



1 **Winter 2018 major sudden stratospheric warming impact on midlatitude mesosphere from**
2 **microwave radiometer measurements**

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18 **Abstract.** The impact of a major sudden stratospheric warming (SSW) in the Arctic in February 2018
19 on the mid-latitude mesosphere was investigated by performing microwave radiometer measurements
20 of carbon monoxide (CO) and zonal wind above Kharkiv, Ukraine (50.0°N, 36.3°E). The mesospheric
21 peculiarities of this SSW event were observed using recently designed and installed microwave
22 radiometer in East Europe for the first time. The data from the ERA-Interim and NCEP–NCAR
23 reanalyzes, as well as the Aura Microwave Limb Sounder measurements, have been also used.
24 Microwave observations of the daily CO profiles in January–March 2018 allowed retrieving
25 mesospheric zonal wind at 70–85 km (below the winter mesopause) over the Kharkiv site. The reverse
26 of the mesospheric westerly from about 10 ms⁻¹ to the easterly wind of about –10 ms⁻¹ around 10
27 February has been registered. Local microwave observations in the NH midlatitudes combined with
28 reanalysis data show wide ranges of daily variability in CO, zonal wind, temperature and geopotential
29 height in the mesosphere and stratosphere during the SSW 2018. Oscillations in the vertical CO
30 profile, zonal wind, and geopotential height during the SSW, stratopause disappearance after the SSW
31 onset and strong CO and westerly wind peaks at the start of the SSW recovery phase have been
32 observed. The observed CO variability can be explained by vertical and horizontal air mass
33 redistribution due to planetary wave activity with the replacement of the CO-rich air by CO-poor air
34 and vice versa, in agreement with other studies. The results of microwave measurements of CO and
35 zonal wind in the midlatitude mesosphere at 70–85 km altitudes, which still is not adequately covered



36 by ground-based observations, are useful for improving our understanding of the SSW impacts in this
37 region.

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40 **1 Introduction**

41

42 The event of major sudden stratospheric warming (SSW) which happened roughly each two years in
43 the North Polar region is produced by strong planetary wave activity according to the model developed
44 by Matsuno (1971) and numerous observations (Alexander and Shepherd, 2010; Kuttippurath and
45 Nikulin, 2012; Tao et al., 2015). The major SSW event is accompanied by sharp increase of the
46 stratosphere temperature up to 50 K and zonal wind reverse from climatologically eastward to
47 westward during several days (Chandran and Collins, 2014; Butler et al., 2017). The World
48 Meteorological Organization primary definition of the SSW event concentrated on stratosphere
49 temperature increase and zonal wind reverse to westward at about 30 km altitude (10-hPa pressure
50 level) and 60° latitude (WMO, 1978). This definition was broadened and detailed in recent papers
51 (Butler et al., 2015; Butler and Gerber, 2018). The summarizing paper, where the SSW database
52 described, was published in (Butler et al., 2017). This useful tool
53 (<https://www.esrl.noaa.gov/csd/groups/csd8/sswcompendium/>) allows analysis of the conditions in the
54 stratosphere, troposphere, and at the surface before, during and after each SSW event representing its
55 evolution, structure and impact on winter surface climate.

56 The source of the SSW is planetary waves born in the troposphere that propagate upward through
57 the tropopause to the stratosphere (Matsuno, 1971; Alexander and Shepherd, 2010, Butler et al., 2015).
58 Due to the enhanced planetary wave activity, sharp increase in upward transfer of the momentum and
59 heat, as estimated by Eliassen-Palm (EP) flux, results in rapid warming of the polar stratosphere and
60 stratospheric polar vortex breakdown (Matsuno, 1971; de la Torre et al., 2012; Chandran and Collins,
61 2014; Pedatella et al., 2018). The important feature of the SSW event is impact on lower altitudes,
62 when temperature and wind anomalies descend downward into the high- and mid-latitude troposphere
63 during the weeks or even month and influence the surface weather (Baldwin and Dunkerton, 2001;
64 Zhou et al., 2001; Butler et al., 2015; Yu et al., 2018). The major SSW events may also impact the
65 atmosphere composition of the whole Northern Hemisphere (NH) stratosphere including mid-latitudes
66 (Solomon et al., 1985; Allen et al., 1999; Tao et al., 2015).

67 During the SSW, vertical coupling covers not only the troposphere, but extends upward to the
68 mesosphere. Mesospheric responses to the SSW are observed as enhancement in planetary wave
69 amplitude, zonal wind reversal and significant air cooling (Shepherd et al., 2014; Zülicke and Becker,
70 2013; Stray et al., 2015; Zülicke et al., 2018), substantial depletions of the metal layers (Feng et al.,



71 2017; Gardner, 2018), mesosphere-to-stratosphere descent of trace species (Manney et al., 2009; Salmi
72 et al., 2011). Mesospheric responses are also accompanied by vertical displacements of the stratopause
73 (Chandran et al., 2011; Tomikawa et al., 2012; Limpasuvan et al., 2016; Orsolini et al., 2017).

74 Among trace gases, carbon monoxide (CO) is a good tracer of winter polar vortex dynamics in the
75 upper stratosphere and mesosphere due to long photochemical lifetime (Solomon et al., 1985; Allen et
76 al., 1999; Rinsland et al., 1999, Shepherd et al. 2014). The CO mixing ratio generally increases with
77 height in the upper stratosphere and mesosphere and increases with latitude toward the winter pole.
78 This is due to the mean meridional circulation which transports CO from the source region in the
79 summer hemisphere and tropics to the extratropical winter mesosphere and stratosphere (Shepherd et
80 al., 2014). Therefore, large abundances of CO appear in the winter polar regions under conditions of
81 large-scale planetary wave activity. Downward meridional transport provides CO descent between
82 mesosphere and stratosphere and this process is sensitive to the wave amplitudes increasing, in
83 particular, during the SSW (Rinsland et al., 1999; Manney et al., 2009; Kvissel et al., 2012). At the NH
84 midlatitudes, CO also exhibits significant variability during the periods of planetary wave activity in
85 the SSW, when the polar vortex splits and displaces off the pole (Solomon et al., 1985; Allen et al.,
86 1999).

87 Recent atmospheric models are being extended up to 80–150 km (de la Torre et al., 2012;
88 Chandran and Collins, 2014; Shepherd et al., 2014; Limpasuvan et al., 2016; Newnham et al., 2016),
89 for example, the Whole Atmosphere Community Climate Model (WACCM) by de la Torre et al.
90 (2012) or the Canadian Middle Atmosphere Model (CMAM) by Shepherd et al. (2014). The reference
91 data for the models are mainly obtained from observations of the radiation of the mesospheric ozone
92 molecules, which allow robust measurements at altitudes up to of approximately 65 km (for example,
93 Hagen et al., 2018). These data are generally consistent with the most commonly used ERA-Interim
94 reanalysis products. However, there are still insufficient observations of middle atmospheric winds at
95 altitudes between 60 and 85 km made with high vertical resolution to verify atmospheric models and
96 possible long-term trends (Keuer et al., 2007; Rüfenacht et al., 2018). This altitude range with
97 altitudinal temperature decrease and, therefore, with unstable atmosphere, locates below the winter
98 mesopause region at 95–100 km (e.g. Xu et al., 2007) and plays a significant role in the mass and
99 energy exchange in stratosphere–mesosphere coupling (Shepherd et al., 2014; Limpasuvan et al., 2016;
100 Gardner, 2018).

101 Microwave radiometry is the ground-based technique that can provide vertical profiles of CO, H₂O
102 and O₃ atmospheric gases and wind data in the upper stratosphere and mesosphere (Rüfenacht et al.,
103 2012; Scheiben et al., 2012; Forkman et al., 2016). The upper stratosphere–mesosphere zonal winds at
104 the 30–85 km altitude region can be measured using the Doppler shift between different observation
105 directions in simultaneous measured spectra of transitions lines of carbon monoxide CO at 115.3 GHz



106 and ozone O₃ at 110.8 GHz (Rüfenacht et al., 2012; Forkman et al., 2016). Due to high altitude CO
107 residence region, the simultaneous zonal wind measurements using both O₃ and CO provide
108 independent data that extend the wind measurement from the stratospheric to mesospheric altitudes,
109 respectively (Forkman et al., 2016; Piddyachiy et al., 2017).

110 The first ground-based microwave measurements of CO have been made in the 1970s and they
111 have confirmed theoretical estimations of the vertical CO profile (Waters et al., 1976; Goldsmith et al.,
112 1979). Since the 1990s, the ground-based microwave radiometers measuring CO have been installed in
113 the Northern Hemisphere at high and middle latitudes to provide measurements on a regular basis. The
114 microwave radiometers are operating in Onsala and Kiruna, Sweden, since 2008. The results are
115 described in Hoffmann et al. (2011) and in Forkman et al. (2012). The microwave radiometer operated
116 in Bern, Switzerland since 2010 aims to contribute to the significant gap that exists in the middle
117 atmosphere between 40 and 70 km altitude for wind data (Rüfenacht et al., 2012). In the Arctic, the O₃,
118 N₂O, HNO₃, and CO spectra were recorded using the Ground-Based Millimetre-wave Spectrometer
119 GBMS (Muscari et al., 2007; Di Biagio et al., 2010).

120 Since 2014, the microwave measuring system for CO observations has been operated in Kharkiv,
121 Ukraine (Piddyachiy et al., 2010; Piddyachiy et al., 2017). Microwave radiometer measurements of
122 CO are used to retrieve mesospheric winds nearby the mesopause region (70–85 km). Methods
123 deriving the wind speed from mesospheric CO measurements are based on the determination of the
124 CO and O₃ lines emission Doppler shift (Eriksson et al., 2011; Hagen et al., 2018).

125 Our observations in February 2018 have firstly recorded the mesospheric effects of a major sudden
126 stratospheric warming at the mid-latitude station Kharkiv by the new microwave radiometer. In mid-
127 February 2018, the stratospheric polar vortex in the Arctic splitted into two sub-vortices (Fig. 1), zonal
128 wind reversed in the stratosphere–mesosphere from eastward to westward and warm air penetrated into
129 the polar cap. This caused large-scale disturbances in the middle atmosphere of the polar and
130 midlatitude regions. The major SSW in 2018 has not yet been widely discussed in publications (see
131 e.g. Karpechko et al., 2018; Rao et al., 2018) and in this paper we give detailed description of the
132 observed mesospheric CO and zonal wind variations.

133 In Sect. 2, the microwave radiometer and data processing software have been described shortly.
134 The SSW event in February 2018 is considered in Sect. 3. The effects of the SSW on mid-latitude
135 mesosphere–stratosphere conditions in the Ukraine longitudinal sector are presented in Sect. 4.
136 Discussion is given in Section 5 followed by conclusion in Sect. 6.

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141 **2 Data and methods**

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143 The microwave radiometer data set registered during 2017–2018 winter campaign in Kharkiv are used
144 in this study to consider the winter 2018 sudden stratospheric warming effect on the mesosphere and
145 upper stratosphere at this mid-latitude region. Since the ground-based microwave measurements are
146 spatially limited by instrument coverage, data on air temperature, zonal wind, geopotential height were
147 used from reanalyses and satellite databases to interpret CO profile and zonal wind microwave
148 observations and to describe the SSW effects in the atmosphere of the surrounding region.

149

150 **2.1 Microwave radiometer, method and midlatitude data description**

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152 The microwave radiometer (MWR) with high sensitivity, installed in mid-latitudes in Kharkiv
153 (50.0°N, 36.3°E), Ukraine, is designed for continuous observations of the atmospheric CO profiles and
154 winds in the mesosphere using emission lines at 115.3 GHz. The radiometer can continuously provide
155 vertical profiles up to the mesopause region during day and night, even in cloud cover conditions
156 (Hagen et al., 2018). The precipitation, such as strong rain or snow can prevent the radiometer
157 measurements.

158 The receiver of the radiometer has the double-sideband noise temperature of 250 K at an ambient
159 temperature of 10°C (Pidyyachiy et al., 2010; Pidyyachiy et al., 2017). The radiometer was tested
160 during the 2014–2015 period in the carbon monoxide emission lines observations in the mesosphere
161 over Kharkiv. These tests have shown the reliability of the receiver system. More detail on the
162 microwave radiometer design and characteristics are given in (Pidyyachiy et al., 2017). Since 2015, the
163 radiometer is used for continuous microwave measurements of carbon monoxide profiles and
164 mesosphere wind investigations. The first observations of the atmospheric carbon monoxide spectral
165 lines over Kharkiv have confirmed seasonal variations in the CO abundance (Pidyyachiy et al., 2017).
166 The radiometer with the double-side band mode allows retrieving the wind speed from the Doppler
167 shift of the CO line emission at the 115.3 GHz. Two methods are used to determine wind speed. The
168 first, the observed line shape is fitted by a Voigt profile followed by search the center frequency
169 (Pidyyachiy et al., 2017). The second, a radiative transfer calculations for horizontally layered
170 atmosphere are used to determine the wind profiles with the Qpack package, version 1.0.93 (Eriksson
171 et al., 2005; Eriksson et al., 2011), which is specifically designed to work with the forward model of
172 the Atmospheric Radiative Transfer Simulator ARTS (Buehler et al., 2018;
173 <http://www.radiativetransfer.org/>). The results obtained by both methods were almost the same within
174 the error limits. In this article, we use the averaged values of the zonal wind speed for altitudes of 70–
175 85 km.



176 The CO molecules vertical profiles from the measured spectra taken from ground-based microwave
177 instrument in Kharkiv and the zonal winds in the upper stratosphere–mesosphere region were retrieved
178 similar to Rüfenacht et al. (2012). Time interval January 1 – March 31, 2018 including the SSW 2018
179 event is considered in this paper.

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181 **2.2 Data from other sources**

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183 In this study, daily datasets from ERA-Interim global atmospheric reanalysis of European Centre for
184 Medium-Range Weather Forecast (ECMWF; Dee et al., 2011) were downloaded from
185 (<https://www.ecmwf.int/en/forecasts/datasets/archive-datasets/reanalysis-datasets/era-interim>) have
186 been used for comparison with microwave radiometer observations. Horizontal resolution
187 (longitude/latitude) in the ERA-Interim database is selected as 0.75°/0.75°. The ERA-Interim data
188 were used to create temperature and zonal wind velocity profiles from surface up to 0.01 hPa, and to
189 calculate geopotential height at the stratospheric pressure levels, in order to compare with the data
190 measured over Kharkiv site. The data of air temperature, zonal wind, and geopotential height were
191 used also from the NCEP–NCAR reanalysis data (Kalnay et al., 1996;
192 <https://www.esrl.noaa.gov/psd/cgi-bin/data/getpage.pl>). Aura Microwave Limb Sounder (MLS)
193 measurements of the air temperature were analyzed as well (Xu et al., 2009). The MLS data are
194 presented for 55 vertical layers, in particular layers 43–49 cover pressure levels from 0.1 hPa to 0.001
195 hPa (64–96 km approximately). The vertical resolution in this altitudinal range is about 5 km. ERA-
196 Interim data include 60 vertical layers from the surface up to 0.1 hPa only
197 (<https://mls.jpl.nasa.gov/data/readers.php>).

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199

200 **3 Northern Hemisphere sudden stratospheric warming in February 2018**

201

202 General tendency in the winter polar region is descending motion throughout the mesosphere and
203 stratosphere (Orsolini et al., 2010; Chandran and Collins, 2014; Limpasuvan et al., 2016; Zülicke et al.,
204 2018). From the Aura MLS vertical profiles, sequence of alternating cool and warm anomalies
205 descending over the polar cap was observed in winter 2017–2018 (Fig. 2a). The SSW event in
206 February 2018 is presented here by enhanced warming in the stratosphere and cooling in the
207 mesosphere (arrow in Fig. 2a). However, this modification was preceded by mesosphere warming and
208 stratosphere cooling in January 2018 (Fig. 2a). Both these anomalies in January were formed under
209 influence of the large amplitude planetary waves (Fig. 2d) and, probably, gravity waves, which loose



210 stability and dissipate in the stratosphere and mesosphere (Pedatella et al., 2018). The temperature
211 anomalies were enhanced in February being descending (arrow in Fig. 2a).

212 The major sudden stratospheric warming has been started with the zonal wind reverse and air
213 temperature increase in the upper–middle stratosphere over polar cap near 10 February 2018 (vertical
214 line in Fig. 2b and 2c, respectively). This is close to the SSW timing in Rao et al. (2018), where the
215 SSW onset date is 11 February. As seen from Fig. 2d, increasing wave-1 amplitude contributed to the
216 polar vortex destabilization during the second half of January–early February producing zonal wind
217 and temperature oscillations in the upper stratosphere (Fig. 2b and 2c). These oscillations are usually
218 associated with the planetary wave propagated in the stratosphere and mesosphere (Limpasuvan et al.,
219 2016; Rüfenacht et al., 2016). As noted in an earlier study (Manney et al., 2009), wave-1 amplitudes
220 were larger also prior to the SSW 2009, suggesting a larger role of preconditioning. During 10–15
221 February, the easterly zonal wind anomaly at the stratopause (about 1 hPa, ~50 km) increased to –60 m
222 s⁻¹ (Fig. 2b). At the same time, the warming in the polar region with the largest temperature anomaly
223 of about 20°C was observed in the middle stratosphere (around 10 hPa, Fig. 2c).

224 The wave-2 impulse on 10 February (dashed curve in Fig. 2c) was responsible for the polar vortex
225 split in the two parts (Fig. 1 in Sect. 1). This indicates that the SSW in February 2018 refers to the
226 wave-2 forcing, similarly to the SSW 2009 (Manney et al., 2009; Yuan et al., 2012). Note that wave-1
227 and wave-2 amplitudes varied approximately in antiphase in January and February (Fig. 2d) and
228 contributed to vortex displacement and vortex split, respectively. Similar anti-correlated amplitudes of
229 wave-1 and wave-2 in the stratosphere during the 2009 SSW were noted by Yuan et al. (2012). Since
230 the wave-1 peak in mid-February was of the same amplitude as the wave-2 peak on 10 February
231 (~1000 m, Fig. 2c), both wave numbers participated in the middle–upper stratosphere warming.

232 The development of the major SSW is well described by the Eliassen–Palm flux vector, which is a
233 measure of the heat and momentum fluxes transferred by planetary waves from the troposphere to the
234 stratosphere (Matsuno, 1971; de la Torre et al., 2012; Chandran and Collins, 2014). The changing
235 wave activity in February 2018 can be seen from EP-flux in the NCEP–NCAR reanalysis at
236 <https://www.esrl.noaa.gov/psd/data/epflux/> (Fig. 3) that follows the changing wave amplitudes in Fig.
237 2d.

238 An intense EP-flux since February 6 (Fig. 3b and 3c) initiated the vortex split on February 10 (Fig.
239 1c). The EP-flux vector was in prevailing upward direction covering the NH extratropics till February
240 20–21, when equatorward EP-flux became almost horizontal and reached the tropics (Fig. 3d). Note
241 that on February 7–8, the EP flux vectors reached the equator (not shown), in consistency with easterly
242 phase of the quasi-biennial oscillation (QBO) in February 2018
243 (<https://www.esrl.noaa.gov/psd/data/correlation/qbo.data>). In these conditions in the tropical
244 stratosphere, waves originating at higher latitudes propagate across the equator (Chandran and Collins,



245 2014). The EP flux weakened sharply in late February (Fig. 3f) in correspondence with wave
246 amplitude decrease (Fig. 2d) that favored start of the SSW recovery phase.

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248

249 **4 The local SSW effects over the mid-latitude station**

250

251 Local variability in the conditions of the atmosphere during the microwave measurements in January–
252 March 2018 at Kharkiv (50°N, 35°E) is shown in Fig. 4 and Fig. 5. The sharp changes occurred in the
253 20-day interval coinciding with the SSW event 2018 from February 10 to March 1, as indicated by red
254 vertical lines in Fig. 4 and Fig. 5. This is a time, when the polar vortex divided into two parts
255 producing two smaller vortices over North America and Eurasia (Fig. 1).

256 The CO molecule volume mixing ratio (WMR) near the mesopause at 75–80 km decreased from
257 10 ppmv of background level to 4 ppmv on 19–21 February (Fig. 4a), when the sharp vertical CO
258 gradient at the lower edge of the CO layer near about 6 ppmv elevated by about 8 km (between 75 km
259 and 83 km, thick part of the white curve in Fig. 4a). For comparison, the pre- and post-SSW vertical
260 variations of the 6-ppmv level were observed in a range 2–3 km (thin parts of the white curve in Fig.
261 4a). We take here the 6-ppmv level as a conditional lower edge of the CO layer, since the CO gradients
262 sharply increases from 0.2–0.3 ppmv km⁻¹ in a 10-km layer below to 0.6–0.8 ppmv km⁻¹ in a 10-km
263 layer above (below and above the white curve in Fig. 4a). The similar gradient change is characteristic
264 of the mesospheric CO profiles in boreal winter from ground-based and satellite observations (Koo et
265 al., 2017, their Fig. 4; Rayan et al., 2017, their Fig. 5).

266 The reversal of the local zonal wind estimated from the CO measurements at the Kharkiv
267 microwave radiometer site nearby the mesopause region was observed. The averaged wind velocity in
268 the altitude range 70–85 km changed between 10 m s⁻¹ and –10 m s⁻¹ around 10 February (Fig. 4b).
269 After the active phase of the SSW, zonal wind returns to eastward direction and enhances to 20 m s⁻¹
270 reaching the highest velocity observed in January–March (Fig. 4b). This zonal wind peak in early
271 March is accompanied by the CO peak at 18 ppmv that is also the highest CO abundance over
272 January–March (Fig. 4a). During the SSW event, local zonal wind over the station became easterly
273 between the lower stratosphere and lower mesosphere (–30 m s⁻¹ up to –40 m s⁻¹, white contours in
274 Fig. 4c). Note that westerly zonal wind at the stratopause level (~ 50 km) in January 2018 (mid-winter,
275 the pre-SSW conditions) sometimes increased to more than 100 m s⁻¹ (black contours in Fig. 4c). Due
276 to the wave activity in January–February, the air temperature maximum at the stratopause was likewise
277 unstable and it slowly recovered in March (Fig. 4d).

278 The SSW effects in February 2018 were the strongest in polar region and were also clearly
279 observed in the middle NH latitudes as noted above. Manifestations of the midlatitude anomalies



280 during the SSW are seen from geopotential height (Z) variability in Fig. 5. The Z anomalies extend
281 from the polar to subtropical latitudes in the upper and middle stratosphere (at 1 hPa and 10 hPa in Fig.
282 5a and 5b, respectively). During the SSW (red vertical lines in Fig. 5), the geopotential height levels
283 rapidly rise not only relative to the pre-SSW levels (by 4 km in high latitudes and by 1 km at 50°N),
284 but also relative to the tropical geopotential height levels. This Z gradient between the pole and tropics
285 is reversed to that observed in the pre-SSW period. That displays meridional temperature gradient
286 reversal in the stratosphere during the SSW development (Butler et al., 2015) and is not fully restored
287 after the event (compare the Z levels in January and March in Fig. 5). The midlatitude SSW effects are
288 known from many event analyses and in most cases they are associated with zonal asymmetry and
289 polar vortex split and displacements relative to the pole (Solomon et al., 1985; Allen et al., 1999; Yuan
290 et al., 2012; Chandran and Collins, 2014).

291 Since Fig. 5 represents a relatively narrow longitude interval (30–40°E), the vortex location and its
292 motions under influence of the wave-1 and wave-2 amplitude variations (Fig. 2d), are accompanied by
293 concurrent displacements of midlatitude air mass that is observed in the local variability of the
294 atmospheric parameters. At the upper stratosphere levels, the general Z increase during the SSW
295 relates to the stratopause elevation. At the same time, the vortex split, its meridional and zonal
296 migrations lead to the geopotential height oscillations. This is seen from the Z peaks in Fig. 5a and 5b
297 that appeared not only in the polar region, but also in the midlatitudes (black curve in Fig. 5b). Similar
298 oscillations reach the midlatitude mesosphere and are reflected in the enhanced variations of the CO
299 vertical profile over the Kharkiv site (thick part of the white curve in Fig. 4a). The dominated 5–8 day
300 periods of the oscillations are seen from the ridge and trough sequence along the white curve in Fig.
301 4a. This corresponds to the periodicity range in planetary waves propagated in the stratosphere and
302 mesosphere with the periods 5–12 days (Limpasuvan et al., 2016) or atmospheric normal modes with
303 periods 5, 10 and 16 days (Rüfenacht et al., 2016).

304 The SSW effects in geopotential height in February weaken in the lower stratosphere (Fig. 5c), in
305 agreement with zonal mean zonal wind U and zonal mean temperature T variations as shown in Fig. 6.
306 Figure 6 presents time–altitude sections at individual latitudes for February 2018 covered the altitude
307 range above the tropopause up to the stratopause. Zonal wind reversal is seen around 10 February from
308 polar to middle latitudes (90–50°N, contour -10 m s^{-1} in Fig. 6a–6d) and easterly velocities exhibit
309 two–three consecutive peaks during the SSW. These peaks are similar to 5–8 day oscillations observed
310 in other variables (Fig. 4 and Fig. 5) and associated with the polar vortex split and two sub-vortices
311 migrations due to changing wave activity noted above.

312 Zonal wind reversal extends through the stratosphere between the stratopause and tropopause in
313 the polar vortex region (80°N and 70°N, Fig. 6a and 6b). However, reverse process occurs only



314 between the middle and upper stratosphere at the vortex edge region (60°N, Fig. 6c), and weakens at
315 the middle latitude 50°N (Fig. 6d). This shows deeper penetration of easterly wind inside the polar
316 vortex (80°N and 70°N) than outside (50°N and 40°N). Note that the meridional tendency seen from
317 the sequence of individual latitudinal circles in Fig. 6 would be smoothed out or completely
318 disappeared when averaged over a wide mid-latitude (40–60°N) or high-latitude (60–80°N) zone.

319 Simultaneously, rapid warming in the polar stratosphere is observed since 10 February (Fig. 6f
320 and 6g). The stratopause temperature maximum in the polar region descends in the middle of February
321 (contour -30°C in Fig. 6f and 6g) and disappears later, leading to a nearly isothermal middle
322 atmosphere at about -35°C (see also Fig. 4d), in agreement with other observations (e.g. Manney et al.,
323 2009). As known, the SSW events are accompanied by stratopause descent to 30–40 km, by
324 stratopause breakdown and subsequent reformation at very high altitudes of about 70–80 km (Manney
325 et al., 2009; Chandran et al., 2011; Limpasuvan et al., 2016; Orsolini et al., 2017). Because our results
326 in Fig. 6 are limited at the upper level by 1 hPa at about 48 km altitude, the stratopause behaviour at
327 mesospheric altitudes could not be revealed. Stratopause descent, disappearing and recovery in
328 February–March 2018 are clearly observed also from the local midlatitude data in Fig. 4d.

329 Warming penetrates less deeply at lower latitudes (compare contour -50°C in Fig. 6f–6j). At
330 40°N, the zonally averaged T is relatively stable in vertical distribution before, during and after the
331 SSW (Fig. 6j) and only weak anomaly appears in zonal wind (Fig. 6e). Steady temperature maximum
332 at about 1–2 hPa (contour -30°C in Fig. 6j) demonstrates undisturbed stratopause at 40°N throughout
333 February. Therefore, the SSW influence on the midlatitude stratosphere in February 2018 weakened
334 significantly at 40°N.

335

336

337 5 Discussion

338

339 The observations of the major SSW effects in February 2018 in the NH midlatitude mesosphere by
340 microwave radiometer at the Kharkiv site, Northern Ukraine (50.0°N, 36.3°E), have been provided.
341 Using the CO molecule as tracer, westward mesospheric wind reverse at 70–85 km altitudes, below the
342 winter mesopause region, has been detected. The wind speed data have been retrieved from the
343 Doppler shift of the CO 115.27 GHz emission measured by microwave radiometer. A few ground-
344 based observations in the mesosphere by the same method have been undertaken at midlatitudes (Sect.
345 1). The microwave radiometer at Kharkiv with 115 GHz frequency allows reconstructing the CO
346 profiles and zonal wind from observation with one day time resolution. The zonal wind and CO profile
347 variability during the major SSW was compared with daily zonal wind, temperature and geopotential



348 height datasets from the ERA-Interim and NCEP–NCAR reanalyzes. The SSW started with the polar
349 vortex split around 10 February (Fig. 1), zonal wind reverse in the mesosphere (Fig. 4b) and
350 stratosphere (Fig. 2a, Fig. 4c and Fig. 6a–6d) and enhanced stratosphere warming (Fig. 2a and 2c) and
351 mesosphere cooling (Fig. 2a).

352 Among the most striking SSW manifestations over the midlatitude station in February 2018, there
353 were (i) zonal wind reversal throughout the mesosphere–stratosphere with weakened easterly anomaly
354 between the polar and middle latitudes, (ii) oscillations in the vertical CO profile, zonal wind and
355 geopotential height, (iii) stratopause disappearance and (iv) strong mesospheric CO and westerly peaks
356 at the start of the SSW recovery phase.

357 As noted in Sect. 1, CO abundance in the extratropical mesosphere increases in winter season due
358 to meridional and downward transport. CO accumulation results in formation of the CO layer with
359 sharp vertical gradient at its lower edge (Solomon et al., 1985; Shepherd et al., 2014). In Sect. 4, based
360 on the microwave radiometer observations, we have defined the lower CO edge at 6 ppmv and this
361 edge uplifted during the SSW by about 8 km (between 75 km and 83 km, thick part of the white curve
362 in Fig. 4a). This uplifting noticeably stands out against the pre- and post-SSW variations of the 6-ppmv
363 level occurring within 2–3 km (Fig. 4a). Mesospheric CO profile uplifting is usually associated with
364 the stratopause elevation during the SSW, when air, poor in CO, enters the mesospheric CO layer from
365 below (Kvissel et al., 2012; Shepherd et al., 2014). Similar ascending motions in the stratopause and
366 mesopause regions were observed in the 2013 SSW from nitric oxide (NO) and showed that the NO
367 contours deflected upwards throughout the mesosphere (Orsolini et al., 2017).

368 The stratopause elevation during the SSW is more pronounced in the polar region and the results
369 of Fig. 5 is evidence of midlatitude manifestation of similar processes. Geopotential heights Z in time–
370 latitude section at 1 hPa and 10 hPa in Fig. 5a and 5b show strongly increased levels poleward of 70°N
371 and moderately increased levels in the northern midlatitude (particularly, near the black line at 50°N).
372 This Z increase at 1 hPa is directly related to the stratopause elevation and the lower CO edge uplift in
373 Fig. 4a. As noted in Sect. 4, the polar geopotential height Z levels become higher than the tropical ones
374 that displays meridional temperature gradient reversal in the stratosphere during the SSW development
375 (Butler et al., 2015).

376 Elevation of the lower CO edge maximizes on 18–20 February (Fig. 4a), up to 10 days after the
377 zonal wind reversal in the stratosphere (Fig. 4c) and mesosphere (Fig. 4b). Similar time lag in the
378 planetary wave activity in the mesosphere was noted recently. A significant enhancement in wave-1
379 and wave-2 amplitudes near 95 km was found to occur after the wind reversed at 50 km, with
380 amplitudes maximizing approximately 5 days after the onset of the wind reversal (Stray et al., 2015).

381 The effects of zonal asymmetry can also play a role in the appearance of regional low-CO
382 anomaly. Large scale planetary waves can displace parcels of air meridionally over the large distance



383 at particular longitudes and any species having a latitude gradient should be influenced by such
384 transport process; this suggests that latitudinal displacements due to wave effects should dramatically
385 affect their local densities (Solomon et al., 1985). Therefore, polar vortex displacement can be an
386 additional cause of the CO decrease and CO profile uplift over Kharkiv in February 2018 in Fig. 4a.
387 As seen from Fig. 1, the vortex was shifted off pole to the North America sector (along meridian
388 $\sim 90^\circ\text{W}$) under the wave-1 influence and the increased wave-1 amplitude persisted in January and
389 February (Fig. 2d). Midlatitude air at opposite eastern longitudes approached the pole moving the CO-
390 poor parcels to the higher latitudes. As known, the strong vertical CO gradient in the winter
391 mesosphere is found at higher altitudes in the tropics than in the extratropics (Solomon et al., 1985;
392 Allen et al., 1999; Garcia et al., 2014). Then, poleward displacement of the low-latitude air masses is
393 accompanied by the CO abundance decrease and strong vertical CO gradient elevation at the higher
394 latitudes, as it is observed in Fig. 4a.

395 A similar wave-1 effect was observed during the 2003–2004 Arctic warming (Funke et al., 2009):
396 the vortex has shifted from the pole toward the western sector and mid-latitude air poor in CO filled
397 the eastern sector ($0\text{--}90^\circ\text{E}$) over $50\text{--}80^\circ\text{N}$ and even over the pole. Influence of meridionally
398 transported midlatitude low-CO air on the observed local negative anomalies of CO at the higher
399 latitudes was noted in Solomon et al. (1985) and Shepherd et al. (2014). Typically, wave 1 influence
400 on the polar vortex displacement is associated with forming a winter midlatitude anticyclone that
401 pushes the vortex off the pole (Solomon et al., 1985; Allen et al., 1999; Kvissel et al., 2012).

402 Note, that the large wave-1 amplitude in the middle stratosphere in January 2018 ($500\text{--}1500\text{ m}$,
403 Fig. 2d) indicates one of possible sources of the mesospheric warming in January 2018 (Fig. 2a). The
404 descent of warm mesospheric anomaly in the polar region, where the relatively homogeneous
405 conditions persist due to strong winter westerly jet ($\sim 50\text{--}100\text{ m s}^{-1}$) circulating in the polar night, looks
406 regular and continues during January–March down to the lower stratosphere (Fig. 2a). The mesosphere
407 warming in January was again replaced by strong cooling in active phase of SSW since February 10
408 creating alternating altitudinal sequence of warm and cool anomalies extended from the lower
409 stratosphere up to thermosphere (Fig. 2a) in consistency with many observations (Zhou et al., 2002;
410 Orsolini et al., 2010; Shepherd et al., 2014; de Wit et al., 2014; Zülicke et al., 2018).

411 Variations in wave amplitudes (Fig. 2d) are a possible cause of the oscillations in CO, zonal wind
412 and geopotential height described in Sect. 4. These 5–8 day oscillations are observed not only from
413 local data (Fig. 4a and Fig. 5), but also from zonal means (Fig. 6a–6c), in confirming the existence of a
414 large-scale process. Similar periodicity is observed in January–February 2018 from eddy heat flux at
415 the 50-hPa level (not shown; see e.g. https://acd-ext.gsfc.nasa.gov/Data_services/met/ann_data.html).
416 The simulations made by Limpasuvan et al. (2016) shows that PW forcing by westward propagating
417 waves with zonal wave number 1 dominates above 70 km in the winter hemisphere. Our results



418 indicate important role of wave 2 in the SSW forcing in February 2018 (Fig. 4), in consistency with
419 previous studies of the vortex splitting events in the SSW. The role of planetary wave spectrum in
420 enhanced variability of vertical CO profile during the SSW deserves further analysis using
421 observational and model data. In March 2018, after the SSW, vertical CO profile along with the
422 temperature maximum at the stratopause at about 50–60 km were re-established (Fig. 4a and 4d,
423 respectively) according to the recovery phase following the SSW (Shepherd et al., 2014; Limpasuvan
424 et al., 2016).

425 The SSW recovery phase in the mesosphere started with the short-term but anomalously high
426 peaks in the local CO and westerly wind in early March (Fig. 4a and 4b). These peaks reached the
427 highest values in daily variations of CO and zonal wind over the three months of the observations
428 (January–March). Note that the warm local anomaly $\sim 10\text{K}$ appeared in the mesosphere at 70–90 km in
429 the early March (arrow in Fig. 7). By analogy with the low-CO episode in February discussed above,
430 the high-CO peak in early March 2018 could be caused by a combination of processes: (a) re-
431 establishment of the westerly and midlatitude anticyclone weakening leading to (b) displacement of
432 polar air mass over the observation site toward equator that brings higher CO level into the
433 midlatitudes and (c) in contrast to ascent motions in the active phase of the SSW, descent of CO-rich
434 air takes place in the recovery phase. Enhanced mesospheric descent and peak in westerly velocity at
435 the start of recovery phase were noted in Kvissel et al. (2012) Shepherd et al. (2014) and Orsolini et al.
436 (2017).

437 On the seasonal time scale, the lower CO edge at 6 ppmv gradually rises in January–March 2018
438 by approximately 3 km (dashed white line in Fig. 4a). This seasonal tendency can be explained by its
439 annual cycle, considering that the strong vertical CO gradient in the NH mesosphere takes the lowest
440 altitudes in winter and the highest altitudes in summer (Garcia et al., 2014).

441

442

443 **6 Conclusions**

444

445 The impact of a major sudden stratospheric warming (SSW) in February 2018 on the mid-latitude
446 mesosphere was investigated using microwave radiometer measurements in Kharkiv, Ukraine (50.0°N,
447 36.3°E). The zonal wind reversal during the SSW has been revealed below the winter mesopause
448 region at 70–85 km altitudes during the SSW using the carbon monoxide profiles. The reverse of the
449 mesospheric westerly from about 10 m s^{-1} to easterly wind about -10 m s^{-1} around 10 February has
450 been registered. The data from the ERA-Interim and NCEP–NCAR reanalyses and the Aura MLS
451 temperature profiles have been used for analysis of stratosphere–mesosphere behavior under the SSW
452 conditions. Our local microwave observations in the NH midlatitude combined with the reanalysis data



453 show wide ranges of daily variability in CO, zonal wind, temperature and geopotential height in the
454 mesosphere and stratosphere during the SSW 2018.

455 Among the most striking manifestations of the SSW 2018 are the following: (i) oscillations in the
456 vertical CO profile, zonal wind and geopotential height, (ii) stratopause disappearance at the SSW
457 onset (iii) strong CO and westerly peaks at the start of the SSW recovery phase, and (iv) progressively
458 weakened easterly wind and temperature anomalies in the stratosphere between the polar and middle
459 latitudes, almost disappearing at 40°N. The observed CO variability can be explained by vertical and
460 horizontal air mass redistribution due to planetary wave activity with replacement of the CO-rich air
461 by CO-poor air and vice versa, in agreement with other studies. Microwave observations show that
462 sharp altitudinal CO gradient below the mesopause could be used to define the lower edge of the CO
463 layer and to evaluate oscillation and significant elevation of the lower CO edge during the SSW and its
464 trend on seasonal time scale.

465 The presented results of microwave measurements of CO and zonal wind in the midlatitude
466 mesosphere at 70–85 km altitudes, which still not adequately covered by ground-based observations
467 (Rüfenacht et al., 2018), are suitable for evaluating and potentially improving atmospheric models.
468 Simulations show that PW forcing by westward propagating waves with zonal wave number 1
469 dominates above 70 km in the winter hemisphere (Limpasuvan et al., 2016). Consistent with previous
470 studies of the vortex splitting events during SSWs, our results indicate the important role of wave 2 in
471 the dynamics of the February 2018 SSW. In reanalysis data sets, the low-order zonal waves are well-
472 resolved (Lu et al., 2015) but are usually represented in the wind field of the upper stratosphere and
473 lower mesosphere through the quasi-geostrophic approximation using satellite radiance measurements
474 (e.g. Duruisseau et al., 2017). The validity of the quasi-geostrophic approximation can break down in
475 strong wave events (Ern et al., 2016; Martineau et al., 2018). Wind measurements using the CO layer
476 provides a further means to evaluate the validity of the modelled winds. Furthermore, by combining
477 the measurements with ray tracing of gravity wave propagation (e.g. Kogure et al., 2018), this type of
478 measurement may provide particular insights into wave-mean flow interactions, particularly where
479 local temperature inversions alter gravity wave filtering (Hocke et al., 2018; Fritts et al., 2018). Our
480 observation of variability of the CO layer during the SSW deserves further study, particularly in
481 relation to the implications for modelling of wave dynamics and vertical coupling (Ern et al., 2016;
482 Martineau et al., 2018) and chemical processes (Garcia et al., 2014) in the mesosphere.

483

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485 *Conflict of Interest.* The authors declare that the research was conducted in the absence of any
486 commercial or financial relationships that could be construed as a potential conflict of interest.

487



488 *Author contributions.* GM coordinated and led the efforts for this manuscript. VS initiated the
489 microwave measurements during the SSW event in Kharkiv. VS, DS, VM and AA developed
490 equipment and provided microwave measurements with data processing by AP and DS. GM, VS, YW,
491 OE, AK, and AG analyzed the results and provided interpretation. GM, VS, OE, AK, and WH wrote
492 the paper with input from all authors.

493

494

495 *Acknowledgements.* This work was supported in part by the Institute of Radio Astronomy of the
496 National Academy of Sciences of Ukraine; by Taras Shevchenko National University of Kyiv, project
497 19BF051-08; by the College of Physics, International Center of Future Science, Jilin University,
498 China. The microwave radiometer data have been processed using ARTS and Qpack software
499 packages (<http://www.radiativetransfer.org/>). NCEP–NCAR reanalysis data were provided by the
500 NOAA/OAR/ESRL PSD, Boulder, Colorado, USA, from their Web site at
501 <http://www.esrl.noaa.gov/psd/>. Daily datasets from ERA-Interim reanalysis of European Centre for
502 Medium-Range Weather Forecast (ECMWF) were downloaded from
503 <https://www.ecmwf.int/en/forecasts/datasets/archive-datasets/reanalysis-datasets/era-interim>. The Aura
504 Microwave Limb Sounder (MLS) measurements of the air temperature were used from
505 <https://mls.jpl.nasa.gov/data/readers.php>.

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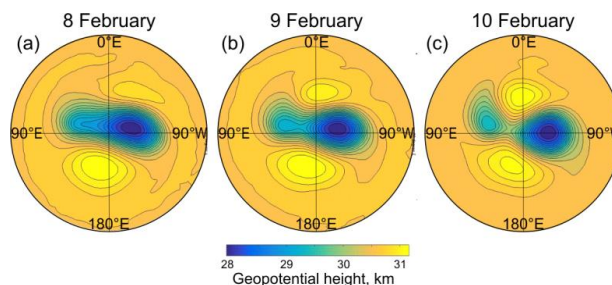
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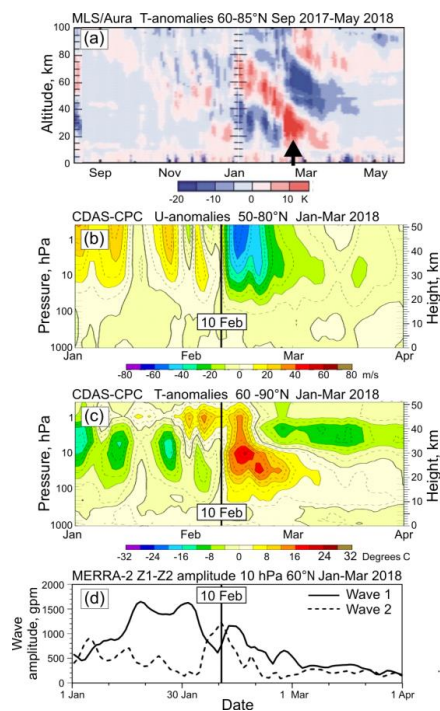
730 **Figure 1.** The polar vortex split at the 10-hPa pressure level during the SSW event in February 2018.

731 Geopotential heights are calculated from ERA-Interim reanalysis data.

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737 **Figure 2.** The development of the SSW in 2018 from the Northern polar stratosphere characteristics:

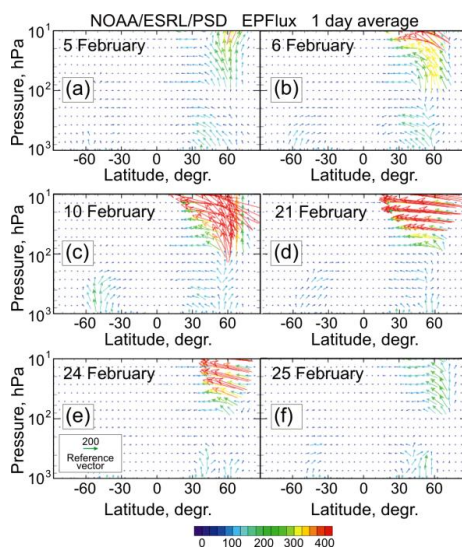
738 (a) Aura MLS temperature anomalies in late August 2017–May 2018 at polar cap 60–85°N

739 (climatology 2005–2017), (b) zonal mean zonal wind anomalies and (c) zonal mean temperature

740 anomalies in January–March by NOAA NWS CPC data at



741 <http://www.cpc.ncep.noaa.gov/products/stratosphere/strat-trop/> (climatology 1981–2010) and (d) zonal
742 wave-1 and wave-2 amplitudes in geopotential height at 10 hPa, 60°N, by the NASA GSFC ACP
743 statistics at https://acd-ext.gsfc.nasa.gov/Data_services/met/ann_data.html.
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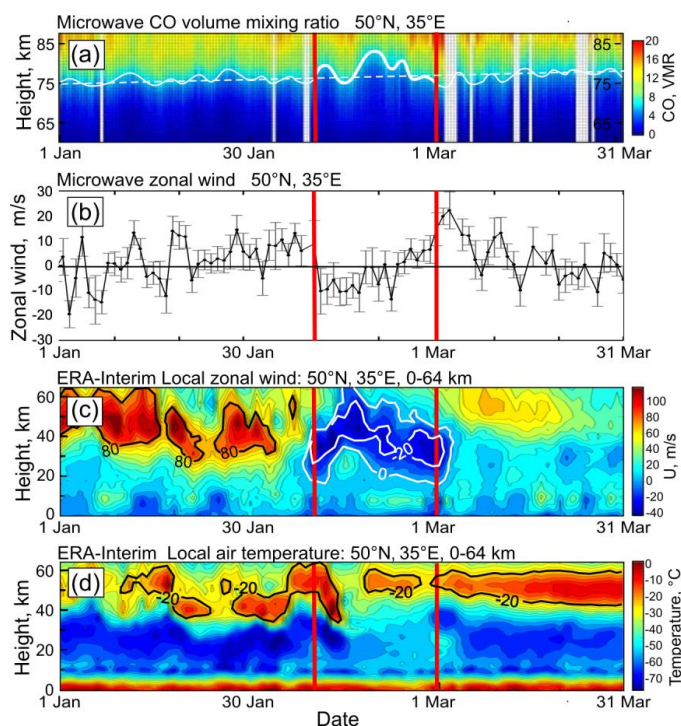


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747 **Figure 3.** The changing NH wave activity in February 2018 by EP-flux data. From the NCEP–NCAR
748 reanalysis at <https://www.esrl.noaa.gov/psd/data/epflux/>.

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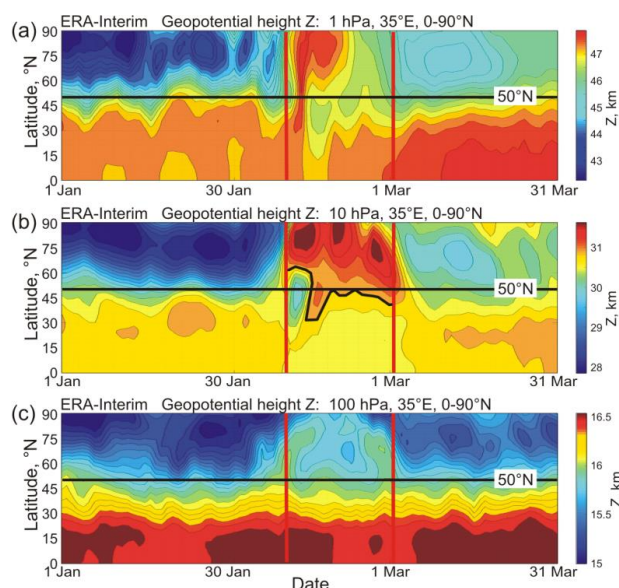


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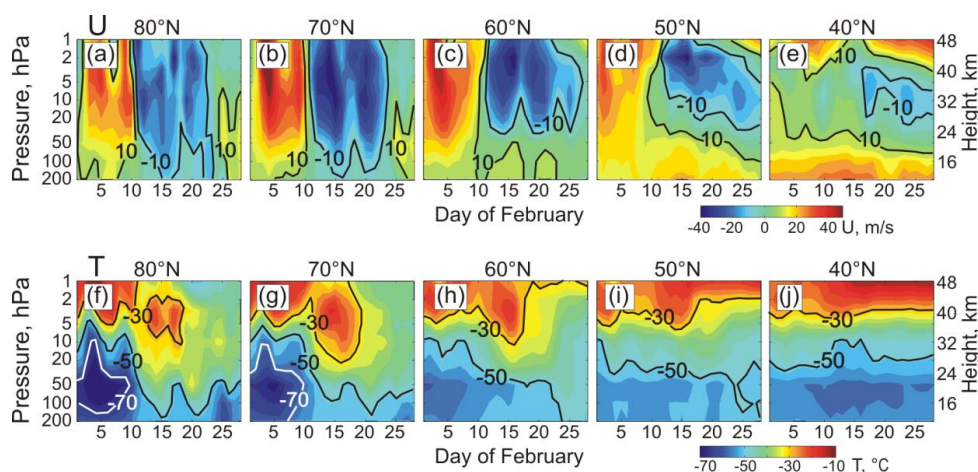
752 **Figure 4.** Mesospheric (a) CO profiles and (b) zonal wind microwave measurements over Kharkiv
753 (averaged in altitude range 70–85 km, vertical bars are standard deviations) compared to (c) time-
754 altitude local zonal wind, and (d) time-altitude local air temperature changes. Plots (c) and (d) are from
755 the ERA-Interim reanalysis data averaged over longitudes 33.75–38.25°E and latitudes 48.00–52.25°N
756 centered at the Kharkiv microwave radiometer site (35°E, 50°N). Time interval of significant
757 variations in the atmosphere parameters due to the SSW event (from 10 February to 1 March, 2018) is
758 bounded by red vertical lines.

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Figure 5. (a, b and c) Time–latitude variations in the NH geopotential heights Z at 1 hPa, 10 hPa, and 100 hPa, respectively, averaged in the 30–40°E longitudinal segment centered at the Kharkiv site longitude (35°E). From ERA-Interim reanalysis. The site latitude 50°N is marked by black solid line.



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Figure 6. Variations of the zonally averaged vertical distribution of the stratospheric (a–e) zonal wind U and (f–j) air temperature T between the stratopause and tropopause (1–200 hPa pressure levels) in

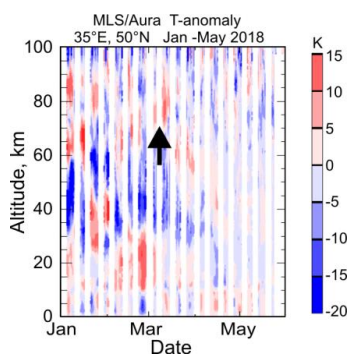


772 February 2018. The NH latitudes 80°, 70°, 60°, 50°, and 40° are presented. From ERA-Interim

773 reanalysis.

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778 **Figure 7.** Air temperature anomalies over midlatitude station Kharkiv (35°E, 50°N) in January–May

779 2018 from the Aura MLS data (<https://mls.jpl.nasa.gov/data/readers.php>). Climatology 2005–2017 was

780 used.

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