We thank Reviewer 1 for his/her constructive comments. We reproduce reviewer’s comments in blue and our responses in black.

*Line 39: Remove “the” after “precipitation in”.*
Suggested change has been made (line 40).

*Line 40: Replace “remaining” with “existing”.*
Suggested change has been made (line 40).

*Line 42: “nucleation mechanisms at work” sounds a bit awkward.*
The term “nucleation mechanisms at work” has been changed to “nucleation mechanisms” (line 42).

*Line 44: “supercooled” or “supersaturated”?*
We replaced “supercooled” by “metastable” (line 44).

*Line 52: Replace “at work” with “to take place”.*
Suggested change has been made (line 54).

*Line 72: Add a reference after “efficiency”.*
“Kaufmann, L., Marcolli, C., Hofer, J., Pinti, V., Hoyle, C. R., and Peter, T.: Ice nucleation efficiency of natural dust samples in the immersion mode, Atmos. Chem. Phys., 16, 11177-11206, doi:10.5194/acp-16-11177-2016, 2016” has been added as a reference after “efficiency”.

*Line 73: Define “cloud glaciation”. Why is this relevant for the manuscript?*
We mean with “cloud glaciation” the transformation of cloud droplets to ice crystals. To understand cloud glaciation, the role of atmospheric processing on the IN efficiency of INPs such as microcline needs to be understood.

*Line 89: Replace “differential scanning calorimetry” with “DSC”.*
This is the first mentioning of DSC in the main text, therefore, we want to state the full expression here. We add DSC in brackets in the revised manuscript (line 96).

*Line 95: Replace “Nannochloris atomus and Thalassiosira pseudonana” with “Nannochloris atomus and Thalassiosira pseudonana”.*
Suggested change has been made (line 102).

*Line 96: Remove “dust” after “illite”.*
Suggested change has been made (line 103).

*Line 108: Replace “differential scanning calorimetry” with “DSC”.*
Suggested change has been made (line 117).
Lines 125-126: “Evaluation” of what?

Lines 125-126 of the discussion paper have been modified to “We ran the second freezing cycle at 1 K min⁻¹ cooling rate and used it for evaluation of freezing temperature ($T_{het}$ and $T_{hom}$) and heterogeneously frozen fraction ($F_{het}$) as discussed below.” (lines 152-154). The evaluation of these parameters are discussed in the next paragraph.

Lines 171-172: “The first signal observed at higher temperature is due to heterogeneous freezing triggered by microcline particles while the second freezing signal at lower temperature is due to homogeneous freezing.” This was already mentioned above.

This sentence has been removed from the revised manuscript.

Lines 376-377: “is to large extent reversible” sounds a bit awkward.

The part “is to large extent reversible” has been modified to “largely reversible” (line 434).

Line 401: “minor contributions” on what?

To improve the formulation, we write in the revised manuscript “the minor contributions of feldspars to atmospheric dust aerosols” (line 449).

Line 403: Replace “superior” with something more appropriate.

The term “superior” is replaced by “high” in the revised manuscript.

Line 424: “atmospheric solution droplets by means of Fig. 9” sounds a bit awkward.

We reformulate in the revised manuscript: “atmospheric solution droplets following atmospheric air parcel trajectories P1 through P3 (red and yellow arrows) with increasing moisture (as shown in Fig. 9).” (lines 471-473)

Line 438: Remove “temperature” after “lower”.

Suggested change has been made.

Line 444: Remove “the” before “nucleation”.

Suggested change has been made.

Line 464: Replace “ice nucleation” with “IN”.

Suggested change has been made.

Line 471: Replace “creation” with something more appropriate.

The term “creation” has been replaced by “emergence” in the revised manuscript (line 520).

Line 483: Replace “ice nucleation” with “IN”.

Suggested change has been made.

Line 624: Correct the volume and page number.
Necessary corrections have been made in the revised manuscript. “n/a-n/a” has been removed from the reference (line 684).

*Line 822: Use the short name of the journal for consistency.*

Journal name “Environmental Earth Sciences” has been abbreviated to “Environ. Earth Sci.” in the revised manuscript (line 898-899).

*Figure 5: The readability of this figure is very low. Could the authors add and insert with a zoom of the figure down to aw of .99 or .98 to better visualize the data? Or can the authors present the data of this figure in a Table in the Appendix?*

All data for Figure 5 (as well as Figs. 3 and 7) are presented in a tabular form at the following DOI: 10.3929/ethz-b-000229892 and has been mentioned in “Section 7. Data availability”.
We thank Reviewer 2 for his/her constructive comments. We reproduce the reviewer’s comments in blue and our responses in black.

**P2 L38: DeMott 2013a (a needs to come first)**

We introduced DeMott 2013a at this position to follow the order. We added also Stephens et al. (1990) (Stephens, G. L., Tsay, S.-C., Jr., P. W. S., and Flatau, P. J.: The relevance of the microphysical and radiative properties of cirrus clouds to climate and climatic feedback, J. Atmos. Sci., 47, 1742-1754, doi:10.1175/1520-0469(1990)047<1742:trotma>2.0.co;2, 1990). (line 38)

**P2 L67-68: This statement seems misleading - Harrison et al. (2016) points out that the fresh sanidine can be as active as microcline.**

We have removed the reference “Harrison et al. (2016)” from the concerned statement. The statement “Microcline has been reported to be IN active at higher temperatures than orthoclase and sanidine” is supported by Kaufmann et al. (2016). (lines 70-71)

**P2 L70-72: I suggest the authors to provide some additional information regarding the active site “assumption” (there is no direct observation of it in immersion freezing) and prescribe how it may be responsible for heterogeneous freezing in more detail here. Such information may benefit some statements in this manuscript (e.g., P9 L296-299; P14 L489) to sound less ambiguous.**

Active sites, nucleation sites, or, just sites have become a very common concept in the discussion of ice nucleation in the atmosphere. In Vali et al. (2015), a site is defined as a “preferred location for ice nucleation on an INP, or equivalent”. Although sites responsible for immersion freezing cannot be directly observed, their existence is plausible considering refreeze experiments, which show statistically relevant differences between the freezing temperatures of samples taken from the same stock suspension when they are subjected to repeated freezing cycles (Vali, 2014; Kaufmann et al., 2017). Such differences cannot be explained assuming that a uniform surface common to all particles of a sample is responsible for freezing. An active site needs to be large enough to accommodate a critical ice embryo. Applying classical nucleation theory, Kaufmann et al. (2017) determined active sites with areas of 15 – 50 nm² to be responsible for ice nucleation on mineral dusts. In the revised manuscript, we explain the concept of active sites in the introduction starting on line 49: “Statistical analysis of freezing experiments indicates that heterogeneous ice nucleation is induced by active sites present on a foreign body termed as ice-nucleating particle (INP). Active sites are preferred
locations for ice nucleation with minimum areas in the order of 10 – 50 nm² based on estimates using classical nucleation theory (Vali, 2014; Vali et al., 2015; Kaufmann et al., 2017). (lines 49-52)

P3 L74: “. . . included in the current IN parameterizations.”?

We improve the formulation by writing: “needs to be taken into account”.

P4 L115: The authors may want to introduce more of theoretical description of the water activity based immersion freezing approach (see Knopf et al., 2018 and references therein; doi: 10.1021/acsearthspacechem.7b00120) here first for the readers, who are not familiar with it.

More discussion on water activity based immersion freezing approach has been added in the “Introduction”. Lines 86-89: “Describing the kinetic (non-equilibrium) IN process as a simple function of a thermodynamic (equilibrium) quantity Δa_w is tempting because of its simplicity. Therefore, the applicability of “water-activity criterion” proposed by Koop et al. (2000) for homogeneous IN to heterogeneous IN temperatures of various types of INPs in immersion mode has been probed by several studies in the past.” And lines 105-107: “Several other studies that have applied this approach to describe heterogeneous IN include Zobrist et al. (2006), Knopf et al. (2011) and Archuleta et al. (2005) (see Knopf et al. (2018) for a detailed review on this topic).”

P4 L130-132: The authors may briefly discuss the reproductively of onset temperatures, such as Thet, Thom and Tmelt, by DSC for the samples used in this study. This additional information may be beneficial to the readers, who are not familiar with the DSC technique.

We experimentally determine the reproducibility be repeating all experiments at least once. We give the experimental variability that includes emulsion preparation and DSC measurements in the figure captions by stating e.g. for Fig. 3: “Symbols are the mean of at least two separate emulsion freezing experiments. Three symbols carry error bars to show representative experimental variations (min-to-max) in T_het and a_w.”

We add this information also to the methodology section 2.2 of the revised manuscript: “Average precisions in T_het are ±0.15 K with maximum deviations not exceeding 0.8 K. T_hom, and T_melt are precise within ±0.1 K. Absolute uncertainties in F_hets, are on average ± 0.02 and do not exceed ± 0.1.” (lines 165-167)

P4 L144-P5 L150: Please clarify if the samples were re-sonicated or re-homogenized prior to each time trial DSC analysis. The presence/absence of any additional physical sample modifications should be stated.

The suspensions were sonicated each time before preparing emulsion. This information is now
mentioned in the revised manuscript on lines 172-173: “Each suspension was sonicated for 5 min before preparing the emulsions in order to re-suspend the particles that have settled over time and avoid particle aggregation.”

P5 L164-167: How does this BET specific surface value compare to the other values from previous microcline IN studies? Did the authors observe any deviation between BET and the geometric surface-to-mass ratio (i.e., the ratio of the total surface area concentration to the total mass concentration estimated by SMPS/APS)? If so, what is its atmospheric implication?

Atkinson et al., (2013) report a BET surface area of 3.2 m²/g for their K-feldspar, a microcline, while the BET area of our microcline is 1.9 m²/g. These surface areas are similar to the ones of quartz (0.5 – 5.5 m²/g, Zolles et al., 2015), but lower than surface areas of kaolinites (10 - 25 m²/g, Pinti et al., 2012), and much lower than surface areas of illites (~100 m²/g, Pinti et al., 2012) and montmorillonites (30 – 84 m²/g, Pinti et al., 2012).

The specific surface area values evaluated from the laser diffraction particle analyser (LDPS) and SMPS/APS is 1.21 m²/g and 2.43 m²/g, respectively. From these minor differences we don’t expect atmospheric implications.

P5 L172-174: I like this statement showing negligible concentration dependency of the onset freezing \( T \) in DSC. Great job.

We thank the reviewer for the appreciation.

P6 L205: Clarify/elaborate what “an initial increase” meant here – e.g., an increase in \( \Theta_t \) near \( a_w \sim 1.0 \).

The intention was to highlight the increase in \( T_{\Theta_t} \) near \( a_w \sim 1 \). The sentence has been modified to:

“For all \( \text{NH}_4^+ \) solute cases in dilute concentrations viz. \( \text{NH}_3 \) (< 1 molal), \( \text{(NH}_4\text{)}_2\text{SO}_4 \) (< 0.16 molal), \( \text{NH}_4\text{HSO}_4 \) (< 0.18 molal), \( \text{NH}_4\text{NO}_3 \) (< 0.67 molal) and \( \text{NH}_4\text{Cl} \) (< 0.99 molal), there is an increase in \( T_{\Theta_t} \) compared to \( T_{\Theta_t}^{\Delta a_w} \) near \( a_w \sim 1 \).” (lines 217-219)

P8 L269-271 or P9 L320-321: The authors may extend this simulation-based discussion of ice-like ordering on the second bilayer, which seems to hold true for even nonmineral dust particles, by citing some more papers, such as Lupi et al. (2014, J. Am. Chem. Soc.) and Lupi and Molinero (2014, J. Phys. Chem. A).

We thank the reviewer for the suggestion. The references have been added and discussed in the revised manuscript (lines 320-321) as “Using molecular dynamics simulations, Lupi et al. (2014)
and Lupi and Molinero (2014) have recently shown that even non-mineral graphitic surfaces can promote IN due to surface-induced ordered layering of interfacial water.”

*Suggested change has been made.*

*P10 L328-332: I suggest the authors to separate this sentence into at least two sentences – e.g., “. . . K+ in the surface water. For instance, the comparison of . . .”.*

As suggested by the reviewer, the lines (328-332) have now been split into 3 shorter sentences as “The total absence of IN activity at higher K+ concentrations indicates that the replacement of K+ with H+/H3O+ is essential for the IN activity of microcline. This suggests that the higher IN efficiency of K-feldspars compared with (Na, Ca)-feldspars does not stem from the beneficial effect of K+ in the surface layer. For instance, the comparison of K2SO4 with Na2SO4 solutions with the same water activity shows that the presence of K+ has even a more negative effect on $F_{\text{het}}$ than Na+.“ (lines 377-382)

*P10 L334-337: I suggest the authors to separate this sentence into two sentences – e.g., “. . .small structural fragments. This cations release presumably leads to. . . (Add proper references for this part if they are any)”.*

The suggested lines have been modified and split into 2 separate sentences as “Suspension of feldspars in water leads to the immediate release of surface cations within minutes (Nash and Marshall, 1957; Busenberg and Clemency, 1976; Smith, 1994; Peckhaus et al., 2016). Over a period of years, the continuous release of silica as silicic acid, hydrated alumina and small structural fragments leads to the slow disintegration of feldspar and to the buildup of new minerals (DeVore, 1957; Banfield and Eggleton, 1990).” (lines 384-387)

Two new references have been added to the second sentence, viz:


*P10 L353-359: Please include the discussion of the recent study done by Abdelmonem et al. (2017, ACP, 7827-7837) regarding the effect of pH on IN.*
We refer to the study by Abdelmonem by stating: “The solution pH influences the surface charge of hydroxylated mineral surfaces. This again influences the ordering of water molecules at the water/mineral interface and the IN efficiency, as was recently shown by Abdelmonem et al. (2017). In case of feldspars, the pH of the solution is, in addition, an important parameter in determining the stability of the feldspar surface.” (lines 403-405)

P11 L369-372: This observation regarding non-reversible IN ability is great. Good job.

We thank the reviewer for the appreciation.

P12 L411: “An exception includes...”

Suggested change has been made.

Fig. 2: What does the minor heat flow peaks at T > 255 K indicate? It seems not apparent in Fig. 4. What caused the observed difference?

The minor heat flow peaks/spikes at T > 255 K are heterogeneous freezing signals of particularly large single droplets in the tail of the droplet size distribution, which are not representative for the sample. Their presence is due to the coalescence of some smaller droplets probably while transferring the sample to the aluminum pan for DSC and is not reproducible. We mention them at the end of Sect. 2.2 of the revised manuscript. In addition, we add to the figure caption of Fig. 2: “Note that the spikes at T > 255 K are due to the freezing of single particularly large emulsion droplets. They are not reproducible and therefore excluded from evaluation.”

Fig. 3: Is there any way to overlay the results of previous ABIFM results for some reference mineral dusts in comparison to the authors’ results? If yes, please do. Adding some reference points may benefit the paper.

We plot for comparison the heterogeneous freezing curve under the assumption that heterogeneous freezing can be predicted by a constant shift of the melting curve. This corresponds with the assumption of the activity based immersion freezing model (ABIFM) proposed by Knopf and Alpert (2013). INP that follow this assumption lie along this line. Such curves can e.g. be seen in Fig. 5 of Zobrist et al. (2008) and in Fig. 2 of Knopf and Alpert (2013). We consider that the figure would become too busy and confusing if we included results from other INPs. We mention in the discussion on line 267 of the revised manuscript: “Note that this derivation of the heterogeneous freezing temperatures on the basis of the solution water activity and the freezing temperature of the suspension in pure water is analogous to the one of ABIFM and results in the same prediction.” (lines 267-269)
References


We thank Reviewer 3 for his/her constructive comments. We reproduce the reviewer’s comments in
blue and our responses in black in this document.

Some more information on the droplet size distributions in the emulsions would be good. The fact
that not all droplets in the emulsions have the same size, and particularly that there likely are some
large droplets with a high amount of material in them, will influence the extent to which the obtained
data can be used to derive atmospheric implications.

This is a good point. The emulsion preparation procedure has been developed in our group and was
first described in Marcolli et al. (2007). It has been used in Pinti et al. (2012) and Kaufmann et al.
(2016). Droplet size distributions resulting from this procedure are shown in Figs. 1 of Marcolli et al.
(2007), Pinti et al. (2012) and Kaufmann et al. (2016). Our size distribution are comparable with these.
To make this clear, we add to Sect. 2.2 of the revised manuscript on lines 148-150 the following
sentences:

"This procedure leads to droplet size distributions peaking at about 2 – 3 µm in number and a broad
distribution in volume with highest values between 4 and 12 µm similar as the ones shown in Figs. 1
of Marcolli et al. (2007), Pinti et al. (2012), and Kaufmann et al. (2016)."

For 2 wt % microcline suspensions, the smallest droplets of emulsions prepared by this procedure
remain empty while the largest ones carry in the order of 1000 microcline particles. We consider these
largest droplets relevant for the onset freezing temperatures. Since these droplets freeze due to a
nucleation event occurring on the best of about 1000 particles, the onset freezing temperature has a
high bias compared with the freezing temperature of an average microcline particle. This may explain
the higher freezing temperatures observed in our experiments compared with the ones from single
particle freezing experiments (Niedermeier et al., 2015; Burkert-Kohn et al., 2017).

Broad droplet size distributions with few large droplets might explain why the onset temperature of
heterogeneous freezing does not change much with microcline concentration (line 172-174): in all
emulsions, there may have been a few large droplets with a comparably high microcline content
(more precise: a high total microcline surface area per droplet), which were responsible for the
onset of freezing. Interestingly, this temperature you report (~ 251 K) also is the temperature at
which the strong increase in the freezing spectrum for microcline ("K-feldspar") in the paper by
Atkinson et al. (2013) starts. The droplets with the highest content of microcline in your experiments
likely are similar (in microcline surface area per droplet) to those examined by Atkinson et al. (2013).

A constant onset temperature of heterogeneous freezing is indeed a sign of a narrow distribution of active site freezing temperatures. There are examples of a shift of freezing onset to warmer temperatures with increasing suspension concentration. Such an increase is observed for ATD, which is a mixture of minerals (see Fig. 4a of Marcolli et al. (2007)). When a minor component is freezing at warmer temperatures than the dominant species, a second heterogeneous freezing peak appears for higher suspension concentrations as is the case for kaolinite from Sigma Aldrich (same as Fluka) containing a minor component of feldspars. The mineralogical pure kaolinite from the Clay Mineral Society KGa-1b has an almost constant onset (see Fig. 3 of Pinti et al., 2012). Atkinson et al. (2013) report an active site density of about $10^6$ cm$^{-2}$ for microcline between 251 K and 252 K. The freezing onset for 2 wt% microcline suspensions is ~252 K (see Fig. 3.). If we assume that all the microcline particles in our study are of average size (mode diameter of 213 nm), droplets responsible for the freezing onset (12 µm diameter) should contain about 1000 particles in case of 2 wt% suspensions resulting in an active site density of at least $5 \times 10^{-5}$ cm$^{-2}$ at ~252 K. The same evaluation for 0.2 wt% and 20 wt% microcline emulsions yields active site densities of at least $5 \times 10^{-6}$ cm$^{-2}$ and at least $5 \times 10^{-4}$ cm$^{-2}$ at 251 K and 252 K, respectively. Note, that it is only possible to determine a lower limit of active site densities because more than one site may be responsible for freezing especially at high suspension concentrations. Overall, these numbers are in excellent agreement with Atkinson et al. (2013) considering the roughness of the estimate and that microcline samples from different sources and origins have been compared. Moreover, it shows that microcline exhibits a characteristic active site with little variation in the freezing temperature.

The above mentioned discussion has been added as a new section to the revised manuscript: “Section 4.1 Heterogeneous ice nucleation of microcline in pure water” (Lines 233-263)

*I do take from your text that you yourself assume that there are multiple particles contained in single droplets, as you mention possible aggregation (line 288, lines 550-551). This is, however, only mentioned at these two occurrences, but might influence your results more broadly. This should be incorporated more wherever it could influence your results.*

We rather think that aggregation occurs in the suspension before the emulsions are prepared and could lead to more empty droplets. We therefore sonicate the suspensions to avoid possible aggregation before the preparation of the emulsions. We have discussed this in Appendix B in the
manuscript where we determined the particle size distribution of microcline suspended in pure water with a laser diffraction particle size analyzer. Suspensions were sonicated for 2 minutes before the size distribution measurement. Negligible agglomeration was observed during aging for 2 hours (Figure B1). Therefore, we assume negligible aggregation also within the emulsion droplets.

Has the DSC method been compared to single particle or freezing array methods before? If yes, this could simply be mentioned in the text, together with the results on how the different methods compare.

We made such comparisons in Kaufmann et al. (2016). Through emulsion freezing experiments the whole distribution of particles is investigated for their IN efficiency without any extra information concerning the dependence on particle size. In Kaufmann et al. (2016), we applied the active site parameterization developed by Marcolli et al. (2007) based on DSC freezing experiments with polydisperse ATD (Arizona Test Dust) to other studies that investigated the IN efficiency of ATD (Niedermeier et al., 2010; Hoyle et al., 2011; Nagare et al., 2016). We found throughout consistency, e.g. a low ice active fraction for 300 nm ATD particles. This confirms our assumption of random distribution of INP within droplets that was the basis of the simulations in Marcolli et al. (2007).

Niedermeier et al. (2015) observed onset freezing temperatures of 248 K in single particle immersion freezing experiments (LACIS) with microcline. Their onset corresponds to a frozen fraction of 0.02 to 0.05 and does not vary much over size-segregated K-feldspar (76% microcline) particles with diameters from 200 nm to 500 nm. The 500 nm particles achieve an ice active fraction equal to one, while the smaller particles reach a plateau at 238 K below an ice active fraction of one. Burkert-Kohn et al. (2017) performed single particle immersion freezing experiments with size-segregated microcline and found a frozen fraction of 0.1 up to 253 K for 300 nm particles and up to 248 K for 200 nm particles. Our microcline sample consists of particles with diameters ranging from 100 nm to 2 µm and a mode diameter determined as 213 nm and exhibits $T_{\text{onset}}$ of 251 – 252 K for suspensions in pure water with concentrations between 0.2 wt% and 20 wt%. Kaufmann et al. (2016) investigated two microcline samples with onset freezing temperature range of 250.5 – 251.8 K (microcline from Namibia) and 251.8 - 252.8 K (microcline from Elba) for suspension concentrations of 0.5 – 10 wt%. Kaufmann et al, (2016) calculated active particle fractions of 0.64 for Micrcline Elba and 0.54 for Microcline Namibia, but concluded that considering the uncertainties associated with these estimates, the data would also be consistent with an active particle fraction of one. In conclusion, the DSC results are consistent with results from single particle and droplet freezing setups, even more if
One considers the variability of IN activities between different microcline samples as shown in Fig. 5 by Harrison et al. (2016).

The new section (Sect. 4.1) in the revised manuscript discusses the comparison of our freezing onsets in pure water with the ones of other studies. (Lines 244-263)

*In general, it would be good to know how large the droplets you looked at were on average, and how broad was their size distribution? And how broad was the particle size distribution? And how were the particles distributed to the droplets? Or, summarized in one parameter: how was the mineral surface area distributed to the droplets? Is anything known on that?*

The size distribution of dry dispersed microcline particles evaluated using APS/SMPS has been added in the revised Supplementary Material (Figure S17). Moreover, the size distribution of microcline particles suspended in water is shown in Fig. B1, upper left panel.

The probability for a droplet to contain at least one particle depends on its volume. Therefore, the probability for a larger water droplet to freeze heterogeneously is higher than for a smaller water droplet. Hence, freezing of larger droplets dominates the heterogeneous freezing signal and freezing of smaller droplets the homogeneous freezing signal. We have discussed this in more detail previously in Kaufmann et al (2016) (Sect. 3 Statistical evaluation of emulsion measurements) as well as in the current manuscript “Sect. 3.1 Effect of microcline concentration on the heterogeneous freezing signal”. We extend this discussion in the revised manuscript (Lines 191-196):

“For 20 wt% microcline suspensions, droplets with diameters of 1.1 µm are on average filled with one microcline particle, while smaller droplets are on average empty. This number shifts to 6.3 µm for 0.2 wt% microcline suspensions. 2 wt% suspensions were picked for further investigations leading to a good heterogeneous freezing signal with little particle agglomeration (see also Kaufmann et al. (2016) and Appendix B). Droplets with diameters of about 12 µm are considered to be relevant for the freezing onset in the DSC. For a 2 wt% suspension of microcline, these droplets contain about 1000 microcline particles.”

*And last but not least: How reproducible are the distributions in the emulsions? And how reliably can the freezing spectra be evaluated (as e.g., those shown in Fig. 4)? And what is the uncertainty of the derived values?*

The homogeneity of lanolin-mineral oil mixture determines the reproducibility and stability of the size distribution of droplets in emulsions as discussed previously in “Sect. A2 Uncertainty in the calculation of water droplet size distribution” in Kaufmann et al. (2016). We have shown that the
fraction of ice active particles varies at most by a factor of 2 due to the changes in the water droplet size distribution for emulsions prepared with a batch of lanolin-mineral oil mixture and dust suspension with a few months gap. This result is in accordance with the comparison of the droplet size distributions measured at different times.

$F_{\text{het}}$ is evaluated from freezing experiments with at least two separate emulsions prepared from each suspension. Deviations are on average ~2 % from the mean. The maximum observed deviation from the mean was 10 %. This has been discussed in Sects. 2.2 (Lines 165-167) and 3.2 of the manuscript.

line 345: You observe that $F_{\text{het}}$ decreases during the first day after microcline was suspended in pure water, while $T_{\text{het}}$ was preserved. Could a reason be that droplets are settling out? Again, it seems that the majority of your droplets might act different than the few ones that determine the freezing onset. And in this respect, if the different emulsions had different surface area distributions for the experiments in pure water and in dilute NH$_3$ and (NH$_4$)$_2$SO$_4$ solutions, this may also explain observed differences. Please discuss this shortly, too.

We age the microcline suspensions and not the emulsions. Emulsions are freshly prepared from the suspensions right before running a freezing experiment. Settling of droplets in the emulsion overnight is therefore not an issue. We test the stability of the emulsion during the measurement in the DSC by performing a run with a cooling rate of 10 K/min before and after the run with a cooling rate of 1 K/min to check whether the DSC curve is the same for the first and the third cooling cycle. We use the second cooling cycle for evaluation. This is described in Sect. 2.1.

We have shown in Appendix B of the manuscript that particle aggregation does not explain our observations. There, we determined the particle size distribution of microcline suspended in pure water/solutions with a laser diffraction particle size analyzer. The only case where microcline shows slight aggregation is for the suspensions in 0.5 wt% KCl solution. Re-aggregation within droplets after emulsion preparation is possible in this case, but it is not sufficient to explain the drastic loss of IN activity shown in Figs. 3 and 5.

As a bottom line of all of that said above (and besides for revisions in the text mentioned above), the direct translation of your results to the atmosphere, even with giving degrees of Kelvin by which the occurrence of freezing may be shifted, needs to be discussed more critically or may even be shortened. Your results on the influence on the surfaces and surface sites alone is already a valuable contribution.
The intention of the “Atmospheric Implication” section is to show that the chemical-exposure history is relevant for the IN activity of microcline. We identify here a case for which a condensation freezing scenario results in a higher freezing temperature than an immersion freezing scenario. The focus is on the difference in freezing temperatures between condensation and immersion freezing. The exact values are not important. To make this clear, we add to the revised manuscript starting on line 473 of the revised manuscript: “As the basis of this discussion we use the freezing onsets and heterogeneously frozen fractions shown in Figs. 3 and 5, respectively. Figure 9 therefore shows freezing onsets that reflect the IN activity of the best microcline particle out of about 1000. Scenarios for average microcline particles would be exactly the same, only with all reported temperatures shifted downwards to about the maximum of the heterogeneous freezing signal, i.e. by 2 – 4 K.” (Lines 473-476)

line 51ff: Below, you discuss that deposition ice nucleation was questioned by Marcolli (2014), and similarly, for condensation freezing, you should also include that Vali et al. (2015) says: “Whether condensation freezing on a microscopic scale, if it occurs, is truly different from deposition nucleation, or distinct from immersion freezing, is not fully established.”

We mention this statement in the revised manuscript starting on line 54: “Although condensation freezing is usually mentioned as a distinct mode, it is still debated whether, on a microscopic scale, it is really distinct from the other freezing modes (Vali et al., 2015).”

This is also related to line 442, where you use “condensation freezing”, which, however, following the definition by Vali et al. (2015) given in your introduction does certainly not take place in your DSC measurements. But you used these measurements to make up your scenarios. Please be consistent!

Indeed, our definition of condensation freezing is inaccurate. Thank you for pointing this out. We improve it in the revised manuscript by reformulating the definition of condensation freezing on line 53: “Condensation freezing is considered to take place when IN is concurrent with cloud droplet activation.” With this improved definition, the scenario fits the definition of condensation freezing.

line 276-278: Is it really so improbable that the (100) surface is exposed? - After all, you milled your samples, and the number density of active sites in microcline is “only” ~1000000 cm-3 at 251 K in Atkinson et al. (2013). This site density would need to be compared to the expected number of cracks and defaults that may occur during milling, before this statement you make here can be made. BTW:
this is again a point where it would be good to know the exact distributions of droplet sizes and of particles in the droplets.

Cleavage upon milling occurs mostly along low energy faces. According to Pedevilla et al. (2016), the most easily cleaved face (001) also shows IN activity. The high energy (100) surface is presumably most important at the highest observed freezing temperatures which are not probed in our experiments, while the most common (001) faces become more and more important at lower freezing temperatures. We improve our argumentation in the revised manuscript, line 323: “If this were the case, the (100) face would need to be present on almost all particles since the majority of submicron microcline particles show IN activity (Niedermeier et al., 2015; Kaufmann et al., 2016; Burkert-Kohn et al., 2017). However, the (100) face is a high energy face that is not easily cleaved during milling and disappears during crystal growth. Moreover, Pedevilla et al. (2016) attribute IN activity to the common, easily cleaved (001) face, which we expect to dominate IN on submicron particles due to its prevalence on the particle surface.” (Lines 323-327)

The whole chapter 4.5: This chapter made a somewhat unfinished impression on me. How do the results here fit in line with what you described earlier? And as you only did the experiments at a single (and always different) pH for each of the solutions, a difference between the effects of the dissolved substance versus the pH cannot be obtained. This should also be mentioned in the text (e.g., connected to what you write in line 381). Also, in the end of Chapter 4.5, you cite a number of studies, however, without putting them in context to your results, so while reading this part of your text, I got confused. Similarly, when later reading the part on aging in the conclusions (line 501 ff) I was astounded as this did not reflect what I took from this chapter. Please revise these parts of the text.

The aim of the reversibility tests are twofold, namely (i) to learn more about the properties of active sites by testing their stability under severe pH conditions, (ii) to investigate the relevance of microcline as an INP after atmospheric aging. We present them as a function of pH, because we think that increased surface dissolution at low and high pH limits IN activity of samples aged under severe pH conditions. To make our reasoning clearer in the revised manuscript we add to the revised manuscript on line 419: “The aim of the reversibility tests are twofold, namely (i) to learn more about the properties of active sites by testing their stability under severe pH conditions, (ii) to investigate the relevance of microcline as an INP after atmospheric aging.” (Lines 419-421)
The effect of aging (hence, surface dissolution) depends on pH since the dissolution of feldspars is slowest at neutral or near neutral conditions (pH 3 – 8) and increases towards low and high pH (Helgeson et al., 1984). Burkert-Kohn et al. (2017) showed strong reduction in IN efficiency of 300 nm K-feldspar particles after 12 h of exposure to 1 molar sulfuric acid and nitric acid. Therefore, in Fig. 8 we use the pH as an ordering parameter rather than stating that it is a strict function of pH. Starting on line 425, we add to the revised manuscript: “We chose a presentation as a function of pH because we consider the increased dissolution rates at low and high pH (see Sect. 4.5) as a determinant of IN activity after aging because it enhances the degradation of the surface which may result in the irreversible loss of active sites.” (Lines 425-427)

We shortened the discussion of studies from the literature and moved it to Sect. 4.5 of the revised manuscript treating the aging of microcline.

Moreover, we went critically through the whole section and improved the formulation. We hope that with these modifications, it is now clear that lines 501-504 of the conclusions (1st submission ACPD version) give just a short summary of the findings from the aging and recovery experiments.

*line 410:* You state that “Saharan dust particles undergo little chemical processing during long-range transport across the Atlantic unless they become incorporated in cloud droplets”. However, dust particles are CCN in the atmosphere (Karydis et al., 2011), so I wonder if you want to say that dust particles do not act as CCN, or that they do not become incorporated in cloud droplets because Saharan air masses are so dry that clouds do not form? Please clarify, and make clear that dust particles are CCN.

We agree that dust particles, especially coarse mode, act as CCN during transport across the Atlantic Ocean. We have improved our statement in the revised manuscript (Lines 457-459): “Furthermore, airborne and ground station measurements imply that Saharan dust particles undergo little chemical processing during long-range transport across the Atlantic unless they become incorporated in cloud droplets by acting as cloud condensation nuclei (CCN).” With this sentence, we summarize results from field studies by Matsuki et al. (2010), Denjean et al. (2015), and Fitzgerald et al. (2015).

*line 435:* (Again:) Your method prohibits to make statements about single particles - and, strictly speaking, also about atmospheric onset temperatures, as a single (and then likely smaller) particle in the atmosphere will only activate ice at lower temperature. I know that I mentioned this before. But again, I urge you to state this clearly.
Niedermeier et al. (2015) have shown that the freezing onsets lie in the range of 248 K – 250 K for individual 200 nm – 500 nm microcline particles. This is only 2 – 4 K lower than the freezing onset that we observe in our experiments. If we took the maximum of the heterogeneous freezing signal that would correspond better with the average/median freezing temperature of the particles (T_{50}), this would not change the discussion of the atmospheric scenarios. We would like to stick to the heterogeneous freezing onsets because this is the value that we report throughout the study. The scenarios discussed in Fig. 9 should be considered as general guidelines for the effect of solutes on microcline particles.

To make this clear, we add to the revised manuscript starting on line 473 of the revised manuscript: “As the basis of this discussion we use the freezing onsets and heterogeneously frozen fractions shown in Figs. 3 and 5, respectively. Figure 9 therefore shows freezing onsets that reflect the IN activity of the best microcline particle out of about 1000. Scenarios for average microcline particles would be exactly the same, only with all reported temperatures scaled down to about the maximum of the heterogeneous freezing signal, i.e. by 2 – 4 K.” (Lines 473-476)

,line 505: Let me ask you a question: Have you ever observed ice crystals outside of clouds in the mixed phase cloud temperature range (unless they fall out from a cloud)? What you suggest here might suggest that this could be observed. Based on the fact that your method rather is a bulk method and not one for single particles, I (again) suggest you are careful in drawing conclusions for processes going on in the atmosphere.

We do not suggest anything like this on line 505 (1st submission ACPD version). Maybe the reviewer’s comment is related to “mixing nucleation” that we mention on line 475 (1st submission ACPD version). We are referring here to conditions below water saturation but above ice saturation. Under such conditions, ice nucleation can be triggered by drying an air parcel especially at the periphery of clouds. We agree that our conclusions still should be confirmed by single particle measurements in continuous flow diffusion chambers.

,line 45: The abbreviation “IN” is used but has only been defined in the abstract. I’d define it again on the first appearance in the text.

The abbreviation “IN” has been now defined on the first appearance (line 42) in the revised manuscript.

,line 72: You say “the particle”, but in this context, it is not clear, which particle you mean.
The subject of this line are particles exceeding 1 μm. The suggested line has been modified to “….such supermicron particles with excellent active sites and hence a high IN efficiency.” (Lines 75-76)

_line 115: Replace “the” with “a”, as this is where the setup is first introduced. Also, DSC was defined in abstract, but I’d define it again in main text upon its first appearance, ideally together with a citation where it is described in detail._

We agree with the reviewer’s suggestion. We define DSC on line 96 and write on line 140 of the revised manuscript: “Immersion freezing experiments were carried out with a DSC (Q10 from TA instruments) (see Zobrist et al. (2008) for details).”

_line 115: Upon reading this the first time, I wished for more information on the microcline when it was first mentioned here, particularly as you give all the detailed information about all the chemicals here, too. Now I know that this is given in 2.4. – maybe you could swap the chapters, so that 2.4 comes first, or you could at least mention here that there is more on the microcline sample later._

We agree with the reviewer’s suggestion. Section 2.4 from the discussion paper has been moved up and changed to Section 2.1 in the revised manuscript. Sections 2.1, 2.2 and 2.3 from the discussion paper have been renumbered to Sects. 2.2, 2.3 and 2.4, respectively, of the revised manuscript.

_line 120: Again, this is the first time that emulsions are mentioned, so delete “the”._

Suggested change has been made.

_line 155: Delete the “,” following “Microcline”._

Suggested change has been made.

_line 179-180: There is something wrong with this sentence, please correct. Looks like a copy/paste error to me._

We have reformulated this sentence in the revised manuscript, starting on line 191: “For 20 wt% microcline suspensions, droplets with diameters of 1.1μm are on average filled with one microcline particle, while smaller droplets are on average empty. This number shifts to 6.3 μm for 0.2 wt% microcline suspensions.”

_line 275: Kiselev et al. was published online 2016, and I have a version downloaded 2017 that says "cite as ..., 2016" - please check which year is correct._

We agree to the reviewer’s suggestion. The concerned reference has been cited as “Kiselev et al., (2016)” in the revised manuscript.
I’d prefer “suggest” to “conclude”. BTW: In line 296, you say that “excess solute strength hampers the IN efficiency”. Do you have any idea why that would be? If you could add a sentence on that here, I’d appreciate it.

We changed “suggest” to “conclude” in the revised manuscript.

The hampering of IN efficiency at higher solute strength (hence, lower water activity) has been discussed in detail in Section 4.4 of the revised manuscript. The concerned line has been modified to “excess solute strength hampers the IN efficiency (discussed in Sect. 4.4)” in the revised manuscript.

Please add at or above which water activity you are referring to, here, in this sentence, as data all agree quite well in the lower concentration range.

To specify the water activity range for enhanced $T_{het}$ yet hampered $F_{het}$, the concerned line has been modified in the revised manuscript to “Similarly, increasing the concentration of NH$_4$HSO$_4$ resulted in the reduction of $F_{het}$ while $T_{het}$ still showed an increase at low concentration ($a_w = 1 – 0.85$).”

References


Enhanced ice nucleation efficiency of microcline immersed in dilute NH₃ and NH₄⁺-containing solutions

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Abstract. Potassium containing feldspars (K-feldspars) have been considered key mineral dusts for ice nucleation (IN) in mixed-phase clouds. To investigate the effect of solutes on their IN efficiency, we performed immersion freezing experiments with the K-feldspar microcline, which is highly IN active. Freezing of emulsified droplets with microcline suspended in aqueous solutions of NH₃, (NH₄)₂SO₄, NH₄HSO₄, NH₄NO₃, NH₄Cl, Na₂SO₄, H₂SO₄, K₂SO₄ and KCl, with solute concentrations corresponding to water activities \( a_w = 0.9 - 1.0 \), were investigated by means of a differential scanning calorimeter (DSC). The measured heterogeneous ice nucleation onset temperatures, \( T_{\text{het}}(a_w) \), deviate strongly from \( T_{\text{het}}^\Delta a_w(a_w) \), the values calculated from the water-activity-based approach (where \( T_{\text{het}}^\Delta a_w(a_w) = T_{\text{melt}}(a_w + \Delta a_w^\text{het}) \) with a constant offset \( \Delta a_w^\text{het} \) with respect to the ice melting point curve). Surprisingly, for very dilute solutions of NH₃ and NH₄⁺-salts (molalities \( <\sim 1 \text{ mol kg}^{-1} \) corresponding to \( a_w >\sim 0.96 \)), we find IN temperatures raised by up to 4.5 K above the onset freezing temperature of microcline in pure water \( (T_{\text{het}}(a_w = 1)) \) and 5.5 K above \( T_{\text{het}}^\Delta a_w(a_w) \), revealing NH₃ and NH₄⁺ to significantly enhance the IN of the microcline surface. Conversely, more concentrated NH₃ and NH₄⁺ solutions show a depression of the onset temperature below \( T_{\text{het}}^\Delta a_w(a_w) \) by as much as 13.5 K caused by a decline in IN ability accompanied with a reduction in the volume fraction of water frozen heterogeneously. All salt solutions not containing NH₄⁺ as cation exhibit nucleation temperatures \( T_{\text{het}}(a_w) < T_{\text{het}}^\Delta a_w(a_w) \) even at very small solute concentrations. In all these cases, the fraction of water volume frozen heterogeneously displays a decrease as solute concentration increases. This deviation from \( \Delta a_w^\text{het} = \text{const.} \) indicates specific chemical interactions between particular solutes and the microcline surface not captured by the water-activity-based approach. One such interaction is the exchange of K⁺ available on the microcline surface with externally added cations (e.g. NH₄⁺). However, the presence of a similar increase in IN efficiency in dilute ammonia solutions indicates that the cation exchange cannot explain the increase in IN temperatures. Instead, we hypothesize that NH₃ molecules hydrogen bonded on the microcline surface form an ice-like overlayer, which provides hydrogen bonding favorable for ice to nucleate on, thus enhancing both the freezing temperatures and the heterogeneously frozen fraction in dilute NH₃ and NH₄⁺ solutions. Moreover, we show that aging of microcline in concentrated solutions over several days does not impair IN efficiency permanently in case of near neutral solutions since most of it recovers when aged particles are re-suspended in pure water. In contrast, exposure to severe acidity (pH \( \leq 1.2 \)) or alkalinity (pH \( \geq 11.7 \)) damages the microcline surface, hampering or even destroying the IN efficiency irreversibly. Implications for ice nucleation on airborne dust containing microcline might be multifold, ranging from a reduction of immersion freezing when exposed to dry, cold and NH₃/NH₄⁺-free acidic conditions, to a 5-K enhancement during condensation freezing when microcline particles experience high humidity \( (a_w \approx 0.96) \) at warm (252 - 257 K) and NH₃/NH₄⁺-rich conditions.
Ice clouds play an important role in the Earth’s radiative budget and hence climate (IPCC, 2013). The radiative properties of an ice cloud are determined mainly by its optical depth and temperature, i.e. altitude (Corti and Peter, 2009), which in turn depend on the number density and size of the ice crystals formed (DeMott et al., 2003b). Additionally, the formation of ice crystals affects cloud dynamics and in-cloud chemical processes, and it is one of the most effective pathways to form precipitation in the mid-latitudes, thus affecting cloud lifetime (Lohmann, 2006). Given the remaining uncertainties in understanding the formation of cold, high cirrus and warmer, lower mixed-phase clouds, it remains imperative to continue exploring the various ice nucleation (IN) mechanisms at work.

Ice formation in the atmosphere happens via both homogeneous and heterogeneous nucleation. Homogeneous ice nucleation (IN) may occur spontaneously as a stochastic process in an aqueous liquid droplet, which is supercooled metastable with respect to ice. For pure water droplets, homogeneous IN has been described by classical nucleation theory (see Ickes et al. (2015) for a recent review). For solution droplets, a thermodynamic approach by Koop et al. (2000) shows that the nucleation is independent of the nature of the solute, but depends only on the water activity ($a_w$) of the solution, such that the freezing point curve (for droplets of a certain size) can be derived from the melting point curve by a constant shift in $a_w$, i.e. $T_{het}^{a_w} = T_{melt}^{a_w} + \Delta a_w^{hom}$, where $\Delta a_w^{hom} = 0.313$ (Koop and Zobrist, 2009).

Heterogeneous ice nucleation. Statistical analysis of freezing experiments indicates that heterogeneous IN is induced by active sites present on foreign bodies termed ice-nucleating particle, INP, and particles (INPs). Active sites are preferred locations for IN with minimum areas in the order of $10 - 50$ nm$^2$ based on estimates using classical nucleation theory (Vali, 2014; Vali et al., 2015; Kaufmann et al., 2017). IN can proceed through different mechanisms. Here we adopt the ice nucleation and freezing terminology summarized by Vali et al. (2015). Immersion freezing occurs when the INP is immersed in a supercooled water droplet. Condensation freezing is considered at work when IN is concurrent with the initial formation of liquid on a cloud condensation nucleus (CCN). Condensation freezing is considered to take place when IN is concurrent with cloud droplet activation. Although condensation freezing is usually mentioned as a distinct mode, it is still debated whether, on a microscopic scale, it is really distinct from the other freezing modes (Vali et al., 2015). Furthermore, freezing can be initiated by an insoluble particle which penetrates the surface of a supercooled liquid droplet from the outside, called contact freezing (Pruppacher and Klett, 1994; Vali et al., 2015). This pathway could also occur if the particle penetrates the droplet surface from the inside out (Durant and Shaw, 2005; Shaw et al., 2005) and its efficiency depends on the position of the particle with respect to the droplet surface (Nagare et al., 2016). Finally, there is one mechanism considered to nucleate the ice phase directly by deposition of water vapor without forming liquid water as an intermediate, namely deposition nucleation. This mechanism was recently questioned by Marcolli (2014), who suggested that what is considered deposition nucleation is in most cases pore condensation and freezing (PCF) occurring in cavities on INPs which may retain water owing to surface curvature forces described by the inverse Kelvin effect.

Mineral dust particles, composed mainly of quartz, feldspars, calcite and clays viz. kaolinite, illite and montmorillonite in varying proportions, are a well-established class of INPs (Pruppacher and Klett, 1994; Zobrist et al., 2008; Lüönd et al., 2010; Murray et al., 2011; Hoose and Möhler, 2012; Pinti et al., 2012; Atkinson et al., 2013; Cziczo et al., 2013; Kaufmann et al., 2016). A study by Atkinson et al. (2013) performed on minerals from the clay group and also on K-feldspar, Na/Ca-feldspar, quartz, and calcite showed that the IN efficiency in the immersion mode of K-feldspar is exceptionally high compared to the other minerals. Droplets containing K-feldspar reached a frozen fraction of 50% at 250.5 K compared with values below 240 K for the investigated clay...
A large proportion of earth’s crust consists of feldspar minerals, but only a minor fraction (~13% according to Murray et al. (2012)) of the airborne atmospheric dust aerosol is composed of feldspar mineral dust. However, considering that particles exceeding 1 µm in diameter are typically aggregates of different minerals (Reid and Sayer, 2003; Kandler et al., 2011), even a minor component of microcline might suffice to provide the particle with excellent active sites and hence a high IN efficiency. Such supermicron particles with excellent active sites and hence a high IN efficiency (Kaufmann et al., 2016).

To assess the relevance of atmospheric dusts for cloud glaciation, the role of atmospheric processing on their IN efficiency needs to be taken into account. Nitrates, sulfates and organic compounds present in the atmosphere are prone to adhere to the mineral dust particle surface (Murphy and Thomson, 1997; Grassian, 2002). This process can take place already at the source or during long-range transport of aerosol particles. Such chemical coatings may change the particle surface and increase the hygroscopicity of the mineral dust core, e.g. making it easier for the particles to take up water and form cloud droplets (Levin et al., 1996; Herich et al., 2009). Since dust particles are an ensemble of various minerals with potentially different IN efficiencies, the aging process and physico-chemical surface modifications add another complication in resolving their IN efficiency (Prospero, 1999; Sassen et al., 2003; DeMott et al., 2003a). It is imperative to single out components of mineral dusts and study how general or specific coating/solute effects are in order to infer the role of atmospheric aging on ice nucleation IN by mineral dust particles.

Describing the kinetic (non-equilibrium) IN process as a simple function of a thermodynamic (equilibrium) quantity Δ\(a_w\) is tempting because of its simplicity. Therefore, the applicability of the “water-activity criterion” proposed by Koop et al. (2000) for homogeneous IN to heterogeneous IN temperatures of various types of INPs in immersion mode has been probed by several studies in the past. Zuberi et al. (2002) studied kaolinite and montmorillonite immersed in ammonium sulfate solution droplets using optical microscopy. They tested, whether the heterogeneous freezing on these clay minerals could be described by a constant water activity offset, i.e. \(T_{\text{het}}^{\Delta a_w,\text{het}}(a_w) = T_{\text{melt}}(a_w + \Delta a_w,\text{het})\), and found general agreement for \(\Delta a_w^{\text{kaol,mon}t} = 0.242\), but with remaining discrepancies either due to experimental conditions or due to heterogeneous freezing not satisfying \(\Delta a_w^{\text{het}} = \text{const}\). At this point it should be noted that the homogeneous IN approach by Koop et al. (2000) has a broad empirical basis and theoretical underpinning, whereas heterogeneous IN satisfying \(\Delta a_w^{\text{het}} = \text{const}\) is only valid under the assumption that the solute does not affect the foreign surface.

Zobrist et al. (2008) used differential scanning calorimetry (DSC) and optical microscopy to observe immersion freezing of silver iodide, nonadecanol, silica and Arizona Test Dust (ATD) in solution droplets containing several organic substances and inorganic salts including ammonium sulfate and sulfuric acid. They found that, when the results are analyzed in terms of the solution \(a_w\), a very consistent behavior emerged, allowing them to derive values for \(\Delta a_w^{\text{het}}\), which described their measurements mostly within experimental error. Recently, Knopf and Alpert (2013) used an even wider variety of INPs and solution mixtures (Pahokee Peat and Leonardite serving as surrogates of Humic-Like Substances (HULIS); aqueous NaCl droplets containing two distinct species of phytoplankton Nannochloris atomus and Thalassiosira pseudonana; aqueous NaCl droplets coated by 1-nonadecanol; aqueous \((\text{NH}_4)_2\text{SO}_4\) droplets containing illite dust; kaolinite, aluminum and iron oxide particles, and fungal spores suspended in various aqueous solutions), leading them to conclude that \(\Delta a_w^{\text{het}} = \text{const}\) provides a good description (with a different offset for each INP) and termed this the “\(a_w\)-based immersion freezing model” (ABIFM). Several other studies that have applied this approach to
describe heterogeneous IN include Zobrist et al. (2006), Knopf et al. (2011) and Archuleta et al. (2005) (see Knopf et al. (2018) for a detailed review on this topic).

In contrast to $\Delta a_w^{het} = \text{const}$, several other studies conducted with clay minerals and ATD coated with sulfuric acid (Cziczo et al., 2009; Chernoff and Bertram, 2010; Sullivan et al., 2010a; Augustin-Bauditz et al., 2014), reported a deterioration/inhibition of IN efficiency of dust particles caused by an organic and/or inorganic coating/treatment. The reduction in the IN efficiency could possibly be attributable to surface deterioration from acid treatment resulting in destruction of the active sites. Möhler et al. (2008) observed marked but gradual decrease in IN efficiency of ATD particles coated with secondary organic aerosol. In this case, active sites might remain intact but disabled to act as INP because of the organic cover. In the absence of surface chemical reactions, the chemical coating might dissolve during cloud droplet activation, thereby releasing the mineral surface again and restoring the ice-nucleating ability (Sullivan et al., 2010b; Tobo et al., 2012; Kulkarni et al., 2014; Wex et al., 2014).

Evidently, it is difficult to understand the interactions of solute coatings with an INP surface consisting of several minerals. Therefore, in this study we present immersion freezing experiments using a differential scanning calorimeter (DSC) on particles consisting of one single mineral, namely the most IN-active K-feldspar polymorph, microcline, dispersed in solution droplets containing sulfuric acid, ammonia and several inorganic salts viz. ammonium sulfate, ammonium chloride, ammonium nitrate, ammonium bisulfate, sodium sulfate, potassium chloride, potassium sulfate. We will see that microcline deviates markedly from $\Delta a_w^{het} = \text{const}$.

## 2 Methodology

### 2.2.1 Mineralogy, size distribution and BET surface area measurements

Feldspars are crystalline aluminosilicates with the general formula $\text{XAl}_{2-2}\text{Si}_{3-2}\text{O}_{8}$, often written as $\text{XT}_4\text{O}_8$, where T is an atom in tetrahedral coordination with oxygen, i.e. Al or Si. X represents an alkaline or alkaline earth metal, acting as a charge compensating cation. The feldspar crystal lattice is composed of corner sharing $\text{AlO}_4$ and $\text{SiO}_4$ tetrahedra linked in an infinite 3D framework. Each of the four oxygen atoms in one tetrahedron is shared by the neighboring tetrahedra. Hence, the tetrahedron with Al at the center carries a single negative charge, which is compensated by $\text{K}^+$/$\text{Na}^+$ (for 1 Al atom) or $\text{Ca}^{2+}$ (for 2 Al atoms). Microcline is a K-rich feldspar and belongs together with sanidine and orthoclase to the potassium feldspar group.

We used a microcline sample from Macedonia provided by the Institute of Geochemistry and Petrology of ETH Zurich and milled it with a tungsten carbide disc mill. We determined the mineralogical composition of the milled feldspar sample by means of X-ray diffraction (XRD) in order to assess the mineralogical purity of the mineral. A quantitative analysis was performed with the AutoQuan program, a commercial product of GE Inspection Technologies applying a Rietveld refinement (Rietveld, 1967, 1969). Based on the X-ray diffractogram, the microcline sample consists of 86.33% (± 1.71%) microcline, mixed with orthoclase (6.18% ± 0.72%) and albite (7.49% ± 0.48%), a Na-rich feldspar. Number size distribution of the milled mineral was obtained with a TSI 3080 scanning mobility particle sizer (SMPS) and a TSI 3321 aerodynamic particle sizer (APS), and merged as described by Beddows et al. (2010). A lognormal distribution was fitted to the size distribution yielding a mode diameter of 213 nm (Supplementary Material, Fig. S17). The Brunauer–Emmett–Teller (BET) nitrogen adsorption method was used to determine the specific surface area for microcline as 1.91 m$^2$g$^{-1}$.

### 2.2 Immersion freezing with emulsions of freshly prepared microcline suspensions in water or aqueous solutions
Immersion freezing experiments were carried out with the DSC setup (Q10 from TA instruments) (see Zobrist et al. (2008) for details). We prepared microcline suspensions of 2 wt% in water (molecular biology reagent water from Sigma-Aldrich) with varying solute concentrations (0 – 15 wt%) viz. H₂SO₄ (Sigma Aldrich, 96.5%), NH₃ solution (Merck, 25%), (NH₄)₂SO₄ (Sigma Aldrich, ≥ 99%), NH₄Cl (Sigma Aldrich, ≥ 99.5%), NH₄NO₃ (Fluka, ≥ 99.5%), NH₄HSO₄ (Fluka, ≥ 99.5%), Na₂SO₄ (Sigma Aldrich, ≥ 99%), KCl (Sigma Aldrich, ≥ 99%), K₂SO₄ (Sigma Aldrich, ≥ 99%). To avoid particle aggregation, we sonicated microcline suspensions prepared in pure water or salt solutions for 5 min before preparing the emulsions. The aqueous suspension and an oil/surfactant mixture (95 wt% mineral oil (Sigma Aldrich) and 5 wt% lanolin (Fluka Chemical)) were mixed in a ratio of 1:4 and emulsified with a rotor-stator homogenizer (Polytron PT 1300D with a PT-DA 1307/2EC dispersing aggregate) for 40 seconds at 7000 rpm. This procedure leads to size distributions peaking at about 2 – 3 µm in number and a broad distribution in volume with highest values between 4 and 12 µm similar as the ones shown in Figs. 1 of Marcolli et al. (2007), Pinti et al. (2012), and Kaufmann et al. (2016). Next, 4-10 mg of this emulsion was placed in an aluminum pan, hermetically closed, and then subjected to three freezing cycles in the DSC following the method developed and described by Marcolli et al. (2007). The first and the third freezing cycles were executed at a cooling rate of 10 K min⁻¹ to control the stability of the emulsion. We ran the second freezing cycle at 1 K min⁻¹ cooling rate and used it for evaluation of freezing temperature (Tₜₜ and Tₜₒ) and heterogeneously frozen fraction (Fₜₜ) as discussed below (Zobrist et al., 2008; Pinti et al., 2012; Kaufmann et al., 2016). Emulsions were always freshly prepared before a DSC experiment, which took about 75 - 90 min for the three freezing cycles depending on the investigated temperature range.

Figure 1 shows a typical DSC thermogram. The first peak occurring at a higher temperature shows the heat release due to heterogeneous freezing and the second peak occurring at a lower temperature is due to homogeneous freezing. Throughout this article, we define the freezing temperatures (Tₜₜ and Tₜₒ) as the onset points of the freezing signals (i.e., intersection of the tangents at the greatest slope of the freezing signal and the extrapolated baseline), whereas the melting temperature (Tₘₑₙₜ) was determined as the minimum of the ice melting peak. The heat release is proportional to the volume of water that froze heterogeneously or homogeneously, represented by the integral of the peak over time. We define the “heterogeneously frozen fraction”, Fₜₜ, as the ratio of the volume of water frozen heterogeneously to the total volume of freezing signal from water frozen heterogeneously and homogeneously. Spikes that may occur before the appearance of the heterogeneous freezing signal are excluded from the evaluation as they originate from single particularly large droplets in the tail of the droplet size distribution, which are not representative for the sample. We prepared at least two separate emulsions from each suspension and report mean values. Average precisions in Tₜₜ are ±0.15 K with maximum deviations not exceeding 0.8 K. Tₜₒ and Tₘₑₙₜ are precise within ±0.1 K. Absolute uncertainties in Fₜₚ are on average ± 0.02 and do not exceed ± 0.1.

2.2.3 Immersion freezing experiments with microcline emulsions as a function of aging

Microcline (2 wt%) suspended in pure water, ammonia solution (0.05 molal), and ammonium sulfate solutions (0.1 wt% and 10 wt%) were aged over a period of one week. Immersion freezing experiments as described in Section 2.1.2 were carried out with the DSC setup on the day of preparation (fresh), then on the first, fourth and seventh day after preparation in order to assess the long-term effect of ammonia and ammonium containing solutes on the IN efficiency of microcline. Each suspension was sonicated for 5 min before preparing the emulsions in order to re-suspend the particles that have settled over time and avoid particle aggregation.

2.3.4 Reversibility of interactions between microcline and solutes tested in immersion freezing experiments
Suspensions of 2 wt% microcline prepared with relatively high solute concentration — 10 wt % (NH₄)₂SO₄, 2 wt % NH₄HSO₄, 0.5 wt % K₂SO₄, 2 molal ammonia solution — were aged for 10 days. The aged suspensions were then centrifuged for 2 minutes at 600 rpm, the supernatant solution was removed and the settled particles were washed with pure water. This process was repeated five times and the washed particles were resuspended either in pure water or in a dilute solution of the same solute (i.e. water, 0.1 wt % (NH₄)₂SO₄, 0.5 wt % NH₄HSO₄, 0.05 wt % K₂SO₄, 0.05 molal NH₃ solution). Using DSC, we compared immersion freezing of emulsions containing dust treated in this manner with emulsions of fresh dust prepared with the same solute concentration prepared with fresh dust or in pure water.

2.4 Mineralogy, size distribution and BET surface area measurements

Feldspars are crystalline aluminosilicates with the general formula XAl₁₋₂Si₃₋₂O₈, often written as XT₄O₈, where T is an atom in tetrahedral coordination with oxygen, i.e. Al or Si. X represents an alkaline or alkaline earth metal, acting as a charge compensating cation. The feldspar crystal lattice is composed of corner sharing AlO₄⁻ and SiO₄ tetrahedra linked in an infinite 3D framework. Each of the four oxygen atoms in one tetrahedron is shared by the neighboring tetrahedra. Hence, the tetrahedron with Al at the center carries a single negative charge, which is compensated by K⁺/Na⁺ (for 1 Al atom) or Ca²⁺ (for 2 Al atoms). Microcline, is a K-rich feldspar and belongs together with sanidine and orthoclase to the potassium feldspar group.

We used microcline samples obtained from the Institute of Geochemistry and Petrology of ETH Zurich and milled them with a tungsten carbide disc mill. We determined the mineralogical composition of the milled feldspar sample by means of X-ray diffraction (XRD) in order to assess the mineralogical purity of the mineral. A quantitative analysis was performed with the AutoQuan program, a commercial product of GE Inspection Technologies applying a Rietveld refinement (Rietveld, 1967, 1969). Based on the X-ray diffractogram, the microcline sample consists of 86.33% (± 1.71%) microcline, mixed with orthoclase (6.18% ± 0.72%), and albite (7.49% ± 0.48%), a Na-rich feldspar. Number size distribution of the milled mineral was obtained with a TSI 3080 scanning mobility particle sizer (SMPS) and a TSI 3321 aerodynamic particle sizer (APS), and merged as described by Beddows et al. (2010). A lognormal distribution was fitted to the size distribution yielding a mode diameter of 213 nm. The Brunauer–Emmett–Teller (BET) nitrogen adsorption method was used to determine the specific surface area for microcline as 1.91 m² g⁻¹.

3 Results

3.1 Effect of microcline concentration on the heterogeneous freezing signal

Figure 2 shows the DSC thermograms of the slow cooling run (1 K min⁻¹) performed on emulsions containing varying concentrations of microcline in pure water. The first signal observed at higher temperature is due to heterogeneous freezing triggered by microcline particles while the second freezing signal at lower temperature is due to homogeneous freezing. The onset of the heterogeneous freezing signal at 251 K for the lowest microcline concentration shifts only slightly to 252 K for the highest concentration. The consistencies of the freezing temperature indicates that microcline contains a prevalent nucleation site with a well-constrained ice nucleation IN temperature. The homogeneous freezing signal results either from the freezing of empty water/solution droplets or droplets containing particles which are IN inactive. With lower particle concentration, more droplets are devoid of particles hence contributing more to the homogeneous freezing signal. Higher concentrations lead to an increase in number of particles per droplet. The dependence of the heat signals on dust concentration was analyzed in more detail by Kaufmann et al. (2016). The median droplet diameter in the emulsion is ~2 µm. Droplet sizes needed to incorporate For 20 wt% microcline suspensions, droplets with diameters of 1.1 µm are on average filled with one microcline particle increase from 1.1 µm for 20 wt%
microcline suspension, while smaller droplets are on average empty. This number shifts to 6.3 µm (for 0.2 wt% microcline suspension). 2 wt% suspensions were picked for further investigations leading to a good heterogeneous freezing signal with little particle agglomeration (see also Kaufmann et al. (2016) and Appendix B). Droplets with diameters of about 12 µm are considered to be relevant for the freezing onset in the DSC. For a 2 wt% suspension of microcline, these droplets contain about 1000 microcline particles.

3.2 Dependence of the heterogeneous freezing temperatures and volume fractions on water activity

Figure 3 shows heterogeneous \( T_{\text{het}} \) and homogeneous freezing onsets \( T_{\text{hom}} \) and ice melting temperatures \( T_{\text{melt}} \) of all investigated solutes as a function of the solution water activity \( a_w \), which equals the ratio of vapor pressure of water in the solution and saturation vapor pressure of pure water under the same conditions. The \( a_w \) is obtained from the melting point depression measured during the heating cycle using the Koop et al. (2000) parameterization. Hence all melting temperatures lie exactly on the melting curve, except in case of Na\(_2\)SO\(_4\) where \( a_w \) has been calculated based on the solute concentration using the AIOMFAC thermodynamic model at 298 K (Zuend et al., 2008; Zuend et al., 2011). This different procedure was necessary because above the eutectic concentration of Na\(_2\)SO\(_4\) (> 4.6 wt%), a hydrate of Na\(_2\)SO\(_4\) crystallizes together with ice (Negi and Anand, 1985) thus corrupting the \( a_w \) determination based on the experimental melting temperatures. Although the water activity of solutions may show a temperature dependence, the values determined at the melting temperature were assumed valid also at the freezing temperatures. Such temperature dependencies vary from solute to solute (Ganbavale et al., 2014) and may explain the deviation of the measured homogeneous freezing points from the homogeneous freezing curve (dotted black line in Fig. 3) obtained by a constant shift of the melting curve (Koop et al., 2000) by \( \Delta a_{\text{hom}}^{\text{melt}}(T) = 0.296 \). This mean offset value is based on the present dataset calculated using Eq. (1)

\[
\Delta a_{w}^{\text{hom}}(T) = a_{w}^{\text{hom}}(T) - a_{w}^{\text{melt}}(T)
\]

where \( a_{w}^{\text{melt}}(T) \) is the ice melting curve (Koop et al., 2000).

Similarly, a constant offset \( \Delta a_{w}^{\text{het}} \) = 0.187 is applied to shift the ice melting curve to the heterogeneous freezing temperature of pure water, yielding the solid black line, which will be referred to as \( T_{\text{het}}^{\Delta a_{w}^{\text{het}}}(a_w) \) from here onwards for simplicity. This curve would be expected in the absence of specific interactions between the solute and the ice-nucleating surface so that the only effect of the solute is a freezing point depression. However, as can be seen from Fig. 3 the measured heterogeneous freezing onset temperatures, \( T_{\text{het}} \), deviate from \( T_{\text{het}}^{\Delta a_{w}^{\text{het}}}(a_w) \). For all NH\(_4\)\(^+\) solute cases in dilute concentration solutions viz. NH\(_3\) (< 1 molal), (NH\(_4\))\(_2\)SO\(_4\) (< 0.16 molal), NH\(_4\)HSO\(_4\) (< 0.18 molal), NH\(_4\)NO\(_3\) (< 0.67 molal) and NH\(_4\)Cl (< 0.99 molal), there is an initial increase in \( T_{\text{het}} \) compared to \( T_{\text{het}}^{\Delta a_{w}^{\text{het}}}(a_w) \) near \( a_w \sim 1 \). On the other hand, even low concentrations of a non-NH\(_4\)\(^+\) solute leads to strong decrease in \( T_{\text{het}} \) compared to \( T_{\text{het}}^{\Delta a_{w}^{\text{het}}}(a_w) \). The decrease in \( T_{\text{het}} \) observed at lower \( a_w \) varies depending on the solute. Figure 3 also depicts representative variations (maximum and minimum values) in \( T_{\text{het}} \) and \( a_w \) by vertical and horizontal bars, observed over several separate emulsion freezing experiments for each solute strength.

Variation in the amplitudes intensities of heterogeneous and homogeneous freezing signals were also observed with varying depending on solute concentration. Figure 4 shows the DSC thermograms for emulsion freezing of microcline suspended in NH\(_4\)HSO\(_4\). It depicts that \( T_{\text{het}} \) first increases then decreases while the area under the heterogeneous freezing signal continually
decreases as the NH$_4$HSO$_4$ concentration increases. Figure 5 shows the variation of the heterogeneously frozen fraction $F_{\text{het}}$ (ratio of volume of water frozen heterogeneously and homogeneously freezing signal) with respect to $a_w$. For all NH$_4^+$ solute cases, $F_{\text{het}}$ shows an initial increase in very dilute solutions, with NH$_4$HSO$_4$ being the only exception. Also, even low concentrations of a non-NH$_4^+$ solute decrease $F_{\text{het}}$. The decrease in $F_{\text{het}}$ observed at higher $a_w$ varies depending on the solute. These trends are very similar to the ones observed for $T_{\text{het}}$ with a few exceptions. For the NH$_4^+$ solute cases, the $a_w$ range with an increase in $F_{\text{het}}$ is narrower than the range with an increase in $T_{\text{het}}$. The ammonia solutions show a higher enhancement of $F_{\text{het}}$ over a larger $a_w$ range compared to the NH$_4^+$-containing solutes. A maximum deviation of 10% from the mean was observed in $F_{\text{het}}$.

4 Discussion

4.4.1 Heterogeneous ice nucleation of microcline in pure water

Our microcline sample (from Macedonia) exhibits onset freezing temperatures $T_{\text{het}}$ of 251 – 252 K for suspensions in pure water with concentrations between 0.2 wt% and 20 wt%. Kaufmann et al. (2016) investigated two microcline samples with onset freezing temperatures of 250.5 – 251.8 K (microcline from Namibia) and 251.8 – 252.8 K (microcline from Elba) for suspension concentrations of 0.5 – 10 wt%. Moreover, they determined active particle fractions of 0.64 for Microcline Elba and 0.54 for Microcline Namibia, but concluded that considering the uncertainties associated with these estimates, the data would also be consistent with an active particle fraction of one. Thus, the increase of the microcline surface area present in the emulsion droplets by a factor of 100 only leads to an increase of the onset freezing temperature by 1 K. Such a slight increase of freezing temperature onsets with increasing suspension concentration was also observed in the case of the mineralogically quite pure kaolinite KGa-1b (Pinti et al., 2012) and is a sign of the presence of a characteristic active site on the surface that induces freezing in a narrow temperature range.

Atkinson et al. (2013) report an active site density of about 10$^6$ cm$^{-2}$ for microcline between 251 K and 252 K. The freezing onset for 2 wt% microcline suspensions in pure water is ~252 K (see Fig. 2). We assume that for 2 wt% suspensions, droplets containing about 1000 particles are responsible for the freezing onset (see Sect. 3.1). This assumption results in an active site density of at least 5x10$^{-5}$ cm$^{-2}$ at 252 K. The same evaluation for 0.2 wt% and 20 wt% microcline emulsions yields active site densities of at least 5x10$^{-6}$ cm$^{-2}$ and at least 5x10$^{-4}$ cm$^{-2}$ at 251 K and 252 K, respectively. Note, that it is only possible to determine a lower limit of active site densities because more than one site within a droplet may be responsible for freezing especially at high suspension concentrations. Considering the roughness of the estimate and that microcline samples from different sources and origins are compared, the active site densities of our emulsion freezing experiments are in excellent agreement with the ones reported in Atkinson et al. (2013).

Niedermeier et al. (2015) and Burkert-Kohn et al. (2017) performed IN experiments with single microcline particles immersed in a water droplet in chambers with residence times in the order of seconds. Niedermeier et al. (2015) observed onset freezing temperatures of 248 K. Their onset corresponds to a frozen fraction of 0.02 to 0.05 and does not vary much for particles with diameters from 200 nm to 500 nm. The 500 nm particles achieve an ice active fraction of one, while the smaller particles reach a plateau below an ice active fraction of one at 238 K. Burkert-Kohn et al. (2017) performed single particle immersion freezing experiments with size-segregated microcline and found a frozen fraction of 0.1 up to 253 K for 300 nm particles and up to 248 K for 200 nm particles. Overall, the freezing onsets of the single particle measurements seem to be slightly lower than the onsets of emulsion freezing experiments. This discrepancy may be explained at least partly by experimental differences: (i) the onset
conditions of the single particle measurements corresponds with a higher frozen fraction of 0.02 – 0.05 compared with 0.001 estimated for the emulsion freezing experiments, (ii) the timescale of the single particle experiments was seconds compared with minutes for emulsion freezing experiments, (iii) the microcline samples were not the same.

### 4.2 Heterogeneous ice nucleation described by the water-activity-based approach

The water-activity-based approach assumes that the decrease in freezing temperature with increasing solute concentration is solely a result of the freezing point depression with no or insufficient specific interactions with the particle surface to modify its IN efficiency and can therefore be described with $T_{\text{het}}^{\Delta a_w} (a_w) = T_{\text{melt}} (a_w + \Delta a_w^{\text{het}})$. Note that this derivation of the heterogeneous freezing temperatures on the basis of the solution water activity and the freezing temperature of the suspension in pure water is analogous to the one of ABIFM and results in the same predictions. If this approach were valid, the heterogeneous freezing temperatures of microcline solution droplets should align along the solid black line in Fig. 3, which was obtained with $\Delta a_w^{\text{het}} = 0.187$ needed to shift the ice-melting curve to the heterogeneous freezing temperature of the microcline suspension in pure water.

The strong deviations of the heterogeneous freezing temperatures from this line imply specific interactions between microcline and the solutes. Different types of specific interactions are conceivable such as surface cation exchange, adsorption of solutes due to hydrogen bonding or van der Waals forces as well as irreversible surface destruction under extreme pH conditions. In the case of microcline, specific interactions are conceivable at different sites, namely at cationic and anionic centers. A cationic center is represented by K$^+$ while the polar silanol groups (≡Si-OH) or relatively non-polar siloxane groups (≡Si-O-Si≡) (Abramov, 1993) represent anionic centers.

#### 4.2.3 Increased IN efficiency at low solute concentration

##### 4.2.3.1 Role of surface cation exchange

When microcline particles are suspended in water, the native charge-balancing cations (K$^+$) from the surface cationic centers of microcline are exchanged by H$^+$ or rather by H$_3$O$^+$ (Fenter et al., 2003; Lee et al., 2008). This initial cation exchange is estimated to last approximately 1 min in pure water saturated with CO$_2$ and results in depletion in K$^+$ of the first layer at the surface (Busenberg and Clemency, 1976; Fenter et al., 2000; Chardon et al., 2006). The presence of additional external cations in a salt solution introduces competition in the cation exchange. The hydrated size of the externally introduced cations, the stability of surface complexes and the pH of the solution are factors that govern the cation-exchange selectivity of the aluminosilicate surface (Garrels and Howard, 1959; Petrović et al., 1976; Auerbach et al., 2003; Ohlin et al., 2010; Belchinskaya et al., 2013). The externally added NH$_4^+$ (ionic radius of 1.42 Å) and Na$^+$ (ionic radius of 0.98 Å) ions can potentially exchange with the native K$^+$ (ionic radius of 1.33 Å) ions in competition with H$^+$.

Figure 6 shows $\Delta T_{\text{het}}$ (the difference between observed $T_{\text{het}}$ and expected $T_{\text{het}}$ depicted by the solid black line $T_{\text{het}}^{\Delta a_w} (a_w)$ in Fig. 3) vs. $[X^+]/[K^+]$ (the ratio of the concentration of externally added cations X (= NH$_4^+$/Na$^+$/K$^+$) to the concentration of surface K$^+$ available for the ion exchange; see Appendix A). The concentration of NH$_4^+$ in NH$_3$ solutions is based on the reversible equilibrium

$$\text{NH}_3 + \text{H}_3\text{O}^+ \leftrightarrow \text{NH}_4^+ + \text{H}_2\text{O}. $$

In the case of NH$_4^+$-containing solutes, $\Delta T_{\text{het}}$ increases up to 5.5 K as the cation ratio is increased to [NH$_4^+$]/[K$^+$] $\approx 1000$, while a further increase results in decreasing $\Delta T_{\text{het}}$. Interestingly, $\Delta T_{\text{het}}$ starts to increase only after [NH$_4^+$]/[K$^+$] has reached a value of 1, with the exception of (NH$_4$)$_2$SO$_4$ solutions. Cation exchange on the surface is the primary process that takes place when NH$_4^+$ encounters microcline (Marshall, 1962; Demir et al., 2001; Demir et al., 2003). Since the affinity of the microcline surface towards NH$_4^+$ is very strong (Nash and Marshall, 1957), the surface ion replacement of K$^+$ by NH$_4^+$ can be
considered absolute even at the lowest solute concentrations, implying that the ion exchange of K⁺ by NH₄⁺ is completed or almost completed when [NH₄⁺]/[K⁺] reaches 1. Since the IN efficiency increases only after the ion exchange has been completed, the replacement of K⁺ by NH₄⁺ does not seem to be the reason for the enhanced IN efficiency in NH₄⁺ containing solutions. Rather, the presence of adsorbed NH₄⁺ or NH₃ on the surface seems to cause the improved ice nucleating capability of microcline (see Section 4.23.2). In the case of non-NH₄⁺ solutes, a monotonic decrease in ΔT_{het} is observed as the exchangeable cation ratio increases. This is also the case for K⁺ containing solutes which reduce the replacement of K⁺ by H⁺ implying that the particles will tend to retain surface-K⁺.

Zolles et al. (2015) suggested that the superior IN efficiency of K-feldspars may be related to the kosmotropic (structure making) characteristic of K⁺ in the surface layer of microcline. However, the experiments performed here do not confirm that the presence of K⁺ in the microcline suspension has a positive effect on the IN efficiency. Rather, increasing the concentration of K⁺ ions in the surface layer of the mineral by adding a K⁺ containing solute decreases the IN efficiency compared to the pure water case. Also, the addition of K₂SO₄ has a similar deteriorating effect on the IN efficiency of microcline as the addition of Na₂SO₄ rendering an explanation of the superior IN efficiency of K-feldspars compared with Na-feldspars based on the nature of the charge neutralizing cation doubtful.

### 4.23.2 Role of adsorbed NH₃

A good lattice match is often listed among the requirements that may render a crystalline surface IN active (Pruppacher and Klett, 1994). However, Pedevilla et al. (2016) demonstrated with ab initio density functional theory calculations that ice-like overlayers do not form in the contact layer on the most easily cleaved (001) surface of microcline. Nevertheless, they concluded that this surface may induce ice-like ordering in the second overlayer by highlighting the role of surface hydroxidehydroxyl (≡Al-OH/≡Si-OH) groups on the (001) plane of microcline. The –OH group may form hydrogen bonds with incoming water molecules on the surface resulting in a contact layer that may provide a template for further layers to arrange in ice-like manner. The –OH groups could also hold the answer to the variability in IN efficiency of various feldspars as they could be affected by weathering processes, chemical aging, microtexture, Al:Si order, etc. (Yang et al., 2014; Harrison et al., 2016; Peckhaus et al., 2016; Tang et al., 2016). Kiselev et al. (2016) suggested that microscopic patches of the high energy (100) surface planes of feldspar should be responsible for the high IN efficacy of K-feldspar rather than the more common low energy (001) surface. If this were the case, the (100) surface plane would need to be present in almost all particles since a large fraction of submicron microcline particles have been found to. Using molecular dynamics simulations, Lupi et al. (2014) and Lupi and Molinero (2014), have recently shown that even non-mineral graphitic surfaces can promote IN due to surface-induced ordered layering of interfacial water. Kiselev et al. (2016) suggested that microscopic patches of the high energy (100) surface of feldspar should be responsible for the high IN efficacy of K-feldspars rather than the more common low energy (001) surface. If this were the case, the (100) face would need to be present on almost all particles since the majority of submicron microcline particles show IN activity (Niedermeier et al., 2015; Kaufmann et al., 2016). However, the (100) face is a high energy face that is not easily cleaved during milling and disappears during crystal growth. Moreover, Pedevilla et al. (2016) attribute IN activity to the common, easily cleaved (001) face, which we expect to dominate IN on submicron particles due to its prevalence on the particle surface. Whale et al. (2017) explain the exceptional ice-nucleating ability of alkali feldspars to microtextures related to phase separation into Na and K-rich regions. Since such features are very rare, they might account for the ice nucleation activity at the warmest temperatures observed in bulk experiments but are unlikely the reason for the ice active sites probed in our emulsion experiments, which are present in almost all submicron particles.
Several studies have shown that \( \text{NH}_4^+ \) is not only effective for surface cation exchange but also attaches to the aluminosilicate surface with high bonding energy (Nash and Marshall, 1957; Barker, 1964; Russell, 1965; Dontsova et al., 2005; Belchinskaya et al., 2013). The increase of \( 
abla T_{\text{het}} \) for \( \text{[NH}_4^+/\text{K}^+] < 1 \) in case of the (\( \text{NH}_4 \)\( \text{Cl} \)) and \( \text{NH}_3 \) solutions suggests \( \text{NH}_3 \) to be the interacting molecule, since these solutions contain \( \text{NH}_3 \) even at the lowest concentration. There is also an enhanced IN efficiency in terms of heterogeneously frozen droplet fraction \( F_{\text{het}} \) when microcline is suspended in \( \text{NH}_3/\text{NH}_4^+ \) containing solutions, as shown in Fig. 5. Since the degree of aggregation of microcline particles is not altered in dilute solutions compared to the pure water case (see Appendix B), the presence of \( \text{NH}_4^+ \) or \( \text{NH}_3 \) seems to activate nucleation sites that were inactive in pure water. The increased IN efficiency also observed in the case of dilute \( \text{NH}_3 \) solutions suggests that after the initial cation exchange, the aqueous \( \text{NH}_3 \) formed from excess \( \text{NH}_4^+ \) ions is adsorbed on the microcline surface and enhances its IN efficiency. \( \text{NH}_3 \) can make hydrogen bonds with surface hydroxyl groups with nitrogen atoms facing the surface and providing an abundant number of protons facing towards the bulk water allowing water molecules to orient with the protons facing the bulk (Wei et al., 2002; Anim-Danso et al., 2016). This orientation of hydrogen bonding provided by \( \text{NH}_3 \) may indeed the reason for the enhanced IN efficiency of microcline in the presence of \( \text{NH}_3 \) and \( \text{NH}_4^+ \). The enhancement in IN efficiency is restricted to certain \( \text{NH}_4^+ \) concentrations as excess solute strength hampers the IN efficiency. A positive effect of \( \text{NH}_3 \) on the IN efficiency in deposition mode was observed by Salam et al. (2007; 2008) when they exposed montmorillonite particles to \( \text{NH}_3 \) gas. Therefore, we conclude suggest that \( \text{NH}_3 \) is able to improve the IN efficiency of active sites and even to create new active sites and thus increase to increase the overall number of active sites as indicated by the increase in \( F_{\text{het}} \).

### 4.3.4 Reduced ice nucleation activity at higher solute concentrations

Strong reduction in the IN efficiency of size-segregated K-feldspar (2476% microcline) particles has been reported after they were coated with sulfuric acid (Kulkami et al., 2012; Augustin-Bauditz et al., 2014). It was found that coating thickness, hence concentration, and exposure time are important factors that govern the extent to which the acid treatment modifies the lattice structure of K-feldspar. This has been corroborated by Burkert-Kohn et al. (2017) who showed that the same feldspar (200 nm and 300 nm size segregated) turned almost ice inactive after treatment (12 hours) with 1 molar sulfuric acid and 1 molar nitric acid. Similar effects can be observed in the current study. Even the slightest increase in the sulfuric acid concentration (0 – 0.09 molal) drastically decreased \( F_{\text{het}} \). Similarly, increasing the concentration of \( \text{NH}_4\text{HSO}_4 \) resulted in the reduction of \( F_{\text{het}} \) while \( T_{\text{het}} \) still showed an increase at low concentration (\( a_w = 1 – 0.85 \)). We attribute these opposite effects to the competition between the enhancement of the IN efficiency by \( \text{NH}_4^+ \) and the destruction of IN active sites by \( \text{HSO}_4^- \).

For all investigated salts, the IN efficiency decreases at higher solute concentration (Figs. 3 & 5). For a given water activity, \( T_{\text{het}} \) and \( F_{\text{het}} \) are highest for \( \text{NH}_4\text{Cl} \) and decrease in the order of \( \text{NH}_4\text{Cl} > \text{NH}_4\text{NO}_3 > \text{NH}_4\text{HSO}_4 > (\text{NH}_4)^2\text{SO}_4 > \text{Na}_2\text{SO}_4 > \text{KCl} > \text{K}_2\text{SO}_4 \). The difference in IN efficiency for salts with the same cation suggests that anions as well as cations play a role in the decrease of IN efficiency at higher concentrations.

The decrease in IN efficiency of microcline in suspensions with high solute concentrations shows two specific dependencies on the nature of the salt, namely, (i) the sulfate decreases the IN efficiency more strongly than chloride and nitrate, (ii) \( \text{K}^+ \) and \( \text{Na}^+ \) decreases the IN efficiency when compared with the pure water case and even more compared with \( \text{NH}_4^+ \).

The microcline surface has a negative charge at neutral pH arising from dissociated silanol groups (Demir et al., 2001; Demir et al., 2003; Karagüzel et al., 2005; Gülgonüll et al., 2012). While at low concentrations, cations predominantly participate in ion exchange, increasing the solute concentration leads to adsorption of cations on the negatively charged feldspar surface. This induces
the buildup of an electrical double layer consisting of a compact inner layer occupied mainly by cations and a more diffuse outer layer made up of cations and anions. The size and charge of the ions influence the thickness of the layer and the zeta potential (Yukselen-Aksoy and Kaya, 2011). The double layer may influence the IN efficiency of microcline at higher solute concentration, since the high ion concentration at the particle surface may block nucleation sites.

The stronger decrease of IN efficiency in the presence of sulfate compared with other anions hints to specific interactions of sulfate with the IN active sites on the microcline surface. Indeed, sulfates have been described to attach strongly to feldspar surfaces (Priyantha and Perera, 2000). This adsorption has been explained by monodentate surface complexes of sulfate with tetrahedral Al sites (Min et al., 2015). The binding of sulfate leads to the release of OH\(^-\), thus decreasing the number of Al-OH groups available for hydrogen bonding, resulting in a decrease of proton density on the microcline surface.

The addition of KCl decreases \(F_{\text{het}}\) even at the lowest concentration of 7x10\(^{-5}\) molal. Increasing the K\(^+\) concentration reduces the exchange of surface K\(^+\) with H\(^+\) and eventually prevents it. The total absence of IN activity at higher K\(^+\) concentrations indicates that the replacement of K\(^+\) with H\(^+\)/H\(_3\)O\(^+\) is essential for the IN activity of microcline, providing evidence. This suggests that the better higher IN efficiency of K-feldspars compared with (Na-, Ca-) feldspars does not stem from the beneficial effect of K\(^+\) in the surface layer, rather. For instance, the comparison of K\(_2\)SO\(_4\) with Na\(_2\)SO\(_4\) solutions shows that, for the same water activity, shows that the presence of K\(^+\) has even a more negative effect on \(F_{\text{het}}\) than Na\(^+\)/Na\(^+\).

### 4.45 Aging effect

Aging Suspension of feldspars in water leads to the immediate release of surface cations within minutes (Nash and Marshall, 1957; Busenberg and Clemency, 1976; Smith, 1994; Peckhaus et al., 2016) together with a continuous release of silica in the form of silicic acid, hydrated alumina, and small structural fragments, which leads to the slow disintegration of feldspar and the buildup of new minerals over years. Over a period of years, the continuous release of silica as silicic acid, hydrated alumina and small structural fragments leads to the slow disintegration of feldspar and to the buildup of new minerals (DeVore, 1957; Banfield and Eggleton, 1990). During this slow dissolution, a surface layer is considered to form on the feldspar surface (Busenberg and Clemency, 1976; Alekseyev et al., 1997; Zhu, 2005; Zhu and Lu, 2009). Interestingly, this slow dissolution of microcline is accompanied with only a slight reduction in IN efficiency even after several months as shown in experiments by Peckhaus et al. (2016) and Harrison et al. (2016). In order to assess the effect of solutes on IN efficiency over time, aging experiments were performed over a period of one week with microcline (2 wt%) suspended in ammonia (0.05 molal) and ammonium sulfate (0.1 and 10 wt%) solutions as well as in pure water (Fig. 7). These are single measurements and uncertainties in \(T_{\text{het}}\) and \(F_{\text{het}}\) are assumed to be at maximum 0.8 K and 10%, respectively, taken from the data of multiple experiments performed on fresh microcline suspended in aqueous solution as shown in Fig. 3.

Figure 7 indicates that \(T_{\text{het}}\) is preserved over seven days when microcline is suspended in pure water. However, \(F_{\text{het}}\) dropped from 0.75 to 0.49 after one day and then remains constant within measurement uncertainties. Suspending microcline in dilute NH\(_3\) and (NH\(_4\))\(_2\)SO\(_4\) solutions leads to an immediate increase in \(T_{\text{het}}\) compared with the pure water case with no clear further trend over the next seven days. Suspending microcline in a concentrated (NH\(_4\))\(_2\)SO\(_4\) solution leads to an immediate decrease in \(T_{\text{het}}\) and \(F_{\text{het}}\) compared with the suspension in pure water with no clear further trend during the next days. This indicates, that in addition to the immediate effect of solutes on \(T_{\text{het}}\) and \(F_{\text{het}}\), dilute NH\(_3\) and (NH\(_4\))\(_2\)SO\(_4\) solutions might have a long term preserving effect on the IN activity represented by \(F_{\text{het}}\) remaining constant, maybe because of a stabilizing effect on the feldspar surface slowing down its dissolution in water.
The pH of the solution influences the surface charge of hydroxylated mineral surfaces. This again influences the ordering of water molecules at the water/mineral interface and the IN efficiency as was recently shown by Abdelmonem et al. (2017). In case of feldspars, the pH of the solution is, in addition, an important parameter in determining the stability of the feldspar surface. Extreme pH conditions enhance the dissolution of the aluminosilicate framework resulting in a faster surface degradation than under near-neutral conditions (Wollast, 1967; Busenberg and Clemency, 1976; Berner and Holdren, 1979; Skorina and Allanore, 2015). Indeed, deposition and immersion nucleation experiments showed reduced IN efficiency for sulfuric acid-coated feldspar particles (Kulkarni et al., 2014).

Given the dilute concentrations of (NH₄)₂SO₄ and NH₃ solutions used in the aging experiments, they provide only slightly acidic (pH 5.5) and basic conditions (pH 10.9), respectively. Therefore, the results suggest that even though the pH conditions play a role in the aging experiments, a counterbalancing stabilizing effect of NH₃ and NH₄⁺ could keep the IN efficiency of microcline more or less unaffected during the studied timescales.

4.56 Reversibility of surface modifications

From the above sections we understood how certain inorganic species affect the IN efficiency of microcline. To investigate the reversibility of these modifications we ran emulsion freezing experiments on microcline samples that were first aged under concentrated solute conditions for 10 days and then resuspended in pure water or a dilute solution. The samples were aged under the following conditions: 10 wt % (NH₄)₂SO₄ (pH 5.5), 2 wt % NH₄HSO₄ (pH 1.2), 0.5 wt % K₂SO₄ (pH 8.4), and 2 molal NH₃ (pH 11.7) solutions and then resuspended in pure water and dilute solution. The aim of the reversibility tests are twofold, namely (i) to learn more about the properties of active sites by testing their stability under severe pH conditions, (ii) to investigate the relevance of microcline as an INP after atmospheric aging.

The upper panel in Fig. 8 shows the change in $T_{het}$ observed between the treated particles (resuspended in water or dilute solutes) and its fresh counterpart. ($\Delta T_{het} = T_{het, treated} - T_{het, fresh}$). The lower panel in Fig. 8 shows the relative decrease in $F_{het}$ for the treated particles with respect to its fresh counterpart, expressed as fraction- $((\Delta F_{het})_{relative} = (F_{het, treated} - F_{het, fresh})/F_{het, fresh})$. $\Delta T_{het}$ and $(\Delta F_{het})_{relative}$ are represented as a function of the pH of solution used for aging over 10 days (viz. higher solute strength). We chose a presentation as a function of pH because we consider the increased dissolution rates at low and high pH (see Sect. 4.5) as a determinant of IN activity after aging because it enhances the degradation of the surface which may result in the irreversible loss of active sites.

Although the decrease in $T_{het}$ in a freshly prepared 2 molal NH₃ solution is in the range expected according to the water–activity–based IN approach (as shown in Fig. 3), there is no recovery when the microcline is resuspended in pure water or in a dilute NH₃ solution (0.05 molal; pH 10.2) after ten days. The irreversible loss of IN activity is even clearer in terms of $(\Delta F_{het})_{relative}$. Similarly, although $T_{het}$ is even increased when a microcline suspension freshly prepared in 2 wt-% NH₄HSO₄ is frozen, the acidic conditions in the suspension lead to the irreversible loss of IN activity after ten days as can be seen from $\Delta T_{het} = -6$ to -7 K and only a partial recovery in terms of $F_{het}$ of the resuspended samples in pure water and in a dilute NH₄HSO₄ (0.5 wt%; pH 1.6) solution.

On the other hand, the loss of IN efficiency in concentrated (NH₄)₂SO₄ (10 wt%) and K₂SO₄ (0.5 wt%) is largely reversible. While $T_{het}$ is almost fully recovered, $F_{het}$ shows a partial recovery. $F_{het}$ of the resuspended samples remains below the value of the freshly prepared suspensions with lower concentrations of the same solutes (viz. (NH₄)₂SO₄ 0.1 wt% and K₂SO₄ 0.05 wt%), therefore, resulting in lower $(\Delta F_{het})_{relative}$ compared to the extreme pH cases. This implies that the aging at near-neutral conditions realized in the (NH₄)₂SO₄ and K₂SO₄ containing suspensions leads to less permanent damage of the microcline.
There are not many studies which have looked into the effects of chemical coatings on the IN efficiency of a fresh K-feldspar surface and even fewer have studied the IN efficiency of aged feldspar surfaces. It has been shown that the IN efficiency of microcline is slightly reduced due to heat treatment, while it is strongly reduced due to sulfuric acid coatings (Augustin-Bauditz et al., 2014; Zolles et al., 2015). Deposition and immersion nucleation experiments showed reduced IN efficiency for sulfuric acid-coated feldspar particles (Kulkarni et al., 2014). Zolles et al. (2015) reported a decreased IN efficiency for enzyme-treated K-feldspar but after heating the efficiency was restored to the original value. Also, Salam et al. (2007) have reported enhanced IN efficiency (in deposition nucleation mode) of NH3-exposed montmorillonite which was retained even after the particles were degassed.

Consistent with our findings, these studies indicate that the dissolution of microcline is accompanied with a loss of IN activity. At room temperature, the dependence of the recovery of IN activity on the solution pH during aging, suggests that the irreversible loss of IN activity is related to the pH dependence of the dissolution rate of microcline. The dissolution of feldspars is slowest at neutral or near neutral conditions (pH 3 – 8) and increases towards low and high pH (Helgeson et al., 1984). At low pH the cations and Al are depleted, and an amorphous Si-enriched surface layer may form (Chardon et al., 2006; Lee et al., 2008). Dissolution is supposed to occur predominantly at high energy sites, such as kink sites or defects, leading to a roughening of flat areas on freshly cleaved surfaces and to rounding of the steps (Chardon et al., 2006). Dissolution in alkaline solution is supposed to be more congruent with respect to the Al/Si ratio and occurs preferentially at step edges. These surface modifications seem to be accompanied correlate with the irreversible loss of IN active sites that we observe at acidic (pH < ~1.2) and alkaline (pH > ~11.7) conditions.

5 Atmospheric implications

Mineral dust particles, when lifted into the upper troposphere, have lifetimes of several days and can be transported over long distances (Huneeus et al., 2011). Quartz is the dominant component of dusts collected near the source region, while the clay mineral fraction dominates at locations far away from the source (Murray et al., 2012). Nevertheless the minor contributions from feldspars to atmospheric dust aerosols have been suggested to determine the IN efficiency of mineral dusts under mixed-phase cloud conditions because of their superior high IN efficiency (Atkinson et al., 2013; Tang et al., 2016).

Transported mineral dust particles may acquire a coating when they come in contact with reactive gases and semivolatile species (Usher et al., 2003; Kolb et al., 2010; Ma et al., 2012; He et al., 2014; Tang et al., 2016) or when they undergo cloud processing (Fitzgerald et al., 2015). The chemical composition and thickness of the coating depend on the particle mineralogy and on the transport pathway (Matsuki et al., 2005a; Sullivan et al., 2007; Fitzgerald et al., 2015). Calcium-rich particles, originating from calcite, have been shown to be more susceptible to processing by SO2 and NOx than silicates and aluminosilicates (Matsuki et al., 2005b). Furthermore, airborne and ground station measurements imply that Saharan dust particles undergo little chemical processing during long-range transport across the Atlantic unless they become incorporated in cloud droplets by acting as cloud condensation nuclei (CCN) (Matsuki et al., 2010; Denjean et al., 2015; Fitzgerald et al., 2015). An exception includes desert dusts originating from the industrial regions at the Atlantic coast of Morocco, Algeria, and Tunisia, which show high concentrations of ammonium, sulfate, and nitrate when sampled at Tenerife, Canary Islands (Rodríguez et al., 2011). Conversely, the exposure of Asian dust to more polluted air masses with higher concentrations of reactive gases shifts its population towards a prevalence of
coated particles even in the absence of cloud processing. By means of online single-particle mass spectrometry onboard a research vessel, Sullivan et al. (2007) found that high amounts of sulfate accumulated on aluminosilicate-rich Asian dust, while calcium-rich particles became enriched in nitrate. When passing through polluted regions with agricultural activity, the sulfates and nitrates may become neutralized by ammonia (Sullivan et al., 2007). By analyzing satellite data, Ginoux et al. (2012) found that soil dust originating from cropland is often mixed with ammonium salts already before long-range transport. Since particles with soluble coatings are more susceptible to cloud droplet activation, they have an enhanced potential to induce cloud droplet freezing in immersion or condensation mode than uncoated particles.

The emerging picture suggests to discriminate situations when dust in the atmosphere is either mainly exposed to rather low NH₃ conditions, which keeps the aerosols acidic, or to polluted, highly ammoniated situations. We discuss the fate of microcline in atmospheric solution droplets by means of Fig. 9, which depicts two versions of phase diagrams of aqueous solution droplets following atmospheric air parcel trajectories P1 through P3 (red and yellow arrows) with increasing moisture (as shown in Fig. 9). As the basis of this discussion we use the freezing onsets and heterogeneously frozen fractions shown in Figs. 3 and 5, respectively. Figure 9 therefore shows freezing onsets that reflect the IN activity of the best microcline particle out of about 1000. Scenarios for average microcline particles would be exactly the same, only with all reported temperatures shifted downwards to about the maximum of the heterogeneous freezing signal, i.e. by 2 – 4 K. The phase diagrams show T vs a_w in Fig. 9A and the supersaturation with respect to ice, S_{ice} vs T in Fig. 9B. The three atmospheric air parcels P1, P2, and P3 contain 0.3 hPa, 1.5 hPa and 2.0 hPa H₂O partial pressure, respectively, and are supposed to cool adiabatically, attaining ice saturation at 242 K, 257 K and 260 K (or water saturation at 2 38.8 K, 255.1 K and 258.4 K, respectively). To stay in equilibrium with the increasing relative humidity, the solution droplets take up water so that their water activity corresponds to the relative humidity in the air parcel. Subsequently, we distinguish ammonia-rich solutions, represented by (NH₄)₂SO₄, and highly acidic solutions, represented by H₂SO₄. Fig. 9 shows the corresponding heterogeneous freezing lines, color-coded with respect to the heterogeneously frozen fraction \( F_{het} \) (see color bar), according to Fig. 3 for heterogeneous onset temperatures \( T_{het} \) and Fig. 5 for the heterogeneously frozen fraction \( F_{het} \) of microcline.

Parcel P1 – dry conditions. Under dry conditions, microcline-containing \((\text{NH}_4)_2\text{SO}_4\cdot\text{H}_2\text{O}\) droplets may nucleate ice heterogeneously in immersion mode (marked “imm” in Fig. 9) around 239 K \((a_w \approx 0.97, S_{ice} \approx 1.35)\), albeit only with a freezing fraction reduced \( F_{het} \approx 30\% \). In contrast, microcline particles in \(\text{H}_2\text{SO}_4\cdot\text{H}_2\text{O}\) solution droplets have likely lost their entire IN efficiency. Upon continued adiabatic cooling, these particles follow the yellow arrows, form supercooled water cloud drops (filled blue circle), and eventually nucleate ice homogeneously (“hom”) at about 3 K lower temperature than in the ammoniated case, namely around 236 K \((a_w = 1, S_{ice} \approx 1.42)\).

Parcel P2 – moist conditions. These intermediate conditions can take advantage of the ammonia-induced enhancement of the IN efficiency of microcline. The \((\text{NH}_4)_2\text{SO}_4\cdot\text{H}_2\text{O}\)-containing microcline particles may nucleate ice heterogeneously at temperatures as high as 256 K \((a_w \approx 0.995, S_{ice} \approx 1.16)\). We refer to this scenario as condensation freezing, although it is strictly speaking immersion freezing in a dilute solution droplet. However, given that the nucleation occurs at \(a_w = \text{RH} \approx 99.5\%\), in experimental settings, freezing would appear to occur concomitantly with droplet activation, thus fulfilling the definition for condensation freezing (marked “cond” in Fig. 9). In contrast, microcline particles in \(\text{H}_2\text{SO}_4\cdot\text{H}_2\text{O}\) solution droplets presumably have lost their nucleation efficacy irreversibly and continue to follow the yellow arrows upon further cooling and form supercooled water cloud drops. Ice nucleates again only homogeneously (at “hom” in Fig. 9). If the aerosols in P2 are not ammoniated but acidic, the low
pH will largely deactivate microcline, so that the solution droplets continue to follow the yellow arrows upon further cooling, forming supercooled liquid water clouds and finally ice through homogeneous nucleation (at “hom” in Fig. 9).

Parcel P3 – wet conditions. Though wetter than P2, even in the presence of microcline in (NH₄)₂SO₄-H₂O droplets, ice nucleates only after the air parcel formed a supercooled liquid water cloud, which eventually glaciates upon further cooling at the freezing onset temperature of microcline in pure water ($T_{het} \approx 252$ K, $a_w = 1, S_{Ice} \approx 1.23$). This leads to the formation of a mixed phase cloud via immersion freezing (“imm” in Fig. 9). However, when drier air is mixed into the liquid cloud before the onset temperature for immersion freezing of microcline in pure water is reached, e.g. caused by entrainment/detrainment processes across cloud edges, the droplets might move into the zone of enhanced microcline $iceIN$ efficacy, thus triggering ice formation at several degrees higher temperature. Figure 9 shows this “mixing nucleation” process by a green double arrow (termed “mix”). Of course, it will be experimentally difficult to distinguish “mix” from “imm” or “cond”. Finally, if the aerosols in P3 are not ammoniated but acidic, the low pH will largely deactivate microcline, so that the solution droplets continue to follow the yellow arrows upon further cooling, forming supercooled liquid water clouds and finally ice through homogeneous nucleation (at “hom” in Fig. 9).

The “mixing nucleation” affecting P2 (“mix” in Fig. 9) is a process that enables microcline to trigger ice formation at $T_{het} < 256$ K, i.e. up to 4 K higher temperature than ice nucleation on microcline in pure water at $T_{het} < 252$ K. Interestingly, nucleation is triggered by drying an air parcel of a mixed-phase cloud temporarily and marginally to $a_w < 0.998$. Indeed, an enhancement of $iceIN$ in evaporating clouds has been observed in field measurements and was referred to as evaporation freezing (Hobbs and Rangno, 1985; Beard, 1992; Cotton and Field, 2002; Ansmann et al., 2005; Baker and Lawson, 2006). Different causes of such evaporation freezing have been put forward. On one hand, a drying would be expected to make $iceIN$ less likely, because evaporation of water decreases the water activity. On the other hand, it causes evaporative cooling (Shaw and Lamb, 1999; Satoh et al., 2002) resulting in freezing, if temperatures fall below the homogeneous nucleation temperature briefly before the droplet fully evaporates or solutes become too concentrated. However, under atmospheric conditions the temperature difference between a droplet and its surroundings is typically smaller than 1 K (Neiburger and Chien, 1960), rendering this explanation implausible. Another process that has been invoked is the creation emergence of so-called “evaporation ice nuclei” from a small fraction of ice particle residues (Kassander et al., 1957; Beard, 1992). Furthermore, enhanced contact freezing due to thermophoretic capture of submicron particles by evaporating droplets (Hobbs and Rangno, 1985; Beard, 1992) and contact freezing inside-out have been put forward as explanations for evaporation nucleation (Durant and Shaw, 2005; Shaw et al., 2005). “Mixing nucleation”, as described here, may be a plausible alternative freezing process in case of ammoniated mineral dust particles.

The idealized trajectories P1 – P3 in Fig. 9 exemplify that in a condensation-freezing or mixing-nucleation scenario a higher freezing temperature may be achieved than for immersion freezing. The case of microcline demonstrates that in addition to the mineralogy, the chemical exposure history of the particles is a relevant determinant of the IN efficiency of airborne dust. A coating by an aqueous ammonium sulfate solution can indeed enhance the IN efficiency of microcline in the condensation mode, but only when sulfuric acid and ammonia are deposited concomitantly. Such a scenario may arise when the dust originates from anthropogenically influenced regions with agricultural activity, as may be the case for soil dust (as described in Ginoux et al. (2012)). Indeed, $iceIN$ measurements of air masses advected from the Sahara to the Canary Islands showed that ammonium sulfate, linked to anthropogenic emissions in upwind distant anthropogenic sources, mixed with desert dust had a small positive effect on the condensation mode INP per dust mass ratio but no effect on the deposition mode INP (Boose et al., 2016). If the microcline-containing aerosols acquire a sulfuric acid coating preceding the neutralization by ammonia, the IN activity may be
destroyed irreversibly. In the situation described by Sullivan et al. (2007), the mineral dust-laden air masses were first influenced by a volcanic eruption, likely with high H$_2$SO$_4$ concentrations, before they passed through polluted air masses rich in ammonia. Here, the neutralization might have occurred too late to preserve the IN active sites.

6 Conclusions and Outlook

Immersion freezing experiments with a DSC on microcline suspended in solutions containing various inorganic solutes of different concentrations showed that the heterogeneous freezing onset temperatures deviate from the previously established water-activity-based approach. An increase in IN efficiency was observed in dilute solutions of NH$_3$ (< 1 molal) and NH$_4^+$-containing salts (< 0.16 molal) while a strong decrease was observed in aqueous microcline suspensions containing solutes with cations other than NH$_4^+$.

Neither the native surface K$^+$ ions nor their exchange with externally added cations were found to be the reason behind microcline being so highly IN efficient. The observed increase in IN efficiency in dilute NH$_3$ and NH$_4^+$-containing solutions seems to be related with chemically adsorbed ammonia molecules on the particle surface. Hydrogen bonded NH$_3$ molecules on the microcline surface might provide a better orientation of water molecules into ice-like layers via multiple protons available for hydrogen bonding.

Aging experiments over several days reveal solute-specific impacts on the IN efficiency of the microcline surface. In acidic (pH ≤ 1.2) or alkaline (pH ≥ 11.7) conditions, the loss of IN activity is irreversible. In contrast, the microcline surface is able to partially regain its IN efficiency when the particles are resuspended in water after aging in concentrated solutions with near-neutral pH (5.5 – 8.4).

The increased IN efficiency in dilute ammonia containing microcline droplets opens up a pathway for condensation freezing occurring at a warmer temperature than immersion freezing. Ammonia-rich conditions are expected in polluted or agricultural areas. Conversely, the IN efficiency of microcline is permanently destroyed when microcline acquires a sulfuric acid coating while passing through more pristine areas.

7 Data availability

The data for freshly prepared microcline suspensions in water or aqueous solutions (Fig. 3 and 5), aging tests (Fig. 7) and particle size distributions of suspensions obtained from the laser diffraction particle size analyser (Fig. B1) presented in this publication are available at the following DOI: 10.3929/ethz-b-000229892.

Appendix A: Surface cation exchange

Figure 6 shows $\Delta T_{het}$ (difference in observed $T_{het}$ and $T_{het}^{wat}$ ($a_w$)) as function of the ratio of externally added cations (from the solute) to native potassium ions K$^+$ available for exchange on the microcline surface. The externally added solutes dissociate into cations and anions in solution. The number of cations ($N_{cation}$) externally added from the solute is given as:

$$N_{cation} = \text{moles}_{cation} N_A$$

where $\text{moles}_{cation}$ is the moles of cations added to the solution and $N_A$ is the Avogadro constant ($6.023 \times 10^{23}$ per mole).

Figure A1 shows a simplified parallelepiped version of a primitive unit cell of microcline (chemical composition K$_4$Al$_4$Si$_{12}$O$_{32}$). It also shows the two most stable cleavage planes (001) AB and (010) AC. Surface K$^+$ can be released from the surface planes when
a crystal is submerged in water. We assume that all K\(^+\) from half of the unit cell are available for exchange, hence only the K\(^+\) nearest to a plane can potentially move out from that particular plane. Assuming a microcline crystal with the morphology reflecting the unit cell, the fraction of surface area contributed by the different planes is given by:

\[
f_{ij} = \frac{a_{ij}}{\sum_{i=A,B,C} a_{ij}} \quad i \neq j,
\]

where \(a_{ij}\) is the surface area of the different planes. The surface density of K\(^+\) contributed by each plane can be calculated as:

\[
\sigma_{ij} = \frac{n_{ij}}{a_{ij}} \quad i \neq j
\]

Where \(n_{ij}\) is the number of exchangeable K\(^+\) in the plane \(ij\), with values of \(n_{AB} = n_{BC} = 2, n_{AC} = 1\). Thus, the average surface density of exchangeable K\(^+\) for a microcline crystal is:

\[
D = \sum_{i=A,B,C} f_{ij} \sigma_{ij} \quad i \neq j
\]

The total number of K\(^+\) released per unit mass of our microcline sample is the product of its BET surface area (1.91 m\(^2\) g\(^{-1}\)) and the average surface density of K\(^+\) (\(D\)). We assume that each surface cationic center is occupied by K\(^+\) before it is suspended. The number of native potassium ions in Figure 6 is then the product of D and the mass of microcline added to the suspension. The error bars in \(\Delta T_{\text{het}}\) are the maximum and minimum difference in observed \(T_{\text{het}}\) and \(T_{\text{het}}^{\text{het}}\) (\(\alpha_n\)). The error bars in cation ratio stems from the maximum and minimum surface density (\(D\)) corresponding to planes AC and BC, assuming that the whole surface is made up of single type of plane.

**Appendix B: Aggregation of microcline particles**

Depending on the surface charge, mineral dust may aggregate and coagulate when suspended in solution. Aggregation and coagulation may reduce the surface area available for ice nucleation and decrease the heterogeneously frozen fraction (Emersic et al., 2015). The dissociation of silanol groups is the primary factor governing the surface charge of feldspar resulting in a negative zeta potential in pure water (Demir et al., 2003). The addition of solutes can influence the surface charge either by changing the pH of the solution or by direct interaction with the surface.

To check whether aggregation is responsible for the dependence of \(F_{\text{het}}\) on the solutes present in the suspensions, we determined the particle size distribution of microcline in pure water, 0.02 wt% \(\text{NH}_4\text{Cl}\), 2 wt% \(\text{NH}_4\text{Cl}\) and 0.5 wt% \(\text{KCl}\) with the Beckman Coulter LS13 320 laser diffraction particle size analyser (5 mW laser diode, wavelength 780 nm; coupled with Polarization Intensity Differential Scattering (PIDS) assembly). Particle size distributions were obtained for microcline freshly suspended and for the same samples after aging them for 2 hours in suspension (typical emulsion freezing measurement time span with the DSC). The freshly prepared suspensions were sonicated for 2 minutes before the size distribution measurement. Each suspension was measured three times and averages are reported.

Figure B1 shows the particle size distributions for fresh and aged suspensions. The addition of the solutes leads to a slight shift of the size distribution to larger sizes compared with the pure water case. Pure water and 0.02 wt% \(\text{NH}_4\text{Cl}\) suspensions show negligible agglomeration during aging for 2 hours. Strong aggregation during aging for 2 hours was observed for 0.5 wt% \(\text{KCl}\) which may be explained by the enhanced neutralization of the surface charge due to the common ion.
Based on these results, the decrease of $F_{\text{het}}$ in the presence of KCl can be partly explained by aggregation, while the increase of $F_{\text{het}}$ in the presence of NH$_4$Cl cannot be explained by a decrease of agglomeration in the presence of the solute. We therefore conclude that the increase of $F_{\text{het}}$ in the presence of ammonia containing solutes can be ascribed to the activation of sites due to the interaction of ammonia with the microcline surface.

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Figure 1. A typical DSC thermogram, showing freezing onset temperatures $T_{\text{het}}$ and $T_{\text{hom}}$ and the asymptotes used for their construction (black lines), as well as frozen fractions $F_{\text{het}}$ and $F_{\text{hom}}$ (shaded areas), which are normalized $F_{\text{het}} + F_{\text{hom}} = 1$. The area under each peak corresponds to the volume of water that froze homogeneously or heterogeneously. The straight violet line connects the heterogeneous freezing signal onset with the end of the homogeneous freezing signal and is taken as the base line for evaluating the total frozen fraction. The vertical blue line marks the minimum intensity between the homogeneous and heterogeneous freezing peak and is taken as the separator between the areas under the heterogeneous and homogeneous freezing peaks. Note that frozen fractions are determined in the time domain (heat flow as a function of time) and not in the temperature domain shown here for illustration.
Figure 2. DSC thermograms of varying suspension concentrations of microcline particles in pure water. All curves are normalized such that the areas under the heterogeneous and homogeneous freezing curves sum up to the same value. Numbers next to each curve: dust concentration (in wt%), onset temperature $T_{\text{het}}$ (in K) and heterogeneously frozen fraction $F_{\text{het}}$ (ratio of heterogeneous to total freezing signals, dimensionless), respectively. Note that the spikes at $T > 255$ K are due to the freezing of single particularly large emulsion droplets. They are not reproducible and therefore excluded from evaluation.
Figure 3. Compiled results of the freezing experiments with microcline. Heterogeneous freezing onset temperatures, $T_{\text{het}}$ (filled solid symbols connected via thin lines), and homogeneous freezing onset temperatures, $T_{\text{hom}}$ (open symbols at $T = 225 - 237$ K), and ice melting temperatures, $T_{\text{melt}}$ (open symbols at $T = 267 - 273$ K) as functions of the solution water activity, $a_w$, for various solutes (symbols and colors see insert). All suspensions contain 2 wt% microcline. Dash-dotted black line: ice melting point curve. Dotted black line: homogeneous ice freezing curve for supercooled aqueous solutions obtained by horizontally shifting the ice melting curve by a constant offset $\Delta a_w^{\text{hom}}(T) = 0.296$. Solid black line: horizontally shifted from the ice melting curve by $\Delta a_w^{\text{het}}(T) = 0.187$ derived from the heterogeneous freezing temperature of the suspension of microcline in pure water (filled black square at $a_w = 1$). Symbols are the mean of at least two separate emulsion freezing experiments. Three symbols carry error bars to show representative experimental variations (min-to-max) in $T_{\text{het}}$ and $a_w$. 
Figure 4. DSC thermograms of 2 wt% of microcline particles suspended in ammonium bisulfate (ABS) solution droplets of varying concentration (0-5 wt% ABS). All curves are normalized such that the areas under the heterogeneous and homogeneous freezing curves sum up to the same value. The dashed line connects the heterogeneous freezing onset temperatures ($T_{het}$) of the emulsions. With increasing ABS concentration $T_{het}$ increases initially, then decreases sharply. In contrast, the intensity of the heterogeneous freezing signal decreases monotonically, implying continuous decrease in $F_{het}$.
Figure 5. $F_{\text{het}}$ (volume fraction of water frozen heterogeneously, see Fig. 1) as function of solution water activity ($a_w$). All suspensions contain 2 wt% microcline. Three symbols carry error bars showing representative experimental variations (min-to-max) in $F_{\text{het}}$ and $a_w$. Absolute uncertainties in $F_{\text{het}}$ do not exceed ± 0.1.
Figure 6. $\Delta T_{\text{het}}$ (difference in observed $T_{\text{het}}$ and $T_{\text{het}}^{\Delta a}$) as a function of ratio of number of externally added cations (from solute) to native potassium ions, K$^+$, available for exchange on the microcline surface. Upper panel: NH$_3$ and NH$_4^+$ containing solutes. Lower panel: non-NH$_4^+$ solutes. The dashed black lines in both panels depict $\Delta T_{\text{het}} = 0$ (shown to guide the eye).
Figure 7. Development of $T_{het}$ (upper panel - squares) and $F_{het}$ (lower panel - triangles) for 2 wt% microcline suspended in water, 10wt % $(\text{NH}_4)_2\text{SO}_4$, 0.1wt % $(\text{NH}_4)_2\text{SO}_4$, 0.05 molal ammonia solutions over a period of one week.
Figure 8. Aging of 2 wt% microcline suspended in 10 wt% (NH₄)₂SO₄, 2 wt% NH₄HSO₄, 0.5 wt% K₂SO₄, or 2 molal NH₃ solutions for 10 days, sorted according to the solution pH. After the treatment the particles were centrifuged, washed and resuspended in pure water or dilute solutions (0.1 wt% (NH₄)₂SO₄, 0.5 wt% NH₄HSO₄, 0.05 wt% K₂SO₄, 0.05 molal NH₃) and then freezing measured using DSC. Upper panel: difference in $T_{het}$ between particles treated in such a way and particles freshly suspended in pure water or very dilute solutions. Dashed black line: $\Delta T_{het} = 0$ shown to guide the eye. Lower panel: relative decrease in heterogeneously frozen fraction, $\Delta F_{het,relative} = (F_{het,treated} - F_{het,fresh})/F_{het,fresh}$. The green shaded area in both panels depicts a suggested range for $\Delta T_{het}$ and $F_{het}$ based on the results from this study.
Figure 9. Phase diagrams, $a_w$ - $T$ (panel A) and $T$ - $S_{s_k}$ (panel B), showing the development of aerosols containing microcline in dry (P1), moist (P2) and wet (P3) air parcels undergoing adiabatic cooling (red arrows) until ice nucleates (white hexagons). Blue curves: heterogeneous freezing onset temperatures, $T_{het}$, of 2 wt% microcline suspended in aqueous (NH$_4$)$_2$SO$_4$ or H$_2$SO$_4$ solutions. Color coding: heterogeneously frozen fraction, $F_{het}$, ranging from high (blue) to vanishing (yellow). In aqueous (NH$_4$)$_2$SO$_4$, ice can nucleate heterogeneously on microcline. In aqueous H$_2$SO$_4$, microcline is largely deactivated and ice nucleates homogeneously only after further cooling (yellow arrows) and forming a supercooled liquid water cloud (filled blue circles). Solid black line: water saturation ($a_w = 1$, RH = 100%). Dash-dotted black line: homogeneous ice nucleation, horizontally shifted from the ice melting curve by a constant offset $\Delta a^{het}_w = 0.187$. Dotted black line: shifted by $\Delta a^{het}_w = 0.296$ derived from microcline suspended in pure water. Atmospheric freezing modes: “imm” = immersion; “cond” = condensation; “hom” = homogeneous. Entrainment/detrainment at cloud edges may cause nucleation at up to 4 K higher temperature than “imm” (green double arrow, “mix”).
Figure A1. Simplified parallelepiped version of a primitive unit cell of microcline (chemical composition K₄Al₆Si₁₂O₃₂). Red spheres: Si atoms; blue spheres: Al atoms; cyan spheres: K atoms; green lines: -O- links between 2 neighboring Si/Al atoms. Black curved arrows show potential removal paths of K⁺ from different planes of the unit cell (namely, AB, BC and AC). We assume that all K⁺ from half of the unit cell are available for exchange, hence only the K⁺ nearest to a plane can potentially move out from that particular plane.
Figure B1. Particle size distributions obtained from the laser diffraction particle size analyser (Beckman Coulter LS13 320) for fresh (black squares connected with line) and aged suspensions (red squares connected with line) of 2 wt% microcline in pure water, 0.5 wt% KCl, 0.02 wt% NH₄Cl and 2 wt% NH₄Cl. Size distribution measurements of each suspension were done in triplicates and each solid square shows the mean and one standard deviation as error bars. The aging period was 2 hours (roughly typical emulsion freezing measurement time span with DSC).