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2 **Observation of absorbing aerosols above clouds over the South-**  
3 **East Atlantic Ocean from the geostationary satellite SEVIRI**  
4 **Part 1: Method description and sensitivity**

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14 **Abstract**

15  
16 High temporal resolution observations from satellites have a great potential for studying the  
17 impact of biomass burning aerosols and clouds over the South East Atlantic Ocean (SEAO).  
18 This paper presents a method developed to retrieve simultaneously aerosol and cloud properties  
19 in aerosol above cloud conditions from the geostationary instrument Meteosat Second  
20 Generation/Spinning Enhanced Visible and Infrared Imager (MSG/SEVIRI). The above-cloud  
21 Aerosol Optical Thickness (AOT), the Cloud Optical Thickness (COT) and the Cloud droplet  
22 Effective Radius (CER) are derived from the spectral contrast and the magnitude of the signal  
23 measured in three channels in the visible to shortwave infrared region. The impact of the  
24 absorption from atmospheric gases on the satellite signal is corrected by applying  
25 transmittances calculated using the water vapour profiles from a Met Office forecast model.  
26 The sensitivity analysis shows that a 10% error on the humidity profile leads to an 18.5% bias  
27 on the above-cloud AOT, which highlights the importance of an accurate atmospheric  
28 correction scheme. *In situ* measurements from the CLARIFY-2017 airborne field campaign are  
29 used to constrain the aerosol size distribution and refractive index that is assumed for the  
30 aforementioned retrieval algorithm. The sensitivities in the retrieved AOT, COT and CER to  
31 the aerosol model assumptions are assessed. Between 09:00-15:00 UTC, an uncertainty of 40%  
32 is estimated on the above-cloud AOT, which is dominated by the sensitivity of the retrieval to  
33 the single scattering albedo. The absorption AOT is less sensitive to the aerosol assumptions  
34 with an uncertainty generally lower than 17% between 09:00-15:00 UTC. Outside of that time  
35 range, as the scattering angle decreases, the sensitivity of the AOT and the absorption AOT to  
36 the aerosol model increases. The retrieved cloud properties are only weakly sensitive to the  
37 aerosol model assumptions throughout the day, with biases lower than 6% on the COT and 3%  
38 on the CER. The stability of the retrieval over time is analysed. For observations outside of the  
39 backscattering glory region, the time-series of the aerosol and cloud properties are physically  
40 consistent, which confirms the ability of the retrieval to monitor the temporal evolution of  
41 aerosol above cloud events over the SEAO.  
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## 43 **1. Introduction**

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45 The South East Atlantic Ocean (SEAO) provides a natural laboratory for analysing the full  
46 range of aerosol-cloud-radiation interactions. During the fire season, large amounts of particles  
47 from African biomass burning are transported above the semi-permanent deck of stratocumulus  
48 covering this oceanic region. As a result, an important contrast is expected in the Direct  
49 Radiative Effect (DRE) of aerosols (i.e. the direct impact of aerosol scattering and absorption  
50 of radiation). On one hand, the aerosol scattering above the ocean typically increases the local  
51 albedo which leads to a negative DRE at the top of the atmosphere. On the other hand, the sign  
52 of the DRE above clouds depends on the underlying cloud albedo and the aerosol absorption.  
53 Positive instantaneous DRE of up to  $+130\text{W m}^{-2}$  has been observed by satellite instruments  
54 over the SEAO (De Graaf et al., 2012; Peers et al., 2015). There are many poorly constrained  
55 variables, such as the aerosol and cloud properties, vertical structure of aerosol and clouds  
56 (Peers et al., 2016), which result in a large spread in the DRE derived from climate models in  
57 this region (Zuidema et al., 2016). In addition, the absorption of radiation by aerosols leads to  
58 a modification of the atmospheric stability and consequently on the formation, development  
59 and dissipation of clouds, i.e. semi-direct effect. Studies have shown that the overlying African  
60 biomass burning aerosols are associated with a cloud thickening (Wilcox, 2010 & 2012). This  
61 negative semi-direct effect partly compensates the positive DRE of aerosols above clouds over  
62 the SEAO. However, as an aerosol plume moves away from the coast and descends into the  
63 boundary layer, the heat due to the aerosol absorption could lead to a reduction of the cloud  
64 thickness (Koren et al., 2004). Biomass burning particles may also have indirect effects through  
65 their interactions with cloud droplets, leading to a modification of the microphysics of the  
66 cloud, its lifetime and precipitations (Twomey, 1974; Rosenfeld, 2000). Recent model studies  
67 (Gordon et al., 2018; Lu et al., 2018) suggest that the semi-direct and indirect effects of aerosols  
68 dominate the DRE over the SEAO, leading to a regional cooling.

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70 Until recently, there has been a relative dearth of observations of biomass burning above clouds  
71 as passive sensor retrievals of aerosol and cloud are generally mutually exclusive. In past  
72 studies, biases in cloud properties derived from passive shortwave measurements were  
73 expected because the impact of aerosol absorption above clouds was not taken into account in  
74 the retrievals (Haywood et al., 2004). Over the last decade, techniques have been developed  
75 for the observation of aerosols above clouds. POLDER (Polarization measurements from  
76 POLarization and Directionality of the Earth's Reflectances) has been used to detect aerosols  
77 above clouds and to characterize the aerosol and the cloud layers by exploiting the sensitivity  
78 in polarized measurements (Waquet et al., 2013a & 2013b; Peers et al., 2015). In the case of  
79 fine mode absorbing aerosols overlying clouds, the absorption Ångström exponent leads to a  
80 greater impact on radiances reflected by the clouds at shorter wavelengths than longer ones  
81 (De Graaf et al., 2012; Torres et al., 2012). The “colour-ratio” approach has been applied to  
82 OMI (Ozone Monitoring Instrument - Torres et al., 2012) and MODIS (Moderate Resolution  
83 Imaging Spectroradiometer - Jethva et al., 2013) to simultaneously retrieve the aerosol and the  
84 cloud optical thicknesses over the SEAO. Using a similar technique, the MODIS retrieval  
85 developed by Meyer et al. (2015) takes advantage of the 6 channels of the instrument from the

86 UV to the Short-Wave Infra-Red (SWIR) to characterize not only the aerosol and cloud optical  
87 thicknesses, but also the cloud droplet effective radius. For the first time, these studies have  
88 provided large-scale observations of aerosols above clouds in the SEAO. However, these  
89 approaches have been applied to satellite instruments on polar-orbiting platforms that provide  
90 only two observations per day for MODIS (on the Aqua and Terra platforms) and one for OMI  
91 and POLDER. The cloud cover over the SEAO has an important diurnal cycle which modulates  
92 the DRE of aerosols during the day (Min and Zhang, 2014). Therefore, the study of the SEAO  
93 cloud and above-cloud aerosol optical properties would benefit from the high temporal  
94 resolution observations provided by geostationary satellite platforms.

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96 Chang and Christopher (2016) have highlighted the ability of SEVIRI (Spinning Enhanced  
97 Visible and Infrared Imager) to identify absorbing aerosols above clouds at high temporal  
98 resolution. The instrument is on board the geostationary satellite MSG (Meteosat Second  
99 Generation) and provides a full-disc observation every 15 minutes, offering a unique  
100 opportunity to monitor the evolution of the cloud cover and to track aerosol plumes over the  
101 SEAO. The objective of this two-part paper is to demonstrate the potential of this instrument  
102 to retrieve simultaneously aerosol and cloud properties in the case of absorbing aerosols above  
103 clouds. In this first contribution, we describe the approach used to derive the above-cloud  
104 Aerosol Optical Thickness (AOT), the Cloud Optical Thickness (COT) and the Cloud droplet  
105 Effective Radius (CER) and discuss the accuracy of the retrievals. The algorithm, as well as  
106 the atmospheric correction scheme and the assumed aerosol model, are presented in Section 2.  
107 The sensitivities in the retrieved quantities to the water vapour profile and the aerosol property  
108 assumptions are assessed in Section 3. The evaluation of the stability of the retrieval is shown  
109 in Section 4 and conclusions are drawn in Section 5. In a second companion paper, we will  
110 compare our SEVIRI-based retrievals of cloud and aerosol properties with those from MODIS  
111 products (Meyer et al., 2015) more comprehensively and also compare against *in situ* aircraft  
112 observations from the CLARIFY-2017 field campaign.

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## 114 **2. Retrieval method**

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### 116 **a. Principle**

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118 The approach used to retrieve aerosol and cloud properties from satellite spectral radiance  
119 measurements relies on the colour-ratio effect (Jethva et al., 2013). The signal backscattered  
120 by a liquid cloud is almost spectrally neutral from the UV to the Near Infra-Red (NIR). On the  
121 other hand, the absorption from biomass burning aerosols is typically larger at shorter  
122 wavelengths. Therefore, the presence of absorbing aerosols above clouds modifies the apparent  
123 colour of clouds. This enhancement of the spectral contrast can be detected by any passive  
124 remote sensing instrument with two channels with enough separation in the UV/NIR region.  
125 The SEVIRI instrument, aboard the MSG satellite (Aminou et al., 1997), has channels centred  
126 at 0.64, in the visible, and at 0.81  $\mu\text{m}$ , in the NIR. Figure 1 plots the 0.81  $\mu\text{m}$  radiance ( $R_{0.81}$ )  
127 against the ratio of the 0.64 to 0.81  $\mu\text{m}$  radiances ( $R_{0.64}/R_{0.81}$ ), for absorbing aerosols above  
128 clouds over an ocean surface for several aerosol and cloud optical thicknesses. Throughout this

129 paper, the radiances  $R$  refer to the normalized quantity as defined by Herman et al. (2005) and  
130 the optical thicknesses (i.e. AOT, COT) are given at  $0.55\mu\text{m}$ . The simulations have been  
131 performed with the adding-doubling method (De Haan et al., 1987), considering a viewing  
132 geometry of  $20^\circ$  for the solar zenith angle,  $50^\circ$  for the viewing zenith angle and  $140^\circ$  for the  
133 relative azimuth. The cloud is located between 0 and 1 km and the aerosol layer is between 2  
134 and 3 km. Aerosols have a refractive index of  $1.54 - 0.025i$  and the size distribution follows a  
135 lognormal with a geometric mean radius of  $0.1\mu\text{m}$ . The cloud droplets have an effective radius  
136 of  $10\mu\text{m}$ . Rayleigh scattering has been accounted for but the simulations do not include the  
137 absorption from atmospheric gases. A Lambertian surface with an albedo of 0.05 is assumed.  
138 For  $\text{AOT} = 0$ , the radiance ratio is around 1 and weakly depends on the COT. As the AOT  
139 increases, the radiance at  $0.81\mu\text{m}$  as well as the radiance ratio decreases, indicating that the  
140 attenuation from the aerosol layer is larger at  $0.64\mu\text{m}$ . This attenuation is mainly due to the  
141 absorption from the aerosol layer, which means that it is primarily correlated to the Absorption  
142 AOT (AAOT).

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144 As in the Nakajima and King technique (1990), the sensitivity of the retrieval to the CER is  
145 brought by the Short-Wave Infra-Red (SWIR) channel of SEVIRI, centred at  $1.64\mu\text{m}$ . Figure  
146 2 shows the radiances at  $0.81$  and  $1.64\mu\text{m}$  for several COT and CER as well as the impact of  
147 overlying absorbing aerosols. The simulations without aerosol are plotted in blue and represent  
148 the signal typically used by cloud property retrievals that do not include light absorption from  
149 overlying aerosols. The orange and red grids are associated with an AOT of 0.5 and 1.5 at  
150  $0.55\mu\text{m}$ . Compared to the no-aerosol case, these grids are shifted towards the upper left, which  
151 means that the presence of aerosols decreases the NIR radiance and increases in the SWIR  
152 signal. As highlighted by Haywood et al. (2004), not taking into account the aerosol absorption  
153 above clouds leads to low biases in both the COT and the CER. These biases depend on the  
154 aerosol loading as well as on the brightness of the underlying cloud.

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156 Although the aerosol microphysical properties have some influence on the signal measured by  
157 satellites, this kind of approach requires us to assume an aerosol model. Fundamentally, the  
158 algorithm developed here aims to retrieve the above-cloud AOT, the COT and the CER from  
159 the magnitude and the gradient of the radiances measured by SEVIRI at  $0.64$ ,  $0.81$  and  $1.64$   
160  $\mu\text{m}$  using a basic Look Up Table (LUT) approach and appropriate assumptions about the  
161 aerosol model for the region (Haywood et al., 2003) that have been refined based on  
162 measurements from the CLARIFY-2017 observational campaign (Zuidema et al., 2016).

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## 164 **b. Atmospheric correction**

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166 The SEVIRI channels chosen for the retrieval are fairly standard in atmospheric science and  
167 have been widely used for aerosol and cloud analysis (e.g. Brindley and Ignatov, 2006;  
168 Thieuleux, et al. 2005; Watts et al., 1998). However, the SEVIRI bandwidths are much larger  
169 than other state-of-the-art instruments such as MODIS. Hence, SEVIRI radiances are  
170 significantly more impacted by the absorption from various atmospheric gases. The spectral  
171 response functions for the  $0.64$ ,  $0.81$  and  $1.64\mu\text{m}$  SEVIRI channels are plotted in Figure 3

172 together with the equivalent MODIS bands. The main absorbing gases in these spectral bands  
 173 are ozone, water vapour, methane and carbon dioxide; gases which are typically produced and  
 174 transported within biomass burning plumes (Browell et al., 1996; Koppmann et al., 2005). The  
 175 contributions of each gas to the atmospheric absorption are also shown in Figure 3 and the two-  
 176 way transmittances (i.e. from the top of the atmosphere to the cloud top and from the cloud top  
 177 to the top of the atmosphere) weighted by the spectral response function have been calculated.  
 178 For sake of simplicity, the two-way transmittances will be referred to as transmittances.  
 179 Although the MODIS bandwidths are narrower than the SEVIRI ones, the weighted  
 180 transmittances are similar for the 0.64 and 1.64  $\mu\text{m}$  channels. In the NIR, the MODIS central  
 181 wavelength (0.86  $\mu\text{m}$ ) is slightly larger than for SEVIRI (0.81  $\mu\text{m}$ ) and the spectral band is  
 182 only weakly impacted by the humidity, with a weighted transmittance of 0.989. Within the  
 183 SEVIRI band, water vapour absorption is much higher, with a transmittance of 0.931. As a  
 184 result, humidity has an impact on the spectral contrast between the VIS and the NIR, and  
 185 therefore, on the above-cloud AOT retrieval. The atmospheric correction, especially for the  
 186 water vapour, is essential to accurately retrieve the aerosol and cloud properties from SEVIRI.  
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188 In order to correct the SEVIRI measurements for atmospheric absorption, the transmittances  
 189  $T_{\text{atm},\lambda}$  are calculated for each spectral band  $\lambda$  from the cloud top height to the top of the  
 190 atmosphere using the fast-radiative transfer model RTTOV (Matricardi et al., 2004; Hocking  
 191 et al., 2014). The cloud top height is derived from the Met Office cloud property algorithm  
 192 which uses the 10.8, 12.0 and 13.4  $\mu\text{m}$  channels of SEVIRI (Francis et al., 2008, Hamann et  
 193 al., 2014). Water vapour profiles come from the operational forecast configuration of the global  
 194 Met Office Unified Model (Brown et al., 2012). This forecast is assimilated according to the  
 195 scheme described by Clayton et al. (2013) that uses humidity data from various sources,  
 196 including radiosondes and remote sensing sounding data from many meteorological satellites.  
 197 The forecast is run every 6 hours and the humidity profile used for the atmospheric correction  
 198 comes from the latest time-appropriate forecast field available. The profiles of the remaining  
 199 gases - including ozone, carbon dioxide and methane - are those implicitly assumed by the  
 200 RTTOV calculations (Matricardi, 2008). The radiance measured by SEVIRI  $R_{\text{atm},\lambda}$  is finally  
 201 corrected using:

$$R_{\text{atm},\lambda} = T_{\text{atm},\lambda} R_{\lambda} \quad (1)$$

202 where  $R_{\lambda}$  is the radiance corrected from the gaseous absorption.  
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### 204 **c. Aerosol model**

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 206 The choice of the aerosol microphysical properties to use for the retrieval is similar to that of  
 207 Haywood et al (2003), but based on more comprehensive *in situ* measurements acquired during  
 208 the CLARIFY-2017 field campaign. The Facility for Airborne Atmospheric Measurements  
 209 (FAAM) BAe 146 aircraft was deployed in August-September 2017 operating from Ascension  
 210 Island, with a main objective of studying biomass burning aerosol interactions with both  
 211 radiation and clouds over the SEAO. This analysis focuses on flight C050, performed on 04  
 212 September, 2017. A profile descent from 7.3 to 1.9 km altitude was performed in order to  
 213 sample the aerosol layer above clouds.

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The aerosol dry extinction and absorption were measured with the EXSCALABAR instrument (EXtinction, SCattering and Absorption of Light for AirBorne Aerosol Research), which consists of a series of cavity ring-down and photoacoustic absorption cells operating at different wavelengths (Davies et al., 2018). From these *in situ* measurements, the Single Scattering Albedo (SSA) has been calculated at the instrument wavelengths of 405 and 658 nm. The uncertainty in SSA calculations are related to the corresponding uncertainties in the extinction and absorption coefficients measured by EXSCALABAR. This error analysis has been performed previously and the reader is directed to Davies et al. (2019). Briefly, the measured extinction has an accuracy of ~2%, and we use a 2% extinction uncertainty in the analysis here. The errors in absorption measurements using photoacoustic spectroscopy depend on uncertainties in the ozone calibration, microphone pressure dependence and the background response from laser scattering/absorption on the windows of the photoacoustic cell. We have shown in recent publications that our calibration uncertainties are ~5% (Cotterell et al. 2019; Davies et al. 2018), and the uncertainty in the pressure-dependent microphone response is 1.2% (Davies et al. 2019). The background response from laser-window interactions is from 0.27 and 0.54  $\text{Mm}^{-1}$ . Thus, the total absorption uncertainty, propagating all the above uncertainties, is absorption-dependent and ranges from 29.0 – 55.0 % (dependent on PAS measurement wavelength) at 1  $\text{Mm}^{-1}$  and 8.1 % at 100  $\text{Mm}^{-1}$  (independent of PAS measurement wavelength). We propagated these total measurement uncertainties for both extinction and absorption measurements to derive the standard deviation  $\sigma$  in our calculated SSA values. We find that the mean SSA uncertainties are 0.013 and 0.018 at the measurement wavelengths of 405 and 658 nm respectively.

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The aerosol size distribution was characterized between 0.05 and 1.50  $\mu\text{m}$  radius using a wing-mounted Passive Cavity Aerosol Spectrometer Probe (PCASP). Before and after the campaign, the bin sizes of the PCASP were calibrated using aerosolized diethylhexyl sebacate and polystyrene latex of known size and refractive index (Rosenberg et al., 2012). Further Mie-scattering theory based calculations are performed in order to determine the bin sizes at the refractive index of the biomass burning aerosol sample. Partial evaporation of water is expected in the PCASP due to the heating of the probe, which may decrease the aerosol size. However, the sonde dropped during the flight indicates an average relative humidity above clouds of 29.2% with a maximum of 38.6%. According to Magi and Hobbs (2003), the light scattering coefficient of an aged African biomass burning plume only increases by a factor of 1.01 for a relative humidity of 40%. For this reason, the impact of humidity on the PCASP and EXSCALABAR measurements is neglected. Three sources of errors have been taken into account on the PCASP measurements: the error on the bin concentration is calculated according to Poisson counting statistics, the sample flow rate error is assumed to be 10% and a bin edge calibration error of half a bin has been considered.

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The aerosol properties needed for the SEVIRI retrieval include the size distribution and the complex refractive index. The normalized number size distribution ( $dN/d\ln r$ ) is commonly represented by a combination of lognormal modes:

$$\frac{dN}{d \ln r} = \sum_i \frac{N_i}{\sqrt{2\pi}} \frac{1}{\ln \sigma_i} \exp \left[ \frac{-(\ln r_i - \ln r)^2}{2(\ln \sigma_i)^2} \right] \quad (2)$$

257 where  $N_i$ ,  $r_i$  and  $\sigma_i$  are the number fraction, the geometric mean radii and the standard deviation  
 258 of the mode  $i$ , respectively. As in most remote sensing applications, it has been chosen to  
 259 represent the particle size distribution for the aerosol during CLARIFY-2017 with a fine and a  
 260 coarse mode contributions. The aerosol optical properties are calculated using the Mie theory,  
 261 as the spherical approximation is expected to be valid for biomass burning particles from one  
 262 hour after being released in the atmosphere (Martins et al., 1998). The aerosol model is selected  
 263 by iteratively adjusting the refractive index and fitting the PCASP measurements (Fig. 4a) until  
 264 the aerosol model matches the SSA from EXSCALABAR (Fig. 4b). In order to obtain the most  
 265 suitable aerosol optical parameters for the retrieval, it is important to accurately fit the PCASP  
 266 measurements where the aerosols contribute the most to the SEVIRI signal. Each bin of the  
 267 PCASP has been assigned a weight for the fit of the bimodal distribution. The weights have  
 268 been calculated in a similar way to Haywood et al. (2003), which means that they are  
 269 proportional to the contribution of each bin to the total aerosol extinction in the 0.6  $\mu\text{m}$  band.  
 270 The bins corresponding to the 0.15 to 0.25  $\mu\text{m}$  radius range contribute to about 77% of the  
 271 extinction. Consequently, these bins have been assigned appropriate larger weights during the  
 272 fitting process of the size distribution. Due to the small fraction of coarse mode aerosols, the  
 273 standard deviation of this mode  $\sigma_{coarse}$  could not be reliably fitted and has been set to a value  
 274 of 2.23, which is within the same order of magnitude than the one assumed for absorbing  
 275 aerosol ( $\sim 2.12$ ) in the MODIS Dark Target operational algorithm (Levy et al., 2009).

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 277 The aerosol model that best represents the PCASP and EXSCALABAR measurements is  
 278 shown in blue on Figures 4a and 4b. A refractive index of 1.51-0.029i has been obtained,  
 279 associated with an SSA of 0.85 at 0.55  $\mu\text{m}$  which is within the range of SSA measured over  
 280 the SEAO during the SAFARI and the DABEX campaigns (Johnson et al., 2008) and on the  
 281 upper end of the values from Ascension Island reported by Zuidema et al. (2018). Regarding  
 282 the refractive index, it should be noted that the SSA is not very sensitive to the real part  
 283 suggesting that the value of 1.51 is not particularly well constrained. However, a real part of  
 284 1.51 is consistent with the AERONET retrievals for African biomass burning particles (Sayer  
 285 et al., 2014) and is adopted here. The best-fit size distribution is characterised by [ $r_{fine}$ ,  $\sigma_{fine}$ ,  
 286  $N_{fine}$ ;  $r_{coarse}$ ,  $\sigma_{coarse}$ ,  $N_{coarse}$ ] = [0.12 $\mu\text{m}$ , 1.42, 0.9996; 0.62 $\mu\text{m}$ , 2.23, 0.0004]. By way of  
 287 comparison, the 3-mode lognormal distribution obtained for aged biomass burning aerosols  
 288 during the SAFARI 2000 campaign (Haywood et al., 2003), defined by [ $r_1$ ,  $\sigma_1$ ,  $N_1$ ;  $r_2$ ,  $\sigma_2$ ,  $N_2$ ;  
 289  $r_3$ ,  $\sigma_3$ ,  $N_3$ ] = [0.12 $\mu\text{m}$ , 1.30, 0.996; 0.26 $\mu\text{m}$ , 1.50, 0.0033; 0.80 $\mu\text{m}$ , 1.90, 0.0007], is plotted in  
 290 orange on Figure 4a. The radius associated with the first mode is consistent with the CLARIFY-  
 291 2017 model. The absence of the second fine mode in this study is compensated by a larger  
 292 standard deviation for the fine mode. Finally, the radius of the CLARIFY-2017 coarse mode is  
 293 slightly smaller than the SAFARI-2000 one but the coarse mode fractions of the two models  
 294 are close to each other. The uncertainties on the aerosol properties have been estimated using  
 295 the errors on the PCASP and EXSCALABAR measurements. The uncertainty on the imaginary  
 296 part of the refractive index is 0.02 for the real part and 0.004 for the imaginary part. For the

297 size distribution, the uncertainty is 0.016 $\mu\text{m}$ , 0.09 and 0.00045 for radius, the standard  
298 deviation and the number fraction of the fine mode respectively.

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#### 300 **d. Algorithm**

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302 The algorithm relies on the comparison of the corrected SEVIRI signal at 0.64, 0.81 and 1.64  
303  $\mu\text{m}$  with precomputed radiances. The simulations have been performed using an adding-  
304 doubling radiative transfer code (De Haan et al., 1987). The surface is assumed to be  
305 Lambertian with an albedo of 0.05 at all wavelengths which is typical of the sea-surface albedo  
306 under diffuse radiation conditions. The aerosol and cloud properties assumed for the LUT are  
307 summarized in Table 1. The truncation of the cloud droplet phase function has been done using  
308 the delta-M method (Wiscombe, 1977) and the TMS correction (Nakajima and Tanaka, 1988)  
309 has been applied. The cloud layer is assumed to be located between 0 and 1 km and the aerosol  
310 layer between 2 and 3 km. The sensitivity of the algorithm to the altitudes of the aerosol and  
311 cloud layers is expected to be negligible due to the small contribution of the Rayleigh scattering  
312 to the signal at the SEVIRI wavelengths. We have evaluated the error due to the fixed aerosol  
313 and cloud altitudes to be lower than 2.5% on the AOT and 0.3% on the cloud properties. The  
314 cloud droplets are assumed to follow a gamma law distribution characterised by an effective  
315 variance of 0.06. When the cloud is optically thin and/or the cloud droplets are too small, it is  
316 not possible to separate the contribution to the optical signal arising from aerosols from that of  
317 clouds. Therefore, the minimum values for the CER and the COT in the LUT are 4  $\mu\text{m}$  and 3,  
318 respectively. This also justifies the assumption of a relatively simple sea-surface reflectance  
319 parameterisation as, at COTs exceeding 3, the sea-surface has little impact on the upwelling  
320 radiances above clouds. Clouds associated with lower COT and/or CER are rejected. The  
321 aerosol model corresponds to the CLARIFY-2017 model mentioned above, assuming the same  
322 refractive index at the 3 SEVIRI wavelengths.

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324 The retrieval of the above-cloud AOT, COT and CER is performed simultaneously. The result  
325 corresponds to the parameters that minimise the difference  $\varepsilon$  between the simulated radiances  
326  $R_{sim}$  and the corrected satellite signal  $R_\lambda$ :

$$\varepsilon = \sum_{\lambda} \left( \frac{R_{\lambda} - R_{sim,\lambda}}{R_{\lambda}} \right)^2 \quad (3)$$

327 When the simulated signal is not close enough to the satellite measurements (i.e.  $\varepsilon > 0.0006$ ),  
328 the result is rejected. The retrieval of the above-cloud AOT is highly uncertain at the cloud  
329 edges and for inhomogeneous clouds. In order to remove these results, the products are  
330 aggregated onto a  $0.1 \times 0.1^\circ$  grid and the standard deviation of the AOT and the CER are  
331 calculated. Note that each grid cell represents approximately 12 SEVIRI pixels. The  
332 inhomogeneity parameter  $\rho$  is defined by the ratio of the standard deviation of a parameter to  
333 the average value of this parameter. The results corresponding to a standard deviation of the  
334 AOT larger than 0.7 and/or  $\rho_{CER} > 0.2$  as well as grid cells associated with less than 9 successful  
335 retrievals are rejected.

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337 It is important to realise that the uncertainties that we quantify here are structural and  
338 parametric uncertainties related to assumptions made in the retrieval algorithm. When using a  
339 fixed aerosol model, no account is made for natural variability in the aerosol optical parameters  
340 and the associated uncertainty; this is dealt with in the uncertainty analysis that follows.  
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### 342 **3. Results and uncertainty analysis**

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#### 344 **a. Case study**

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346 The algorithm has been applied to an event of biomass burning aerosols above clouds captured  
347 by SEVIRI on 28 August 2017 at 10:12 UTC. The RGB composite, the retrieved above-cloud  
348 AOT, COT and CER over the SEAO region are shown in Figure 5. The largest AOT are  
349 observed off the coast of Angola, with a local average value of 1.0 and a maximum of 1.6 at  
350  $0.55 \mu\text{m}$ . The AERONET site of Lubango ( $14.96^\circ\text{S} - 13.45^\circ\text{E}$ ) measured an average AOT of  
351 0.75 that day with an Ångström exponent of 1.83, indicating the expected domination of fine  
352 mode biomass burning aerosols. A gradient of AOT is observed towards the south-west, as we  
353 move away from the source as might be expected from a pre-campaign analysis of satellite  
354 retrievals (Zuidema et al., 2016). Absorbing aerosols above clouds are also detected in the  
355 north-west part of the region. Around Ascension Island ( $7.98^\circ\text{S} - 14.42^\circ\text{W}$ ), the above-cloud  
356 AOT from SEVIRI is around 0.37 while the AERONET site indicates a value of 0.48 associated  
357 with an Ångström exponent of 1.271. This suggests that coarse mode aerosols, such as sea salt  
358 within the boundary layer but generally below cloud, are contributing to the total column  
359 aerosol load. The cloud properties retrieved are within the range of values typically observed  
360 for marine stratocumulus (Szczodrak et al., 2001) with more than 90 % of the COT lower than  
361 25 and 99 % of the CER between 4 and  $20 \mu\text{m}$ . As a comparison, Figure 6 shows the equivalent  
362 aerosol and cloud properties retrieved from MODIS-Terra with the MOD06ACAERO  
363 algorithm (Meyer et al., 2015) for the 10:00 and 11:30 UTC overpasses. The MODIS above-  
364 cloud AOT pixels associated with an uncertainty larger than 100% have been removed. A good  
365 spatial agreement is observed between the two satellites products. The above-cloud AOT from  
366 MODIS is also 1.0 on average close to the coast. On average over the area, the MODIS above-  
367 cloud AOT is larger by 0.05 compared to SEVIRI. Considering that MODIS is less sensitive  
368 to the atmospheric absorption and that the two algorithms are based on the same principle, the  
369 small differences observed between the two above-cloud AOT tend to validate the atmospheric  
370 correction applied on the SEVIRI measurements for that case. There is a good consistency  
371 between the MODIS and the SEVIRI COT. Finally, the CER retrieved with the  
372 MOD06ACAERO algorithm is larger by  $2.2 \mu\text{m}$  compared to the SEVIRI CER. This almost  
373 systematic difference is mainly due to differences in the satellite instruments, and especially,  
374 the difference in the channels used for the retrieval (Platnick, 2000). A fully statistical analysis  
375 against the MODIS algorithm, and against airborne remote sensing and *in situ* measurements  
376 will be presented in a companion paper.  
377

## 378 **b. Atmospheric correction**

379

380 The atmospheric transmittances above clouds used to correct the SEVIRI measurements from  
381 the gas absorption are calculated based on forecast water vapour profiles. In order to assess the  
382 sensitivity of the retrieval to the atmospheric correction, new transmittances have been  
383 calculated for the event studied here, modifying the specific humidity by +/-10%. The aerosol  
384 and cloud properties retrieved with the modified atmospheric corrections are aggregated on a  
385  $0.1 \times 0.1^\circ$  grid. Figure 7 compares the retrieved aerosol and cloud properties from SEVIRI-  
386 measured radiances using the original specific humidity forecast with the perturbed specific  
387 humidity (+10% in orange and -10% in blue). The uncertainty on the water vapour content  
388 impacts mainly the retrieval of the above-cloud AOT, and then the COT, because of its effect  
389 on the radiance ratio. A +10%/-10% bias on the humidity leads to an  
390 overestimation/underestimation of the AOT and COT respectively. On average, errors of  
391 18.5%, 5.5% and 2.3% have been calculated for the AOT, COT and CER respectively, based  
392 on biases of 10% in the specific humidity forecast. These errors are likely upper estimates  
393 because forecast errors in specific humidity are unlikely to reach these values owing to the  
394 extensive assimilation of satellite data and sonde profiles by the data assimilation process used  
395 in the Met Office forecast model as previously mentioned. However, the differences between  
396 forecast model specific humidities and those of simple standard atmosphere climatological  
397 values (e.g. those of McClatchey et al., 1972) frequently exceed 10%, indicating that accurate  
398 retrievals of aerosol and cloud need synergistic retrievals or data assimilated forecasts of  
399 specific humidity.

400

## 401 **c. Aerosol model**

402

403 The LUT used for the SEVIRI retrieval uses an assumed aerosol model based on *in situ*  
404 measurements from CLARIFY-2017. However, the absorption property and the size of  
405 biomass burning particles are expected to vary during the fire season and across the SEA0  
406 (e.g. Eck et al., 2003). Here, we analyse the impact of the aerosol assumptions on the retrieved  
407 aerosol and cloud properties.

408

409 In order to create a range of aerosol optical properties, a thousand aerosol models have been  
410 processed using the Mie theory. The radius and the standard deviation of the fine mode, and  
411 the real and imaginary part of the refractive index of the models are random values following  
412 a normal distribution. Their mean corresponds to the CLARIFY model values provided in  
413 Table 1, with standard deviations of  $0.01\mu\text{m}$  and 0.1 for the radius and the standard deviation  
414 of the fine mode, 0.02 for the real part of the refractive index and 0.008 for the imaginary part.  
415 Figure 8a and 8b show the histograms of the simulated SSA and asymmetry factor  $g$  at  $0.55$   
416  $\mu\text{m}$  in orange. As a comparison, histograms of the AERONET SSA and  $g$  are plotted in blue.  
417 The data corresponds to the AERONET level 2.0 retrievals for August-September, from 1997  
418 to 2018 and for inland sites of Southern Africa ( $10^\circ\text{S}$ – $35^\circ\text{S}$ ,  $10^\circ\text{E}$ – $40^\circ\text{E}$ ). Only data associated  
419 with an Ångström exponent larger than 1.0 have been used in order to remove measurements  
420 dominated by coarse mode particles (such as dust and sea salt) that are less likely to be observed

421 above clouds in the SEAO. The mean SSA (0.862) and the mean g (0.620) from AERONET  
422 are respectively slightly larger and smaller than the CLARIFY model. Small differences  
423 between above-cloud and full column aerosol properties could be explained by the contribution  
424 of aerosol within the boundary layer, such as pollution, desert dust and sea salt. The dashed  
425 lines in Figure 8a and 8b represent the mean +/- the standard deviation of SSA and g. The  
426 AERONET standard deviation is 0.023 for the SSA and 0.024 for g while the simulation  
427 produces a standard deviation of 0.036 for the SSA and 0.041 for g. The simulated range of  
428 both optical properties is larger than the range observed by AERONET. Therefore, the  
429 variation of the aerosol microphysical properties used for the simulations is wide enough to  
430 cover the range of observed aerosol optical properties.

431  
432 From the simulated standard deviation  $\sigma$  of g and SSA, eight aerosol models have been defined  
433 and their properties are summarized in Table 2. The first four are used to test the sensitivity of  
434 the retrieval to g and SSA independently ( $[SSA_{\text{CLARIFY}} \pm \sigma_{\text{SSA}}, g_{\text{CLARIFY}}]$  and  $[SSA_{\text{CLARIFY}},$   
435  $g_{\text{CLARIFY}} \pm \sigma_g]$ ) and the sensitivity to both parameters will be assessed with the last four  
436 ( $[SSA_{\text{CLARIFY}} \pm \sigma_{\text{SSA}}, g_{\text{CLARIFY}} \pm \sigma_g]$ ). New LUTs have been processed with these modified  
437 aerosol models and used to re-process the case study from section 3.a. After aggregating the  
438 data on a  $0.1 \times 0.1^\circ$  grid, the AOT as well as the Absorption AOT (AAOT), the COT and the  
439 CER are compared against those obtained with the standard CLARIFY-2017 aerosol model.  
440 Results are shown in Figure 9 and 10. For each aerosol and cloud property, a linear relationship  
441 is observed between the retrieval using the standard CLARIFY-2017 aerosol model and the  
442 modified one. The retrieval of cloud properties (fig. 9c, 9d, 10c and 10d) appears to be weakly  
443 sensitive to the assumed aerosol model, with g having a slightly larger impact. On average,  
444 differences lower than 4.1% are observed on the COT and lower than 2.4% on the CER. As  
445 expected, the choice of the aerosol model has much more influence on the AOT retrieval. The  
446 uncertainty on the AOT is dominated by the SSA assumption. When aerosols are more  
447 absorbing than the CLARIFY model, the algorithm overestimates the AOT by 25.7%.  
448 Conversely, the retrieved AOT is underestimated by 32.6% when aerosols are less absorbing  
449 than the CLARIFY model. The impact of g alone on the retrieved AOT is far less significant  
450 and lower than 4.3%. Figure 9a, which shows the impact of a perturbation on both the SSA and  
451 g, confirms that the SSA is the parameter with the strongest influence on the AOT retrieval.  
452 The largest overestimation (27.5%) is observed when both the SSA and g are overestimated  
453 (fig. 10a), while the largest underestimation (-33.3%) is obtained when the SSA is  
454 underestimated and g is overestimated. The retrieval of the above-cloud AOT depends mostly  
455 on the aerosol absorption of the light reflected by the cloud. Therefore, it is expected that the  
456 retrieved AAOT is less sensitive to the absorbing property of the aerosol than the AOT. The  
457 sensitivity of the AAOT to the assumed aerosol properties is shown in Figure 9b and 10b. The  
458 uncertainty in the AAOT due to an error in g is similar to the uncertainty in the AOT (<5%).  
459 However, the influence of the SSA assumption alone on the AAOT is smaller than the influence  
460 on the AOT, with differences of 1.9% and -8.7%. This means that a perturbation of the SSA  
461 primarily impacts the scattering AOT. The largest overestimation of the AAOT (2.7%) is  
462 obtained when the assumed aerosol model overestimates g. An underestimation of the SSA and  
463 an overestimation of g lead to the largest underestimation of the AAOT (-5.1%).

464

465 The variation of the solar zenith angle, and therefore, of the satellite observation geometry  
466 during the day can impact the sensitivity of the retrieval to the aerosol assumptions. Therefore,  
467 the 15-minute SEVIRI observations for the 28 August have been processed using the eight  
468 aerosol models described above and compared to the aerosol and cloud properties retrieved  
469 with the CLARIFY aerosol model. The difference  $\Delta x_i$  of a product  $x$  is defined as:

$$470 \quad \Delta x_i = (x_{CLARIFY} - x_i) / x_i \times 100\%$$

471 where  $x_{CLARIFY}$  and  $x_i$  is the mean product  $x$  retrieved over the SEVIRI slot with the aerosol  
472 CLARIFY model and the modified model  $i$ , respectively. Figure 11 shows the time series of  
473  $\Delta AOT$  (a),  $\Delta AAOT$  (b),  $\Delta COT$  (c) and  $\Delta CER$  (d) obtained with the modified aerosol models.  
474 The sensitivity of the retrieved cloud properties to the aerosol model assumptions remains  
475 small (lower than 5.6% for the COT and 2.6% for the CER) and dominated by the sensitivity  
476 to  $g$ . Apart from a small decrease of  $\Delta COT$  at midday when  $g$  is overestimated (solid blue line)  
477 and an increase of  $\Delta COT$  in late afternoon when the SSA is underestimated (solid red line), no  
478 significant trend is observed on the cloud property sensitivities. As observed previously, the  
479 uncertainty on the AOT is led by the SSA assumption, with the AOT being overestimated  
480 (respectively underestimated) when the assumed SSA is overestimated (respectively  
481 underestimated). Until 15:00,  $\Delta AOT$  stays within +/-40%, with the sensitivity to the SSA being  
482 slightly larger at midday. Then it increases up to 60% when the SSA is overestimated and  $g$  is  
483 underestimated (dashed blue line). Similar trends are observed on  $\Delta AAOT$ , with generally  
484 lower values than  $\Delta AOT$ . An increase of the uncertainty is observed on the AAOT after 15:00,  
485 that reaches up to 27% at 16:30. Before 15:00, there is a larger AAOT sensitivity to the SSA  
486 around midday (+8.9%/-15.2%), but there is no evident evolution of the sensitivity to  $g$  with  
487 time. The case that lead to the largest biases on the AAOT is when the SSA is underestimated  
488 and  $g$  overestimated (dashed green lines), with an underestimation of up to 23%. However, it  
489 should be noted that 0% of the AERONET observations used in Figure 8 are associated with  
490 an SSA lower than  $SSA_{CLARIFY} - \sigma_{SSA}$  and a  $g$  larger than  $g_{CLARIFY} - \sigma_g$ . Otherwise, the sensitivity  
491 of the AAOT to the aerosol property assumptions stays between -16.6 and +9% before 15:00.

492

493 In conclusion, the retrieved AOT is less sensitive to the aerosol property assumption before  
494 15:00, with an uncertainty of 40%. This uncertainty is dominated by the sensitivity of the  
495 retrieval to the SSA. An overestimation (respectively underestimation) of the AOT is expected  
496 when the observed aerosols are more (respectively less) absorbing than the aerosol model  
497 assumed for the retrieval. A better accuracy is obtained on the retrieved AAOT, with an  
498 uncertainty generally lower than 17 % before 15:00. The sensitivity of the cloud properties to  
499 the aerosol model assumption remain small all day long, with an uncertainty of 5.6% on the  
500 COT and 2.6% on the CER.

501

#### 502 **4. Assessing the stability of the retrieval**

503

504 One of the major benefits from using SEVIRI is the ability to track both aerosol and cloud  
505 events at high temporal resolution. Therefore, it is important to evaluate how consistent the  
506 retrieval is over time. For that purpose, two days of continuous observations (i.e. 5<sup>th</sup> and 6<sup>th</sup>

507 September 2017) have been analysed and the retrieved properties have been averaged over  
508 20°S and 10°S, and 5°E and 15°E, which correspond to the red square on the maps of Figure  
509 12. The above-cloud AOT, COT and CER time series are presented in Figures 13a, b and c.  
510 The studied area is located next to the coast, where the AOT is typically the highest. The above-  
511 cloud AOT is around 0.66 and 0.72 for the 5<sup>th</sup> and the 6<sup>th</sup> September, respectively. As expected,  
512 the transport of the aerosol plume from east to west is slow, resulting in a small evolution of  
513 the above-cloud AOT. On both days, a peak is observed at 12:12pm with an anomaly larger  
514 than the AOT variability. This localised discontinuity in the above-cloud AOT is shown in the  
515 11:42, 12:12 and 12:42 UTC maps for 05 September 2017 of Figure 12. The evolution of the  
516 cloud properties is slightly more complex. A small decrease is observed on both the COT and  
517 CER until 2pm. After 3pm, both properties sharply increase. The clouds are strongly affected  
518 by the diurnal cycle and a shoaling of the cloud cover is expected from early morning to late  
519 afternoon. As the thinnest clouds vanish, the cloud fraction decreases together with the number  
520 of retrievals in the area. This results in a larger contribution of the thickest clouds to the mean  
521 value in the late afternoon. As for the above-cloud AOT, large variations of the CER are  
522 observed around noon. At that time, the sun and the satellite are almost aligned and the  
523 scattering angle (fig. 13d) reaches values larger than 175° which corresponds to the region  
524 where the glory phenomenon is typically observed. Several reasons can explain why the  
525 retrieval does not perform well in backscattering direction. The first one is the uncertainty in  
526 the LUT due to the truncation of the cloud phase function. Although the TMS correction gives  
527 good results, biases still remain in the glory aureole (Iwabushi and Suzuki, 2009). Also, the  
528 radiances in the glory are more sensitive to the cloud droplet microphysics (Mayer et al., 2004).  
529 The assumption on the variance of the droplet size distribution may induce biases in the  
530 retrieval. Therefore, the accuracy of the retrieval cannot be guaranteed within the glory aureole  
531 and these observations should be discarded. In Figure 13, the timespans corresponding to the  
532 MODIS Aqua and Terra overpasses in the region are highlighted in orange. This shows that  
533 MODIS measurements are typically performed before and after SEVIRI observes the glory  
534 backscattering over the SEAO, usually allowing comparisons between these instruments.

535

536 The performance of the algorithm is further assessed by evaluating the stability of the retrieved  
537 above-cloud AOT at pixel level. As noted by Chang and Christopher (2016), in this region over  
538 these scales, aerosols are expected to have a limited temporal variability and the variation of  
539 the above-cloud AOT is expected to be small between  $t=0$  and  $t\pm 15$  minutes. The differences  
540 between the AOT retrieved at  $t=0$  and the running mean estimated between  $t-15$  and  $t+15$   
541 minutes have been calculated at pixel level for observations between 09:00-15:00 UTC,  
542 removing measurements within the glory backscattering region. Figure 14 shows the histogram  
543 of the AOT differences calculated over a 12-day period (01 to 12 September 2017). The  
544 differences follow a normal distribution centred around 0.0 with a standard deviation of 0.1.  
545 This short-term variability can be attributed to several sources of uncertainties, such as the total  
546 amount of water vapour, its vertical distribution, the retrieved cloud top height and the  
547 numerical fitting procedure. This analysis indicates that the retrieval of the above-cloud AOT  
548 remains relatively stable, with an observed variability of  $\pm 0.1$  between consecutive  
549 observations. Except for the glory backscattering, the stability observed on the retrieved aerosol  
550 and cloud properties reinforces the reliability of the algorithm.

551

## 552 **5. Conclusion**

553

554 Recently, progress has been made in the remote sensing field in order to fill the lack of aerosol  
555 above cloud observations. Techniques have been developed to retrieve aerosol and cloud  
556 properties over the SEAO from passive remote sensing instruments. These algorithms take  
557 advantage of the colour-ratio effect (Jethva et al., 2013), which is the spectral contrast produced  
558 by the aerosol absorption above clouds. Although OMI (Torres et al., 2012), MODIS (Jethva  
559 et al., 2013; Meyer et al., 2015) and POLDER (Peers et al., 2015) already provide useful  
560 information about aerosols above clouds, these instruments are on polar-orbiting satellites and  
561 their low temporal resolutions prevent monitoring the diurnal variation of the cloud cover and  
562 of the DRE of aerosols over the SEAO. For the first time, we have applied a similar algorithm  
563 to geostationary measurements from the SEVIRI instrument, which has a repeat cycle of 15  
564 minutes. The method consists of a LUT approach, using the channels at 0.64, 0.81 and 1.64  
565  $\mu\text{m}$  in order to retrieve simultaneously the above-cloud AOT, COT and CER.

566

567 Compared to other satellite instruments, the SEVIRI measurements are more sensitive to the  
568 absorption from atmospheric gases because of their wider spectral bands. Therefore, an  
569 efficient atmospheric correction scheme is essential in order to separate the absorption from  
570 aerosol absorption and from the atmospheric. Atmospheric transmittances are calculated with  
571 the fast-radiative transfer model RTTOV based on the cloud top height observed by SEVIRI  
572 and the forecasted water vapour profiles from the Met Office Unified Model. The water vapour  
573 correction has the largest impact on the above-cloud aerosol retrieval. The impact of errors in  
574 the atmospheric correction has been evaluated by modulating the humidity profile for a case  
575 study. A positive bias of both the AOT and the COT is observed when the water vapour is  
576 overestimated, and vice versa. On average, an 18.5% bias on the AOT and a 5.5% bias on the  
577 COT are expected for a 10% error on the water vapour profile. Although a good accuracy is  
578 expected from the forecast model, this limitation should be kept in mind when utilising or  
579 further developing SEVIRI products. In the companion paper, the humidity from the forecast  
580 will be compared against the dropsonde measurements from the CLARIFY-2017 campaign.

581

582 The choice of the aerosol model used to produce the LUT is also a key feature of the method.  
583 *In situ* measurements of aerosols above clouds have been performed off the coast of Ascension  
584 Island during the CLARIFY-2017 field campaign. An aerosol model optimised for the SEVIRI  
585 spectral bands has been obtained by analysing the vertical profiles of extinction and absorption  
586 from EXSCALABAR together with the size distribution from a PCASP. A bimodal lognormal  
587 distribution has shown to adequately reproduce the observations. A fine mode radius of 0.12  
588  $\mu\text{m}$  has been obtained, which is in good agreement with the biomass burning measured over  
589 the SEAO during SAFARI 2000 (Haywood et al., 2003). The refractive index has been  
590 evaluated at 1.51-0.029i. The corresponding SSA of 0.85 at 0.55  $\mu\text{m}$  is consistent with both *in*  
591 *situ* and remote sensing observations of African biomass burning aerosols (Johnson et al., 2008;  
592 Sayer et al., 2014). In addition to the uncertainty associated with the estimation of the aerosol  
593 model, a seasonal dependence is expected in the biomass burning properties as well as

594 modifications due to aging processes during their transport over the SEAO. We have evaluated  
595 the impact of applying a single model assumption on both aerosol and cloud properties.  
596 Retrievals have been performed considering aerosol models with modified SSA and  
597 asymmetry factor  $g$ . It has been shown that the sensitivity of the retrieved cloud properties to  
598 the aerosol model assumption is small with errors lower than 5.6% on the COT and 2.6% on  
599 the CER. As expected the impact of the assumed aerosol properties is much larger on the above  
600 cloud AOT, with an uncertainty estimated at 40% before 15:00 UTC. This uncertainty is led  
601 by the sensitivity of the retrieval to the SSA. Because the method relies on the impact of the  
602 aerosol absorption on the light reflected by the clouds, the perturbation of the SSA has  
603 primarily an impact on the scattering contribution of the AOT. Therefore, a better accuracy is  
604 obtained on the retrieved AAOT, with biases generally lower than 17% before 15:00 UTC.  
605 After that time, an increase of the uncertainty on both the AOT and the AAOT has been  
606 observed, and users are advised to be careful when using the late afternoon aerosol product.  
607 For any satellite retrievals based on the colour-ratio technique, aerosol properties, including  
608 the SSA, have to be assumed and the same order of magnitude can be expected on the  
609 sensitivity of their AOT. This analysis highlights the importance of a suitable constrain on the  
610 SSA.

611  
612 Despite the wider channels and the narrower spectral range of SEVIRI, it has been  
613 demonstrated that the geostationary instrument has the potential to detect and quantify the  
614 absorbing aerosol plumes transported above the clouds of the SEAO. Except from observations  
615 within the glory backscattering for which the retrieval has shown to be unstable, a good  
616 consistency has been observed on the aerosol and cloud properties. The stability of the results  
617 during the day is promising for future uses of the SEVIRI algorithm. In the companion paper,  
618 the reliability of the retrieved aerosol and cloud properties will be further assessed by analysing  
619 the consistency with the MODIS retrievals and comparing with direct measurements from the  
620 CLARIFY-2017 field campaign. The potential of such a retrieval is obvious. The 15-minute  
621 resolution will aid in tracking the fate of above-cloud biomass burning aerosol and will prove  
622 invaluable for assessing models of the emission, transport and deposition of biomass burning  
623 aerosol, with implications for accurate determination of the direct radiative effects of biomass  
624 burning aerosol at high temporal resolution.

625

## 626 **Author contribution**

627

628 FP, PF and JMH developed the concept and the ideas for the conduction of this paper. PF  
629 implemented the atmospheric correction scheme and FP, the retrieval algorithm. CF, SJA, KS,  
630 MIC, NWD and JMH operated, calibrated and prepared the *in situ* measurements from  
631 EXSCALABAR and the PCASP. The reliability of the retrieved products was analysed  
632 throughout the development of the algorithm with the help of KGM and SEP. FP carried out  
633 the analysis and prepared the manuscript with contributions from all co-authors.

634

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636

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640

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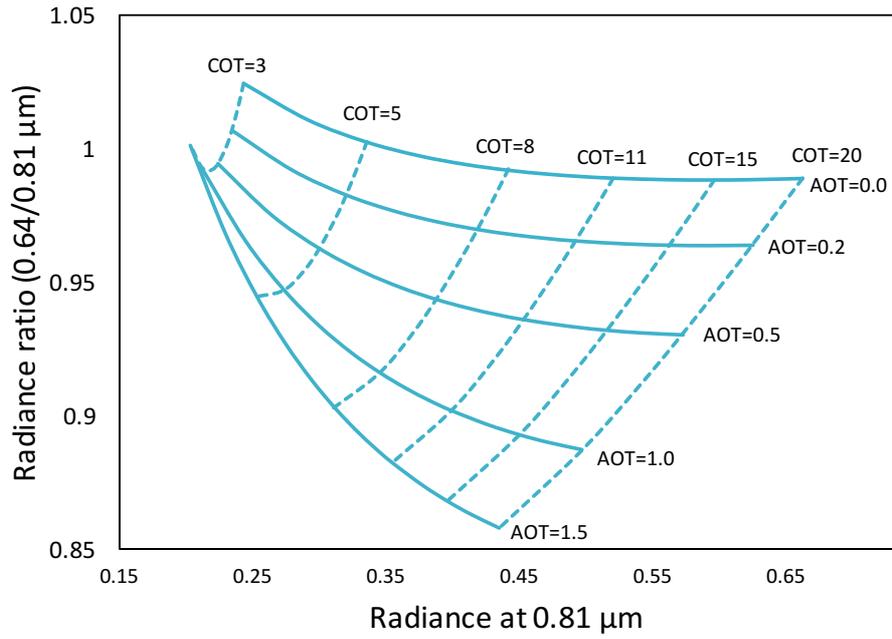
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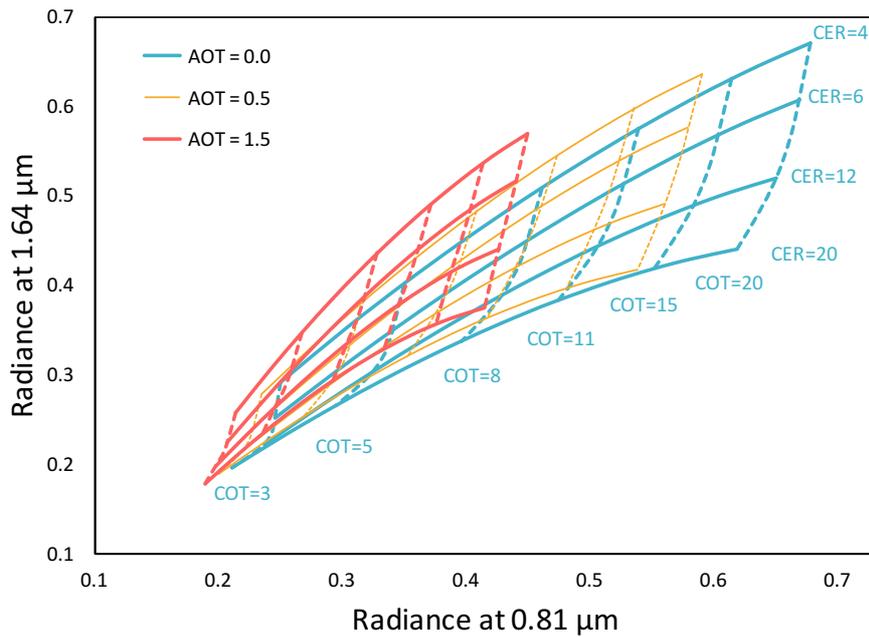
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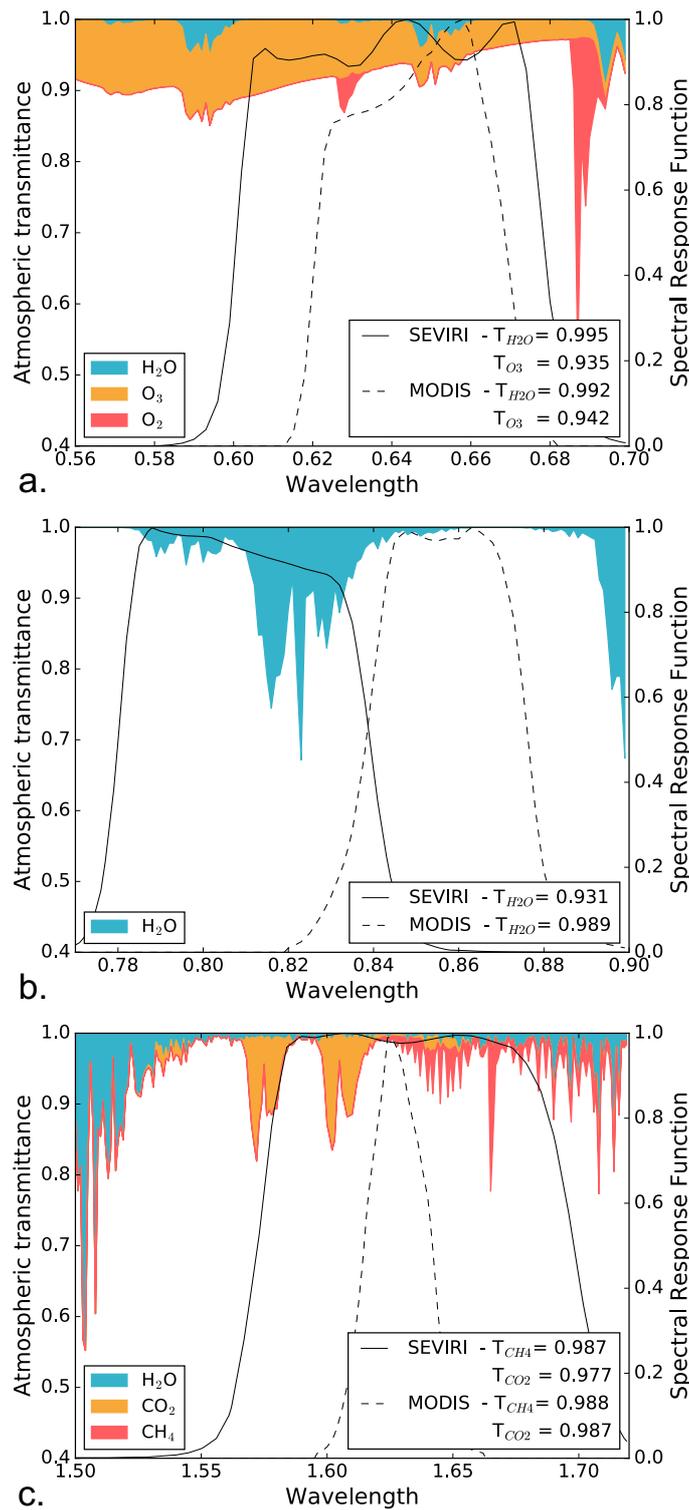
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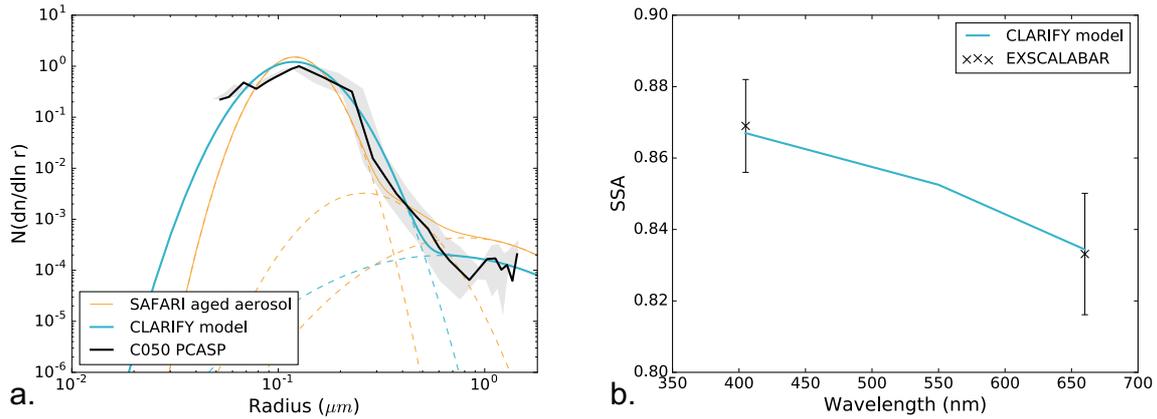
875  
 876 **Figure 1:** Radiance ratio  $R_{0.64}/R_{0.81}$  as a function of the radiance at  $0.81\mu\text{m}$  for absorbing  
 877 aerosols above clouds simulated with the adding-doubling method (De Haan et al., 1987).  
 878 COTs and AOTs are indicated at  $0.55\mu\text{m}$ .  
 879



880  
 881 **Figure 2:** Simulated radiances at  $1.64$  and  $0.81\mu\text{m}$  for clouds with varying COTs and CERs  
 882 (in  $\mu\text{m}$ ), without (blue) and with (orange and red) overlying absorbing aerosols above. The  
 883 viewing geometry, the aerosol and the cloud properties are the same as Figure 1.  
 884



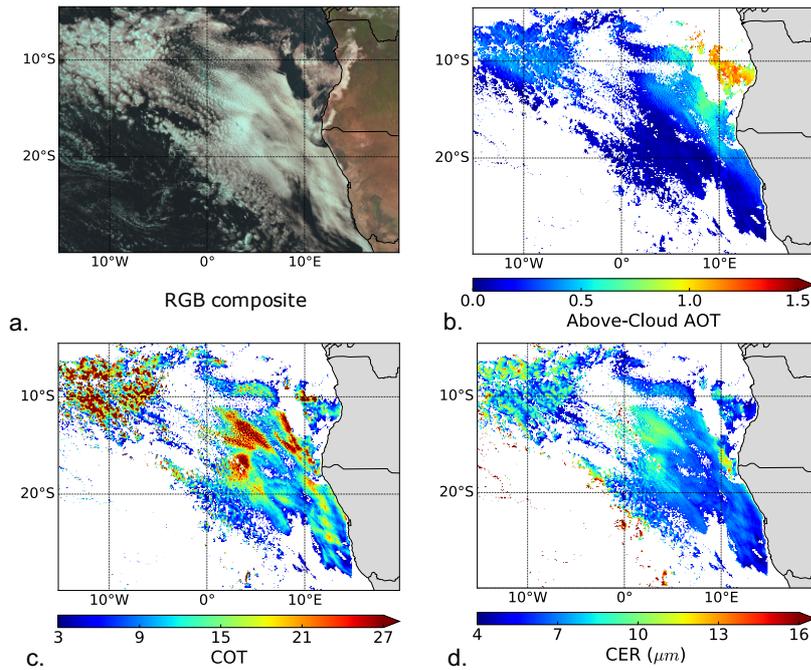
885  
 886 **Figure 3:** Spectral response function of the SEVIRI bands at 0.64 (a), 0.81 (b) and 1.64 μm (c)  
 887 with the corresponding MODIS ones (dashed lines) as well as the atmospheric transmittance  
 888 within the spectral range (in colour). The transmittances have been calculated with the  
 889 SOCRATES radiative transfer scheme (Manners et al., 2015; Edwards and Slingo, 1996)  
 890 assuming a humidity profile measured during SAFARI (Keil and Haywood, 2003). In the  
 891 legend of each plot, the transmittance weighted by the spectral response function is given for  
 892 the main absorbing gases.



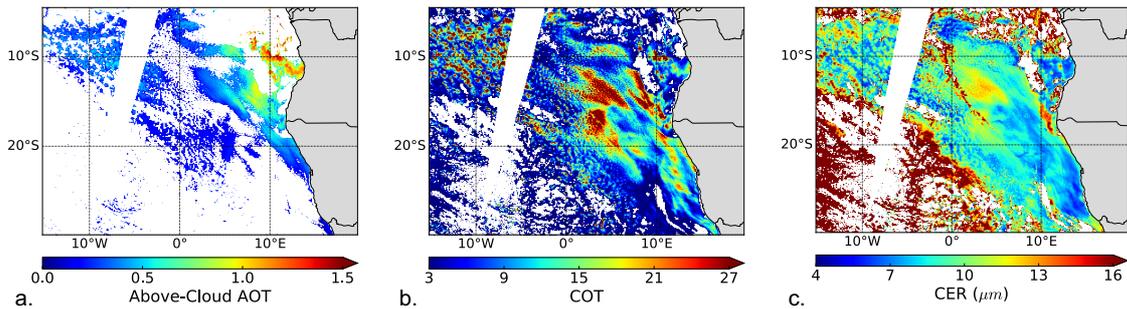
893  
 894 **Figure 4:** Normalized size distribution (a) and SSA (b) measured above clouds during flight  
 895 C050 of the CLARIFY-2017 campaign (black). The grey shade area represents the PCASP  
 896 measurement and calibration uncertainties. Blue lines represent the fitted aerosol model, the  
 897 orange lines correspond to the aged aerosol size distribution from SAFARI (Haywood et al.,  
 898 2003), and the dashed lines shows the contribution of each mode. CLARIFY-2017 aerosol  
 899 model:  $[r_{\text{fine}}, \sigma_{\text{fine}}, N_{\text{fine}}; r_{\text{coarse}}, \sigma_{\text{coarse}}, N_{\text{coarse}}] = [0.12\mu\text{m}, 1.42, 0.9996; 0.62\mu\text{m}, 2.23, 0.0004]$ ,  
 900 refractive index =  $1.51 - 0.029i$ . SAFARI aged aerosol model:  $[r_1, \sigma_1, N_1; r_2, \sigma_2, N_2; r_3, \sigma_3, N_3]$   
 901 =  $[0.12\mu\text{m}, 1.30, 0.996; 0.26\mu\text{m}, 1.50, 0.0033; 0.80\mu\text{m}, 1.90, 0.0007]$ .  
 902

<b>Aerosol model</b>				
Size distribution	Bimodal lognormal distribution			
	$r_{\text{fine}} = 0.12 \mu\text{m}$	$\sigma_{\text{fine}} = 1.42$	$N_{\text{fine}} = 0.9996$	
	$r_{\text{coarse}} = 0.62 \mu\text{m}$	$\sigma_{\text{coarse}} = 2.23$	$N_{\text{coarse}} = 0.0004$	
Refractive index	$1.51 - 0.029i$			
Wavelength	$0.55 \mu\text{m}^*$	$0.64 \mu\text{m}$	$0.81 \mu\text{m}$	$1.64 \mu\text{m}$
SSA	0.852	0.839	0.804	0.643
g	0.649	0.612	0.538	0.468
<b>Cloud model</b>				
Size distribution	Gamma law			
	$r_{\text{eff}}$ from 4 to 60 $\mu\text{m}$		$v_{\text{eff}} = 0.06$	

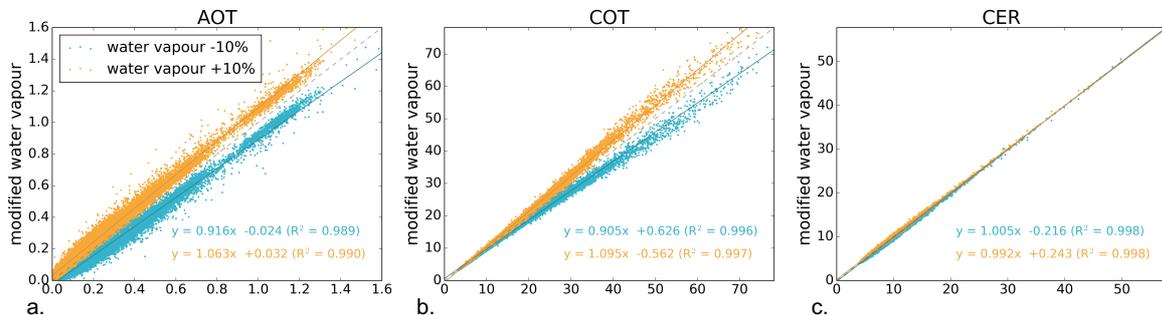
903 **Table 1:** Aerosol and cloud properties used to compute the radiances LUT of the SEVIRI  
 904 retrieval. (\* Note that  $0.55\mu\text{m}$  does not correspond to a SEVIRI channel.)  
 905



906  
 907 **Figure 5:** RGB composite (a), Above cloud AOT at 0.55 μm (b) and cloud properties (c and  
 908 d) retrieved from SEVIRI measurements on the 28 August 2017 at 10:12 UTC over the SEAO.  
 909

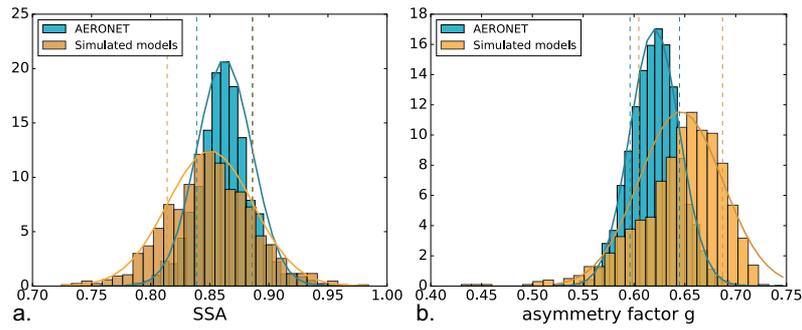


910  
 911 **Figure 6:** Above cloud AOT at 0.55 μm (a) and cloud properties (b and c) retrieved from  
 912 MODIS-Terra with the MOD06ACAERO algorithm (Meyer et al., 2015) on the 28 August  
 913 2017.  
 914



915  
 916 **Figure 7:** Uncertainty in the retrieved above-cloud AOT (a), COT (b) and CER(c) due to an  
 917 error of +10% in orange and -10% in blue on the specific humidity profile compare to the  
 918 original forecast for 28 August 2017 at 10:12 UTC.  
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**Figure 8:** Histograms of the SSA (a) and asymmetry factor  $g$  (b) at  $0.55 \mu\text{m}$  simulated from a range of size distribution and refractive index (orange) and retrieved by AERONET (blue) over the Southern Africa. Dashed lines represent the mean  $\pm$  the standard deviation.

Model	SSA	$g$	$r_{\text{fine}}$	$\sigma_{\text{fine}}$	refr. index
CLARIFY	0.852	0.649	0.12	1.42	1.51-0.029i
$SSA_{\text{CLARIFY}} - \sigma_{\text{SSA}}$	0.812	0.648	0.12	1.42	1.51-0.037i
$SSA_{\text{CLARIFY}} + \sigma_{\text{SSA}}$	0.891	0.649	0.12	1.42	1.52-0.021i
$g_{\text{CLARIFY}} - \sigma_g$	0.852	0.603	0.12	1.30	1.53-0.027i
$g_{\text{CLARIFY}} + \sigma_g$	0.851	0.686	0.12	1.51	1.50-0.030i
$SSA_{\text{CLARIFY}} - \sigma_{\text{SSA}}, g_{\text{CLARIFY}} - \sigma_g$	0.813	0.604	0.11	1.37	1.52-0.034i
$SSA_{\text{CLARIFY}} + \sigma_{\text{SSA}}, g_{\text{CLARIFY}} + \sigma_g$	0.886	0.687	0.13	1.50	1.49-0.022i
$SSA_{\text{CLARIFY}} - \sigma_{\text{SSA}}, g_{\text{CLARIFY}} + \sigma_g$	0.814	0.684	0.12	1.51	1.50-0.041i
$SSA_{\text{CLARIFY}} + \sigma_{\text{SSA}}, g_{\text{CLARIFY}} - \sigma_g$	0.884	0.602	0.11	1.36	1.49-0.017i

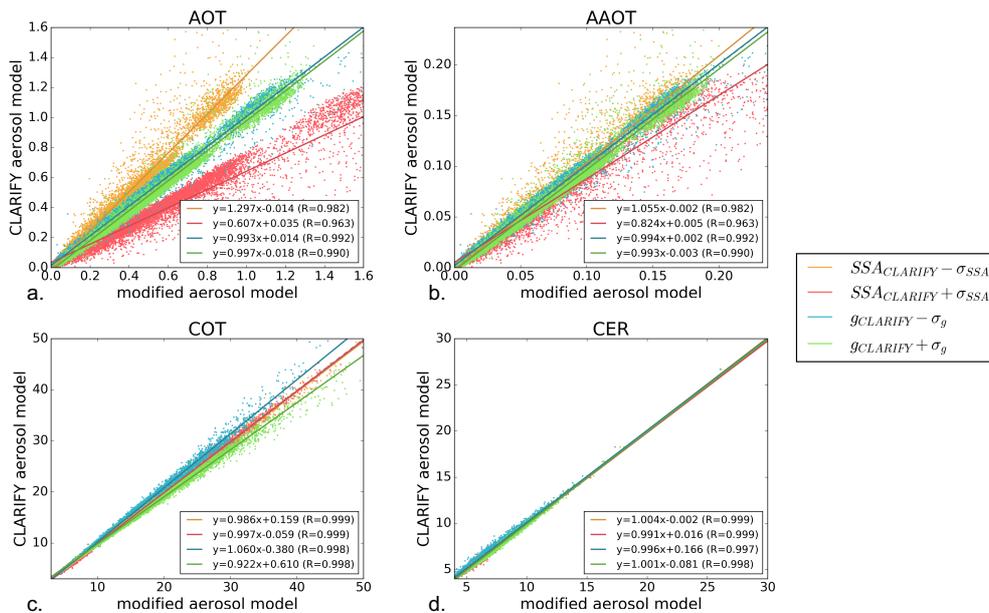
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**Table 2:** Aerosol properties used to test the sensitivity of the SEVIRI to the aerosol model. SSA and  $g$  are given at  $0.55 \mu\text{m}$ .



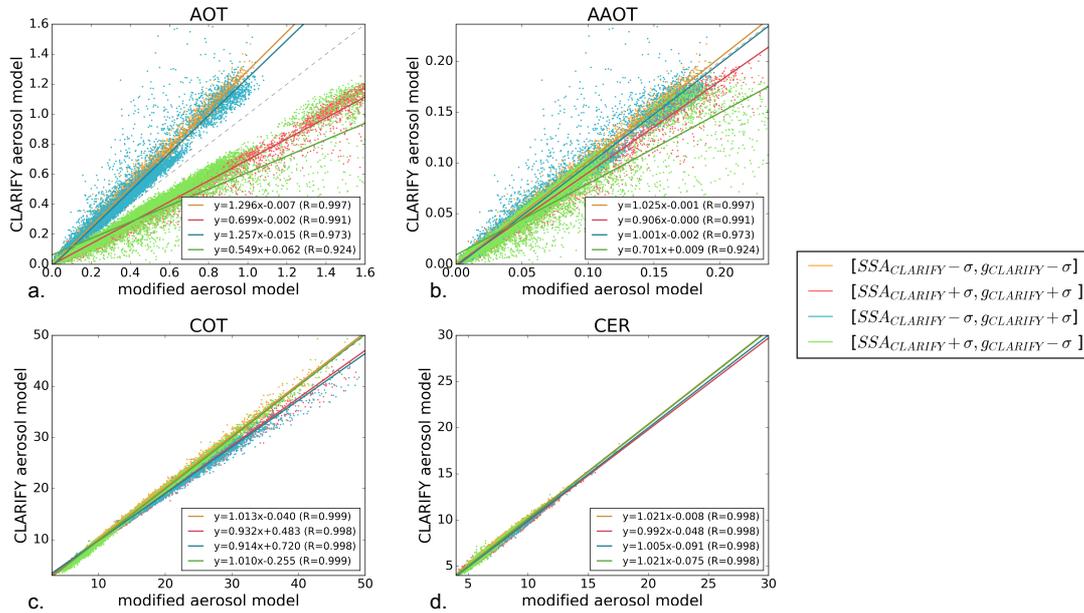
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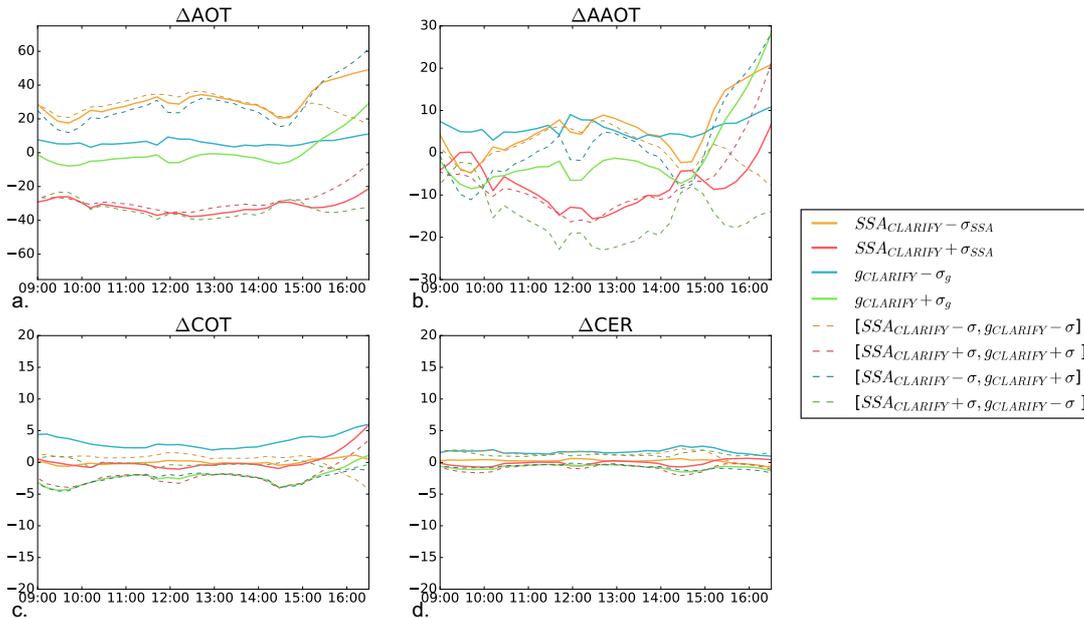
933

**Figure 9:** Impact of the assumption on the SSA and the asymmetry factor  $g$  on the retrieved aerosol and cloud properties. AOT, AAOT, COT and CER obtained for 28 August 2017 at

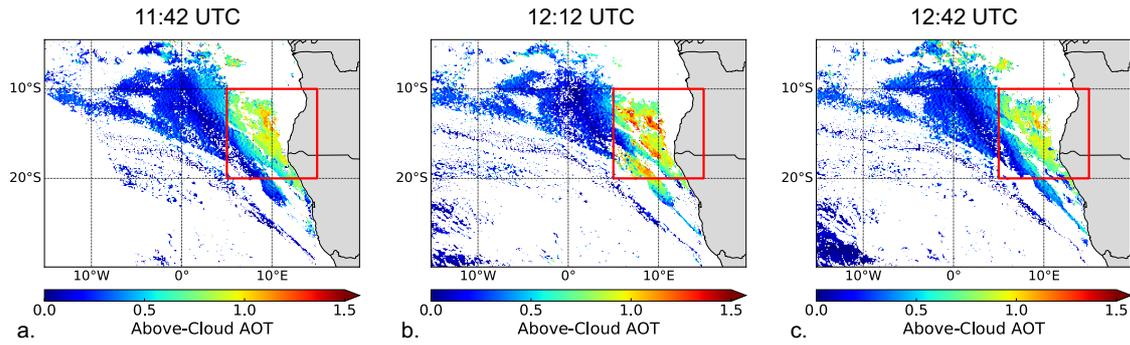
934 10:12 UTC with the CLARIFY-2017 model are plotted against the properties retrieved with  
 935 the modified aerosol models.  
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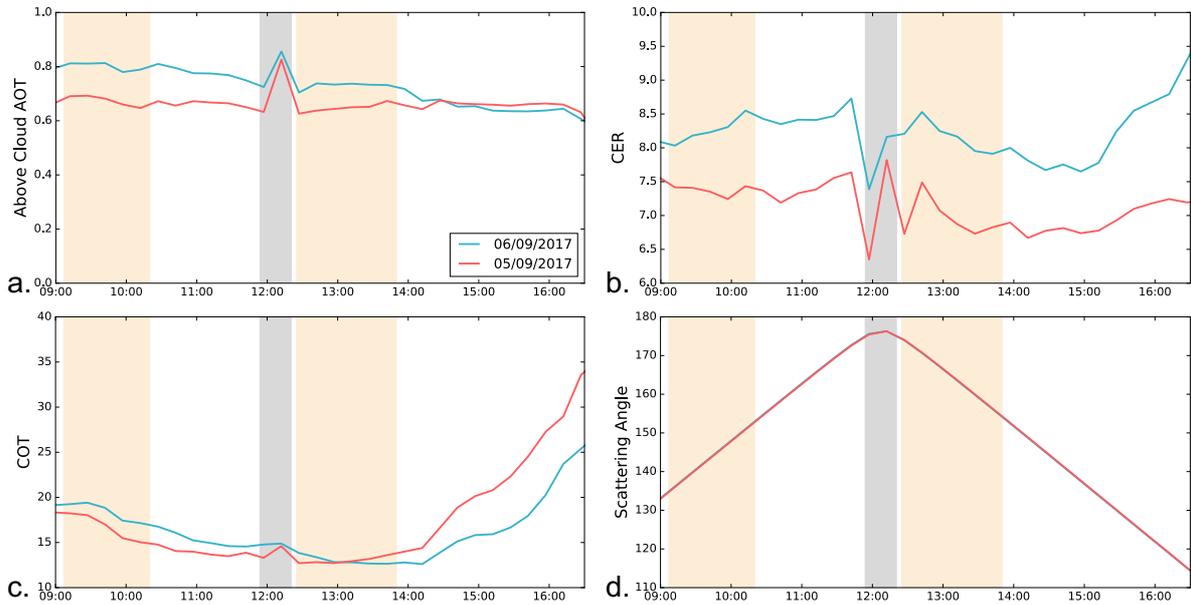
937  
 938 **Figure 10:** Similar to Figure 9 for the combined impact of  $g$  and the SSA.  
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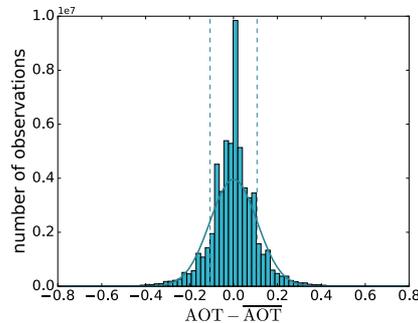
940  
 941 **Figure 11:** Time series (UTC) of the difference  $\Delta$  (in %) of the above-cloud AOT (a), AAOT  
 942 (b), COT (c), CER (d) retrieved with the CLARIFY model and the modified aerosol models  
 943 for the 28 August 2017.  
 944



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 946 **Figure 12:** Above-cloud AOT retrieved the 05 September 2017 at 11:42, 12:12 and 12:42  
 947 UTC. The red square represents the area over which the SEVIRI products have been averaged.  
 948



949  
 950 **Figure 13:** Time series (UTC) of the above-cloud AOT (a), COT (b), CER(c) and scattering  
 951 angle(d) averaged between 20°S and 10°S, and 5°E and 15°E for the 5<sup>th</sup> and 6<sup>th</sup> September  
 952 2017. The grey area represents scattering angles larger than 175° and the orange areas show  
 953 the typical overpass times of MODIS Aqua and Terra over the region.  
 954



955  
 956 **Figure 14:** Histogram of the difference between AOT retrieved at  $t=0$  and the running mean  
 957 calculated between  $t-15$  and  $t+15$  minutes from 01 September to 12 September 2017.  
 958 Observations within the glory region have been removed. Dashed lines represent the mean +/-  
 959 the standard deviation.