

1 **Cloud scavenging of anthropogenic refractory particles at a mountain site in**
2 **North China**

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16 **Abstract.** Aerosol-cloud interactions remain a major source of uncertainty in climate forcing estimate.
17 Few studies have been conducted to characterize the aerosol-cloud interactions in heavily polluted
18 conditions worldwide. In this study, cloud residual (cloud RES) and cloud interstitial (cloud INT)
19 particles were collected during cloud events under different pollution levels from 22 July to 1 August,
20 2014 at Mt. Tai (1532 m above sea level) located in the North China Plain (NCP). Transmission electron
21 microscopy (TEM) was used to investigate size and chemical composition of individual cloud RES and
22 INT particles, and study the mixing states of these particles. Our results show that S-rich particles were
23 predominant (78%) during clean periods ($PM_{2.5} < 15 \mu\text{g m}^{-3}$), but a large amount of anthropogenic
24 refractory particles (e.g., soot, fly ash, and metal) and their mixtures with S-rich particles (named as
25 S-refractory) were observed during polluted periods. Cloud droplets collected during polluted periods
26 were found to become an extremely complicated mixture by scavenging of abundant refractory particles.
27 We found that 76% of cloud RES were S-refractory particles and that 26% of cloud RES contained two
28 or more types of refractory particles. Soot-containing particles (i.e., S-soot and S-fly ash/metal-soot)
29 were the most abundant (62%) among cloud RES, followed by fly ash/metal-containing particles (i.e.,
30 S-fly ash/metal and S-fly ash/metal-soot, 37%). The complicated cloud droplets have not been reported
31 in clean continental or marine air before. Our findings provide an insight into the potential impacts on
32 cloud radiative forcing from black carbon and metal catalyzed reactions of SO_2 in micro-cloud droplets
33 containing soluble metals released from fly ash and metals over polluted air.

34 **1. Introduction**

35 Clouds play a crucial role in various physical and chemical processes occurring in the lower
36 troposphere and hence affect the Earth's radiation budget (Seinfeld et al., 2016;Tilgner et al., 2014).
37 Aerosol particles, including primary and secondary ones generated from natural and anthropogenic
38 sources, either directly alter radiative forcing or act as cloud condensation nuclei (CCN) to indirectly
39 influence it. At present, aerosol-cloud interactions unquestionably affect radiative forcing and global
40 climate (McFiggans et al., 2006;Seinfeld and Pandis, 2006;Rosenfeld et al., 2014). CCN become cloud
41 droplets through the condensation of water vapor when the relative humidity (RH) of an air parcel
42 increases above saturation (Farmer et al., 2015). Size, chemical composition, and mixing state are main
43 factors affecting the ability of a particle to act as CCN (Dusek et al., 2006;Li et al., 2011a;Fan et al.,
44 2016;Rosenfeld, 2000;Hudson, 2007). In addition, aerosol particles incorporated into cloud droplets can
45 be easily lifted into the free troposphere during cloud development and further extend their influence on
46 cloud precipitation and regional climate (Fan et al., 2016).

47 Owing to the rapid industrialization and urbanization in Asia, large quantities of aerosol particles
48 from anthropogenic sources are released into the atmosphere, which can dramatically affect the
49 chemical composition of CCN, and furthermore change the properties of clouds such as radiative
50 forcing, lifetime, and precipitation patterns (Li et al., 2011b;Drewnick et al., 2007;Ervens, 2015;Twohy
51 and Anderson, 2008). High concentrations of aerosol particles increase the number of cloud droplets
52 and reduce their size, which further results in the reduction of precipitation efficiency and in extending
53 the lifetime of clouds (McFiggans et al., 2006;Qian et al., 2009;Fan et al., 2016;Li et al.,
54 2017a;Rosenfeld, 2000). Moreover, anthropogenic aerosol particles - especially fly ash, metal, and soot
55 particles - are incorporated into cloud droplets, and be transported long distances to affect ecosystems,
56 human health, and radiative forcing (Li et al., 2013;Rosenfeld et al., 2014). Especially the toxic and
57 bioaccumulative metals can deposit into the ecosystem following fog or precipitation and further cause
58 severe health problems to human beings (Liu et al., 2012). Moreover, transition metals such as iron (Fe)
59 and manganese (Mn) can enhance the in-cloud oxidation of sulfur dioxide to sulfate (Harris et al.,
60 2013).

61 Recently, many studies have been performed worldwide to investigate aerosol-cloud interactions
62 and the composition of cloud droplets. Schroder et al. (2015) investigated the activation of refractory

63 black carbon particles in stratocumulus clouds at a marine boundary layer site using a counterflow
64 virtual impactor and single particle soot photometer. Ueda et al. (2014) reported the effects of in-cloud
65 processes on the compositional changes of sea salt particles by collecting individual aerosol particles in
66 and below clouds, respectively. Pierce et al. (2015) calculated size distribution changes and radiative
67 forcing effects due to the scavenging of interstitial particles by cloud droplets in a clean, remote region.
68 Roth et al. (2016) analyzed the composition and mixing state of cloud residues and out-of-cloud aerosol
69 particles by single particle aerosol mass spectrometry on a mountain site and found that soot particles
70 internally mixed with sulfate and nitrate were the dominant ones in cloud residues. All of the above
71 studies were carried out in clean atmosphere; they could not observe the clear interactions between
72 abundant anthropogenic particles and cloud droplets. However, the latest satellite observations indicated
73 that large amounts of anthropogenic fine particles assembled in cloud base and might modify cloud
74 properties in heavily polluted air influenced by industrial and urban emissions (Eck et al., 2018). Field
75 observations are needed to confirm it and understand the interactions of aerosol-cloud over polluted
76 areas, especially in North and South Asia.

77 Mt. Tai, the highest mountain in the NCP, is surrounded by several medium-sized industrial cities.
78 The altitude of Mt. Tai is close to the top of the planetary boundary layer (PBL) above the NCP.
79 Therefore, Mt. Tai is an ideal site to study the effects of regional transport and local emissions of
80 anthropogenic aerosols on cloud properties. Numerous studies have been conducted on Mt. Tai, but
81 virtually all the researchers mainly focus on the variation of chemical composition and size distribution
82 of aerosol particles (Zhang et al., 2014) and chemical composition of cloud water (Li et al., 2017a; Wang
83 et al., 2011). Because of the limitation of sampling and analyzing techniques, these studies did not
84 consider the aerosol-cloud interactions at the top of Mt. Tai in North China.

85 Transmission electron microscopy (TEM) has become a powerful technique to characterize the
86 morphology, composition, size, and mixing state of individual aerosol particles in recent years (Li et al.,
87 2016a; Ueda et al., 2014). Many studies used single particle aerosol mass spectrometry (SPAMS) to
88 characterize the composition and mixing state of residual particles of individual cloud droplets (Zhang
89 et al., 2017; Lin et al., 2017; Pratt et al., 2010). Compared to the SPAMS, TEM can directly observe the
90 morphology and mixing state of individual cloud droplet residual (cloud RES) and interstitial particles
91 (cloud INT) (Ueda et al., 2014; Twohy and Anderson, 2008; Li et al., 2011a; Kojima et al., 2004).
92 Therefore, TEM technique can not only be used to identify cloud RES and cloud INT collected in a

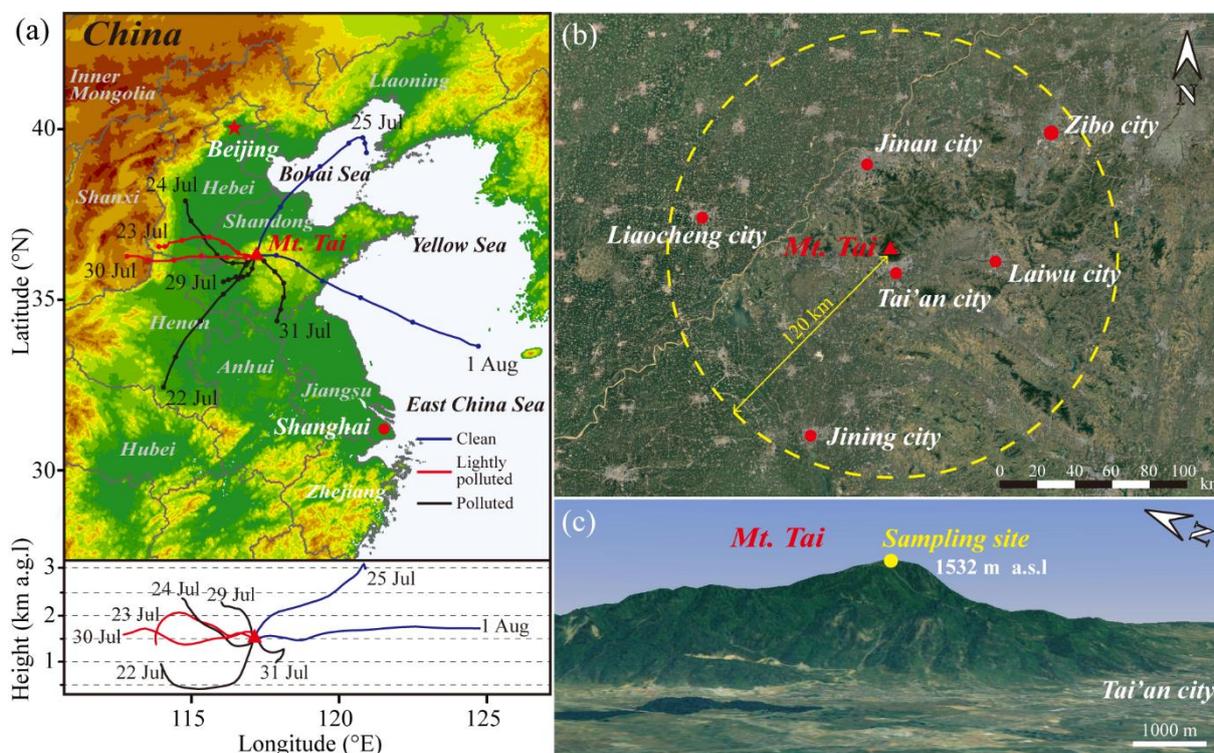
93 same cloud event but also reveal interactions between aerosol-clouds based on mixing state of
94 individual particles. In this study, we collected individual particles during cloud events at the summit of
95 Mt. Tai and applied TEM to obtain and compare the size, morphology, composition, and mixing state of
96 cloud RES and cloud INT. This is helpful to understand the influence of anthropogenic sources on cloud
97 properties above the heavily polluted region.

98 **2. Experimental methods**

99 **2.1 Sampling sites**

100 Field observations were carried out at Mt. Tai (36.251°N, 117.101°E; 1532 m above sea level (a.s.l))
101 from 22 July to 1 August 2014. Mt. Tai is the highest mountain in the central NCP and is surrounded by
102 several medium-sized industrial cities (Fig. 1). A number of large coal-fired power plants, oil refinery
103 plants, steel plants, and cement plants are located in these industrial cities (Jinan, Zibo, Laiwu,
104 Liaocheng, Jining, Tai'an etc.) within a radius of 120 km around Mt. Tai (Fig. 1b). Jinan city is the
105 capital of Shandong Province and is situated 60 km north of Mt. Tai. Tai'an city is located at the
106 southern foot of Mt. Tai. Therefore, the local and regional emissions may have a large contribution to
107 the air quality at the summit of Mt. Tai. Mt. Tai's altitude is close to the top of planetary boundary layer
108 over the NCP, and local cloud events frequently occur at its summit, especially in summer.

109 As shown in Fig. 1c, individual particle samples were collected at a sampling site near the summit
110 of Mt. Tai. The sampling site was usually covered by clouds when cloud events occurred during the
111 sampling periods. The 24-h air mass backward trajectories arriving at Mt. Tai at 1500 m above ground
112 level (a.g.l) (Fig. 1a) were calculated using the Hybrid Single-Particles Lagrangian Integrated Trajectory
113 (HYSPLIT) model available at the NOAA Air Resources Laboratory's web server (Draxler and Rolph,
114 2003).



115

116 **Figure 1.** (a) Location of Mt. Tai in the North China Plain and the 24-h air mass backward trajectories
 117 arriving at Mt. Tai at 1500 m a.g.l during the sampling period. (b) The medium-sized industrial cities
 118 distributed within a radius of 120 km around Mt. Tai. (c) The expanded topographic view of Mt. Tai and
 119 the sampling site near the summit of Mt. Tai.

120 2.2 Individual particle collections

121 Individual aerosol particles were collected onto carbon films supported by TEM copper grids
 122 (carbon type-B, 300-mesh copper, Tianld Co., China) using a single-stage cascade impactor with a 0.5
 123 mm diameter jet nozzle at a flow rate of 1.0 L min^{-1} . The aerodynamic diameter of particles collected
 124 with a 50% efficiency (cutoff diameter, d_{50}) by this individual sampler is $0.24 \mu\text{m}$ if particle density is 2
 125 g cm^{-3} . More detailed information about the setup of a modified sampler can be found in Li et al.
 126 (2011a). When cloud events occurred at the summit of Mt. Tai, individual particle samples were
 127 collected during the cloud events except one cloud event in the late midnight of 26 July (Fig. 3). The
 128 sample information in the present study is listed in Table 1.

129 During the sampling period, meteorological data at the summit of Mt. Tai including pressure (P),
 130 relative humidity (RH), temperature (T), wind speed (WS), and wind direction (WD) were measured
 131 and recorded every 5 min by a pocket weather meter (Kestrel 4500, Nielsen-Kellermann Inc., USA).
 132 $\text{PM}_{2.5}$ concentrations on Mt. Tai were monitored on-line by a beta attenuation and optical analyzer

133 (model 5030 SHARP monitor, Thermo Scientific, USA).

134 **Table 1.** Information on individual particle samples collected on Mt. Tai.

Sample ID	Sampling time (local time)	PM _{2.5} ($\mu\text{g m}^{-3}$)	T ($^{\circ}\text{C}$)	RH (%)	P (hPa)	WS (m s^{-1})
1	22 Jul. 2014 16:04	51.6	22.8	100	849.1	0.9
2	23 Jul. 2014 08:00	24.2	20.4	100	849.4	2.5
3	24 Jul. 2014 07:43	74.3	19.2	100	848.0	0
4	25 Jul. 2014 17:00	11.8	13.9	100	838.0	5.5
5	29 Jul. 2014 16:18	72.9	20.8	95.7	848.0	1.1
6	30 Jul. 2014 19:24	24.2	17.5	100	844.2	0.8
7	31 Jul. 2014 17:30	56.4	18.1	100	849.0	0.9
8	01 Aug. 2014 17:56	14.7	18.8	100	849.1	1.8

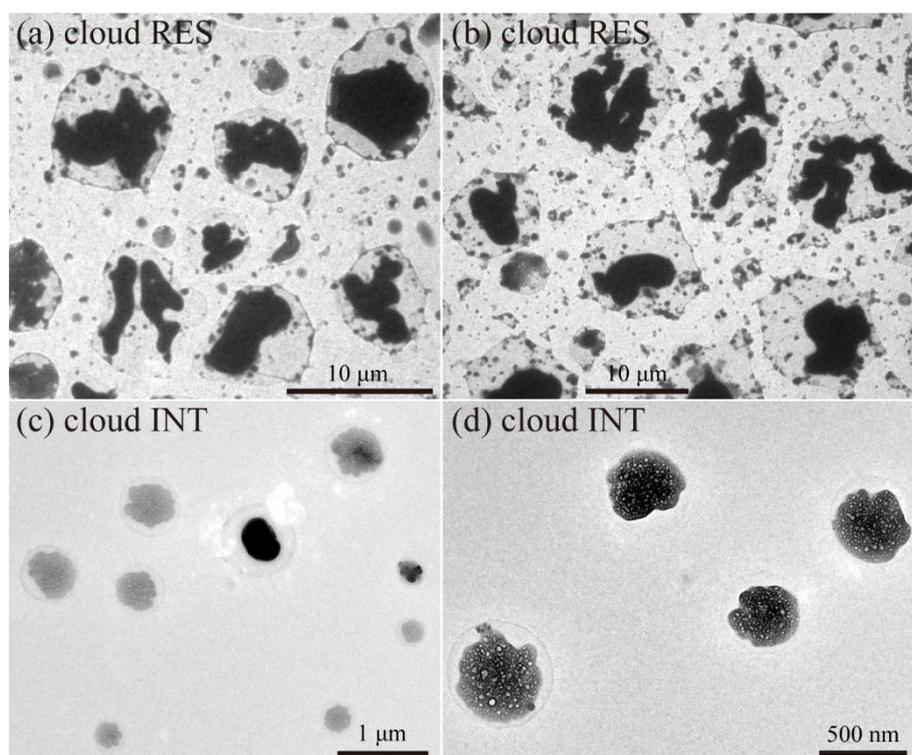
135 2.3 TEM analysis

136 Individual aerosol particles collected on TEM grids were analyzed by a transmission electron
137 microscope (TEM, JEM-2100, JEOL Ltd., Japan) at a 200 kV accelerating voltage. TEM is equipped
138 with an energy-dispersive X-ray spectrometer (EDS, INCA X-Max^N 80T, Oxford Instruments, UK).
139 EDS semi-quantitatively detects the elemental composition of individual particles with atomic number
140 greater than six ($Z > 6$). However, Cu peaks in the EDS spectra were not considered because of the
141 interference from the copper substrate of TEM grids. We acquired morphology, composition, and
142 mixing state of individual particles through the combination of TEM and EDS (TEM/EDS).

143 The distribution of aerosol particles on TEM grids was not uniform, with particle size decreasing
144 from the center to the edge of the TEM grids. Cloud droplets with larger size normally impacted on the
145 center and interstitial particles mostly distributed on the peripheral areas of TEM grids (Li et al., 2011a).
146 Moreover, cloud RES had large rims compared with cloud INT, suggesting that cloud RES were
147 droplets before being captured (Zhang et al., 2006). According to the distribution and morphology of
148 individual particles on the substrate, we can distinguish between cloud RES and cloud INT particles.
149 Figure 2 generally displays typical TEM images of cloud RES and cloud INT particles. Generally, a
150 number of previous studies using the cascade impactor have successfully captured individual interstitial
151 particles and cloud droplets on the substrate during cloud events (Ueda et al., 2014; Zhang et al.,

152 2006;Kojima et al., 2004;Li et al., 2011a).

153 To obtain the size of cloud RES and cloud INT particles, we measured the area and equivalent
154 circle diameter (ECD) of these analyzed particles by iTEM software (Olympus soft imaging solutions
155 GmbH, Germany). It should be noted that we measured ECD of the core of individual cloud RES
156 excluding the water rim because water rim only contains trace organics (Li et al., 2011a). The ECD can
157 be further converted to equivalent spherical diameter (ESD) according to the AFM analysis (refer to the
158 Supplement Fig. S1).

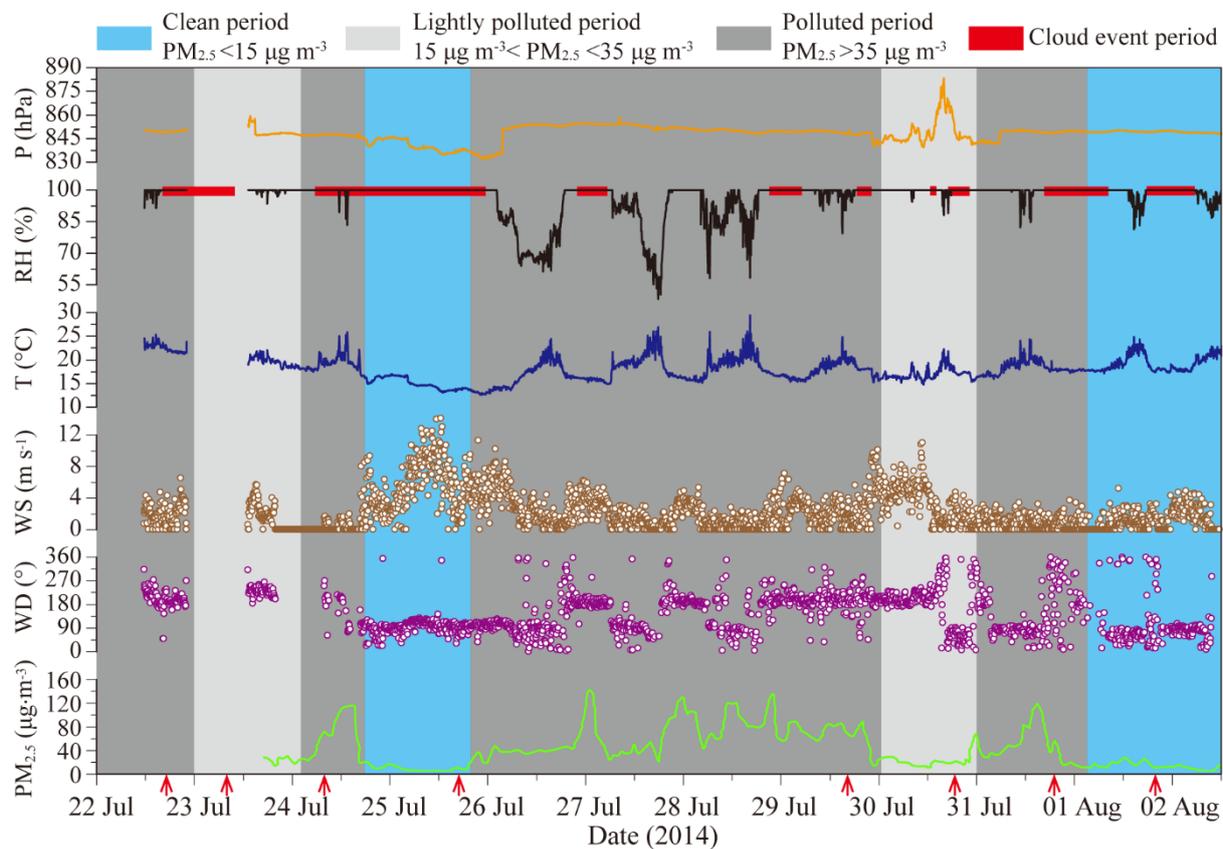


159
160 **Figure 2.** Low magnification TEM images of cloud RES (a-b) and cloud INT (c-d) particles collected
161 on Mt. Tai.

162 **3. Results**

163 **3.1 Meteorological conditions and backward trajectories**

164 Temporal variations of pressure (P), relative humidity (RH), temperature (T), wind speed (WS),
165 wind direction (WD), and PM_{2.5} concentration were measured on Mt. Tai from 22 July to 2 August 2014
166 (Fig. 3). During the sampling period, the temperature ranged from 12.6 to 29.4 °C, and the RH varied
167 between 48.2% and 100%. Each day during the sampling period, the RH reached 100% as temperatures
168 decreased from the late afternoon into the evening (Fig. 3). We noticed that PM_{2.5} concentrations on the
169 mountaintop were closely related to wind direction and speed during the regional transport of hazes.
170 Based on backward trajectories of air masses and PM_{2.5} concentrations, the whole sampling period can
171 be divided into three categories: *clean period* (PM_{2.5} < 15 µg m⁻³), the prevailing winds were from the
172 northeast to east and air masses were from higher altitudes above the marine areas which lead to the
173 lowest PM_{2.5} concentration; *lightly polluted period* (15 µg m⁻³ < PM_{2.5} < 35 µg m⁻³), the prevailing
174 winds were from the west, and air masses originating from higher altitudes above continental areas
175 brought regional pollutants to the summit of Mt. Tai; *polluted period* (PM_{2.5} > 35 µg m⁻³), air masses
176 originating from northwest, southwest, or south went through Tai'an city. Back trajectories as shown in
177 Fig. 1a during polluted days suggest that air pollutants from industrialized cities might be lifted along
178 the southern slope up to Mt. Tai's summit.



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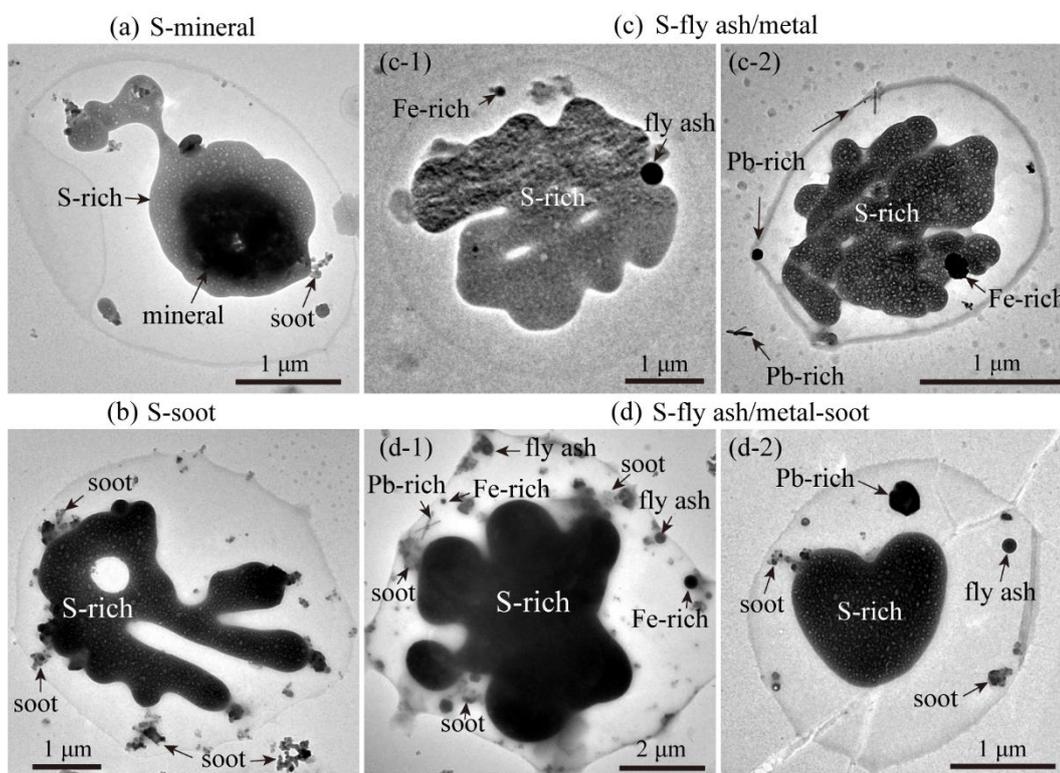
180 **Figure 3.** Temporal variations of pressure (P), relative humidity (RH), temperature (T), wind speed
 181 (WS), and wind direction (WD) measured on Mt. Tai from 22 July to 2 August 2014. The red arrows
 182 indicate collection times of individual particle samples during the cloud events.

183 3.2 Mixing state of anthropogenic refractory particles

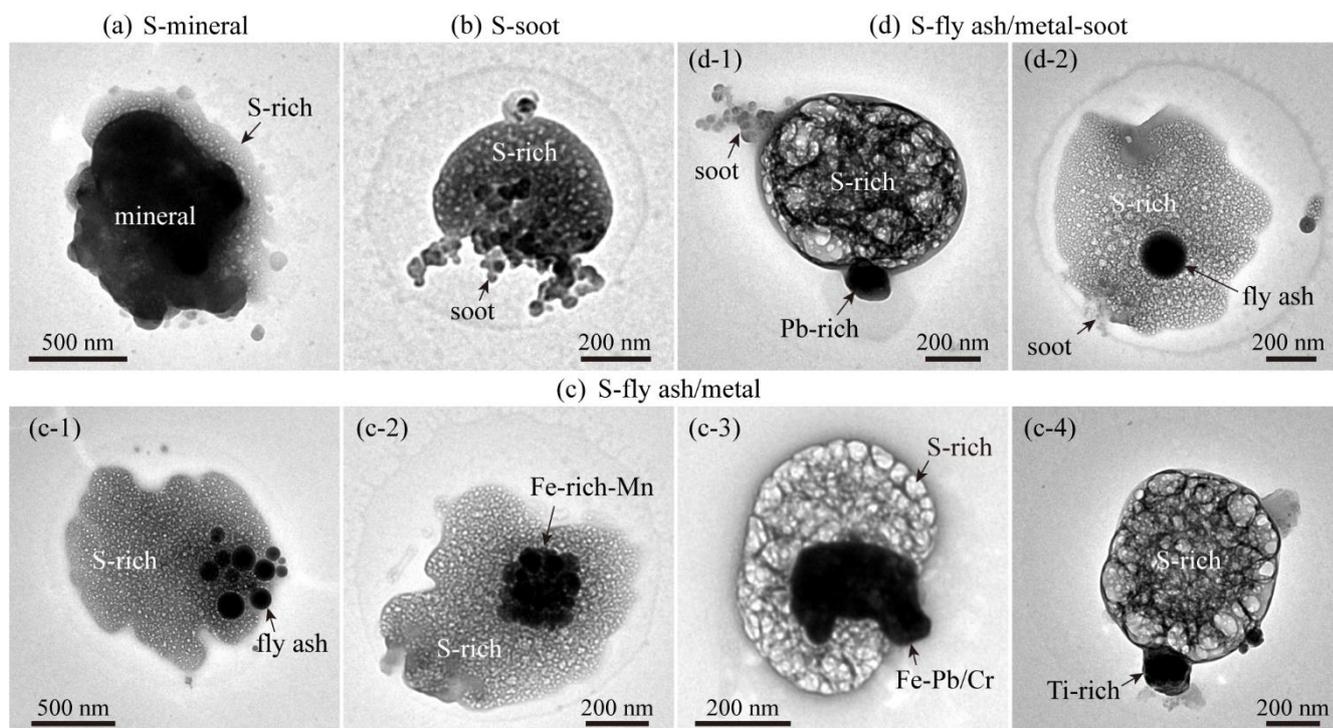
184 Mixing state of aerosol particles is currently classified into population mixing state (Riemer and
185 West, 2013) and single particle mixing state (Deng et al., 2014; Li et al., 2016b). Riemer and West (2013)
186 defined the population mixing state as the distribution of the aerosol chemical species among the
187 particles in a given population. However, based on the single particle mixing state of an individual
188 particle acquired by TEM (Li et al., 2016b), this study emphasizes the distribution of different types of
189 aerosol components within and on particle surface. Furthermore, single particle mixing state can be
190 further divided into externally mixed particle and internally mixed particle (i.e., individual particles
191 containing two or more types of aerosol components) (Li et al., 2016b).

192 Based on the elemental composition and morphology of individual particles, six basic types of
193 externally mixed particles were classified: S-rich (Fig. S2a), soot (Fig. S2b), organic matter (OM, Fig.
194 S2c), mineral (Fig. S2d), and fly ash/metal (Figs. S2e-h). The classification criteria of different particle
195 types and their sources have been described in our previous study (Li et al., 2016a). S-rich particles
196 representing secondary inorganic particles (e.g., SO_4^{2-} , NO_3^- , and NH_4^+) are transformed from gaseous
197 SO_2 , NO_x , and NH_3 . OM can be divided into primary organic matter (POM) and secondary organic
198 matter (SOM). POM is directly emitted from coal or biomass burning and normally has spherical or
199 irregular shapes (Liu et al., 2017), whereas SOM is produced from the chemical oxidation of volatile
200 organic compounds (VOCs) and exhibits OM-coating on S-rich particles (Li et al., 2016b). Fly ash (e.g.,
201 Si, Al, and O) and metal particles (e.g., Fe, Mn, Zn, and Pb) normally are emitted from coal-fired power
202 plants and heavy industrial activities, such as production activities in steel mills and smelters. Soot
203 particles are generated from incomplete combustion processes of biomass burning and fossil fuels in
204 both industrial activities and vehicular emissions. In much of the climate-change and environmental
205 literature, “soot” and “black carbon” are commonly used interchangeably, and “black carbon” is the
206 most commonly used term in the climate-science community (Andreae and Gelencsér, 2006; Buseck et
207 al., 2014). In the following sections, we use the term “soot” for the classification of particle types and
208 the term “black carbon” for the discussion of the climate issues. Mineral particles come from
209 construction activities, resuspended road dust, and natural soil. Among these types of particles, soot,
210 POM, fly ash, mineral, and metal particles were refractory under electron beams and were thus termed
211 as refractory particles (Ebert et al., 2016).

212 Based on the composition and mixing state of internally mixed particles (Figs. 4 and 5), they can be
213 further classified into four categories: S-mineral (Figs. 4a and 5a), S-soot (Figs. 4b and 5b), S-fly
214 ash/metal (Figs. 4c and 5c), S-fly ash/metal-soot (Figs. 4d and 5d). Here, these four types of particles
215 with refractory inclusions are generally defined as “S-refractory” particles.



216
217 **Figure 4.** Typical TEM images of individual internally mixed cloud RES particles: (a) a mixture of
218 S-rich and mineral; (b) a mixture of S-rich and soot; (c) a mixture of S-rich and fly ash/metal; (d) a
219 mixture of S-rich, soot, and fly ash/metal.



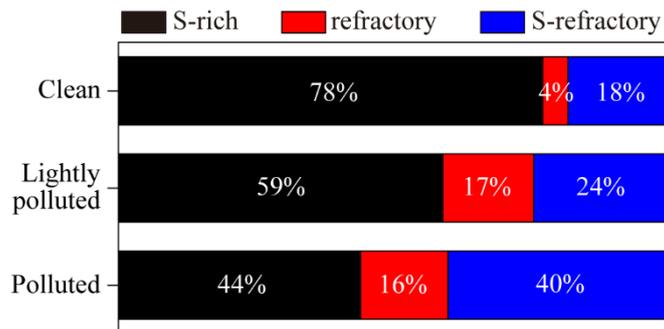
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221 **Figure 5.** Typical TEM images of individual internally mixed cloud INT particles: (a) a mixture of
 222 S-rich and mineral; (b) a mixture of S-rich and soot; (c) a mixture of S-rich and fly ash/metal; (d) a
 223 mixture of S-rich, soot and fly ash/metal.

224

225 Figure 6 shows number fractions of S-rich, refractory, and S-refractory particles in clean, lightly
 226 polluted, and polluted periods on Mt. Tai. In clean periods, S-rich particles had the highest proportion
 227 (78%), followed by a 22% contribution of the refractory and S-refractory particles. This may be
 228 attributed to the clean air masses that originated from the clean marine area and arrived at the summit of
 229 Mt. Tai through high-altitude transport (above 1500 m) (Fig. 1). Because the air masses did not contact
 230 the ground surface, the local anthropogenic pollutants (e.g., soot, fly ash, and metal) could not be lifted
 231 to the summit of Mt. Tai. Hence, secondary particles like S-rich were dominant in the clean period. In
 232 the lightly polluted and polluted periods, the fraction of S-rich particles decreased to 59% and 44%,
 233 respectively; meanwhile, the fractions of refractory and S-refractory increased up to 41% and 56%,
 234 respectively (Fig. 6). The backward trajectories suggest that these air masses went through the most
 235 heavily polluted areas before they arrived at the mountaintop (Fig. 1). Air masses on two polluted days
 236 (e.g., 22 and 31 July) were lifted from ground level to the atmospheric boundary layer. Our study shows
 237 that number fractions of refractory and S-refractory particles significantly increased from clean to
 238 polluted periods (Fig. 6). This result shows that large amounts of primary refractory particles from

239 ground-level anthropogenic sources were lifted into the upper air and were further internally mixed with
240 S-rich particles.



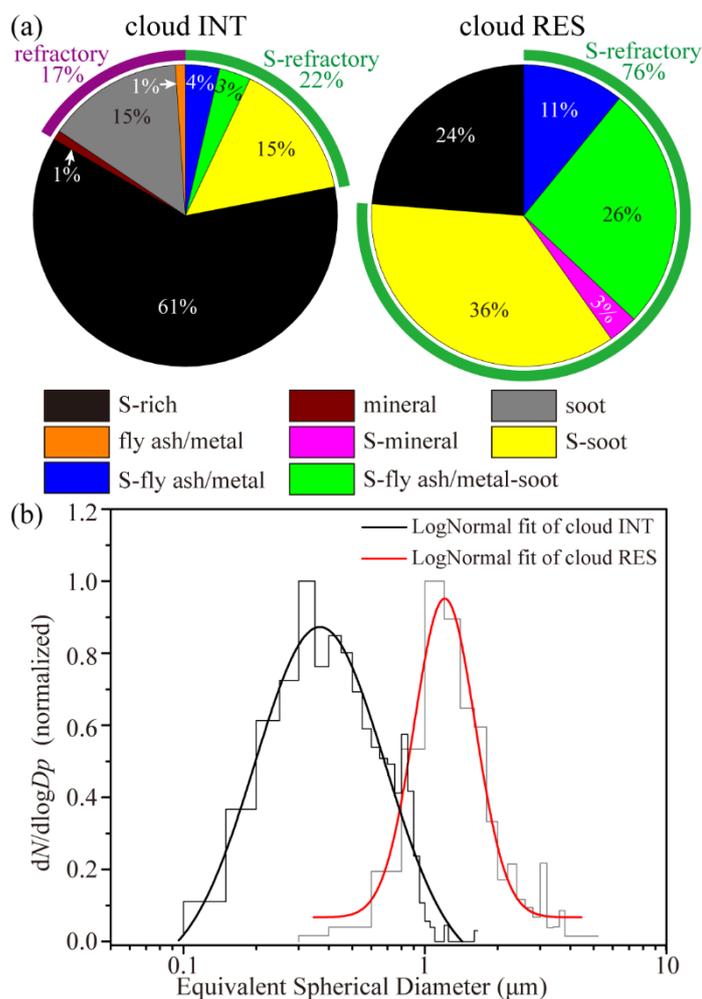
241
242 **Figure 6.** Number fractions of S-rich, refractory, and S-refractory particles at different pollution levels.

243 3.3 Comparisons of cloud RES and INT particles

244 During the sampling period, a fog monitor was used to measure the size of cloud droplets during
245 cloud events (Li et al., 2017a). This study reveals that in the cloud events all the cloud droplets
246 displayed particle size larger than 2 μm in which size range the interstitial particles were absent. Based
247 on their different size, we can know that these cloud droplets and interstitial particles impacted on
248 different positions on the substrate. Although cloud droplets and interstitial particles became dry after
249 the collection, they can still be identified based on the distribution and morphology of individual
250 particles in TEM images (Li et al., 2011a; Ueda et al., 2014; Zhang et al., 2006; Kojima et al., 2004).
251 Cloud RES display larger size and large rims around their CCN (Figs. 2 and 4) which has not been
252 observed in non-cloud events. In contrast, cloud INT impacted on the position away from the center of
253 sampling spot and their morphology looks like individual particles collected in non-cloud events.
254 According to the rule, we can identify cloud RES and cloud INT in the samples collected during the
255 cloud events.

256 Figure 7a shows that 100% of cloud RES and 83% of cloud INT contained S-rich species (i.e.,
257 S-rich and S-refractory). In other words, none of cloud RES were soot, fly ash/metal, and mineral
258 particles but 17% of cloud INT were. Soot particles mainly distributed in the finer size bins (< 600 nm)
259 of cloud INT (Fig. S3a). Interestingly, we found that 76% of cloud RES were a mixture of sulfates and
260 refractory particles, which is 3.5 times of 22% in cloud INT (Fig. 7a). Furthermore, 26% of cloud RES
261 had two or more types of inclusions (i.e., S-fly ash/metal-soot in Figs. 4d and 5d) but only 3% of cloud
262 INT did. Therefore, we can conclude that cloud RES are extremely complex mixtures formed when
263 cloud droplets act like a collector to scavenge these refractory particles.

264 The size-resolved number fractions of different particle types in cloud RES and cloud INT indicate
265 that S-rich particles were predominant from 60 nm to 1.2 μm in cloud INT (Fig. S3a), and S-refractory
266 particles (indicated by the red box) dominated from 400 nm to 5.5 μm in cloud RES (Fig. S3b). Figure
267 7b shows that the median diameters of cloud RES and cloud INT were 1.19 μm and 422 nm,
268 respectively. The size of cloud RES was much larger than that of cloud INT, suggesting that size is an
269 important factor affecting the CCN ability (Dusek et al., 2006).



270
 271 **Figure 7.** Number fractions of different particle types in cloud RES and INT particles (a) and size
 272 distributions of cloud RES and cloud INT particles (b). The measured particle sizes exclude the effects
 273 of water rims in TEM images. In total, 292 cloud RES and 1161 cloud INT particles were analyzed.

274 **4. Discussion**

275 TEM observations in this study reveal that cloud RES contained large amounts of
 276 refractory-containing particles primarily emitted from various anthropogenic sources in the heavily
 277 polluted NCP. As much as 76% of cloud RES were identified as S-refractory particles (Fig. 7a). We
 278 found that soot-containing particles (i.e., S-soot and S-fly ash/metal-soot) were the most abundant (62%)
 279 among the cloud RES, followed by the relatively abundant fly ash/metal-containing particles (i.e., S-fly
 280 ash/metal and S-fly ash/metal-soot, 37%) compared with 18% and 7% in the cloud INT, respectively
 281 (Fig. 7a). Although these refractory particles such as soot with hydrophobic properties could not be
 282 CCN directly, they can be easily accumulated by the existing cloud droplets as inclusions (Zuberi et al.,
 283 2005). In the heavily polluted NCP, large amounts of soot and fly ash/metal particles are released from
 284 anthropogenic sources (e.g., industrial activities and vehicular exhaust). During cloud events, abundant

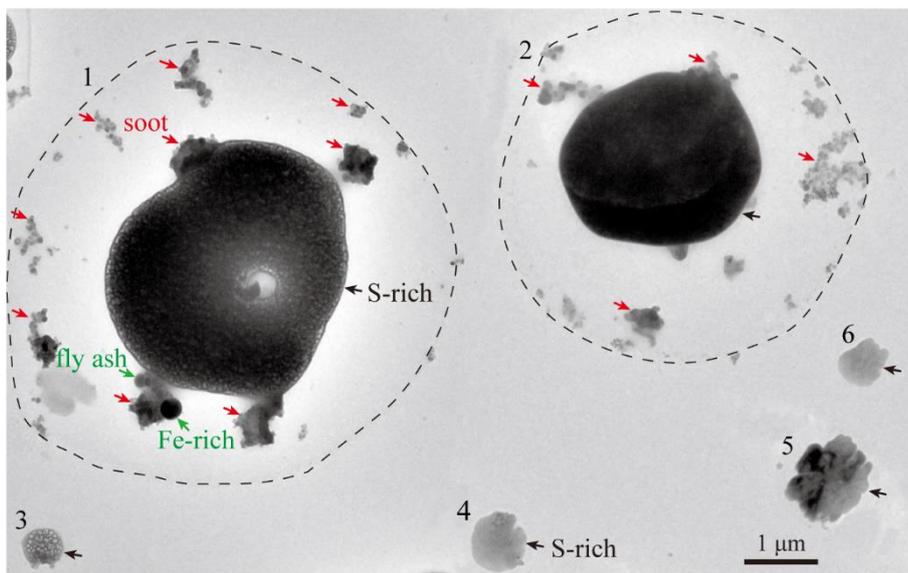
285 refractory particles can be efficiently entrained into existing liquid cloud droplets by wet scavenging. Li
286 et al. (2011a) reported that particle number decreased dramatically during cloud formation at Mt. Tai
287 with a scavenging ratio of 0.54, which demonstrated that aerosol particles could efficiently be
288 incorporated into cloud droplets. Physical coagulation of interstitial particles with cloud droplets is an
289 important process in developing clouds, which can lead to the reduction of cloud INT number and a size
290 increase of cloud RES after the cloud dries (Pierce et al., 2015). As we know so far, the extremely
291 complicated mixture of secondary and primary particles observed in the present study has seldom been
292 found in cloud droplets in clean air over developed countries (Ueda et al., 2014;Schneider et al.,
293 2017;Hao et al., 2013;Kojima et al., 2004), remote areas (Hiranuma et al., 2013), and ocean (Twohy and
294 Anderson, 2008;Hopkins et al., 2008;Zhang et al., 2006). For example, Zhang et al. (2006) reported that
295 S-rich particles were predominant in the cloud RES with a small number fraction of sea salt particles
296 over the Sea of Japan and soot or fly ash/metal particles were not observed. The present study reveals
297 that individual cloud droplets are a far more complicated system in polluted air in North China than in
298 the pristine continental and clean ocean air of the world.

299 The cloud properties such as albedo and lifetimes could be largely modified by the aerosol-cloud
300 interactions, especially in heavily polluted regions (Wang et al., 2010;Wang et al., 2013). The model
301 simulation revealed that black carbon aerosols had a noticeable impact (up to nearly 20%) on cloud
302 droplet number concentration in polluted black carbon source regions (Cherian et al., 2017). Especially,
303 abundant black carbon particles incorporated into cloud droplets could lead to a decrease in cloud
304 albedo by absorbing radiation and an increase of temperature in troposphere, then accelerate the
305 evaporation of the cloud droplets (Zuberi et al., 2005;Ackerman et al., 2000;Adachi et al., 2010;Wang et
306 al., 2013). In the past few decades, precipitation was significantly reduced over east-central China due
307 to the large amounts of anthropogenic aerosols (Zhao et al., 2006;Qian et al., 2009). Because an excess
308 of aerosols in clouds could reduce precipitation, the non-precipitating clouds in the NCP tend to
309 evaporate back to aerosol particles by solar radiation. It is highly probable that abundant black carbon
310 particles presenting in the cloud droplets in the heavily polluted NCP in this study (e.g., particle 1 and 2
311 in Fig. 8) significantly affected the cloud properties and regional climate.

312 Fly ash and metal particles are a typical “fingerprint” pointing to the coal-fired power plants and
313 boilers in factories and heavy industries (e.g., steel plant and smelting factory) (Chen et al., 2012;Moffet
314 et al., 2008;Li et al., 2016a). Indeed, the most intense emissions from various industries in the world

315 occur in Hebei and Shandong provinces in the NCP (Qi et al., 2017). It is well known that these
316 industrial activities continuously release anthropogenic pollutants via high stacks into the upper air. Liu
317 et al. (2012) reported that the concentration of Zn reached $249.1 \mu\text{g L}^{-1}$ in the cloud/fog water samples
318 at Mt. Tai, followed by Al ($157.3 \mu\text{g L}^{-1}$), Fe ($105.8 \mu\text{g L}^{-1}$), Pb ($46.2 \mu\text{g L}^{-1}$), and Mn ($42.8 \mu\text{g L}^{-1}$),
319 which were extremely higher than those values reported at Mt. Schmücke in Germany (Fomba et al.,
320 2015). Combining these results with our present study, we infer that fine primary particles emitted from
321 these industrial activities might spread and be lifted to the upper air more easily than at ground level.
322 These metal particles, especially Pb and Zn of nanometer size, can harm ecosystems and human health
323 (Roberts et al., 2004). The fly ash and metal particles incorporated into cloud droplets (e.g., particle 1 in
324 Fig. 8) could go through the atmospheric acid Fe dissolution processes during long-range transport
325 reported by Li et al. (2017b). If they were further transported to remote oceanic regions, soluble Fe
326 species in the aerosol particles can fertilize plankton on the surface of ocean (Li et al., 2017b; Ito and Shi,
327 2016). Therefore, these anthropogenic fly ash/metal particles in polluted air contaminate cloud droplets
328 and further amplify potential impacts of fine metal particles on the biogeochemical cycle in the
329 troposphere.

330 Some studies suggested that the aqueous oxidation of SO_2 to sulfate by H_2O_2 and O_3 in cloud
331 droplets was dominant at Mt. Tai (Shen et al., 2012). However, cloud water collected on Mt. Tai
332 contained high concentrations of soluble Fe, Mn, Zn, and Pb (Liu et al., 2012). These soluble metals in
333 cloud droplets are released from aqueous reactions between metal particles and acidic sulfates in cloud
334 droplets (Li et al., 2017b). Harris et al. (2013) estimated that the oxidation of SO_2 in cloud droplets
335 catalyzed by natural transition metal ions (TMIs) in mineral dust was dominant at Mt. Schmücke in
336 Germany. For this study, how the soluble anthropogenic TMIs drive sulfate formation through TMI
337 catalysis in micro-cloud droplets is still unresolved in polluted air. We propose that anthropogenic TMI
338 catalysis contributing to sulfate production should be further studied in cloud droplets in the polluted
339 NCP.



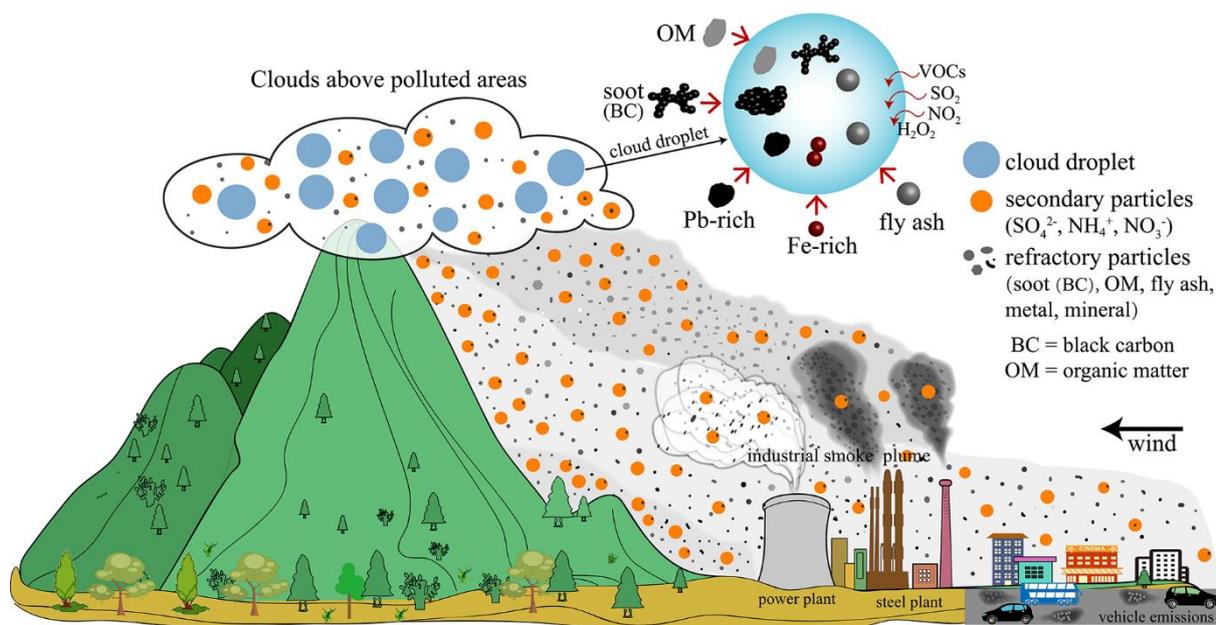
340

341 **Figure 8.** TEM image of cloud RES and INT particles collected during the cloud event occurred on the
 342 polluted day of 31 July. Particle 1 and 2 are cloud RES and particle 3, 4, 5, and 6 are cloud INT. The
 343 dashed lines indicate the water rims that were left after the cloud droplets impacting on the substrate
 344 become dry.

345

346 The non-precipitating cloud processes over the polluted air of NCP quickly change the mixing state
 347 and composition of aerosol and cloud droplets in the upper air, potentially causing various effects such
 348 as human health, regional climate, and biogeochemical cycle at the larger regional scale. To better
 349 understand the aerosol-cloud interactions in this study, we offer the conceptual model of Fig. 9. The tall
 350 stacks of plants can emit smoke plumes that contain fine refractory particles and gaseous pollutants to
 351 the upper air. A portion of particles in urban areas can also be lifted to the mountaintop by prevailing
 352 valley winds. Once the clouds form on a mountaintop (or later are transported in other directions), these
 353 cloud droplets act as collectors to scavenge the refractory particles. These refractory particles as
 354 inclusions might complicate the cloud chemistry in micro-cloud droplets. Gaseous pollutants such as
 355 SO₂, NO_x, and VOCs may have enhanced aqueous oxidation potential in the complex cloud droplets.
 356 Our study is designed to better understand the aerosol-cloud interactions on the mountaintop in polluted
 357 industrial and urban areas. Recently, a study showed that the major aerosol pollution events with very
 358 high fine mode AOD (>1.0 in mid-visible) in the China-Korea-Japan region are often observed to be
 359 associated with significant cloud cover (Eck et al., 2018). Therefore, we expect that large amounts of
 360 fine refractory particles from polluted areas scavenging in clouds have important impacts not only at

361 local but also in large regional scale.



363 **Figure 9.** A conceptual model illustrating mechanisms of aerosol-cloud interactions on mountaintop
364 influenced by anthropogenic pollutants from heavy industrial and urban emissions.

365 5. Conclusions

366 Individual aerosol particles were collected during cloud events on Mt. Tai from 22 July to 1 August,
367 2014. Cloud RES and INT particles were separated by their distribution on TEM grids and their
368 composition was identified by TEM/EDS. Individual particles were classified into S-rich, refractory (i.e.,
369 mineral, soot, fly ash/metal) and S-refractory (i.e., S-mineral, S-soot, S-fly ash/metal, and S-fly
370 ash/metal-soot). According to air mass backward trajectories and $PM_{2.5}$ concentrations on Mt. Tai, the
371 entire sampling period was divided into three classes: a clean period ($PM_{2.5} < 15 \mu g m^{-3}$), a lightly
372 polluted period ($15 \mu g m^{-3} < PM_{2.5} < 35 \mu g m^{-3}$), and a polluted period ($PM_{2.5} > 35 \mu g m^{-3}$). In the
373 clean period, individual particles were dominated by S-rich particles (78%), whereas the fraction of
374 refractory particles and S-refractory particles increased significantly and dominated during the polluted
375 periods. This suggested that anthropogenic pollutants from tall stacks of coal-fired power plants and
376 heavy industries and vehicular exhaust in cities could be lifted to the summit of Mt. Tai under the
377 prevailing southerly winds in summer.

378 TEM observations showed that 76% of cloud RES were S-refractory particles contaminated by
379 anthropogenic refractory particles, compared with only 22% of cloud INT. Cloud RES displayed a
380 larger size than cloud INT, which indicates that particle size decidedly affects CCN ability. Our study

381 reveals that large amounts of anthropogenic refractory particles were incorporated into cloud droplets
382 through in-cloud processes. Especially important is that abundant black carbon particles in cloud
383 droplets could alter radiative forcing of clouds and accelerate the evaporation of cloud droplets. The
384 high concentrations of transition metal ions might favor the aqueous-phase oxidation of SO₂ by O₂ in
385 cloud droplets under the heavily polluted conditions in the NCP. Fly ash/metal-containing cloud
386 droplets could be transported long distance and harm ecosystems and human health through wet
387 deposition. We propose a conceptual model to show the aerosol-cloud interactions on mountaintops
388 influenced by heavily polluted air.

389

390 **Data availability.** All data presented in this paper are available upon request. Please contact the
391 corresponding author (liweijun_atmos@gmail.com).

392

393 **Competing interests.** The authors declare that they have no conflict of interest.

394

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