Interactive comment on “Ozone source apportionment during peak summer events over southwestern Europe” by Maria Teresa Pay et al.

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We thank the Referee #2 for his/her thorough and constructive comments and suggestions, which have contributed to improve the quality of our paper. All his/her comments have been implemented and commented accordingly in the revised version of the manuscript.

Please, find below the item-by-item response. For more details on the review process, we have uploaded the manuscript with track-changes.

1. Reviewer #2: In the introduction, the authors provide a good background of the ozone related issues in the Iberian Peninsula including an overview of meteorological conditions typically associated to high ground-level O3 concentrations along with a
number of relevant references. They also briefly discuss the trends and justify the need for their research. The topic is timely and interesting not only from the scientific point of view but also considering the legal implications and the need to identify potential interventions that may help alleviate O3 pollution in the Mediterranean Basin. Given the limitations of brute force methods for source apportionment studies of secondary pollutants, the authors apply the Integrated Source Apportionment Method (ISAM) implemented within the Community Multiscale Air Quality (CMAQ) with 4x4 km2 resolution for the whole Iberian Peninsula during a 10-day specific episode in summer 2012. The rationale and approach is clear but it would be interesting to explicitly state the main purpose of the study since this is relevant to understand whether the experiment design is appropriate and what are the limitations that can be expected from potential conclusions.

Authors: We thank the Reviewer for their assessment of the scope and methodology of the manuscript. We have rewritten some parts of the abstract and the introduction to clarify the main propose of the study as follows:

In the abstract (Page 1 – Line 19-21): “The main goal of this study is to provide a first quantitative estimation of the contribution of the main anthropogenic activity sectors to peak O3 events in Spain relative to the contribution of imported (regional and hemispheric) O3. We also assess the potential of our source apportionment method to improve O3 modelling”

In the introduction (Page 4 – Line 25-34): “The integrated source apportionment tools combined with high-resolution emission and meteorological models can help unraveling the sources responsible for peak summer events of O3 in the Western Mediterranean Basin. Quantifying the contribution of emission sources during acute O3 episodes is a prerequisite for the design of future mitigation strategies in the region. In this framework, the main goal of this study is to provide a quantitative estimation of the contribution of the main anthropogenic activity sectors compared to the imported concentration (regional and hemispheric) to peak O3 events in Spain. We also as-
sess the potential of our source apportionment method to improve O3 modelling. Our study applies for the first time a countrywide O3 source apportionment at high resolution over the Iberian Peninsula during the period between July 21st and 31st, 2012. We use the CMAQ-ISAM within the CALIOPE air quality forecast system for Spain (www.bsc.es/caliope), which runs at a horizontal resolution of 4x4 km2 over the IP. The system is fed by the HERMESv2.0 emission model, which provides disaggregated emissions based on local information and state-of-the-art bottom-up approaches for the most polluting sectors.”

2. Reviewer #2: P3.Line 18: when discussing the possible reasons for the observed increase of O3 in some urban areas in the Iberian Peninsula, the authors assume a VOC-limited situation. Reductions on NOx emissions have necessarily reduced NO titration but I suggest them to remove that assumption regarding the VOC-NOx regime because it may be an oversimplification and not necessarily true in all cases/seasons.

Authors: We agree with the reviewer. We have removed the assumption regarding the VOC-NOx regime as follows:

Page 3–Line 17-19: “The reasons behind the urban O3 upward trend are not clear yet due to the complex VOC-NOx regime; part of the O3 increase may have resulted from the reduction of NO emissions relative to NO2 and therefore to a lower NO titration effect in VOC-limited situations.”

3. Reviewer #2: P4.Line 29: the authors claim that the period analysed (between July 21st and 31st) is representative of typical summer synoptic conditions in that particular region. That is quite a strong statement and it would require substantial discussion and evidence to demonstrate to what extent that is true. Regardless of that, although the period may be characteristic in terms of synoptic conditions, O3 dynamics as previously stated, is strongly conditioned by both long-range transport and local conditions, including emissions of O3 precursors, initial chemical conditions, etc. Although the paper constitutes a valuable contribution to the understanding of O3 pollution in the
Iberian Peninsula, I think that it cannot be assumed that the outcomes of the study may provide a source apportionment comprehensive description. Consequently, the insight to support the design abatement policies is limited and caution should be used to avoid extracting incorrect conclusions.

Authors: Our characterization of the study period (July 21st and 31st, 2012) is based on the circulation type classification performed in Valverde et al. (2014, Circulation-type classification derived on a climatic basis to study air quality dynamics over the Iberian Peninsula. Int. J. Climatol. 35 (8)). Specifically, the classification in Valverde et al. (2014) is designed to study air quality dynamics over the Iberian Peninsula using an objective synoptic classification method over the present climate (1983–2012). According to the classification in Valverde et al. (2014), our study episode starts with an Iberian Thermal Low (ITL) (21-25th July, 2012), followed by a northwestern advection from the Atlantic (NWad) (26-29th July, 2012) and finishing with another ITL (30-31st July, 2012). ITL and NWad are circulation types that typically affect the Iberian Peninsula, which represent the 44% of the days in the IP both taking place in summer and alternate each other (Valverde et al., 2014).

We have provided a summary of these evidences to support the representativeness of the episode in the revised version of the manuscript as follows:

Page 9–Line 25: “Our characterization of the study period is based on the circulation type classification performed in Valverde et al. (2014), who developed an objective synoptic classification method over the period 1983–2012, specifically designed to study air quality dynamics over the IP. [...] According to the circulation type classification in Valverde et al. (2014), the selected episode started with the development of the ITL (July 21st-25th), followed by a NWad-venting period (July 26th-29th) and ended with the development of another ITL (July 30th-31st).”

On the other hand, we want to remark that our study is a first quantitative O3 source apportionment study, and the representativeness of our results is limited because they
are focused in just one episode. We have clarified this limitation in the manuscript in the Section 4 as follows: Page 20–Line 1: “Our study has provided a first estimation of the main sources responsible for high O3 concentration in the Western Mediterranean Basin during the period July 21st -31st, 2012.”

Page 22–Line 22-23: “[…] future studies should preferentially cover multiple summer periods in order to improve representativeness.”

Furthermore, we want to highlight that the main goal of this study is not the design abatement policies, but it is to provide a first quantitative estimation of the contribution of the main anthropogenic activity sectors to peak O3 events in Spain relative to the contribution of imported O3. Actually, source apportionment techniques alone cannot be used to the design abatement policies. Subsequent source sensitivity analyses tailoring the identified main contribution sources could predict how O3 will respond to reductions in precursor emissions. Both, source apportionment and source sensitivity are complementary and essential studies to define the most efficient O3 abatement strategies in the Western Mediterranean Basin. Therefore, this study has provide a perspective about the potential use of source apportionment methods for regulatory studies in non-attainment regions as a prerequisite for the design of future mitigation strategies. We have added some remarks about this point as follows:

Page 4 – Line 28-30 (Section 1. Introduction): “In this framework, the main goal of this study is to provide a first quantitative estimation of the contribution of the main anthropogenic activity sectors compared to the imported concentration (regional and hemispheric) to peak O3 events in Spain.”

Page 22–Line 23-27 (Section 4. Discussion and conclusions): “We note that our results cannot predict whether emission abatement will have either a positive or negative effect in O3 changes due to the non-linearity of the O3 generation process. Subsequent source sensitivity analyses tailoring the identified main contribution sources could predict how O3 will respond to reductions in precursor emissions, which are essential to
define the most efficient O3 abatement strategies in the Western Mediterranean Basin.”

Page 23-Line 4-7 (Section 4. Discussion and conclusions): “This work has quantified the local and imported contributions to O3 during an episode in a particular area in southwestern Europe. In addition, we have provided a perspective about the potential use of source apportionment method for regulatory studies in non-attainment regions. Further O3 source apportionment studies targeting other nonattainment regions in Europe are necessary prior to design local mitigation measures that complement national and European-wide abatement efforts.”

4. Reviewer #2: An illustrative description of the CALIOPE and HERMES systems is provided in section 2.1. along with a number of relevant references. The authors state, however that emissions are based on 2009 since that is the most recent year with updates information on local emission activities. That statement is hard to understand and it may deserve further clarification. In addition, this section would benefit from a more consistent discussion on how this methodological choice may impact the results and to what extent potential inconsistencies with meteorology and boundary conditions for that specific modelling period are compatible with the research specific aim (which is not clearly identified). This issue may be acceptable to gain a general understanding of O3 contribution over a long period of time but for a short, high-O3 episode this may be a potential flaw that should be carefully addressed.

Authors: Our methodological choice has been to use a detailed bottom-up emission inventory instead of a typical top-down regional emission inventory. Bottom-up emissions, estimated using source-specific emission factors and activity statistics, accurately characterise pollutant-specific emission sources and allow obtaining more realistic results than the ones reported by top-down or regional emission inventories. However, they require very large efforts to be compiled and consequently the updating processes cannot be implemented year-to-year.

In HERMESv2 emissions are based on 2009 data, which was the closest year with up-
dated information on local emission activities in HERMES at the time this work started.

To understand the impact of the use of 2009 data to study year 2012 we revised the EMEP Centre on Emission Inventories and Projections (EMEP-CEIP), which collects and reviews the national emission inventories from Parties to the Convention on Long-range Transboundary Air Pollution (CLRTAP). Between 2009 and 2012 total NOx and NMVOC emissions in Spain decreased by -10.6% and -10.7%, respectively (EMEP CEIP, 2019). For NOx, around 80% of this reduction is linked to a reduction of road transport emissions, whereas in the case of NMVOC ~50% of the reduction is due to a decrease of industrial emissions. NOx emissions from shipping in Europe have also decreased in the period 2009-2012 by 15%.

For our modelling study, we consider these differences as small and acceptable, and not creating any major inconsistency. The difference of 10-15 % in emissions for certain precursors between 2009 and 2012 is within the typically larger ranges of uncertainty in emission inventories. We also note that all our results are thoroughly evaluated and critically assessed using observations.

In any case, we have followed the reviewer’s suggestion, and we have discussed in the manuscript the potential impact of these differences when the contribution of each emission sector is analysed:

Page 17–Line 32-33: “[...] This factor, added to the 15% decrease of NOx shipping emissions observed in Europe between 2009 (HERMESv2.0 base year) and 2012 (EMEP CEIP, 2019) could explain the discrepancies observed.”

Page 18–Line 12-14: “[...] it has been estimated that between 2009 and 2012 energy production in coal-fired power plants increased from 13.1% to 19.4% (UNESA, 2012), which implied an increase of NOx emissions from the power industry sector of around 19.5% (EMEP CEIP, 2019).”

The Section 4 of the revised version of the manuscript includes now a comment on
the methodological implication of using 2009 emissions for O3 source apportionment studies in an episode in 2012 as follows:

Page 5-Line 31-32: “HERMESv2.0 is currently based on 2009 data, which is the closest year with updated information on local emission activities in HERMES at the time this work started.”

Page 21-Line 31: “Our methodological choice has been to use a detailed bottom-up emission inventory instead of a typical top-down regional emission inventory. Bottom-up emissions, estimated using source-specific emission factors and activity statistics, accurately characterise pollutant sources and allow obtaining more realistic results than the ones reported by top-down or regional emission inventories. To understand the impact of the use of 2009 data to study year 2012, we revised the EMEP Centre on Emission Inventories and Projections (EMEP-CEIP), which collects and reviews the national emission inventories from Parties to the Convention on Long-range Transboundary Air Pollution. Between 2009 and 2012, total NOx and NMVOC emissions in Spain decreased by -10.6% and -10.7%, respectively (EMEP CEIP, 2019). For NOx, around 80% of this reduction is linked to a reduction of road transport emissions, whereas in the case of NMVOC ~50% of the reduction is due to a decrease of industrial emissions. For our modelling study, we consider these differences as small and acceptable, and not creating any major inconsistency. The difference of 10-15 % in emissions for certain precursors between 2009 and 2012 is within the typically larger ranges of uncertainty in emission inventories.”

Reference:
EMEP CEIP, 2019. Officially reported emission data. Available at: http://www.ceip.at/ms/ceip_home1/ceip_home/data_viewers/official_tableau/ (last access February 2019)

5. Reviewer #2: VOC emissions are particularly relevant input for this analysis. However, our current understanding of VOC emission is limited, especially in urban areas
(Lewis, 2018) which makes it difficult to accurately apportion contributions to tropospheric O3.

Authors: For the estimation of the main VOC contributors in Spanish urban areas, namely the road transport (SNAP07) and the use of solvents (SNAP06), the HERMESv2.0 emission model uses a combination of bottom-up approaches and down-scaling methodologies. The sectors road transport and solvents together account for more than 80% of total VOC emissions in Barcelona and Madrid cities (Soret et al., 2014).

For traffic, HERMESv2.0 estimates VOC emissions according to the Tier 3 method described in the EMEP/EEA guidelines, which is fully incorporated in COPERT 4. Speciation factors to map total VOC to the CB05 chemical mechanism species are also obtained from the EMEP/EEA guidelines.

For the solvent sector, emissions are estimated performing a downscaling methodology of the original Spanish National Emission Inventory due to the lack of specific information on activity data and emission factors. The Spanish inventory, developed by the Spanish Ministry of the Agriculture, Food and Environment (MAPAMA, personal communication), represents the official Spanish contribution to the EMEP emission inventory. It reports total annual emissions of primary pollutants by NUTS 2 level and SNAP elemental activity and it is based on the EMEP/EEA guidelines combined with local activity data (see the corresponding Inventory Informative Report, IIR, for more information: http://www.ceip.at/ms/ceip_home1/ceip_home/status_reporting/2018_submissions/).

HERMESv2 assigns a specific spatial proxy, temporal and speciation profile to each pollutant activity after defining it as point, lineal or area source. The speciation treatment of the original emissions is done using the profiles reported by the SPECIATE database (https://www.epa.gov/air-emissions-modeling/speciate-version-45-through-40). More details on the methods used to estimate VOC emissions from
these two sectors can be found in Guevara et al. (2013).

Despite the efforts in providing detailed emission input data, it is true that there are still a lot of uncertainties and room for improvement in the estimation of urban VOC emission inventories, as stated recently by several works (Liu et al., 2017; McDonald et al., 2018; Lewis, 2018). Most of these uncertainties are due to the lack of in-situ observational data, which is key for the development of local speciation profiles and for the evaluation of modelled concentrations of VOC. In order to overcome this problem, continuous monitoring of urban VOC should be performed in Spanish cities, following the example of other regions in which O3 is also a major problem such as Mexico City (Jaime-Palomera et al., 2016). On the other hand, the use of satellite observations of formaldehyde (HCHO) columns to constrain urban VOC emissions could be also pointed out as a future task to improve the representativeness of urban emission inventories (Zhu et al., 2014).

Urban VOC emissions are particularly relevant emissions for this analysis, and uncertainties in the estimation of urban VOC emission inventories makes also uncertain their contribution to tropospheric O3 source apportionment studies.

In the reviewed version of the manuscript, we have added a comment on the urban VOC emission uncertainty as follows:

Page 6-Line 13-20: “Urban VOC emissions could be a relevant source for O3 concentration. Over Spanish urban areas, HERMESv2.0 estimates VOC emissions from road transport and the use of solvents (Fig. 1) following bottom-up approaches (Guevara et al., 2013). However, uncertainties in the estimation of urban VOC emission inventories, as stated recently by several works (Pan et al., 2015; Liu et al., 2017; McDonald et al., 2018; Lewis, 2018) makes uncertain the urban VOC contribution to tropospheric O3 concentrations. In order to overcome this problem, continuous monitoring of urban VOC should be performed in Spanish cities, following the example of other regions in which O3 is also a major problem such as Mexico City (Jaimes-Palomera et al., 2016).
In addition, the use of formaldehyde satellite observations to constrain urban VOC emissions could be also pointed out as a future task to improve the representativeness of urban emission inventories (Zhu et al., 2014)


Zhu, L., Jacob, D. J., Mickley, L. J., Marais, E. A., Cohan, D. S., Yoshida, Y., Dun-

6. Reviewer #2: It is also widely accepted that biogenic VOCs play a major role on atmospheric photochemistry. For this study, the authors rely on the Model of Emissions of Gases and Aerosols from Nature (MEGAN) version 2.04 (P5.Line 30). This version was revised and extended through version 2.1 (Guenther et al., 2012) that also includes some code fixes. I'd strongly suggest the authors to perform a sensitivity run to understand whether using an outdated version of MEGAN may introduce relevant biases into their simulation. Species tagging and emission categories selection described in section 2.3 seem sensible although VOC emission shares should be reviewed taking into account the previous comment.

Authors: Although we use the MEGANv2.0.4 model, we have used the most updated emission factors (version 2011) from the MEGANv2.1 model (http://lar.wsu.edu/megan/guides.html). In the Section 2 of the supplement we discuss the behaviour of our biogenic emissions using this configuration. Figure S2 shows the isoprene concentration at the Montseny station during the DAURE experimental campaign (Seco et al., 2011; http://cires.colorado.edu/jimenez-group/wiki/index.php/DAURE). This evaluation indicates that modelled isoprene concentrations with updated emission factors are in reasonably good agreement with observations.

We have improved the description of the upgraded MEGAN version used in this study as follows:

Page 6 - Line 9-11: “In this study, we have updated MEGANv2.0.4 with emission factors from last MEGANv2.1 (http://lar.wsu.edu/megan/guides.html). In Sect. 2 of the supplement, we provide a comparison with measurements from the DAURE campaign
(Pandolfi et al., 2014) showing the reasonably good behaviour of our modelled isoprene”

References:


We are currently working on upgrading our modelling system with MEGANv3 (https://bai.ess.uci.edu/megan/versions/megan3).


7. Reviewer #2: I’m also concerned about a potential double counting and/or erroneous spatio-temporal allocation of VOCs emissions from agriculture since plant functional types considered in MEGAN include crops. From previous literature, a share of 70% of total VOCs from SNAP 11 (nature) seems too high and may support that shortcoming. Please, double check this potential issue since it may bring about a considerable bias the outcomes of the study.

Authors: We are not double counting crops emissions. Emissions from agriculture (SNAP10) only include VOC from manure management and field burning of agricultural residues. We only include VOC emissions from cultivated crops estimated by MEGAN.
We have added a clarification in the revised version of the manuscript as follows:

Page 6 - Line 7-9: “Note that we configured MEGAN to compute VOC emissions from cultivated crops; the agriculture emission module in HERMESv2.0 estimates the VOC from manure management and field burning of agricultural residues.”

8. Reviewer #2: The study makes advantage of a remarkably dense network of monitoring sites in the area of study to assess model performance through the computation of a series of common statistics (appendix B). The results however are difficult to interpret in their current form. Please, see corresponding suggestion in the results section.

Authors: The presentation of the evaluation has been improved following the reviewer’s suggestions in the results bellow. See Table 2 and Appendix B in the revised version of the manuscript.

9. Reviewer #2: The authors discuss that the episode at hand concentrated an important percentage of exceedances in Spain (if I understood correctly; the first paragraph may be reviewed for the sake of clarity). However, the inspection of panel a) in Fig 2. Does not seem to indicate that this episode was particularly severe since the distribution of MDA8 is not dissimilar to those of previous years, even when they reflect the concentrations over a 6 month period. In addition, the outliers apparently have moderated values. It would be interesting to make the point for such comparison. I’m not completely sure that it is sound to identify a high pollution episode at national level since O3 largely depends on regional features. If O3 levels were actually high all over the modelling domain, the influence of exported ozone (influenced by synoptic conditions) may be too high and thus, the representativeness of the results and the potential implications policy-wise, rather limited. Please, reflect on that.

Authors: Figure 2a supports the reviewer’s comment that the selected episode is not the most severe between 2000-2012 that affected all of Spain. However, it comprises a period with high MDA8 O3 concentrations measured at rural background stations, actually the 75th percentile of those values were above the Target Value, similar to the
particularly severe summer of 2003 (Solberg et al., 2008).

This episode is also interesting because it affected Europe (EEA, 2013), for which 33% and 12% of the total number of exceedances were observed for the information threshold and the Target Value in 2012, respectively. The O3 regional context of the episode allows us to study the influence of the imported O3 to Spain.

In the revised version of the manuscript, we have provided a more clear description of the relevance of the episode as follows:

Page 9 - Line 4-23: “Our first estimation of the origin of peak O3 events in Spain focuses on the episode July 21st -31st, 2012. Figure 2a illustrates the relevance of the episode showing the observed MDA8 O3 concentrations trends at the Spanish EIONET stations during the (extended) summers (i.e., from April to September) from 2000 to 2012, together with the concentrations recorded during the episode. Although the selected episode is not the most severe between 2000 and 2012 at national scale, it comprises a period with high MDA8 O3 concentrations measured at rural background stations, actually the 75th percentile of those values were above the Target Value, similar to the particularly severe summer of 2003 (Solberg et al., 2008).

This episode is also interesting because it was widespread and affected big parts of Europe (EEA, 2013). Only during this period 33% and 12% of the total number of exceedances for the information threshold and the Target Value in 2012, respectively, were measured. The O3 regional context of the episode allows us to study the influence of the imported O3 to Spain.

The maps of the 90th percentile of the measured MDA8 O3 concentrations over Spain (Fig. 2b) shows high concentration spots all over the domain. The exceedances of the Target Value were found in the surroundings of large urban areas (Madrid, Barcelona, Valencia, Seville) and along Spanish valleys (i.e., Ebro Valley, Guadalquivir Valley).

There were more than 100 exceedances of the O3 Target Value in most of the days
during the episode, with relative maxima on July 25th, 28th and 31st attributed to the change in the synoptic conditions (Fig. S3). Figure 3 shows the meteorological patterns (temperature at 2m, wind at 10m, precipitation, mean sea level pressure and geopotential height at 500 hPa) modelled by WRF-ARW during the three distinctive days over the outer EU12 domain.

We agree with the reviewer that other O3 episodes should be studied to extract statistically robust conclusions on the main source contribution to O3 events in Spain. To remark that this work is a first quantitative O3 source apportionment study, and the representativeness of our results is limited because they are focused in just one episode, we have added some comments (Section 4) as follows:

Page 20–Line 1-2: “Our study has provided a first estimation of the main sources responsible for high O3 concentration in the Western Mediterranean Basin during the period July 21st -31st, 2012

Page 22–Line 22-27: “For regulatory applications, further source apportionment studies should target not only emissions from activity sectors, but also the source regions where the emission abatement strategies should be applied. In addition, future studies should preferentially cover multiple summer periods in order to improve representativeness. We note that our results cannot predict whether emission abatement will have either a positive or a negative effect in O3 changes due to the non-linearity of the O3 generation process. Subsequent source sensitivity analyses tailoring the identified main contribution sources could predict how O3 will respond to reductions in precursor emissions, which are essential to define the most efficient O3 abatement strategies in the Western Mediterranean Basin.”

10. Reviewer #2: They also claim that the episode affected the central and north of the IP, but Fig. 2c shows high concentration spots all over the domain (or maybe the
colour scale is not clear enough). It is also hard to see what the influence of Madrid and Barcelona plumes is (something consistent, to my understanding, with dominant stagnant conditions). Please, try to clarify.

Authors: Following the review’s recommendation, in the revised version of the manuscript we have improved the colour scale in the aforementioned Figure (now Fig. 2b) to better distinguish the variability of the O3 concentrations. In addition, we have clarified the explanation about the spatial coverage of the episode as follows:

Page 9 - Line 16-18: “The maps of the 90th percentile of the measured MDA8 O3 concentrations over Spain (Fig. 2b) show high concentration spots all over the domain. The exceedances of the Target Value were found in the surroundings of large urban areas (Madrid, Barcelona, Valencia, Seville) and along Spanish valleys (i.e., Ebro Valley, Guadalquivir Valley).”

11. Reviewer #2: Fig 3 illustrates the meteorological conditions through some WRF-ARW outputs. I understand that a thorough model evaluation is not the main purpose of the paper but a minimal check (also through a statistic evaluation) of the credibility of the meteorological simulation (mainly wind fields) may substantially help the authors to gain a better insight of their results. For instance, that would help them to contrast hypotheses such as the one made in P10.Line 25. and following, where they attribute O3 nighttime overestimation to the underestimation of vertical mixing during nighttime stable conditions. In that case, a comparison of observed (where available) and modelled PBLH may be useful.

Authors: According to the reviewer’s comment, we have included in Sect. 4 of the supplement an evaluation of wind speed (WS) and direction (WD) at 10 m and temperature at 2 m (T2M) using METeorological Aerodrome Report stations (METAR).

For the selected episode, there were 50 METAR stations located at airports (see location in Fig. S5). Table S2 shows the scores following the methodology explained in “Section 2.4 Evaluation method” for concentrations.
The modelled T2M shows the best behaviour when compared with observations ($r=0.91$) (Table S2). The model slightly underestimates T2M (-0.2 °C), especially for maximum and minimum temperatures (1.0 °C and 0.4 °C for p25 and p75, respectively) (Fig. S5). The model reproduces the WS ($r=0.42-0.70$) with an overestimation of ~0.3 ms-1 on average. The overestimation is particularly marked during nighttime (Fig. S5), coincident with low-level wind speeds. These biases may contribute to the underestimation of surface concentrations of O3 precursors. The wind direction shows a lower correlation coefficient (0.1, 0.43).

We did not evaluate the PBL height in this study, but Bank et al. (2012) used daytime radiosounding and PBL height estimations from backscatter lidar to perform a comprehensive evaluation of PBL parametrization from WRF in the North-East Iberian Peninsula. This study found that there is a systematic underestimation of PBL height simulated by WRF. These results are consistent with Vautard et al. (2012), who found that models generally underpredict PBL heights at nighttime.

Overall, nighttime meteorology remains a challenge for meteorological models. The nighttime systematic overestimation of wind and underestimation of PBL height is a potential source of large error compensation for the modelling of NO2 and O3 nighttime concentrations.

The revised version of the manuscript includes a discussion of the meteorological evaluation in the evaluation section as follows:

Page 12 – 18-22: “Section S4 in the supplement discusses the meteorological evaluation results and their impact on the pollutant concentrations. Not surprisingly, temperature shows the best behaviour when compared with observations (Table S2). The modelled wind speed is overestimated, particularly during nighttime (Fig. S5), coincident with low-level wind speed. The nighttime overestimation of wind is a source of error in modelled NO2 and O3 nighttime concentrations (Vautard et al., 2012; Bessagnet et al., 2016)”
References:


12. Reviewer #2: As for the discussion of the general meteorological conditions, I’m not sure that the attempt to discriminate between ITL and NWadv situations is nor illustrative or needed. The discussion is hard to follow and the application of deterministic CTM may make that effort redundant.

Authors: Several studies in the Iberian Peninsula (IP) have addressed the causes of O3 episodes looking at the circulation of air masses (Millán, 2014, and references therein). Specifically, recently, some studies found relevant to discriminate by synoptic situations for studying the phenomenology of summer O3 over Catalunya (Querol et al., 2017), Madrid (Querol et al., 2018) and overall in whole Spain (Valverde et al., 2016).

As these authors claim, we believe that distinguishing by synoptic conditions is relevant to understand the O3 origin in Spain. Stagnant conditions are characterized by weak synoptic winds, intense solar radiation, and the development of the Iberian Thermal
Low, which forces the convergence of surface winds from the coast towards the central IP during the day and enhancing mesoscale process, which favours the accumulation of pollutants. In contrast, northwestern advections transport air masses from the Atlantic towards the north and west of the IP, favouring the contribution of imported O3 concentrations.

In addition, distinguishing by synoptic conditions allows assessing the performance of the deterministic model (WRF and CMAQ) to reproduce the synoptic transport and chemistry. For example the time series of source apportionment results in the northwest of the Iberian Peninsula (Fig. 9) show that:

Page 18 – line 16-22: “The time series show that the model reproduces reasonably well the observed O3 variability under different synoptic conditions. O3 reaches the highest concentration (~100/150 µgm-3 in urban/rural areas) under stagnant conditions (July 24th-27th) when the contribution of anthropogenic sources from all activity sectors is the highest (60-70%). O3 concentrations decrease down to ~70 µgm-3 under NW advective conditions (e.g., July 28th-30th) when the imported O3 shows the highest contribution (80-90%). Saavedra et al. (2012) found that stationary anticyclones over the NWIP play an important role in the occurrence of high O3 concentrations. Our results show that under these stagnant conditions O3 concentrations are due largely to in situ production (photochemistry) from on-road traffic, shipping, power plants, and industry in almost the same proportion.”

References:


13. Reviewer #2: The results of the statistical evaluation for CMAQ outputs are summarized in Table 2. Some suggestions for this table: - Please include in the caption whether the exceedance column refers to observed or modelled values (the missing one may be also included either way) - Two or three decimal points for r may be used - MNB (%) may be more illustrative than MB (that can be derived directly from MM – MO) - It may be misleading to pool together the statistics for different types of monitoring stations. As the authors discuss, it is arguable that outputs from a 4x4 km2 model exercise should be compared against observations at traffic locations. - It is unclear why some monitoring sites wouldn’t fit into any of the categories considered. Please elaborate and state the rationale to include them in the analysis. It may be interesting to put these results into perspective by comparing them with those from other modelling exercises based on similar model suites in the IP or elsewhere (besides referring to previous applications of CALIOPE itself).

Authors: The revised version of the manuscript includes all the reviewer’s suggestions regarding Table 2.

As the reviewer indicates, it is arguable that outputs from a 4x4 km2 model exercise
should be compared against observations at traffic locations. Actually, traffic station may not be representative of a 4x4 km² grid. Despite this limitation, we included traffic stations in our analysis discussing the model limitations as follows:

Page 11 – Line 27-28: “Underestimation of NO₂ traffic peaks is a common problem in Eulerian mesoscale models (Pay et al., 2014), as emission heterogeneity is lost in the grid cell-averaging process, which is especially critical in urban areas”.

The stations without a category corresponded to suburban background (SB) stations. The revised version of the manuscript includes now the SB category in both the discussion and Table 2. Note that now all the stations fit any of the five categories (i.e., IN, TR, UB, SB and RB), so the exceedance/station numbers in the IN/TR/UB/SB/RB rows do sum up to the numbers in the “ALL” rows.

It is difficult to compare the present evaluation results with other modelling studies because of the different period, domain, resolution, model setup, etc. However, we agree with the reviewer that it may be interesting to put these evaluation results into perspective. In this sense, we have added the following paragraph in the revised version of the manuscript:

Page 12 – Line 8-16: “The comparison with previous CALIOPE studies (Baldasano et al., 2011; Pay et al., 2014) indicates that r is in the same range for O₃ (0.6-0.7) and NO₂ (0.4-0.5) at individual stations; the same applies to RMSE (15-29 µgO₃ m⁻³ and 10-20 µgNO₂ m⁻³). Modelled O₃ shows higher performance at traffic stations in large cities, since stations influenced by road transport emissions (i.e., high-NOx environments) are better characterized with a more pronounced daily variability (Baldasano et al., 2011). At European scale, several model intercomparisons (Giornado et al. 2015; Bessagnet et al., 2016) indicate that O₃ concentrations in summer agree with the surface observations with r between 0.5 and 0.6. NO₂ hourly variability is overall underestimated due to uncertainties in the emission and meteorological modelling and model resolution. These studies highlight the limitations of models to simulate
meteorological variables that affect the NO2 hourly variability, and therefore the model performance for O3 in high-NOx environments and downwind.”

References:


14. Reviewer #2: P11.L10-14. The description of the performance-based categories is hard to follow and it is already condensed in Fig. 5. Please, simplify or simply remove that passage. I’d suggest the authors to re-compute statistics and assessment with the alternative BVOC emissions model run mentioned earlier.
Authors: We agree with the reviewer. In the revised version of the manuscript, we have removed that passage on the description of the model performance based on bias categories as it is condensed in Fig. 5.

As explained before, we did not perform the BVOC emission sensitivity test with MEGAN comparing v2.0.4 and v2.1, because evaluation of MEGAN with updated emission factors indicates that modelled isoprene concentrations are in reasonably good agreement with observations. We foresee this BVOC emission sensitivity test and its effect on O3 during the upgrade of our modelling system with MEGANv3 (https://bai.ess.uci.edu/megan/versions/megan3).

15. Reviewer #2: If mention to specific cities or areas is made (e.g. Ebro Valley, Lleida Plain), please identify them in any of the maps in the manuscript.

Authors: We have improved Figure 2 to identify the cities and areas that appear throughout the manuscript.

16. Reviewer #2: The information summarized in Fig. 7 is interesting although the concept of “receptor regions” is unclear. It seems reasonable in terms of geographical location but differences regarding contributions from different sectors are not evident, especially if the results are put into the perspective of the typical uncertainties of modelling exercises that can be inferred from the model evaluation previously presented.

Authors: The O3 receptors are defined as air quality stations located in regions with similar meteorological and O3 patterns, main source contributors and geographical patterns. The O3 receptor regions defined in this work are consistent with Diéguez et al. (2014) and Querol et al. (2016), who proposed a similar regionalization based only on observations from air quality stations.

Page 14 – Line 4-6: “We have identified ten O3 receptor regions with similar characteristics in terms of meteorological and geographical patterns, O3 dynamics and main source contributors (Figs. 4 and 6). The receptor regions defined in our work are con-
sistent with Diéguez et al. (2014) and Querol et al. (2016), who proposed a similar regionalization based on observations from air quality stations.”

Fig. 7 shows the contribution from different sectors by O3 receptor region ordered by decreasing concentration of imported O3. Differences between sectors are more evident in the normalized contribution (Fig. 7b).

We agree with the referee that the results of the source apportionment have an associated uncertainty. However, this uncertainty cannot be precisely quantified because of the lack of apportioned O3 observations. We note that in sect 3.4 we extensively evaluate and discuss our results using O3, NO2 and wind speed and direction observations. We show that for some cases we can clearly identify a particular sector to be responsible for the O3 mismatch.

17. Reviewer #2: It is interesting noting a relatively large contribution from the SNAP 8 sector. The share of mobile sources is particularly important in the SIP area, which would be consistent with the discussion regarding the influence of shipping. However, other areas such as GV or even CIP present a non-negligible contribution. Could the authors elaborate on that?

Authors: The SNAP8 sector accounts for international shipping, airport service and agricultural machinery. The O3 contribution from non-road transport in the central of the Iberian Peninsula may arise from the international shipping routes, Madrid’s airports and the agricultural machinery operating in the surrounding rural areas. The current study has not distinguished these subsectors but it maybe useful for future source apportionment studies. We have clarified the definition of the SNAP8 sector and its contribution in the CIP as follows:

Table 1: “SNAP8: Non-road transport (international shipping, airport and agricultural machinery)”

Page 16- Line 18-20: “The O3 contribution from non-road transport in this region may
arise from the Madrid’s airports and the agricultural machinery operating in the surrounding rural areas mainly.”

Page 20 – Line 28-29: “The non-road transport sector (including international shipping, airport and agricultural machinery) is as significant as road transport inland (10-19% of the daily mean O3 concentration during the peaks).”

18. Reviewer #2: Maybe the discussion in section 3.4 is too profuse and should be substantially shortened. I encourage the authors to summary here their findings and provide the region-by-region discussion as supplementary material, including Fig. 8 and Fig. 9. Oppositely, the rationale for the station sub-set selection may deserve further explanation. In general, the section is abundant in hypotheses and subjective interpretation that are not clearly supported by evidence. Personally, I don’t think this contribution really benefits from such approach. The paper may be restricted to a more solid and consistent analysis and discussion of the findings from the application of CMAQ-ISAM. That is novel and interesting enough and further attempts to relate the results with detailed regional dynamics and atmospheric patterns may very well be addressed in future specific studies (using more specific methods and data, e.g. better resolved emission inventories).

Authors: We have summarized that part following the reviewer’s suggestion. Please, have a look at the revised version of the manuscript.

However, we have kept the region-by-region discussion because the main purpose of the present study is the estimation of the contribution of the anthropogenic activity sectors and the imported concentration to peak O3 events in Spain. In addition, this region-by-region discussion has provided a perspective about the potential use of source apportionment analysis for improving the O3 modelling and designing future mitigation strategies at regions with a high on-road traffic contribution (i.e., CIP and NEIP in Fig. 8) and a high contribution from industry and energy production (i.e., NWIP and Guadalquivir Valley in Fig. 9).
19. Reviewer #2: The authors claim that the modelling exercise presented allowed an in-depth evaluation of the modelling system applied. This relates to my last comment regarding the results section. The paper may lack a well-defined objective and presents a huge amount of information without a clear purpose. For example, if the main interest was to assess the modelling system capabilities and identify options for improvement, the results and analysis should gravitate towards a more detailed statistical analysis within a better defined methodological framework. I acknowledge a valuable study but I think the authors should revise their manuscript under a clearly defined scientific question avoiding an excessive spread in their discussion that may lead to inconsequential or cursory analyses and reflect that also in this section. As for the discussion on model uncertainty I find particularly important to take into account the observations regarding biogenic emissions, although the mismatch between emissions and meteorological conditions may also hinder the discriminating power of the results.

Authors: We have rewritten the objective in the abstract and the introduction to clarify the objective of the study as follows:

In the abstract (Page 1 – Line 19-21): “The main goal of this study is to provide a first quantitative estimation of the contribution of the main anthropogenic activity sectors to peak O3 events in Spain relative to the contribution of imported (regional and hemispheric) O3. We also assess the potential of our source apportionment method to improve O3 modelling”

In the introduction (Page 4 – Line 25-30): “The integrated source apportionment tools combined with high-resolution emission and meteorological models can help unravelling the sources responsible for peak summer events of O3 in the Western Mediterranean Basin. Quantifying the contribution of emission sources during acute O3 episodes is a prerequisite for the design of future mitigation strategies in the region. In this framework, the main goal of this study is to provide a first quantitative estimation of the contribution of the main anthropogenic activity sectors compared to the imported concentration (regional and hemispheric) to peak O3 events in Spain. We also assess
the potential of our source apportionment method to improve O3 modelling”

Under clearly defined scientific objectives, we have substantially shortened Section 4 to avoid an excessive spread in the discussion. A new version of Section 4 is available in the revised manuscript.

As suggested by the reviewer we have included a comment on the uncertainty of biogenic emissions and emissions reference year as follows:

Page 22 – Line 10-12: “Another relevant and uncertain source for O3 concentration is the urban VOC emissions. Future research works should be devoted to continuous monitoring of urban VOC and take advantage of satellite observations to improve speciation and spatial variability of urban VOC emissions.”

20. Reviewer #2: In any case, caution should apply since the timespan of the period analysed makes it difficult to extract general conclusions. This is particularly important for the regulatory implications that may be derived from this study. As the authors conclude, I find reasonable to base recommendations for abatement strategies in more specific, regional scale, detailed analyses. Consequently, I’d keep such conclusions to a minimum in this contribution.

Authors: As discussed before, we agree that the representativeness of our results is limited because they are focused in just one episode. Future studies should preferentially cover multiple summer periods in order to improve representativeness.

Although, the main goal of this study is not the design abatement policies, these source apportionment results has provide a perspective about the potential use of these methods for regulatory studies in non-attainment regions as a prerequisite for the design of future mitigation strategies. We have added a short comment on this in the discussion section:

Page 23-Line 4-7: “This work has quantified the local and imported contributions to O3 during an episode in a particular area in southwestern Europe. In addition, we
have provided a perspective about the potential use of source apportionment method for regulatory studies in non-attainment regions. Further O3 source apportionment studies targeting other nonattainment regions in Europe are necessary prior to design local mitigation measures that complement national and European-wide abatement efforts.”

21. Reviewer #2: Please revise equations 1 to 4 for a better readability
Authors: We have increased the size of the font in the equation for better readability.

22. Reviewer #2: P7.Line 4: SNAP3 and 4
Authors: We note that SNAP34 is not a typo error. We have defined sector SNAP34 all together as mentioned in Table 1 as manufacturing industries. We follow the same reporting approach as the one proposed by the TNO_MACC emission inventories, in which SNAP 3 and SNAP 4 emissions are merged to SNAP 34. This does not mean that we deal with SNAP3 and SNAP4 emissions all together. Emissions from each point source are estimated individually and applying specific activity and emission factors, as well as speciation and temporal profiles. It is just a matter of reporting format.

23. Reviewer #2: P8.Lines 12-13: the brackets are not needed: “In Spain, around 60% of the annual exceedances also occurred during this period. (As shown in Querol et al. (2016) July is typically the month with the highest number of O3 exceedances in Spain.) The” Authors: We have removed this statement for simplicity.

24. Reviewer #2: P11.Line 11: “for 93% of the stations” instead of “for the 93% of stations” Authors: We have amended this issue in the revised version of the manuscript.

25. Reviewer #2: P12.Line 2: “extremely low winds”? Authors: We have rewritten this statement as follows “stagnant conditions”.

26. Reviewer #2: P20.Line 8: “O3at” is missing a space Authors: We have amended this issue in the revised version of the manuscript.
27. Reviewer #2: P34.Line 5 (Fig. 2 caption): I guess the authors mean “Number of stations” instead of “Number of days” Authors: we have rewritten the caption of Figure 2 following the reviewer’s suggestions as follows:

Figure 2-caption: “Number of the Spanish EIONET stations days exceeding the O3 Target Value (120 µg/m3) per episode day”

Please also note the supplement to this comment: https://www.atmos-chem-phys-discuss.net/acp-2018-727/acp-2018-727-AC1-supplement.zip