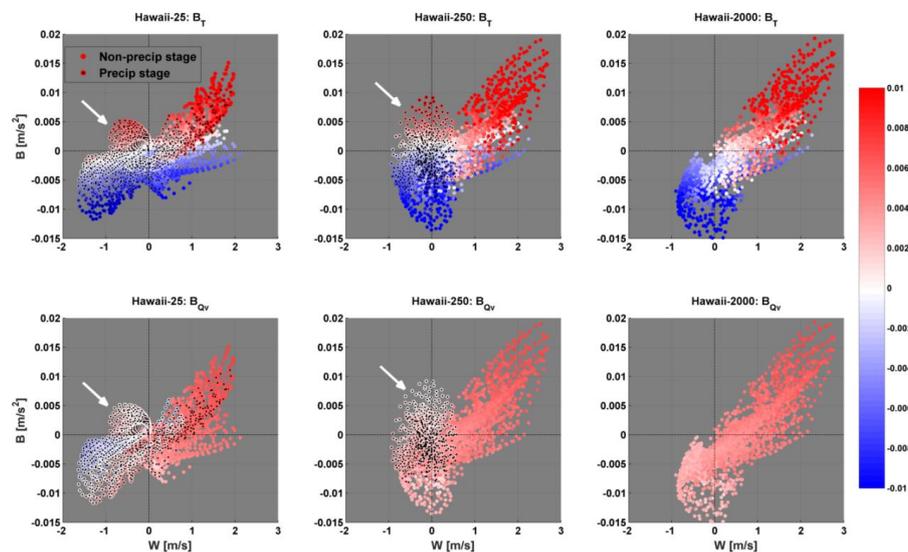
848 **Figures**



849

850 *Figure 1. Left: Time series of core volume fractions ([%], LHS axis) and surface rain-*  
 851 *rate [ $\text{mm hr}^{-1}$ ], RHS axis) for the clean (top panel), intermediate (middle panel), and*  
 852 *polluted (bottom panel) single cloud simulations. Right: Time series of core pixel*  
 853 *fractions within other core types [%], for the respective simulation types. Core*  
 854 *volume and pixel fractions are indicated by different line colors (see legends).*

855

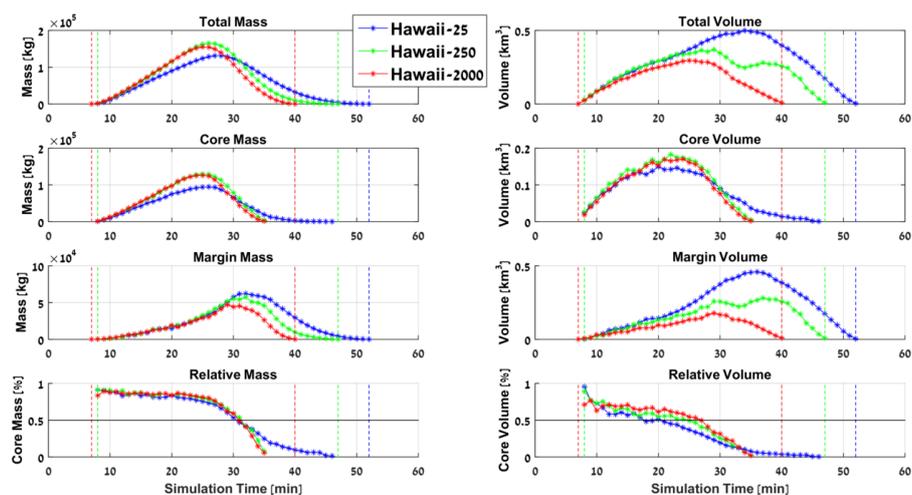


856



857 Figure 2. Scatter plots of pixel total buoyancy [ $m s^{-2}$ ] vs. pixel vertical velocity [ $m s^{-1}$ ], for the clean (left), intermediate (middle), and polluted (right) simulations. Data  
858 includes all cloudy pixels during all time steps. Colors represent magnitude of  
859 buoyancy temperature term ( $B_T$ , upper row) and humidity term ( $B_{Q_v}$ , lower row),  
860 where red (blue) shades indicate positive (negative) values. Markers with black dots  
861 superimposed represent temporal stages with non-zero surface precipitation. White  
862 arrows indicate outlier scatter of pixels with positive buoyancy and negative vertical  
863 velocity.  
864

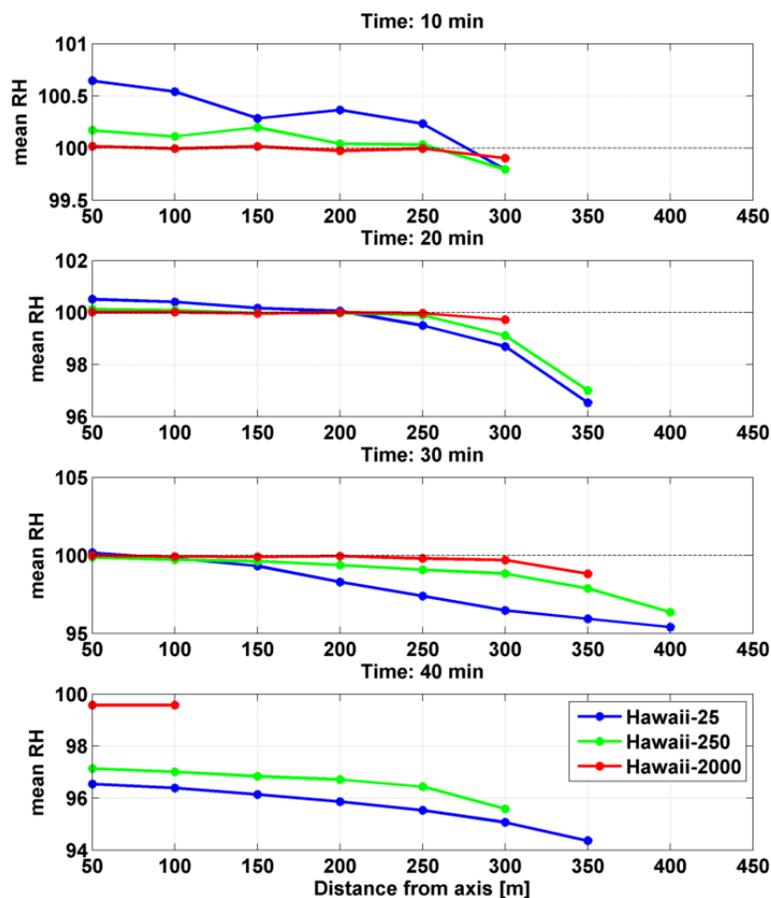
865



866

867 Figure 3. Time series of cloud mass ([kg], left column) and cloud volume ([ $km^3$ ], right  
868 column) for the different aerosol concentrations simulations (see legend). The total,  
869 core, margin, and relative fraction values are shown for each parameter, as indicated  
870 by panel titles. The core here is defined according to  $RH > 100\%$  definition.

871

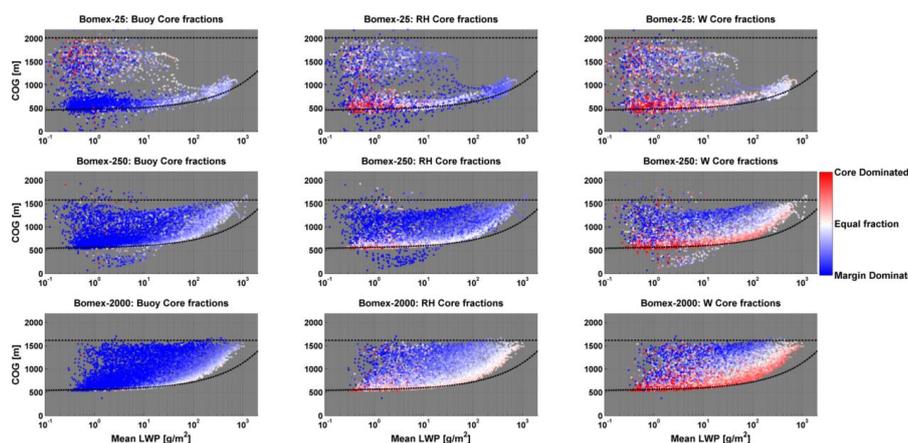


872

873 *Figure 4. Four temporal snapshots (see panel titles for times) of RH [%] horizontal*  
874 *cross-sections. Panels include the results of different aerosol concentrations (see*  
875 *legend). Cross-sections are obtained by taking the mean RH of all vertical levels for*  
876 *each horizontal distance from the cloud center axis.*

877

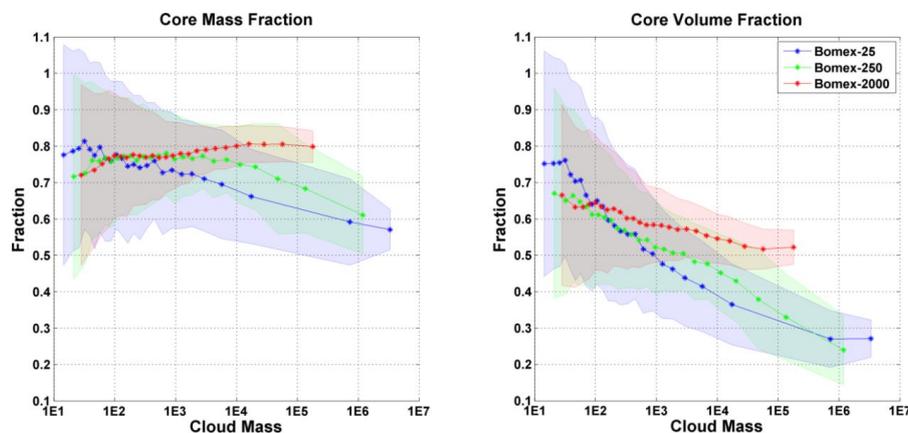
878



879

880 *Figure 5. CvM phase space diagrams of  $B_{core}$  (left column),  $RH_{core}$  (middle column),*  
 881 *and  $W_{core}$  (right column) volume fractions for all clouds between 3 h and 8 h in the*  
 882 *BOMEX simulations. The upper, middle, and lower panels correspond to the clean,*  
 883 *intermediate, and polluted aerosol cases. The red (blue) colors indicate a core*  
 884 *volume fraction above (below) 0.5. The majority of clouds are confined to the region*  
 885 *between the adiabatic cloud growth approximation (curved dashed line) and the*  
 886 *inversion layer base height (horizontal dashed line). For an in-depth description of*  
 887 *CvM space characteristics, the reader is referred to Sect. 2.4 in PTI.*

888



889

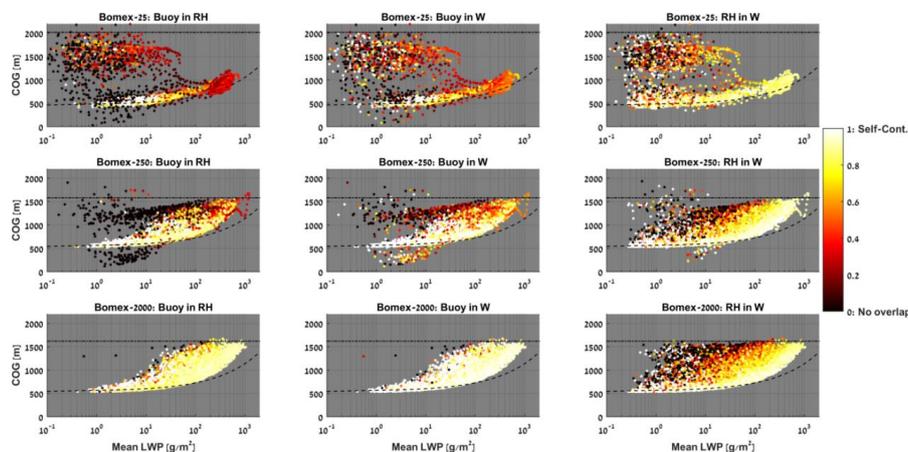
890 *Figure 6. Average core mass fraction (left) and volume fraction (right) values for*  
 891 *different aerosol concentrations, as indicated in the legend. The average only includes*



892 growing branch clouds from within the CvM space (i.e. clouds located in proximity to  
 893 the adiabat). The core here is defined according to  $RH > 100\%$  definition.

894

895

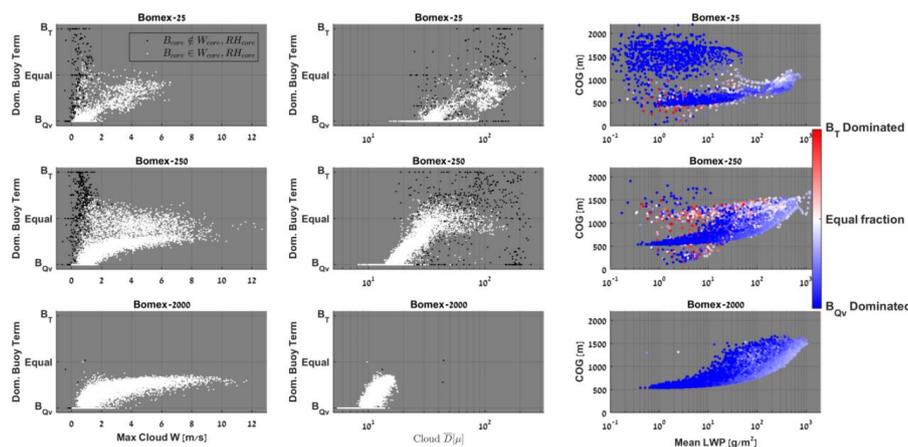


896

897 Figure 7. CvM space diagrams showing the pixel fractions of  $B_{core}$  within  $RH_{core}$ ,  
 898  $W_{core}$ , and  $RH_{core}$  within  $W_{core}$  (as indicated in the panel titles). Bright colors  
 899 indicate high pixel fractions (large overlap between two core types) while dark colors  
 900 indicate low pixel fraction (little overlap between two core types). The differences in  
 901 the scatter density and location for different panels are due to the fact that only clouds  
 902 which contain a core fraction above zero (for the core in question) are considered.

903

904



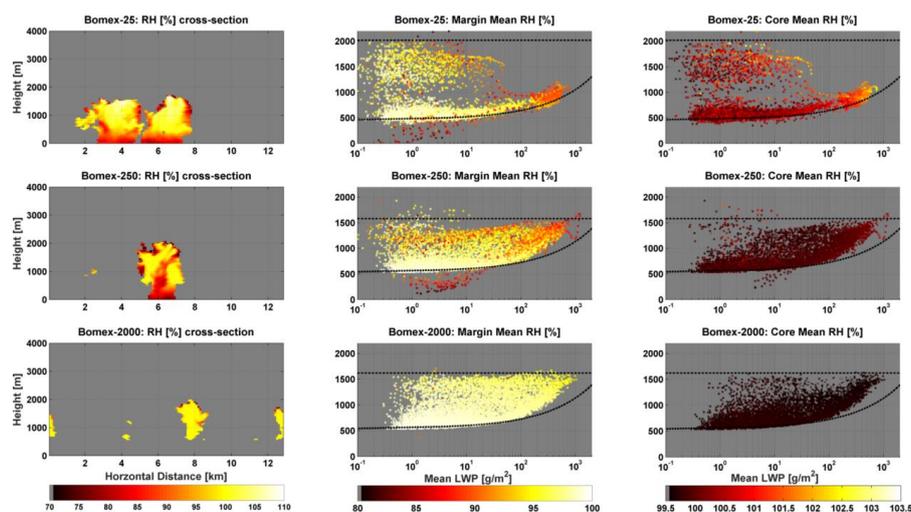
905



906 *Figure 8. Analysis of dominant buoyancy term within  $B_{core}$  of clouds (see text for*  
 907 *details). As seen in previous figures, rows represent clean (top), intermediate*  
 908 *(middle), and polluted (bottom) simulations. Left: dependence on maximum vertical*  
 909 *velocity within cloud. Middle: dependence on partition of total cloud mass to cloud*  
 910 *droplets and rain drops. Right: CvM space diagrams of all clouds with  $B_{core}$ , where*  
 911 *red (blue) shades indicate temperature (humidity) buoyancy terms dominate the*  
 912 *cloud.*

913

914



915

916 *Figure 9. Left column – Relative Humidity (RH [%]) vertical cross-sections slicing*  
 917 *through the center of gravity of the most massive cloud in each simulation. Middle*  
 918 *and right columns display CvM space diagrams of mean cloud margin RH and mean*  
 919 *cloud core RH, respectively, using the  $RH_{core}$  definition. The upper, middle, and*  
 920 *lower panels correspond to the clean, intermediate, and polluted aerosol cases (see*  
 921 *panel titles). Notice the different color bar ranges for margin and core mean RH*  
 922 *panels.*

923

924