**Interactive comment on** “Direct observation of molecular clusters and nucleation mode particles in the Amazon” by Daniela Wimmer et al.

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Referee comment: 1) Title: “Direct” seems unnecessary. Perhaps better replaced by “Ground-based”? Also, “molecular clusters” seems inappropriate. Perhaps “particle ions”? Reply: we thank the referee for the valuable suggestion for the title. We agree that “Ground-based” suits the content of the paper better than “direct”. We would like to keep “molecular clusters though, since with the instrumentation used in our work, we are able to measure down to the molecular cluster level.

Referee comment 2) Line 38 – “Pristine” is used here and in a few other places. It needs to be defined. Reply: we agree with the reviewer that an explanation of pristine in our manuscript is missing. We added the following sentences in the revised manuscript:

Line 38 and following: ‘The occurrence of NPF on ground level in the Amazon region has not been observed previously in pristine conditions. In this work, pristine refers to CCN concentrations of a few hundred cm-3.’

Referee comment 3) Lines 40-42 - Define the sites as locations relative to Manaus, much as you did on lines 80-83. You can’t expect all readers to identify with T0t and T3. Reply: We agree with the referee that a description of the measurement locations is missing at this point of the manuscript. We changed the sentence in the revised manuscript as follows, line 40-45: We measured the variability of air ion concentrations (0.8–20 nm) with an ion spectrometer between 2011 and 2014 at the T0t site and between February and October 2014 at the GoAmazon 2014/5 T3 site. The T0t site is surrounded by dense rainforest, mostly unaffected by the Manaus pollution plume. The T3 site, instead is an open pasture site, 70km downwind of Manaus.

Referee comment 4) Lines 43-44 – “T0t is reached by the pollution about 1 day in 7, where the T3 site is about 15% of the time affected by Manaus.” The statement implies a difference between T0t and T3, but 1 in 7 is 14%, which is not different from 15%. What are you trying to say here? Reply: We agree with the referee that here the numbers are similar and the sentence is confusing. We were trying to point out the differences between the two measurement sites. The T0t site is parallel wind to the Manaus pollution plume, where the T3 site is downwind of the Manaus pollution plume. We discussed the numbers again. Based on AMAZE-08, we concluded T0t is affected about once a week (Martin et al. 2010 (in Supp Material) T3 gets influenced between once every day and once every two days for a few hours, especially in the afternoon (de Sa et al. 2017, Thalman et al. 2017). We rephrased the sentence to, line 47-50: ‘T0t is influenced by pollution about once per week, where T3 on the other hand is reached once per day/once per every second day, especially in the afternoon (Martin et al., 2010b supplementary material, Thalmann et al, 2017, de Sa et al, 2017).’

Referee comment 5) Lines 59-60 – This sentence is not useful. Also, you state in the paper that the back trajectories in both cases pass over Manaus. Does not the source
strength of Manaus even out other differences in the trajectories? Your last sentence of the conclusions is that 'Most likely, during the dry season the condensation sink is too high for new particle formation.' That appears to be the main factor that differentiates between the NPF and non-NPF days. Why is that not mentioned in the abstract? Reply: We agree with the referee that the difference in the condensation sink between NPF and non-NPF is a major finding in our manuscript and should be in the abstract. We also think that the difference in the back trajectories is a relevant difference; therefore, we want to keep the statement in the abstract. We changed the last sentence of the abstract in the revised manuscript, line 65-68: The two major differences between NPF days and non event days are two. A factor of 2 lower condensation sink on NPF days and different air mass origins for the NPF days compared to non event days. We followed the suggestion by Referee 1 and included a new Figure (Fig. 10) in the revised manuscript, which shows the calculated back trajectories on a map. The map shows actually that the trajectories on do not pass over Manaus on NPF days. The sentence ‘Nevertheless, all air masses pass over Manaus before reaching the measurement site.’ has been deleted from the revised manuscript. The first set of back trajectory calculations was made without looking at the map, which lead to the wrong conclusion.

Referee comment 6) Lines 221-222 – You say “The vertical mixing can be enhanced during the wet season due to convective clouds.” Are you saying that convective clouds lift the mixed layer or that convective clouds lift particles out of the mixed layer or something else? Clouds formed at the top of a mixed layer will tend to cool below, which does not help the development of a mixed layer.

Reply: We agree with the referee that this sentence slightly confusing. We were trying to say that convective clouds lift particles out of the mixed layer. We re-phrased the sentence in the revised manuscript, line 289-290: ‘The vertical mixing can be enhanced during the wet season as particles are lifted out of the mixed layer due to convective clouds.’

Referee comment 7) Lines 275-279 – This may be true for inside the canopy, but not for outside the canopy. Please clarify. Also, why would the pattern outside of the canopy not reflect biomass burning and wet deposition more than that inside the canopy? Reply: We do believe that the statement is true for both outside and inside the canopy. The chapter title in this paragraph. ‘Number concentrations of ions and particles at the two sites’ includes both measurement sites. It seems like this statement is not fully clear, so we rephrased the sentence in the revised manuscript as follows, line 361-365: ‘Additionally, the wet and dry seasonality characteristic for the Amazon (Rissler et al. 2006, Martin et al. 2010a) can be observed in the concentration of the large ions (4-20nm): the local biomass burning during the dry season seems to increase large ion concentrations, whereas during the wet season their concentrations are decreased most likely due to wet deposition and reduced source strengths.’

Referee comment 8) Lines 281-282 - That appears to be true for the wet season, but the factor is less than 2 during the dry season. Did you mean “up to a factor of 3”? Reply: we agree with the referee that the statement should be ‘The average concentrations of 4 – 20 nm particles were up to a factor of 3 higher in comparison to the less polluted site (T0t).’

Referee comment 9) Lines 287-288 – The 4-20 nm ions are not shown in the Figure 2 I have. Reply: We apologize for the mistake in the manuscript. The Figure has been changed during the writing process of the manuscript. The sentence has been re-phrased as follows in the revised manuscript, line 367-368: ‘Figure 2 shows the monthly variability of particles in two size ranges (0.8-2nm, 2-4 nm) for the 2011-2014 period. The cluster ions had a median concentration of 814 cm-3 and 968 cm-3 (wet) and 605 cm-3 and 765cm-3 (dry) for negative and positive ions, respectively.’

Referee comment 10) Line 301 – “Oct-Dec for both seasons”? Oct-Dec is a season (fall). Specify wet and dry seasons. Reply: we agree with the referee that this sentence is confusing. We removed the sentence completely from the revised manuscript. We agree with the referee that the seasons should be specified in our manuscript. We added a sentence in the Methods section, line 139-142: ‘Wet and dry season in the Amazon are Dec-March and June-September respectively (Martin et al, 2010a). Due
to the measurement periods available for our dataset, we define the dry season as dry and transition season Apr-Oct.'

Referee comment 11) Line 305 – On line 214 the dry and transition season is April to September, whereas here it is Apr-Oct. Please correct. Reply: this sentence was removed in the revised manuscript. The whole paragraph was changed following the suggestions of Referee 1. Line 401-405: ‘These values are comparable, for example, to intermediate and large ion concentrations found in coastal Mace Head (Vana et al. 2008) outside the periods of rain or active NPF. In general, the positive cluster ion concentrations are higher in all the cluster ion and intermediate ion size classes for all the months. Table 2 summarizes the annual concentrations of ions and total particles for the three size bins.’

Referee comment: 12) Line 311-312 – Cluster ions are not shown in Figure 3. Where are we supposed to view this? Reply: this has been removed in the revised manuscript, as we do not present the Figure in the final manuscript. The paragraph in the revised manuscript was re-phrased as follows, line 406-409: ‘Differences between the wet (Dec-Mar) and dry and transition season (Apr-Oct) were also observed in the diel cycle of the ion and particle concentration. Positive and negative cluster ion concentrations were, on average, higher during the wet season compared to the dry season as shown in Table 1.’

Referee comment 13) Lines 321-323 – Again, 4-20 nm ions are not shown in Figure 2. Reply: this has changed in the revised manuscript. The paragraph was re-phrased as stated in the reply to the previous Referee comment 12.

Referee comment 14) Line 358 – What do you mean when you say that “negative ions are smaller than positive ions”? Do you mean fewer in number? Reply: We mean that the negative ions are smaller in size compared to positive ions. The sentence has been re-phrased in the revised manuscript as follows, line 478-484: ‘Rain-induced bursts are likely a result of a balloelectric effect, in which splashing water produces intermediate

ions such that the negative ions are smaller in size than the positive ions (Horrak et al., 2005, Hirsikko et al., 2007, Tammet et al., 2009). The duration of the 579 rain events varied from a couple of minutes to 22 hours, with over half the rain events lasting for two hours or less. The rain events were more common during the wet season, peaking in August which can be considered as transition season (Fig. 5) when also the median rain intensity was higher.’

Referee comment: 15) Lines 374-376 and figure 6 – For the ions in the 0.8-2 nm particles, it looks like they simply turn on at rain intensities above 1. Reply: We made Figure 6 in order to show the relation between rain intensity and ion concentrations. At rain intensities below 1 mm/h the ion concentration especially in the cluster ion size range only contains the natural in background as they are produced via radon decay or galactic cosmic rays. The background cluster ion band can be observed worldwide, yet the concentrations depend on the location as it depends on the sources and sinks for the ions.

Referee comment 16) Figure 7 – Indicate which axis corresponds with which particle size class in Panel B; presumably, the LH axis is 6-10 nm. Reply: we agree with the referee that the Figure is confusing. The Figure was changed to improve the clarity in the revised manuscript.

Referee comment 17) Line 379 – “followed by a second one at about 11:00”. Here, indicate the relative difference in rain intensity. Reply: The second rain intensity peak was lower than the first one in this example. The first one was about 40 mm/h and the second one about 10 mm/h. So, the difference was about 30 mm/h. We added the relative difference in the revised manuscript, line 502-503. ‘Rain events were evident also when looking at the total particle concentrations measured by the NAIS, as depicted in Figure 7. The first rain event showed a maximum of about 40 mm h-1 and the second one about 10 mm h-1.’

Referee comment 18) Figure 7 and lines 385-395 – This is a very interesting set of
observations. If particles descending with the rain were responsible for the increase in 6-10 nm particles above the canopy, how do you explain the apparent evolution of 6-10 nm particles to 10-20 nm over a few hours? Given the roughly 3 orders of magnitude difference in particle number concentrations from ground to above canopy and the potential canopy filtering you mention, why instead is it not possible that the few 6-20 nm particles above the canopy were due to the rain-induced particles mixing and filtering upwards? Reply: There are two main points about Figure 7. The first one should show a clear correlation between the rain intensity and increase in ion concentrations inside the canopy. This effect is due to the splashing of the water droplets on the leaves mainly of the trees. The water droplets explode and release high amounts of small ions. This phenomenon has been observed and explained by Tammet et al, 2009. The second effect is that these ions seemingly do not contribute to the total particle population as the DMPS which is measuring from above the canopy does not show an according increase in particle number concentration. We assume that this effect is due to the filtering effect by the rainforest canopy. We cannot say very much about the source of the particle that are seen by the DMPS, but from our current knowledge it is likely that they are transported via downdraft from production at convective cloud outflow regions (Wang et al, 2016). We rephrased the paragraph in the revised manuscript, line 509-518: The 10-20 nm particle concentration showed first a decrease followed by a slight increase up to ~35 cm-3, peaking later than the 6-10 nm particles. However, it is unlikely that these 10-20 nm particles originate from the same rain-induced burst as seen inside the canopy, as there is no apparent particle growth from the NAIS measurements. It is unlikely that those particles survive until the top of the canopy, as the tree leaves would filter them out. Wang et al. (2016) reported that nucleation mode particles produced in cloud outflows will be transported down with the rain, such that they can be observed at the ground level as an increase in nucleation and Aitken mode concentrations (Dp <50 nm). The appearance of 6-10 nm particles with its peak concentration, could present a similar scenario of small particles brought down from the free troposphere.'
the DMPS, which is sampling from above the canopy (see Figure 7). We believe that the ion production due to rain is mainly an inside canopy effect and the ions are filtered out by the canopy and therefore do not survive until they would be able to reach bigger sizes. We did observe some rain events that lasted up to 20 hours but still we did not observe any increase in neutral particle concentrations above the canopy.

References


**Fig. 1.** Figure 10: median back trajectories for NPF (blue) and non NPF (red) days. The trajectories were calculated 24 hours backwards arriving at 09:00 local time at 500m a.s.l. at the measurement site.

**Fig. 2.** Figure 7. Example for a rain-induced event for total particles (DMPS). The DMPS measurements are taken above the canopy (60 m height), NAIS measurements are inside the canopy. Panel (a) shows the DMPS
Fig. 3. Diel cycle of ions measured outside the canopy by the NAIS (small: 0.8–2 nm; intermediate: 2–4 nm; The lowest two panels show the total particles (large: 4–20 nm) from the NAIS and total par C13.