
Report #1

Submitted on 11 Feb 2019

Anonymous Referee #1

This paper is well written and presented. The problem is its very limited applicability (uplooking Antarctic atmospheric paths) but given the technique described here would be more widely applicable I have recommended it is published.

I noted on page 1 line 15 that the sentence needs to be changed to ..is accounted for in the simulation procedure.

Report #2

Submitted on 13 Feb 2019

Anonymous Referee #2

The authors have responded in detail to the reviewers' comments. Notwithstanding a few remaining issues (see below) I think the paper is in good shape to be published. Detailed points:

1. It is welcome that the authors have clarified that their technique is only strictly tested on the REFIR-PAD data set in question, and would require validation for other climate regimes and/or viewing geometries.

2. Thank you for Fig. r1 showing predictor behaviour for the pure LbL case. One thing that's clearer to me on re-reading is the point made on page 11 line 15 that skewing the predictor channels to around 1400 cm⁻¹ means using REFIR-PAD observations that have relatively high noise in Fig. 1. In other words, it's a bad idea to use noisy MIR channels to predict FIR channels.

3. Another reviewer commented that channels in the CO₂ band may be predominantly sensitive to near-surface temperature. Figs. r2-r5 show some limited support for the idea that water lines also play a role. Another point worth considering is that for a satellite sensor the Jacobians will look very different to a ground-based sensor, e.g. there will be more sensitivity to the upper atmosphere cf. troposphere.

4. In their responses the authors say it "would be a Herculean task to simulate every individual spectrum" in the historic satellite MIR record as a means of computing the FIR contribution directly. I agree. (At least, the task of processing the required profile data from e.g. reanalyses would be very time consuming.)

5. I'm still confused by the terminology used for uncertainty. On page 7 line 14 the phrase "mean absolute standard deviation" is used. I simply don't know what this means since a standard deviation is always positive. Assuming the authors are talking about Fig. 4b perhaps

they are referring to the grey shaded area – in which case it is a standard deviation of a mean difference at 10 cm⁻¹ resolution.

> In this exact part, the “mean absolute standard deviation” was the wrong term. We were speaking of the absolute difference mean and this has been changed accordingly.

6. Page 11, lines 22-24: “model... is capable of capturing the [radiance] to within 2%, except in selected bands... with a peak at 540 cm⁻¹, see figures 6(a) and (b)”. I think “peak” is confusing here, perhaps “largest discrepancy” or similar which doesn’t have connotations of a positive difference.

> This has been changed for “the largest discrepancy”.

7. Page 13, line 15: “(up to -119%)”, do to the authors mean -124% as in the table entry?

Report #3

Submitted on 07 Mar 2019

Anonymous Referee #3

Based on the replies to reviewers and the revised version, here’s how I see the main questions on which a publication decision should be based:

Is there an application to which the approach demonstrated in this paper can be applied? The answer is arguably ‘yes’.

Let’s say FORUM has been launched, and a study is produced that shows that its far-IR radiances can be predicted reasonably accurately by FORUM radiances measured in other regions. That demonstration will suggest that a similar approach would work using the large historical record of IR observations (e.g. AIRS, IASI), thereby allowing IR measurements over decades to be “extended” to the potent far-IR. Therefore, if this paper had been able to use FORUM measurements instead of ground-based REFIR-PAD measurements, then the answer would clearly be ‘yes’. So the argument for the paper under review being publishable hinges on whether the method demonstrated for the REFIR-PAD extension from the IR to FIR is germane to a similar possible future extension for satellite instruments.

Note that the application of the method in this paper to other ground-based instruments is not worthy of publication; the authors seem to agree with this point. There are only a couple of ground-based spectral IR instruments (i.e. does not measure in the far-IR, e.g. AERI) deployed in locations in which the far-IR is not always opaque, so there would be minimal need for a method to extend these data records.

How similar are the ground-based and satellite-based situations?

To see how germane the REFIR-PAD extension presented in this paper would be to an extension for a satellite-based instrument, the similarities and differences between the two

different viewing geometries with respect to the relationship between far-IR and IR radiances must be explored.

- What would the satellite see? In the far-IR, radiances would depend on the water vapor and temperature profiles – a very rough rule of thumb is the observed brightness temperature in an instrument channel would be the temperature at the height at which the integrated optical depth (primarily due to water vapor in this spectral region) from the top of the atmosphere to that height is about 1. There would clearly be similar channels in the ν_2 band of water vapor, so there is every reason to expect that a good extension to the far-IR from the ν_2 band could be developed. The ν_2 band clearly has all information about the tropospheric water vapor field (since it is used for water vapor retrievals), and could also presumably be used for temperature retrievals. There are two important caveats to this. First, since the far-IR water vapor band is stronger than the ν_2 band, the dependences on water vapor concentrations higher up (e.g. stratosphere) than the vertical region that the ν_2 band is sensitive to might impose some limitations to the extension. Second, radiances in spectral channels in carbon dioxide bands would have little correlation with the far-IR radiances and wouldn't be used in a single-channel satellite-based extension. (This paper considers only single-channel extensions.)

- What does the ground-based REFIR-PAD see in a location like Antarctica? Qualitatively, there are three types of far-IR channels (x-axis on Figure 2):

1) Purely opaque channels – These are everywhere $< 200 \text{ cm}^{-1}$ and where there are strong water vapor lines throughout the rest of the far-IR. Radiances in these channels are sensitive only to the temperature very near the instrument. These cases are not similar to any satellite-based channels.

2) Mostly opaque channels – Microwindow regions from $200\text{-}400 \text{ cm}^{-1}$ and near some relatively strong lines from $400\text{-}600 \text{ cm}^{-1}$. Radiances are sensitive to the temperature and water vapor profiles, in particular those values closer to the surface. This category is somewhat similar to satellite-based channels, although the vertical range of the profile that matters is probably somewhat smaller than for corresponding satellite channels.

3) Semi-transparent channels – Everywhere in the $400\text{-}600 \text{ cm}^{-1}$ that is not near a relatively strong line. Radiances are sensitive to the water vapor and temperature profiles, with the sensitivity ranging higher than in the category above (possibly including the water vapor column). This category is pretty similar to corresponding satellite channels.

The answer to the question in this section (“How similar are the ground-based and satellite-based situations?”) is “in theory, partly similar”.

In actuality, how much of an analogue to a potential satellite extension is the ground-based extension presented in this paper?

Since the extension for the opaque channels have no dependence on water vapor, they have no analogue in the satellite case and, therefore, the results shown in the paper are not germane to the satellite case. (For the surface case, any opaque channel, whether CO_2 - or H_2O -dominated, will be able to predict the radiance.) The ‘mostly opaque’ channels do have analogues in the satellite case -- there are regions of the y-axis of Figure 2 that have similar

optical depth dependences (e.g. in 1300-1400 cm^{-1}), which would be the case for both the ground-based and satellite-based perspectives. So channels in this category have the potential to be good analogues for the satellite case, and are potentially germane to the main question. However, the high noise of the instrument from 1300-1400 cm^{-1} makes this region not sufficiently predictive for the corresponding far-IR points, which therefore get “matched” with spectral points in the CO₂ band, i.e. with virtually no sensitivity to water vapor. This results in reasonable accuracy for the extension, but that is irrelevant to the question of whether this result is germane to the more important question at hand. Using a temperature channel from a satellite instrument to predict far-IR radiances from that instrument clearly wouldn’t have the proper sensitivity, so the results from this category are clearly not germane. The third category (semi-transparent) is most similar to the 1300-1400 cm^{-1} and 760-800 cm^{-1} regions on the y-axis. Again, the 1300-1400 cm^{-1} does not work well due to noise, so the best match is indeed from spectral channels in a region with similar dependences on water vapor and temperature. If this were a satellite-based exercise, I would expect these same far-IR channels to also be fairly well modeled by the same 760-800 cm^{-1} channels.

The answer to the question posed in this section is “a very limited analogue”.

Is the study germane enough to the satellite case to justify publication?

The entire argument that the extension to the far-IR shown in this paper is germane to the satellite case rests on the somewhat limited number of channels from \sim 500-620 cm^{-1} that are ‘matched’ with IR channels from 760-800 cm^{-1} . That is a very limited result.

The answer is ‘no’.

For the sake of argument, assuming that this result is sufficiently germane, is the methodology presented something that someone might consider using for a satellite-based extension to the far-IR?

This study determines a single IR channel to match each FIR channel. The arguments presented above about which region has channels that best match categories of FIR channels are very qualitative. In actuality, a channel in one region will not perfectly match the dependences on water vapor and temperature in a different region. In Huang et al., a multivariate fit of IR measurements is used to simulate the far-IR region. In the generalized training for OSS, a number of monochromatic calculations in different spectral regions are needed to match channel radiances. If one were developing an extension of (say) IASI to the far-IR, it would be limiting and foolish to use a single channel.

The answer to this question is ‘no’.

Summary

My perspective on this paper has not changed since the first review, in which I wrote that this “paper suffers from significant motivational and methodological issues.” I do not think it should be published.

> Firstly, we would like to thank the reviewer for the time they have clearly spent reading the manuscript. We do not disagree with many of the insightful points they make regarding the spectroscopy and have been at pains to point out in the revised

manuscript that we are aware of the limitations of the study with regards to the specific viewing geometry and conditions sampled, something acknowledged by the other reviewers.

The main finding of our work is the need to take careful account of the instrumental characteristics (especially noise) if one is creating synthetic far-infrared spectra from real mid-infrared observations. This may not be surprising but does not appear to have been explicitly considered in publications that have tried to do exactly this in the past. Essentially, we show that noise can seriously limit the usefulness of channels that one would expect to contribute the most information in reconstructing the spectral behaviour of semi-transparent regions in the far-infrared. For this particular instrument and viewing geometry, the situation can be partially rectified by exploiting information in the wing of the 15 micron CO₂ band but we make it clear in our discussion that this may not hold for a satellite instrument viewing in nadir. Our results explicitly show that for any method seeking to exploit future far-infrared satellite based measurements to synthetically extend earlier mid-infrared records the noise behaviour of both instruments will need to be properly taken into account.

The main remaining objection to publication appears to be that the method applied here (using single channels as predictors) has limited applicability to satellite based measurements. This is despite the fact that the same underlying method has, in fact already been applied to IASI data at the global scale, with the results published in this journal (as noted in the manuscript).

Can downwelling far-infrared radiances over Antarctica be estimated from mid-infrared information?

Christophe Bellisario¹, Helen E. Brindley², Simon F.B. Tett¹, Rolando Rizzi³, Gianluca Di Natale⁴, Luca Palchetti⁴, and Giovanni Bianchini⁴

¹School of Geosciences, University of Edinburgh, Crew Building, The King's Buildings, Edinburgh EH9 3FF, UK

²Space and Atmospheric Physics Group, National Centre for Earth Observation, Imperial College London, London, UK

³Department of Physics and Astronomy, University of Bologna, Bologna, Italy

⁴Istituto Nazionale di Ottica - CNR, Sesto Fiorentino, Italy

Correspondence: Christophe Bellisario (christophe.bellisario@ed.ac.uk)

Abstract. Far-infrared (FIR: $100 \text{ cm}^{-1} < \text{wavenumber}, \nu < 667 \text{ cm}^{-1}$) radiation emitted by the Earth and its atmosphere plays a key role in the Earth's energy budget. However, because of a lack of spectrally resolved measurements, radiation schemes in climate models suffer from a lack of constraint across this spectral range. Exploiting a method developed to estimate upwelling far-infrared radiation from mid-infrared (MIR: $667 \text{ cm}^{-1} < \nu < 1400 \text{ cm}^{-1}$) observations, we explore the possibility of inferring zenith FIR downwelling radiances in zenith-looking observation geometry, focusing on clear-sky conditions in Antarctica. The methodology selects a MIR predictor wavenumber for each FIR wavenumber based on the maximum correlation seen between the different spectral ranges. Observations from the REFIR-PAD instrument (Radiation Explorer in the Far Infrared - Prototype for Application and Development) and high resolution radiance simulations generated from co-located radio soundings are used to develop and assess the method. We highlight the impact of noise on the correlation between MIR and FIR radiances by comparing the observational and theoretical cases. Using the observed values in isolation, between 150 and 360 cm^{-1} , differences between the 'true' and 'extended' radiances are less than 5 %. However, in spectral bands of low signal, between 360 and 667 cm^{-1} , the impact of instrument noise is strong and increases the differences seen. When the extension of the observed spectra is performed using regression coefficients based on noise-free radiative-transfer simulations the results show strong biases, exceeding 100 % where the signal is low. These biases are reduced to just a few percent if the noise in the observations is accounted for in the simulation procedure. Our results imply that while it is feasible to use this type of approach to extend mid infrared spectral measurements to the far-infrared, the quality of the extension will be strongly dependent on the noise characteristics of the observations. A good knowledge of the atmospheric state associated with the measurements is also required in order to build a representative regression model.

1 Introduction

Defined here as wavelengths above $15 \mu\text{m}$ or wavenumbers below 667 cm^{-1} , the far-infrared (FIR) spectral band plays a key role in energetic exchanges between the Earth's surface, atmosphere and space (Harries et al., 2008). Under clear-sky conditions, absorption in the FIR is dominated by water vapour such that typically very little FIR radiation emitted from the surface directly

escapes to space. However, the very cold, dry conditions commonly found in polar regions simultaneously shift the peak of surface emission towards longer wavelengths and, under clear-skies, allow as much as 45 % of FIR radiation emitted from the ground to escape directly to space, making the clear-sky FIR outgoing longwave radiation sensitive to surface properties (Feldman et al., 2014). A corollary of this enhanced atmospheric transmissivity is the increased sensitivity of downward clear-sky FIR radiation at the surface to conditions at higher levels in the atmosphere than would normally be the case in warmer, wetter environments.

Despite its role in the energy budget, due to the inherent difficulties involved, only a few instruments have measured hyper-spectral radiances across the FIR. Aircraft and ground based measurements available from the Tropospheric Airborne Fourier Transform Spectrometer (TAFTS) (Canas et al., 1997) have been used to probe water vapour spectroscopy; upper tropospheric humidity; the radiative properties of cirrus and snow/ice surface emissivity (Green et al., 2012; Fox et al., 2015; Cox et al., 2007; Cox et al., 2010; Bellisario et al., 2017). Balloon and ground-based observations from the Radiation Explorer in the Far InfraRed - Prototype of Applications and Development (REFIR-PAD, Bianchini et al., 2006) have been exploited to determine precipitable water (Bianchini et al., 2011), investigate the spectral signature of cirrus (Maestri et al., 2014) and provide simultaneous retrievals of water vapour, temperature and cirrus properties (Di Natale et al., 2017). The Far-InfraRed Spectroscopy of the Troposphere (FIRST) instrument (Mlynczak et al., 2006) has participated in both balloon and ground-based campaigns, providing a rigorous test of the ability of radiative transfer models to match the spectroscopic signals measured in the far infrared (Mlynczak et al., 2016; Mast et al., 2017). All three of these instruments participated in one or both the Radiative Heating in Underexplored Bands Campaigns (RHUBC), providing a robust dataset which has been used to improve our knowledge of the underlying far-infrared water vapour spectroscopy (Turner and Mlawer, 2010).

Almost all of the available FIR radiance measurements originate from limited field campaigns. Recognising the key role that the FIR plays in determining the Earth's energy budget, the information that may be contained in the spectrum, and the lack of available measurements, Turner et al. (2015, hereafter T15) describe a methodology designed to estimate FIR radiances by exploiting correlated behaviour in the MIR. They applied this method to nadir radiance measurements from the Infrared Atmospheric Sounding Interferometer (IASI, Clerbaux et al., 2009) and evaluated their approach by comparing spectrally integrated radiances across the infrared with measurements from the Clouds and the Earth's Radiant Energy System (CERES, Wielicki et al., 1996) broadband radiometers taken during simultaneous nadir overpasses. Overall mean broadband agreement is encouraging but the evaluation technique precludes the identification of any compensating biases within the FIR itself. In essence, the T15 methodology, and similar methods that seek to create synthetic FIR top-of-atmosphere spectra from mid-infrared observations (e.g. Huang et al., 2008) have not been evaluated with real spectral observations due to the absence of such measurements. As shown by Huang et al. (2008, 2013), estimates of spectrally resolved fluxes across the infrared can provide a powerful tool for climate model evaluation.

REFIR-PAD has been measuring spectral downwelling longwave radiances at Dome-C Antarctica since 2011, providing a long-term database covering the spectral range from 100 to 1400 cm^{-1} (Palchetti et al., 2015). In this study we exploit the availability of these observations to test whether a similar methodology to that described by T15 can be developed and applied to the REFIR-PAD measurements. Unlike T15, our goal is not to create an algorithm that can be applied on the global

scale but simply to evaluate the performance of such an approach under the very specific conditions sampled by REFIR-PAD during its deployment. We focus on clear-sky conditions, essentially providing a test of the unique information contained in the FIR relating to water vapour spectroscopy and concentration. Because spectrally resolved observations covering much of the infrared are available, inferred FIR spectral radiances can be compared to the real observations, providing a thorough evaluation of the success of the technique. Radiative transfer simulations utilising radiosonde measurements of the atmospheric state can also be used to assess the impact of instrumental and sampling noise on the robustness of the relationships seen. In this way we are able to assess to what level it is possible to use information in the MIR (within the constraint of the REFIR-PAD wavenumber range and location) to infer FIR spectral behaviour using actual observations.

Given the constrained nature of the REFIR-PAD dataset, if the results show that the approach fails to capture the observed spectral behaviour it would cast serious doubt on whether our ability to model the full infrared spectrum is sufficient for us to expect a similar approach to give a robust spectral prediction over a wider range of conditions and/or viewing geometries. Conversely, while a successful implementation does not directly imply that a similar level of agreement will be seen in other locations and for other viewing geometries, it does give confidence that the general principle is robust.

In section 2, the instrumental data are described along with the radiative transfer model used to produce simulated spectra for comparisons. We also describe the distinct steps of the spectral extension method. Section 3 displays the results, with comparison between instrumental and theoretical extensions, which are discussed in section 4. We also investigate the impact of spectral averaging, consistent with the type of resolution currently employed in global climate models as a key potential use of such data are for model evaluation. Finally we draw conclusions in section 5.

2 Data and methodology

2.1 REFIR-PAD

The REFIR-PAD instrument is currently located at the Italian-French Concordia research station in Antarctica ($75^{\circ}06'S$, $123^{\circ}23'E$) at 3,230 m above sea level. It was installed in the Physics Shelter, south of the main station buildings for the PRANA project (Proprietà Radiative dell'Atmosfera e delle Nubi in Antartide), financed by the Italian PNRA (Programma Nazionale di Ricerche in Antartide). The PRANA project aimed to supply the first multi-year dataset of spectral downwelling longwave radiances, including the unique measurements in the FIR over a polar region, and the instrument has been recording data autonomously since 2011 (Palchetti et al., 2015) with further support from projects CoMPASS (COncordia Multi-Process Atmospheric StudieS), the currently active DoCTOR (DOme C Tropospheric Observer) and FIRCLOUDS (Far Infrared Radiative Closure Experiment For Antarctic Clouds). A protective chimney separates the instrument from the outside temperature and the ingress of wind and snow is prevented by a barrier on the rooftop.

The instrument, fully described in Bianchini et al. (2006), is composed of a Fourier transform spectroradiometer (Mach-Zehnder type) with an operating spectral bandwidth of $100 - 1400 \text{ cm}^{-1}$ ($100 - 7.1 \mu\text{m}$) at a resolution of 0.4 cm^{-1} and with an acquisition time of 80 s. One calibrated spectrum is based on the average of four zenith observations for an overall measurement time of 6.5 min every 14 min. The noise equivalent spectral radiance (NESR) due to detector noise is approximately 1 mW

$\text{m}^{-2} \text{sr}^{-1} (\text{cm}^{-1})^{-1}$ at 400 cm^{-1} . In addition to the radiometric NESR, the calibration error and the standard deviation of the four observations composing the calibrated spectrum are calculated. The standard deviation is a posteriori estimation that includes the NESR and possible scene variations (Palchetti et al., 2015).

The selection of the clear-sky spectra uses the classification outlined in Rizzi et al. (2016) to discriminate between clear and cloudy scenes for 2013. Twenty-four spectral intervals are selected and seven tests are applied, comparing the mean radiances, the standard deviation and the brightness temperature in the specified spectral intervals. This approach yields 5126 clear sky spectra for 2013.

We choose to focus only on clear-sky conditions because this gives us a reasonably well-constrained dataset to use in testing the extension approach. Including cloudy conditions would require a successful detection of cloud-type, height and microphysics to incorporate into the radiative transfer modelling described in section 2.3, adding significant complexity to the study. From previous theoretical studies and ongoing work analysing the REFIR-PAD spectra, we also expect unique information related to ice crystal habit to be contained within the FIR micro-windows (Yang et al., 2003; Maestri et al., 2014; Di Natale et al., 2017).

An example of a clear-sky spectrum is displayed in figure 1 and shows unphysically high radiances and standard deviations in two bands within the atmospheric window region, from $1095 - 1140 \text{ cm}^{-1}$ and $1230 - 1285 \text{ cm}^{-1}$. These are a manifestation of absorption by the polyethylene terephthalate (Mylar) substrate which composes the wideband beam splitter and hence radiances within these bands are not used in this study. Outside these two regions and where the downwelling signal is typically high (below 400 cm^{-1} and between 600 and 800 cm^{-1}), the standard deviations are relatively small. However, in the most transparent regions, where the radiance is low (micro-windows between $400 - 600 \text{ cm}^{-1}$ and in the atmospheric window from $800 - 1000 \text{ cm}^{-1}$ for example), the standard deviations can exceed the measured radiances with values around 2 r.u. (radiance unit), where 1 r.u. is equivalent to $1 \text{ mW m}^{-2} \text{sr}^{-1} (\text{cm}^{-1})^{-1}$.

2.2 Radiosonde profiles

Since 2005, the radiosonde system routinely operative at Dome C has provided atmospheric pressure, temperature and humidity profiles at 12 UTC. From 2009 onwards these observations have been made using the Vaisala RS-92SPGW. The daily profiles are available at www.climantartide.it.

During a radiosonde launch, data are recorded every 2 seconds, corresponding to around 800 measurements in the troposphere, and between 900 to 1900 measurements in the stratosphere, reaching up to 26-30 km (Tomasi et al., 2011). However, the relative humidity is only measured up to 15 km. Due to the balloon ascent rate ($5\text{-}6 \text{ m s}^{-1}$) and the recording rate, the vertical resolution is about 10-12 m. Raw water vapour profiles are provided in relative humidity and the conversion to mixing ratio assumes saturation over water as advised by the World Meteorological Organisation (WMO) guide to meteorological instruments and methods of observation (<https://www.wmo.int/pages/prog/www/IMOP/CIMO-Guide.html>).

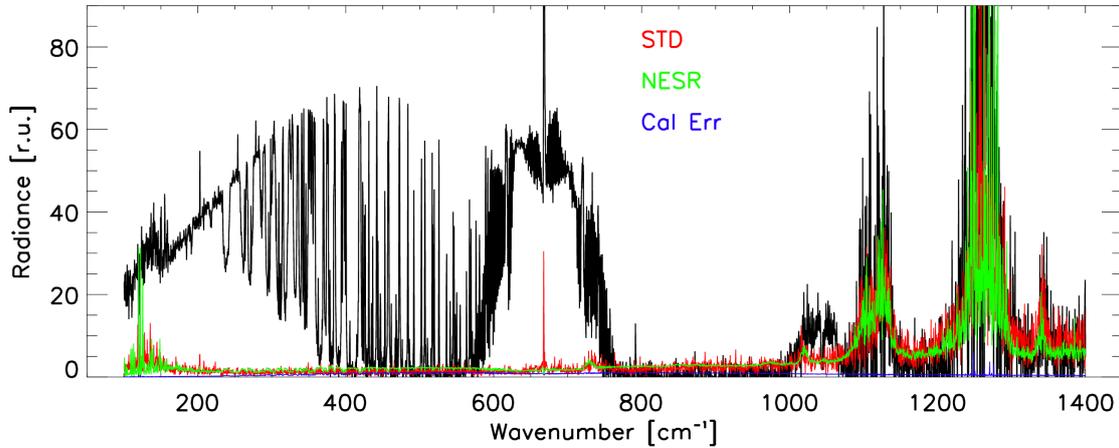


Figure 1. Example of a clear-sky spectrum as seen from REFIR-PAD in black, and its associated standard deviation (in red), the noise equivalent spectral radiance (in green) and the calibration error (in blue).

2.3 LBLRTM

We use the Line-By-Line Radiative Transfer Model (LBLRTM, Clough et al., 2005) to simulate the downwelling radiance. The version used in this study is LBLRTM v12.7, with an updated line parameter database AER version 3.5 (following HITRAN 2012, Rothman et al. (2013)) and a continuum code MT_CKD_3.0 which includes up-to-date representation of the H₂O foreign continuum from 0-600 cm⁻¹ and of the self continuum in the microwave that resulted from an analysis of measurements taken at the ARM RHUBC-II campaign and a re-analysis of RHUBC-I measurements (not included in T15).

The radiosonde profiles described in section 2.2 provide the temperature and water vapour inputs for the radiative transfer simulations. The radiosonde profiles are interpolated onto 100 levels, with the highest vertical resolution being 26 m near the surface. Additional levels extending up to 50 km in altitude are included using temperature and humidity data from the closest ERA-Interim (Dee et al., 2011) profiles in space and time, scaled to the highest altitude where reliable temperature and water vapour values were recorded by the given radiosonde. Ozone concentrations are extracted from the same ERA-Interim profile. Minor species are taken from the AFGL sub-Arctic winter and summer profiles (Anderson, 1986) and CO₂ has been scaled to 2013 values as reported by NOAA's Global Monitoring Division, Earth System Research Laboratory (<https://www.esrl.noaa.gov/gmd/ccgg/trends/>). To achieve consistency with the REFIR-PAD instrumental characteristics, each simulated spectrum is Fourier transformed and a maximum optical path difference of 1.25 cm is applied in the interferogram domain. The truncated interferogram is then re-transformed and the resulting spectrum is sampled at the REFIR-PAD sampling frequency.

2.4 Extension methodology

Based on the methodology developed by T15, FIR wavenumbers between 100 and 667 cm^{-1} are correlated with (predictor) wavenumbers from 667 to 1400 cm^{-1} . The estimated radiance in the FIR $I_{\nu, FIR}$ can be written as a function of the predictor radiance $I_{\nu, predictor}$, and two regression coefficients, a_0 and a_1 , using:

$$5 \quad \ln(I_{\nu, FIR}) = a_0 + a_1 \ln(I_{\nu, predictor}) \quad (1)$$

given the assumption of a logarithmic relationship between the predictor and estimated radiances and

$$I_{\nu, FIR} = a_0 + a_1 I_{\nu, predictor} \quad (2)$$

for a linear assumption.

We start by selecting the REFIR-PAD spectra that will be used to calculate the regression coefficients. All clear-sky spectra that are closest in time to the daily radiosonde measurement at 12 UTC are selected. If the closest spectrum on a given day is measured more than two hours before or after 12 UTC, the spectrum is discarded. 125 days during 2013 are retained using this criterion. These spectra are randomly divided into two sets. The first set is used as a creation set, from which the regression coefficients are derived and the second is used as a test set, on which the regression coefficients derived from the creation set are tested.

15 To choose the predictor wavenumbers, we select a FIR wavenumber and create a vector composed of all radiances in the creation set at this wavenumber. We compute the correlation of this vector with a similar vector at a MIR wavenumber. We repeat this analysis for all MIR wavenumbers and select the MIR wavenumber that shows the highest correlation as the predictor for the given FIR wavenumber. Finally, the linear (or logarithmic) regression coefficients are calculated. The whole process is repeated for each FIR wavenumber. We emphasize that the methodology described here is only based on analytical
20 considerations with the computation of the correlation. No spectral assumptions are made and as a consequence the MIR predictor wavenumbers can be associated either with, for example, a CO_2 line, a H_2O line or a combination of both.

3 Results

3.1 Application to observed spectra

Figure 2(a) displays the correlation between FIR and MIR radiances using the REFIR-PAD creation set, displayed at the nominal instrument spectral resolution of 0.4 cm^{-1} . As noted previously, the bands corresponding to regions of high noise due to the absorption by the beam-splitter (1095 - 1140 cm^{-1} and 1230 - 1285 cm^{-1}) have been removed from the analysis.

We observe specific spectral regions that maximise the correlation. A large portion of the spectral region between 150 and 500 cm^{-1} is highly correlated with wavenumbers between 667 and 720 cm^{-1} . Figure 2(b) indicates the MIR predictor wavenumber selected for each FIR wavenumber using the approach described in section 2.4. Below 150 cm^{-1} , the predictor
30 wavenumbers are scattered between 700 and 1400 cm^{-1} with low correlation values, between 0.2 and 0.5. This can be explained

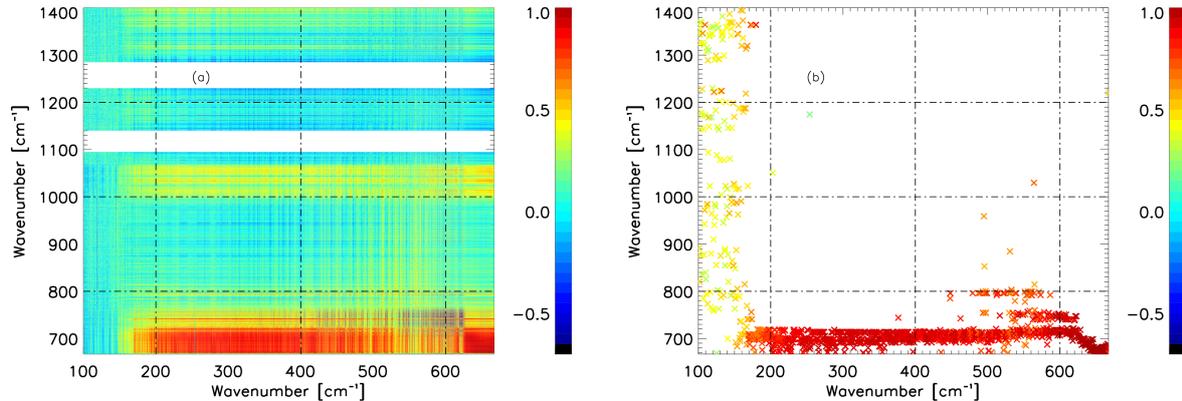


Figure 2. (a) Correlation map using REFIR-PAD clear-sky spectral radiances with MIR wavenumbers on the y axis and FIR wavenumbers on the x axis. (b) MIR wavenumbers that maximise the correlation for each FIR wavenumber. Colour scales indicate the correlation.

by a high NESR at the edge of the REFIR-PAD detector as seen in figure 1 in green. Between 200 and 470 cm^{-1} , the predictor wavenumbers are clustered around 700 cm^{-1} , within the wing of the 667 cm^{-1} CO_2 band, with correlations of between 0.84 and 0.94. Figure 2(a) shows lower, more varied correlations in the 470 and 570 cm^{-1} region: here the MIR predictor wavenumber also shows more variability taking values varying between 696 and 799 cm^{-1} . The correlation values in this region lie between 0.71 and 0.92. Moving towards the centre of the 667 cm^{-1} CO_2 band, MIR predictors are typically clustered at 682 cm^{-1} and show a narrower range of higher correlations of between 0.96 and 0.98. We note that the predictor wavenumbers are mainly localised in a spectral area dominated by the CO_2 band coexisting with typically weaker vapour lines.

As noted in section 2.4, regression coefficients a_0 and a_1 in equations 1 and 2 are computed between each FIR wavenumber and the corresponding predictor MIR wavenumber. Figure 3 shows an example of the relationship between a predictor wavenumber at 698.4 cm^{-1} and its corresponding predictand wavenumber at 301.6 cm^{-1} across all creation set spectra. Logarithmic (Eqn 1) and linear (Eqn 2) fits between the radiances are also displayed.

Using the test set of spectra we examined the robustness of the extension method. An example of a single REFIR-PAD observation (in black) and its extension (in blue) is displayed in figure 4(a) with the radiance and relative differences in figure 4(b) at 10 cm^{-1} resolution. In this case, linear regressions have been used to perform all the extensions. Displaying the results at 10 cm^{-1} allows a clearer picture to emerge in terms of the performance of the extension.

At 10 cm^{-1} resolution the **absolute difference mean** across the FIR over the entire test set is relatively small at less than 0.6 r.u. It is worth noting that below 370 cm^{-1} the mean error fluctuates around zero but at higher wavenumbers (370 $\text{cm}^{-1} < \nu < 600 \text{ cm}^{-1}$) there does appear to be a small positive bias of ~ 0.5 r.u. Under clear-sky conditions this region is generally more transmissive than the lower wavenumber regime - as evidenced by the comparatively lower radiances in figure 4(a). These lower radiances, particularly between 400 and 570 cm^{-1} , contribute to slightly higher relative differences and variability across this range in figure 4(b). Above 570 cm^{-1} , both absolute and relative differences diminish as the radiance increases.

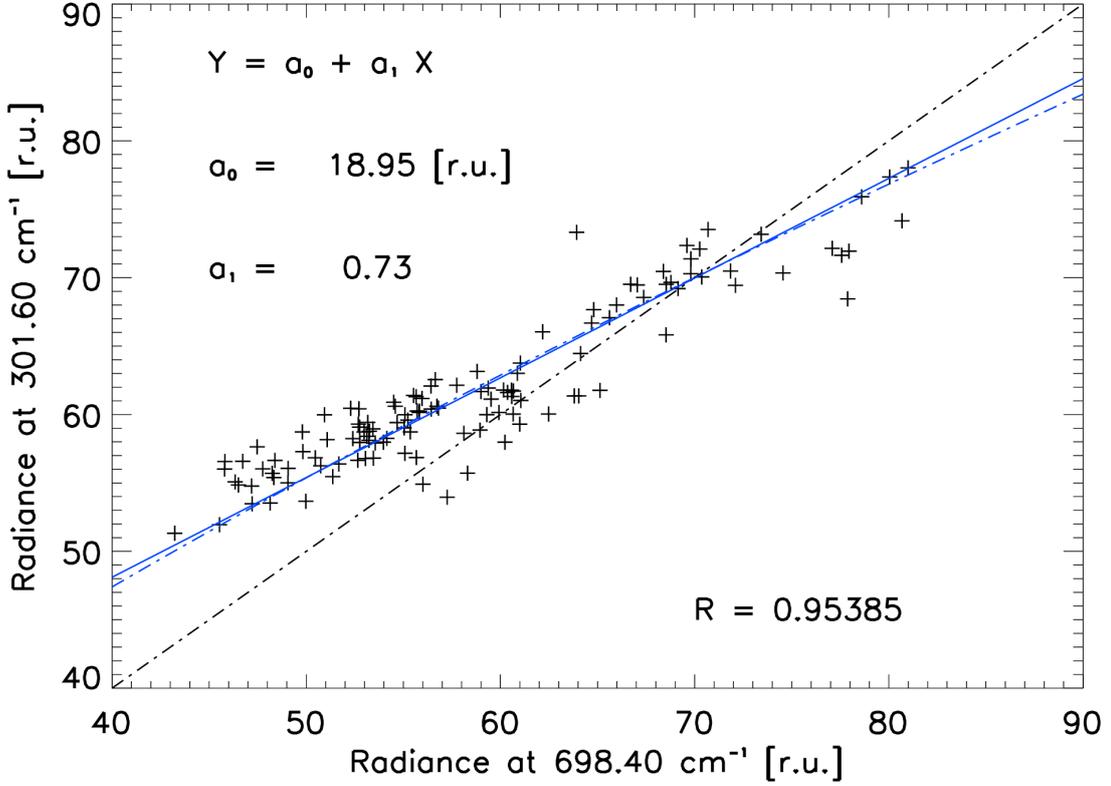


Figure 3. FIR radiances at 301.6 cm^{-1} against predictor MIR radiance at 698.4 cm^{-1} for all creation set spectra. The solid blue line is the linear fit of the points using equation 2, with regression coefficients α_0 and α_1 . The linear correlation value R is indicated. For completeness, the logarithmic fit is also shown by the dashed blue line. The black dash-dotted line represents 1:1.

3.2 Application to simulated spectra

The previous section suggests that a reasonable reconstruction of observed clear-sky downwelling FIR surface spectral radiances at a moderate (10 cm^{-1}) resolution can be obtained using simultaneous observations of MIR radiances. In this section we explore whether similar results are obtained using simulations.

Therefore, we apply the same process of extension using simulated LBLRTM spectra. For each clear-sky case used to build the creation and test sets for REFIR-PAD data, the corresponding radiosonde profile is selected and used as input for LBLRTM as described in section 2.2. The output spectra are used to generate the equivalent simulated creation and test sets.

We consider two cases. The first uses the LBLRTM spectra as directly simulated, while the second adds noise in order to be more representative of the REFIR-PAD observations. Noise is introduced using the following equation:

$$I'_{\nu,LBLRTM} = I_{\nu,LBLRTM} + r * MAX \left(\sigma_{\nu,REFIR-PAD}, \sqrt{NESR_{\nu,REFIR-PAD}^2 + CalErr_{\nu,REFIR-PAD}^2} \right) \quad (3)$$

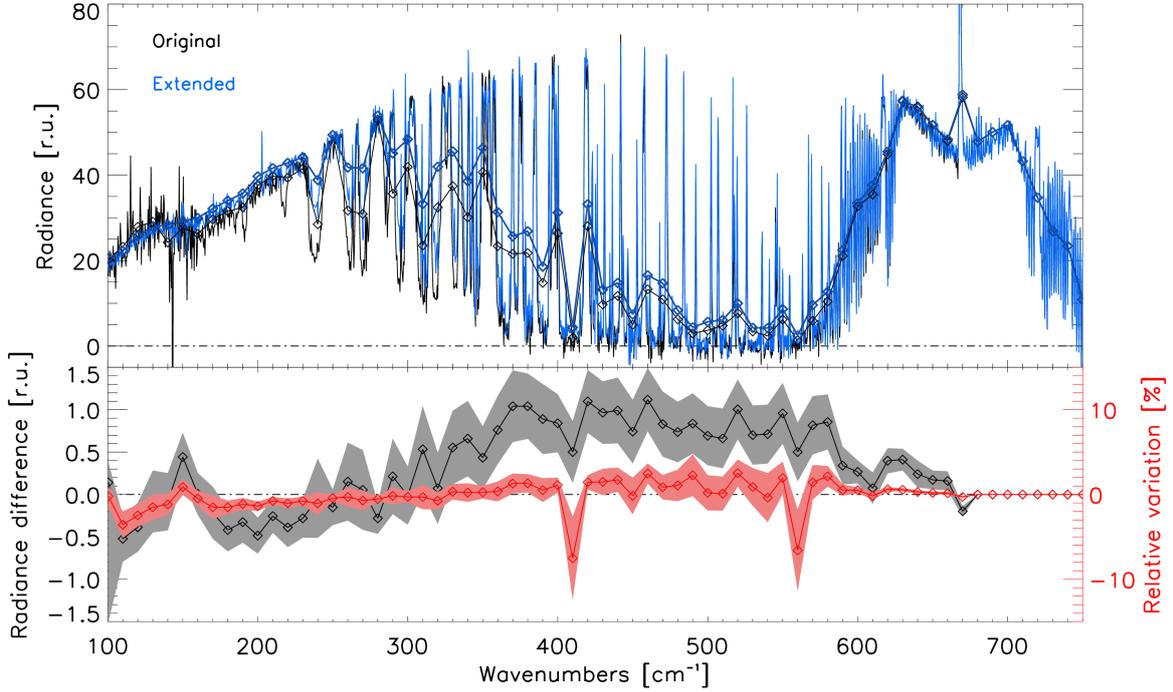


Figure 4. Far-infrared extension based on REFIR-PAD data (linear case). (a) Example of a spectrum (black) and its extension (blue) below 667 cm^{-1} . The same spectra integrated over 10 cm^{-1} bands are also shown by the diamond lines. (b) Mean difference (black) and relative variation (red) between the original and the extended spectra at 10 cm^{-1} resolution calculated over the entire test set. Shaded areas are the associated standard deviations.

where $I'_{\nu, \text{LBLRTM}}$ is the spectral radiance from LBLRTM with noise, $I_{\nu, \text{LBLRTM}}$ is the 'noise-free' spectral radiance directly simulated by LBLRTM, r is a normally distributed random number between -1 and 1 and $\sigma_{\nu, \text{REFIR-PAD}}$, $\text{NESR}_{\nu, \text{REFIR-PAD}}$ and $\text{CalErr}_{\nu, \text{REFIR-PAD}}$ are respectively the standard deviation, the Noise Equivalent Spectral Radiance and the Calibration Error from the corresponding REFIR-PAD spectrum.

The correlation maps of LBLRTM with and without noise are displayed in figures 5(a) and 5(b) respectively. Taking the no-noise case first, most wavenumbers show a strong correlation with all others, with values typically above 0.5. The FIR band sees an enhanced correlation with the MIR between $667\text{-}950 \text{ cm}^{-1}$ and wavenumbers between 1300 and 1400 cm^{-1} . When noise is added, the correlations reduce and show a much greater spectral variation which is more consistent with the observational case. The same bands seen in figure 2(a) which maximise the correlation appear.

The predictor wavenumbers are displayed in figure 5 without noise (c) and with noise (d). In the case of a perfect simulation, the number of predictor wavenumbers is relatively small, indicating a high degree of correlation in the spectra. Below 600 cm^{-1} , most of the predictor wavenumbers are located between 1340 and 1400 cm^{-1} . As a comparison, T15 find predictor wavenumbers outside the REFIR-PAD spectral range, between 1500 cm^{-1} and 1600 cm^{-1} towards the centre of the ν_2 water

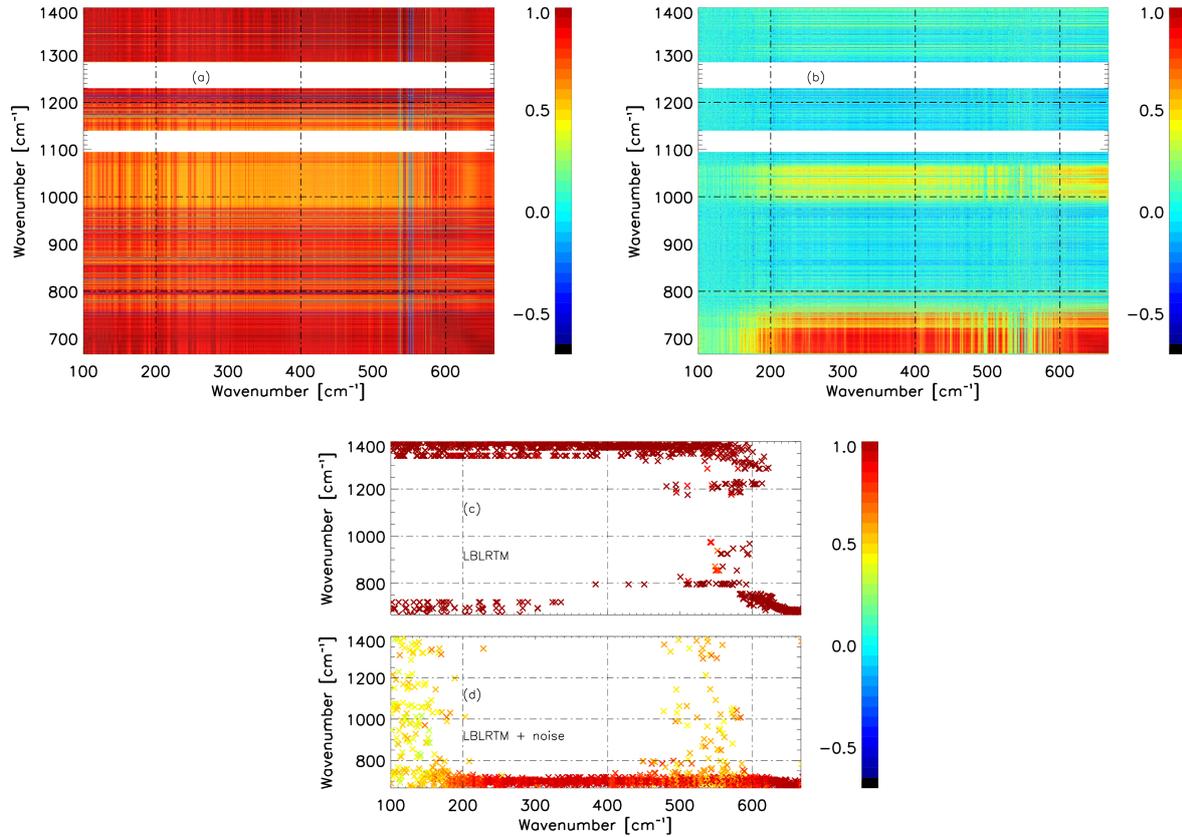


Figure 5. Correlation map using noiseless LBLRTM spectra (a) and LBLRTM spectra with realistic noise added (b). (c) and (d) As figure 2(b) showing predictor wavenumbers for LBLRTM without noise and with noise respectively.

vapour vibration-rotation band. Below 300 cm^{-1} , a second band is visible around 700 cm^{-1} . Between 600 and 667 cm^{-1} , the predictor wavenumbers are spread over a range of discrete values close to 700 cm^{-1} . When the LBLRTM simulations are perturbed with noise, consistent with the change in the correlation map, the selected predictor channels show similar behaviour to REFIR-PAD (figure 2(b)). Below 160 cm^{-1} , the predictor wavenumbers are located in a wide band between 667 and 1400 cm^{-1} , but with a correlation of about 0.5 . Between 200 and 400 cm^{-1} , the predictor wavenumbers are distributed in a band centred at 700 cm^{-1} . Above 400 cm^{-1} , predictor wavenumbers up to 800 cm^{-1} also begin to appear while between 500 and 600 cm^{-1} the spread in predictors again extends across the whole $667 - 1400 \text{ cm}^{-1}$ range with typically lower correlations.

At the time of writing there are only very limited spectrally resolved data in the FIR. The goal of this research is thus to see whether the LBLRTM simulations are able to provide coefficients to correctly map the observed MIR data into the FIR. So we now test the accuracy of going from observed MIR to FIR radiances using 3 different approaches. All predictions are then compared against the REFIR-PAD FIR observations. The 3 different sets of regression coefficients we use are:

- LBLRTM simulations (LBL),
- 5 – LBLRTM simulations + realistic noise (LBN),
- Coupled LBLRTM (LBC) where predictor wavenumbers are generated from LBLRTM + realistic noise but regression coefficients are generated from LBLRTM without noise.

By coupling the predictor wavenumbers from LBLRTM + noise and the regression coefficients from LBLRTM without noise in the last approach, we obtain the best estimate of regression coefficients at the wavenumbers where the expected relationship is strongest.

In all cases shown a linear regression is used although the findings are essentially unchanged if a logarithmic fit is employed (see table 1). Figure 6 displays the mean differences (a) and mean relative variations (b) between the 'true' and extended FIR radiances for all cases, with their associated standard deviations. For ease of comparison, the extension of REFIR-PAD based on REFIR-PAD derived regression coefficients (previously shown in figure 4(b)) is also included. The extension to the FIR using regression coefficients based on noise-free simulations (LBL) fails to capture the observed FIR behaviour. A strong bias is visible with a mean difference of -45 %. In this case, the selected predictor wavenumbers are close to 1400 cm^{-1} (figure 5(c)), however, at these wavenumbers, the observed correlation for REFIR-PAD is very low (figure 2(a)), due to increased noise (figure 1), leading to large differences between the extended spectra and observations. If the predictor wavenumbers are selected from the noise adjusted simulations (LBN and LBC), the mean differences and s show a marked decrease, reducing the mean difference to 1.1 % and -0.4 % for LBN and LBC respectively.

4 Discussion

Noise-free simulations of downwelling spectrally resolved clear-sky radiances over Antarctica imply a high level of correlation between the MIR and FIR. However, the prediction model based on these simulations fails to adequately capture observed behaviour under clear-skies as exhibited by REFIR-PAD. Instrumental noise characteristics strongly affect the choice of predictor wavenumbers. Including the effects of this noise in the simulations markedly improves the prediction model, which is capable of capturing the observed mean radiance in the FIR to within 2 %, except in selected bands where the downwelling radiance is low (for example 410 cm^{-1} , 490 cm^{-1} , with the largest discrepancy at 540 cm^{-1} , see figures 6(a) and (b)).

More specific to this study, it is worth noting that the temperature and water vapour profiles very close to the ground (within 2 m) may also be affected by the presence of the chimney connecting the physics shelter to the outside environment. Palchetti et al. (2015) perform a least squares minimisation of the radiance differences between the observation and the simulation, with the addition of a first level inside the chimney into the fitted profiles. Rizzi et al. (2016) include a first level inside the chimney based on the average between the internal PAD temperature and the shelter temperature. We performed tests on the first profile level in our simulations, adding a temperature point corresponding to the chimney mean temperature (of available year 2016). The differences between our vertical resolution and this test showed differences up to 0.2 r.u., peaking in the centre of the CO_2 band. In the absence of measurements of temperature inside the chimney for 2013 and bearing in mind the limited sensitivity

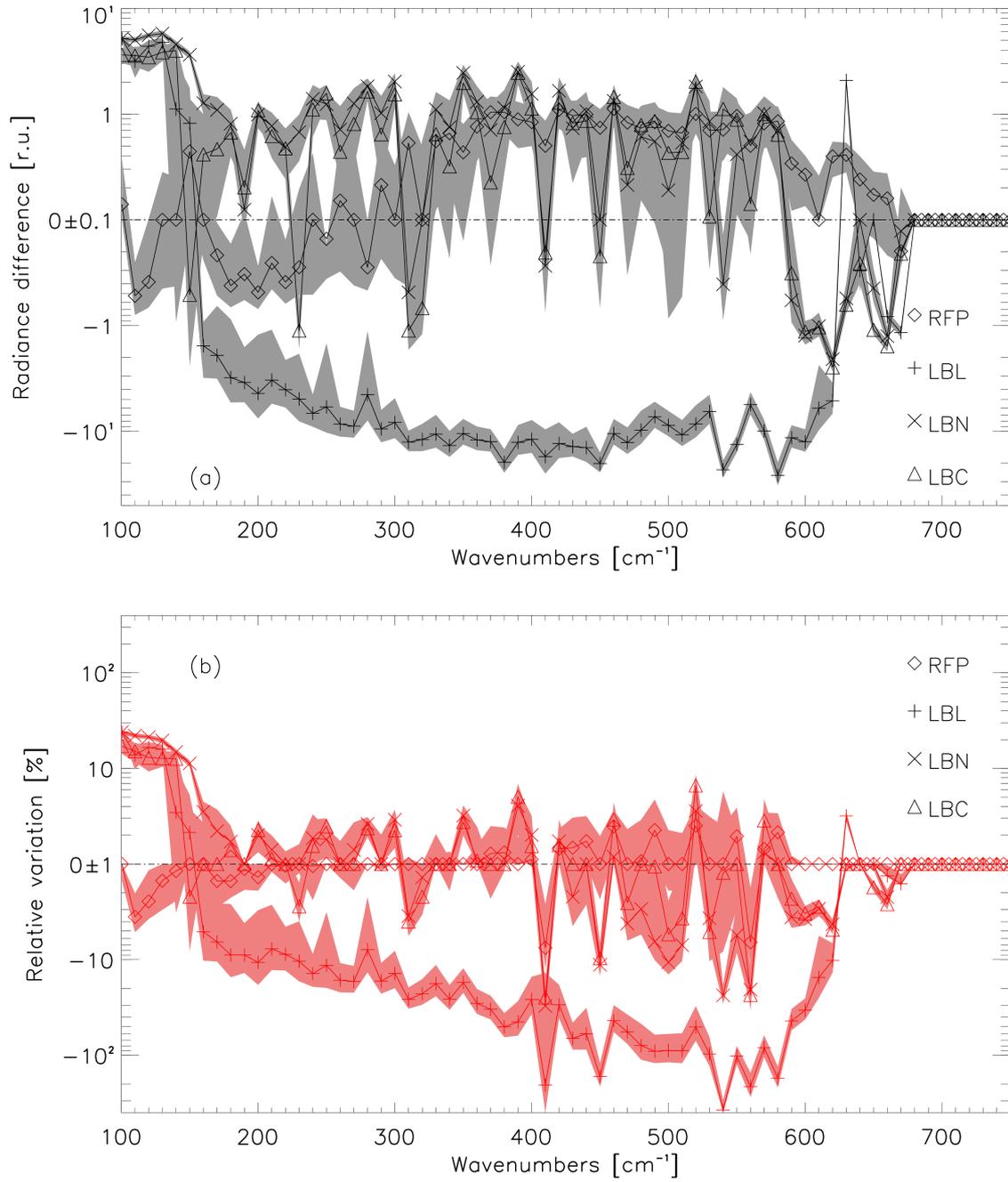


Figure 6. As figure 4(b) for all cases of linear extension, with (a) the radiance difference and (b) the relative variation, using \diamond for REFIR-PAD (RFP), $+$ for LBLRTM (LBL), x for LBLRTM with noise (LBN), Δ for coupled LBLRTM (LBC).

Table 1. Distribution of the differences and relative variations between the extension and the original spectra within the three bands (100.4 - 400, 400 - 550 and 550 - 667 cm^{-1}) for REFIR-PAD extension itself, REFIR-PAD extension based on LBLRTM (LBL), based on LBLRTM + noise (LBN) and based on coupled LBLRTM (LBC). The first and second lines correspond to a linear and a logarithmic extension respectively. The values correspond to the median value $\pm 1 \sigma$.

	[100.4;400]		[400;550]		[550;667]	
	[%]	[r.u.]	[%]	[r.u.]	[%]	[r.u.]
REFIR-PAD	0.2 \pm 3.9	0.1 \pm 1.8	0.0 \pm 13.0	0.0 \pm 2.0	-2.2 \pm 3.4	-0.8 \pm 1.3
	-1.1 \pm 5.9	-0.4 \pm 2.3	3.0 \pm 9.2	0.6 \pm 1.8	0.2 \pm 2.1	0.1 \pm 0.9
LBL	-14.4 \pm 17.4	-5.5 \pm 7.6	-124.3 \pm 143.5	-20.8 \pm 19.1	-35.4 \pm 33.3	-12.7 \pm 10.5
	-13.4 \pm 17.0	-5.5 \pm 5.9	-105.5 \pm 123.5	-18.0 \pm 17.0	-37.5 \pm 46.0	-12.9 \pm 16.5
LBN	4.6 \pm 4.5	2.2 \pm 1.9	-0.1 \pm 16.6	0.0 \pm 2.6	-1.9 \pm 3.6	-0.7 \pm 1.3
	3.0 \pm 5.0	1.2 \pm 2.1	0.4 \pm 15.8	0.1 \pm 2.7	-3.4 \pm 4.3	-1.3 \pm 1.6
LBC	1.3 \pm 5.7	0.5 \pm 2.2	-6.3 \pm 17.1	-1.3 \pm 2.3	-4.4 \pm 3.2	-1.7 \pm 1.1
	1.4 \pm 6.0	0.6 \pm 2.5	-18.8 \pm 29.7	-2.6 \pm 5.1	-4.9 \pm 3.3	-1.9 \pm 1.1

seen, we choose to keep our vertical profiles. With this approach, biases between the observations and the corresponding simulations are within 2 r.u., consistent with Rizzi et al. (2018).

In this study, the extension of REFIR-PAD has been performed on its native grid ($\Delta\nu = 0.4 \text{ cm}^{-1}$) and the results have been predominantly presented over averaged bands of $\Delta\nu = 10 \text{ cm}^{-1}$. At present, climate and Earth-system models do not operate at such a high spectral resolution. It is thus of interest to investigate how the differences presented in figures 4 and 6 are affected by integration over the wider spectral bands more typical of these general circulation models. As an exemplar, we consider the Met Office Unified Model (UM). In the UM, there are three bands with FIR contributions, from 1 - 400, 400 - 550 and 550 - 800 cm^{-1} .

The extensions of REFIR-PAD using the various prediction models described in section 3.2 were integrated over these bands and the corresponding results are shown in table 1. For each band and each case, the median value of the variations is provided along with the corresponding one sigma standard deviations across spectra in the test set. Because of the boundaries of the extension, the bands from 1 - 400 cm^{-1} and 550 - 800 cm^{-1} are reduced to 100.4 - 400 cm^{-1} and 550 - 667 cm^{-1} respectively.

Using the REFIR-PAD prediction model, integrating over wide spectral bands results in relatively small differences between the observed and extended spectra, below 3 %. However, as described earlier, the extension using simulated noise-free regression coefficients leads to strong biases, with maximum percentage differences (up to -124 %) seen in the 400-550 cm^{-1} region, the most transparent of the three bands and hence the most susceptible to noise due to the low radiance level. When looking at LBN and LBC cases, the extension shows median biases which are only marginally larger than those seen using the observations themselves. In addition, the difference between using a linear or logarithmic extension is small.

5 Conclusions

In this study we have used REFIR-PAD downwelling radiance observations covering the spectral range 100-1400 cm^{-1} for clear-sky cases from 2013 over Dome C in Antarctica to assess whether it is currently possible to build a model which uses MIR spectral radiances to predict spectral values in the FIR to an acceptable level of accuracy. The motivation for this work comes from a number of studies that have estimated the FIR spectrum from satellite observations of MIR radiances (Huang et al., 2008; Turner et al., 2015). While these have shown encouraging agreement with broadband observations the results have not been tested with spectrally resolved measurements due to the lack of such observations. We have described a correlation and regression based methodology based on Turner et al. (2015) which we have used to search for predictor wavenumbers and to extract regression coefficients at these specified wavenumbers. In addition to the observations, radio-sonde soundings are used to create a corresponding simulated spectral database with the radiative transfer model LBLRTM.

Correlation maps between the observed FIR and MIR radiances show peak values at wavenumbers around 700 cm^{-1} . In contrast, noise-free simulated spectra show peak correlations at wavenumbers between 1340 and 1400 cm^{-1} . With the addition of realistic noise to the simulations the pattern of the correlation map alters and looks more similar to the one created using the REFIR-PAD observations, with reduced correlations at wavenumbers $< 180 \text{ cm}^{-1}$, between 470-570 cm^{-1} and between 1340-1400 cm^{-1} . This indicates that the selected wavenumbers and the associated MIR to FIR correlation are both highly dependent on instrumental noise. One possible way of at least partially mitigating these noise effects would be to combine channels showing the highest correlations such that the predictors for a given FIR channel comprise contributions from more than one MIR measurement. This could certainly be an area for future investigation.

Using a prediction model built solely with REFIR-PAD observations, the extension from the MIR to the FIR works satisfactorily, with mean relative variations below 5 % over most of the spectral range. Between 400 and 570 cm^{-1} , where the atmosphere is highly transparent and the downwelling radiances are very low, the relative variation can reach up to 10 % but the absolute variation is of the same order to the rest of the spectrum (0.5 r.u.). Using a prediction model based on noise-free simulations, the extension to the FIR shows markedly poorer fidelity with the observed behaviour. However, when we add realistic instrument noise to the simulations the prediction model is able to satisfactorily estimate the REFIR-PAD FIR measurements. Where the radiance is low, higher relative differences can arise as for the REFIR-PAD only case. Notable differences are also seen at wavenumbers below 150 cm^{-1} which can be explained by the enhanced instrument noise close to the edge of the REFIR-PAD detector.

Our results show that while it is feasible to use the type of approach we have outlined here to extend mid infrared spectral measurements to the far infrared, the quality of the extension is strongly dependent on the noise characteristics of the observations. This in turn implies that if a similar approach is developed to extend existing mid infrared ground or satellite based observations, the instrument noise must be explicitly accounted for in building the model due to its potential role in altering the choice of predictor wavenumbers from the noise-free case. In addition, the quality of any extension using this type of method will also be critically dependent on whether the creation set of atmospheric profiles correctly represents the conditions which are actually sampled by the MIR instruments.

An obvious next step for this work would be to include cloudy conditions in the approach. However, this is challenging, as, given the results here, one would anticipate that a good knowledge of cloud microphysics, optical properties as well as vertical location, including any impact on the associated temperature and water vapour profiles, would be required to perform the forward modelling with the requisite accuracy. The frequency of radiosonde ascents at Concordia preclude knowledge of the last effect. Cloud microphysics are not measured directly, cirrus bulk optical properties are poorly constrained in the FIR (Baran et al., 2014) and previous campaigns highlight the difficulty in matching radiance measurements across the infrared in the presence of cirrus cloud (Cox et al., 2010). However, retrievals directly from the REFIR-PAD measurements themselves may provide a means to circumvent some of these issues in future (Di Natale et al., 2017; Maestri et al., 2018).

More generally, one would want to test whether such a synthetic approach could be applied at the global scale and for potentially more interesting satellite viewing geometries. If selected, the candidate ESA Earth Explorer 9 mission, the Far infrared Outgoing Radiation Understanding and Monitoring concept (FORUM, Palchetti et al., 2016) could provide the extensive, simultaneous FIR and MIR observational database needed to build and validate such a prediction model at the spectrally resolved level. With a correct appreciation of the role of instrument noise, such a model could then be applied retrospectively to existing MIR hyperspectral measurements (such as IASI) to derive a long-term record of spectrally resolved radiances suitable for climate model evaluation.

Competing interests. The authors declare that they have no conflict of interest.

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