

Reviewer 1:

This is my third review of this paper. I understand that the Van Dingenen et al. (2018) paper is already published but I still wonder if there is a new science in this paper by itself, as I had questioned in the previous reviews.

We thank Reviewer 1 for the insightful comments. Indeed the objectives and novelties of this study are beyond what was suggested by the original title of our publication. In order to highlight all the objectives of this study, we have rephrased the title of this paper:

“Contribution and uncertainty of sectorial and regional emissions to regional and global PM_{2.5} health impacts”

The objectives and novelties of this study, not covered by the Van Dingenen et al. paper are now listed in the introduction, and include the evaluation of i) the relative contribution of anthropogenic emission sources to PM2.5 concentrations at global scale, ii) identification of the emission sectors and emission regions for which pollution reduction measures would lead to the largest improvement on air quality and iii) the relevance of uncertainties in regional sectorial emission inventories (power generation, industry, ground transport, residential, agriculture and international shipping), and their propagation in modelled PM2.5 concentrations and associated impacts on health.

If the key objective of the paper is “to evaluate the relevance of uncertainties in regional sectorial emissions inventories and their propagation in modelled PM2.5 concentrations and associated impacts on health,” I feel that more needs to be done in the paper.

The Reviewer’s comments stem from the expectation that each single subsection is providing uncertainty information. We make it clear now that the earlier sections (3.1, 3.2 and 3.3) rather focus on providing ‘central’ estimates of regional, sectorial and gridded contributions, whereas Section 3.4 is providing the corresponding uncertainty estimates. We have therefore added the following sentence at the beginning of section 3:

“In this section, we first provide ‘central’ estimates of regional (Sect. 3.1), sectorial (Sect. 3.2) and gridded (Sect. 3.3) contributions, whereas the corresponding uncertainty estimates are discussed from Sect. 3.4 onward.”

For example, I find it troubling that there is a single number listed as a fraction of extra-regional pollution contribution per country in section 3.1 (Hungary 75%, etc.). The same is true for the sectorial contributions to PM_{2.5} concentrations in section 3.2 (30% by shipping emissions in the Mediterranean). Probably most troubling is the health effect quantification (e.g., 32.4% of total mortality related with agriculture). When there are large uncertainties as the authors have already acknowledged, I find it necessary to clearly describe these ranges in each step and also in tables and figures as well.

The uncertainties mentioned by the Reviewer are provided in Table 2, Fig.5 and Table 4 where key metrics and the corresponding range of uncertainty by region are reported. In particular Table 2 reports annual average PM_{2.5} concentrations and the corresponding uncertainty range for each TM5-FASST region, Table 4 contains the numbers of premature deaths/year due to anthropogenic PM_{2.5} air pollution in world regions and corresponding uncertainty range, and Figure 5 graphically reports within-region and extra-regional anthropogenic PM_{2.5} concentrations and their uncertainty for all TM5-FASST regions. We tried not to repeat the information already provided in all figures and tables also in the text to avoid redundancy and ensure readability. As already mentioned, our discussion starts with describing the ‘central’ source-receptor estimates and from there on calculating the uncertainties. To follow the Reviewer’s suggestion, when information about uncertainty was missing we modified the manuscript accordingly, in particular in the conclusion, to highlight the range of our estimates due to the uncertainty of the emissions.

Considering the objective of the paper, I do not see the point of sections 3.1-3.3. It is probably better to expand section 3.4 that discusses the impact of uncertainties from emissions.

As we now better explain in the introduction, uncertainty of emission inventories is only one objective of this work, since we aim also at addressing the sector specific regional contribution estimates to PM_{2.5} concentrations, as presented in sections 3.1-3.3 are needed. Therefore, we have modified the text of the introduction accordingly: “The objectives and novelties of this study are the evaluation of i) the relative contribution of anthropogenic emission sources to PM_{2.5} concentrations at global scale, ii) the emission sectors and emission regions in which pollution reduction measures would lead to the largest improvement on the overall air quality and iii) the relevance of uncertainties in

regional sectorial emission inventories (power generation, industry, ground transport, residential, agriculture and international shipping), and their propagation in modelled PM2.5 concentrations and associated impacts on health.”

There are also quite a few editorial issues that need to be addressed. I cannot point them all but below are a few:

1. The explanation of PM2.5 appears on l. 13 on p. 2 when PM2.5 is already mentioned on l. 5.

The explanation of PM2.5 has now been introduced at its earliest appearance.

2. I believe it should be written as “improve global air quality” instead on l. 9, p. 2.

The change has been done accordingly with the Reviewer’s comment.

3. The first “and” should be deleted on l. 33, p. 3.

The change has been done accordingly with the Reviewer’s comment.

4. CH₄ is not mentioned in l. 28-29 on p. 5 but I believe HTAP_v2.2 includes that?

The HTAP_v2.2 inventory does not include methane emissions, as documented by Janssens-Maenhout et al. (2015) and at the following link:
http://edgar.jrc.ec.europa.eu/htap_v2/index.php

5. I think the second “the” should be taken out from l. 40, p. 5

We disagree with the reviewer’s comment since the sentence reads as following:

“....a set of emission perturbation scenarios has been created by subtracting from the reference dataset the emissions of each sector.”

6. Chili: Chile on l. 15, p. 11

The change has been done accordingly with the Reviewer’s comment.

References:

Janssens-Maenhout, G., Crippa, M., Guizzardi, D., Dentener, F., Muntean, M., Pouliot, G., Keating, T., Zhang, Q., Kurokawa, J., and Wankmüller, R.: HTAP_v2. 2: a mosaic of regional and global emission grid maps for 2008 and 2010 to study hemispheric transport of air pollution, *Atmospheric Chemistry and Physics*, 15, 11411-11432, 2015.

Reviewer 2

The authors have done a good job responding to reviewer comments. They have brought in a lot more material from their companion paper on the model description and evaluation, which address reviewer concerns in those regards. I have a few remaining comments, described below, which amount to only minor changes to manuscript text and this minor revisions, after which point the paper will be suitable for publication in ACP.

We thank Reviewer 2 for the insightful comments.

Comments:

Presentation of the main equations still comes across as a bit folksy. The authors refer to it as “perturbation approach” — their quotes, not mine, sometimes double and sometimes single — but more rigorously I think as scientists they can more specifically refer to this as a first order approximation that includes the first (linear) term of a Taylor expansion of PM as a function of emissions. Without the remaining higher order terms the expression is approximate. Further, the authors state “So the equal sign is correct, although this equation represents an approximation”, which is an oxymoron. The authors confuse discussion of a computational equation implemented in their model (which may well be approximate) and noting whether or not that equation is exact (with an equals sign) or an expression of an approximation (with an approximation sign). In this case it is the latter, and the equation on paper needs to be fixed to show this. That being said, I appreciate the additional discussion added to the main text and the SI regarding the equations used for estimating PM responses owing to emissions perturbations, which have indeed helped make the manuscript stronger and more complete.

We have implemented in Sect. 2.1 the changes required by the Reviewer as following:

Specifically, the reduced-form model TM5-FASST is computing the concentration resulting from an arbitrary precursor emission strength E_i using a first order perturbation approach, i.e. for each PM component j , the change in concentration dPM_j resulting from a change in emission strength $E_i(x)$ of precursor i in source region x , relative to a reference emission $E_{i,ref}(x)$, is approximated by the first linear term of a Taylor expansion of PM as a function of emissions:

$$dPM_j(y) \cong A_{ij}[x, y][E_i(x) - E_{i,ref}(x)] \quad (\text{Eq. 1})$$

Where

$$A_{ij}[x, y] = \frac{\Delta C_j(y)}{\Delta E_i(x)} \text{ with } \Delta E_i(x) = 0.2E_{i,ref}(x)$$

(Eq. 2)

$A_{ij}[x, y]$ is a set of independently computed source-receptor matrices, expressing the linearized emission-concentration response between each relevant precursor (i) emission and PM component j concentration, for each pair of source (x) and receptor (y) regions (Van Dingenen et al., 2018).

In Sect. S1.2 we explain in detail how Eq. 1 can be also applied for evaluating the attribution by sector as well as by source region, based on the work by Van Dingenen et al. (2018).

The additional content on model accuracy, again drawing from the companion paper, is now more detailed, which is appreciated.

We are grateful to the Reviewer for agreeing with the changes we performed in the manuscript based on his first comments.

The response regarding other sources of uncertainty — I appreciate the added discussion regarding model errors from Solazzo 2018. However my comments were with regards to uncertainties in the concentration-response functions, which are typically the only ones considered.

Fine with the Reviewer's comment.

Further, the response of the authors in this regard could still be much stronger. The title of this paper includes “uncertainty analysis”. However, the abstract only notes that the

uncertainty analysis for health impacts was performed (last sentence), and does not even state the results. This is a big loss for this work — the authors should do a better job of capturing these quite interesting results (up to 1 million premature deaths uncertainty associated with emissions uncertainties?) in the abstract and conclusions, specifically in comparison to the level of uncertainty normally associated with these types of studies.

The uncertainty analysis performed within this paper aims at rising the awareness on how the uncertainty of emission inventories affects PM concentrations and its impacts on human health. We agree with the suggestion of the Reviewer in stressing our findings on emission uncertainty propagation to impacts both in the abstract and conclusions as discussed in the following. In addition we rephrased the title to satisfy the additional requests of Reviewer 1: “Contribution and uncertainty of sectorial and regional emissions to regional and global PM_{2.5} health impacts”.

The only other statement regarding uncertainty in the abstract (second to last sentence) is rather obvious and could be omitted, unless it is going to be quantified.

In the context of uncertainty, we rephrased the abstract as following:

“We investigate emission inventory uncertainties and their propagation to PM_{2.5} concentrations, in order to identify the most effective strategies to be implemented at sector and regional level to improve emission inventories knowledge and air quality modeling. We show that the uncertainty of PM concentrations depends not only on the uncertainty of local emission inventories, but also on that of the surrounding regions. Countries having high emission uncertainties are often impacted by the uncertainty of pollution coming from surrounding regions, highlighting the need of effective efforts in improving emission not only within a region but also from extra-regional sources. Finally, we propagate emission inventories uncertainty to PM concentrations and health impacts. We estimate 2.1 million premature deaths/year with an uncertainty of more than 1 million premature deaths/year due to the uncertainty associated only with the emissions.”

Regarding SOA, given the pace at which our understanding of how SOA forms has evolved, I’m not sure a 2010 paper (Farina) is “recent” anymore. But still, the discussion here is appreciated.

We added the following two references in addition to the work of Farina et al. 2010:

Shiraiwa et al. (2017) and Peng et al. (2016).

In response to my question about previous line 12.10 - 15 (“why do these regions have large extra-regional contributions”), the response (health impacts are large because pollution is large) is a bit lacking. Why is it larger here, say, than other parts of the world? Is the long-range transport here particularly strong or efficient? I also wonder if the answer may have to do with underlying baseline mortalities being higher in some regions.

In the paper we now refer to section 3.1 to clarify this concept. PDs attributed to internal/external emissions are directly linked (proportional) to the internal/external PM2.5 contributions discussed in section 3.1. However, the GULF region has higher internal than external contribution, so we removed it from the exceptions.

“As explained in Sect. 3.1, PDs attributed to internal/external emissions are directly linked (proportional) to the internal/external PM2.5 contributions. For most of the TM5-FASST regions, PDs due to anthropogenic emissions within the source region are higher than the extra-regional contributions. However, there are marked exceptions, such as the Gulf region, Hungary, Czech Republic, Mongolia, etc., where the extra-regional and within-region contributions to mortality are at least comparable. In factFor instance, Hungary and Czech Republic are strongly influenced by polluted regions in Poland (mainly); likewise Mongolia is suffering fromaffected by the vicinity of sources in China. The Gulf region produces a lot of its own pollution, but is also influenced by transport from Africa and Eurasia as reported by Lelieveld et al. (2009).”

References

Shiraiwa, M., Li, Y., Tsimpidi, A. P., Karydis, V. A., Berkemeier, T., Pandis, S. N., Lelieveld, J., Koop, T., and Pöschl, U.: Global distribution of particle phase state in atmospheric secondary organic aerosols, Nat Commun, 8, 15002, 10.1038/ncomms15002,<https://www.nature.com/articles/ncomms15002#supplementary-information>, 2017.

Peng, J., Hu, M., Gong, Z., Tian, X., Wang, M., Zheng, J., Guo, Q., Cao, W., Lv, W., Hu, W., Wu, Z., and Guo, S.: Evolution of secondary inorganic and organic aerosols during

transport: A case study at a regional receptor site, Environmental Pollution, 218, 794-803,
<https://doi.org/10.1016/j.envpol.2016.08.003>, 2016.

1 **Sectorial and regional uncertainty analysis of the contribution of anthropogenic emissions**
2 **to regional and global PM_{2.5} health impacts**

3 **Contribution and uncertainty of sectorial and regional emissions to regional and global**
4 **PM_{2.5} health impacts**

5
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11

12 **Abstract**

13 In this work we couple the HTAP_v2.2 global air pollutant emission inventory with the global
14 source receptor model TM5-FASST to evaluate the relative contributions of the major
15 anthropogenic emission sources (power generation, industry, ground transport, residential,
16 agriculture and international shipping) to air quality and human health in 2010. We focus on
17 particulate matter (PM) concentrations because of the relative importance of PM_{2.5} emissions in
18 populated areas and the well-documented cumulative negative effects on human health. We
19 estimate that in 2010, depending on the region, annual averaged anthropogenic PM_{2.5}
20 concentrations varied between ca 1 and 40 µg/m³, with the highest concentrations observed in
21 China and India, and lower concentrations in Europe and North America. The relative
22 contribution of anthropogenic emission sources to PM_{2.5} concentrations varies between the
23 regions. European PM pollution is mainly influenced by the agricultural and residential sectors,
24 while the major contributing sectors to PM pollution in Asia and the emerging economies are the
25 power generation, industrial and residential sectors. We also evaluate the emission sectors and
26 emission regions in which pollution reduction measures would lead to the largest improvement
27 on the overall air quality. We show that air quality improvements would require regional
28 policies, in addition to local and urban scale measures, due to the transboundary features of PM
29 pollution. We investigate emission inventory uncertainties and their propagation to PM_{2.5}
30 concentrations, in order to identify the most effective strategies to be implemented at sector and
31 regional level to improve emission inventories knowledge and air quality modeling. We show
32 that the uncertainty of PM concentrations depends not only on the uncertainty of local emission
33 inventories, but also on that of the surrounding regions. Countries having high emission
34 uncertainties are often impacted by the uncertainty of pollution coming from surrounding
35 regions, highlighting the need of effective efforts in improving emission not only within a region
36 but also from extra-regional sources. Finally, we propagate emission inventories uncertainty to
37 PM concentrations and health impacts. We estimate 2.1 million premature deaths/year with an
38 uncertainty of more than 1 million premature deaths/year due to the uncertainty associated only
39 with the emissions.

40

1 1 Introduction

2 Ambient particulate matter pollution ranks among the top five risk factors globally for loss of
3 healthy life years and is the largest environmental risk factor (Lim et al., 2013; Anderson et al.,
4 2012; Anenberg et al., 2012; Cohen et al., 2017). The world health organization (WHO, 2016)
5 reported about 3 million premature deaths worldwide attributable to ambient air pollution in
6 2012. Health impacts of air pollution can be attributed to different anthropogenic emission
7 sectors (power generation, industry, residential, transport, agriculture, etc.) and sector-specific
8 policies could effectively reduce health impacts of air pollution. These policies are usually
9 implemented under national legislation (Henneman et al., 2017; Morgan, 2012), while in Europe
10 transboundary air pollution is also addressed by the regional protocol under the UNECE
11 Convention on Long-Range Transport of Air Pollution (CLRTAP). At city/local level, several
12 studies have been developed to assess the contribution of sector specific emissions to PM_{2.5}
13 ([particulate matter with a diameter less than 2.5 µm](#)) concentrations with the aim of designing air
14 quality plans at local and regional level (Karagulian et al., 2015; Thunis et al., 2016). Indeed,
15 particulate matter can travel thousands of kilometers, crossing national borders, oceans and even
16 continents (HTAP, part A, 2010). Local, regional and international coordination is therefore
17 needed to define air pollution policies to improve [globally](#) air quality and possibly human health.
18 The CLRTAP's Task Force on Hemispheric Transport of Air Pollution looks at the long-range
19 transport of air pollutants in the Northern Hemisphere aiming to identify promising mitigation
20 measures to reduce background pollution levels and its contribution to pollution in rural as well
21 as urban regions. Although primary PM_{2.5} ([particulate matter with a diameter less than 2.5 µm](#))
22 and intermediately lived (days-to-weeks) precursor gases can travel over long distances, the
23 transboundary components of anthropogenic PM are mainly associated with secondary aerosols
24 which are formed in the atmosphere through complex chemical reactions and gas-to-aerosol
25 transformation, transport and removal processes, of gaseous precursors transported out of source
26 regions (Maas and Grennfelt, 2016). However, the most extreme episodes of exposure often
27 occur under extended periods of low wind speeds and atmospheric stability, favoring formation
28 of secondary aerosols close to the source regions. Secondary aerosol from anthropogenic sources
29 consists of both inorganic -mainly ammonium nitrate and ammonium sulfate and ammonium
30 bisulfate and associated water, formed from emissions of sulphur dioxide (SO₂), nitrogen oxides
31 (NOx) and ammonia (NH₃), and organic compounds involving thousands of compounds and
32 often poorly known reactions (Hallquist et al., 2009). Exposure to and impact from aerosols on
33 humans can be estimated by a variety of approaches, ranging from epidemiological studies to
34 pure modelling approaches. The Burnett et al. (2014) risk-response methodology is often used in
35 models to estimate premature deaths/mortality (PD) due to air pollution exposure, e.g. in
36 Lelieveld et al. (2015) and Silva et al. (2016), who report a global mortality in 2010 due to air
37 quality issues induced by anthropogenic emissions of 2.5 and 2.2 million people, respectively. A
38 higher global mortality is found in a more recent work by Cohen et al. (2017) accounting for 3.9
39 million premature deaths/year due to different model assumptions. In Europe, Brant et al. (2013)
40 estimate 680 thousand premature deaths, which is twice as high as the numbers reported for the
41 CAFE (Clean Air for Europe) study (Watkiss et al., 2005). Recently, using the same emission
42 database as in this study, Im et al. (2017) report a multi-model mean estimate of PD of 414
43 thousand (range 230-570 thousand) for Europe and 160 thousand PDs for the USA. At the global
44 scale, models, in some cases using satellite information (Brauer et al., 2015; Van Donkelaar et al.,
45 2016), are the most practical source of information of exposure to air pollution. However, model

1 calculations are subject to a range of uncertainties related with incomplete understanding of
2 transport, chemical transformation, removal processes, and not the least, emission information.

3 This work is developed in the context of the TF HTAP Phase 2 (Galmarini et al., 2017a), where a
4 number of models are deployed to assess long-range sensitivities to extra-regional emissions,
5 using the same HTAP_v2.2 anthropogenic emission inventory (Janssens-Maenhout et al., 2015).
6 Differences in model results illustrate uncertainties in model formulations of transport, chemistry
7 and removal processes, and are addressed in separate studies (Liang et al., 2018), but not of
8 uncertainties in emission inventories. The objectives and novelties of this study are the
9 evaluation of i) the relative contribution of anthropogenic emission sources to PM_{2.5}
10 concentrations at global scale, ii) the emission sectors and emission regions in which pollution
11 reduction measures would lead to the largest improvement on the overall air quality and iii) is to
12 evaluate the relevance of uncertainties in regional sectorial emission inventories (power
13 generation, industry, ground transport, residential, agriculture and international shipping), and
14 their propagation in modelled PM_{2.5} concentrations and associated impacts on health. This work
15 applies the global source-receptor model TM5-FASST (TM5-FAst Scenario Screening Tool),
16 which is extensively described and evaluated in this special issues (Van Dingenen et al., 2018),
17 and couples it to the HTAP_v2.2 global emission inventory for the year 2010 to estimate global
18 air quality and associated health impacts in terms of PM_{2.5} concentrations. The regional and
19 global scale, the focus on annual PM_{2.5} and associated health metrics, warrants the use of the
20 TM5-FASST model. However, the most extreme episodes of pollution may occur at more local-
21 to-regional scales justifying the need for local. For instance, a recent study performed over
22 hundreds of cities in Europe (Thunis et al., 2017) shows that in order to comply with the
23 standards prescribed by the Air Quality Directives and the health guidelines by WHO, local
24 actions at the city scale are needed.

25 Specifically, we show that the impact of emission inventory uncertainty on mortality estimates is
26 comparable with the range of uncertainty induced by air quality models and population exposure
27 functions. We also investigate the uncertainties in PM_{2.5} from within the region to extra-regional
28 contributions. Based on our analysis on the importance of emission uncertainties at sector and
29 regional level on PM_{2.5}, we aim at informing local, regional and hemispheric air quality policy
30 makers on the potential impacts of sectors with larger uncertainties (e.g. residential and
31 agriculture) or regions (e.g. developing and emerging countries).

32

33 **2 Methodology**

34 **2.1 TM5-FASST model and emission perturbations**

35 This work is an application of the TM5-FASST model, which is extensively documented in a
36 companion publication in this special issue. Van Dingenen et al., (2018) provide an extensive
37 evaluation of the model, model assumptions and performance with regard to linearity and
38 additivity of concentration response to different size of emission perturbations and future
39 emission scenarios. Below we summarize the most important features of relevance for this work,
40 and refer for more detail to Van Dingenen et al., (2018).

41 In order to calculate PM_{2.5} concentrations corresponding to the HTAP_v2.2 emissions, we use
42 the native 1°x1° resolution source-receptor gridmaps obtained for TM5-FASST_v0 (Van
43 Dingenen et al., 2018). The TM5-FASST source-receptor model is based on a set of emission

perturbation experiments (-20 %) of SO₂, NOx, CO, NH₃, and VOC and CH₄ using the global
 1°x1° resolution TM5 model, the meteorological year 2001 (which was also used for the HTAP
 Phase 1 experiments) and the community emission dataset prepared for the IPCC AR5 report
 (RCP, Representative Concentration Pathway) emissions for the year 2000 (Lamarque et al.,
 2010). TM5-FASST uses aggregated regional emissions (i.e. one annual emission value per
 pollutant or precursor for each of the 56 regions + shipping), with an implicit underlying 1°x1°
 resolution emission spatial distribution from RCP year 2000 which was partly based EDGAR
 methodology and gridmaps. The concentration of PM_{2.5} contributing from and to each of 56
 receptor regions is estimated as a linear function of the emissions of the source regions, including
 the aerosol components BC, primary organic matter (POM), SO₄, NO₃, and NH₄. While
 Secondary Organic Aerosol (SOA) from natural sources is included in the model calculations
 using the parameterisation described in Dentener et al. (2006), no explicit treatment of
 anthropogenic SOA is considered, since no reliable emission inventories of SOA precursor gases
 was available, and formation processes were not included in the parent TM5 model. A recent
 study by Farina et al. (2010) indicates a global source of 1.6 Tg, or ca 5.5 % of the overall SOA
 formation due to anthropogenic SOA. The relative importance of anthropogenic SOA ranges
 regionally widely, and is deemed higher in regions with less VOC emission controls. Inclusion of
 SOA would possibly lead to a somewhat larger role of the transboundary pollution transport
 (Farina et al., 2010; Peng et al., 2016; Shiraiwa et al., 2017), mainly for regions and sectors with
 large PM and VOC emissions (e.g. residential, and to some extent transport and industry).

Under the assumption that the individual sector contributions add up linearly to total PM_{2.5} – this
 assumption is evaluated in Van Dingenen et al. (2018) to hold in most regions within 15 % error-
 the comparison of PM_{2.5} concentrations calculated for the reference and scenario case yields an
 estimation of the contribution of each sector to total PM_{2.5} concentrations.

Specifically, the reduced-form model TM5-FASST is computing the concentration resulting
 from an arbitrary precursor emission strength scenario E_i using a “first order” perturbation
 approach, i.e. for each PM component j , i.e. the difference between E_i and $E_{i,ref}$ (ΔE) is
 considered as a perturbation on $E_{i,ref}$ and the resulting change in concentration dPM_j resulting
 from a change in emission strength $E_i(x)$ of precursor j in source region x , relative to a reference
 emission $E_{i,ref}(x)$, is evaluated approximated by the first linear term of a Taylor expansion of PM
 as a function of emissions perturbation dPM on the reference concentration:

$$dPM_j(y) \cong A_{ij}[x, y] [E_i(x) - E_{i,ref}(x)] \quad (\text{Eq. 1})$$

$$dPM(y) = \sum_t SRC_t[x, y] \cdot [E_t(x) - E_{t,ref}(x)] \quad (\text{Eq. 2})$$

where

$$A_{ij}[x, y] = \frac{\Delta C_j(y)}{\Delta E_i(x)} \text{ with } \Delta E_i(x) = 0.2 E_{i,ref}(x) SRC_t[x, y] = \frac{\Delta PM_{ref}(y)}{\Delta E_{i,ref}(x)}$$

(Eq. 2)

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1 where the summation is made over all primary emitted components and precursors (i) for
 2 secondary components, and $A_{ij}[x,y]SRC_i[x,y]$ is a set of independently computed \$source-
 3 Receptor Ematrices, eefficients describing expressing the linearized relationship emission-
 4 concentration response between each relevant precursor (i) emission of specific components and
 5 PM component j concentration, for each pair of source (x) and receptor (y) regions (Van
 6 Dingenen et al., 2018).

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7 In Sect. S1.2 we explain in detail how the Eq. 1 ‘perturbation approach’ can be also applied for
 8 evaluating the attribution by sector as well as by source region, based on the work by Van
 9 Dingenen et al. (2018). Thus to calculate total PM_{2.5} concentration in each receptor region, the 56
 10 source region individual contributions must be summed. Using this approach, it is possible to
 11 evaluate the PM_{2.5} concentrations from “within-region” and “extra-regional” PM_{2.5} emissions.
 12 The extra-regional contribution represents the RERER metric (Response to Extra-Regional
 13 Emission Reduction) for a specific region used across the whole HTAP experiment (Galmarini et
 14 al., 2017b), in particular focusing on the PM_{2.5} concentration reduction due to the contribution of
 15 the emissions of each anthropogenic sector (Eq. 3):

$$16 \quad RERER = \frac{\sum R(\text{foreign regions})}{\sum R(\text{all regions})} \quad (\text{Eq. 3})$$

17
 18 where R represents the concentration response to each sector emission decrease.
 19

20 As depicted in Fig. S1, the 56 TM5-FASST regions cover the entire globe, but their areal extent
 21 differs in terms of size, population, emission magnitude and presence of neighbouring countries
 22 (e.g. Europe comprises 18 TM5-FASST regions). In order to make the evaluation of external
 23 impacts on smaller regions (e.g. European countries) comparable to those of larger regions (like
 24 USA, China and India), in this work an aggregation procedure to 10 world regions (refer to Table
 25 S2) has been applied (China+, India+, SE Asia, North America, Europe, Oceania, Latin America,
 26 Africa, Russia and Middle East). In this work we focus on particulate matter due to its negative
 27 effects on human health (WHO, 2013;Pope and Dockery, 2006),Worldbank, 2016). The TM5-
 28 FASST model includes an assessment of the premature mortality due to ambient PM_{2.5}
 29 concentrations on exposed population following the methodology developed by Burnett et al.
 30 (2014), as discussed in Sect. 4. Health impacts due to indoor air pollution or ozone are not
 31 evaluated in this work.

32 In the following, we will address the uncertainty of sector specific emissions from this inventory
 33 in a quantitative way as well as the differences we observe from one region to the other, based on
 34 the uncertainty of activity data and emission factors. As discussed in the next section, the reason
 35 to use HTAP_v2.2, and not e.g. the RCP2000 as the basis for our assessment of emission
 36 propagation, is that the TF HTAP aims at bringing policy relevant information, and to this end, it
 37 has compiled a policy relevant emission inventory (HTAP_v2.2) for the most recently available
 38 year. While the RCP2000 was at the basis of the FASST calculations, and presented the best

1 community emissions effort at the time, the HTAP_v2.2 inventory is now much more accurate in
2 particular given the focus on regional (and not so much gridded) emission analysis of our work.

3 **2.2 HTAP_v2.2 emissions**

4 The global anthropogenic emission inventory HTAP_v2.2 for the year 2010 (Janssens-Maenhout
5 et al., 2015) is input to the global source-receptor model TM5-FASST to evaluate PM_{2.5}
6 concentrations for each world region/country with the corresponding health effects. The
7 HTAP_v2.2 inventory includes for most countries official and semi-official annual
8 anthropogenic emissions of SO₂, NOx, CO (carbon monoxide), NMVOC (non-methane volatile
9 organic compounds), PM₁₀ (particulate matter with a diameter less than 10 µm) PM_{2.5}, BC (black
10 carbon) and OC (organic carbon) by country and sector (Janssens-Maenhout et al., 2015). Here
11 we focus on the 6 major anthropogenic emission sectors contributing to global PM_{2.5}
12 concentrations, namely the power generation (“power”), non-power industry, industrial processes
13 and product use (“industry”), ground transportation (“transport”), residential combustion and
14 waste disposal (“residential”), agriculture (“agriculture”) and international shipping (“ship”).
15 International and domestic aviation emissions are not considered in this study due to the lower
16 contribution to air pollution compared to other anthropogenic sectors. It should be noted that
17 agricultural emissions do not include agricultural waste burning and forest and savannah fires.
18 Details on the emissions included in each aggregated sector can be found in Janssens-Maenhout
19 et al. (2015). In addition to the reference HTAP_v2.2 emissions for the year 2010, a set of
20 emission perturbation scenarios has been created by subtracting from the reference dataset the
21 emissions of each sector.

22 **2.3 Emission inventory uncertainties**

23 In order to investigate how computed PM_{2.5} concentrations are affected by the uncertainty of
24 emission inventories, we perform a sensitivity analysis testing the upper and lower range of
25 HTAP_v2.2 emissions including their uncertainties. Aggregated emissions of a certain pollutant
26 p , from a sector i and country c are calculated as the product of activity data (AD) and emission
27 factors (EF), therefore the corresponding uncertainty ($\sigma_{i,c,p}$) is calculated as following:

$$28 \quad \sigma_{EMI\ i,c,p} = \sqrt{\sigma_{AD,i,c}^2 + \sigma_{EF,i,p,c}^2} \quad (\text{Eq.4})$$

29 where σ_{AD} and σ_{EF} are the uncertainties (%) of the activity data and emission factors for a certain
30 sector (i), country (c) and pollutant (p). Uncertainty values of the activity data by sector and
31 country are obtained from Table 2 of Janssens-Maenhout et al. (2017) and Olivier et al. (2016).
32 Using this approach, the uncertainty in the global total anthropogenic CO₂ emissions is estimated
33 to range from -9% to +9% (95% confidence interval), with larger uncertainties of about ±15% for
34 non-Annex I countries, and uncertainties of less than ±5% are obtained for the 1990 OECD
35 countries¹ for the time series from 1990 (Olivier et al, 2016) reported to UNFCCC. Uncertainty
36 values for the emission factors of gaseous pollutants are retrieved from the EMEP/EEA
37 Guidebook (2013) and Bond et al. (2004) for particulate matter. In this work we assume that

¹ OECD countries in 1990: Australia, Austria, Belgium, Canada, Denmark, Finland, France, Germany, Greece, Iceland, Ireland, Italy, Japan, Luxembourg, Netherlands, New Zealand, Norway, Portugal, Spain, Sweden, Switzerland, Turkey, United Kingdom, United States.

1 reported countries emissions are based on independent estimations of activity data and emission
2 factors EFs, hence no cross-country correlation structure is assumed. This is in contrast to
3 bottom-up gridded emission inventories like EDGAR, where the use of global activity datasets
4 may lead to correlated errors between countries.

5 Therefore, we can calculate the overall uncertainty $\sigma_{EMI\ p,c}$ with the following equation
6 (EMEP/EEA, 2013).

7

$$8 \quad \sigma_{EMI\ p,c} = \sqrt{\sum_i \left(\sigma_{EMI\ i,c,p} * \frac{EMI_{i,c,p}}{EMI_{tot,c,p}} \right)^2} \quad (\text{Eq. 5})$$

9

10 where $EMI_{i,c,p}$ (in kton) represents the emission of a certain pollutant (p) in a certain country (c)
11 from a specific sector (i) and $EMI_{tot,c,p}$ (in kton) the corresponding emissions from all sectors for
12 that country and pollutant.

13 Table S3, reports the overall uncertainty calculated for each pollutant and for each TM5-FASST
14 region. Using an additional constraint that EFs and activities cannot be negative, a lognormal
15 distribution of the calculated uncertainties is assumed (Bond et al., 2004). Therefore we can
16 calculate the upper and lower range of emission estimates multiplying and dividing the reference
17 emissions by $(1+\sigma_{p,c})$, respectively. We do not account for the uncertainties of the atmospheric
18 transport model and the uncertainties due to aggregation, which are larger over smaller TM5-
19 FASST regions. Based on the upper and lower emission range per region, new TM5-FASST
20 model runs have been performed per source region to retrieve the corresponding range of
21 concentrations in receptor regions (therefore the total number of computations is 56*2 for the
22 uncertainty analysis).

23

24

25 **3 TM5-FASST modelling results**

26 In this section, we first provide ‘central’ estimates of regional (Sect. 3.1), sectorial (Sect. 3.2) and
27 gridded (Sect. 3.3) contributions, whereas the corresponding uncertainty estimates are discussed from
28 Sect. 3.4 onward.

29

30

31 **3.1 Regional contributions to PM_{2.5} concentrations**

32 Figure 1 provides a global perspective on the fraction of within-region and extra-regional PM_{2.5}
33 concentrations for 10 aggregated world receptor regions using emissions of the year 2010, with

the extra-regional fraction (using the RERER metric) broken down into source region contributions. Annual average population weighted anthropogenic PM_{2.5} concentrations (refer to Van Dingenen et al., (2018) for the calculation of this metric) ranged from few µg/m³ (e.g. in Oceania or Latin America), around 7-8 µg/m³ for North America and Europe, and up to 33-39 µg/m³ in China+ (including also Mongolia) and India+ (including also the rest of South Asia). Anthropogenic PM_{2.5} pollution in China+ and India+ is mainly affected by large emission sources within the country (98 and 96%, respectively; RERER 2-4 %), although 4 % of the Indian anthropogenic PM_{2.5} pollution is mainly transported from the Gulf region and Middle East, as was also observed by (Venkataraman et al., 2018). North America (98%) and Oceania (98%) are mainly influenced by within-regional pollution due to their geographical isolation from other regions. TM5-FASST computations attributed 11 % of the PM_{2.5} in Europe to extra-regional sources; for the Middle East and Gulf region extra-regional contributions amount to 18% (mainly from Europe and Russia), for Africa 25% (mainly from Europe and Middle East), and Russia 28% (mainly from Europe, Middle East and Gulf region and China). Shipping emissions are not considered in this figure due to their international origin, while inland waterways emissions are still included in the ground transport sector. Transboundary air pollution is known to be an important issue in the rest of Asia, in particular for pollution transported from China to Korea and Japan (Park et al., 2014) and we estimate that the contribution of transported PM is up to 40% in South Eastern Asia (mainly from China and India). Within-region and extra-regional PM_{2.5} concentrations for all the TM5-FASST regions are reported in Table S2.

Focusing on Europe, Fig. 2 shows within-region (in black) vs. extra-regional absolute population-weighted PM_{2.5} concentrations (in µg/m³) for 16 EU countries plus Norway and Switzerland, defined in TM5-FASST, as well as the source regions contributing to this pollution. Regional annual averages of population weighted PM_{2.5} concentrations in Europe vary between 2-4 µg/m³ in Northern European countries (like Finland, Norway and Sweden) up to 10-12 µg/m³ for continental Europe. Although most of the computed annual average PM_{2.5} concentrations for Europe are below the World Health Organization Air Quality Guideline of 10 µg/m³ PM_{2.5} (as annual average), these values represent only regional averages while several exceedances in urban areas are often observed in Europe. As further discussed in Sect. 3.2, an additional contribution to PM_{2.5} concentrations comes from the shipping sector, mainly influencing Mediterranean countries (like Italy, Spain and France) and countries facing the North Sea, Baltic Sea and Atlantic Ocean (e.g. Benelux, Sweden, Great Britain, etc.). Transboundary air pollution from external regions contributes by 27% to 75 % and on average by 51 % to PM_{2.5} pollution in European countries. Countries surrounded by oceans⁻ are mainly influenced by within-region pollution due to their geographical isolation from other source regions (e.g. Italy, Spain, Great Britain and Norway); therefore the fraction of extra-regional pollution ranges from 27% to 35%. The largest extra-regional contributions are calculated for Hungary (75%, mainly from Austria, Czech Republic, Rest of Central EU, Poland and Germany), Czech Republic (67%, mainly from Poland, Germany and Austria), Austria and Slovenia (66%, mainly from Czech Republic, Germany and Italy), Sweden+Denmark (65%, mainly from Germany, Norway and Poland), Bulgaria (63%, mainly from Romania), and Greece (61%).

The remaining EU countries are both affected by within-region and extra-regional pollution (the latter ranging from 40% to 59%), highlighting the importance of transboundary transport of PM_{2.5} concentrations. For example Switzerland is influenced by the pollution coming from France, Italy and Germany; Rest of Central EU by Poland and Germany; Germany by France and

1 Benelux; Poland by Czech Republic and Germany. Interestingly, Romania, Bulgaria, Greece and
2 Hungary are also significantly affected by the pollution transported from Ukraine and Turkey,
3 which is included in the “rest of the world” contribution of Fig. 2. Our results are consistent with
4 the findings of the latest UNECE Scientific Assessment Report (Maas and Grennfelt, 2016),
5 which highlights the importance of transboundary transport of organic and inorganic PM. [As](#)
6 [discussed in Sect. 3.4, insights on the uncertainty of within-region and extra-regional](#)
7 [contributions to PM_{2.5} concentrations are provided in Fig. 5 for each TM5-FASST region.](#)

8 **3.2 Sectorial contributions to PM_{2.5} concentrations**

9 Figure 3 shows the relative sectorial contributions to anthropogenic PM_{2.5} concentrations for the
10 56 TM5-FASST receptor regions, separating the fraction of extra-regional (RERER) (shaded
11 colors) and within-region pollution, while Table 1 shows regional average values of sector-
12 specific relative contributions. In most African regions (except Egypt) anthropogenic PM_{2.5}
13 concentrations are mainly produced by emissions in the residential sector. Agriculture is an
14 important sector for Egypt, while Northern Africa is strongly influenced by shipping emissions
15 in the Mediterranean (30%). PM_{2.5} in emerging economies in Asia, Latin America and Middle
16 East are dominated by PM_{2.5} concentrations from the residential sector, power generation and
17 industrial. Asian countries, China, India, Indonesia and Philippines are mainly influenced by
18 within-region pollution with the largest contributions coming from power, industry and
19 residential sectors. PM_{2.5} pollution in Japan is characterised by the contribution of local sources
20 like transport and agriculture, but it is also affected by transported pollution from China,
21 especially from the industrial sector. Anthropogenic PM_{2.5} in the remaining Asian countries is
22 influenced by more than 50% by the pollution coming from China (e.g. Vietnam, Malaysia,
23 Thailand, Mongolia, South Korea, Taiwan) or India (e.g. Rest of South Asia and South Eastern
24 Asia) from the power, industry and residential activities. A different picture is seen for Europe
25 where according to our calculations, annual PM concentrations stem mainly from the agricultural
26 and residential sectors with a somewhat lower contribution from the transport sector. In Eastern
27 European countries noticeable contributions are also found from the power and industrial sectors
28 due to the relatively extensive use of polluting fuels like coal. PM_{2.5} concentrations in USA and
29 Canada are mostly from the power, industry and agricultural sectors. In Oceania industry and
30 agriculture are the most important sectors. PM_{2.5} from ship emissions mainly affect coastal areas
31 of North Africa, SE Asia (e.g. in Japan, Taiwan, Malaysia, Indonesia and Philippines),
32 Mediterranean countries (Spain 11%, Italy 5%, France 7% of their corresponding country totals),
33 Northern EU regions (Great Britain 10%, Norway 6%, Sweden and Denmark 10% of their
34 corresponding country totals) and Oceania (22% of the regional total). Over the international
35 areas of sea and air no distinction between within-region and extra-regional concentrations is
36 reported. Further details on within-region and extra-regional concentrations can be found in
37 section S2 of the Supplementary Material.

38 **3.3 Gridded PM_{2.5} concentrations**

39 Figure 4 shows the global 1°x1° gridmaps of anthropogenic PM_{2.5} concentrations in 2010 for the
40 reference case as well as the computed contributions from each of the major anthropogenic
41 emission sectors. Anthropogenic PM_{2.5} is ubiquitous globally and covers a range from a $\mu\text{g}/\text{m}^3$ or
42 less over the oceans and seas to more than 50 $\mu\text{g}/\text{m}^3$ over Asia. As shown in Fig. 3, the most
43 polluted countries in Asia are China, India and Rest of South Asia (which includes Afghanistan,
44 Bangladesh, Bhutan, Nepal and Pakistan) with annual average anthropogenic PM_{2.5}

1 concentrations ranging from 29 to 40 $\mu\text{g}/\text{m}^3$; Mongolia and North Korea, Vietnam, South Korea,
2 Rest of South Eastern Asia (including Cambodia, Lao People's Democratic Republic and
3 Myanmar), Thailand, Japan and Taiwan are rather polluted areas with $\text{PM}_{2.5}$ concentration in the
4 range of 6 to 14 $\mu\text{g}/\text{m}^3$. The highest annual $\text{PM}_{2.5}$ concentrations in Africa are computed in Egypt
5 (11 $\mu\text{g}/\text{m}^3$ as annual average), Republic of South Africa (6.1 $\mu\text{g}/\text{m}^3$ as annual average) and
6 Western Africa (4.0 $\mu\text{g}/\text{m}^3$ as annual average). The highest pollution in Europe is observed in the
7 Benelux region, Italy and in some of the Eastern countries (e.g. Romania, Bulgaria and Czech
8 Republic), while in Latin America the most polluted areas are Chile (13.7 $\mu\text{g}/\text{m}^3$ as annual
9 average) and Mexico (4.2 $\mu\text{g}/\text{m}^3$ as annual average). Middle East, the Gulf region, Turkey,
10 Ukraine and former USSR are also characterised by $\text{PM}_{2.5}$ concentrations ranging between 7.5
11 $\mu\text{g}/\text{m}^3$ and 9.2 $\mu\text{g}/\text{m}^3$ as annual averages. [Table 2 reports annual average \$\text{PM}_{2.5}\$ concentrations
and the corresponding uncertainty range for each TM5-FASST region as discussed in Sect. 3.4.](#)

13 The TM5-FASST model (Van Dingenen et al., 2018) has been also validated against
14 concentration estimates derived from the WHO database (WHO, 2011, 2014, 2016) and satellite-
15 based measurements (van Donkelaar et al., 2010, 2014). The TM5-FASST modeled $\text{PM}_{2.5}$
16 concentrations have been compared to satellite products which are based on aerosol optical depth
17 measurements together with chemical transport model information to retrieve from the total
18 column the information of PM concentrations in the lowest layer of the atmosphere (Boys et al.,
19 2014; van Donkelaar et al., 2010, 2014). The regional comparison of annual mean population
20 weighted concentrations shows consistent results with the satellite based retrievals (e.g. rather
21 good agreement for the globe as a whole, EU and USA within less than 15% deviation, while
22 lower agreement for developing and emerging countries). Supplementary Material section S4 of
23 the paper by van Dingenen et al. (2018) also reports the comparison between the $\text{PM}_{2.5}$
24 concentrations modeled by TM5-FASST and the measured ones reported in the WHO database,
25 showing rather good agreement for Europe, North America and partly China due to the higher
26 accuracy of the measurements. The comparison for Latin America and Africa is much less robust
27 and the scatter possibly highlights a non-optimal modeling of specific sources relevant for these
28 regions by TM5-FASST (e.g. large scale biomass burning) by the TM5-FASST model.
29

30 In our work, modelled $\text{PM}_{2.5}$ concentrations are in the range of the measurements and satellite-
31 based estimates provided in several literature studies (Brauer et al., 2012; Brauer et al.,
32 2015; Boys et al., 2014; Evans et al., 2013; Van Donkelaar et al., 2016), reporting for the whole
33 Europe annual averaged $\text{PM}_{2.5}$ concentrations in the range between 11 and 17 $\mu\text{g}/\text{m}^3$, for Asia
34 from 16 to 58 $\mu\text{g}/\text{m}^3$, Latin America 7-12 $\mu\text{g}/\text{m}^3$, Africa and Middle East 8-26 $\mu\text{g}/\text{m}^3$, Oceania 6
35 $\mu\text{g}/\text{m}^3$ and North America 13 $\mu\text{g}/\text{m}^3$ (note that measurements and satellite estimates would not
36 separate anthropogenic and natural sources of PM, e.g. dust, large scale biomass burning, while
37 the concentrations in this study consider anthropogenic emissions alone).

38 In order to understand the origin of global $\text{PM}_{2.5}$ concentrations, we look at sector specific maps
39 (Fig. 4). The power and industrial sectors are mainly contributing to PM concentrations in
40 countries having emerging economies and fast development (e.g. Middle East, China and India),
41 while the ground transport sector is a more important source of PM concentrations in
42 industrialised countries (e.g. North America and Europe) and in developing Asian countries. The
43 residential sector is an important source of PM all over the world, also affecting indoor air
44 quality (Ezzati, 2008; Lim et al., 2013; Chafe et al., 2014). PM concentrations in Africa and Asia
45 are strongly influenced by this sector due to the incomplete combustion of rather dirty fuels and

1 solid biomass deployed for domestic heating and cooking purposes. Interestingly, the agricultural
2 sector is strongly affecting pollution in Asia as well as in Europe (Backes et al., 2016; Erisman et
3 al., 2004) and North America, confirming the findings of the UNECE Scientific Assessment
4 Report and several other scientific publications (Maas and Grennfelt, 2016; Pozzer et al.,
5 2017; Tsimpidi et al., 2007; Zhang et al., 2008). The residential and agriculture sectors are less
6 spatially confined, and emissions more difficult to be effectively regulated than point source
7 emissions of the industrial and power sectors (e.g. in Europe the Large Combustion Plant
8 Directive, the National Emission Ceilings or the Industrial Emissions, the Euro norms for road
9 transport, etc.). Finally, shipping is mainly contributing to the pollution in countries and regions
10 with substantial coastal areas, and with ship tracks on the Mediterranean Sea, the Atlantic,
11 Pacific and Indian Oceans, as depicted in Fig. 4.

12 **3.4 Uncertainty from emissions**

13 **3.4.1 Propagation of emission uncertainties to anthropogenic PM_{2.5} concentrations**

14 Table 2, as well as Fig. 5, report the annual average anthropogenic PM_{2.5} concentrations ($\mu\text{g}/\text{m}^3$)
15 estimated by TM5-FASST with the uncertainty bars representing the upper and lower range of
16 concentrations due to emission inventories uncertainty. The extra-regional contribution to
17 uncertainty is also addressed as well as the contribution of the uncertainty of primary particulate
18 matter emissions to the upper range of PM_{2.5} concentrations (Table 2). Primary PM emissions
19 represent the dominant source of uncertainties, contributing from 45% to 97% to the total
20 uncertainty in anthropogenic PM_{2.5} concentrations for each country/region.

21 Figure 5 depicts the results of the propagation of the lowest and highest range of emissions
22 including their uncertainty to PM_{2.5} concentrations in Asia (Fig 5a) and - in more detail- Europe
23 (Fig 5b), highlighting the contribution of within-region and extra-regional PM_{2.5} concentrations
24 and the corresponding uncertainties (error bars). Due to their large sizes, Indian and Chinese
25 PM_{2.5} concentrations and uncertainties are mainly affected by uncertainties from the residential,
26 transport and agricultural sectors within these countries. Interestingly, in South Eastern and
27 Eastern Asia uncertainties in PM_{2.5} are strongly influenced by the Indian residential emissions.
28 On the other hand, PM_{2.5} in Thailand, Japan, Taiwan, South Korea, Mongolia and Vietnam are
29 strongly affected by the uncertainty in the Chinese residential and industrial emissions.
30 Consequently reducing the uncertainties in the Chinese and Indian emission inventories will help
31 improving the understanding the long-range contribution of PM_{2.5} pollution in most of Asian
32 countries.

33 In Europe, the highest uncertainties in PM_{2.5} concentrations are associated with the emissions
34 from the residential, agriculture and transport sectors. In most of the Central and Eastern
35 European countries modelled PM_{2.5} is strongly affected by the uncertainty of transported extra-
36 regional pollution, produced from the residential, agricultural and transport sectors. Conversely,
37 uncertainties in Norway are dominated by national emissions, mainly from the residential and
38 transport sectors, and in Italy from the residential and agriculture sectors. The remaining
39 European countries are affected both by within-country and imported uncertainties. Fig. 5c
40 represents the results of the propagation of the emissions range including their uncertainty to
41 PM_{2.5} concentrations for North America, Latin America, Oceania and Russia, while Fig 5d
42 displays emission uncertainties for Africa, Middle East and the Gulf region. The uncertainty in
43 the USA agricultural and residential emissions affect more than 50% of modelled Canadian

1 PM_{2.5} concentrations and the uncertainty in Mexico and Argentina is influenced by similar
 2 magnitudes (30-50%) by neighbouring countries. The uncertainty in within-region emissions,
 3 especially from the residential sector, dominates the overall levels of PM_{2.5} uncertainties in Latin
 4 America. However, in addition, Chile's own agriculture and power sectors contribute
 5 significantly to the overall uncertainty levels. PM_{2.5} levels in most of the African regions are
 6 strongly affected by the uncertainty in their own residential emissions, while in Egypt they are
 7 mostly influenced by the agricultural sector uncertainties (refer to Fig. 5d). Interestingly,
 8 anthropogenic PM_{2.5} in Northern Africa is influenced by uncertainties in Italian emissions
 9 uncertainty as well as those from shipping emissions. Conversely, the Middle East and Turkey
 10 regions are influenced by a range of extra-regional emission uncertainties (e.g. Middle East is
 11 affected by the uncertainty of Turkey, Egypt and the Gulf region, while Turkey by Bulgaria, Gulf
 12 region and rest of Central EU).

13

14 **3.4.2 Ranking the sector specific contribution to emission uncertainties**

15 Figure 6 shows the average sector relative contribution to total emission inventory related
 16 uncertainty for the main PM_{2.5} concentration precursors and world regions. These contributions
 17 can be interpreted as a ranking of the most effective improvements to be taken regionally to
 18 better constrain their inventories and reduce the final formation of PM_{2.5} concentrations. The
 19 complete overview of all TM5-FASST regions contributions is provided in Fig. S2, where the

20 share of each term of the sum of Eq. 5 $\left(\sigma_{EMI\ i,c,p} * \frac{EMI_{i,c,p}}{EMI_{tot,c,p}} \right)^2$, represents the sector contribution
 21 to the uncertainty of each pollutant in each region. SO₂ uncertainties mainly derive from the
 22 power generation sector especially countries with a dominant coal use; however, substantial
 23 contributions are also computed for the industrial sector in South Africa, Asia, Norway, some
 24 Latin American countries, Canada and Russian countries. Interestingly, for SO₂ some
 25 contributions are also observed from the residential sector in Africa and from the transport sector
 26 in some Asian countries (e.g. Korea, Vietnam, Indonesia, South Eastern Asia, etc.). Smith et al.
 27 (2011) report a range of regional uncertainty for SO₂ emissions up to 30%, while our estimates
 28 are slightly higher (up to 50%). NOx emissions uncertainty mainly stems from the transport
 29 sector, although some contributions are also seen from power generation in Russia, countries
 30 strongly relying on gas (e.g. Russia), the Middle East and the residential sector in Africa.
 31 Depending on the region, CO uncertainty (not shown) is dominated by either the transport or
 32 residential (particularly in Africa and Asia) sectors and for some regions by a similar
 33 contribution of these two sectors. NMVOC emission uncertainties mainly derive from poorly
 34 characterized industrial, transport and residential activities due to the complex mixture and
 35 reactivity of such pollutants. As expected, NH₃ emission uncertainty is dominated by the
 36 agricultural sector which appears to be less relevant for all other pollutants. Among all air
 37 pollutants, primary PM_{2.5} represents one of the most uncertain pollutant due to very different
 38 combustion conditions, different fuel qualities and lack of control measures (Klimont et al.,
 39 2017).

40 Primary particulate matter emissions should be mainly improved for the residential, transport and
 41 in particular industrial sectors. Black carbon emission inventories should be better characterised
 42 in Europe, Japan, Korea, Malaysia etc. for the transport sector, where the higher share of diesel
 43 used as fuel for vehicles leads to higher BC emissions; in addition, BC emissions from the

1 residential sector require further effort to better define EFs for the different type of fuels used
2 under different combustion conditions. To constrain and improve particulate organic matter
3 emissions, efforts should be dedicated to improve residential emissions estimates. Therefore, in
4 the following section, we try to assess one of the major sources of uncertainty in the residential
5 emissions in Europe which is the use of solid biofuel.

6 **3.4.3 Assessing the uncertainty in household biofuel consumption with an independent**
7 **inventory in Europe**

8 The combustion of solid biomass (i.e. biofuel) for household heating and cooking purposes is
9 one of the major sources of particulate matter emissions in the world. Wood products and
10 residues are widely used in the residential sector, but national reporting often underestimates the
11 emissions from this sector, due to the fact that often informal economic wood sales are not
12 accurately reflected in the official statistics of wood consumption (AD) (Denier Van Der Gon et
13 al., 2015). An additional uncertainty is related to the lack of information in the inventory
14 regarding the emission factors (EF) variability, which depends on the combustion efficiency and
15 type of wood (Weimer et al., 2008;Chen et al., 2012). In our work we estimate the uncertainty
16 attributable to wood combustion in the residential sector (σ_{AD,RES_bio}) by comparing it to the
17 recent TNO RWC (Netherlands Organization for Applied Scientific Research, Residential Wood
18 Combustion) inventory of Denier van der Gon et al. (2015), which includes a revised biomass
19 fuel consumption with the corresponding EDGARv4.3.2 activity data (Janssens-Maenhout et al.,
20 2017), as shown in Table S4. In the TNO RWC inventory, wood use for each country has been
21 updated comparing the officially reported per capita wood consumption data (from GAINS
22 (Greenhouse Gas - Air Pollution Interactions and Synergies) and IEA (International
23 Environmental Agency)) with the expected specific wood use for a country including the wood
24 availability information (Visschedijk et al., 2009;Denier Van Der Gon et al., 2015). We can
25 therefore assume that the TNO RWC inventory represents an independent estimate of wood
26 consumption in the residential sector, allowing a more precise uncertainty estimation of the AD
27 for this sector. Assuming that emissions are calculated as the product of AD and EF, the
28 corresponding uncertainty can be calculated with Eq. 4, where σ_{AD} ranges from 5 to 10% for
29 European countries and Russia as reported for international statistics (Olivier et al., 2016). We
30 can therefore calculate the residential emission factors uncertainty of each individual pollutant
31 ($\sigma_{EF,p}$) from Eq. 4. In addition, based on the comparison of the recent estimates of wood
32 consumption provided by TNO RWC AD, which should match better with observations and the
33 EDGARv4.3.2 ones, we can evaluate the mean normalized absolute error (MNAE) considering
34 all N countries, following Eq. 6 (Yu et al., 2006), which represents our estimate of σ_{AD,RES_bio} .

35

$$36 \quad MNAE = \frac{1}{N} * \sum_j^N \frac{|TNO\ RWC_j - EDGARv4.3.2_j|}{TNO\ RWC_j} \quad (Eq.6)$$

37

38 We estimate a value of σ_{AD,RES_bio} of 38.9% which is much larger compared to the 5-10%
39 uncertainty reported for the fuel consumption of the international statistics (σ_{AD}). The issue of
40 biofuel uncertainty mainly affects rural areas where wood is often used instead of fossil fuel.
41 Then, using Eq. 4 and the calculated σ_{AD,RES_bio} and $\sigma_{EF,p}$, we can evaluate a new σ_{EMI,p,RES_bio}

for the residential sector including the uncertainty of the AD due to the use of wood as fuel for this sector, as reported in Table S5. Comparing the results shown in Table S5 with the factor of two uncertainty values expected for PM emissions from the residential sector (Janssens-Maenhout et al., 2015), we derive that the uncertainty associated with the emission factors for biomass combustion in the residential sector is the dominant source of uncertainty compared to the uncertainty in wood burning activity data. Large increases in reported biomass usage for domestic use has been noted in IEA energy statistics for some European countries (IEA, 2013,2014,2015,2016) and further increases are expected as countries are shifting their methodologies to estimate biofuel activity data away from fuel sales statistics to a modelling approach based on energy demand. In addition, several EU countries are increasing the use of biomass in order to accomplish the targets set in the context of the renewable energy directive (2009/28/EC) as reported in their national renewable energy action plans (<http://ec.europa.eu/energy/node/71>). When comparing the UNFCCC and the TNO RWC data, a higher value of σ_{AD,RES_bio} is obtained (59.5% instead of 38.9%), although its effect on the final residential emission uncertainty is less strong, as shown in Table S6. Table 3 shows the impact of biofuel combustion uncertainty in the residential sector on PM_{2.5} concentrations. Upper-end uncertainties indicate that PM_{2.5} concentrations could be between 2.6 and 3.7 times larger than those derived from the HTAP_v2.2 inventory.

4 Health impact assessment

Annual population weighted PM_{2.5} concentrations represent the most robust and widely used metric to analyse the long-term impacts of particulate matter air pollution on human mortality (Pope and Dockery, 2006; Dockery, 2009). As described in Sect 2.5 and S5 of the paper by Van Dingenen et al. (2018), the mortality estimation in TM5-FASST is based on the integrated exposure-response functions defined by Burnett et al. (2014). The increased risk from exposure to air pollution is estimated using exposure-response functions for five relevant deaths causes, namely Ischemic heart disease (IHD), Cerebrovascular Disease (CD, stroke), Chronic Obstructive Pulmonary Disease (COPD), Lung Cancer (LC), Acute Lower Respiratory Infections (ALRI). The relative risk (RR) represents the proportional increase in the assessed health outcome due to a given increase in PM_{2.5} concentrations (Burnett, 2014).

In this section, we investigate the impact of total and sector-specific anthropogenic population weighted PM_{2.5} concentrations on health and we show comparisons with mortality estimates provided by WHO and recent scientific publications (Silva et al., 2016). Figure 7 represents the premature deaths (PD) distribution due to air pollution, using population weighted PM_{2.5} concentrations and representative for anthropogenic emissions in the year 2010. The most affected areas are China and India, but also some countries of Western Africa and urban areas in Europe (in particular in the Benelux region and Eastern Europe). Our computations indicate that annual global outdoor premature mortality due to anthropogenic PM_{2.5} amounts to 2.1 million premature deaths, with an uncertainty range related to emission uncertainty of 1-3.3 million deaths/year. In our work we only evaluate how the uncertainty of emission inventories influences the health impact estimates focusing on the interregional aspects (i.e. we do not evaluate effects of misallocation of sources within regions) and not all the other sources of uncertainties, such as the uncertainty of concentration-response estimates, of air quality models used to estimate particulate matter concentrations, etc. An overview of the propagation of the uncertainty associated with an ensemble of air quality models to health and crop impacts is provided by

1 Solazzo et al. (2018). Solazzo et al. find in their analysis over the European countries a mean
2 number of PDs due to exposure to PM_{2.5} and ozone of approximately 370 thousands (inter-
3 quantile range between 260 and 415 thousand). Moreover, they estimate that a reduction in the
4 uncertainty of the modelled ozone concentration by 61% - 80% (depending on the aggregation
5 metric used) and by 46% for PM_{2.5}, produces a reduction in the uncertainty in premature
6 mortality and crop loss of more than 60%. However, we show here that the often neglected
7 emission inventories' uncertainty provides a range of premature deaths of ± 1.1 million at the
8 global scale, which is in the same order of magnitude of the uncertainty of air quality models and
9 concentration-response functions (Cohen et al., 2017). In 2010, using our central estimate, 82%
10 of the PDs occur in fast growing economies and developing countries, especially in China with
11 670 thousand and India with an almost equal amount of 610 thousand PD/year. Table 4
12 summarizes our estimates of premature mortality for aggregated world regions, with Europe
13 accounting for 210 thousand PD/year and North America 100 thousand PD/year.

14 Our results are comparable with Lelieveld et al. (2015) and Silva et al. (2016) who, using the
15 same Burnett et al. (2014) methodology, estimate a global premature mortality of 2.5 and 2.2
16 million people, respectively, due to air quality in 2010 for the same anthropogenic sectors.
17 However, a recent work published by Cohen et al. (2017) estimates a higher value of global
18 mortality (3.9 million PD/year) mainly due to a lower minimum risk exposure level set in the
19 exposure response function, the inclusion of the urban increment calculation and the contribution
20 of natural sources. When comparing mortality estimates we need to take into account that
21 several elements affect the results, like the resolution of the model, the urban increment subgrid
22 adjustment (including information on urban and rural population, refer to Van Dingenen et al.
23 2018), the inclusion or not of natural components, the impact threshold value used, and RR
24 functions. In this study, we use the population weighted PM_{2.5} concentration (excluding natural
25 components) at 1x1 degree resolution as metric for estimating health effects due to air, with a
26 threshold value of 5.8 $\mu\text{g}/\text{m}^3$, no urban increment adjustment, and relative risk functions
27 accordingly with Burnett et al. (2014). We also estimate that 7 % of the global non accidental
28 mortalities from the Global Burden of Disease (<http://vizhub.healthdata.org/gbd-compare>;
29 Forouzanfar et al. (2015)) are attributable to air pollution in 2010; 8.6% of total mortality in
30 Europe is due to air pollution, ranging from less than 1% up to 17% depending on the country;
31 similarly, Asian premature mortality due to air quality is equal to 8.7% of total Asian mortality,
32 with 10.6% contribution in China and 8.5% in India. Lower values are found for African
33 countries and Latin America where other causes of mortalities are still dominant compared to
34 developed countries.

35 Table 5 shows the number of premature deaths for each receptor region, highlighting the
36 premature mortality induced within the country itself and outside the receptor region. The PD
37 induced by Chinese and Indian emissions are mainly found within these two countries; however,
38 the annual PDs caused by China and India in external regions contribute an additional 700
39 thousand and ca 500 thousand PD/year, respectively, representing more than 50% of the global
40 mortality. Clearly, reducing emissions and emission uncertainties in these two regions will have
41 therefore the largest over-all benefit on global air quality improvement as well as on global
42 human health. As explained in Sect. 3.1, PDs attributed to internal/external emissions are directly
43 linked (proportional) to the internal/external PM2.5 contributions. For most of the TM5-FASST
44 regions, PDs due to anthropogenic emissions within the source region are higher than the extra-
45 regional contributions. However, there are marked exceptions, such as ~~the Gulf region~~, Hungary,

1 Czech Republic, Mongolia, etc., where the extra-regional and within-region contributions to
2 mortality are at least comparable. In factFor instance, Hungary and Czech Republic are strongly
3 influenced by polluted regions in Poland (mainly); likewise Mongolia is suffering from affected
4 by the vicinity of sources in China. The Gulf region produces a lot of its own pollution, but is
5 also influenced by transport from Africa and Eurasia as reported by Lelieveld et al. (2009).

6 Detailed information on the premature deaths for each TM5-FASST region and the contributing
7 anthropogenic emission sectors is shown in Figs. 8a and 8b. Health effects induced by air quality
8 in industrialized countries are mainly related with agriculture (32.4% of total mortality or 68
9 thousand PD/year), residential combustion (17.8% or 37 thousand PD/year) and road transport
10 (18.7% or 39 thousand PD/year) for Europe and with power generation (26.4% or 26 thousand
11 PD/year), industry (19% or 19 thousand PD/year), residential (17% or 17 thousand PD/year) and
12 agriculture (24.0% or 24 thousand PD/year) for North America. The health impacts observed in
13 most Western EU countries is due both to within-regions and extra-regional pollution, while in
14 several Eastern EU countries the impact of neighbouring countries is even larger compared to
15 within-region pollution. The premature deaths induced by international shipping emissions
16 represent 5.5% of total EU PD, in the range the results of Brandt et al. (2013a) (ca 50 thousand
17 PDs). PM related mortality in developing countries and fast growing economies is mostly
18 affected by industrial (up to 42% in China or 279 thousand PD/year) and residential activities
19 (ranging from 27% in China and 76% in Western Africa), and also by power generation (up to
20 24% in India or 113000 PD/year). Chinese emissions have a strong impact on China, Japan,
21 Vietnam, Mongolia+Korea, Thailand while the Indian emissions impact the rest of South and
22 South Eastern Asia. Reducing Chinese and Indian emissions will reduce the PM related mortality
23 in almost all countries in Asia. Our results are in agreement with the study of Oh et al. (2015)
24 where they highlight the role of transported pollution from China in affecting Korean and other
25 South Eastern Asian countries PM_{2.5} concentrations and health effects, as well as the need of
26 international measures to improve air quality.

27 Conclusions

28 We coupled the global anthropogenic emission estimates provided by the HTAP_v2.2 inventory
29 for 2010 (merging national and regional inventories) to the global source receptor model TM5-
30 FASST, to study PM_{2.5} concentrations and the corresponding health impacts, including an
31 evaluation of the impacts of uncertainties in national emission inventories. Annual and regionally
32 averaged anthropogenic PM_{2.5} concentrations, corresponding to the 2010 emissions, vary
33 between ca 1 and 40 µg/m³, with the highest annual concentrations computed in China (40
34 µg/m³, range: 22.4 - 76.6 µg/m³) India (35 µg/m³, range: 16.6 - 73.4 µg/m³), Europe and North
35 America (each 8 µg/m³, range: 4.4 - 14.4 µg/m³) and Europe (on average ca 8 µg/m³, range: 5 -
36 18 µg/m³). Anthropogenic PM_{2.5} concentrations are mainly due to emissions within the source
37 region, but extra-regional transported air pollution can contribute by up to 40%, e.g. from China
38 to SE Asia, from EU to Russia, etc.). Moreover, due to the transport of PM between European
39 countries, EU wide directives can help improving the air quality across Europe.

40 For our analysis we aggregate our results derived from 56 TM5-FASST source regions, into 10
41 global regions to facilitate the comparison of results in regions of more equal size. The relative
42 contribution of anthropogenic sectors to PM_{2.5} concentrations varies in different regions. In
43 Europe in 2010, the agriculture and residential combustion sectors contribute strongest to PM_{2.5}
44 concentrations and these sectors are also associated with relatively large emission uncertainties.

1 PM_{2.5} concentrations in China and other emerging economies are predominantly associated with
2 the power generation, industry and residential activities.

3 Using the HTAP_v2.2 emission inventory and TM5-FASST, we also evaluate how the
4 uncertainty in sectors and regions propagates into PM_{2.5}. The aim of our analysis is to provide
5 insights on where improvement of country emission inventories would give largest benefits,
6 because of their highest uncertainty and highest contribution to the formation of PM_{2.5}
7 concentrations. The uncertainty of PM concentrations depends in variable proportions to the
8 uncertainties of the emissions within receptor regions, and surrounding regions. We show that
9 reducing the uncertainties in the Chinese and Indian emission inventories (e.g. from industry and
10 residential sectors) will be highly relevant for more accurate quantification of the contribution of
11 the long-range sources to PM_{2.5} pollution in most of Asian countries. Here we demonstrate how
12 analysis of uncertainties in national/regional sectorial emission inventories can further inform
13 coordinated transboundary and sector-specific policies to significantly improve global air
14 quality. Among all anthropogenic emission sectors, the combustion of biomass for household
15 purposes represents one of the major sources of uncertainties in emission inventories both in
16 terms of wood consumption and emission factor estimates. Further effort is therefore required at
17 national level to better characterize this source.

18 Finally, we analyse the air quality effects on health. Global health effects due to PM_{2.5}
19 concentrations calculated with TM5-FASST and anthropogenic emissions in 2010 are estimated
20 to be ca 2.1 million premature deaths/year, but the uncertainty associated with emission ranges
21 between 1-3.4 million deaths/year, with the largest fraction of PD (82%) in developing countries.

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26 paper ‘TM5-FASST: a global atmospheric source-receptor model for rapid impact analysis of
27 emission changes on air quality and short-lived climate pollutants’ by Van Dingenen et al.
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1 **Tables and Figures**

2 **Table 1 - Sector specific contribution [%] to annual anthropogenic PM_{2.5} concentrations for aggregated world**
3 **regions based on the ‘central’ estimates which do not consider uncertainty.** The largest contributing sectors
4 (above a threshold of 15%) are shaded in blue.

	POWER	INDUSTRY	TRANSPORT	RESIDENTIAL	AGRICULTURE	SHIPPING
Africa	26.7	16.1	3.6	37.9	8.2	7.4
China+	18.3	42	7.5	23.1	8.8	0.3
India+	20.8	19.4	11.4	45.2	3	0.2
SE Asia	17.1	35.9	9	27.2	7.4	3.4
Europe	15.1	14.3	18.7	19.7	27.7	4.4
Latin America	25.6	33.7	6.6	18.9	12.6	2.6
Middle East	37.9	25.2	9.7	11.7	13.7	1.8
Russia	23.5	30.9	8.6	13	23.1	0.8
North America	20.4	23.5	10.8	15.5	25.6	4.2
Oceania	13.9	30.7	5.1	9.8	18.6	21.8

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1 Table 2 - Annual average PM_{2.5} concentrations ($\mu\text{g}/\text{m}^3$) with upper and lower range in brackets due to
 2 emission inventories uncertainty (1 standard deviation, σ). The upper and lower range of PM_{2.5}
 3 concentrations are calculated as the reference concentrations multiplied and divided by $(1+\sigma)$ respectively.
 4 The third column reflects the fractional uncertainty due to the contribution of primary PM_{2.5} emissions.

World region	TM5-FASST region	PM _{2.5} concentration ($\mu\text{g}/\text{m}^3$)	Fraction of uncertainty due to primary PM emissions (%)
Asia	South Korea	13.8 (8.3 - 24.9)	71%
	Japan	6.9 (3.8 - 13.3)	84%
	Mongolia+ North Korea	14.6 (9.0 - 25.9)	75%
	China	39.9 (22.4 - 76.6)	78%
	Taiwan	6.4 (3.7 - 10.9)	77%
	Rest of South Asia	29.3 (13.9 - 64.9)	87%
	India	34.7 (16.6 - 73.4)	86%
	Indonesia	2.4 (1.3 - 4.6)	86%
	Thailand	8.0 (5.1 - 12.6)	88%
	Malaysia	3.1 (1.8 - 5.2)	85%
	Philippines	2.0 (1.1 - 3.8)	80%
	Vietnam	14.2 (7.0 - 30.4)	92%
Europe	Rest of South Eastern Asia	8.6 (4.6 - 17.6)	89%
	Austria+Slovenia	8.4 (4.0 - 19.6)	59%
	Switzerland	10.1 (4.9 - 23.3)	52%
	Benelux	10.1 (5.2 - 22.7)	59%
	Spain+Portugal	5.4 (3.4 - 9.4)	77%
	Finland	2.6 (1.3 - 5.8)	66%
	France	9.3 (5.0 - 19.0)	69%
	Great Britain+Ireland	6.1 (3.2 - 13.0)	66%
	Greece+Cyprus	7.6 (4.8 - 12.7)	74%
	Italy+Malta	11.8 (6.2 - 25.2)	64%
	Germany	9.3 (5.0 - 20.0)	54%
	Sweden+Denmark	4.1 (2.2 - 8.4)	65%
	Norway	2.4 (1.2 - 5.4)	89%
	Bulgaria	10.6 (5.4 - 21.6)	66%
	Hungary	9.2 (4.4 - 21.6)	60%
	Poland+Baltic	7.9 (3.6 - 20.2)	54%
	Rest of Central EU	9.3 (4.7 - 20.4)	63%
	Czech Republic	10.3 (4.8 - 25.1)	58%
	Romania	10.9 (5.5 - 24.1)	67%

World region	TM5-FASST region	PM _{2.5} concentration (µg/m ³)	Fraction of uncertainty due to primary PM emissions (%)
Africa	Northern Africa	4.2 (2.3 - 4.3)	80%
	Egypt	11.0 (5.0 - 27.8)	46%
	Western Africa	4.0 (1.7 - 10.2)	96%
	Eastern Africa	2.7 (1.4 - 5.7)	89%
	Southern Africa	1.0 (0.5 - 2.2)	90%
	Rep. of South Africa	6.1 (3.1 - 12.5)	84%
Gulf/Middle East	Middle East	9.2 (5.4 - 17.8)	58%
	Turkey	8.7 (4.9 - 17.1)	67%
	Gulf region	7.8 (4.7 - 14.5)	57%
Latin America	Brazil	1.6 (1.1 - 2.6)	85%
	Mexico	4.2 (2.1 - 9.2)	62%
	Rest of Central America	2.0 (1.0 - 4.0)	78%
	Chile	13.7 (7.3 - 29)	70%
	Argentina+Uruguay	1.1 (0.7 - 1.9)	77%
	Rest of South America	2.4 (1.6 - 3.9)	69%
NA	Canada	4.3 (2.4 - 8.3)	66%
	USA	7.8 (4.4 - 14.4)	71%
Russia	Kazakhstan	4.9 (3.2 - 8.9)	62%
	Former USSR Asia	7.5 (4.0 - 17.6)	49%
	Russia (EU)	3.3 (1.9 - 6.7)	57%
	Russia (Asia)	2.7 (1.7 - 5.1)	64%
	Ukraine	7.8 (4.2 - 15.9)	65%
Oceania	Australia	1.1 (0.8 - 1.4)	84%
	New Zealand	0.3 (0.1 - 0.5)	60%
	Pacific Islands	0.2 (0.1 - 0.4)	75%

Table 3 - PM_{2.5} concentrations due to the residential sector emissions in Europe, European part of Russia, Ukraine and Turkey and uncertainty range including the uncertainty in the biomass consumption for the same sector.

	PM _{2.5} ($\mu\text{g}/\text{m}^3$) - RESIDENTIAL	PM _{2.5} ($\mu\text{g}/\text{m}^3$)- RESIDENTIAL including biomass uncertainty
Romania	3.1	11.4
Czech Republic	2.9	10.7
Italy+Malta	3.6	10.6
Rest of Central EU	2.5	9.2
Hungary	2.5	9.1
Bulgaria	2.3	8.6
Poland+Baltic	2.2	8.3
Austria+Slovenia	2.2	7.1
Ukraine	1.7	6.1
France	2.1	6.0
Turkey	1.7	5.9
Norway	1.3	4.1
Switzerland	1.4	3.9
Greece+Cyprus	1.2	3.8
Germany	1.1	3.0
Spain+Portugal	1.0	2.7
Benelux	0.9	2.5
Sweden+Denmark	0.8	2.4
Finland	0.7	2.1
Great Britain+Ireland	0.7	1.8
Russia (EU)	0.4	1.3

Table 4 – Absolute and population size normalized number of premature deaths/year due to anthropogenic PM_{2.5} air pollution in world regions and corresponding uncertainty range.

	PD (deaths/year)
China+	$6.7 \cdot 10^5$ ($3.5 \cdot 10^5$ - $1.0 \cdot 10^6$)
India+	$6.1 \cdot 10^5$ ($2.7 \cdot 10^5$ - $9.6 \cdot 10^5$)
Europe	$2.6 \cdot 10^5$ ($1.4 \cdot 10^5$ - $4.8 \cdot 10^5$)
SE Asia	$1.5 \cdot 10^5$ ($8.3 \cdot 10^4$ - $2.5 \cdot 10^5$)
Russia	$1.1 \cdot 10^5$ ($6.7 \cdot 10^4$ - $2.4 \cdot 10^5$)
North America	$1.0 \cdot 10^5$ ($5.5 \cdot 10^4$ - $1.7 \cdot 10^5$)
Africa	$7.4 \cdot 10^4$ ($3.4 \cdot 10^4$ - $1.6 \cdot 10^5$)
Middle East	$5.6 \cdot 10^4$ ($3.2 \cdot 10^4$ - $9.7 \cdot 10^4$)
Latin America	$2.6 \cdot 10^4$ ($1.4 \cdot 10^4$ - $5.3 \cdot 10^4$)
Oceania	$5.5 \cdot 10^3$ ($3.4 \cdot 10^3$ - $1.2 \cdot 10^4$)

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Table 5 – Number of premature deaths for each receptor region including the within-region and extra-regional attribution based on PM2.5 ‘central’ estimates, which do not consider uncertainty. For the RERER metric refer also to Table S2.

world regions	TM5-FASST region <u>eodename</u>	PDs in receptor region (deaths/year)	Within-region PDs (deaths/year)	Extra-regional PDs (deaths/year)
Africa	Eastern Africa	16705	8218	8487
Africa	Egypt	17282	11380	5902
Africa	Northern Africa	5424	3427	1997
Africa	Rep. of South Africa	9065	8797	268
Africa	Southern Africa	345	322	23
Africa	Western Africa	25081	19785	5296
Asia	China	655870	643129	12741
Asia	Indonesia	17780	14803	2977
Asia	India	474660	412298	62362
Asia	Japan	25636	15181	10455
Asia	South Korea	25295	7510	17784
Asia	Mongolia+North Korea	12657	4076	8581
Asia	Malaysia	2014	1058	957
Asia	Philippines	121	94	27
Asia	Rest of South Asia	134280	67170	67110
Asia	Rest of South Eastern Asia	23316	3814	19502
Asia	Thailand	21231	10495	10736
Asia	Taiwan	3443	1028	2415
Asia	Vietnam	30750	20286	10464
Europe	Austria+Slovenia	6073	1806	4267
Europe	Bulgaria	4739	1709	3030
Europe	Benelux	9090	4201	4889
Europe	Switzerland	3200	1568	1632
Europe	Czech Republic	7936	2696	5240
Europe	Germany	36256	18595	17661
Europe	Spain+Portugal	11291	8487	2804
Europe	Finland	0	0	0
Europe	France	22046	13320	8727
Europe	Great Britain+Ireland	13949	9459	4490
Europe	Greece+Cyprus	3117	1133	1984
Europe	Hungary	14211	3820	10391
Europe	Italy+Malta	24417	16312	8105
Europe	Norway	674	516	158
Europe	Poland+Baltic	28686	15877	12809

Europe	Rest of Central EU	6764	3418	3346
Europe	Romania	14155	6979	7176
Europe	Sweden+Denmark	2650	1021	1629
Latin America	Argentina+Uruguay	133	75	58
Latin America	Brazil	4261	3968	293
Latin America	Chile	3332	3283	49
Latin America	Mexico	10478	8447	2031
Latin America	Rest of Central America	3413	2772	640
Latin America	Rest of South America	4489	4164	325
Middle East	Gulf region	15176	11225	3951
Middle East	Middle East	6784	2804	3980
Middle East	Turkey	34151	24191	9960
North America	Canada	3262	1491	1771
North America	USA	97877	90176	7701
Oceania	Australia	28	25	3
Oceania	New Zealand	24	15	9
Oceania	Pacific Islands	3	1	2
Russia	Kazakhstan	3389	1100	2290
Russia	Former USSR Asia	10757	6420	4337
Russia	Russia (Asia)	1348	601	746
Russia	Russia (EU)	25149	12704	12445
Russia	Ukraine	71724	44604	27120

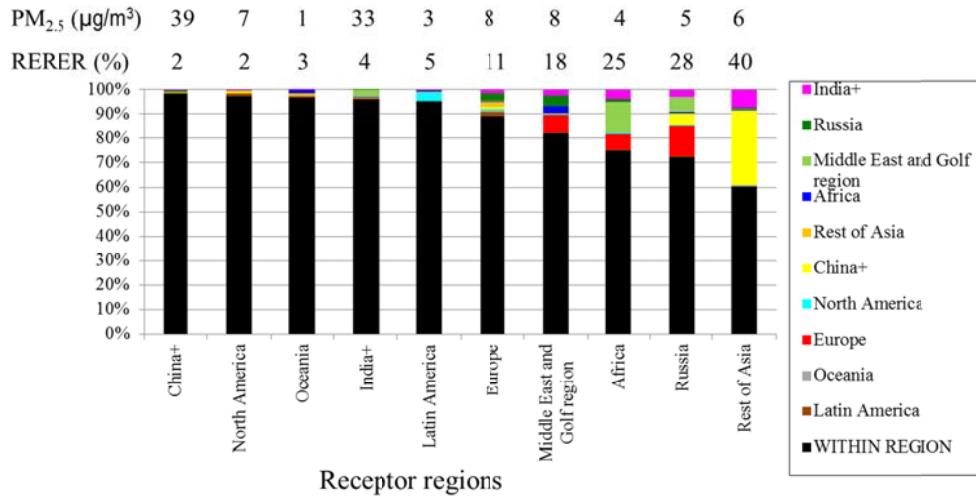


Figure 1 – Within-region vs. imported extra-regional anthropogenic population weighted PM_{2.5} concentrations [%] for aggregated world regions based on ‘central’ estimates. Annual average population weighted anthropogenic concentrations (in µg/m³) are reported on top of each bar together with the RERER metric (%). Shipping emissions were not included.

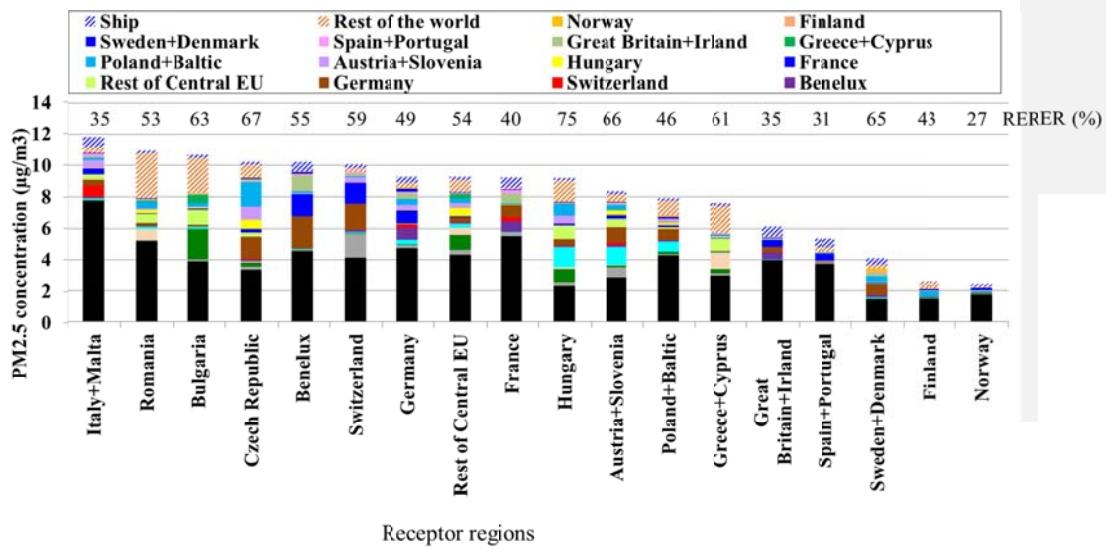
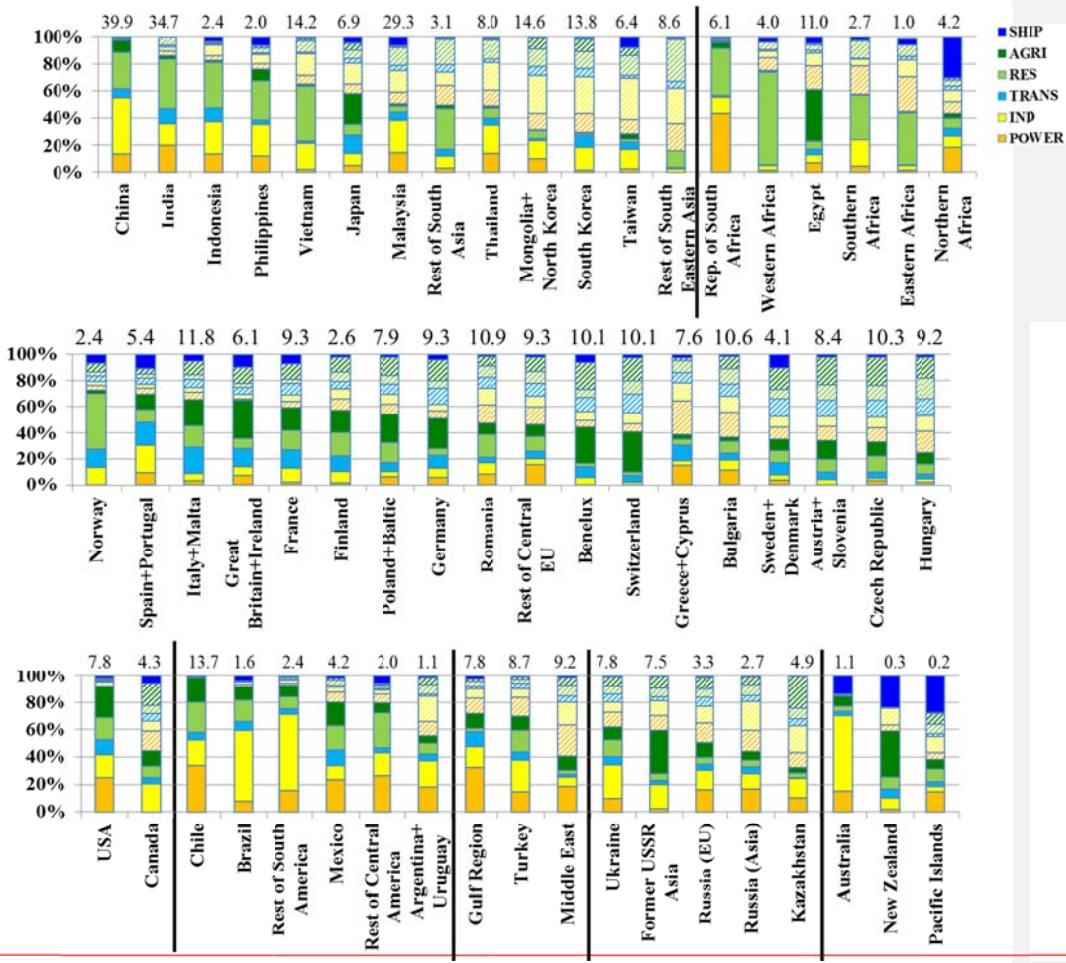


Figure 2 – Anthropogenic PM_{2.5} concentrations in 18 countries and sub-regions in Europe separated in within-region and extra-regional contributions. The RERER metric (%) is reported on top of each bar.



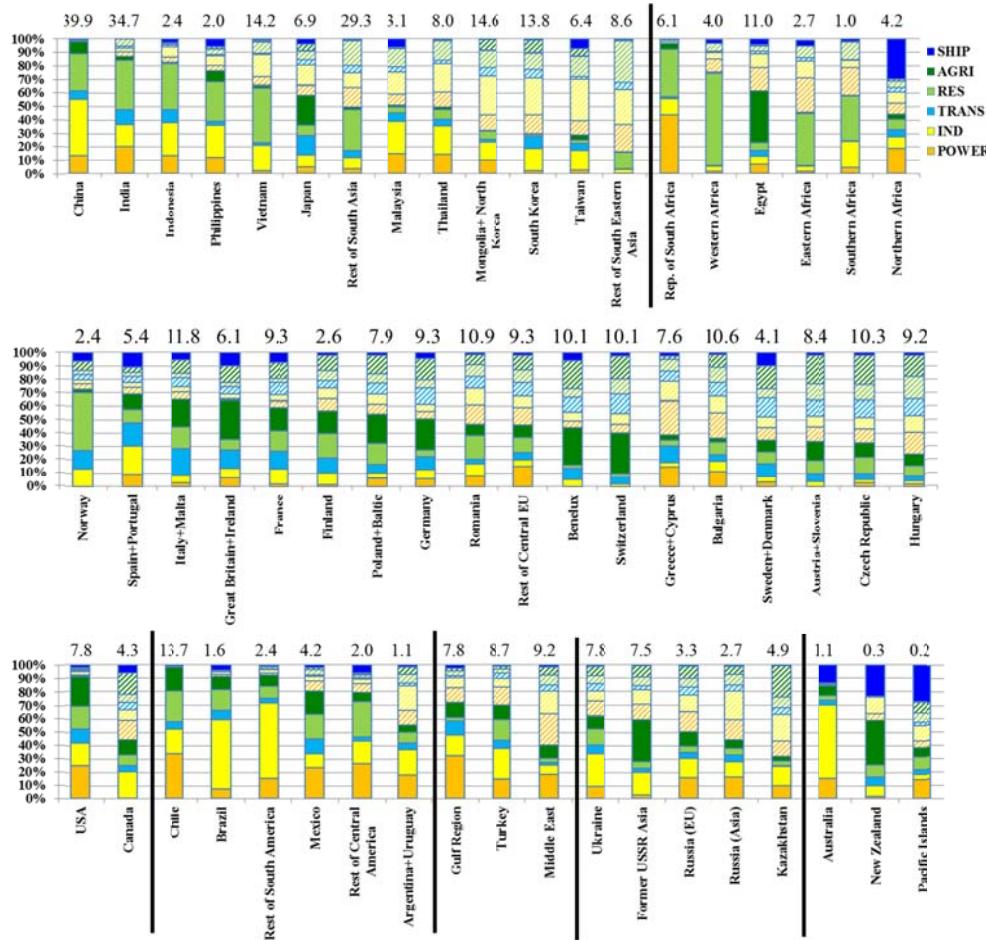


Figure 3 - Fraction of within-region and extra-regional (shaded areas) anthropogenic PM_{2.5} concentrations separate by sector for receptor region within the macro-regions: Asia and Africa (upper panel), Europe (middle panel), North America, Latin America, Middle East, Russia and Oceania (lower panel). Annual averaged anthropogenic concentrations (in $\mu\text{g}/\text{m}^3$) are reported on top of each bar. The RERER metric (%) for the 56 TM5-FASST regions is also reported in Table S2.

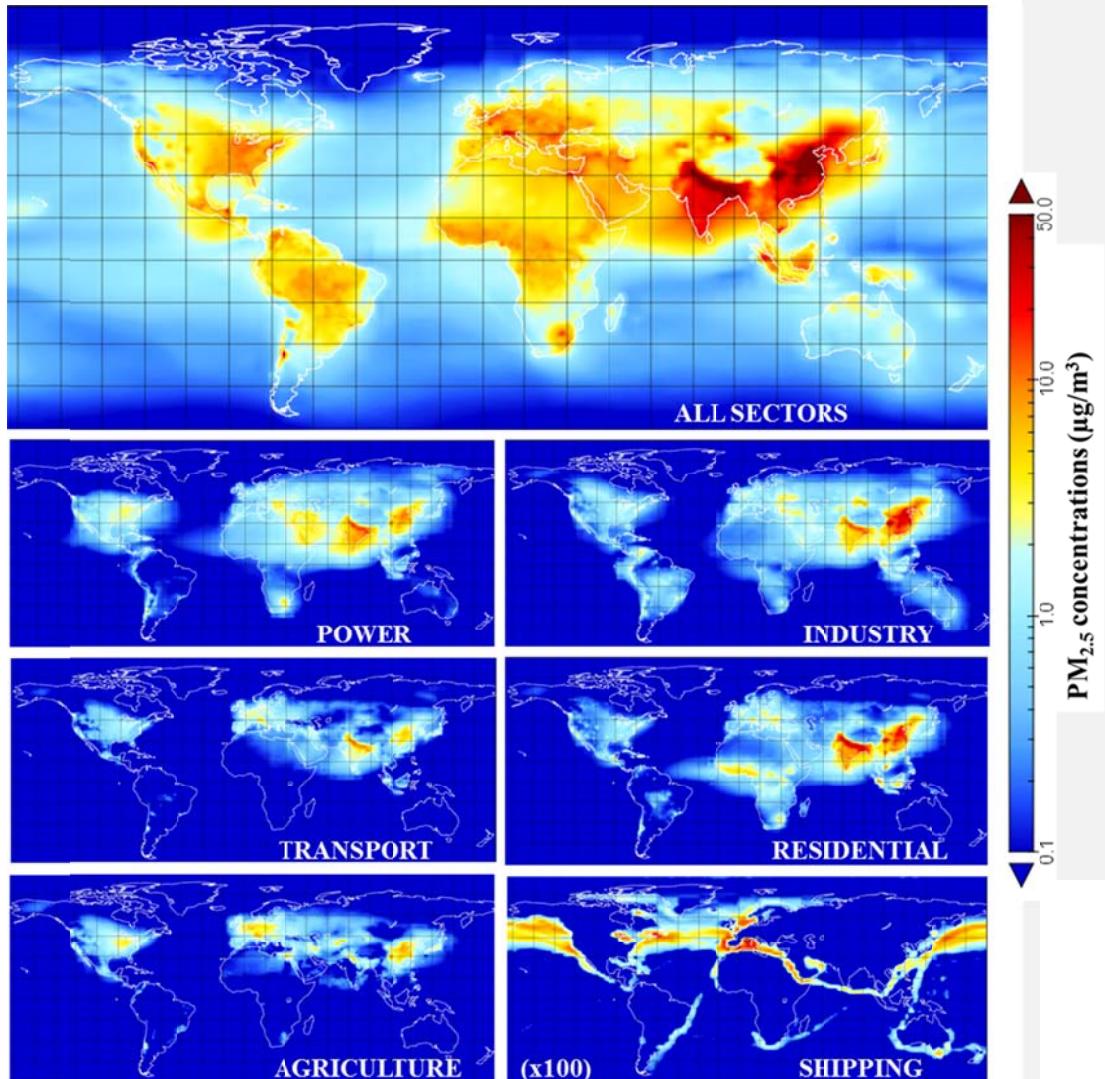


Figure 4 – Total anthropogenic PM_{2.5} concentrations ($\mu\text{g}/\text{m}^3$) and sectorial contributions using 2010 emissions.

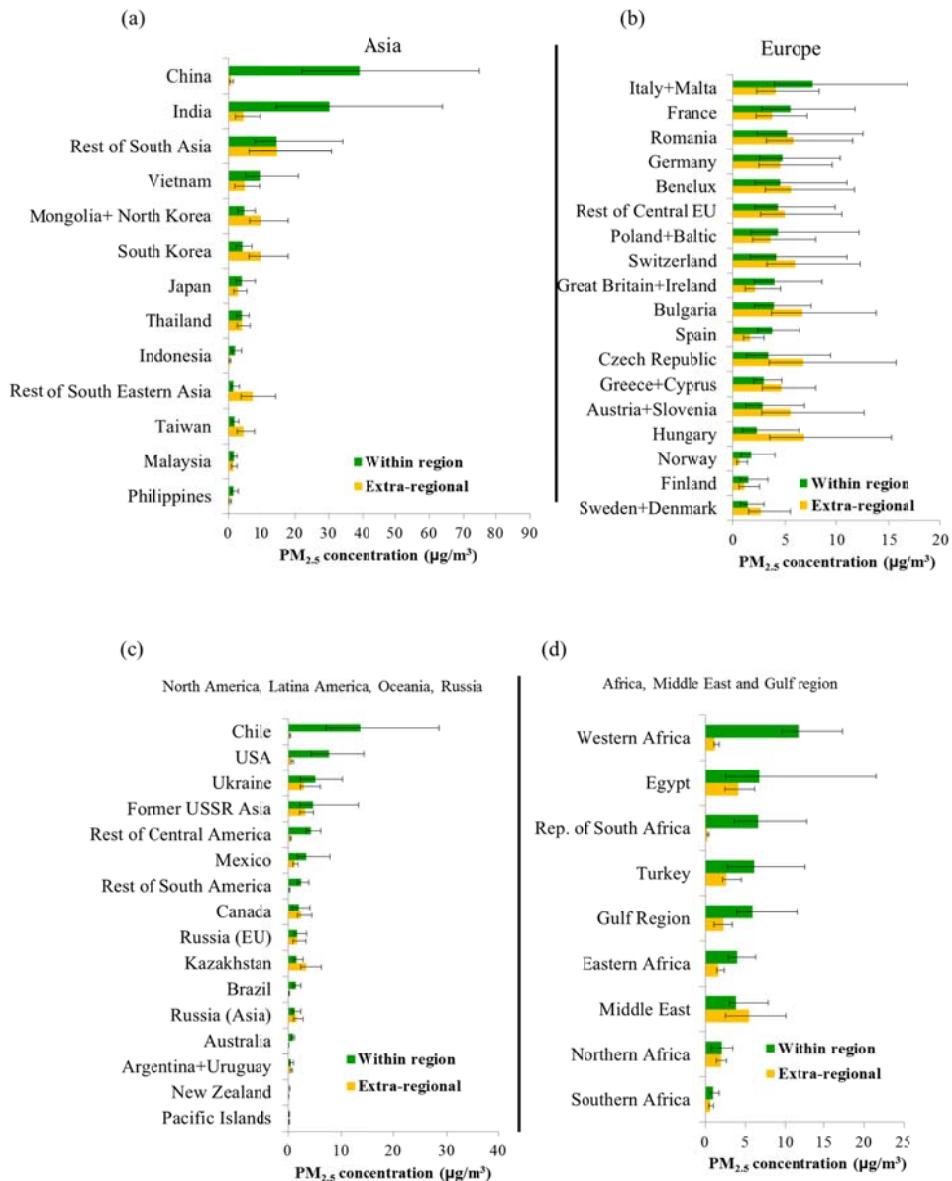


Figure 5 - Within-region and extra-regional anthropogenic $\text{PM}_{2.5}$ concentrations and emission related uncertainties for Asia (panel a), Europe (panel b), North America, Latin America, Oceania and Russia (panel c) and Africa, Gulf region and Middle East (panel d). The error bars are calculated multiplying and dividing the reference emissions by $(1+\sigma)$ as discussed in Sect. 2.3.

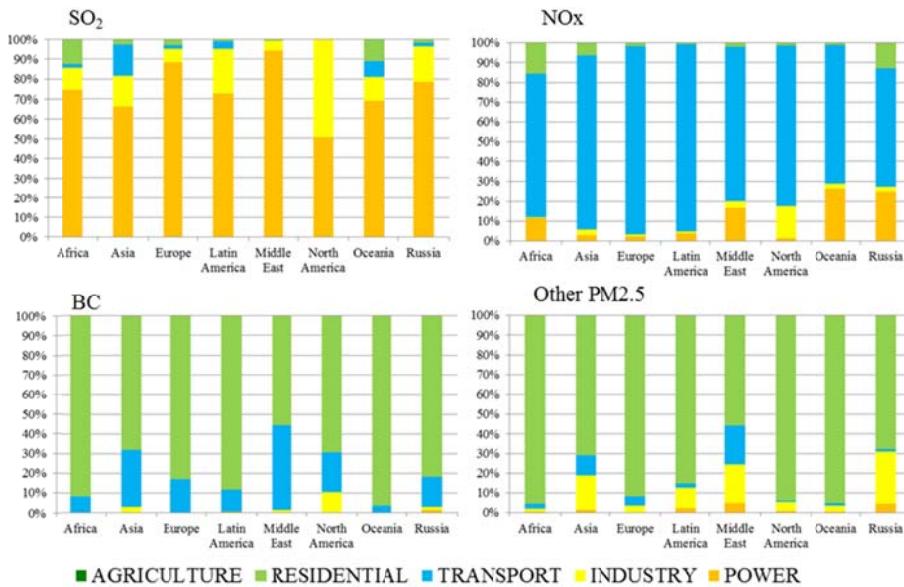


Figure 6 – Contribution of anthropogenic sectors to the emission uncertainty of various pollutants for different world regions.

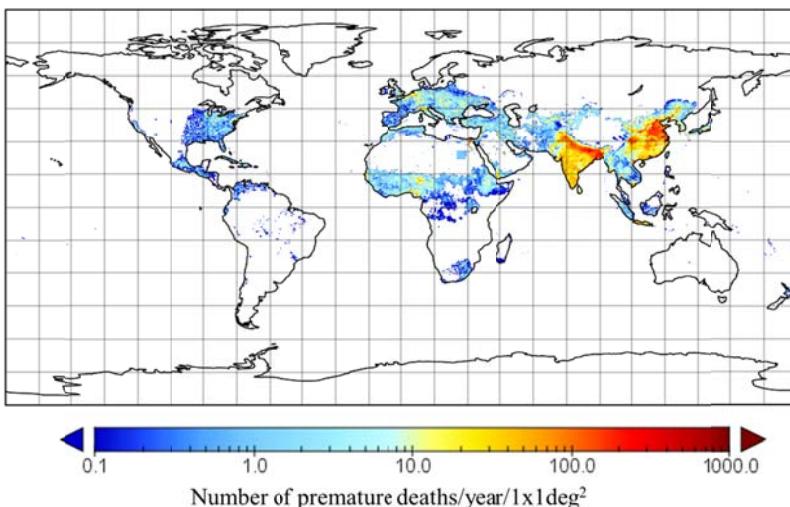


Figure 7 – Global distribution of premature deaths in 2010 caused by anthropogenic particulate matter pollution estimated using the methodology described in Burnett et al. (2014). A threshold value of 5.8 µg/m³ is assumed and no urban increment adjustments are considered. The relative risk functions of Burnett et al. (2014) are used for PD-the premature death dose-response estimates.

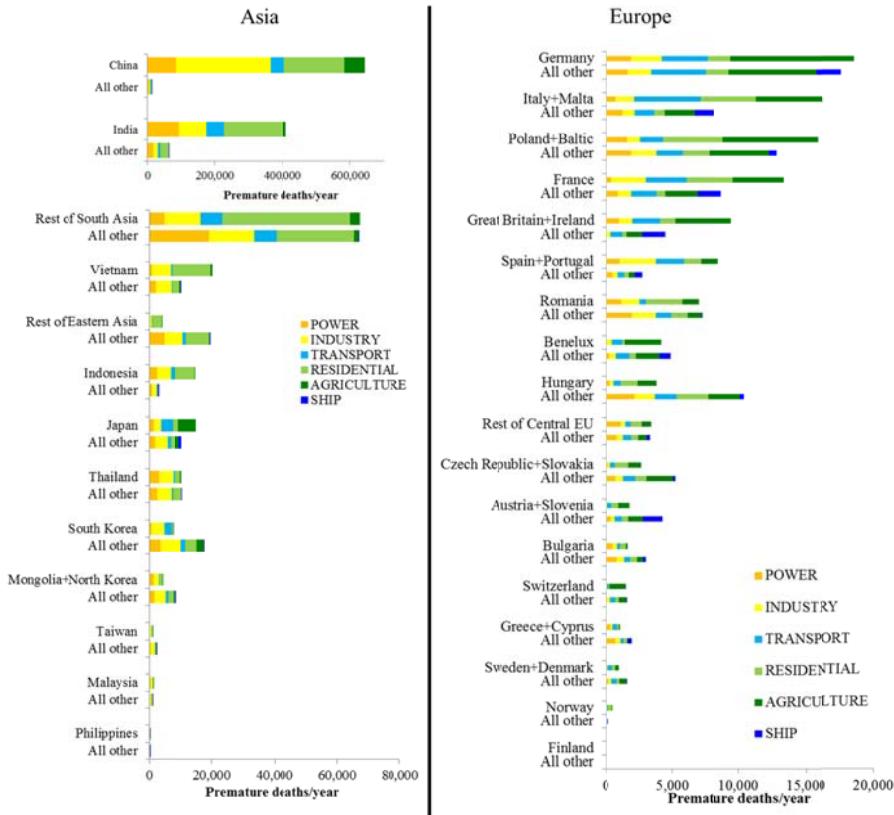


Figure 8a – Anthropogenic emission sector contributions to premature mortality (deaths/year) due to PM_{2.5} population weighted concentrations in the TM5-FASST receptor regions of Asia (left) and Europe (right). Sector and region contributions pertain to the ‘central’ emission estimates.

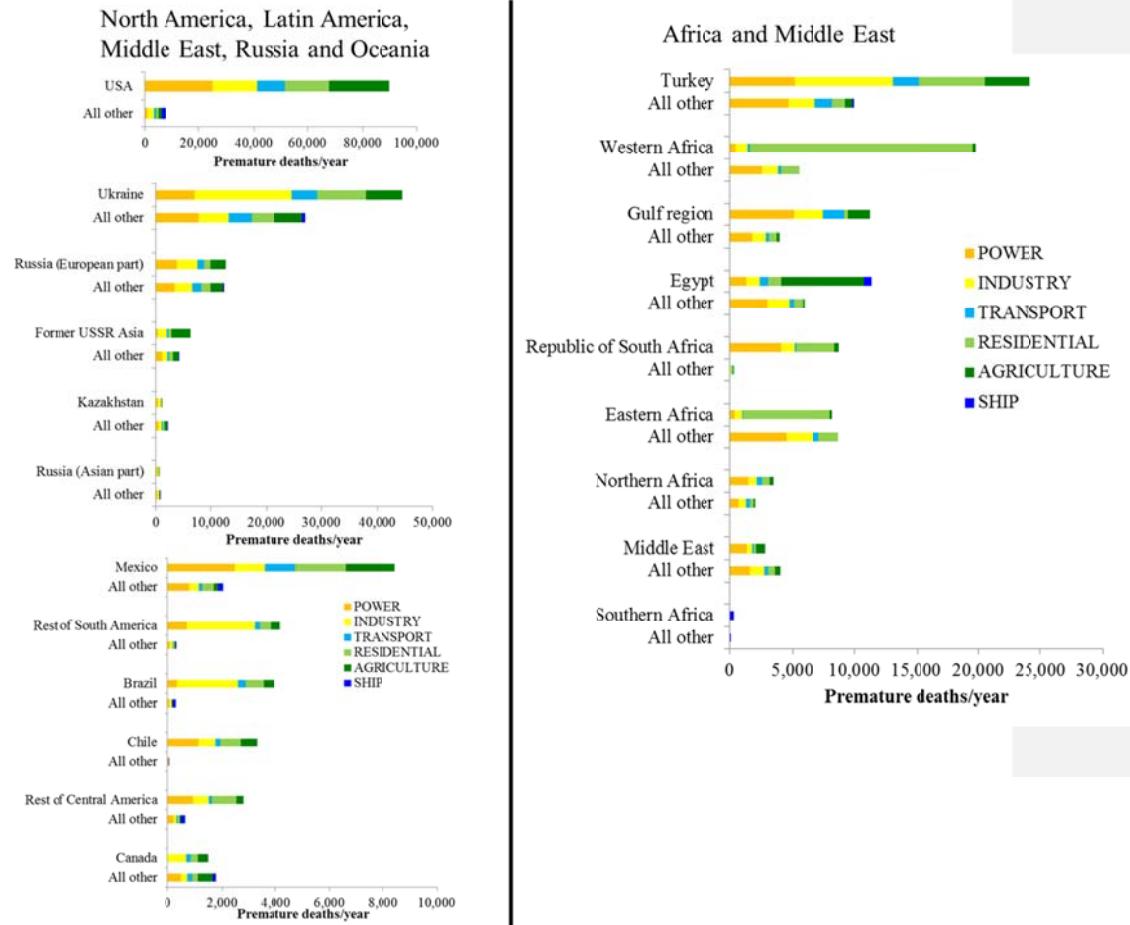


Figure 8b – Anthropogenic emission sector contributions to premature mortality (deaths/year) due to PM_{2.5} population weighted concentrations in the TM5-FASST receptor regions of North America, Latin America, Russia, Middle East and Oceania (left hand side) and Africa (right hand side). Note that mortality estimates for Argentina+Uruguay, Australia, New Zealand and Pacific Islands are not reported being several orders of magnitude lower than other countries estimates. Sector and region contributions pertain to the ‘central’ emission estimates.