Sources and oxidative potential of water-soluble humic-like substances (HULIS\textsubscript{WS}) in fine particulate matter (PM\textsubscript{2.5}) in Beijing

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Abstract. Water-soluble humic-like substances (HULIS\textsubscript{WS}) are a major redox-active component of ambient fine particulate matter (PM\textsubscript{2.5}); however, information on their sources and associated redox activity is limited. In this study, total HULIS\textsubscript{WS}, various HULIS\textsubscript{WS} species, and HULIS\textsubscript{WS}-associated dithiothreitol (DTT) activity were quantified in PM\textsubscript{2.5} samples collected during a 1-year period in Beijing. Strong correlation was observed between HULIS\textsubscript{WS} and DTT activity; both exhibited higher levels during the heating season than during the non-heating season. Positive matrix factorization analysis of both HULIS\textsubscript{WS} and DTT activity was performed. Four combustion-related sources, namely coal combustion, biomass burning, waste incineration, and vehicle exhaust, and one secondary factor were resolved. In particular, waste incineration was identified as a source of HULIS\textsubscript{WS} for the first time. Biomass burning and secondary aerosol formation were the major contributors (>59%) to both HULIS\textsubscript{WS} and associated DTT activity throughout the year. During the non-heating season, secondary aerosol formation was the most important source, whereas during the heating season, the predominant contributor was biomass burning. The four combustion-related sources accounted for >70% of HULIS\textsubscript{WS} and DTT activity, implying that future reduction in PM\textsubscript{2.5} emissions from combustion activities can substantially reduce the HULIS\textsubscript{WS} burden and their potential health impact in Beijing.

1 Introduction

Air pollution caused by ambient fine particulate matter (PM\textsubscript{2.5}) is a significant environmental problem worldwide. PM\textsubscript{2.5} that carries various pollutants may be transported into the human respiratory and thus lead to myriad health effects (Becker et al., 2005; Nel, 2005). Mechanism of these health effects isn’t fully understood up to date; however, perturbing the redox equilibrium through the generation of excessive reactive oxygen species (ROS) is considered as a fundamental way, which has been proposed to be related with the high redox-active components in PM\textsubscript{2.5}. Transition metals and quinones are two such components with high oxidative potential (Charrier and Anastasio, 2012; Chung et al., 2006). More recently, another abundant water-soluble organic component, i.e. humic-like substances (HULIS\textsubscript{WS}), have also been recognized to be highly redox-active (Dou et al., 2015; Lin and Yu, 2011; Verma et al., 2015a).

HULIS\textsubscript{WS} are a mixture of compounds that contain polycyclic ring structures with aliphatic side chains and multiple functional groups, and a significant proportion (30%–80%) of the water-soluble organic matter (WSOM) in PM\textsubscript{2.5} (Graber and Rudich, 2006;
Kuang et al., 2015; Lin et al., 2010a). The reversible redox sites in HULIS\textsubscript{WS} fraction could serve as electron transfer intermediaries and lead to continuous production of ROS (Lin and Yu, 2011). Actually, many recent studies have reported the significant role of HULIS\textsubscript{WS} in driving PM-associated ROS formation (Dou et al., 2015; Lin and Yu, 2011; Verma et al., 2015a). Dithiothreitol (DTT) assay is frequently applied to evaluate the oxidative potential of PM\textsubscript{2.5} components, especially for organic compounds (Xiong et al., 2017). By adopting this method, Verma et al. (2015b) found that HULIS\textsubscript{WS} caused approximately 45% of DTT activity of the water extract from PM\textsubscript{2.5} sampled in Atlanta, USA. This was 5% higher than that induced by water-soluble metals (Verma et al., 2015b). Furthermore, the DTT activity of HULIS\textsubscript{WS} is about 79% of the whole WSOM fraction in PM\textsubscript{2.5} samples (Lin and Yu, 2011), suggesting a substantial health threat induced by HULIS\textsubscript{WS}. Thus, given the considerable amount of HULIS\textsubscript{WS} in PM\textsubscript{2.5} and their high ROS generation ability, both field measurements and smog chamber experiments have been conducted to determine their formation mechanisms and atmospheric origins (Kautzman et al., 2010; Lin et al., 2010b; Sato et al., 2012); biomass burning and secondary formation have been suggested to be the major sources (Kautzman et al., 2010; Lin et al., 2010b). However, until now, studies on the quantitative source apportionment of HULIS\textsubscript{WS} remain relatively rare (Kuang et al., 2015), and information on the source-specific contribution to their redox activity is lacking.

Beijing, the capital of China located in the North China Plain, is a political and cultural center with densely population. On the other hand, it has become one of the most polluted cities in the world, with an annual PM\textsubscript{2.5} concentration of up to 89.5 μg m\textsuperscript{-3} in 2013 (Li et al., 2017). Therefore, it presents an ideal location to study the chemical characteristics of HULIS\textsubscript{WS} as well as their sources and potential redox activity.

In this study, our major objective is to investigate the ROS-forming ability of HULIS\textsubscript{WS} in relation to different sources and meteorological conditions. Thus, a total of 66 PM\textsubscript{2.5} samples collected in Beijing during a 1-year period were analyzed. Concentrations of total HULIS\textsubscript{WS} were quantified, together with some characteristic individual HULIS\textsubscript{WS} species and the major aerosol components. HULIS\textsubscript{WS}-associated redox activity was also determined using a DTT assay. Positive matrix factorization (PMF) analysis was applied to determine the sources of both HULIS\textsubscript{WS} and their associated redox activity. Such a comprehensive source apportionment study of HULIS\textsubscript{WS}-related ROS-generation potential has not been previously reported. Results from this study could provide not only quantitative information regarding the sources and toxicity of HULIS\textsubscript{WS}, but also a deeper understanding of the source-specific oxidative potential of Chinese urban organic aerosols in general. This may be useful for the future development of source-targeted air pollution control policies in Beijing and may provide public-health benefits.

### 2 Material and methods

#### 2.1 Sample collection

PM\textsubscript{2.5} samples were collected at the Peking University Atmosphere Environment Monitoring Station (PKUERS) on the campus of Peking University (39°59'21''N, 116°18'25'E, approximately 30 m above the ground), Beijing, China. A high-volume air sampler coupled with a ≤2.5 μm inlet (HIVOL-CABLD, ThermoFisher Scientific, Waltham, MA, USA) was used to conduct sampling at a flow rate of 1.13 m\textsuperscript{3} min\textsuperscript{-1}. Samples were collected on quartz fiber filters (20.3 × 25.4 cm\textsuperscript{2}, prebaked at 550 °C for 5 h; Whatman, Hillsboro, OR, USA) for 24 h every 6 days from 3 March 2012 to 1 March 2013. In addition, a four-channel mid-volume sampler was operated synchronously (16.7 L min\textsuperscript{-1}, TH-16A, Wuhan Tianhong Instruments Co. Ltd, China) to collect PM\textsubscript{2.5} onto three 47-mm Teflon filters and one quartz fiber filter for the determination of PM\textsubscript{2.5} mass, elemental carbon (EC) and organic carbon (OC), and inorganic ionic species.
2.2 Chemical analysis

HULIS\_WS was isolated from PM\_2.5 samples following the procedure described by Lin et al. (2010b). Briefly, a portion of sample filters (17.5 cm\(^2\) for individual HULIS\_WS species and 3 cm\(^2\) for total HULIS\_WS) was cut into small pieces and pollutants were extracted through sonication with distilled deionized (DDI) water for 30 min. The extracts were filtered with polytetrafluoroethylene (PTFE) filters (0.45-μm pore size; Grace, Houston TX, USA) and acidified to a pH of 2 with 2.4 M HCl. A solid phase extraction (SPE) cartridge (Oasis HLB, 3 mL/30 μm, 60 mg; Waters, Milford, MA, USA) was used to isolate HULIS\_WS. The SPE cartridge was first activated using 1.0 mL of methanol and equilibrated using 1.0 mL of 0.01 M HCl. The extracts were then loaded onto an HLB cartridge. Because the majority of inorganic ions, low molecular weight organic acids, and sugar compounds could not be retained by the HLB cartridge, they were removed from the final effluent. For the analysis of individual HULIS\_WS species, the HLB cartridge was rinsed with two 1.0-mL portions of DDI water and then eluted with three 0.5-mL portions of basic methanol (2% ammonia, w/w). The effluent was dried with a gentle flow of ultrapure nitrogen at 40 °C, and then derivatized with 100 μL of N,O-bis(trimethylsilyl)trifluoroacetamide (BSTFA; with 1% trimethylchlorosilane; Sigma Aldrich, St. Louis, MO, USA) and 50 μL of pyridine (>99.5%; International Laboratory USA, CA, USA) at 70 °C for 2 h. When the mixture had cooled to room temperature, it was spiked with 30 μL of tetracosane-d\_50 (50 μg mL\(^{-1}\) in n-hexane; Sigma Aldrich, St. Louis, MO, USA) as the internal standard for gas chromatography-mass spectroscopy (GC-MS; 5975-7890A, Agilent, Santa Clara, CA, USA) analysis. Detailed information on this analysis is provided in the Supplementary Material.

For the analysis of total HULIS\_WS, 1.5 mL of basic methanol (2% ammonia, w/w) was replaced by 6.0 mL of pure methanol in the SPE step to avoid possible influence of ammonia in the following DTT experiments (Lin and Yu, 2011). The larger amount of solvent was to maintain the elution efficiency (Lin and Yu, 2011). Detailed information for the GC-MS quantification intensity of individual HULIS\_WS species eluted by the two protocol was provided in the Supplementary Material. The effluent was dried with nitrogen, and restored in 1 mL of DDI water for quantification. An aliquot of 20 μL of aqueous solution was injected into a high-performance liquid chromatography system (HPLC, ThermoFisher Scientific, Waltham, MA, USA) coupled with an evaporative light scattering detector (Alltech ELSD 3300, Grace, Houston, TX, USA). Since ELSD is mass sensitive, the mass of HULIS\_WS instead of HULIS\_WS\_carbon was reported in this study. Detailed information on the HPLC-ELSD conditions is provided in the Supplementary Material.

Major water-soluble ions were identified and quantified using ion-chromatography (DIONEX, ICS-2500 for cations and ICS-2000 for anions, ThermoFisher Scientific, Waltham, MA, USA; Tang et al., 2011). EC and OC were analyzed by a thermal–optical carbon analyzer (Sunset Laboratory-Based Instrument, Tigard, OR, USA; Tang et al., 2011). Hopanes were measured by injection thermal desorption-gas chromatography mass spectrometry (GC-MS, Agilent 6890N-5975C, Santa Clara, CA, USA, Ho and Yu, 2004), while levoglucosan was measured using an Agilent 7890A- 5975C GC-MS (Hu et al., 2008).

2.3 DTT assay

The procedure of the DTT assay follows that used by Li et al. (2009) and Lin and Yu (2011). A 120-μL portion of HULIS\_WS solution was transferred into an eppendorf tube. Then 920 μL of potassium phosphate buffer (pH = 7.4) containing 1 mM diethylene triamine pentaaacetic acid (DTPA) and 50 μL of 0.5 mM DTT (both >99%; Sigma Aldrich, St. Louis, MO, USA) were added and mixed thoroughly. The samples were subsequently placed in a dry bath at 37 °C for 90 min and spiked with 100 μL of 1.0 mM 5,5’-dithiobis-2-nitrobenzoic acid (DTNB, 98%; Sigma Aldrich, USA) containing 1 mM DTPA. Finally, the absorbances of the reacted sample solutions were measured at 412 nm within 30 min using an ultraviolet-visible (UV-Vis) spectrophotometer (8453, Hewlett Pakard, Palo Alto, CA, USA). Considering that some transition metals may still remained in the HULIS\_WS fraction even after HLB purification, sufficient amount of DTPA was added in the procedure to chelate all the remaining transition metals, such
as Cu, Mn, and Fe, to eliminate the redox-activity induced by these metals (Lin and Yu, 2011). For the control samples, blank filters were used instead of real samples.

Based on previous experiments, the time-dependent consumption of DTT catalysed by HULISWS is linear when DTT consumption is less than 90% (Lin and Yu, 2011). In this study, verification experiments were also performed with a similar result. Give that the DTT consumption rates for all the 66 samples in this study were between 3.6% and 77.0%, the DTT activity is proportionally related to HULISWS concentration.

2.4 Source apportionment

In this study, United States Environmental Protection Agency PMF 5.0 was applied to identify and apportion the sources of both HULISWS and HULISWS-associated redox activity. As suggested by Henry et al. (1984), the minimum sample size of N for PMF analysis was \(30 + (V + 3)/2\), where \(V\) is the number of input species. A total of 66 samples and 13 species were included in PMF analysis, which was an adequate sample size to obtain a statistically reliable PMF result. Details of PMF parameter settings are provided in the Supplementary Material.

3 Results and discussion

3.1 Total HULISWS and HULISWS-associated DTT activity

In this study, the concentrations of total HULISWS and HULISWS-associated DTT activity in 66 PM2.5 samples were quantified. The annual average concentration of total HULISWS in Beijing measured in this study was 5.66 \(\mu g \, m^{-3}\) (median: 4.30, range: 1.08–22.36 \(\mu g \, m^{-3}\)). This was approximately 20% higher than those measured in three other Chinese cities: 4.83 \(\mu g \, m^{-3}\) in Guangzhou (Kuang et al., 2015), 4.71 \(\mu g \, m^{-3}\) in Nansha (Kuang et al., 2015), and 4.69 \(\mu g \, m^{-3}\) in Lanzhou (Tan et al., 2016). A clear temporal variation of total HULISWS was observed (Figures 1, 2), with significantly higher levels \((p < 0.05, \text{Mann–Whitney test})\) in the heating season (November through March; average 7.93, median 6.15 \(\mu g \, m^{-3}\)) than in the non-heating season (April through October; average 3.72, median 2.86 \(\mu g \, m^{-3}\)). This could be mostly attributed to the intensive coal and biomass burning activities performed for residential heating during the heating season. In addition, lower temperatures and mixing heights during the heating season could also favor the formation of particle-bound HULISWS species. However, the contributions of total HULISWS to organic matter (OM, calculated by OC multiply the ratio of 1.98 and 1.50 for the heating and non-heating seasons, respectively, Xing et al., 2013) in PM2.5 are slightly lower during the heating season \((21.8\% \pm 13.5\%)\) than that during the non-heating season \((27.4\% \pm 12.0\%, \text{Figure 1})\), indicating higher levels of other combustion-generated organic compounds were emitted in the heating seasons other than HULISWS.

For HULISWS-associated DTT activity, they exhibited similar temporal variation as HULISWS (Figure 2), with significantly higher levels in the heating season \((\text{average 0.073, median 0.063 nmol min}^{-1} \text{ m}^{-3}\)) than in the non-heating season \((\text{average 0.031, median 0.029 nmol min}^{-1} \text{ m}^{-3}\)). Because most of the inorganic ions were not retained by the HLB cartridge and the remaining metals in the HULISWS effluent were chelated by DTPA, the DTT activity measured here could be attributed entirely to HULISWS. In fact, a strong correlation between total HULISWS and HULISWS-associated DTT activity was observed \((R^2 = 0.78)\).

3.2 Individual species of HULISWS

Because the main objective of this study was to identify the sources of HULISWS and their associated redox activity, we mainly focused on the identification of organic markers in the chemical analysis. A total of 25 species were identified and quantified in the HULISWS fraction of PM2.5 through GC-MS, including 12 aromatic acids, five nitrophenol analogues, three aliphatic acids, and five biogenic secondary organic aerosol (SOA) tracers (Table S1 in the Supplementary Material, Hu et al., 2008).
151 All 12 aromatic acids, including three hydroxyl benzoic acids, three benzenedicarboxylic acids, three benzencarboxylic acids, 152 2-hydroxy-5-nitrobenzoic acid, vanillic acid, and syringic acid, exhibited higher levels during the heating season than during the 153 non-heating season (Figure S2 in the Supplementary Material). Among these acids, terephthalic acid (TPha) was the most abundant 154 (average 150.2 ng m$^{-3}$ in the heating season, and 98.1 ng m$^{-3}$ in the non-heating season), accounting for approximately 2% of the 155 total HULIS$_{WS}$. Compared with other Chinese cities, the concentration of TPha in Beijing was substantially higher than those in 156 the southern cities such as Hong Kong (19.9 ng m$^{-3}$ in winter, Ho et al., 2011) and similar to those in the northern cities such as 157 Xi’an (54 ng m$^{-3}$ in summer and 250 ng m$^{-3}$ in winter, Cheng et al., 2013). TPha is mainly used to produce 158 polyethylene terephthalate (PET) plastics, which are widely used for bottles and containers; therefore, it has been suggested as a 159 tracer for the pyrolysis of domestic waste (Kawamura and Pavuluri, 2010; Simonet et al., 2005). Meanwhile, benzenedicarboxylic 160 acids were considered to be secondarily formed from the photodegradation of organic precursors such as polycyclic aromatic 161 hydrocarbons (PAHs) (Kautzman et al., 2010). Therefore, 1,2,3-benzencarboxylic acid (123Ben) and 1,2,4- 162 benzenedicarboxylic acid (124Ben) were also included in the PMF analysis.

163 Similar to the aromatic acids, all five nitrophenol analogues, namely 4-nitrophenol, 2-nitrocatechol, 2-methyl-4-nitrophenol 164 (2M4NP), 4-methyl-5-nitrocatechol (4M5NC), and 3-methyl-6-nitrocatechol (3M6NC), exhibited 8–14 times higher 165 concentrations during the heating season than during the non-heating season (Table S1 in the Supplementary Material). In particular, 4M5NC and 3M6NC not only showed similar temporal variations but also were strongly correlated ($R^2 = 0.87$), implying 166 that they may have similar sources. These two compounds have been suggested as tracers for the aging process of biomass burning 167 (Linuma et al., 2010; Kahnt et al., 2013). However, Linuma et al. (2010) pointed out that the photo-oxidation of vehicle exhaust 168 may be a more significant source for these two compounds in urban areas. Given that both 4M5NC and 3M6NC are good 169 anthropogenic SOA markers, they were also included in the PMF analysis.

170 Five biogenic SOA tracers including 3-hydroxyglutaric acid, 3-hydroxy-4,4-dimethylglutaric acid, 3-methyl-1,2,3- 171 butanetricarboxylic acid, 3-isopropylglutaric acid, and 3-acetylglutaric acid were identified and quantified. Because they were all 172 formed from the atmospheric oxidation of monoterpenes and had similar temporal variations, they were grouped as SOA markers 173 of monoterpenes (MonoT) in the PMF analysis (Hu et al., 2010). Briefly, MonoT showed higher concentrations during the non- 174 heating season (average 16.9, median 15.2 ng m$^{-3}$) than during the heating season (average 12.5, median 10.2 ng m$^{-3}$), which was 175 opposite to that of total HULIS$_{WS}$. Because of the higher biogenic volatile organic compounds (VOCs) emissions, more intense 176 solar radiation, and higher temperature and humidity in the non-heating season, more active secondary formation could lead to 177 higher concentrations of biogenic SOA (Guo et al., 2012).

3.3 Source apportionment of total HULIS$_{WS}$ and their ROS activity

The optimal PMF solution was determined with five factors (A–E; Figure 3). The $Q_{\text{robust}}$ obtained was 62.9, which was exactly 181 equal to $Q_{\text{true}}$, and the scaled residues for all species were between −2 and +2, indicating no outliers for this solution. Constrained 182 model operation was adopted for a more reasonable interpretation (d$Q_{\text{robust}}$% = 0.32%) (Norris et al., 2014). The optimized solution 183 was bootstrapped 100 times, with 100% of the runs producing the same factors. A strong linear correlation between the measured 184 and PMF-predicted HULIS$_{WS}$ concentrations ($R^2 = 0.76$) also suggested a reliable PMF solution (Figure S4 in the Supplementary 185 Material).

As shown in Figure 3, factor A had a high percentage of non-sea salt Cl$^-$ (nss-CI$^-$, [nss-CI$^-$] = [Cl$^-$] − 1.17 × [Na$^+$]), and was 187 attributed to coal combustion (Tan et al., 2016; Tao et al., 2016; Zhang et al., 2013). Factor B had a high loading of levoglucosan 188 and was determined as biomass burning (Hu et al., 2010; Tao et al., 2016). Factor C was considered to be waste incineration, due 189 to the high level of TPha. Factor D was dominated by hopanes, tracers for fuel combustion, suggesting traffic related activities (Hu
et al., 2010). In particular, the two anthropogenic markers, 4M5NC and 3M6NC, were mostly assigned to this factor (4M5NC 46%, and 3M6NC 33%) instead of factor C (4M5NC 14%, and 3M6NC 25%). These two species were mainly formed through the photo-oxidation of cresols, which were directly emitted through wood combustion or produced from toluene through its reaction with OH radicals in the presence of NOx (Inhuma et al., 2010). Traffic emissions were a significant source for single-ring aromatics, especially toluene, in Chinese megacities (Huang et al., 2015). In this study, the sampling site was located in an urban area influenced by considerable vehicular emissions of NOx and toluene, which may have led to subsequent secondary formation of 4M5NC and 3M6NC. Therefore, the fourth factor was considered as a mixed source including both primary emission and the aging process of traffic exhaust. The fifth factor was characterized by a predominant loading of MonoT, SO$_4^{2-}$, and NH$_4^{+}$; thus, it was considered as a secondary aerosol formation source.

3.4 Source-specific contributions to HULIS$_{WS}$

Source-specific contributions to HULIS$_{WS}$ during both non-heating and heating seasons were calculated based on PMF results. The four combustion-related sources contributed >80% of HULIS$_{WS}$ in the heating season and 50% in the non-heating season (Figure 4A), of which biomass burning was the most predominant. A strong correlation ($R^2 = 0.51$, Figure S5 in the Supplementary Material) was observed between HULIS$_{WS}$ and levoglucosan, a marker of biomass burning, and this was consistent with previous studies (Lin et al., 2010b). Approximately 33% of total HULIS$_{WS}$ was attributed to biomass burning during the 1-year sampling period in Beijing, higher than that observed in the Pearl River Delta region (8%–28%, Kuang et al., 2015). The intensive wood and crop residue burning activities in the Beijing–Tianjin–Hebei region during autumn and winter could emit a large amount of aerosols into the atmosphere (Zhang et al., 2013). Thus, as shown in Figure 4A, the contribution of biomass burning to HULIS$_{WS}$ in the heating season (2.96 μg m$^{-3}$) was 3.5 times that in the non-heating season (0.84 μg m$^{-3}$).

A previous study reported that refuse burning may contribute 1%–24% of organic particles in Asia (Simoneit et al., 2004). In this study, waste incineration was found for the first time as an important source of HULIS$_{WS}$ in Beijing, with a considerable and stable contribution to total HULIS$_{WS}$ throughout the year (18.7% in the non-heating season and 17.1% in the heating season). According to the China Statistic Yearbook (2013), 6.33 million tons of domestic waste were produced in Beijing during 2012 (National Bureau of Statistics of China, 2013), among which 0.95 million tons were disposed of through incineration. Given that nearly 24% of the urban waste was plastic (Wang and Wang, 2013), the incineration of such large amounts of domestic waste may explain the high levels of TPha and other HULIS$_{WS}$ compounds in Beijing.

Coal has occupied the predominant position in China’s energy consumption for a long time (Zhang and Yang, 2013). Therefore, coal combustion is an important source of PM$_{2.5}$ pollution in China, especially in northern Chinese cities. Tan et al. (2016) identified a strong correlation between HULIS$_{WS}$ and Cl$^-$ ($R^2 = 0.89$) in Lanzhou and suggested that coal burning was probably the major contributor to HULIS$_{WS}$ in winter. However, the contribution of coal combustion to HULIS$_{WS}$ was found to be minor (5.8%) in the present study. Similarly, a source apportionment analysis of PM$_{2.5}$-bound water-soluble organic carbon (WSOC) in Beijing found that less than 5% of WSOC was from coal combustion (Tao et al., 2016). This was because less oxidized compounds including polycyclic aromatic compounds were favorably produced from the aromatic fragments of coal under the fuel-rich incomplete combustion conditions; these less oxidized compounds are generally hydrophobic substances and not extracted into the HULIS$_{WS}$ fraction.

A correlation between total HULIS$_{WS}$ and hopanes ($R^2 = 0.46$, Figure S5 in the Supplementary Material) might suggest direct emissions of HULIS$_{WS}$ from vehicle exhaust. As shown in Figure 4A, vehicle emissions are responsible for 13.7% of PM$_{2.5}$-bound HULIS$_{WS}$. Interestingly, the amount of HULIS$_{WS}$ assigned to vehicle exhaust was approximately three times higher in the heating season than in the non-heating season (Figure 4A). This could be attributed to the low temperature in winter, which favors the
partition of semivolatile HULIS\textsubscript{WS} species into particle phases. Another explanation could be that more HULIS\textsubscript{WS} were formed from the aging process of traffic exhaust in the heating season. To evaluate this hypothesis, multilinear regression (MLR) analysis was conducted to assess the effects of NO\textsubscript{X}, O\textsubscript{3}, SO\textsubscript{2}, particle acidity (H\textsubscript{p}+), and particle-phase liquid water content (LWC\textsubscript{p}) on the HULIS\textsubscript{WS} resolved in the vehicle emissions factor (HULIS\textsubscript{WS,VE}; the calculation of H\textsubscript{p}+ and LWC\textsubscript{p}, and the MLR analysis results are provided in the Supplementary Material). NO\textsubscript{X} was found as the only statistically significant factor that was positively correlated to HULIS\textsubscript{WS,VE} with a regression coefficient of 0.012 (p < 0.001; Table S2 in the Supplementary Material), suggesting that a 1 μg m\textsuperscript{-3} increase in NO\textsubscript{X} was associated with a 0.012 μg m\textsuperscript{-3} increase in HULIS\textsubscript{WS,VE}, when holding other covariates unchanged. In fact, vehicle exhaust was the major source of ground level NO\textsubscript{X} (>60%) in Beijing, even in the heating season (Lin et al., 2011). A higher level of NO\textsubscript{X} was observed during the heating season than during the non-heating season due to a lower boundary layer and weaker vertical mixing (Figure S6 in the Supplementary Material). Kautzman et al. (2010) found that ring-opening oxidized products with one benzyl group, which could be retained by the HLB cartridge and were considered as HULIS\textsubscript{WS} components, were predominantly formed from the photo-oxidation of PAHs under high NO\textsubscript{X} conditions. Thus, the higher levels of NO\textsubscript{X} in the heating season led to higher levels of secondarily produced HULIS\textsubscript{WS,VE}, indicating a synergistic effect of primary emission and the secondary aging process from vehicle exhaust. Furthermore, the presence of 4M5NC and 3M6NC, SOA markers of cresol, in this factor confirmed that a certain fraction of HULIS\textsubscript{WS,VE} was secondarily formed.

In addition to the four combustion-related sources, one secondary source was apportioned by PMF, contributing 30.1% of HULIS\textsubscript{WS} throughout the year. MLR analysis was conducted to evaluate the effects of O\textsubscript{3}, NO\textsubscript{X}, SO\textsubscript{2}, H\textsubscript{p}+, and LWC\textsubscript{p} on the secondary formation of HULIS\textsubscript{WS} (HULIS\textsubscript{WS,SEC}). Sulfate was found to be the most significant factor with a regression coefficient of 0.066 (Table S3 in the Supplementary Material). This may be due to the predominant role of sulfate in the particle-phase formation of organosulfates, one important HULIS\textsubscript{WS} component (Xu et al., 2015), through both nucleophilic addition reactions and the salting-in effect (Lin et al., 2012; Riva et al., 2015). Results from the MLR analysis also indicated that an increase of 1 μg m\textsuperscript{-3} O\textsubscript{3} led to an increase of 0.028 μg m\textsuperscript{-3} HULIS\textsubscript{WS,SEC}. Gaseous highly oxidized multifunctional organic compounds (HOMs) were characterized in the ozonolysis of α-pinene in smog chamber experiments (Zhang et al., 2015). It was suggested that, after partitioning to the particle phase, these HOMs could undergo rapid accretion reactions to form oligomers containing multiple carboxylic acid and ester groups, which served as good HULIS\textsubscript{WS} candidates. Considering the higher concentrations of O\textsubscript{3} in the non-heating season (Figure S7 in the Supplementary Material), together with higher biogenic VOCs emissions and temperature as well as more intense solar radiation, a larger amount of HULIS\textsubscript{WS,SEC} was produced in the non-heating season (2.01 μg m\textsuperscript{-3}) than in the heating season (1.41 μg m\textsuperscript{-3}).

### 3.5 Source-specific contributions to DTT activity

To gain quantitative insights into the potential health impacts of different HULIS\textsubscript{WS} sources, source-specific contributions to HULIS\textsubscript{WS}-associated DTT activity were assessed using PMF result. The strong correlation (R\textsuperscript{2} = 0.78; Figure S4 in the Supplementary Material) between measured and predicted DTT activity suggested reliable predictions.

Similar to the source apportionment results of HULIS\textsubscript{WS}, biomass burning was identified as the major contributor to HULIS\textsubscript{WS}-associated DTT activity in the heating season, and secondary formation was the most important source in the non-heating season (Figure 4B). The four combustion-related sources accounted for 75% of HULIS\textsubscript{WS}-associated redox activity throughout the year, of which biomass burning contributed 33.6%, followed by vehicle emissions (18.5%), waste incineration (18.5%), and coal combustion (4.1%). During biomass burning, highly oxidized organic compounds with quinone, hydroxyl, and carboxyl groups were directly produced (Fan et al., 2016). Moreover, some of the VOCs emitted from biomass burning could undergo further reactions and generate high redox-active products, for example, hydroxyquinones formed through ●OH radical oxidation.
Those compounds, such as quinones and hydroxyquinones, could be extracted in HULIS fraction and lead to DTT consumption (Chung et al., 2006; Verma et al., 2015a). Additionally, as reported by Dou et al. (2015) the nitrogen-containing alkaloids emitted from biomass burning could also enhance the ROS-generation ability of HULIS.

To further investigate the intrinsic ROS-generation ability of HULIS, the DTT consumption rate was normalized for HULIS mass (DTT$_{in}$, expressed in units of pmol min$^{-1}$ per μg HULIS) (Verma et al. 2014). The average intrinsic DTT activity of HULIS in Beijing was 9.91 pmol min$^{-1}$ per μg HULIS (median 9.02, range 2.74–25.8 pmol min$^{-1}$ per μg HULIS), which was higher than the reported average DTT$_{in}$ activity (6.4 ± 1.2 pmol min$^{-1}$ per μg HULIS) of six PM$_{2.5}$ samples collected during winter in Guangdong, China (Dou et al., 2015). This difference might be attributed to the different chemical components and sources of HULIS in these two regions.

Furthermore, the intrinsic DTT activities of the HULIS from the five sources were derived. HULIS from vehicle emissions constituted the most ROS-active HULIS, with a maximum activity of 12.0 pmol min$^{-1}$ per μg HULIS$_{VE}$ followed by waste incineration (9.25 pmol min$^{-1}$ per μg HULIS$_{WI}$), biomass burning (9.10 pmol min$^{-1}$ per μg HULIS$_{BB}$), secondary formation (7.45 pmol min$^{-1}$ per μg HULIS$_{SEC}$), and coal combustion (6.22 pmol min$^{-1}$ per μg HULIS$_{CC}$). Similarly, Bates et al. (2015) revealed that the water-soluble PM$_{2.5}$ (WS-PM$_{2.5}$) from gasoline vehicle emissions had the highest intrinsic DTT activity, probably due to the oxygenated OC and metals on gasoline particles. Verma et al. (2009) also observed a higher aerosol oxidative potential from the aged particles of traffic exhaust than those directly emitted, and a strong correlation was observed between oxygenated organic acids and vehicle-related redox activity. In the present study, vehicle emission was found to be the highest redox-active source for HULIS from a large fraction of WS-PM$_{2.5}$. However, because the remaining water-soluble metals in HULIS were chelated through DPTA, the high intrinsic ROS activity of HULIS$_{VE}$ is believed to be mostly due to the highly oxygenated OC content in HULIS$_{VE}$.

Waste incineration was another important primary source of HULIS$_{WS}$-related DTT activity (20.5% in the non-heating season and 17.4% in the heating season), and its intrinsic HULIS$_{WS}$ ROS activity was even slightly higher than that from biomass burning. Mohr et al. (2009) examined the elemental ratio of aerosols emitted from different sources. They found that particles from plastic burning had a higher O/C ratio (0.08) than those from diesel (0.03) and gasoline (0.04) combustion, indicating a more oxidized feature of aerosols emitted through refuse burning (Mohr et al., 2009). Considering that incineration will play an increasingly important role in waste treatment in Beijing in the following years (National Development and Reform Comission, 2016), concern should be directed to the potential threat of trash burning to public health.

Although HULIS$_{SEC}$ was less DTT-active than HULIS$_{WS,VE}$, HULIS$_{WS,II}$, or HULIS$_{WS,BB}$, secondary aerosol formation served as the second largest contributor (25.3%) to HULIS$_{WS}$-associated redox activity throughout the year. Higher levels of DTT activity mediated by HULIS$_{SEC}$ were observed in the non-heating season (0.015 nmol min$^{-1}$ m$^{-3}$) than in the heating season (0.011 nmol min$^{-1}$ m$^{-3}$), accounting for 44.1% and 14.5% of HULIS$_{WS}$ DTT activity in each season, respectively. The relatively low intrinsic DTT activity of HULIS$_{SEC}$ may be mostly attributed to its abundance of biogenic SOA components such as organosulfates and organonitrates (Chen et al., 2011), which were found to have negligible ROS-generating ability (Kramer et al., 2016). Although chamber experiments reported the formation of ROS-active HOMs or organic peroxides through the ozonolysis of biogenic VOCs (Docherty et al., 2005; Zhang et al., 2015), the production yields of these peroxides were generally low and thus could not have a major influence on the DTT activity of HULIS$_{SEC}$.

In summary, four combustion-related sources and one secondary source of PM$_{2.5}$-bound HULIS$_{WS}$ and their associated ROS potential were identified by PMF in this study. Biomass burning (32.7%) and secondary aerosol formation (30.1%) were the major
contributors to HULISWS in Beijing. For the first time, waste incineration was identified as an important source of HULISWS, with a considerable and stable contribution to HULISWS throughout the year (17.7%). Regarding ROS-generation potential, HULISWS from vehicle emissions was identified as the most ROS-active, and HULISWS from secondary aerosol formation showed a lower intrinsic DTT ability than those of most primary sources except for coal combustion. Such variations in the ROS-generation ability of HULISWS from different sources will be relevant for future inquiries into more detailed chemical speciation of HULISWS, their roles in ROS generation, and the possible oxidation mechanisms involved.

Supplementary Material. Information on chemical analysis; PMF source apportionment; MLR analysis together with Table S1-S3 and Figure S1-S7 are provided.

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Figure 1: Monthly average concentrations (average ± standard error) of total HULIS$_{WS}$ and organic matter (OM) in PM$_{2.5}$ collected in Beijing. The monthly percentage contributions of HULIS$_{WS}$ to OM are shown in the blue line.
Figure 2: Temporal variation of total HULISWS and associated DTT activity in Beijing.

Figure 3: Distribution of HULISws, HULISws-associated DTT activity and other measured species in the five sources resolved by PMF. Columns in dark red indicate characteristic tracers of each source.
Figure 4: Source-specific contributions to total HULISWS (panel A) and HULISWS-associated DTT activity (panel B).