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## Response to comments

### Anonymous Referee #1

Received and published: 10 September 2017

*The manuscript “Insight into the in-cloud formation of oxalate based on in situ measurement by single particle mass spectrometry” provides in-situ observations of oxalate containing particles using single particle mass spectrometry combined with ground based counterflow virtual impactor. This study aims to quantify single particle mixing state and formation path of oxalate in cloud droplet residuals (cloud RES), the cloud interstitials (cloud INT), and ambient particles (PM 2.5) (cloud-free) at remote mountain site, in southern China during winter time in 2016. It concludes that oxalate-containing particles are highly correlated to aged biomass burning (potassium-rich) particles during the study period. In addition, enrichment of various organic acids in aged biomass burning particles is a control factor for oxalate formation. The results suggest that cloud processing is the regional dominant formation mechanism for oxalate production with glyoxylate as a major intermediate.*

*The topic of this paper is relevant to the journal and has importance scientifically. The experiment design and data analysis presented are well done. However, in discussion section, the authors need to provide more sufficient in-depth scientific interpretation and discussion rather than make simple comparisons and draw conclusions in a rush by citing previous studies. Prior to publication, the authors should address the specific comments below.*

[We would like to thank the reviewer for his/her useful comments and recommendations to improve the manuscript. We have addressed the specific comments in the following text.](#)

*1. Line 86: For the sake of completeness, authors can include the following study based on aircraft data:*

*Sorooshian, A., S. M. Murphy, S. Hersey, R. Bahreini, H. Jonsson, R. C. Flagan, and J. H.*

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*Seinfeld (2010). Constraining the contribution of organic acids and AMS m/z 44 to the organic aerosol budget: On the importance of meteorology, aerosol hygroscopicity, and region, Geophys. Res. Lett., 37, L21807, doi:10.1029/2010GL044951.*

Thanks for the suggestion. We have included the citation (Sorooshian et al., 2010) as suggested.

2. *Line 99 and Line103: Shouldn't the appropriate reference be Sullivan and Prather, 2007 instead of Sullivan et al., 2007?*

Thanks for the suggestion. We have cited (Sullivan and Prather, 2007) instead of (Sullivan et al., 2007) as suggested.

3. *Line 134- 136: "The first one was a ground-based counterflow virtual impactor (GCVI) (Model 1205, Brechtel Mfg. Inc., USA), applied to collect the cloud RES particles with a diameter greater than 8  $\mu\text{m}$ ." Is the 8 micron in reference to droplets or the actual particle size? I believe it is the droplet size, and so more careful wording is required here to not say it is the particles that have diameters above 8 micron.*

We agree with the comment. We have corrected the sentence to "The first one was a ground-based counterflow virtual impactor (GCVI) (Model 1205, Brechtel Mfg. Inc., USA), applied to obtain the cloud RES particles from the cloud droplets larger than 8  $\mu\text{m}$ ". Please refer to Lines 133-135 of the revised manuscript.

4. *Suggest restructuring section 2.1 and 2.2 into one section, since currently section 2.2 doesn't provide many details about instrumentation for the study.*

Thanks for the suggestion. Section 2.1 and 2.2 have been restructured into one section accordingly.

5. *Line 183- Line186: Nfs of oxalate-containing particles for the three types shown in Fig.*

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*1 (b) are number fractions relative to total oxalate-containing particles or total detected particles?*

Nfs of oxalate-containing particles for the three types shown in Fig. 1 (b) are number fractions relative to the total detected particles. We have revised the figure caption to “Fig. 1. (a) Temporal variation (in one-hour resolution) of Nfs of the oxalate-containing particles, and box-and-whisker plots of (b) the Nfs of oxalate-containing particles as shown in (a)” to make it clear. Please refer to Lines 632-635 in the revised manuscript.

*6. Line 200-201: “Oxalate-containing particles had higher Nfs in the smaller cloud free particles, indicative of primary emission or photochemical production followed by condensation (Zauscher et al., 2013).” It likely would be more clear to indicate the specific particle size range as it is shown in the Fig. 2, instead of using the word “smaller”. In Fig 2., Nfs of cloud-free particles show two peak Nf values (0.1) at the very first and last point. What are the interpretations for the peak at largest  $d_{va}$ ? Previous studies have shown oxalic acid found in aged sea salt and mineral dust particles in both sub and super-micron size range.*

Thanks for the comment. The sentence has been revised to “Oxalate-containing particles had higher Nfs in the cloud-free particles with  $d_{va} < 0.4 \mu\text{m}$ , indicative of primary emission or photochemical production followed by condensation (Zauscher et al., 2013).”.

We have checked the distribution of each particle type of the cloud-free oxalate-containing particles along  $d_{va}$ . The result shows that the oxalate-containing particles at the largest  $d_{va}$  (1.3-1.4  $\mu\text{m}$ ) they were most likely contributed by the aged biomass burning particles, as shown below. However, it shouldn't be conclusive since only 12 particles were found at this size range. Please refer to Fig. S2 in the revised manuscript.

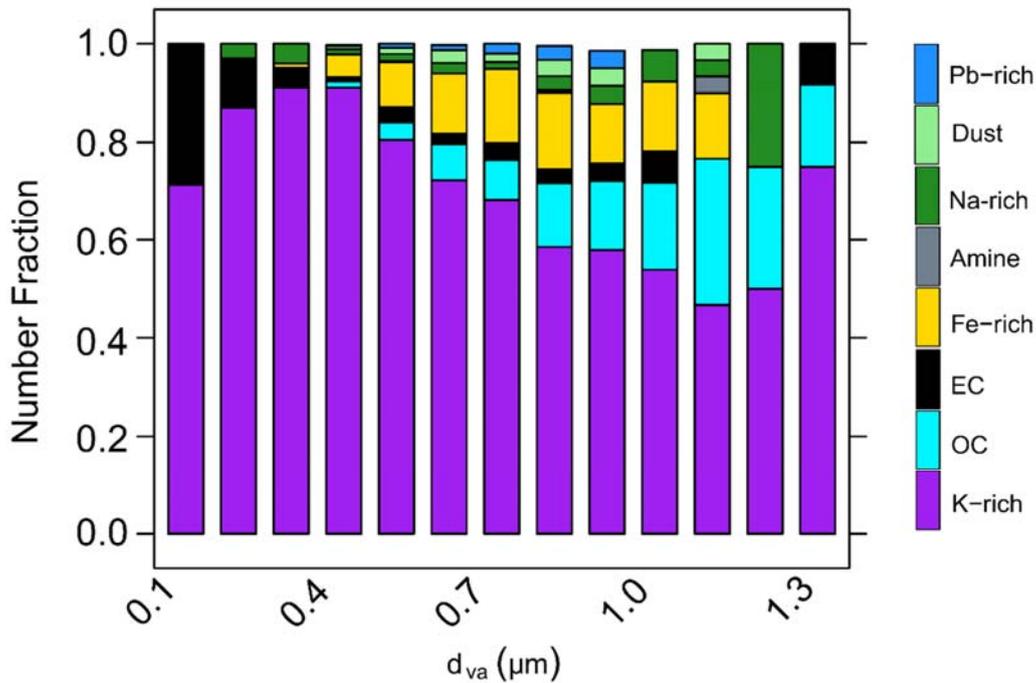


Fig S2. The size-resolved number fraction for each particle types of oxalate-containing particles.

7. Line 209- 217: Improvement of Fig. S4 is required in order to support the comparisons between cloud RES and cloud-free particle types. The current figure shows the trends of Nfs and RPA for all particles instead of straightforward comparisons among the different particle types and especially, it is hard to distinguish Cloud RES and Cloud INT. Wind direction is not helpful to separate them, since the two types might have same air mass origins (i.e. cloud event III). Suggest using different markers to represent the three types in Fig. S4.

Thanks for the comment. We have revised the Fig. S4 (shown as below) by using different markers to represent the three types in addition to the wind direction, in order to support the comparison between cloud RES, cloud INT, and cloud-free particles.

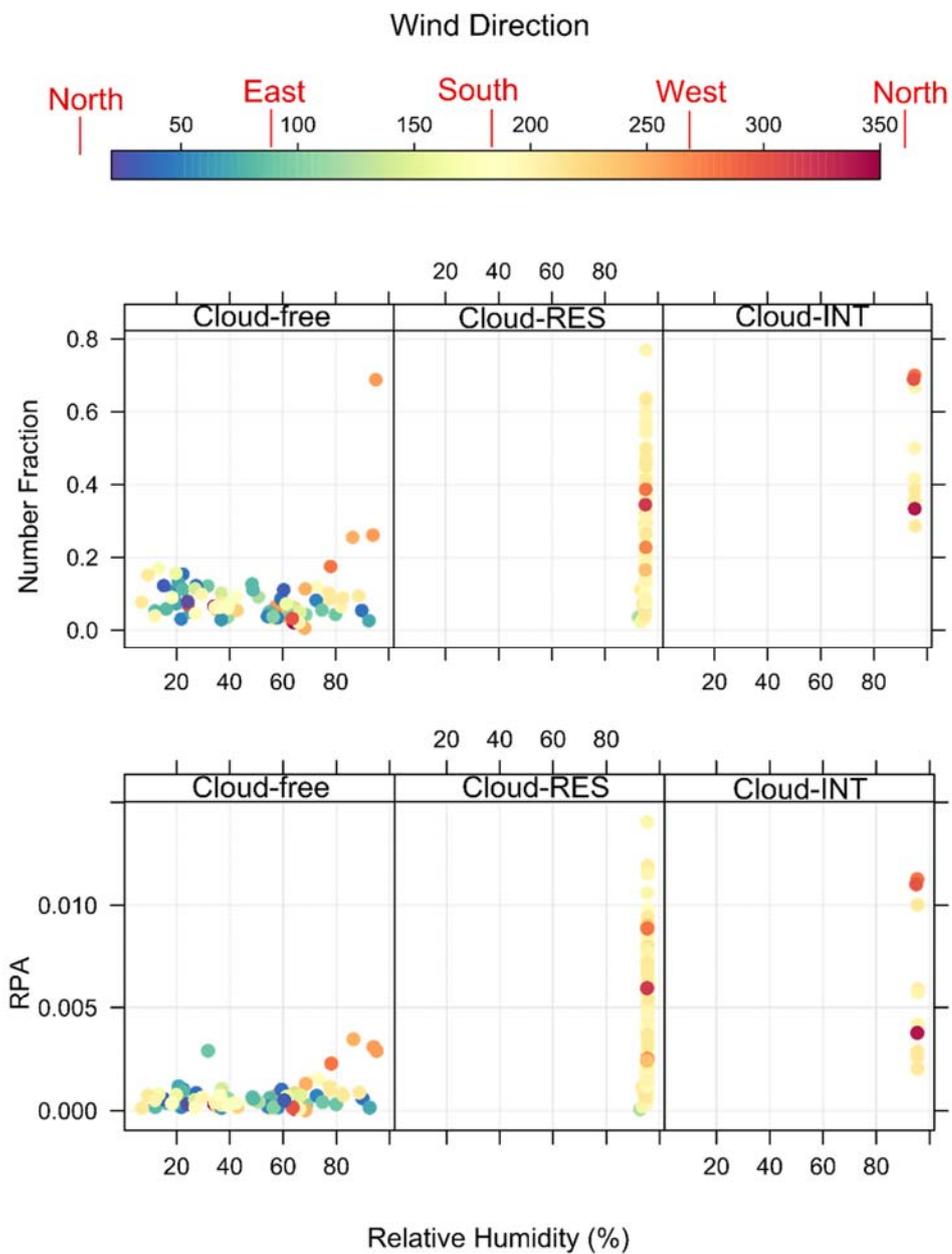


Figure S4. Scattering plots of (upper) the number fraction and (lower) the RPA of the oxalate-containing particles versus relative humidity, separated for the cloud-free, cloud RES, and cloud INT particles. The coloration indicates the wind direction.

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8. Line 229: *all major ion peaks in Fig. 3 show higher Nfs in oxalate-containing particles than ones in all particles, except m/z 18 (ammonium). It is necessary to add discussion here for the difference between m/z 18 and the rest of the species, since it is an important message delivered by Fig 3.*

Thanks for the comment. We have analyzed the Nfs of ammonium associated with different particle types in oxalate-containing particles. The result indicates that the higher Nf of ammonium in all the detected particles rather than in the oxalate-containing particles is due to uneven distribution of ammonium among the different particle types. As can be seen in Fig. 4, oxalate was dominantly distributed in the K-rich particle type, which contained lower fraction of ammonium (~40%). However, as the dominant type in all the detected particles, EC type contained higher fraction (~80%) of ammonium. Therefore, the alkali nature (larger abundance of potassium, sodium) of the K-rich might explain the lower fraction of ammonium associated with the oxalate-containing particles. The discussion on this issue has been added in Lines 254-257 of the revised manuscript and Lines 72-79 of the revised Supplement.

9. Line 234- 237: *It is better to first introduce organic species names along with their possibly representative m/z Da at Line 230. It is unclear how the correlation matrix (Table S1) can indicate the similar formation mechanism among the species. More interpretations are expected here.*

Thanks for the suggestion. We have introduced the names of organic species with possibly representative m/a Da in Lines 233-235 of the revised manuscript. Meanwhile, we have revised the sentences to “Their RPAs increased with increasing particle sizes (Fig. S5), indicative of secondary origins (Zauscher et al., 2013). In addition, their Nfs tracked each other temporally in cloud-free particles (Table S1), supporting their

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similar formation mechanisms, most likely formed through photochemical oxidation followed by gas-to-particle partition (Zauscher et al., 2013).”, to indicate the similar formation mechanism among the species. Please refer to Lines 233-238 of the revised manuscript.

*10. Line 271 – 276: It is inappropriate to state Fe facilitated the formation of oxalate. Sorooshian et al. (2013) has observed anti-correlation between Fe and Oxalate in cloud water vertical profiles over California coastal region. Similarly, oxalate is significantly lost through the photolysis of iron oxalato complexes as shown by the study over the rural area of PRD in China (Cheng et al., 2017). Thoughtful interpretations are required here.*

We agree with the comment. Iron might play an important role in the sink of oxalate. However, it is unlikely to be an important factor in this study, mostly with the occurrence of orographic cloud and also possibly low radiation in winter. Therefore, it is different from the observation by Sorooshian et al. (2013) and Cheng et al. (2007), which was likely associated with high radiation. We have moved the discussion to the Supplement and added some interpretations as follows: “As shown in Fig. 4, ~10% of oxalate was associated with Fe-rich particles, second only to the K-rich particles. Regarding that the Fe-rich particles only accounted for  $2.5 \pm 0.4\%$  of all the detected particles (Lin et al., 2017), it might reflect that the Fe facilitated the formation of oxalate. Fenton reactions involving iron can produced more oxidants (e.g.,  $\bullet\text{OH}$ ) (Nguyen et al., 2013; Herrmann et al., 2015), which would enhanced the formation of oxalate (Ervens et al., 2014). While Sorooshian et al. (2013), Zhou et al. (2015), and Cheng et al. (2017) have suggested that oxalate can be significantly lost through the photolysis of iron-oxalato complexes. The difference between these observations and this study might be attributed to the different radiation. Our observation was conducted at a mountain site in winter, mostly covered with orographic cloud, resulted in very low visibility ( $< 500$  m), and thus low radiation was expected during sampling. With sampling conducted on an aircraft, cloud water collected by Sorooshian et al. (2013)

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included the below and top of cloud water samples, and thus photolysis is expected. On the other hand, the highest fraction ( $> 30\%$ ) of oxalate was found to be internally mixed with metal-containing (e.g., iron, zinc, copper) particles in the Pearl River Delta region (Cheng et al., 2017). The internally mixed oxalate and iron could account for  $\sim 50\%$  of iron particles at nighttime (Zhou et al., 2015). Additionally, oxalate was also found to be slightly enriched in amine-containing particles, which is most probably attributed to the enhanced partition of amine to wet aerosols (Rehbein et al., 2011; Zhang et al., 2012).”. Please refer to the revised Supplement.

*11. Line 292- 294: For results of Nf, Cloud INT yields the highest R<sup>2</sup> for instead of Cloud RES. Any interpretation for this? In addition, Fig. 5 shows identical linear fitting result for Nfs and RPA of Cloud INT particles, which is suspicious. Please check and confirm.*

Thanks for the comment. We have checked the data and confirmed the results shown in the Fig. 5. The highest R<sup>2</sup> of Nf for cloud INT particles is explained by the number of samples applied in the analysis, which is significantly less for cloud INT particles ( $N = 16$  for cloud INT particles,  $N = 107$  for cloud RES particles). R<sup>2</sup> in the analysis is defined as the square of the correlation between the response values and the predicted response values. Therefore, it might be inappropriate to make a comparison between R<sup>2</sup> for these distinctly different samples. It is also noted that statistical hypothesis testing shows that the  $p$ -value is  $1.7 \times 10^{-13}$  and 0.002 for cloud RES and INT particles, respectively. The sample number used in the analysis has been added in the caption of Fig. 5 (Line 648 of the revised manuscript) to make it clear.

*12. Line 302 -303: “To our knowledge, it is the first report on the direct link and the internally mixing state between glyoxylate and oxalate during in-cloud processing with high time resolution.” The conclusion is not convincing by only using simple linear correlation analysis of Nf (and RPA) for glyoxylate- and oxalate-containing particles. Although, it indicates highest linear correlation is found between glyoxylate*

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*and oxalate, what are the results for the other major OAs shown in Fig. 3 and table S2? Do the others also have significant (positive) correlations with oxalate-containing particles?*

Thanks for the comment. In addition to the linear correlation analysis between glyoxylate- and oxalate-containing particles in the Nf and RPA in Fig. 5, we have also shown in Fig. 3 that more than half of oxalate-containing particles contained glyoxylate, in order to confirm the direct link between glyoxylate and oxalate. Besides, oxalate also shows significant correlation ( $p < 0.001$ ) with other OAs as shown in Table S2. However, we only analyzed in detail the relationship between glyoxylate and oxalate in this manuscript, since glyoxylate is an important intermediate for the formation of oxalate, which is confirmed by the highest correlation between them, and the analysis shown in section 3.3. Please refer to Table S2 and section 3.3 of the revised manuscript.

*13. Line 313- 321: it is unclear what the (major) OAs referred to are, as they are not shown in Fig. S7. Plots are not labeled in the figure, and therefore it is difficult to follow the context here. Improvement is required.*

Thanks for the comment. We have corrected the Fig. S7 to make it clear. Fig. S7 is shown as followed in the revised Supplement.

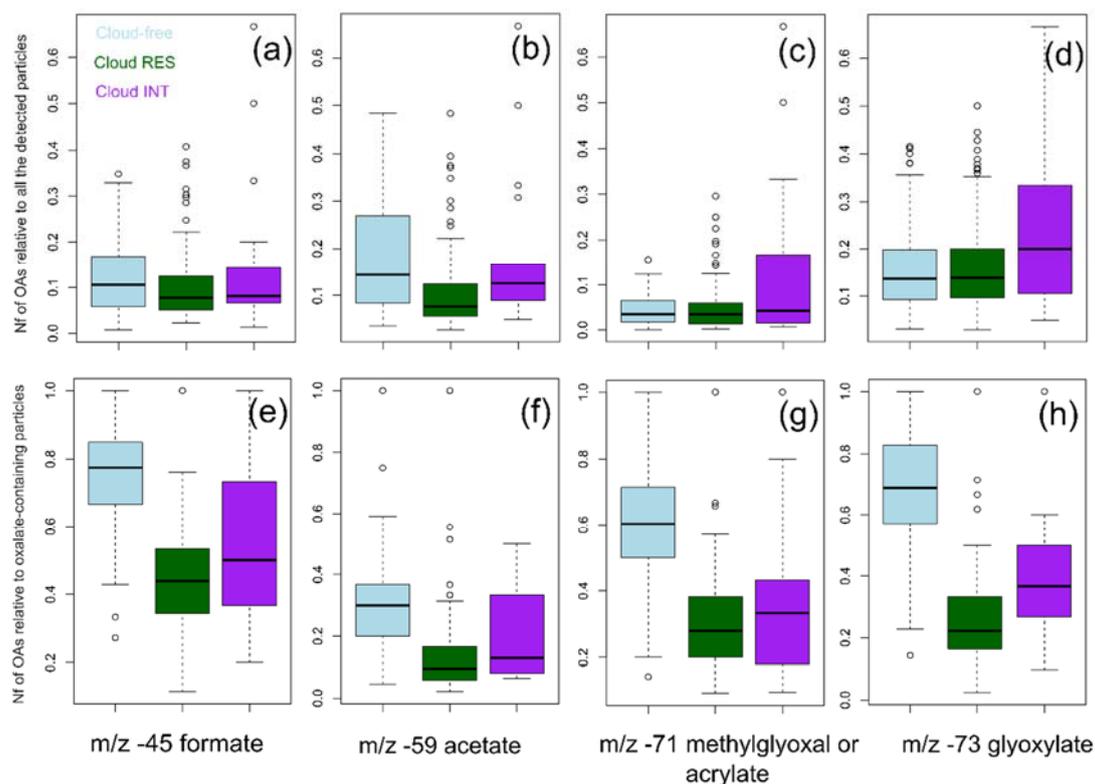


Fig. S7. Box and whisker plot of the variations of number fractions for four OAs in (a-d) all the detected particles, and (e-h) oxalate-containing particles, separated for cloud-free, RES, and INT particles, respectively.

14. Line 326- 327: “If this pathway dominated in this study, glyoxylate and oxalate should be evenly distributed in all the particle types: : :” It is unclear to have such expectation for glyoxylate and oxalate based on previous context (Line 322 – Lin 326). Better interpretations are required.

Thanks for the comment. We have revised the sentence to “Assuming that the in-cloud formation of oxalate was dominantly contributed from the volatile organic compounds, glyoxylate and oxalate would be evenly formed in all the particle types,” to make it clear. We also explain in the following text that “This is inconsistent with our observation that oxalate was predominantly associated with the aged biomass burning particles (Fig. 3). It indicates that a certain amount of glyoxylate should be directly produced in cloud from the organics formed before the cloud events and associated

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with aged biomass burning particles.”. Please refer to Lines 325-330 of the revised manuscript.

*Minor Comments*

1. *References should be cited in order of publication year from the oldest to the latest. Corrections are required through out the current manuscript (i.e. Line 58-59; Line 63; Line 67; Line 86-87; Line 324, etc.).*

They have been corrected accordingly.

2. *Line 86: appropriate preposition is “over” instead of “above”*

It has been corrected accordingly.

3. *Line 186: “Figure 1” should be “Fig. 1”*

It has been corrected accordingly.

4. *Line 190: typo, “a species”, should be singular not plural.*

It has been corrected accordingly.

5. *Line 224: there is an extra single space between “39” and “Da”.*

It has been corrected accordingly.

6. *In Fig. S5, plots on left don’t have corresponding specie names with each m/z Da as ones on the right. Consistency is required.*

We have added the corresponding specie names with each m/z Da in the figure as

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suggested.

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# Response to comments

## Anonymous Referee #2

Received and published: 30 September 2017

*Review for "Insight into the in-cloud formation of oxalate based on in situ measurement by single particle mass spectrometry" by Zhang et al. submitted to ACPD*

*Overall comments:*

*This paper presents investigation of in-cloud formation of oxalate based on single particle analysis of oxalate at a remote mountain site. Size-resolved mixing state of oxalate was analyzed separately in the cloud droplet residual (cloud RES), the cloud interstitial (cloud INT), and ambient (cloud-free) particles by single particle mass spectrometry. Several reasonable results were found including the enriched aged BB aerosol was mixed with oxalate and the enhanced formation of oxalate in the cloud RES and INT particles. The investigation of the relationship between oxalate and organic acid ions also found glyoxylate as an important intermediate for the in cloud formation of oxalate. The topic is of great interest to a certain amount of readers and also proper for the scopes of the publication of this issue. However there are several general questions need to be answered before it can be considered for publication in this journal.*

[We would like to thank the reviewer for his/her useful comments and recommendations to improve the manuscript. We have addressed the specific comments in the following text.](#)

Comments

1. *Definition: the determination of oxalate is not clear. It is according to the peak area or RPA of -89 larger than xxx? The definition of OA is also not clear. Since the*

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*manuscript refer to the calculation of OA intensity, the author should include the detail information in the section 2.3 or in the supporting information.*

Thanks for the suggestion. We have added “The identified ion peaks have peak areas larger than 5 (arbitrary unit), whereas the noise level is lower than 1.” in Lines 29-30 of the revised supplement to make it clear.

*2. Figure S7: Figure legend is not clear. No a-h is labeled, the label “cloud-free” is better on top of “cloud-RES”, open circle shows all the data?*

Thanks for the comments. We have revised the Figure S7 as suggested. Open circles shows the data not included between the whiskers, which is larger than 90 percentiles or lower than 10 percentiles of the data set. Please refer to the caption of Figure S7 in the Supplement.

*3. It also can be connected with the time cloud last as it can be clearly seen the second cloud event last less time and did not have such a high mixing ratio of oxalate compared with the other events. The second event is unique. Author can investigate a little bit on this issue.*

We agree with the comment that the second event is unique. As we stated in the manuscript, air mass analysis showed that cloud II was strongly influenced by northeastern air mass, contrasting to the southwestern air mass during cloud I and III (Lin et al., 2017). However, short cloud processing time cloud not be the reason for the lower Nf of oxalate-containing particles during cloud II. As can be seen in Fig. 1, the Nf of oxalate-containing particles increased to 20% within several hours during cloud I and III. Therefore, we indicated that in-cloud production of oxalate on the aged biomass burning particles is dominantly controlled by the glyoxylate, which substantially decreased during cloud II, relative to Cloud I and III. As also suggested by the reviewer, we added some discussion on the cloud water content, as “Cloud water content plays an

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important role in both the formation and scavenging of water soluble ions (Zhou et al., 2009; Wang et al., 2012), and thus might contribute to the lower fraction of oxalate during cloud II. Model simulation indicates that the formation of oxalate is as a function of cloud processing time and droplet sizes, which directly links to the cloud water content (Sorooshian et al., 2013). With visibility as an indicator (Table S3), it shows the lowest cloud water content during cloud II. However, non-significant correlation was found between the Nf of the oxalate-containing particles and visibility.”. Please refer to section 3.4 of the revised manuscript.

4. 319-321 *Author showed a statistics of OA for Cloud-free, RES and INC. The reviewer just curious how about the time series of these OA markers and oxalate in this campaign? Is there any good anti-trends?*

Thanks for the comments. It might be expected anti-trends between oxalate and OA markers when the total amount of OAs is constant in a close system. However, in open air, it might be expected positive correlations as analyzed in Table S1. It is reasonable since these OAs served as important precursors for the formation of oxalate. The statistics in Fig. S7 supports the conversion of OAs to oxalate via showing the decrease of the Nfs of OAs associated with the oxalate-containing particles. This only provides evidence for the conversion of OAs to oxalate during cloud events.

5. 322-325 *The definition of organic acid is also one critical issue. As we all known levoglucosan also have fragment peaks in -45, -59 and -73. Biomass burning particles have abundant levoglucosan and it will also decay in the atmosphere during the aerosol aging processes. Is there possible some of these ions are partially levoglucosan? More detail discussion should be added regarding to the diagnosis of these organic acid peaks.*

We agree with the comment that levoglucosan from biomass burning also have fragment peaks in m/z -45, -59 and -73. Thus, it is also possible that some of these ions

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are partly from levoglucosan. However, these ion peaks were most likely from secondary species in the present study, as discussed in the revised manuscript. This is probably explained by that their RPAs increased with increasing particle diameters (Fig. S5), consistent with that observed by Zauscher et al (2013). We indicate that these organics, most likely assigned to be formate at  $m/z$  -45[HCO<sub>2</sub>]-, acetate at  $m/z$  -59[CH<sub>3</sub>CO<sub>2</sub>]-, methylglyoxal or acrylate at  $m/z$  -71[C<sub>2</sub>H<sub>3</sub>CO<sub>2</sub>]-, and glyoxylate at  $m/z$  -73[C<sub>2</sub>HO<sub>3</sub>]- (Zauscher et al., 2013). In addition, their Nfs tracked each other temporally in cloud-free particles (Table S1), supporting their similar formation mechanisms, most likely formed through photochemical oxidation followed by gas-to-particle partition (Zauscher et al., 2013). Please refer to Lines 233-242 of the revised manuscript.

6. Section 3.4 Line354: *K-rich* and oxalate showed really low  $R^2$  really surprised me. Is that really fresh biomass burning aerosol in the cloud-free case?

Thanks for the comment. In this study, the K-rich particles were highly aged, and heavily internally mixed with sulfate and nitrate (Lin et al., 2017). As analyzed in section 3.4, it is shown the higher correlation between the Nfs of oxalate-containing and glyoxylate-containing particles, relative to that between the Nfs of oxalate-containing particles and the aged biomass burning particles (Table S1). The result suggests that the formation of oxalate is more dependent on the amount of glyoxylate rather than the amount of biomass burning aerosol, which might be influenced by the burning condition and meteorological conditions during the transport.

Lin, Q., Zhang, G., Peng, L., Bi, X., Wang, X., Brechtel, F. J., Li, M., Chen, D., Peng, P., Sheng, G., and Zhou, Z.: In situ chemical composition measurement of individual cloud residue particles at a mountain site, southern China, *Atmos. Chem. Phys.*, 17, 8473-8488, doi:10.5194/acp-17-8473-2017, 2017.

7. Line 354-358 cloud water content is not discussed in this manuscript, is there

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*possible that the cloud water content influenced the process, or other factors? Cloud can promote formation of oxalate but it can also scavenge water soluble ions (Zhou et al. 2010; Wang et al. 2012). More discussion can be added.*

*Y. Zhou, T. Wang, X. Gao, L. Xue, X. Wang, Z. Wang, J. Gao, Q. Zhang, W. Wang. Continuous observations of water-soluble ions in PM<sub>2.5</sub> at Mount Tai (1534 m a.s.l.) in central-eastern China, Journal of Atmospheric Chemistry, 2010, 64, 107-127*

*Z. Wang, T. Wang, J. Guo, R. Gao, L. Xue, J. Zhang, Y. Zhou, X. Zhou, Q. Zhang, W. Wang, Formation of secondary organic carbon and cloud impact on carbonaceous aerosols at Mount Tai, North China. Atmospheric Environment, 2012, 46, 516-527*

We agree with the comment that cloud water content might be an important factor that influences the oxalate formation in the droplets. Such discussion has been added in this section as “Cloud water content plays an important role in both the formation and scavenging of water soluble ions (Zhou et al., 2009; Wang et al., 2012), and thus might contribute to the lower fraction of oxalate during cloud II. Model simulation indicates that the formation of oxalate is as a function of cloud processing time and droplet sizes, which directly link to the cloud water content (Sorooshian et al., 2013). With visibility as an indicator (Table S3), it shows the lowest cloud water content during cloud II. However, non-significant correlation was found between the Nf of the oxalate-containing particles and visibility.”.

8. *Figure 2. It is quite interesting that the cloud-free oxalate showed a peak with such a small size. If the data is correct, it might be fresh emitted biomass burning aerosols. Is there any other evidence to support this phenomena?*

We agree with the comment that the peak at such a small size might be contributed from the freshly emitted biomass burning aerosols. However, this peak is most likely attributed to the photochemical production, since these smaller particles (0.1 - 0.3  $\mu\text{m}$ ) were extensively (nearly 100%) internally mixed with secondary species, such as sulfate and nitrate. The discussion has been included in Lines 202-204 of the

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revised manuscript.

Others

1. *Figure 1, Cloud-free is better on top of other two labels.*

It has been revised as suggested.

2. *Figure 3, color coded digital data with peak area information of oxalate can include more information.*

Figure 3 was shown to illustrate the predominant association of the major OAs with the oxalate-containing particles, rather than all the detected particles. We agree with the comment that peak area information of oxalate would provide some useful information. Actually, this information is shown in Fig. S1, and thus we only showed the number fraction in Fig. 3 for simplicity. In addition, we also compared the variation of the peak area distribution of oxalate, glyoxylate, and the major OAs in Fig. 6 to investigate the transformation of OAs to oxalate. Please refer to Fig. S1 and Fig. 6 of the revised manuscript.

3. *Figure 5, regression method should be included. The author can refer the software made by Wu et al. 2017 <https://www.atmos-meas-tech-discuss.net/amt-2017-300/>*

Thanks for the suggestion. We have included the method. The caption of Fig. 5 has been revised to “Simple linear regression (with least-square method) between (a) the Nfs and (b) The RPAs of the oxalate-containing and glyoxylate-containing particles, separated for the cloud-free, cloud RES, and cloud INT particles, respectively.”. The least-square approach is applied in this work, although Wu et al. (2017) recommended other regression methods (such as DR, WODR and YR). It is because (1) simple linear regression with F-test allows for the testing on the correlation of our data, (2) we did not attempt to quantify the slope from the analysis, and (3) the recommended

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regression methods need appropriate weighting, which would complicate the analysis for the single particle data since it is hard to provide appropriate uncertainties.

4. *Table S1, K-rich should be K-rich; Table S2 what's 41 for? Table S3 what's 45 for?*

We have corrected the mistake in Table S1. In Table S2 and S3, the ion peaks at  $m/z$  -45, -59, -71, and -73 stands for formate, acetate, methylglyoxal or acrylate, and glyoxylate, respectively. Please refer to Lines 233-235 of the revised manuscript.

5. *Table S3 normalized by ???*

We did not normalized the data in Table S3. We only showed the normalized data in Fig. S3, which is normalized by the largest number over the size bins.

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1 **Insight into the in-cloud formation of oxalate based on in situ measurement**  
2 **by single particle mass spectrometry**

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21 **Highlights**

22 ● Single particle mixing state of oxalate in the cloud-free, residual, and interstitial particles  
23 was first reported.

24 ● Direct observational evidence showed the enhanced formation of oxalate in the cloud  
25 residual and interstitial particles.

26 ● Chemically segregated formation of oxalate was observed depending on the oxidized  
27 organics associated with aged biomass burning particles.

28 ● Glyoxylate served as an important intermediate for the formation of oxalate in the  
29 troposphere of southern China.

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31 **Abstract**

32 While ground-based works suggest the significance of in-cloud production (or aqueous  
33 formation) to oxalate, direct evidence is rare. With the in situ measurements performed at a  
34 remote mountain site (1690 m a.s.l.) in southern China, we first reported the size-resolved  
35 mixing state of oxalate in the cloud droplet residual (cloud RES), the cloud interstitial (cloud  
36 INT), and ambient (cloud-free) particles by single particle mass spectrometry. The results  
37 support the growing evidence that in-cloud aqueous reactions promote the formation of oxalate,  
38 with ~15% of the cloud RES and cloud INT particles containing oxalate, in contrast to only ~5%  
39 of the cloud-free particles. Furthermore, individual particle analysis provides unique insight  
40 into the formation and evolution of oxalate during in-cloud processing. Oxalate was  
41 predominantly (>70% in number) internally mixed with the aged biomass burning particles,  
42 highlighting the impact of biomass burning on the formation of oxalate. In contrast, oxalate was  
43 underrepresented in aged elemental carbon particles, although they represented the largest  
44 fraction of the detected particles. It can be interpreted by the individual particle mixing state  
45 that the aged biomass burning particles contained an abundance of organic components serving  
46 as precursors for oxalate. Through the analysis of the relationship between oxalate and organic  
47 acids (-45[HCO<sub>2</sub>]<sup>-</sup>, -59[CH<sub>3</sub>CO<sub>2</sub>]<sup>-</sup>, -71[C<sub>2</sub>H<sub>3</sub>CO<sub>2</sub>]<sup>-</sup>, -73[C<sub>2</sub>HO<sub>3</sub>]<sup>-</sup>), the results show that in-cloud  
48 aqueous reaction dramatically improved the conversion of organic acids to oxalate. The  
49 abundance of glyoxylate associated with the aged biomass burning particles is the controlling  
50 factor for the in-cloud production of oxalate. Since only limited information on oxalate is

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51 available in the free troposphere, the results also provide an important reference for future  
52 understanding of the abundance, evolution and climate impacts of oxalate.

53

54 **Keywords:** oxalate, individual particles, cloud droplet residues, mixing state, organic acids,  
55 biomass burning

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## 56 **1 Introduction**

57 In-cloud processing represents a large uncertainty in understanding the evolution and  
58 impact of secondary organic aerosols (SOA) on both environment and climate (Ervens et al.,  
59 2011; Ervens, 2015; Herrmann et al., 2015). Dicarboxylic acids significantly contribute to  
60 SOA, aerosol acidity and hygroscopicity, and thus play an important role in atmospheric  
61 chemistry and cloud condensation nuclei (CCN) (Ervens et al., 2011; Furukawa and  
62 Takahashi, 2011; Sorooshian et al., 2013). Oxalic acid is globally the most abundant  
63 dicarboxylic acid (Mochida et al., 2007; Ho et al., 2010; Kawamura and Bikkina, 2016),  
64 accounting for as high as 5% of water soluble organic compounds downwind of the mainland  
65 China (Feng et al., 2012; Kawamura and Bikkina, 2016). In addition, oxalate has great  
66 impact on the solubility, photochemistry and bioavailability of transition metals in aerosols  
67 (Johnson and Meskhidze, 2013; Ito and Shi, 2016).

68 Although there are primary sources, such as combustion of coal/biomass and biogenic  
69 origins, oxalate is generally regarded as an oxidation product of malonate and glyoxylate,  
70 precursors of which include glyoxal, methylglyoxal, glycolic acid, pyruvic acid, acetic acid  
71 and so on (Carlton et al., 2006; Myriokefalitakis et al., 2011; Kawamura and Bikkina, 2016).  
72 Large multifunctional compounds might also be important for the formation of oxalate  
73 (Carlton et al., 2007). The formation pathways mainly include photochemical oxidation  
74 followed by partitioning onto particulate phase and in-cloud aqueous formation (Yu et al.,  
75 2005; Sullivan et al., 2007; Guo et al., 2016). The in-cloud aqueous pathway is generally  
76 proposed as the dominant pathway based on the similar pattern between both size

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77 distribution and concentration of oxalate and sulfate (Yu et al., 2005; Huang et al., 2006;  
78 Laongsri and Harrison, 2013). However, Zhou et al. (2015) argued that only 16% of oxalate  
79 could be attributed to in-cloud production, despite of its robust correlation with sulfate.  
80 Photochemical oxidation could account for ~80% of oxalate in air mass influenced by  
81 biomass burning (Kundu et al., 2010). More direct evidences are needed to better evaluate  
82 the formation and behavior of oxalate during in-cloud processing. Through aircraft  
83 measurements, Sorooshian et al. (2006) revealed higher concentration of oxalate in cloud  
84 droplet residual (cloud RES) particles, rather than in cloud-free atmospheric particles over  
85 Ohio, USA. Similarly, elevated oxalate levels due to in-cloud processing were observed over  
86 coastal USA (Crahan et al., 2004; Sorooshian et al., 2010), and Gulf of Mexico (Sorooshian  
87 et al., 2007a; Sorooshian et al., 2007b; Wonaschuetz et al., 2012). Recently, an aircraft  
88 measurement also provided an evidence on the important role of in-cloud production of  
89 oxalate from the near surface to the lower free troposphere (i.e., ~2 km) over inland China  
90 (Zhang et al., 2016). All of these in-situ observations were based on bulk particles analysis,  
91 and thus might miss some valuable information on the mixing state of oxalate, which is  
92 demonstrated to be significant for evaluating the life time and environmental impact of  
93 oxalate (Sullivan et al., 2007; Zhou et al., 2015). Information on oxalate in the atmosphere  
94 associated with cloud formation is still rare, far from enough for thoroughly understanding  
95 its distribution, sources, formation, evolution and environmental impact (Kawamura et al.,  
96 2013; Meng et al., 2013; Meng et al., 2014).

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97 Single particle mass spectrometry (SPMS) has been commonly applied to obtain mixing  
98 state of individual oxalate-containing particles, which is essential for their atmospheric  
99 behaviors and environment impacts (Sullivan et al., 2007). Based on SPMS, oxalate was  
100 found to be extensively internally mixed with sulfate in the Arctic boundary layer (Hara et  
101 al., 2002). Similarly, the relative contributions of in-cloud processing, heterogeneous  
102 reactions and biomass burning to oxalate in Shanghai was investigated (Yang et al., 2009).  
103 Sullivan et al. (2007) demonstrated the significant contribution of photochemical formation  
104 to oxalate followed by partitioning onto the dust and sea-salt particles. Zhou et al. (2015)  
105 proposed that oxalate was readily photo-degraded in a form of oxalate-Fe complex in Hong  
106 Kong. However, such studies have not been conducted to investigate the in-cloud formation  
107 of oxalate. Investigation on the single particle mixing state of cloud/fog RES and interstitial  
108 (cloud INT) particles would provide unique insight into the formation and aging processes  
109 of aerosol compositions (Pratt et al., 2010; Li et al., 2011b; Zhang et al., 2012; Bi et al.,  
110 2016).

111 To better understand the in-cloud aqueous formation of oxalate, we investigated  
112 individual oxalate-containing particles at a high-altitude mountain site, representative of the  
113 free troposphere in southern China. Using a single particle aerosol mass spectrometer  
114 (SPAMS), the size-resolved mixing state of cloud-free, cloud RES and cloud INT oxalate-  
115 containing particles were investigated. This paper reported data supporting the in-cloud  
116 production of oxalate, and also discussed the influence of mixing state on the in-cloud  
117 production.

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118

## 119 **2 Methods**

### 120 **2.1 Field measurement description**

121 Measurements of the cloud-free, cloud RES, and cloud INT particles were performed at  
122 the Nanling national background site (24°41'56"N, 112°53'56"E, 1690 m a.s.l.) in southern  
123 China during 16-26 January 2016. Air masses from the southwestern continental and marine  
124 areas dominated over the sampling period, bringing relatively warmer and wetter air masses  
125 that benefited cloud formation (Lin et al., 2017), based on the back-trajectory analysis  
126 (HYSPLIT 4.9, available at <http://ready.arl.noaa.gov/HYSPLIT.php>) by Air Resources Lab  
127 (Draxler and Rolph, 2012). The air masses from northern areas, associated with cool dry  
128 airstreams, arrived during 18 and 23-24 January, resulted in a decrease in both temperature  
129 and relative humidity. Cloud events were characterized by a sudden drop in visibility (to <  
130 5 km) and a sharp increase in relative humidity (> 95%) (Lin et al., 2017). In this study, three  
131 long lasting (more than 12 hours) cloud events (Fig. 1), noted as cloud I, cloud II, and cloud  
132 III, were identified. The visibility were generally lower than 1 km during the cloud events.

133 Aerosols were introduced into the instruments through two parallel sampling inlets. The  
134 first one was a ground-based counterflow virtual impactor (GCVI) (Model 1205, Brechtel  
135 Mfg. Inc., USA), applied to obtain the cloud RES particles from the cloud droplets larger  
136 than 8  $\mu\text{m}$ . The GCVI employed a compact wind tunnel upstream of the CVI inlet (Model  
137 1204) to accelerate cloud droplets in the CVI inlet tip (Shingler et al., 2012). Upstream of  
138 the CVI sampling tip, only droplets exceeding a certain controllable size (or cut size, set as

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139 8  $\mu\text{m}$  in the present study) could pass through the counterflow and enter the evaporation  
140 chamber (with an air flow temperature at 40 °C), where the droplets were dried, leaving the  
141 cloud RES particles that are capable of acting as CCN. A 15 L/min sample flow was provided  
142 to the downstream instruments. The enhancement factor for particles concentration collected  
143 by GCVI was 5.25, corresponding to the designation of the CVI. The detailed  
144 characterization and validation of the CVI sampling efficiency could be found elsewhere  
145 (Shingler et al., 2012). The flow rates of the whole GCVI system were validated before  
146 measurements, and were also automatically monitored throughout the operation. A test on  
147 the cloud-free air showed that the average particles number concentration sampled by the  
148 GCVI was  $\sim 1 \text{ cm}^{-3}$ , in contrast to  $\sim 2000 \text{ cm}^{-3}$  in ambient air. The testing demonstrates that  
149 the influence of background particles on the collection of the cloud RES particles could be  
150 negligible, further validating the performance of the GCVI. In the present study, the average  
151 number concentration of the cloud RES particles sampled during the cloud events was  $\sim 250$   
152  $\text{cm}^{-3}$  (Lin et al., 2017). The other one ambient ( $\text{PM}_{2.5}$ ) sampling inlet was used to deliver  
153 cloud-free or cloud INT particles.

154 A SPAMS (Hexin Analytical Instrument Co., Ltd., Guangzhou, China), an  
155 Aethalometer (AE-33, Magee Scientific Inc.), and a scanning mobility particle sizer (SMPS;  
156 MSP Cooperation) were conducted to characterize the physical and chemical properties of  
157 the sampled particles. During cloud I and cloud II, the instruments were connected  
158 downstream the GCVI. During cloud III, cloud RES and cloud INT particles were alternately  
159 sampled with an interval of  $\sim 1$  h. During the cloud-free periods, these instruments were

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160 connected to the ambient inlet in order to measure the cloud-free particles. The presented  
161 results focused on the chemical composition and mixing state of the oxalate-containing  
162 particles detected by the SPAMS. Therefore, details for other instruments were not provided  
163 herein.

164

## 165 **2.2 Detection and classification of oxalate-containing particles**

166 The vacuum aerodynamic diameter ( $d_{va}$ ) and mass spectral information for individual  
167 particles could be obtained by the SPAMS (Li et al., 2011a). A brief description on  
168 performance of the SPAMS can be found in the Supplement. Assuming Poisson distribution,  
169 standard errors for the number fraction (Nf) of particles were estimated (Pratt et al., 2010),  
170 since the particles were randomly detected by the SPAMS. Oxalate-containing particles are  
171 identified as particles with the presence of ion peak at  $m/z$  -89 (Sullivan and Prather, 2007;  
172 Zauscher et al., 2013). Approximate 6000 particles were identified as oxalate-containing  
173 particles, accounting for  $8.1 \pm 0.1\%$  of the total detected particles in the size range of 100-  
174 1600 nm. The number-based mass spectra for these oxalate-containing particles is shown in  
175 Fig. S1 of the Supplement. They were clustered by an adaptive resonance theory-based  
176 neural network algorithm (ART-2a), based on the presence and intensity of ion peaks (Song  
177 et al., 1999). Eight single particle types with distinct mass spectral characteristics (Fig. S2)  
178 were obtained for further analysis. More detail information on all the observed particle types  
179 could be found elsewhere (Lin et al., 2017).

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## 181 3 Results and Discussion

### 182 3.1 Direct observational evidence for in-cloud production of oxalate

183 The Nfs of the oxalate-containing particles relative to all the detected cloud-free, cloud  
184 RES, and cloud INT particles were  $5.0 \pm 0.1\%$ ,  $14.4 \pm 0.2\%$ , and  $13.4 \pm 1.1\%$ , respectively  
185 (Table 1). The Nfs of the oxalate-containing particles varied from near zero in the cloud-free  
186 particles to ~80% in the cloud RES or cloud INT particles (Fig. 1). Consistently, the average  
187 relative peak area (RPA) of oxalate in the cloud RES and cloud INT particles suppressed by  
188 a factor of ~8 that in the cloud-free particles. Defined as fractional peak area of each m/z  
189 relative to the sum of peak areas in a mass spectrum, RPA could represent the relative  
190 amount of a specie on a particle (Jeong et al., 2011; Healy et al., 2013). At ground level,  
191 oxalate was found in ~3% of total particles in Shanghai (Yang et al., 2009) and the PRD  
192 region (Cheng et al., 2017), respectively. Relatively higher fraction of oxalate-containing  
193 particles in this study might reflect the importance of atmospheric ageing during long-range  
194 transport for the formation of oxalate at the high mountain site of southern China.

195 Analogous Nfs of the oxalate-containing particles in the cloud RES and cloud INT  
196 particles suggest the similar formation mechanism of oxalate in cloud droplets and interstitial  
197 particles, although Dall'Osto et al. (2009) indicated that difference might exist for secondary  
198 compounds formation between fog droplets and INT particles. The Nfs of the oxalate-  
199 containing particles in the cloud-free, cloud RES, and cloud INT particles versus  $d_{va}$  are  
200 displayed in Fig. 2. Oxalate-containing particles had higher Nfs in the cloud-free particles  
201 with  $d_{va} < 0.3 \mu\text{m}$ , indicative of primary emission or photochemical production followed by

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202 condensation (Zauscher et al., 2013). This peak is most likely attributed to the  
203 photochemical production, since these smaller particles (0.1 - 0.3  $\mu\text{m}$ ) were extensively  
204 (nearly 100%) internally mixed with secondary species, such as sulfate and nitrate. On the  
205 contrary, the Nfs of the oxalate-containing particles in the cloud RES and cloud INT particles  
206 increased with increasing  $d_{va}$ , showing a distinctly different pattern. It indicates that in-cloud  
207 aqueous reaction grows the cloud RES and cloud INT oxalate-containing particles with  
208 addition of secondary compositions (Schroder et al., 2015). It is further supported by the  
209 unscaled number size distribution of the cloud-free, cloud RES, and cloud INT oxalate-  
210 containing particles (Fig. S3), with  $d_{va}$  peaking at around 0.5, 0.8, and 0.7  $\mu\text{m}$ , respectively.

211 It is further shown that the enhanced Nfs of the oxalate-containing particles was not  
212 likely due to the influence of air mass. Firstly, the Nfs of the cloud-free oxalate-containing  
213 particles were generally low (< 10%) over the sampling period (Fig. 1 and Fig. S4), reflecting  
214 a background level of oxalate. Secondly, the Nfs and the RPAs of the cloud RES oxalate-  
215 containing particles exclusively sharply increased when RH was larger than 95% (Fig. S4).  
216 Significant enrichment of oxalate in the cloud RES particles demonstrates the importance of  
217 in-cloud aqueous reactions in the formation of oxalate (Sorooshian et al., 2006). Overall,  
218 these results provide direct evidences that the in-cloud aqueous processing is the dominant  
219 mechanism for oxalate in this study. More details on the formation mechanism and the  
220 dominant influence factors would be discussed in the following text.

221

222 **3.2 Predominant contribution of biomass/biofuel burning to oxalate**

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223 Number fractions of the major ion peaks associated with the oxalate-containing particles  
224 were compared to those with all the detected particles, as shown in Fig. 3. Detailed  
225 information on the Nfs of all the detected ion peaks in the oxalate-containing particles could  
226 be found in Fig. S1. Potassium, with intense peak (peak area > 1000) at m/z 39 Da, is  
227 ubiquitously (~90%) associated with the oxalate-containing particles. It is attributed to  
228 highly sensitive of potassium to the desorption laser in the SPAMS, although m/z 39 Da may  
229 also be appointed to  $39[\text{C}_3\text{H}_3]^+$  (Silva et al., 1999). Sulfate ( $-97[\text{HSO}_4]^-$ , 96%) and nitrate ( $-$   
230  $62[\text{HNO}_3]^-$ , 88%) were the dominant secondary inorganic species associated with the  
231 oxalate-containing particles. Other major ion peaks were ammonium ( $18[\text{NH}_4]^+$ , 47%),  
232 organic nitrogen ( $-26[\text{CN}]^-$ , 76%), and oxidized organics (i.e., m/z -45, -59, -71, and -73)  
233 with the Nfs ranging from 17% to 57%. These organics were most likely assigned to be  
234 formate at m/z  $-45[\text{HCO}_2]^-$ , acetate at m/z  $-59[\text{CH}_3\text{CO}_2]^-$ , methylglyoxal or acrylate at m/z  $-$   
235  $71[\text{C}_2\text{H}_3\text{CO}_2]^-$ , and glyoxylate at m/z  $-73[\text{C}_2\text{HO}_3]^-$  (Zauscher et al., 2013). While might also  
236 be produced by levoglucosan, these ion peaks were most likely from secondary species in  
237 the present study. This is probably explained by that their RPAs increased with increasing  
238 particle diameters (Fig. S5), consistent with that observed by Zauscher et al (2013). These  
239 oxidized organics were commonly found in aged biomass burning particles, regarded as  
240 organic acids (OAs). In addition, their Nfs tracked each other temporally in cloud-free  
241 particles (Table S1), supporting their similar formation mechanisms, most likely formed  
242 through photochemical oxidation followed by gas-to-particle partition (Zauscher et al.,  
243 2013). Other OAs with minor fractions (~10%) were also detected to be associated with the

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244 oxalate-containing particles, such as  $m/z$  -87, -103, and -117 Da due to pyruvate, malonate,  
245 and succinate, respectively. The extensive presence of potassium, OAs, and organic nitrogen  
246 reflects the substantial contribution of biomass burning to the observed oxalate (Pratt et al.,  
247 2010; Zauscher et al., 2013). The observed oxalate-containing particles likely represented  
248 aged biomass burning particles, associated with enhanced aliphatic acids (Paglione et al.,  
249 2014). Continuous evolution of primary organics to highly oxidized organics is widely  
250 observed for biomass burning particles (Cubison et al., 2011; Zhou et al., 2017). Significant  
251 correlations between these OAs were observed in aged biomass burning particles (Zauscher  
252 et al., 2013) and also cloud water samples (Sorooshian et al., 2013). Hence, it is expected  
253 that the Nfs of these OAs were obviously higher in the oxalate-containing particles, rather  
254 than those in the other detected particles (Fig. 3). In contrast to all the major ion peaks,  
255 ammonium had higher Nf in all particles rather than in the oxalate-containing particles. This  
256 is due to uneven distribution of ammonium among the different particle types of the oxalate-  
257 containing particles as discussed in the Supplement.

258 The contribution of biomass burning to the observed oxalate could also be reflected by  
259 the overwhelming presence of potassium-rich (K-rich) particles (Table 1 and Fig. S2),  
260 regarded as aged biomass burning particles herein (Pratt et al., 2010; Bi et al., 2011; Zauscher  
261 et al., 2013). Following emission, biomass burning particles become enriched in sulfate,  
262 nitrate, and OAs as ageing processes (Reid et al., 2005). It can be seen in Fig. 4 that  $75.1 \pm$   
263  $1.5\%$  of oxalate was associated with the K-rich particles, although they only accounted for  
264  $36.0 \pm 0.3\%$  of all the detected particles (Lin et al., 2017). Only  $4.0 \pm 0.4\%$  of oxalate was

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265 associated with the aged elemental carbon (EC) particles although they were the dominant  
266 fraction ( $45.0 \pm 0.3\%$ ) of all the detected particles, reflecting an external mixing state.  
267 Enhancement of oxalate in the K-rich particles supports the favorable formation of oxalate  
268 in aged biomass burning particles. Such a high fraction (i.e.,  $75.1 \pm 1.5\%$ ) indicates a  
269 substantial contribution from secondary processing of biomass burning particles in the  
270 present study, as discussed above. The result is consistent with previous studies reporting  
271 that abundance of oxalate was substantially influenced by aged biomass burning particles  
272 (Gao et al., 2003; Yang et al., 2014; Zhou et al., 2015; Deshmukh et al., 2016). Primary  
273 emission from biomass burning contributes only a minor fraction to the observed oxalate in  
274 the atmosphere in China (Yang et al., 2009; Meng et al., 2013). Direct observation also  
275 supports the absence of oxalate in primary biomass burning particles (Silva et al., 1999; Huo  
276 et al., 2016). A discussion on the preferential association of oxalate within Fe-rich and  
277 Amine particles is provided in the Supplement.

278

### 279 **3.3 Pathway for in-cloud formation of oxalate in aged biomass burning particles**

280 As shown in Table 1,  $> 70\%$  of oxalate by number was associated with the aged biomass  
281 burning particles. It is also noted that  $\sim 10\%$  of the cloud-free K-rich particles contained  
282 oxalate, while the fraction increased to  $> 20\%$  in the cloud INT and cloud RES K-rich  
283 particles. This is not likely due to the preferential activation of the K-rich particles, since the  
284 Nfs of oxalate associated with the K-rich particles is similar (70-76%) for the cloud-free,  
285 cloud RES, and cloud INT particles (Fig. S6). Therefore, the favorable formation of oxalate

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286 in the K-rich particles is most probably attributed to the enhanced organic precursors, as  
287 discussed in the following text.

288 The major OAs were predominantly associated with the oxalate-containing particles  
289 (Fig. 3) and also the K-rich particles (Table S2). Furthermore, significant correlations ( $p <$   
290 0.01) were found for the temporal profiles of the Nfs of the OAs and that of the oxalate-  
291 containing particles, particularly, for the cloud RES particles (Table S1). The highest  
292 correlation was found between the oxalate-containing particles and the glyoxylate-  
293 containing particles in the Nf and the RPA (Fig. 5). The correlations were significantly  
294 stronger for the cloud RES and cloud INT particles rather than for the cloud-free particles,  
295 suggesting the in-cloud production from glyoxylate as an important pathway for oxalate. It  
296 should further confirm the assignment of  $m/z$  -73 to glyoxylate, regarded as one of the  
297 primary intermediates contributing to formation of oxalate (Carlton et al., 2006;  
298 Myriokefalitakis et al., 2011). Miyazaki et al. (2009) suggested that secondary production of  
299 oxalate probably in aqueous phase is important via the oxidation of both longer-chain diacids  
300 and glyoxylate, and would be enhanced in the biomass burning influenced particles. To our  
301 knowledge, it is the first report on the direct link and the internally mixing state between  
302 glyoxylate and oxalate during in-cloud processing with high time resolution. Additionally,  
303 the linear regression slopes between glyoxylate and oxalate for the cloud RES and cloud INT  
304 particles were also higher than that for the cloud-free particles (Fig. 5), which also supports  
305 the more effective production of oxalate in cloud.

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306 We further analyzed the relative fraction of the peaks areas of oxalate, glyoxylate, and  
307 OAs in oxalate-containing particles during the cloud-free periods and cloud events (Fig. 6).  
308 It can be seen that the dots distribute close to the OAs during cloud-free periods, whereas  
309 they distribute towards oxalate during cloud events. This distribution indicates that the OAs  
310 were the dominant composition relative to oxalate and glyoxylate in the cloud-free oxalate-  
311 containing particles, whereas oxalate became more important in the cloud RES and cloud  
312 INT oxalate-containing particles. The different pattern is attributable to the conversion of  
313 the OAs to oxalate as a result of in-cloud aqueous reactions. It is also supported by the  
314 variations of the Nfs of the major OAs in the cloud-free, cloud RES, and cloud INT particles,  
315 respectively (Fig. S7). A substantial decrease (~50% on average) is found for the Nfs of the  
316 OAs associated with the oxalate-containing particles, from the cloud-free particles to the  
317 cloud RES and cloud INT particles. On the other hand, the Nfs of the OAs in all the detected  
318 particles did not show an obvious decrease. The conversion of the OAs to oxalate during in-  
319 cloud processing is consistent with the observation that oxalate increased as the droplets  
320 evaporated, while acetate, glyoxylate, and malonate decreased (Sorooshian et al., 2007b).

321 Most of previous studies considered that glyoxylate is dominantly produced from  
322 aqueous oxidation of glyoxal or glycolic acid, depending on volatile organic compounds  
323 (Ervens et al., 2004; Sorooshian et al., 2006; Sorooshian et al., 2007b). Aqueous phase  
324 reaction promotes the production of oxalate through increasing the partitioning of gases into  
325 droplets (Sorooshian et al., 2007a). Assuming that the in-cloud formation of oxalate was  
326 dominantly contributed from the volatile organic compounds, glyoxylate and oxalate would

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327 be evenly formed in all the particle types, which is inconsistent with our observation that  
328 they were predominantly associated with the aged biomass burning particles (Fig. 3). It  
329 indicates that a certain amount of glyoxylate should be directly produced in cloud from the  
330 organics formed before the cloud events and associated with aged biomass burning particles.  
331 Aqueous-phase processing of biomass-burning emissions was demonstrated to be a  
332 substantial contributor to the SOA (Gilardoni et al., 2016). Existing models typically treat  
333 cloud droplets as a well-mixed bulk aqueous phase (McNeill, 2015), and initialize the  
334 particle composition as pure ammonium sulfate (Ervens et al., 2004; Sorooshian et al., 2006).  
335 Our results suggest that a particle type based model with detailed chemical mixing state is  
336 required for further understanding on the modification of particle properties by in-cloud  
337 processing in the troposphere.

338

### 339 **3.4 Case study for the influence of air mass on the formation of oxalate**

340 Cloud II represented a relatively more polluted condition, with  $PM_{2.5}$  around  $200 \text{ ng m}^{-3}$   
341 <sup>3</sup>, ~4 times those during cloud I and III. Air mass analysis showed that cloud II was strongly  
342 influenced by northeastern air mass, contrasting to the southwestern air mass during cloud I  
343 and III (Lin et al., 2017). Figure 7 compares the respective Nfs of the K-rich, oxalate-  
344 containing, and glyoxylate-containing particles during the three cloud events. It is found that  
345 the Nf of the oxalate-containing particles was substantially lower during cloud II. Similarly,  
346 the Nf of the glyoxylate-containing particles during cloud II was significantly lower, which  
347 is also in accordance to other oxidized organics (Table S3). The K-rich particles were found

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348 to contribute ~25% of the cloud RES particles during cloud II, significantly lower than its  
349 contribution (~50%) during cloud I and III. Regarding the higher correlation between the  
350 Nfs of oxalate-containing and glyoxylate-containing particles, relative to that between the  
351 former and the aged biomass burning particles (Table S1), the result might indicate that in-  
352 cloud production of oxalate on the aged biomass burning particles is dominantly controlled  
353 by the glyoxylate. The aged biomass burning particles from northeastern air mass contained  
354 less amount of oxidized organics for the formation of oxalate. Cloud water content plays an  
355 important role in both the formation and scavenging of water soluble ions (Zhou et al., 2009;  
356 Wang et al., 2012), and thus might contribute to the lower fraction of oxalate during cloud  
357 II. Model simulation indicates that the formation of oxalate is as a function of cloud  
358 processing time and droplet sizes, which directly links to the cloud water content  
359 (Sorooshian et al., 2013). With visibility as an indicator (Table S3), it shows the lowest cloud  
360 water content during cloud II. However, non-significant correlation was found between the  
361 Nf of the oxalate-containing particles and visibility. Short cloud processing time could not  
362 be the main reason for the lower Nf of oxalate-containing particles during cloud II. As can  
363 be seen in Fig. 1, the Nf of oxalate-containing particles increased to 20% within several  
364 hours during cloud I and III.

365

#### 366 **4 Conclusions**

367 Individual particle mixing state of oxalate in the cloud-free, cloud RES and cloud INT  
368 particles obtained at a remote mountain site allows for the investigation of formation and

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369 evolution of oxalate. Our results show significant enhancement of oxalate-containing  
370 particles in the cloud RES and cloud INT particles, rather than in the cloud-free particles,  
371 providing first direct observational evidence for the in-cloud production of oxalate in the  
372 troposphere in China, and strengthening the growing evidence that aqueous-phase chemistry  
373 is the predominant formation mechanism for oxalate. The influence of biomass burning on  
374 the formation of oxalate was also highlighted, with predominant fraction ( $> 70\%$ ) of oxalate  
375 internally mixed with aged biomass burning particles. Formation of oxalate is highly  
376 dependent on the abundance of organic acids strongly associated with the aged biomass  
377 burning particles, with glyoxylate as an important intermediate. In-cloud chemically  
378 segregated production of oxalate would lead to a substantial change of the biomass burning  
379 particles after cloud evaporation, different from other particle types (e.g., aged EC particles  
380 externally mixed with oxalate). This would have important implication for accurate  
381 modeling the formation and influence of oxalate in the atmosphere.

382

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627 **Tables**

628 **Table 1. The number and number fraction of oxalate-containing particles in**  
 629 **the all the detected cloud-free, cloud RES, and cloud INT particles.**

	Cloud-free	Cloud RES	Cloud INT
Num. of all the detected particles	48835	23616	1063
Num. of oxalate-containing particles	2442	3410	142
Nf. of oxalate-containing particles	$5.0 \pm 0.1\%$	$14.4 \pm 0.2\%$	$13.4 \pm 1.1\%$
Nf. of oxalate-containing particles classified as aged biomass burning particles	$76.3 \pm 1.8\%$	$70.0 \pm 1.4\%$	$71.8 \pm 7.1\%$

630

631 **Figure caption**

632 Fig. 1. (a) Temporal variation (in one-hour resolution) of Nfs of the oxalate-  
633 containing particles, and box-and-whisker plots of (b) the Nfs of oxalate-containing  
634 particles as shown in (a), and (c) the relative peak area (RPA) of oxalate, separated for  
635 the cloud-free, cloud RES, and cloud INT particles. In a box and whisker plot, the lower,  
636 median and upper line of the box denote the 25, 50, and 75 percentiles, respectively;  
637 the lower and upper edges of the whisker denote the 10 and 90 percentiles, respectively.  
638 Red triangles refer to the arithmetical mean values of the Nfs and RPAs shown in (b)  
639 and (c).

640 Fig. 2. Size dependent Nfs of oxalate-containing particles relative to all the  
641 detected cloud-free, cloud RES, and cloud INT particles, respectively.

642 Fig. 3. Number fractions of the major ion peaks in oxalate-containing and all the  
643 detected particles, respectively.

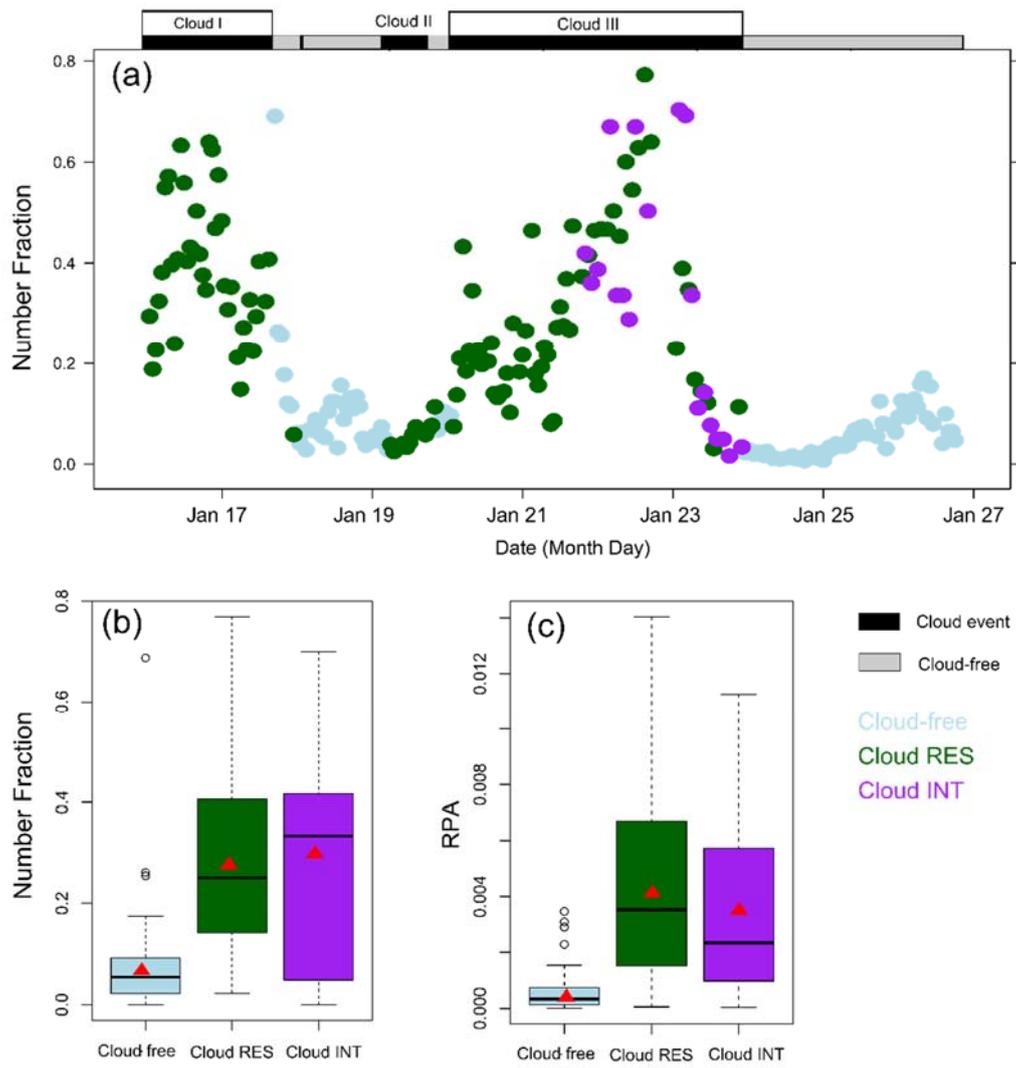
644 Fig. 4. Number fractions of the single particle types for oxalate-containing and all  
645 the detected particles, respectively.

646 Fig. 5. Simple linear regression (with least-square method) between (a) the Nfs  
647 and (b) The RPAs of the oxalate-containing and glyoxylate-containing particles,  
648 separated for the cloud-free ( $N = 109$ ), cloud RES ( $N = 107$ ), and cloud INT ( $N = 16$ )  
649 particles, respectively.

650 Fig. 6. The relative distributions of the peak areas of oxalate, glyoxylate, and the  
651 OAs for (a) the individual cloud-free and (b) the cloud RES and cloud INT oxalate-

652 containing particles. The peak areas of the OAs were summed from those of the  
653 individual OAs. The coloration indicates the RPA of oxalate.

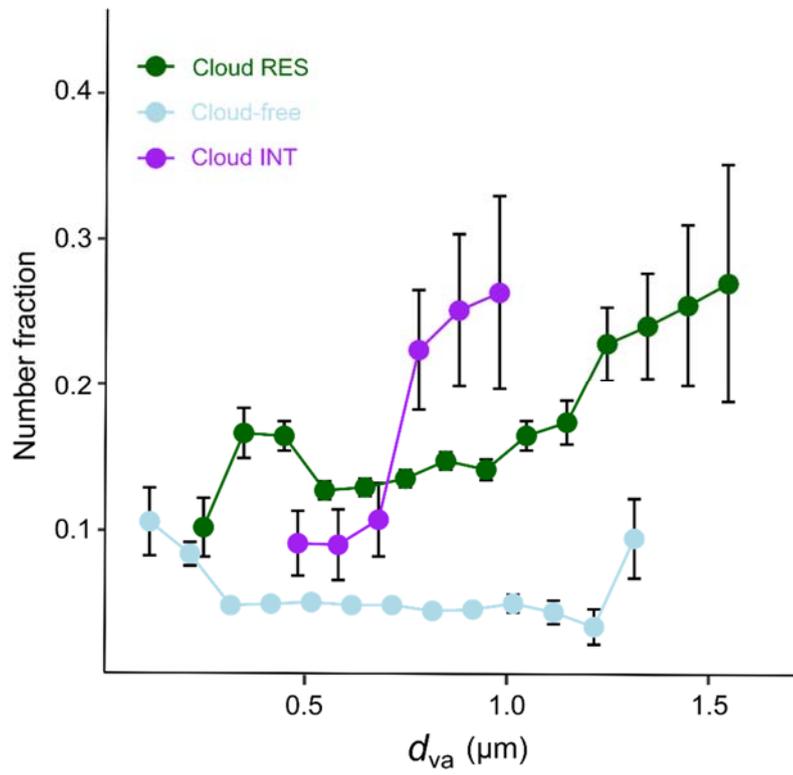
654 Fig. 7. Box and whisker plots of the variations of Nfs for the K-rich, oxalate-  
655 containing, and glyoxylate-containing particles during the cloud events, respectively.



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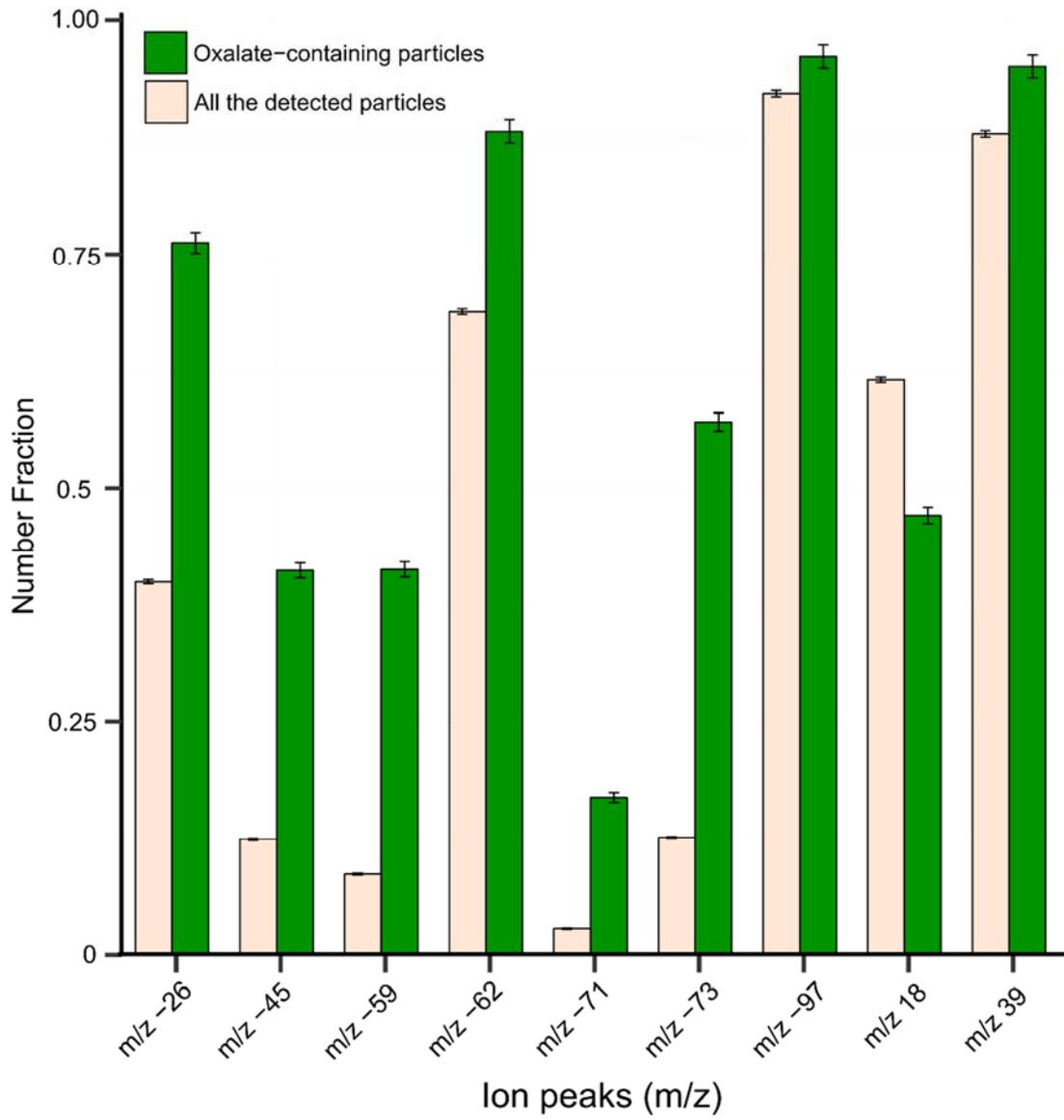
Fig. 1.



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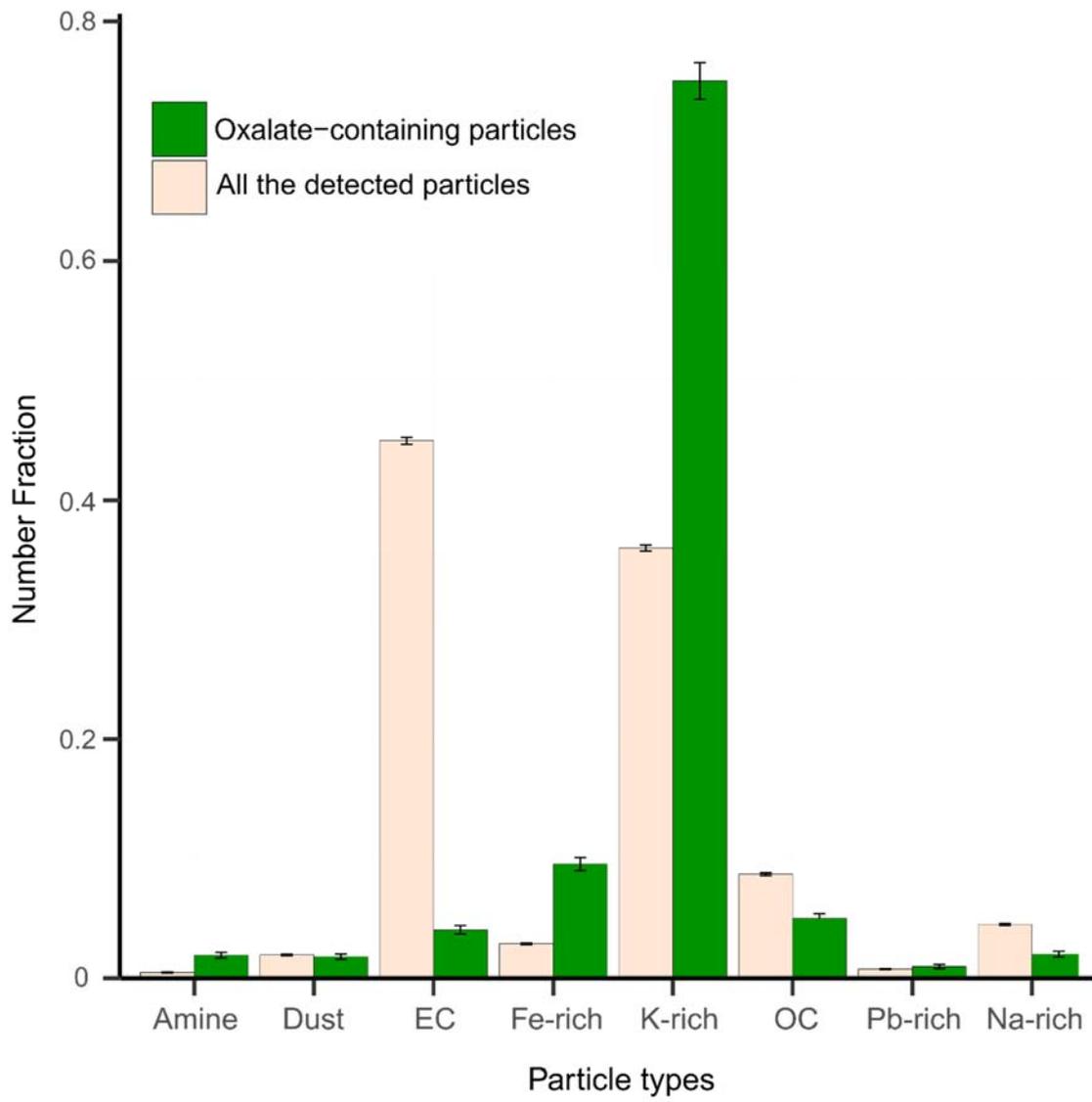
Fig. 2.



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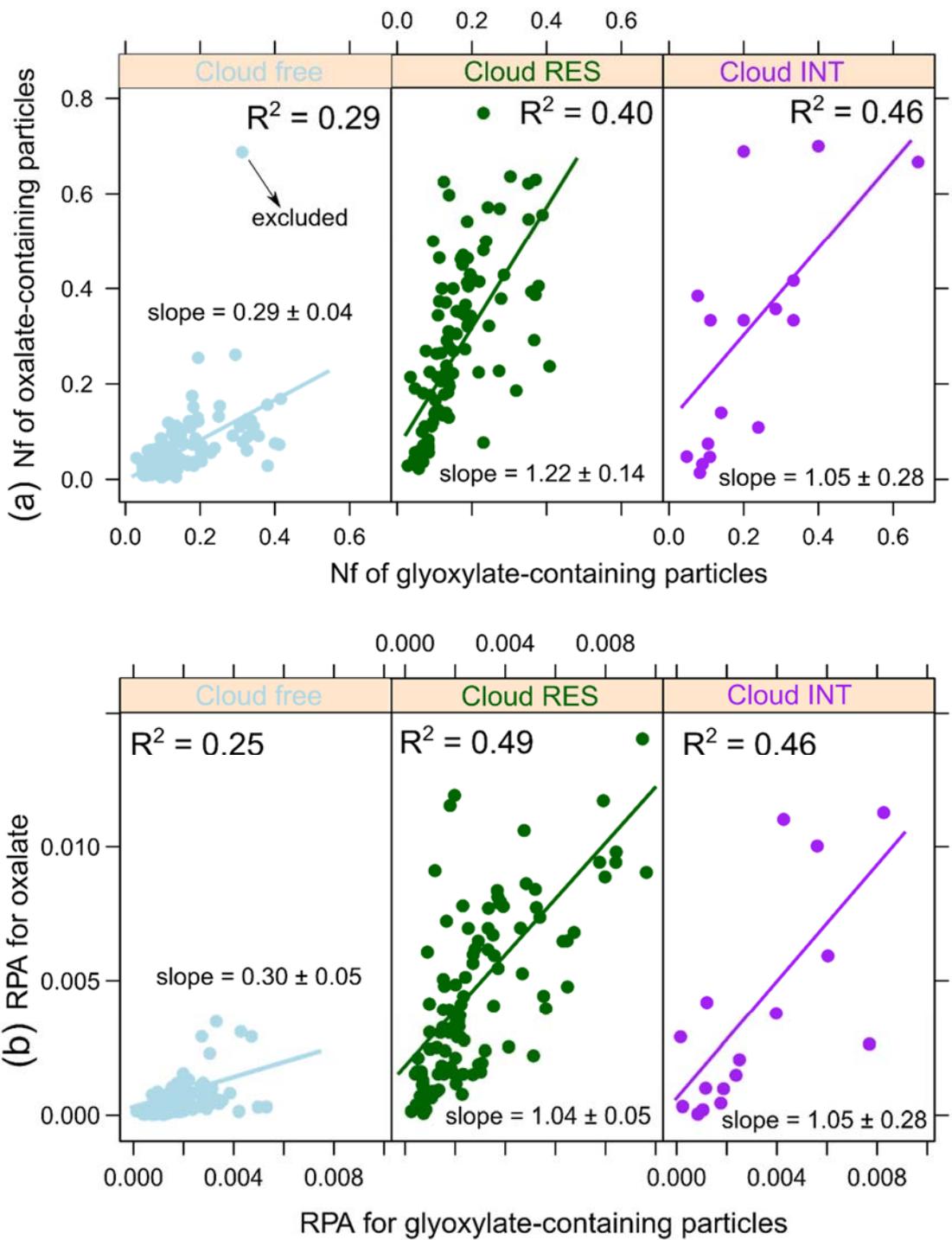
**Fig. 3.**



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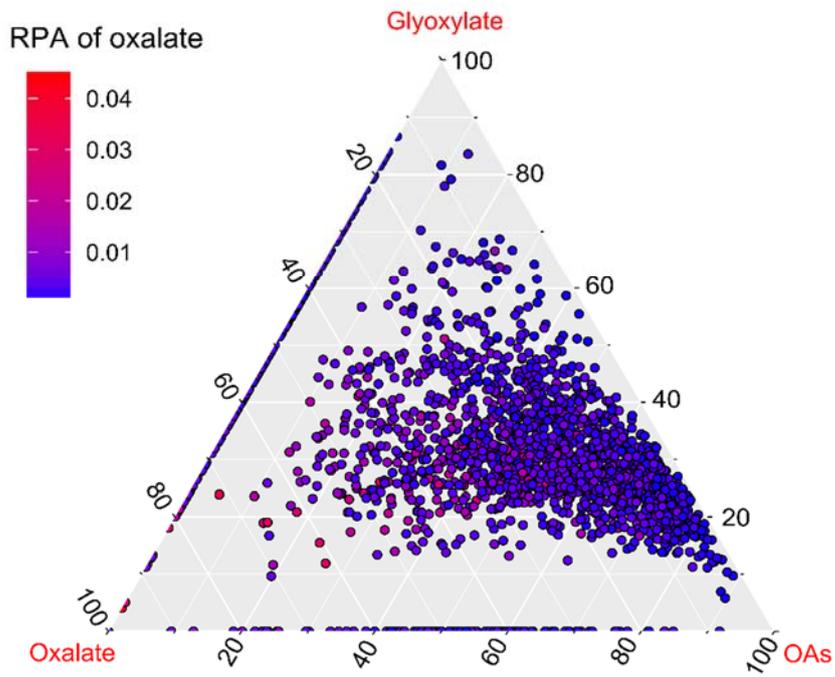
**Fig. 4.**



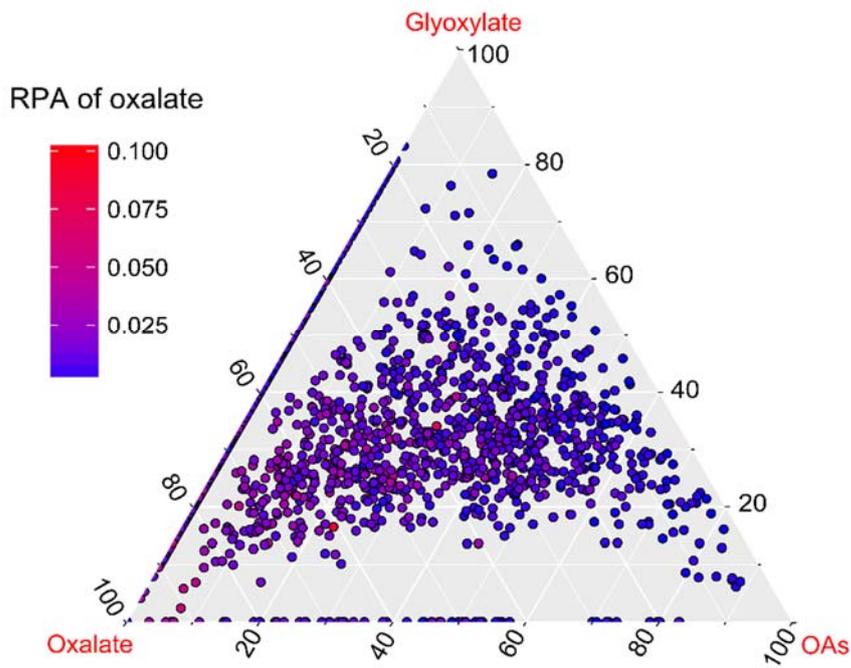
664

665 **Fig. 5.**

(a) cloud-free oxalate-containing particles



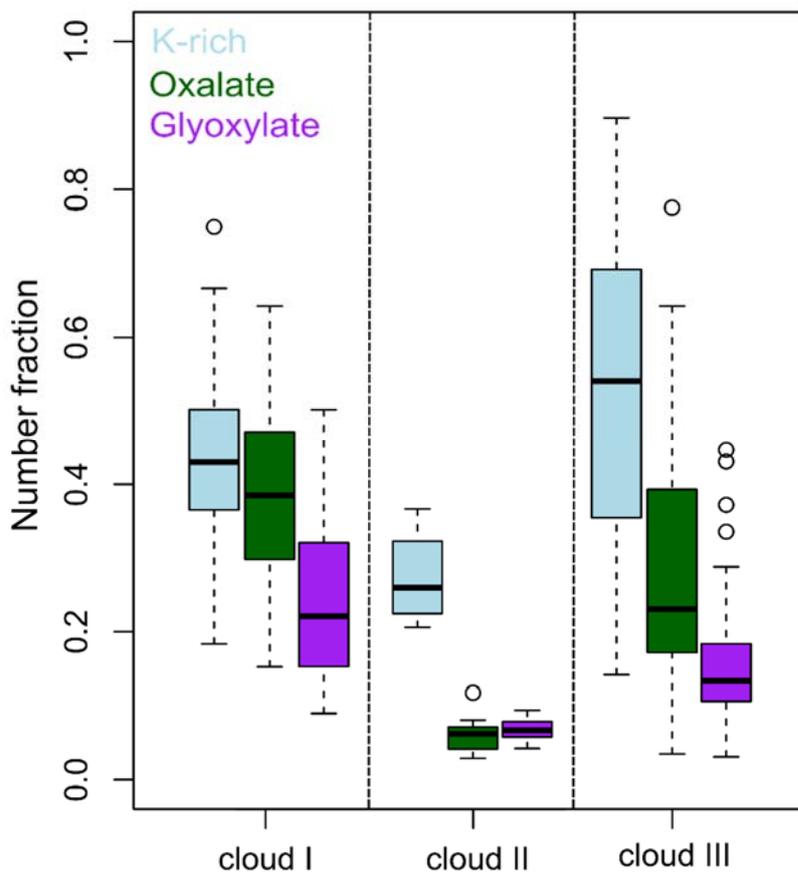
(b) cloud RES and INT oxalate-containing particles



666

667

**Fig. 6.**



668

669

Fig. 7.

1 **Insight into the in-cloud formation of oxalate based on in-situ measurement by single**  
2 **particle mass spectrometry**

3

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7

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19 **Instrumentation**

20 **SPAMS**

21 Individual particles are introduced into SPAMS through a critical orifice. They are  
22 focused and accelerated to specific velocities, which are determined by two continuous  
23 diode Nd:YAG laser beams (532 nm). Based on the measured velocities, a pulsed laser  
24 (266 nm) downstream is trigger to desorp/ionize the particles. The produced positive and  
25 negative molecular fragments are recorded. In summary, a velocity, a detection moment,  
26 and an ion mass spectrum are recorded for each ionized particle, while there is no mass  
27 spectrum for not ionized particles. The velocity could be converted to  $d_{va}$  based on a  
28 calibration using polystyrene latex spheres (PSL, Duke Scientific Corp., Palo Alto) with  
29 predefined sizes. The identified ion peaks have peak areas larger than 5 (arbitrary unit),  
30 whereas the noise level is lower than 1.

31

32 **An discussion on the preferential association of oxalate within Fe-rich and Amine**  
33 **particles**

34 As shown in Fig. 4, ~10% of oxalate was associated with Fe-rich particles, second  
35 only to the K-rich particles. Regarding that the Fe-rich particles only accounted for  $2.5 \pm$   
36  $0.4\%$  of all the detected particles (Lin et al., 2017), it might reflect that the Fe facilitated  
37 the formation of oxalate. Fenton reactions involving iron can produced more oxidants (e.g.,  
38  $\bullet\text{OH}$ ) (Nguyen et al., 2013; Herrmann et al., 2015), which is an important factor for the  
39 formation of oxalate (Ervens et al., 2014). While Sorooshian et al. (2013), Zhou et al.  
40 (2015), and Cheng et al. (2017) have suggested that oxalate can be significantly lost

41 through the photolysis of iron-oxalato complexes. The difference between these  
42 observations and this study might be attributed to the different radiation. Our observation  
43 was conducted at a mountain site in winter, mostly covered with orographic cloud, resulted  
44 in very low visibility ( $< 500$  m), and thus low radiation was expected during sampling.  
45 With sampling conducted on an aircraft, cloud water collected by Sorooshian et al. (2013)  
46 included the below and top of cloud water samples, and thus photolysis is expected. On the  
47 other hand, the highest fraction ( $> 30\%$ ) of oxalate was found to be internally mixed with  
48 metal-containing (e.g., iron, zinc, copper) particles in the Pearl River Delta region (Cheng  
49 et al., 2017). The internally mixed oxalate and iron could account for  $\sim 50\%$  of iron particles  
50 at nighttime (Zhou et al., 2015). Additionally, oxalate was also found to be slightly  
51 enriched in amine-containing particles, which is most probably attributed to the enhanced  
52 partition of amine to wet aerosols (Rehbein et al., 2011; Zhang et al., 2012).

53 Table S1. Correlation analysis between the hourly detected number for species in  
 54 cloud-free particles (N = 109) and *cloud RES* particles (N = 123). Most of the analysis  
 55 shows significant correlation ( $p < 0.001$ ) between the species, with the  $R^2$  shown as follows.  
 56 Results without significant correlation are marked with superscripts a and b.

57

	<b>m/z -45</b>	<b>m/z -59</b>	<b>m/z -71</b>	<b>m/z -73</b>	<b>m/z -89</b>	<b>K-rich</b>
<b>m/z -45</b>	1					
<b>m/z -59</b>	0.92/0.93	1				
<b>m/z -71</b>	0.77/0.33	0.92/0.35	1			
<b>m/z -73</b>	0.94/0.81	0.92/0.86	0.80/0.20	1		
<b>m/z -89</b>	0.22/0.32	0.38/0.45	0.46/0.12	0.33/0.64	1	
<b>K-rich</b>	0.52/0.58	0.33/0.59	0.21/0 <sup>a</sup>	0.57/0.72	0.05 <sup>b</sup> /0.41	1

58

59 <sup>a</sup>  $p = 0.37$ ; <sup>b</sup>  $p = 0.009$ .

60 Table S2. Number fraction (%) of ion peaks for organic acids associated with all the  
61 detected particles and K-rich particles, respectively.

62

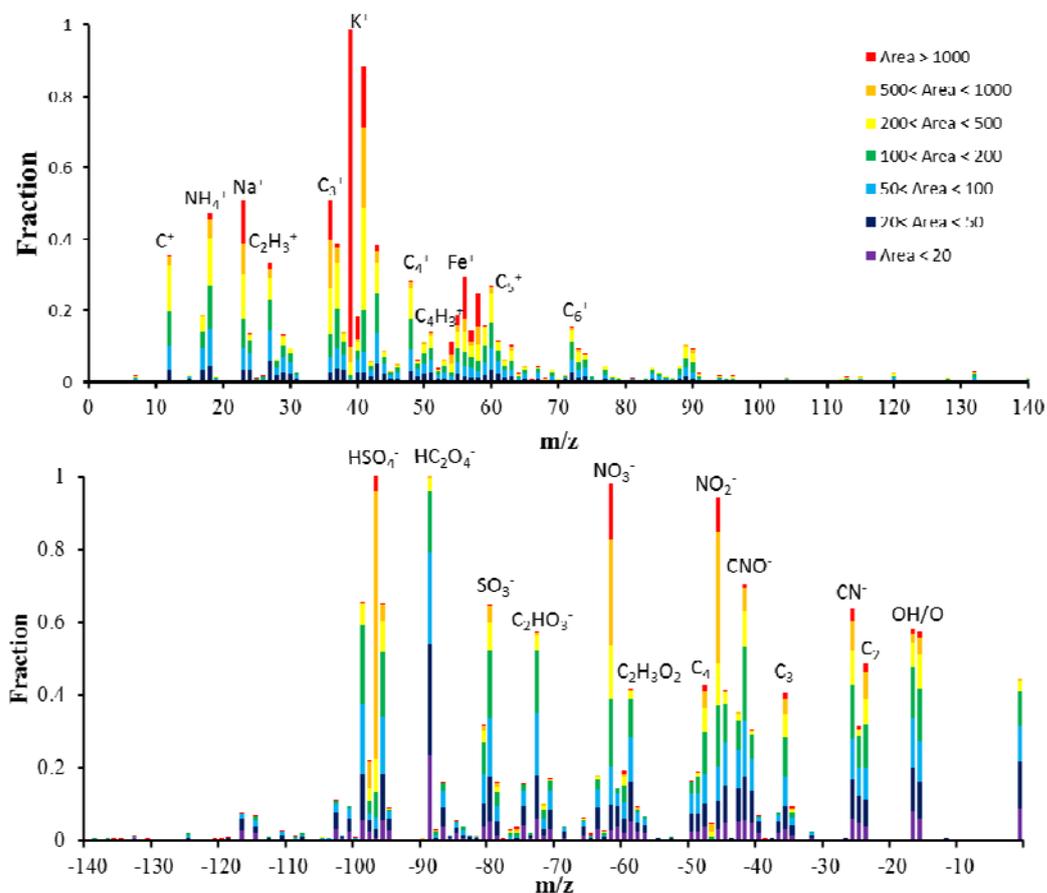
63

<b>Ion peaks</b>	<b>All the detected particles (%)</b>	<b>K-rich particles (%)</b>
<b>m/z -45</b>	12.4 ± 0.1	21.5 ± 0.3
<b>m/z -59</b>	8.6 ± 0.1	16.5 ± 0.3
<b>m/z -71</b>	2.8 ± 0.1	5.6 ± 0.1
<b>m/z -73</b>	12.6 ± 0.1	22.5 ± 0.3

65 Table S3. Number fraction (%) of the major OAs relative to all the detected particles, and  
66 visibility during each cloud event. Visibility was used here to indicate the cloud water  
67 content, since visibility is mainly controlled by the droplet number in cloud.

68

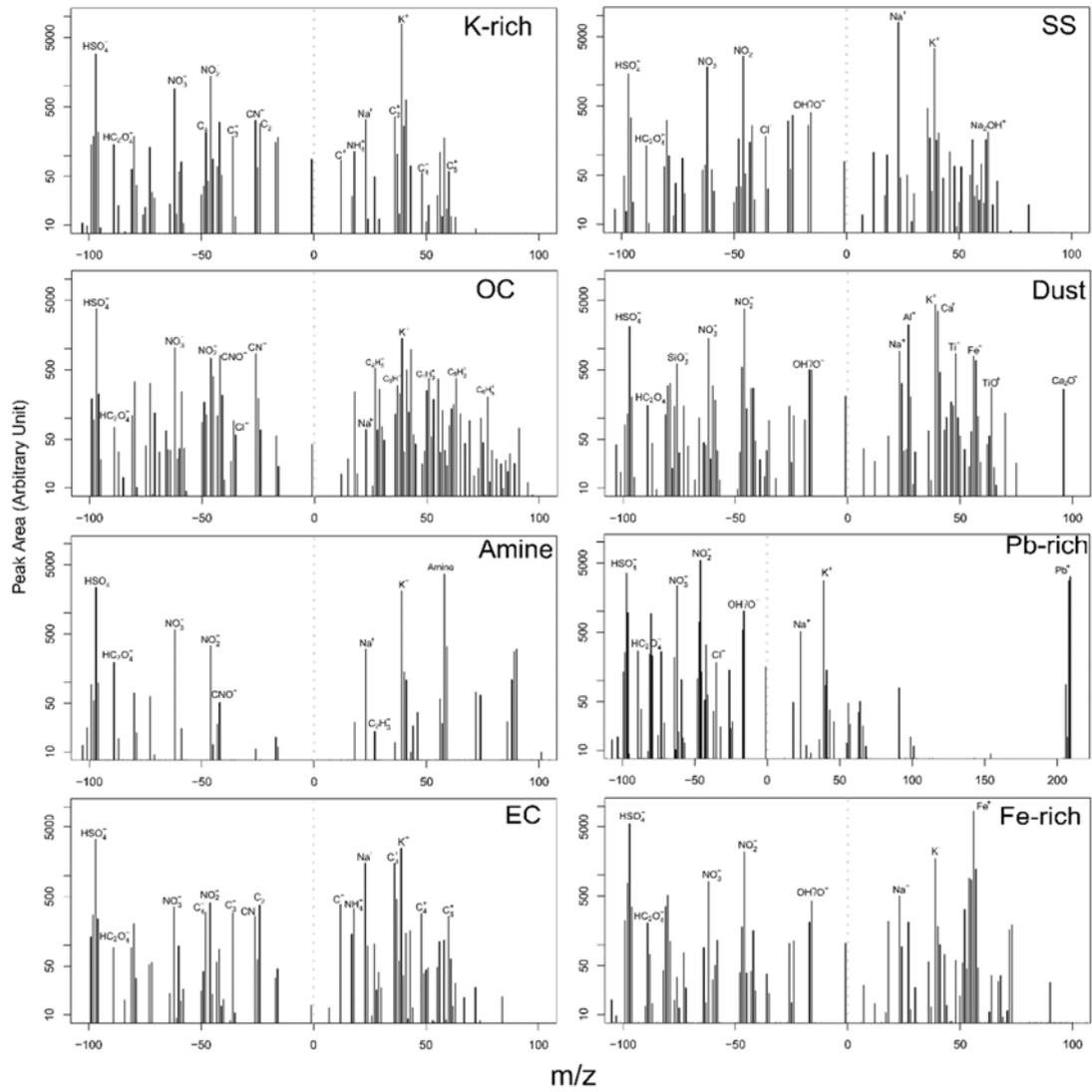
<b>Ion peaks</b>	<b>Cloud I</b>	<b>Cloud II</b>	<b>Cloud III</b>
<b>m/z -45</b>	$16.5 \pm 11.1$	$4.8 \pm 1.2$	$8.6 \pm 4.7$
<b>m/z -59</b>	$16.0 \pm 9.6$	$3.9 \pm 1.2$	$8.6 \pm 5.5$
<b>m/z -71</b>	$8.7 \pm 7.3$	$0.6 \pm 0.4$	$4.0 \pm 4.1$
<b>Visibility (km)</b>	$0.05 \pm 0.03$	$0.31 \pm 0.69$	$0.11 \pm 0.17$



70

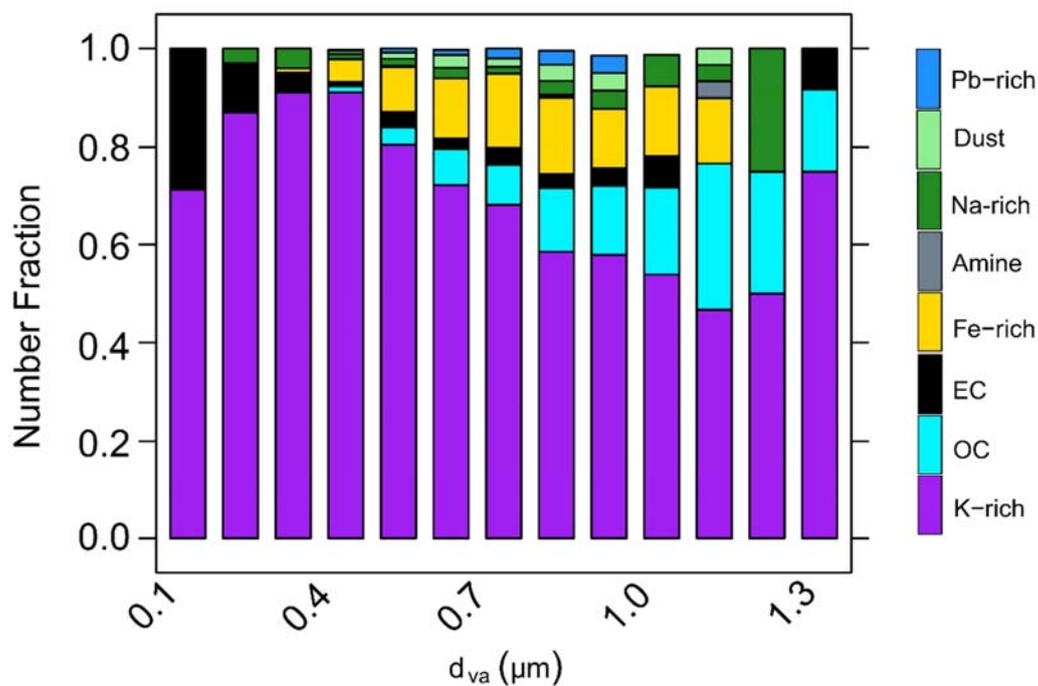
71 **Figure S1.** The number-based digitized mass spectrum of all the detected oxalate-  
 72 containing particles. Compared with the number fraction of ammonium in Fig. 3, the  
 73 result shows higher Nfs in oxalate-containing particles than ones in all particles, except  
 74  $m/z$  18 (ammonium). As can be seen in Fig. 4, oxalate was dominantly distributed in K-  
 75 rich particle type, which contained lower fraction of ammonium ( $\sim 40\%$ ). However, as the  
 76 dominant type in all the detected particles, EC type contained higher fraction ( $\sim 80\%$ ) of  
 77 ammonium. Therefore, the alkali nature (larger abundance of potassium, sodium) of the  
 78 K-rich might explain the lower fraction of ammonium associated with the oxalate-  
 79 containing particles.

80 (a)



81

82 (b)



83

84 Figure S2. (a) Average mass spectra and (b) the size-resolved number fraction for each  
85 particle type of oxalate-containing particles. Representative ions peaks were labeled for

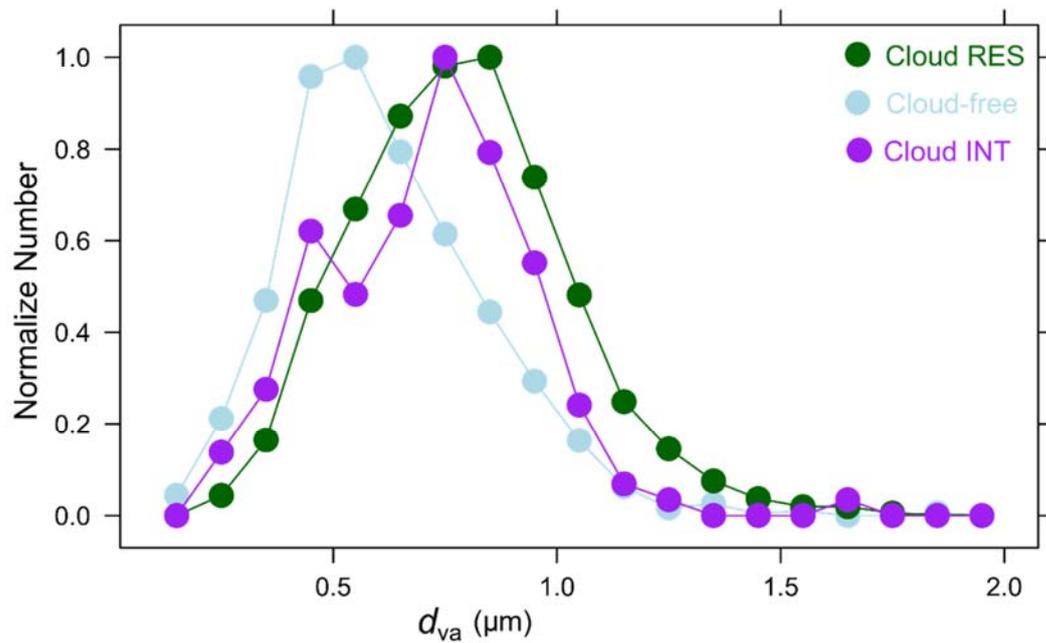
86 each particle types. One may expect that oxalate at the largest  $d_{va}$  (1.3-1.4  $\mu\text{m}$ ) is

87 associated with aged sea salt and/or mineral dust particles. However, our result shows

88 that the aged biomass burning particles could contribute to the largest  $d_{va}$  (1.3-1.4  $\mu\text{m}$ )

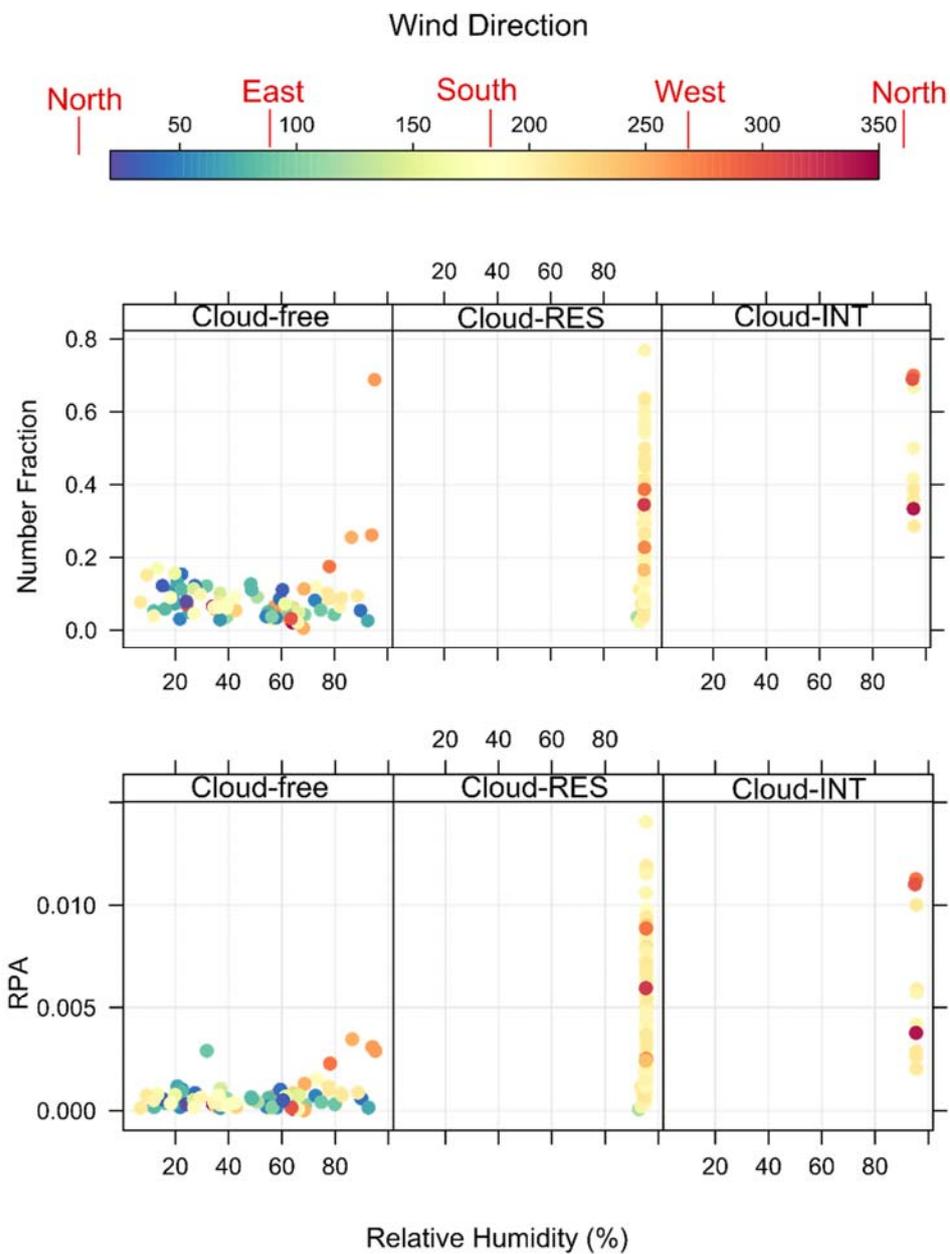
89 mode of oxalate. However, it shouldn't be conclusive since only 12 particles were found

90 at this size range.



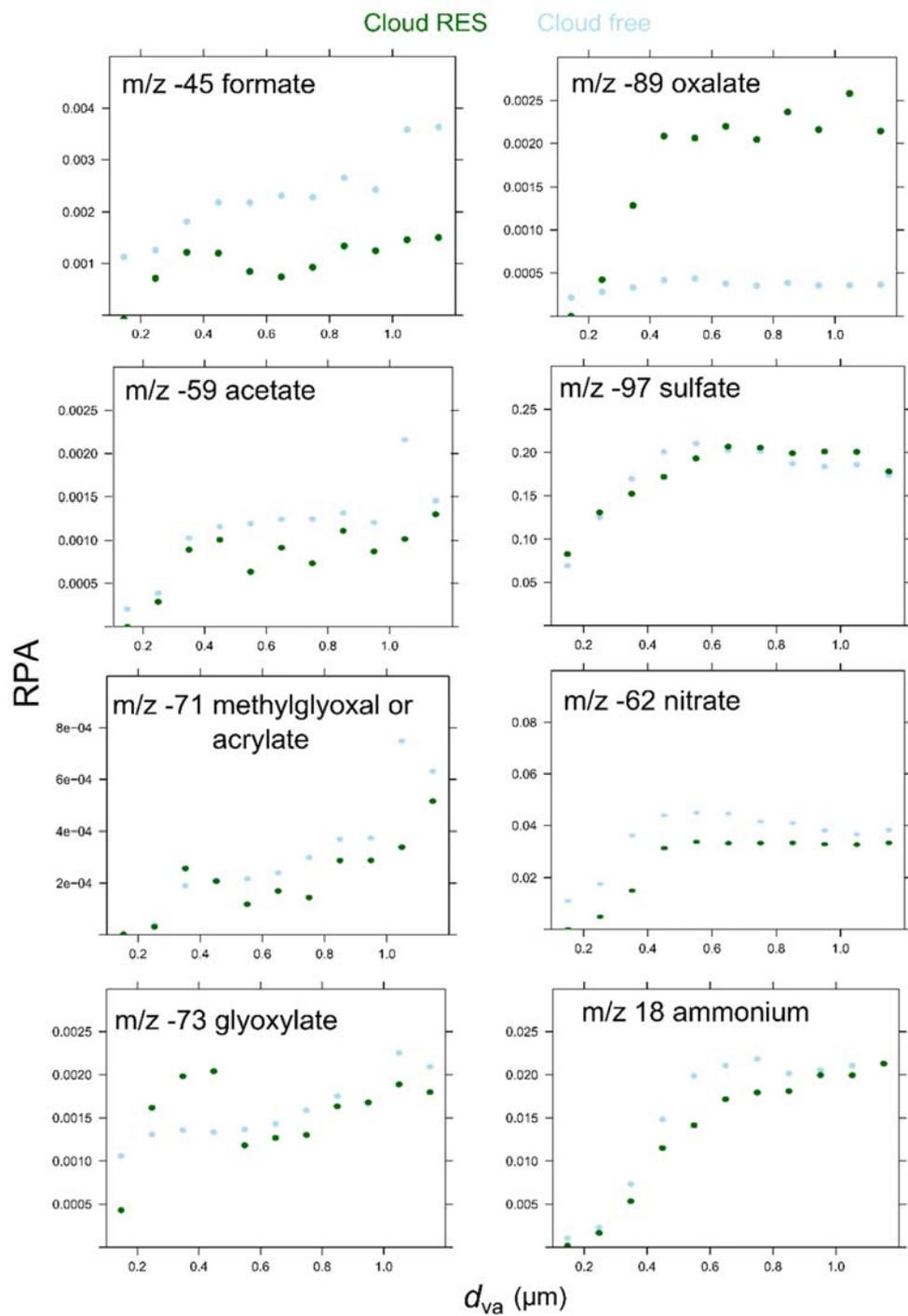
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92 Figure S3. The normalized unscaled number size distribution of oxalate-containing  
 93 particles in cloud-free, RES, and INT particles, respectively.



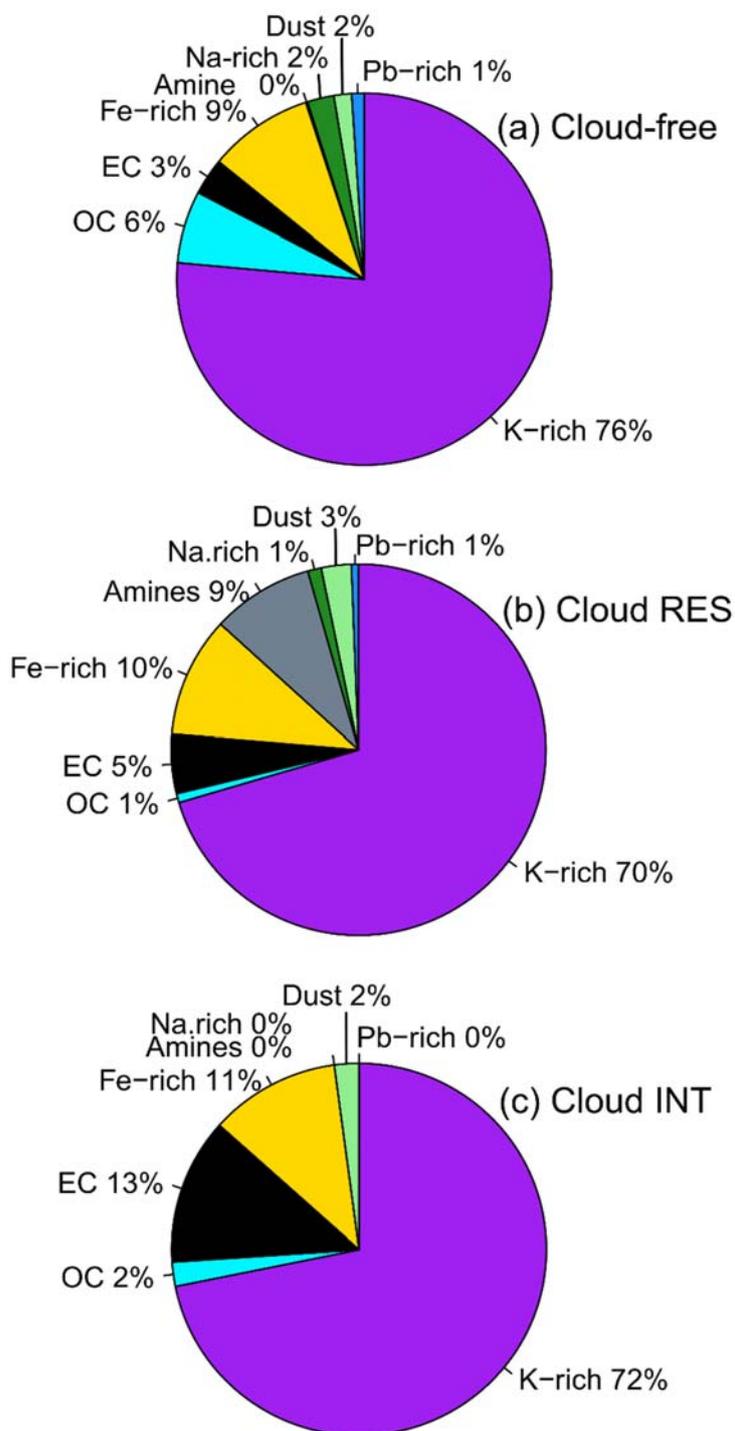
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95 Figure S4. Scattering plots of (upper) the number fraction and (lower) the RPA of the  
 96 oxalate-containing particles versus relative humidity, separated for the cloud-free, cloud  
 97 RES, and cloud INT particles . The coloration indicates the wind direction.



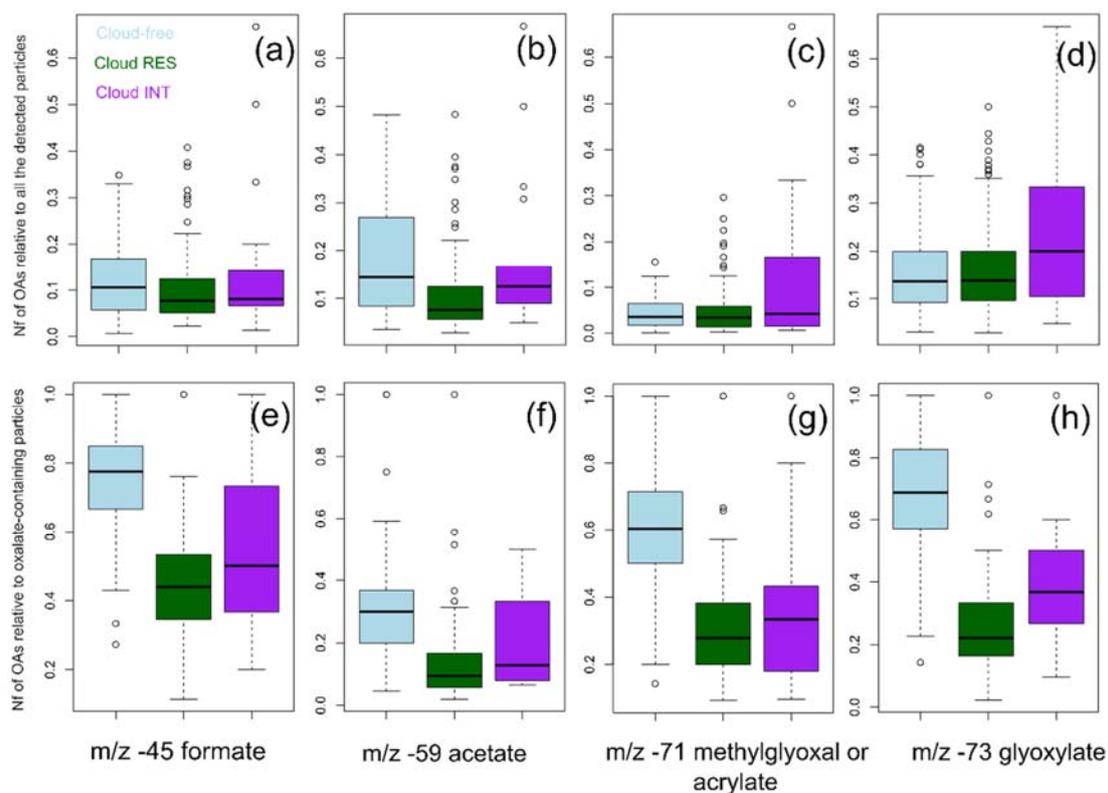
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99 Figure S5. Size-resolved distribution of RPAs for each species in the cloud-free and RES  
 100 particles.



101

102 Figure S6. Number fraction of each oxalate-containing particle type for the (a) cloud-free,  
 103 (b) cloud RES, and (c) cloud INT particles, respectively.



104  
105

106 Figure S7. Box and whisker plot of the variations of number fractions for four OAs in (a-  
107 d) all the detected particles, and (e-h) oxalate-containing particles, separated for cloud-free,  
108 RES, and INT particles, respectively. In a box and whisker plot, the lower, median and  
109 upper line of the box denote the 25, 50, and 75 percentiles, respectively; the lower and  
110 upper edges of the whisker denote the 10 and 90 percentiles, respectively. **Open circles**  
111 **shows the data not included between the whiskers, which is larger than 90 percentiles or**  
112 **lower than 10 percentiles of the data set.**

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