





34 generally limited to 10-15 years, maintaining continuity for a specific parameter over multiple  
35 decades again becomes an issue.

36

37 Another option is to measure an observable quantity that provides indirect information about the  
38 background state of the mesosphere. Polar mesospheric clouds (PMCs) are observed only at  
39 high latitudes (typically  $>50^\circ$ ) and high altitudes (80-85 km) during summer months in each  
40 hemisphere. They are formed from small ice crystals (~20-80 nm radius), whose formation and  
41 evolution are very sensitive to the temperature ( $< 150$  K) and water vapor abundance near the  
42 mesopause. Recent work (e.g. Hervig et al. (2009), Rong et al. (2014), Hervig et al. (2015),  
43 Berger and Lübken (2015), Hervig et al. (2016)) has shown quantitative relationships between  
44 PMC observables (occurrence frequency, albedo, ice water content) and mesospheric  
45 temperature and water vapor.

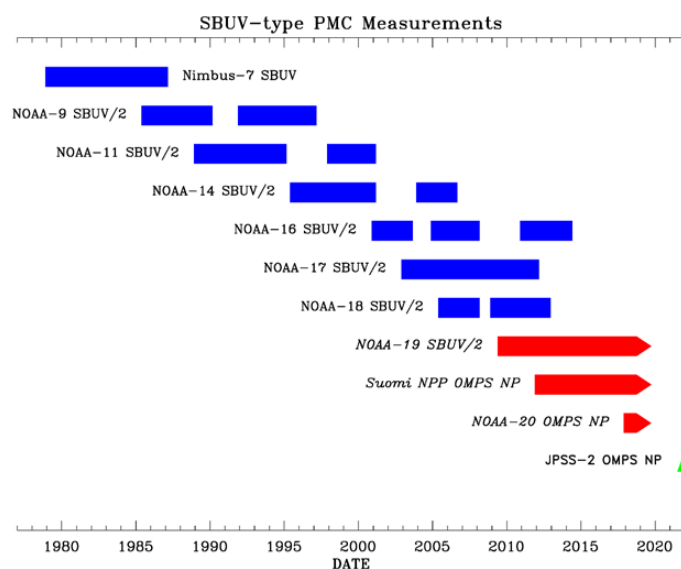
46

47 The Solar Backscatter Ultraviolet (SBUV) instrument (Heath et al., 1975) was originally  
48 launched in 1978 to measure stratospheric profile and total column ozone, using nadir  
49 measurements of backscattered UV radiation between 250-340 nm at moderate spatial resolution  
50 (170 km x 170 km footprint). Thomas et al. (1991) showed that these measurements could also  
51 be analyzed to identify bright PMCs as an excess radiance signal above the Rayleigh-scattered  
52 sky background, modified by ozone absorption. These measurements have been extended by the  
53 second generation SBUV/2 instrument, which has been flown successfully on seven NOAA  
54 satellites from 1985 to the present. DeLand et al. (2003) describes the extension of the SBUV  
55 PMC detection algorithm to SBUV/2 measurements.

56

57 The consistent design of all SBUV/2 instruments allows the same PMC detection algorithm to be  
58 used with each data set, and the overlapping lifetime of these instruments (Figure 1) enables the  
59 creation of a merged data set long enough to be used for trend studies. Development and updates  
60 to this data set have been published by DeLand et al. (2006), DeLand et al. (2007), Shettle et al.  
61 (2009), and DeLand and Thomas (2015). Additional recent studies of long-term PMC behavior  
62 that use the SBUV PMC data set include Hervig and Stevens (2014), Berger and Lübken (2015),  
63 Hervig et al. (2016), Fiedler et al. (2017), Kuilman et al. (2017), and von Savigny et al. (2017).

64



65

66

67 **Figure 1.** Timeline of SBUV instrument measurements used for PMC analysis.

68 Blue color indicates inactive instruments. Arrowheads and red color indicate  
69 active instruments. Green color indicates planned instrument. Gaps for many  
70 SBUV/2 instruments reflect satellite drift into a near-terminator orbit where the  
71 current PMC detection algorithm does not function well.

72

73 The last SBUV/2 instrument is now flying on the NOAA-19 spacecraft. Its sun-synchronous  
74 orbit is drifting towards the terminator (current Equator-crossing time = 1615 LT), which will  
75 interrupt the ability to extract PMC information in 2019 or 2020 due to the decrease in solar  
76 zenith angle range available for daytime measurements. Fortunately, the SBUV measurement  
77 concept is being continued by the Ozone Mapping and Profiling Suite (OMPS) Nadir Profiler  
78 (NP) instrument (Seftor et al., 2014), which is now orbiting on two satellites. This paper will  
79 describe updated PMC trends that extend the work of DeLand and Thomas (2015), including the  
80 addition of OMPS NP data to the 40-year merged SBUV PMC dataset.

81

## 82 **2. OMPS NP Data**

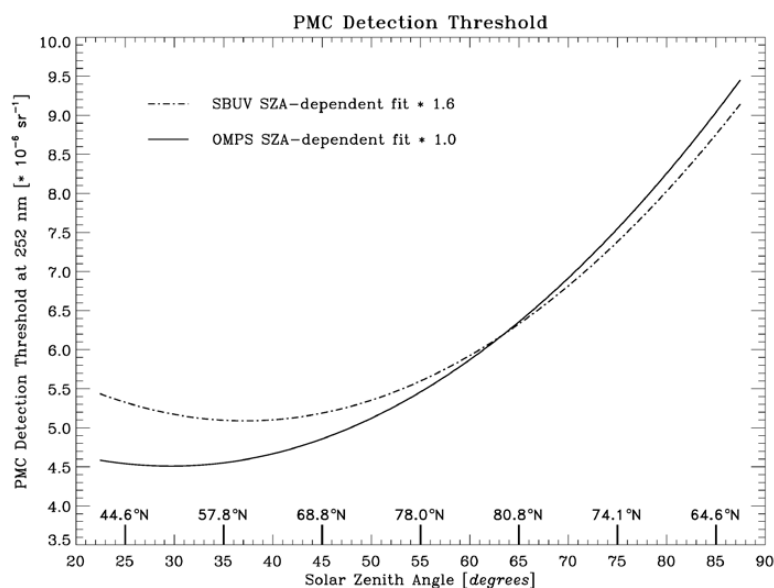
83



84 The OMPS NP instrument was developed to provide ozone data that are consistent with the  
85 SBUV/2 series of instruments (Flynn et al., 2014). The first OMPS NP instrument was launched  
86 on the Suomi National Polar-orbiting Partnership (S-NPP) satellite on 28 October 2011, and  
87 began collecting regular data in January 2012. It makes hyperspectral measurements covering  
88 the 250-310 nm spectral region, with a sampling of approximately 0.6 nm. We utilize radiance  
89 measurements interpolated to the five shortest SBUV/2 wavelengths (nominally 252.0, 273.5,  
90 283.1, 287.6, 292.3 nm) to provide continuity with the current SBUV PMC detection algorithm.  
91 Potential retrieval improvements based on a different wavelength selection will be explored in  
92 the future. The NP instrument uses a larger field of view (250 km x 250 km at the surface)  
93 compared to a SBUV/2 instrument. We will show that this difference does not affect the ability  
94 of the NP instrument to track seasonal PMC behavior.

95  
96 The only revision implemented to the SBUV PMC detection algorithm for OMPS NP is to derive  
97 a solar zenith angle-dependent detection threshold in albedo that is based on NP end-of-season  
98 measurements. This update ensures that any change in background variability introduced by the  
99 larger NP field of view is addressed. Figure 2 shows the NP threshold function derived from  
100 data taken during August 2012. The SBUV/2 threshold function determined by DeLand and  
101 Thomas (2015) is shown for comparison, where an empirical scaling factor of 1.6 is also applied  
102 to eliminate “false positive” PMC detections at the start and end of the PMC season. These  
103 functions differ slightly at low solar zenith angle, but are almost identical at  $SZA > 50^\circ$ . It is  
104 important to note that additional tests focusing on spectral dependence of the albedo residuals are  
105 also applied to positively identify any sample as a PMC.

106



107

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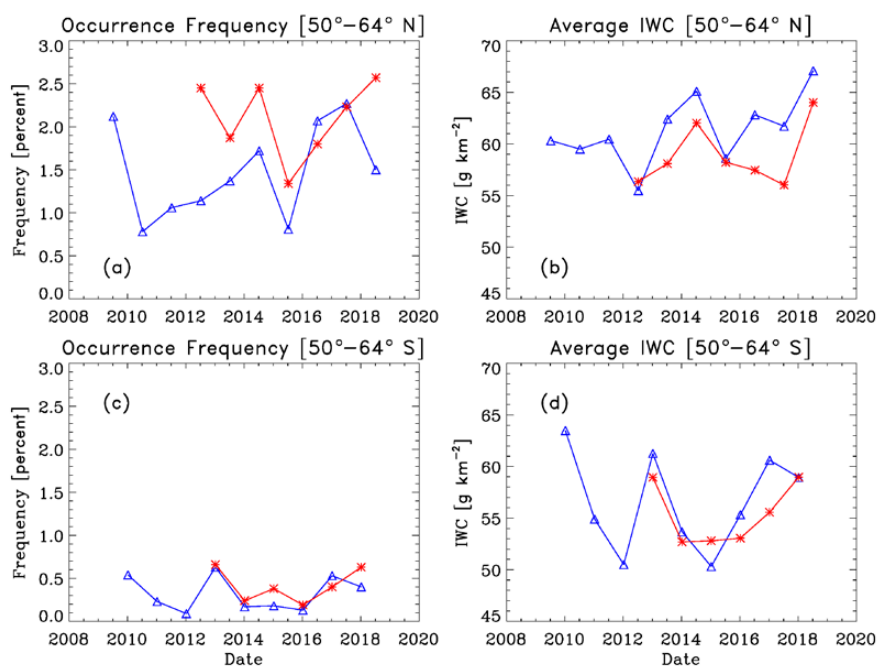
109 **Figure 2.** PMC detection threshold functions plotted vs. solar zenith angle  
110 (SZA). The quadratic fit in SZA used by DeLand and Thomas (2015) for  
111 SBUV/2 processing is shown as the dot-dash line, and the quadratic fit in SZA  
112 used for OMPS NP data in this paper is shown as the solid line. Nominal latitude  
113 values for June 21 are identified on the bottom of the plot.

114

115 We validate the S-NPP OMPS NP PMC data by comparing occurrence frequency and ice water  
116 content (IWC) seasonal average results to concurrent NOAA-19 SBUV/2 PMC results for 13  
117 PMC seasons from Northern Hemisphere (NH) 2012 through NH 2018. IWC values are derived  
118 from PMC albedo values using the albedo-ice regression (AIR) approach described in DeLand  
119 and Thomas (2015). Figures 3-5 show these comparisons for the latitude bands 50°-64°, 64°-  
120 74°, and 74°-82° respectively. The two instruments agree very well in both absolute level and  
121 interannual variability for both quantities in each latitude band. The occurrence frequency  
122 difference between instruments in the NH 2016 season at 64°-74° N (Figure 4(a)) is anomalous,  
123 and does not appear in IWC results for the same season (Figure 4(b)). We are satisfied that S-  
124 NPP OMPS NP data can be added to the SBUV PMC data set to continue the long-term record in  
125 a consistent manner.



126

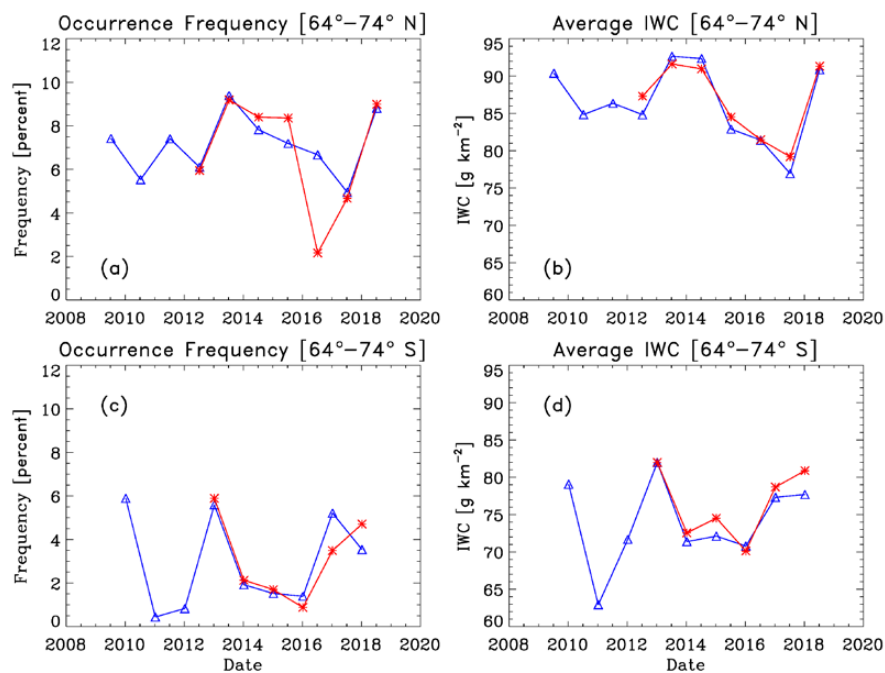


127

128

129 **Figure 3.** Season average PMC occurrence frequency and ice water content data  
130 at 50°-64° latitude. Blue = NOAA-19 SBUV/2, red = S-NPP OMPS. Left side =  
131 occurrence frequency [percent], right side = IWC [g km<sup>-2</sup>]. Top row = Northern  
132 Hemisphere, bottom row = Southern Hemisphere.

133



134

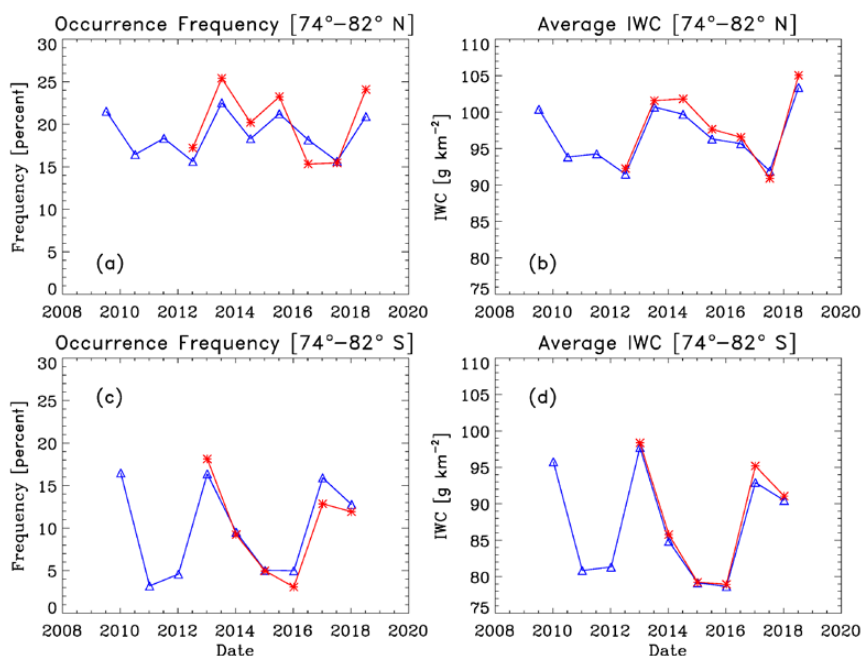
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**Figure 4.** Season average occurrence frequency and IWC data at 64°-74° latitude. Identifications are as in Figure 3.



139

140

141 **Figure 5.** Season average occurrence frequency and IWC data at 74°-82°

142 latitude. Identifications are as in Figure 3.

143

### 144 3. Trend Update

145

146 Our analysis of long-term trends in SBUV PMC data follows the approach presented in DeLand  
 147 et al. (2007), and updated by DeLand and Thomas (2015). Briefly, we use a multiple regression  
 148 fit of the form

149

$$150 X_{\text{fit}}(\text{latitude}, t) = A(\text{latitude}) * F_{\text{Ly}\alpha}(t) + B(\text{latitude}) * (t - 1979) + C(\text{latitude}) \quad [1]$$

151

152 where  $F_{\text{Ly}\alpha}(t)$  is the composite solar Lyman alpha flux dataset available from the LASP  
 153 Interactive Solar Irradiance Data Center (LISIRD) and averaged over the appropriate NH or SH  
 154 season. We define the duration of each season as [-20 days since solstice (DSS), +55 DSS] for  
 155 SBUV trend analysis, following the discussion presented in DeLand and Thomas (2015).

156



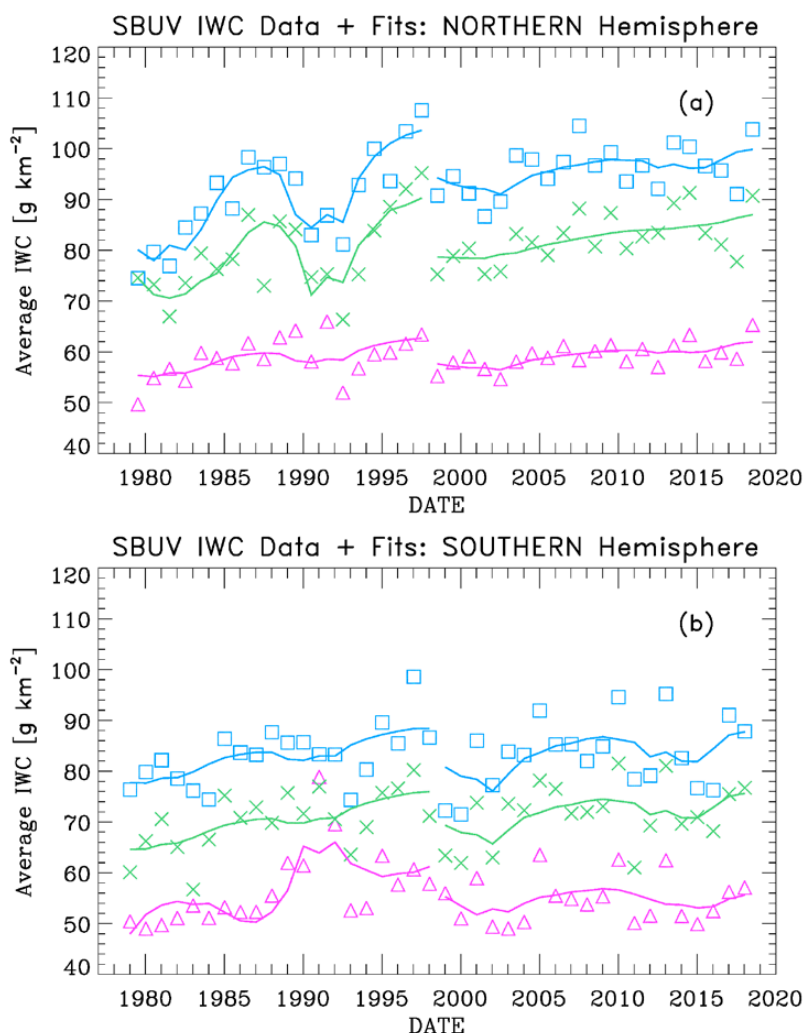


157 We first created a merged SBUV PMC IWC data set for each season and latitude band, using an  
158 adaptation of the “backbone” method of Christy and Norris (2004) as discussed by DeLand et al.  
159 (2007). An advantage of this method is that it easily accommodates the addition of new  
160 instruments such as S-NPP OMPS NP to the overall PMC data set. Normalization adjustment  
161 values for each instrument derived from a fit at 50°-82° latitude are applied consistently at all  
162 latitude bands. The adjustment values for merging derived in this work are slightly different than  
163 those derived by DeLand and Thomas (2015) because the composition of the overall data set has  
164 changed, even though the original V4 PMC data sets for each instrument as described in that  
165 paper have not changed. Almost all adjustment values are still less than 3% of the seasonal  
166 average IWC (e.g. 0.97-1.03), and most of the changes determined for this paper relative to  
167 DeLand and Thomas (2015) are smaller than  $\pm 0.01$ .

168

169 Berger and Lübken (2011) suggested that the long-term trend in mesospheric temperature at 83  
170 km changed from negative to positive in the late 1990s, based on 3-D atmospheric model runs  
171 driven by lower atmosphere reanalysis data. Since PMC properties are expected to be very  
172 responsive to mesospheric temperature changes, DeLand and Thomas (2015) followed this  
173 guidance and calculated their PMC trends in two segments, with a break point in 1998. We  
174 follow the same approach here and calculate multiple regression fits for two time segments,  
175 covering 1979-1997 and 1998-2018 respectively.

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**Figure 6.** (a) SBUV merged seasonal average IWC values for three different latitude bands: 50°-64° N (purple triangles), 64°-74° N (green crosses), 74°-82° N (blue squares). The solid lines show multiple regression fits to the data for the periods 1979-1997 and 1998-2018. (b) SBUV merged seasonal average IWC values for 50°-64° S, 64°-74° S, and 74°-82° S. The solid lines show fits for the periods 1979-1997 and 1998-2018.



186 The results of these fits are shown in Figure 6, and presented numerically in Tables 1 and 2.  
187 Note that a negative sign for the solar activity term implies an anti-correlation, i.e. increase in  
188 solar activity corresponds to a decrease in IWC. This behavior has been explained by variations  
189 in solar ultraviolet irradiance, which causes higher temperatures and lower water vapor  
190 abundance during solar maximum periods (Garcia, 1989). We assess the significance of the  
191 trend term by calculating a 95% confidence limit as described in DeLand et al. (2007), using a  
192 method presented by Weatherhead et al. (1998) that accounts for auto-regression.

193 a. NH trends are significant for all latitude bands in both segments, although the trends  
194 for segment 2 are smaller than those derived in 2015.

195 b. SH trends are significant in segment 1, but not in segment 2. Note that the uncertainty  
196 in each SH latitude band fit is larger than the corresponding NH latitude band, indicating greater  
197 interannual variability in SH PMC data. This difference between hemispheres has been  
198 explained by Siskind et al. (2005) to be caused by higher SH mesospheric temperatures, making  
199 SH PMCs more sensitive to small temperature changes.

200 c. NH solar terms are large and significant in segment 1. Phase lag values of 0.5-1.0  
201 years are found, consistent with previous analysis of SBUV PMC data. The solar term is smaller  
202 by a factor of three to six and marginally significant for segment 2, depending on latitude band.  
203 This lack of response to solar activity in recent years has also been identified in ALOMAR lidar  
204 PMC data (Fiedler et al., 2017) and AIM CIPS data (Siskind et al., 2013).

205 d. The calculated SH solar term is small and not significant in segment 1, but above 64°  
206 latitude is two to three times larger for segment 2 and becomes statistically significant. The large  
207 positive solar term at 50°-64° S is driven by higher IWC values in the 1990-1991 and 1991-1992  
208 seasons. However, in this latitude band, only 10-20 clouds are detected during the entire seasons  
209 in some years. Fluctuations in only a few samples can thus have a significant impact in such  
210 seasons.

211

212 We speculate that during segment 2, the multiple regression fit algorithm is assigning some of  
213 the greater interannual variability in SH data to the solar activity term. This result illustrates the  
214 need for caution in interpreting the results of using a periodic term based on solar variability in a  
215 regression fit that covers less than two full solar cycles, since variations in a small number of  
216 data points near the end of the period can have a substantial impact. However, the large IWC



217 values observed in the recent NH 2018 PMC season did not significantly change the NH solar  
218 activity term for this segment. The source of the hemispheric difference in solar activity  
219 response is not yet understood.

220

#### 221 **4. Conclusion**

222

223 We have shown that OMPS NP measurements can be used successfully to continue the long  
224 PMC data record created from SBUV and SBUV/2 instruments. When we use S-NPP data to  
225 extend our merged PMC data set through the NH 2018 season, we find smaller trends in IWC in  
226 both hemispheres since 1998 compared to the results shown by DeLand and Thomas (2015).  
227 The NH trends continue to be significant at the 95% confidence level, while the SH trends are  
228 now slightly smaller than this threshold. The calculated sensitivity to solar activity during 1998-  
229 2018 is a factor of three to six smaller than the 1979-1997 result for NH data above 64° N.  
230 However, the solar activity sensitivity for SH data increases by a factor of three to four for the  
231 1998-2018 period, and becomes statistically significant at all latitudes. We will continue to  
232 investigate possible causes for this change in behavior and hemispheric discrepancy.

233

234 A second OMPS NP instrument was launched on the NOAA-20 (formerly JPSS-1) satellite in  
235 November 2017, and is now collecting regular data. Three more OMPS NP instruments are  
236 scheduled for launch on JPSS satellites at regular intervals through approximately 2030. All of  
237 the satellites carrying OMPS NP instruments will be kept in an afternoon equator-crossing time  
238 sun-synchronous orbit, so that orbit drift (which has impacted all SBUV/2 instruments) will not  
239 affect the ability to retrieve PMC information. We therefore anticipate extending the continuous  
240 SBUV PMC data record to 60 years to support long-term climate studies.

241

242 **Data Availability.** Daily IWC data for all SBUV instruments during every season are available  
243 on-line at <https://sbuv2.gsfc.nasa.gov/pmc/v4/>. A text file describing the contents of these files  
244 is also provided. Solar Lyman alpha flux data is available at <http://lasp.colorado.edu/lisird/>.

245



246 **Author Contributions.** MD processed the SBUV and OMPS PMC data, conducted the  
247 regression fit analysis, and wrote the primary manuscript. GT reviewed and edited the  
248 manuscript.

249

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385

386

**Table 1(a)**

387

Regression Fit Results for IWC, Northern Hemisphere, 1979-1997

388

Latitude	A( $\pm$ dA)	R <sub>time</sub>	B( $\pm$ dB)	R <sub>solar</sub>	C	Lag	Trend	Conf	Cycle
50-64 N	0.28( $\pm$ 0.14)	0.50	-1.27( $\pm$ 0.87)	-0.44	62.1	0.5	<b>4.8</b>	2.3	-5.5
64-74 N	0.47( $\pm$ 0.22)	0.57	-6.41( $\pm$ 1.53)	-0.77	104.6	1.0	<b>6.0</b>	3.3	-20.5
74-82 N	0.65( $\pm$ 0.22)	0.70	-6.52( $\pm$ 1.38)	-0.82	115.2	0.5	<b>7.2</b>	2.8	-18.3
50-82 N	0.62( $\pm$ 0.21)	0.70	-5.89( $\pm$ 1.32)	-0.81	108.3	0.5	<b>7.1</b>	2.7	-17.3

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390

**Table 1(b)**

391

Regression Fit Results for IWC, Northern Hemisphere, 1998-2018

392

Latitude	A( $\pm$ dA)	R <sub>time</sub>	B( $\pm$ dB)	R <sub>solar</sub>	C	Lag	Trend	Conf	Cycle
50-64 N	0.20( $\pm$ 0.11)	0.59	-1.05( $\pm$ 1.09)	-0.45	57.9	0.5	<b>3.4</b>	0.9	-4.5
64-74 N	0.42( $\pm$ 0.18)	0.57	-0.82( $\pm$ 2.02)	-0.27	73.5	1.0	<b>5.1</b>	1.6	-2.5
74-82 N	0.24( $\pm$ 0.18)	0.44	-2.21( $\pm$ 1.75)	-0.43	98.1	0.5	<b>2.6</b>	1.5	-5.8
50-82 N	0.30( $\pm$ 0.17)	0.49	-1.48( $\pm$ 1.66)	-0.36	88.8	0.5	<b>3.3</b>	1.5	-4.1

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394

**Table 2(a)**

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Regression Fit Results for IWC, Southern Hemisphere, 1979-1997

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Latitude	A( $\pm$ dA)	R <sub>time</sub>	B( $\pm$ dB)	R <sub>solar</sub>	C	Lag	Trend	Conf	Cycle
50-64 S	0.98( $\pm$ 0.26)	0.54	+4.87( $\pm$ 1.92)	+0.19	24.9	0.5	<b>17.3</b>	5.1	+21.8
64-74 S	0.51( $\pm$ 0.23)	0.59	-1.06( $\pm$ 1.54)	-0.41	70.3	0.0	<b>7.3</b>	4.6	-3.8
74-82 S	0.45( $\pm$ 0.25)	0.57	-1.38( $\pm$ 1.65)	-0.44	85.3	0.0	<b>5.4</b>	4.5	-4.2
50-82 S	0.53( $\pm$ 0.24)	0.61	-0.94( $\pm$ 1.60)	-0.41	79.9	0.0	<b>6.6</b>	4.4	-3.0

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**Table 2(b)**

399

Regression Fit Results for IWC, Southern Hemisphere, 1998-2018



400

Latitude	A( $\pm$ dA)	R <sub>time</sub>	B( $\pm$ dB)	R <sub>solar</sub>	C	Lag	Trend	Conf	Cycle
50-64 S	-0.08( $\pm$ 0.27)	0.07	-2.97( $\pm$ 2.83)	-0.32	69.7	0.5	-1.4	2.5	-13.8
64-74 S	0.15( $\pm$ 0.23)	0.32	-3.38( $\pm$ 2.05)	-0.44	81.9	0.0	2.1	2.4	-12.0
74-82 S	0.14( $\pm$ 0.24)	0.31	-4.22( $\pm$ 2.18)	-0.46	97.4	0.0	1.7	2.6	-12.9
50-82 S	0.14( $\pm$ 0.23)	0.31	-3.92( $\pm$ 2.12)	-0.46	92.2	0.0	1.7	2.6	-12.2

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404 Multiple regression fit parameters for SBUV merged seasonal average IWC data, using the form

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$$406 \quad \text{IWC} = A*(t_{\text{center}} - 1979.0) + B*F_{\text{Ly}\alpha}(t_{\text{center}} - t_{\text{lag}}) + C$$

407

408  $t_{\text{center}}$  = mid-point of PMC season (DSS = [-20,+55]) [years]409  $F_{\text{Ly}\alpha}$  = Lyman alpha flux averaged over PMC season, scaled by  $1 \times 10^{11}$  photons  $\text{cm}^{-2} \text{sec}^{-1} \text{nm}^{-1}$ 410  $R_{\text{time}}$  = correlation coefficient of secular term411  $R_{\text{solar}}$  = correlation coefficient of solar term412  $t_{\text{lag}}$  = phase lag of solar term for fit with smallest  $\chi^2$  value [years]413 Trend = decadal change in IWC [%]. **Bold** values exceed 95% confidence level.

414 Conf = amount of decadal change required to exceed 95% confidence level [%]

415 Cycle = calculated variation in IWC from solar minimum to solar maximum [%], using a

416 Lyman alpha flux range of  $2.6 \times 10^{11}$  photons  $\text{cm}^{-2} \text{sec}^{-1} \text{nm}^{-1}$ 

417