

1 Reanalysis of and attribution to near-surface ozone 2 concentrations in Sweden during 1990-2013

3
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10 11 **Abstract**

12 We have constructed two data sets of hourly resolution reanalyzed near-surface ozone (O₃)
13 concentrations for the period 1990-2013 for Sweden. Long-term simulations from a
14 chemistry-transport model (CTM) covering Europe were combined with hourly ozone
15 concentration observations at Swedish and Norwegian background measurement sites using
16 retrospective variational data analysis. The reanalysis data sets show improved performance
17 over the original CTM when compared to independent observations.

18 In one of the reanalyzes we included all available hourly near-surface O₃ observations, whilst
19 in the other we carefully selected time-consistent observations. Based on the second
20 reanalysis we investigated statistical aspects of the distribution of the near-surface O₃
21 concentration, focusing on the linear trend over the 24 year period. We show that high near-
22 surface O₃ concentrations are decreasing and low O₃ concentrations are increasing, which is
23 reflected in observed improvement of many health and vegetation indices (apart from those
24 with a low threshold).

25 Using the CTM we also conducted sensitivity simulations to quantify the causes of the
26 observed change, focusing on three factors: change in hemispheric background
27 concentrations, meteorology and anthropogenic emissions. The rising low concentrations of
28 near-surface O₃ in Sweden are caused by a combination of all three factors, whilst the

1 decrease in the highest O₃ concentrations is caused by European O₃ precursor emissions
2 reductions.

3 While studying the impact of anthropogenic emissions changes, we identified systematic
4 differences in the modelled trend compared to observations that must be caused by incorrect
5 trends in the utilised emissions inventory or by too high sensitivity of our model to emissions
6 changes.

7

8 **1 Introduction**

9 Elevated concentrations of near-surface ozone (O₃) are a major policy concern, given their
10 ability to damage both vegetation (e.g. Royal Society, 2008) and human health (e.g. WHO,
11 2006). It is also an important greenhouse gas (e.g. Stocker et al., 2013). Elevated O₃
12 concentrations are formed in the troposphere by the oxidation of volatile organic compounds
13 (VOCs) and carbon monoxide (CO), driven by solar radiation in a polluted air mixture that
14 includes nitrogen oxides (NO_x, sum of nitric oxide and nitrogen dioxide: NO+NO₂). Close to
15 combustion sources, the background O₃ concentration is reduced through reactions with
16 directly emitted NO (see for example Finlayson-Pitts and Pitts, 2000). However, further away
17 from the source and with sufficient availability of VOCs and under favorable weather
18 conditions these NO_x emissions can lead to rises in the O₃ concentration. O₃ can be
19 transported to regions far away from the area where it was formed and even across continents
20 (e.g. Akimoto, 2003; Derwent et al. 2015). Oxidized nitrogen can also be transported to
21 remote regions as reservoir species, such as peroxy-acetyl nitrates (PANs). These can be a
22 significant source of NO_x and alongside naturally emitted biogenic VOCs cause O₃ formation
23 in otherwise non-polluted areas (e.g. Jacob et al., 1993; Fiore et al., 2011).

24 European and North American anthropogenic emissions of NO_x increased over most of the
25 20th century, but decreased strongly since the 1980s due to emission control (e.g. Lamarque et
26 al., 2010; Granier et al., 2011). Asian emissions have continued to rise under the same period
27 (Ohara et al., 2007). Jonson et al. (2006) showed that the trend in O₃ concentration in Europe
28 cannot be fully explained by changes in European precursor emissions. By inter-continental
29 transport the increasing precursor emissions in Asia could contribute to increasing
30 background levels with at least a strong impact in North America (Vestraeten et al., 2015),
31 whilst the trend in European background O₃ seasonal variation could also be affected by the
32 decreases in North American precursor emissions (Fiore et al., 2009; Derwent et al., 2015).

1 Climate also changes over time, causing both changes to the O₃ forming potential, biogenic
2 emissions of O₃ precursors and deposition processes (Andersson and Engardt, 2010).
3 Variability in climate, such as the North Atlantic Oscillation (NAO), contributes to the
4 variation in O₃ concentration in the upper troposphere through variations both in the
5 stratospheric contribution and in the transport patterns (Gaudel et al., 2015). Although the
6 stratospheric contribution to the O₃ concentration at the surface is generally small (3-5
7 ppb(v)) in Europe (Lelieveld and Dentener, 2000), it can be a relevant contribution to near-
8 surface O₃ in certain areas and time periods (Zanis et al., 2014) and could affect the observed
9 trend in near-surface O₃ (e.g. Fusco and Logan, 2003). Despite the large number of studies of
10 tropospheric O₃, a number of challenges still remain, such as explaining the near-surface
11 concentration trends (Monks et al., 2015).

12 Observations in the northern mid-latitudes, either at the surface (Oltmans et al, 2006) or from
13 ozone-sondes and commercial aircraft (Logan et al., 2012), present the picture of increasing
14 tropospheric O₃ concentrations during the second half of the 20th century (Parrish et al., 2012;
15 Cooper et al., 2014). The strong increase in near-surface O₃ concentration until the late 1990s
16 at Mace Head, has levelled out to relatively stationary annual values throughout the 2000s
17 (Derwent et al., 2013; Cooper et al, 2014). At Pico Mountain Observatory in the Azores, a
18 decreasing O₃ concentration trend was observed during 2001-2011 which was believed to be
19 mainly caused by decreasing precursor emissions in North America (Kumar et al., 2013). Air
20 masses with European origin observed at Mace Head show a decrease in summertime peak O₃
21 concentrations and increase in wintertime, which is believed to be connected to European
22 NO_x policy (Derwent et al., 2013). O₃ concentrations observed at European alpine sites and in
23 ozone-sonde data (MOZAIC) above European cities have decreased since 1998 with the
24 strongest decrease in summer (Logan et al., 2012).

25 Several modelling efforts have been conducted to describe the past near-surface O₃
26 concentration development (e.g. Fusco and Logan, 2003; Schultz et al., 2007; Pozolli et al.,
27 2011, Xing et al. 2015). Parrish et al. (2014) present past trends in tropospheric O₃
28 concentrations modelled with three chemistry-climate models and conclude that while there is
29 considerable qualitative agreement between the measurements and the models, there are also
30 substantial and consistent quantitative disagreements. The models capture only 50 % of the
31 change observed during the last 5-6 decades and little of the observed seasonal differences,
32 and the rate of the trends are poorly captured. There are ways forward to improve the

1 description of the trends in models: 1) understanding the processes and improving the model
2 description of the physics and chemistry for processes of greatest importance in these models,
3 2) improving the input data quality and 3) incorporating observations in the model by data
4 fusion methods to accurately represent the past statistics in a reanalysis. The first two are
5 important for conducting scenario calculations, whilst the last is an option for producing
6 mappings.

7 If correctly conducted, data fusion will improve the modelled estimates. If temporal and
8 spatial consistency is not considered, it may however introduce artificial trends. Artificial
9 trends can for example arise from the introduction of new observation sites, which reduce the
10 model bias in the area surrounding the measurement site during the time it is included but not
11 before. Data assimilation, a subset to data fusion (Zhang et al., 2012), is the process by which
12 observations of the real world are incorporated into the model state of a numerical model, in
13 this case into the chemistry-transport model (CTM) (Kalnay, 2003; Denby and Spangl, 2010).
14 Advanced data assimilation schemes like the 4 dimensional variational technique (4dvar; e.g.
15 Courtier et al., 1994; Inness et al., 2013) utilize information provided by satellites and
16 propagate this in space and time from a limited number to a wide range of chemical
17 components to provide fields that are physically and chemically consistent with the
18 observations. Inness et al. (2013) performed a reanalysis of global chemical composition,
19 including O₃ concentration, for 2003-2010 using advanced data assimilation of satellite
20 observations within the framework of the monitoring atmospheric composition and climate
21 (MACC) project. They demonstrated improved O₃ and CO concentration profiles for the free
22 troposphere, but biases remained for the lower troposphere. Another reanalysis of near-
23 surface O₃ concentration in Europe, also within the MACC project, was conducted for the
24 period 2003-2012 (Katrakou et al., 2015). In this reanalysis 4dvar data assimilation was also
25 used to incorporate retrievals from satellites. The data assimilation reduced the bias in near-
26 surface O₃ concentration in most of Europe, and it reproduced the summertime maximum in
27 most parts of Europe, but not the early spring peak in northern Europe. A third global
28 reanalysis using data assimilation of satellite data for 2005-2012, showed improved
29 performance for many chemical species (Miyazaki et al., 2015) but for the O₃ concentration at
30 the surface errors remain associated with low retrieval sensitivity in the lower troposphere and
31 gaps in spatial representation between the model and observations. In order to improve
32 surface characteristics, in situ observations of O₃ need to be included in the data assimilation.

1 When restricting the observations to in situ measurements in Europe, the beginning of the
2 time period of the reanalysis can be extended further back in time utilizing simpler variational
3 data analysis techniques. Variational data analysis in 2 dimensions (2dvar) and the analytical
4 counterpart optimal interpolation can be used as a CPU-efficient diagnostic tools to improve
5 modelled near-surface O₃ retrospectively (e.g. Alpfjord and Andersson, 2015; Robichaud and
6 Ménard, 2014).

7 The MATCH (Multi-scale Atmospheric Transport and CHemistry) Sweden system (Alpfjord
8 and Andersson, 2015) includes an operational CTM and methods for variational data analysis
9 of atmospheric concentrations in air and precipitation. In this study, the MATCH Sweden
10 system is used to conduct a reanalysis of the hourly near-surface O₃ concentration for Sweden
11 and Norway during the 24-year period 1990-2013 using 2dvar. We use time-consistent input
12 data to avoid the introduction of artificial trends in the results. In an attempt to understand the
13 trends, we perform model sensitivity analyses and apply the CTM without variational data
14 analysis. This approach brings new knowledge to explain the trends in O₃ concentrations
15 found in Sweden.

16 The aims of this study are:

- 17 - To create a state-of-the art, long-term, temporally and spatially consistent, reanalysis
18 of hourly near-surface O₃ concentrations covering the geographical areas of Sweden
19 and Norway (see Sect. 2)
- 20 - To evaluate the performance of the O₃ reanalysis of the MATCH Sweden system, used
21 in the annual assessment of air quality in Sweden (see Sect. 3.1)
- 22 - To investigate trends and extreme values in near-surface O₃ in Sweden (see Sect. 3.2)
23 and its implications on health and vegetation (see Sect. 3.4)
- 24 - To understand the causes of the change over time, focusing on contributions of
25 emission change, lateral and upper boundary concentrations and meteorological
26 variability. (see Sect. 3.3)

27

28 **2 Method**

29 In this study we utilize variational data analysis in order to combine the best qualities of a
30 CTM and long-term measurements to map near-surface O₃ concentrations during a long

1 historical time period (1990-2013). We focus our study on Sweden, but also include Norway
2 in the variational data analysis.

3 For the variational data analysis we use the MATCH Sweden system, which is briefly
4 explained in Sect. 2.1. Here variational analysis in two dimensions is applied, and further
5 details are given in Sect. 2.4. Concentration fields provided by the CTM at each grid point are
6 considered as the “first guess” (background field/prior information) of our “best estimate” of
7 the state of the atmosphere before the introduction of observations (Kalnay, 2003). The
8 method used for the production of the “first guess” is explained in Sect. 2.2. The selection of
9 measurements that are included in the variational data analysis is important, both to avoid
10 artificial trends in the reanalysis data and in order to select observation sites with
11 corresponding spatial and temporal representations as in the model. We explain our method
12 for the selection of measurements in Sect. 2.3.

13 One aim of this study is to investigate trends in near-surface O₃ in Sweden. To understand the
14 long-term changes in concentration we try to quantify the causes of change, through model
15 sensitivity analyses, and applying the MATCH model without variational data analysis. We
16 investigate the respective contributions to the trends of change in European emissions by
17 separating the impact on O₃ trends of changes in local emissions in Sweden, in hemispheric
18 background concentrations (including changes to the top and lateral boundaries) and in
19 meteorology (including changes to biogenic emissions, transport, O₃ forming capacity, O₃
20 deposition etc.). The method for this quantification is described in Sect. 2.5. The methods we
21 use for evaluation are given in Sect. 2.6.

22

23 **2.1 The MATCH Sweden system**

24 The MATCH Sweden system is an operational system used for annual assessments of near-
25 surface regional background concentrations in air of O₃, NO₂, ammonia (NH₃) and sulfur
26 dioxide (SO₂) as well as deposition of sulfur, nitrogen and base cations over Sweden
27 (Alpfjord and Andersson, 2015). The system includes an operational CTM (MATCH; Multi-
28 scale Atmospheric Transport and Chemistry; Robertson et al., 1999) and methods for
29 variational data analysis (using 2dvar) of atmospheric concentrations in air and precipitation.
30 The yearly results from the mapping can be found at
31 www.smhi.se/klimatdata/miljo/atmosfarskemi.

1 The flow-chart in Fig. 1 describes the parts of the MATCH Sweden system that are used in
2 this reanalysis of near-surface O₃ concentrations. Explanations are provided in Sect. 2.2 to
3 2.4. For a description of the whole MATCH Sweden system, see e.g. Alpfjord and Andersson
4 (2015).

5

6 **2.2 First guess – model assessment**

7 The starting point (cf. Fig. 1) for the two-dimensional retrospective variational data analysis
8 of near-surface O₃ is hourly fields of modelled O₃, produced by MATCH. The MATCH
9 model includes ozone- and particle-forming photo-chemistry with ~60 species (Langner et al.,
10 1998; Andersson et al., 2007, 2015). Part of the gas-phase chemical scheme was updated
11 based on Simpson et al. (2012), except for some reaction rates (following the
12 recommendations by the International Union of Pure and Applied Chemistry, IUPAC), and
13 the isoprene chemistry mechanism that was based on an adapted version of the Carter one-
14 product mechanism (Carter, 1996; Langner et al., 1998). A selection of compounds with
15 different ozone forming potentials is used to represent all hydrocarbons emitted into the
16 atmosphere. The photolysis rates depend on the photolytically active radiation, which is
17 dependent on latitude, time of day, cloud cover etc. In this study MATCH interpolates the
18 input meteorology and emissions to a domain covering Europe and surrounding areas with 44
19 km grid point spacing. MATCH uses all meteorological model layers for vertical wind
20 calculations, but restricts the calculations of chemistry and transport to the lower troposphere
21 using the vertical levels of the meteorological model from the surface up to ca 5 km height,
22 which is the the model's standard configuration for pan-European simulations. The selected
23 set-up has been demonstrated (e.g. Andersson et al., 2007; Langner et al., 2012a; Markakis et
24 al., 2016) to be adequate for describing near-surface O₃ across Europe although trends in
25 stratospheric chemistry or physically driven changes in stratospheric-tropospheric exchanges
26 will likely not be captured.

27 MATCH is an offline model, thus, driven by meteorological data generated externally and as
28 such it is often a challenge to undertake long (multi-decadal) simulations due to non-
29 homogenous input data. Dynamical meteorological models, which provide the three-
30 dimensional meteorology for the offline CTMs, are constantly updated to higher resolutions
31 and more advanced physical schemes. Emission inventories are typically constructed for

1 certain target years and different methods may have been used to compile total emissions
2 and/or the geographical distribution of the emissions. Careless combination of different
3 emission data or meteorology from varying model configurations can introduce artificial
4 secular trends in the modelling of atmospheric pollutants. In this study, we specifically aimed
5 for internally coherent input data, although it led to compromises in e.g. the temporal
6 coverage of the meteorology and the resolution of the gridded pan-European emissions. In the
7 following sections we briefly describe the utilized input data. Further details of MATCH in
8 the present model version and its ability to simulate near-surface O₃ can be found in separate
9 publications, for example Markakis et al. (2016), Lacressoniere et al. (2016) and Watson et al.
10 (2015; 2016).

11

12 2.2.1 Meteorology and boundary concentrations

13 In the present study we force MATCH with three-dimensional meteorology from the
14 numerical weather forecast model HIRLAM. Within the EURO4M-project HIRLAM was run
15 as forecasts from 6-hourly analyses, composed of three-dimensional variational upper air
16 analyses and optimal interpolation surface analyses (Dahlgren et al., 2016). Lateral and lower
17 (sea surface temperature and sea ice) boundaries were taken from ERA-Interim (Dee et al.,
18 2011). Full three-dimensional model states needed to run MATCH are available from 1979
19 through February 2014. Under EURO4M, HIRLAM was running on a domain covering
20 Europe and Northern Africa with 22 km grid point spacing and 60 vertical layers from the
21 surface to 10 hPa.

22 Although the present study focuses on Sweden it is necessary to realistically describe the
23 fluxes of O₃ and its precursors from continental Europe and further afield. Hemispheric
24 background concentrations of all species for the modelled year 2000 are similar to the ones
25 used by Andersson et al. (2007). As in Andersson et al. (2007), boundary values
26 representative for the average concentrations at the lateral and top boundaries of relevant
27 species are interpolated spatially with a monthly temporal resolution. Boundary
28 concentrations of O₃, oxidized nitrogen and methane are furthermore scaled to mimic
29 observed changes in the hemispheric background during the period 1990 through 2013
30 following the work of Engardt et al. (2017), cf. Fig. 2a. Note that the hemispheric background
31 ozone concentrations are assumed constant from 2000 onwards following recent assessments

1 of the evolution of near surface ozone in Europe (e.g. Cooper et al, 2014). CO and NMVOC
2 boundaries are held constant throughout the simulation. The same factor is used for all
3 months of the respective year, although most species also undergo a seasonal cycle in the
4 boundary concentrations used by MATCH (see supplement Fig. S1).

5

6 2.2.2 Emissions

7 The version of MATCH utilized in this study needs anthropogenic emissions of sulfur (SO₂
8 and sulfate), nitrogen oxides (NO and NO₂), carbon monoxide (CO), non-methane volatile
9 organic compounds (NMVOCs), and NH₃. The model uses annually accumulated values for
10 each species, which are distributed with different temporal or vertical profiles based on
11 species and sectors.

12 For countries outside Sweden (as well as international shipping) we utilize the gridded (50 km
13 × 50 km) annual data available at EMEP's web-page (Hjellbrekke and Solberg, 2015;
14 <http://www.emep.int>; downloaded 23 June, 2015). All emission data were split into congruent
15 5 km × 5 km cells where we replaced the coarse-resolution data over Sweden with the original
16 emission data from SMED (Svensk miljöemissionsdata; <http://www.smed.se>; 1 km × 1 km
17 converted to 5 km × 5 km cells in EMEP's geometry). National totals from SMED are very
18 similar to the national totals available in the EMEP database, but our methodology enables
19 higher resolution emission data over Sweden. The gridded 5 km × 5 km emission data were
20 interpolated to MATCH's 44 km resolution domain during the simulations. Emissions of
21 biogenic isoprene are calculated online in MATCH following the E-94 isoprene emission
22 methodology proposed by Simpson et al. (1995).

23 Both the total domain and Swedish national anthropogenic O₃ precursor emissions decrease
24 strongly over the period 1990-2013 (cf. Fig. 2b). The total domain anthropogenic precursor
25 emissions decrease on average¹ by 1.8 % yr⁻¹, 2.4 % yr⁻¹, 2.6 % yr⁻¹ during 1990-2013 for
26 NO_x, NMVOC and CO respectively, whereas biogenic isoprene emissions (calculated online
27 by MATCH) increase by 0.8 % yr⁻¹ according to our simulations (cf. Fig 2c). The national
28 Swedish emissions decrease by similar amounts (2.4 % yr⁻¹, 2.1 % yr⁻¹ and 2.9 % yr⁻¹). The
29 Swedish contribution to the total domain emissions is 1.0 % for NO_x and 1.7 % for NMVOC

¹ The trend is calculated by linear regression over the period 1990-2013 and related to the 1990 emission level.

1 and CO on the average, with a slight decrease in the relative Swedish contribution over the
2 period for NO_x (0.01% yr⁻¹), and a slight increase for NMVOC and CO (0.01 % yr⁻¹ and 0.003
3 % yr⁻¹ respectively). The amount and spatial distribution of the emissions is updated each
4 year.

5

6 **2.3 Measurements**

7 Figures 3 and 4 summarize the observations of hourly O₃ concentrations used in the
8 variational analysis and the corresponding hourly data coverage per year in the period 1990-
9 2013. The Swedish observations were delivered by the Swedish data host (at that time, July 1,
10 2017, Swedish Environmental Institute, IVL). The Norwegian observations were extracted
11 from EBAS (<http://ebas.nilu.no>; extracted on July 6, 2017). All sites except Norr Malma and
12 Rödeby are classified as regional background measurement sites by EMEP (Internet URL:
13 <http://www.nilu.no/projects/ccc/emepdata.html>; Hjellbrekke and Solberg, 2015). Norr Malma
14 is located ca 70 km north-east of Stockholm and is considered a regional background
15 measurement site by Stockholm Air and Noice (<http://slb.nu>), who are responsible for the site.
16 Rödeby is located 10 km north of the small town Karlskrona, and is considered a rural
17 location (personal communication with Titus Kyrklund, Swedish EPA). The sites included are
18 all instrumentation sites, where O₃ is measured continuously and reported with hourly
19 temporal resolution. The retrospective variational data analysis is conducted on hourly
20 resolution, which means that measurements with a coarser time resolution, such as diffusive
21 samplers, are not included in the variational technique. Two measurement data sets were
22 compiled (see Table 1):

- 23 • The first set includes data from all available instrumentation sites in Sweden, and a
24 selection in Norway based on data availability, quality and location. These are all the
25 red and blue sites in Figs. 3 and 4 also including years where the data capture is lower
26 than 80 %. The reanalysis based on these measurement data is called ALL.
- 27 • The second data set includes data from instrumentation sites for which the data
28 coverage exceeds 80 % for at least 23 out of the 24 years. These are the red sites in
29 Figs. 3 and 4. The reanalysis based on these measurement data is called LONGTERM.
30 Råö is seen as the replacement for the site Rörvik, and therefore these sites form a
31 pair, which is included in this data set. Birkenes I was replaced by Birkenes II in 2009,

1 and the two sites were run in parallel for a few years. We choose to include Birkenes
2 II from 2010 and onwards. The reason for the change of site location is that Birkenes I
3 was influenced by local effects (personal communication with Sverre Solberg, NILU).
4 The inclusion of these two sites could introduce an abrupt change in the reanalysis, but
5 since it is outside the main focus area (Sweden) and mainly during night we choose to
6 include the site in the LONGTERM reanalysis.

7 The two measurement data sets are input to two otherwise similar variational data analyses.
8 The ALL-reanalysis is our best estimate of gridded near-surface O₃ over Sweden for a given
9 time. The LONGTERM-reanalysis is used for trend and statistical analyses. We return to
10 whether these reanalyses differ in Sect. 3.1.

12 **2.4 Variational data analysis**

13 The spatial analysis problem can be formulated as how to best distribute observational
14 information at a discrete number of locations to a spatially consistent field. We have adopted
15 the 2dvar approach, which includes a modelled background field (from a CTM simulation,
16 “first guess”) combined with available in situ observations (Robertson and Kahnert, 2007), as
17 indicated in Fig. 1. With this method the error estimates of both the background field and the
18 observations play a central role. The observational errors are assumed independent and
19 uncorrelated, while the background errors have spatial correlations that form a background
20 error matrix. The solution is found by the best combination of the background field and
21 observations given their respective error estimates. This can be described as a variational
22 problem, defined by a cost function,

$$23 \quad J(x) = 0.5 [x - x^b]^T \mathbf{B}^{-1} [x - x^b] + 0.5 [y - \mathbf{H}(x)]^T \mathbf{O}^{-1} [y - \mathbf{H}(x)]$$

24 where x is the state to be found (the reanalysis), x^b the background state (our “first guess”), y
25 the vector of observations, \mathbf{H} is the observation operator, and \mathbf{B} and \mathbf{O} are the error
26 covariance matrices of the background field and the observations, respectively. In order to
27 find the optimal solution the cost function is stepwise minimized by a variational method,
28 starting with $x = x^b$, and ending with the state x , which represents the optimal balance between
29 the two terms. During the process the co-variances in the \mathbf{B} matrix acts to extrapolate the
30 observational information in space.

1 We restrict our study to reanalyze near-surface O₃ on the regional background scale, which
2 means we only include regional background measurement sites. We also restrict our study to
3 2dvar, rather than using higher dimensional variational analysis. The background covariance
4 matrix is modelled in a simplified fashion with a constant background error, 20 times larger
5 than the observation error, and Gaussian spatial correlations with a length scale of 1000 km.
6 This implies a strong weight towards the observations and assuming a rather large horizontal
7 influence of the observations.

8 The variational data analysis was conducted on a 22 km resolution grid with hourly temporal
9 resolution, combining the modelled “first guess” for near-surface O₃ (the MATCH base case
10 scenario, MFG in Table 1) and regional background measurements. Two 24-year reanalyses
11 were formed, using the two different sets of hourly measurement described in Sect. 2.3 (ALL
12 and LONGTERM in Table 1). If an included measurement site was lacking an observation for
13 a specific hour, the site was excluded from the variational data analysis for that specific hour.

14 The resulting spatially resolved hourly O₃ data are used to form annual and seasonal statistical
15 metrics for O₃, such as the mean value and the maximum 1-hour mean value, and annual
16 policy and impact related metrics (cf. Fig. 1). We analyze these annual and seasonal data for
17 the 1990-2013 mean, trend and extreme values in Sect. 3.2 (annual/seasonal mean and
18 maximum) and Sect. 3.4 (health and vegetation impact metrics).

19

20 **2.5 Understanding the trends**

21 We include also a quantification of the causes to the trend in near-surface O₃ concentration.
22 For this investigation we conduct model simulations with MATCH, *excluding variational*
23 *data analysis*. We investigate the respective contributions to the modelled total trend due to

24 A. Change in emissions, which is separated between

- 25 ○ Swedish anthropogenic emissions (SE emis)
- 26 ○ Full domain (see Fig. 1b) non-Swedish anthropogenic emissions (FD emis)

27 B. Change in lateral and upper boundaries (bound)

28 C. Change in meteorology, including online modelled biogenic isoprene emissions
29 (meteo)

1 Four sensitivity simulations are conducted; in which each of the four listed factors are kept
2 constant at their level in 2011. The respective contributions presented in Sect. 3.3 are formed
3 through the following sequence: 1. Calculation of gridded metrics (focusing on monthly 1h
4 maximum, monthly mean and annual 1h percentile levels); 2. Calculation of secular gridded
5 trends over the monthly or annual metrics; 3. Calculation of regional (North, Central, South,
6 cf. Fig. 3) mean of the secular trends, 4. Calculation of the difference between the regional
7 mean trend in MFG and the corresponding sensitivity simulation. All model simulations and
8 scenarios are described in Table 1a. The method of forming the contributions from these
9 simulations is shown in Table 1b.

10 There are three critical points in the investigation of the causes of the trend: First, this
11 quantification methodology assumes linearity, whereas the sum of contributions (SUM) is not
12 necessarily equal to the trend in the MFG simulation. If they are not equal, this means that the
13 simulations are non-additive. This could occur when changes to mixtures of complex
14 chemistry, weather situations and emissions take place, or as a numeric effect in the model.
15 For this reason we compare the sum of the trend in the estimated contributions to the MFG
16 trend. Second, our methodology quantifies the contributions to the trend in the MFG
17 simulation, which may differ from the reanalyzed trend. Thus we will compare the reanalyzed
18 trend and the MFG trend to make sure the base case simulation does not deviate too strongly
19 from the reanalysis results. If the deviation is large, i.e. the modelled trend is far from the
20 observed, this means that the MFG simulation is non-representative. Such discrepancies could
21 arise from over-sensitivity in MATCH to one process and insensitivity to another, compared
22 to the real world, or imperfections/artificial trends in the input data such as erroneously
23 estimated emissions or erroneous assumptions on the trend in hemispheric background
24 concentrations. If either is true (non-additive or non-representative) for the trend in a specific
25 metric then our method cannot be used to explain that specific trend. Third, the attribution
26 may be sensitive to the chosen base year. Sensitivity simulations using 1990 as base year
27 instead of 2011 are also conducted, to investigate the robustness of the results. To investigate
28 all 24 years as base years would take too much computational efforts, we choose 1990 as it
29 differs from 2011 both for European emissions and climatologically². If the contributions to

² The NAO index was high in early winter 1990 and low in 2011, whereas the summer index was positive but close to 0 in 1990 and negative in 2011.

1 the trend differ too much between the base years 1990 and 2011 then the results are not
2 robust. If they are similar it is not a guarantee that the results are robust but it is an indication.
3 The contributions with 1990 as base year are formed in the same way as for the 2011
4 sensitivity runs. The contributions due to change in top and lateral boundaries (bound) and
5 variations in meteorology are included in the same manner, while we compare the total
6 footprint of the change in emissions, i.e. the sum of FD emis and SE emis (emis) rather than
7 the two parts.

8

9 **2.6 Evaluation**

10 We evaluate two aspects of the reanalysis. The first is an independent evaluation for a single
11 year with focus on the variational data analysis method. The second is an evaluation of the
12 simulated near-surface O₃ concentration trend over the 24-year period and our ability to
13 explain the causes of the trend.

14 For an independent evaluation of the variational data analysis method we conduct a cross
15 validation at the included Swedish measurement sites. In this method we exclude one
16 measurement site at a time from the variational data analysis, and evaluate the results at the
17 excluded location. This means we conduct one 2dvar simulation for each considered
18 measurement site. Due to the large amount of computation involved we evaluate one year
19 only by this method. We choose the year 2013, which is when the data coverage is the largest.
20 This means that we have the opportunity also to investigate whether we see any difference in
21 performance between the reanalysis with the larger number of measurement sites (ALL) and
22 the long-term reanalysis (LONGTERM). The evaluation metrics used here are mean value
23 (mean), standard deviation (σ), model mean bias normalized by the observed mean (%bias),
24 Pearson correlation coefficient (r) and the root mean square error (RMSE), see Supplement
25 Sect. S1.

26 For the evaluation of the long-term trend we focus on the three critical points raised in the
27 previous section: 1/ the additivity of the trend in the contributions as compared to the trend in
28 O₃ concentration from the MFG simulation, and 2/ whether the MFG trend is representative
29 of the O₃ concentration trend in the LONGTERM reanalysis results and 3/ whether the
30 contributions to the secular trend are sensitive to base year. We focus this investigation on 11
31 different percentiles of hourly mean O₃ concentrations, for an estimate of the scores at

1 different concentration levels. We focus specifically on averages over the three Swedish
2 regions North, Central and South (cf. Fig. 3), to investigate whether there is any variation in
3 performance in Sweden.

4 Additional evaluation and comparisons of the temporal variation over the whole period is
5 included in the Supplements for the two reanalyzes LONGTERM and ALL, the MATCH
6 simulation MFG and observed annual mean (see Supplement Sect. S2 and Figs. S2-S4 and
7 Table S1).

8

9 **3 Results**

10 **3.1 The performance of the model simulations and reanalyzes**

11 Before turning to the evaluation results, we investigate whether the two ozone reanalyzes
12 differ. We do this by comparing time series of annual O₃ metrics for the two data sets. The
13 investigation is presented in the Supplements and shows deviations in the latter years as the
14 number of sites in the ALL data set increases beyond the sites included in the LONGTERM
15 data set (see Supplement Sect. S3 and Figs. S2-S4). The deviation in annual mean near-
16 surface O₃ concentration is larger than for annual maximum 1 hour mean given that many of
17 the newer sites are sensitive to night-time inversions. Due to the visible deviation in results,
18 we use the LONGTERM for the trend and statistical analyzes in the paper, whereas both are
19 used for the evaluation of the 2dvar-method in this section. Both are included in the method
20 evaluation because the evaluation scores may be dependent on the density and specific
21 locations of the measurement sites. The ALL data set is to be used as a best estimate of
22 geographically resolved near-surface O₃ concentrations for Sweden for a subset period within
23 the full period 1990-2013.

24 In Table 2 we show the evaluation statistics from the validation of hourly and daily maximum
25 of hourly mean near-surface O₃ in 2013. The near-surface O₃ concentrations from the MFG
26 simulation compare well with observations, and the 2dvar-technique leads to improvements.
27 The spatially averaged correlation coefficient of hourly near-surface O₃ concentrations (se
28 Supplement Sect S1 increases from 0.67 when comparing the MFG O₃ concentrations to
29 observations, to 0.76 when comparing the ALL reanalysis independently to observations
30 through a cross validation (Table 2). The %bias decreases from 1.4 to -0.3 and the RMSE is
31 also improved in the independent evaluation of the ALL reanalysis. Similar improvements are

1 also obtained when using fewer measurements (LONGTERM, Table 2), showing that the
2 method is stable with the number of measurement sites. The cross validation spatial error
3 (RMSE) is however larger than that obtained when evaluating the MFG simulation against
4 independent observations, where the cross validation results indicates that the 2dvar reduces
5 the quality of the annual mean spatial variation in 2013. The evaluation of the daily maximum
6 generally shows better correlation but slightly larger bias than the evaluation of the hourly
7 mean. The spatial correlation is also worse in the cross validation compared to the MFG, but
8 the spatial error is improved. Overall, the independent cross validation shows that the 2dvar
9 method improves the performance of the modelled hourly mean and daily maximum O₃
10 compared to the MFG simulation. This is true not only in the measurement sites, but also
11 elsewhere, with exception for the spatial variation.

12 In Fig. 5 we compare regionally averaged linear trends in annual percentiles (levels: 0, 2, 5,
13 10, 25, 50, 75, 90, 95, 98 and 100) of hourly near-surface O₃ over the period 1990-2013 for
14 the MFG simulation, the LONGTERM reanalysis, the sum of contributions and the
15 contributions to the trend for different base years. Investigating the additivity of the four
16 contributions (bound, meteo, SE emis and FD emis), we compare the O₃ concentration trends
17 in the MFG simulation to the trend in the sum of the contributions (SUM, Fig. 5a). Almost all
18 values fall close to the 1:1 line. Only a few of the very weakest O₃ trends fall outside the
19 factor 2 lines. Thus, the contribution experiment can be used to explain the MFG O₃ trend.
20 Comparing the LONGTERM and MFG trends in near-surface O₃ (Fig. 5b), the values are
21 within a factor of 2 for most percentiles and regions. There is a general tendency for the
22 positive MFG trends to be stronger than the reanalyzed trend (LONGTERM). The largest
23 deviations in the O₃ trends are in the North and the relationship between these two is not as
24 linear as in the other two regions. Most of these trends are however not significant. This
25 demonstrates the added value of the measurement model fusion, where errors in the modelled
26 trend are corrected by the analysis. The deviations are small enough to conclude that in most
27 cases the MFG is representative, showing that the MATCH model can be used to understand
28 the trends in the LONGTERM data set. Finally, investigating the impact of the selected base
29 year in the sensitivity simulations, we compare the contributions (bound, emis and meteo)
30 based on keeping the year 2011 constant in the sensitivity simulations to keeping the year
31 1990 constant (Fig. 5c). Most contributions to the trend in percentiles are robust (Fig. 5c),
32 falling close to the 1:1 line. Only a few of the very weakest O₃ contribution trends fall outside
33 the factor 2 lines (for the meteo contribution). The contributions to the secular trend in some

1 of the monthly mean and the monthly maximum 1h mean near-surface O₃ differ more for the
2 two base years than the percentiles (Supplements, Fig. S5). For monthly mean the trend due to
3 changes in meteorology is stronger for some months (one month is weaker) when 2011 is
4 used as base year compared to 1990. The other contributions fall within the factor 2 lines. For
5 monthly maximum the deviation is larger, even differing in sign for the contribution due to
6 variation in meteorology for some months, and a few contributions due to emission change
7 also fall outside the factor 2 lines.

8 In conclusion we have shown that the MFG performs well for hourly near-surface O₃
9 concentration and the 2dvar analysis improves the performance to almost perfect
10 correspondence to the measurements in the measurement locations, and improved
11 performance elsewhere (cf. the cross-validation), with the exception of the spatial variation.
12 There is an added value of a reanalysis when investigating the trend of near-surface O₃
13 concentrations. The MATCH model can be used to investigate the causes to the reanalyzed O₃
14 trend, but for the contribution of meteorology to the monthly maximum is not robust under
15 the choice of base year for all months. In the North the trends in the reanalyzed and the MFG
16 O₃ concentration deviates by more than a factor of 2 for some percentiles. We will focus on
17 this deviation more in the final discussion (Sect. 4).

18

19 **3.2 Reanalyzed near-surface ozone in Sweden 1990-2013**

20 The mean 1990-2013 seasonal variations in monthly mean and monthly maximum of 1h mean
21 near-surface O₃ are presented in Fig. 6, averaged over the three regions: North, Central and
22 South (as defined in Fig. 3). The seasonal variation in the linear trend of the spatially
23 averaged monthly values is also included in the figure. Spatially resolved statistics for annual
24 mean and annual maximum of 1h mean near-surface O₃ are provided in Fig. 7. The temporal
25 evolution of 11 percentile levels from the 0th (annual minimum 1h mean) to the 100th (annual
26 maximum 1h mean) are shown in Fig. 8, and the corresponding trends with indication of
27 significance levels are recaptured in the Supplements (Table S2).

28 **3.2.1 1990-2013 period statistics**

29 The near-surface O₃ in Sweden exhibits a seasonal variation, which peaks during spring (Fig.
30 6). In the North the seasonal maximum concentration occurs in April, whereas it occurs later,

1 in May, in the regions further south. The earlier peak in the North, as compared to the South,
2 was also shown by Klingberg et al. (2009) for in situ observations. In the North, the seasonal
3 peak in monthly mean O₃ concentration is higher than the corresponding seasonal peaks in the
4 other two regions, and this is a feature throughout the whole winter half-year: the monthly
5 mean O₃ concentrations are higher in the North than the more southerly regions during
6 October-April. During the summer, the monthly means are higher in the South than in the
7 other two regions. This leads to a 24-year period mean value (Fig. 7) that is highest in the
8 northerly mountains and lowest in central Sweden. This pattern is also supported by
9 Klingberg et al. (2009) based purely on observations, but including a larger number of
10 observation sites through the inclusion of passive diffusion samplers.

11 For the period mean seasonal variation in monthly maximum 1h mean near-surface O₃ (Fig.
12 6b) there is a similar seasonal peak in April-May, but there is also a secondary peak during
13 summer (in August). The further south the higher is the monthly maximum 1h mean near-
14 surface O₃ during March-October. This applies to both the primary and the secondary
15 seasonal peaks in monthly maximum. The 24-year period mean of the annual maximum of 1h
16 mean near-surface O₃ (Fig. 7) is lower in central Sweden than in the South and the North, and
17 it is highest in the South.

18 The lower period mean of the near-surface O₃ in the south than in the north is mainly caused
19 by the higher altitude, of the latter, mountainous, region whereas the opposite gradient for the
20 annual maximum 1h mean is caused by the distance to continental Europe where the high
21 ozone events originate from. The difference in spatial pattern between the southern, central
22 and northern parts of Sweden is why we choose the three regions defined in Fig. 3. The period
23 maximum of the annual means and period maximum 1h mean near-surface O₃ concentrations
24 have similar spatial variation as their respective period means (Fig. 7) The overall 24-year
25 maximum 1h mean near-surface O₃ reaches above 240 µg m⁻³ in isolated parts of the South,
26 and is generally above 180 µg m⁻³ in the south and 130 µg m⁻³ in the central and northern part
27 of Sweden.

28 3.2.2 Trend over the period

29 Seasonal variations are also present in the trend of both monthly mean and monthly maximum
30 1h mean near-surface O₃ concentrations (Fig. 6). Monthly means increase strongly during
31 winter and spring (approx. Nov-April), and decrease moderately (North) or strongly (Central

1 and South) during summer (May-Aug). The trends in monthly maximum 1h mean follow a
2 similar pattern. Generally, the rate of change is stronger or at the same level in the Central and
3 South as compared to the North. The strongest decrease is in the August maximum 1h mean
4 in the South and Central, and the strongest increase is in the March monthly mean in the
5 Central and North.

6 The annual mean near-surface O₃ (Fig. 7d,e) increases almost everywhere in Sweden over the
7 time period. The trend is however only significant in restricted parts of Central and South
8 regions, due to considerable inter-annual variation in the areas with the highest trend. The
9 annual maximum 1h mean near-surface O₃ (Fig. 7i,j) is significantly decreasing in South and
10 Central regions, whereas the change in the North is a mixture of increase and decrease, and it
11 is without significance in most areas.

12 We proceed by investigating the trend in annual percentiles (Fig. 8) of hourly near-surface O₃
13 concentration, averaged³ over the three Swedish regions (cf. Fig. 3). In all three regions the
14 low and medium percentiles are increasing, while the highest percentiles are decreasing from
15 1990 until 2013. This was also shown by Simpson et al. (2014) based on observations for
16 northern Europe and based on observations for Europe, US and East Asia by Lefohn et al.
17 (2017). Further, using hourly O₃ observations, Karlsson et al. (2017) showed that reduced
18 concentrations in northern Europe were restricted to the highest O₃ concentrations during
19 summer daytime, while the increase in low and mid-range concentrations occurred during
20 wintertime at both day and night.

21 In Central and South regions the decrease in the highest near-surface O₃ percentiles are
22 significant and stronger than in the North, and this decrease is evident throughout the
23 maximum 10% percentile range (although the change is not significant for the 90th and 95th
24 percentile levels; cf. Fig 3). This change is mainly caused by decreased high values during the
25 summer-time. In the North, only the annual maximum 1h mean is decreasing and the inter-
26 annual variability is stronger than the rate of change, indicated by the lack of significance for
27 this percentile. The medium and low percentile increase in the North is moderate, but
28 significant, for most percentiles up to the 95th, with very similar rates of change. In the
29 Central and South the change in the low percentiles is highly significant and stronger than in

³ The percentile is calculated per grid square for all hours in each year, then regional mean annual percentiles are calculated and finally the trend is calculated based on these averaged percentiles.

1 the North. This is an indication that the increase in low near-surface O₃ concentrations cannot
2 only be explained by increasing background. As a result of the decrease of high and increases
3 of low percentiles, there has been a narrowing of the range of the near-surface O₃
4 concentrations over the period. This was also observed in the UK by Jenkin (2008) for 1990
5 until the early 2000s and in the US by Simon et al. (2015) for 1998-2013, both studying urban
6 and regional background measurements across the respective countries. Jenkin (2008)
7 interpret it as caused by three major influences: i/ increasing hemispheric background, ii/
8 decreasing severity in high ozone events arising from the European continent and iii/
9 decreasing local-scale removal of ozone due the control of NO_x emissions. Simon et al (2015)
10 interpret the US evolution as a response to the substantial decrease in O₃ precursor emissions
11 in the US over the time period. Decreased primary NO emissions results in decreased O₃
12 titration close to combustion sources, but also reduces local O₃ further away from the
13 emissions sources when there is little photolysis (especially in the winter and during night-
14 time). In the next section we investigate the impact of Swedish and European emission
15 decrease over the period, and relate this to the impact of change in the chemical composition
16 of the hemispheric background and meteorological variations.

17

18 **3.3 Attribution of the change in near-surface ozone**

19 In this section we quantify the contributions of physical factors to the modelled trend of near-
20 surface O₃ concentration in Sweden during the period 1990-2013. We investigate the impact
21 of the trend in lateral and upper boundaries, meteorological variations and Swedish and
22 European (i.e. full domain, non-Swedish) anthropogenic emission change. In Figs. 9 and 10
23 the contributions to the trend in seasonal variations and percentiles are delineated for the
24 North and South regions.

25 We start our attribution by analyzing the impact of changing hemispheric background levels
26 of relevant chemical species (“bound” bars in Figs. 9 and 10). These contribute to an increase
27 in monthly mean and maximum 1h mean throughout the year and for all percentiles, mainly
28 as a result of our assumption of an increasing O₃ concentration trend in the lateral and upper
29 boundaries during the 1990s and constant boundary conditions for O₃ during the rest of the
30 period. There is a seasonal variation in the trend of the boundary contribution, with lower
31 impact during summer. This variation is likely a result of an O₃ destruction process that is

1 stronger during summer than winter, such as dry deposition to vegetation and photolysis of
2 ozone. The seasonal variation in the contribution to the trend from the boundary impacts both
3 monthly mean and maximum 1h mean. Our representation of the trend in the concentration of
4 species at the model domain boundary is climatological. The climatological upper boundary
5 means that the inter-annual variations in near-surface O₃ are likely underestimated in remote
6 locations. The impact on inter-annual variations may be largest at high altitudes or far away
7 from the major anthropogenic sources. Hess and Zbinden (2013) showed the importance of
8 the stratospheric contribution to the inter-annual variation at Mace Head and Jungfraujoch; it
9 is possibly also important in the north of Sweden, especially in the mountainous areas. Such
10 variation is not captured by the boundary settings, but it is indirectly included in the
11 reanalyzes data sets through the variation in the measurements included in the variational data
12 analysis. As a consequence, the MFG and “bound” simulations underestimate the inter-
13 annual variability as compared to observations and the reanalysis (cf. Table 2), and this could
14 also affect the “bound” trend.

15 The impact of meteorological low-frequency variations (“meteo”) during the 24 years is also
16 an important factor, but more difficult to interpret. The meteorological variation acts to cause
17 a positive trend in near-surface O₃ concentration for most monthly means and maxima, as
18 well as for most percentiles. Note the shift from a generally strong positive contribution to a
19 strong negative contribution from the 98th percentile to the 100th percentile in the South. The
20 meteorological influence on the trend is as large as the impact of the change in boundary, for
21 most percentile levels in the South, while it is weaker for most percentile levels in the North.

22 During the period 1990-2013 both European (full domain, non-Swedish) and Swedish
23 emissions have decreased strongly. There is a strong seasonality in the impact of the
24 decreasing European emissions, and the contribution to the trend of the Swedish emissions
25 follows the same pattern but with smaller magnitude (cf. Fig. 9, “FD emis” and “SE emis”
26 respectively). During summer the decreasing emissions have acted to lower both the monthly
27 mean and maximum 1h mean. During winter the trend in monthly maximum 1h mean is
28 unaffected by the change in emissions, indicating that the highest near-surface O₃
29 concentrations during winter are due to other sources than local O₃ production. Emission
30 decreases have acted to cause increases in monthly mean near-surface O₃ concentrations in
31 the winter, due to reduced O₃ destruction by primary NO emission. Trends in percentiles (Fig.
32 10), show that the emission decrease has caused decreases to percentiles higher than the 50th

1 level, and increases below. The impact is stronger in the South than in the North, which is
2 expected due to the South being closer to the European continent. The contribution of the
3 trend in emissions is often stronger than the changing boundary, e.g. in the South for most
4 percentiles and for monthly maximum 1h mean during the summer half-year in both regions.
5 Thus, the observed increase in low and medium near-surface O₃ levels is caused by a mixture
6 of both changes to the hemispheric background levels and emission reductions of O₃
7 precursors, while the decrease in the high percentile levels is mainly caused by emission
8 decrease.

9

10 **3.4 Implications for health and vegetation impacts**

11 For the protection of vegetation, the target value by EU (EU directive 2008/50/EC) states that
12 the 5-year mean AOT40 (near-surface O₃ concentration above 40 ppb(v) accumulated over
13 May-July; AOT40c) must not exceed 9 ppm(v) h, and as a long-term goal AOT40c must not
14 exceed 3 ppm(v) h during a calendar year. For protection of human health the target value by
15 EU (EU directive 2008/50/EC) states that the daily maximum running 8 hour mean near-
16 surface O₃ concentration must not exceed 120 µg m⁻³ more than 25 days per year as a 3-year
17 mean, and as a long-term goal the daily maximum of 8h mean near-surface O₃ concentration
18 must not exceed 120 µg m⁻³ at all. Sweden has formulated 16 environmental quality
19 objectives, including clean air, alongside specifications to help reach these objectives. The
20 following specifications are currently valid for near-surface O₃ concentration in Sweden (NV,
21 2015): the hourly mean must not exceed 80 µg m⁻³, the daily maximum 8h mean must not
22 exceed 70 µg m⁻³ and AOT40f (O₃ concentration above 40 ppb(v) accumulated over April-
23 September) must not exceed 5 ppm(v) h. SOMO35 (the Sum of Ozone Means⁴ Over 35
24 ppb(v)) is used as a metric describing human exposure. The cut-off value of 35 ppb(v) is often
25 used in risk assessments as a statistically significant increase in mortality has been observed at
26 daily ozone concentrations >25-35 ppb(v) (Bell et al., 2006; Amann et al., 2008; Orru et al.,
27 2013). In Table 3 we present the linear trends in our reanalysis data set for these metrics, and

⁴ For SOMO35 the Mean is defined as the daily maximum of running 8h mean near-surface O₃ concentrations and the accumulation is over a year unless otherwise is stated.

1 have collected geographically resolved statistics, such as the period mean, maximum and
2 linear trend in the Supplements (Figs. S6-S10).

3 The narrowing of the O₃ concentration range, especially through increasing lower percentiles,
4 can impact human and vegetation exposure to O₃. The effect metrics based on accumulation
5 of values above a threshold (AOT40c; AOT40f; SOMO35) and the number of days with daily
6 maximum of 8h mean near-surface O₃ concentration exceeding 120 µg m⁻³ have been
7 decreasing over the period in the South and Central regions, as have the highest values in the
8 year. This is in accordance with the decrease in the highest percentiles in these regions (cf.
9 Supplements Table S2). Conversely, the metrics with lower threshold values increase, such as
10 the number of hours exceeding 80 µg m⁻³ and the number of days with daily maximum 8h
11 mean near-surface O₃ concentration exceeding 70 µg m⁻³. This increase is significant in the
12 North, whilst it is not significant in the South and Central. This agrees with the change in
13 medium and low percentiles. A continued increase in low values would cause a continued
14 increase in these metrics, and would eventually reverse the decreasing trend to an increase.
15 This is valid specifically for those metrics with accumulation of values or higher thresholds,
16 such as SOMO35 and AOT40c.

17

18 **4 Discussion**

19 This work improves upon previous studies by investigating the trends in near-surface O₃
20 concentration via a combination of both observed and modelled data. The respective
21 advantages of modeling (geographical and temporal coverage) and observations (the most
22 reliable O₃ concentration estimate at a discrete point) can be exploited through variational
23 data analysis to reach a greater understanding of the atmospheric state, and the model can
24 further be used as a tool to explain what is described.

25 Our results should, however, also be viewed in the context of their limitations. The length
26 scale of the variational data analysis is set to 1000 km, implying a large horizontal influence
27 of the observation increments. This is related to the sparse network of regional background
28 observations but also the relatively small emissions of O₃ precursors in Sweden resulting in
29 weak horizontal gradients of near-surface O₃ on the regional background scale. The large
30 length scale is also a filtering of local influences in the observations, consequently
31 suppressing sharp gradients in the analysis. However, the horizontal variation in near-surface

1 ozone is larger in the south than in the north, and the large length scale chosen in the data
2 analysis may cause too weak horizontal gradients in the reanalysis data set, especially in the
3 south. An improvement to this would be to describe the geographical variation of near-surface
4 ozone in the background error field, rather than representing this by a constant value as done
5 in this study. The model simulations have a relatively coarse horizontal resolution, meaning
6 that processes that are more local in origin are not captured by the model – these include the
7 role of local topography or coastal climate for the night-time boundary layer stability
8 (Klingberg et al., 2011), or local emission sources. As a result, the variational data analysis
9 scheme will spread such features to parts of the model results where they are not valid. Some
10 of the southerly sites in the variational data analysis are known to experience night-time
11 inversions with associated depletion of near-surface O₃ and the reanalysis will thus be
12 affected by this. Introducing a geographically varying length scale and background error in
13 the variational data analysis and an improvement in the spatial resolution of the model would
14 improve the spatial representation of the analysis, the latter since the difference between
15 observation and model has the potential to decrease at these observation sites.

16 As with all modeling studies, the model cannot perform better than the quality of the forcing
17 input data. Knowledge of emissions in the beginning of the 24-year period is less
18 comprehensive than at the end, which could introduce artificial trends to the MFG. The trends
19 in lateral and top boundary conditions are taken from the work by Engardt et al. (2017) and
20 are based on observed trends at regional background location in Europe. The upper
21 boundaries are especially poorly constrained in our study, and as a consequence so is the
22 stratospheric contribution to the inter-annual variation and trend. The variational data analysis
23 reduces the impact at the surface caused by errors in the lateral and upper model boundaries.
24 However, the reanalysis may still be affected in regions with sparse measurement coverage.
25 This can affect the attribution to the trend. In this study the MFG simulation captures the
26 observed (reanalyzed) trend reasonably well, but there is a discrepancy between the reanalysis
27 and MFG trend for most percentile levels in North Sweden. To investigate this in more detail,
28 we have compared the error in trend by percentile (the difference between the trends in MFG
29 and LONGTERM) to the trend caused by the four contributions (bound, meteo, SE emis and
30 FD emis). The resulting figure is included in the Supplements (Fig. S11). There is a 1:1
31 relation between the impact of the trend in the European emissions and the deviation between
32 the MFG and the LONGTERM trends. This could be caused by overestimation of the
33 European emissions trend. A similar tendency is seen for the Swedish emission contribution

1 in the Central and South regions. This calls for emission inventories to be improved in order
2 to assure the trend in ozone precursor emissions is correct. Another reason for this could be
3 too strong model sensitivity to the European emission trend in the North. If this was true, it
4 would have implications for sensitivity studies that consider the future development of near-
5 surface O₃. In studies relating the impacts of future climate change to future anthropogenic
6 precursor emission change, a robust conclusion for most models is that the impact on annual
7 or summer-time mean near-surface O₃ concentration of future precursor emissions is much
8 stronger than the impact of climate change (e.g. Engardt et al., 2009, Langner et al, 2012b;
9 Watson et al., 2016). If models are too sensitive to trends in emissions in remote areas,
10 compared to other processes, such a conclusion might change. Parrish et al. (2014) also
11 compared observed and modelled trends and found that the three chemistry-climate models
12 studied failed to reproduce the observed trends – the modelled O₃ concentration trend was
13 approximately parallel to the estimated trend in anthropogenic precursor emissions of NO_x,
14 whilst observed O₃ concentration changes increased more rapidly than these emission
15 estimates. This implies that there is a lack of knowledge relating to controls of concentrations
16 of tropospheric O₃. Whether it is the trend in ozone precursor emissions or the model
17 sensitivity to emissions that need improving is left for future studies.

18 Our study shows that the impact of meteorological variability on the trend changes strongly
19 from lower percentile levels to the very highest (in the South), with a shift from a positive to a
20 negative contribution (cf. Fig. 10). Thus, conclusions drawn on the importance of
21 meteorological variability in comparison to other factors such as changes in emissions will
22 vary strongly depending on the metric that is studied. We have also studied the impact of
23 base year in the sensitivity study (1990 vs 2011; cf. Fig. 5c and Supplement Fig. S5). The
24 attribution to the trend is robust for all percentiles, including the annual maximum, whereas
25 the monthly maximum is not robust for emissions and meteorological variation. So far studies
26 of the future development of near-surface O₃ have focused on long-term means such as
27 summer mean (e.g. Langner et al, 2012a,b; Watson et al., 2016), whereas the the direction of
28 cause of high-frequency metrics, such as the higher percentiles we show here, have not been
29 established and should be investigated further.

30 Finally, we conducted a trend analysis of the reanalyzed near-surface O₃ using linear
31 regression. We have chosen to present the trend in the LONGTERM data set in all analyzes,
32 regardless of whether it is statistically significant or not. We stress that a trend contains valid

1 information even where it is not statistically significant – and it will become significant if the
2 change and variability remains the same over time. We also recognize that there are other
3 methods of investigating the statistical behavior of the data set, and therefore welcome further
4 use of the data, which may be provided upon request from the corresponding author.

6 **5 Conclusions**

- 7 • We have constructed two hourly reanalyzes of near-surface O₃ for Sweden for the
8 period 1990-2013: one time-consistent reanalysis and one using all available hourly
9 measurements. Both data sets are available upon request from the corresponding
10 author.
- 11 • We have evaluated the performance of the reanalyzed near-surface O₃ and mainly
12 found improved performance compared to the MATCH model.
- 13 • Our results show:
 - 14 ○ High near-surface O₃ concentrations in Sweden are decreasing and low O₃
15 concentrations are increasing.
 - 16 ○ Health and vegetation impacts due to high near-surface O₃ concentrations
17 (quantified by policy related threshold metrics) have decreased in central and
18 south Sweden as a result of the decrease in the highest ozone values.
 - 19 ○ Decreasing emissions in Europe have led to decreasing summer-time near-
20 surface O₃ concentrations, as well as a decrease of the highest concentrations.
 - 21 ○ The rising low concentrations of near-surface O₃ in Sweden are caused by a
22 combination of rising hemispheric background O₃ concentrations,
23 meteorological variations and O₃ response to European O₃ precursor emission
24 regulation.
 - 25 ○ There is a discrepancy between modelled and observed (reanalyzed) O₃ trends
26 in northern Sweden. This could be caused by erroneous trends in the historical
27 anthropogenic ozone precursor emissions used here or that our model is too
28 sensitive to changes in emissions. If the latter is true, it implies that the
29 evolution of future precursor emissions may have a weaker impact on future

1 near-surface O₃ concentrations than shown by earlier studies (e.g. Langner et
2 al., 2012a,b; Watson et al., 2016).

- 3 ○ The results show that the impact of meteorological variability changes strongly
4 from lower percentiles levels to the very highest in the South. In studies of
5 future development the maximum ozone, and the causes for change in this,
6 should be investigated further.

7

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16

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12

1 **Figure and table legends**

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4 reanalysis study.

5 Figure 2. (a) Temporal trend of factors used for scaling boundary concentration of relevant
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7 vertical scale) and Swedish (triangles; right vertical scale) annual anthropogenic O₃ precursor
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9 methane volatile organic compounds (NMVOC) and carbon monoxide (CO) are indicated by
10 different colors (cf. legend); emissions of sulfur oxides (SO_x) and ammonia (NH₃) are
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12 Figure 3. Instrumentation sites for hourly near-surface ozone concentration observations in
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14 data coverage. Blue circles: sites with restricted data coverage. The subdivision of Sweden
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16 black lines.

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19 annual data for sites with full data coverage (see also Fig. 3). Light red: sites with <80 %
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21 squares: as for the red squares, but for sites with restricted data coverage.

22 Figure 5. (a,b) Temporal trends in annual percentiles of hourly mean near-surface ozone
23 (levels: 0, 2, 5, 10, 25, 50, 75, 90, 95, 98 and 100, cf. also Table S2) averaged for the three
24 regions North (blue), Central (green) and South (magenta) for the sum of the contributions to
25 the trend (SUM) vs the MATCH model simulation MFG (a) and the reanalysis LONGTERM
26 vs the MATCH model simulation MFG (b). Filled circles indicate significant trends ($p \leq 0.05$)
27 in the MFG simulation, whereas non-significant MFG trends ($p > 0.05$) are indicated by an
28 empty circle. (c) Sensitivity in contributions to the secular trends in regionally (North:
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30 50, 75, 90, 95, 98 and 100) of hourly near-surface O₃ over the period 1990-2013 due to choice
31 of base year (1990 vs 2011). Modelled contributions to the near-surface ozone trend due to

1 change in top and lateral boundaries of relevant species (dark yellow, bound), change in full
2 domain emissions (blue, SE emis + FD emis), and variation in meteorology (fair yellow,
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10 Figure 7. Statistical properties of the annual mean (top row; (a)-(e)) and annual maximum 1h
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12 right: 1990-2013 mean ((a),(f)), 1990-2013 maximum ((b),(g)), 1990-2013 standard deviation
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15 Figure 8. Temporal variation of annual percentiles of near-surface ozone concentrations
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17 The line marked 0 is the zero-percentiles (lowest hourly mean near-surface ozone
18 concentration of the year), 100 is 100-percentile (highest hourly mean near-surface ozone
19 concentration of the year), 50 is the 50-percentile (i.e. annual median of the hourly mean near-
20 surface ozone concentration). The sign of the corresponding linear trend (cf. Supplements
21 Table S2, including a statistical analysis of the trend) of each percentile is indicated by colour:
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30 Swedish (dark blue, SE emis) and full domain, non-Swedish (fair blue, FD emis), emissions,
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3 Figure 10. Linear trends over 1990-2013 in annual percentiles of hourly mean near-surface
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6 diamond), and modelled contributions to the near-surface ozone concentration trend due to
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1 **Table legends**

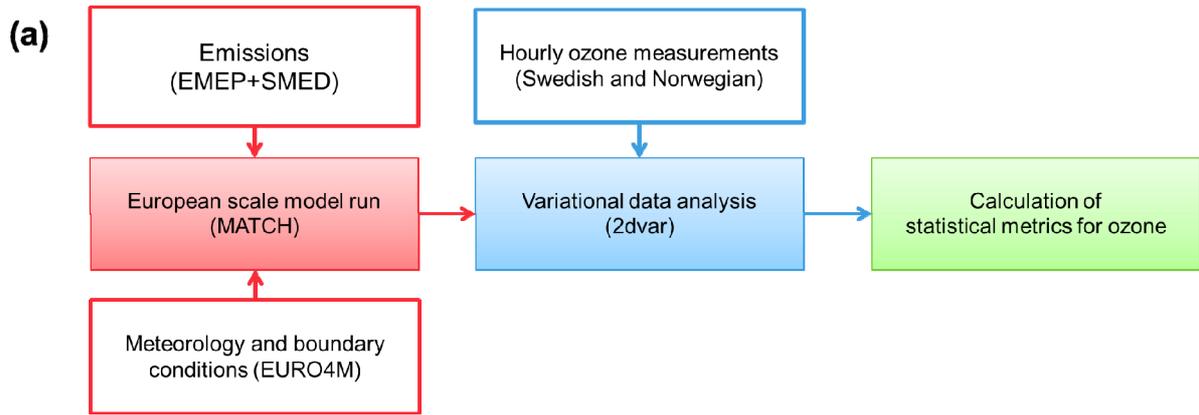
2 Table 1a. Model calculations and scenarios, all covering the years 1990-2013, including the
3 “first guess” to the retrospective variational data analysis and base case to the sensitivity
4 simulations (MFG), two reanalysis data sets (LONGTERM and ALL), sensitivity scenarios
5 (MFD, MSE, MBC and MMET).

6 Table 1b. Formation of contributions to the linear trend over the period 1990-2013 from the
7 sensitivity simulations (Se emis, Eur emis, Bound and Meteo, see Table 1a).

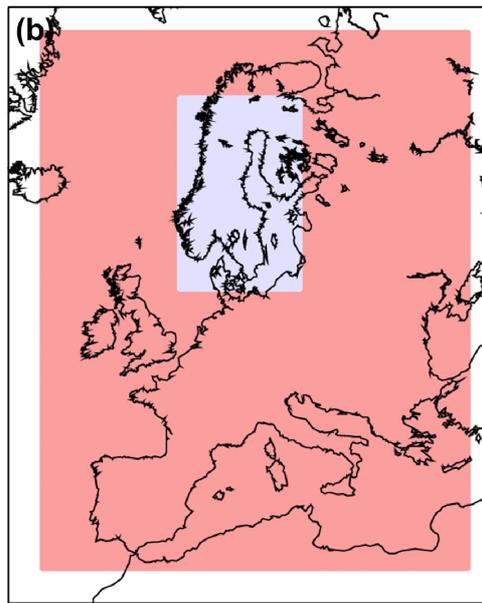
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13 ALL and LONGTERM, where ALL is evaluated at the 12 Swedish sites included in that
14 simulation, and LONGTERM is evaluated at the 6 Swedish sites included in that simulation
15 (cf. Fig. 4). For each of these data set evaluations we include the observation *dependent*
16 reanalysis (2dvar), the observation *independent* cross validation of the reanalysis (cross) and
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18 performance (spatial mean of evaluation statistics, see Supplement Sect. S1). The bottom half
19 of the table shows spatial performance (spatial statistics of annual means, see Supplement
20 Sect. S1).

21 Table 3. Linear trend during 1990-2013 of policy related metrics in the 3 Swedish regions
22 North, Central and South (cf. Fig. 3). Stars (*, **, and ***) indicate that the trend is
23 significant ($p \leq 0.05$, $p \leq 0.01$, $p \leq 0.001$, respectively).

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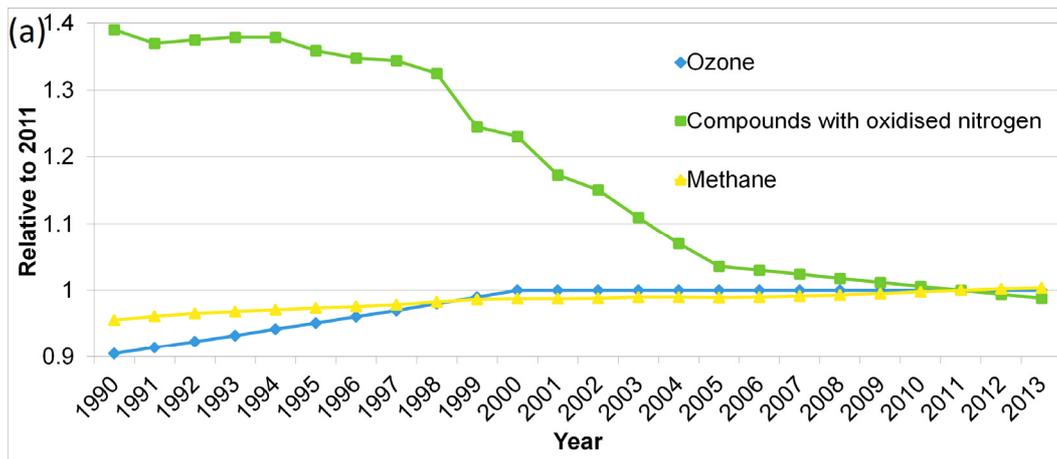


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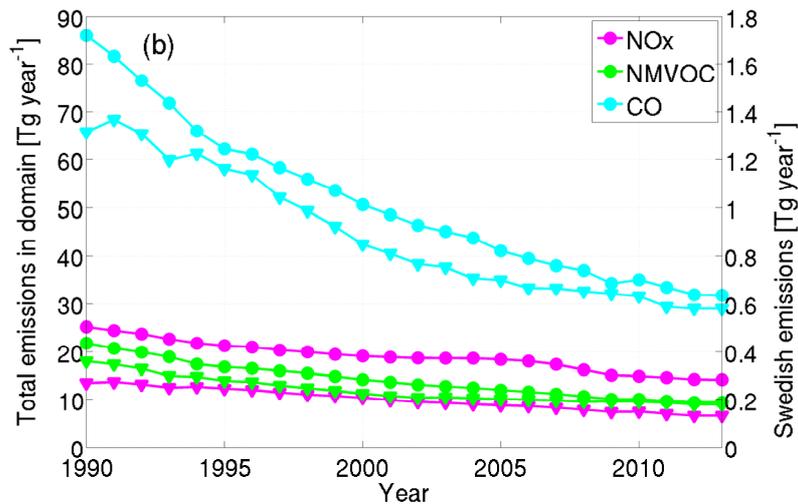


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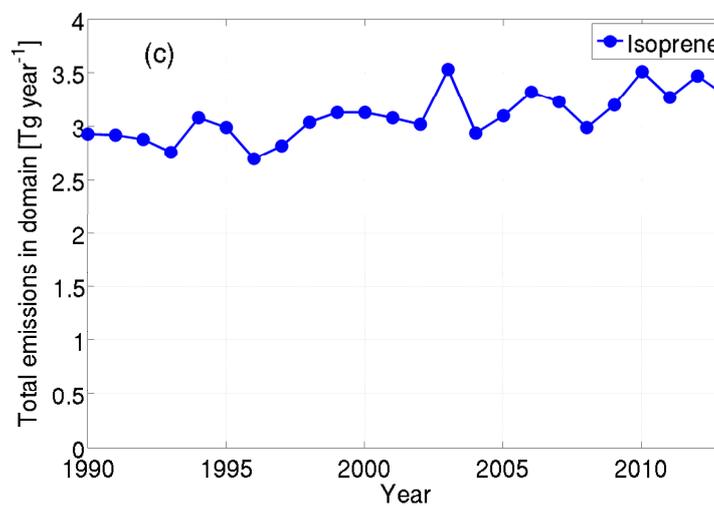
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4 Figure 2. (a) Temporal trend of factors used for scaling boundary concentration of relevant
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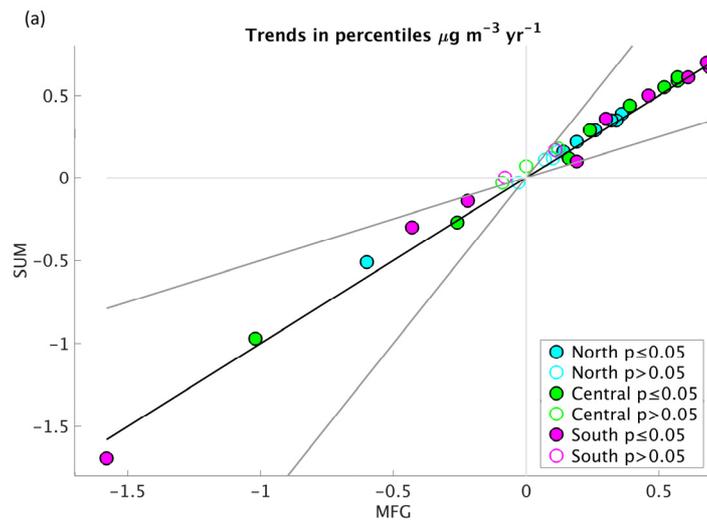
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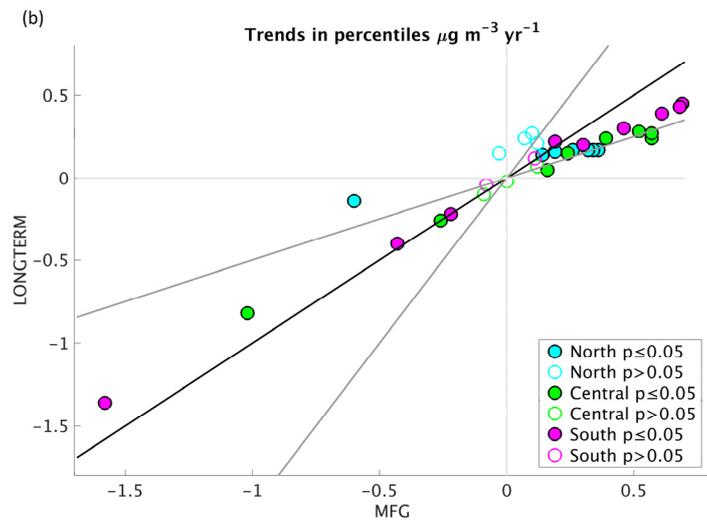
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SE13	Esränge	30																							
SE35	Vindeln																								
SE05	Bredkälen															58									
SE89	Grimsö											42													
NM	Norr Malma																0.01								
SE12	Aspvreten																								
SE32	Norra Kvill																								
SE88	Asa försökspark						50				75					45								58	
SE87	Östad												47	34	20	45	49	50	50	50	50	50	49	50	
SE02	Rörvik																								
SE14	Räö																								
RDB	Rödeby																								56
SE11	Vavihill																								
NO15	Tustervatn				73																				
NO39	Kårvatn																								
NO489	Haukenes		47	22	42	51	51	53	55	49	49	51	39	53	53			40		67			72		
NO43	Prestebakke			65																					
NO01	Birkenes I																								
NO02	Birkenes II																								79

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6 squares: as for the red squares, but for sites with restricted data coverage. White squares: no
7 observations are available for that year and site. The LONGTERM reanalysis includes the red
8 measurement sites, the ALL reanalysis includes both red and blue.

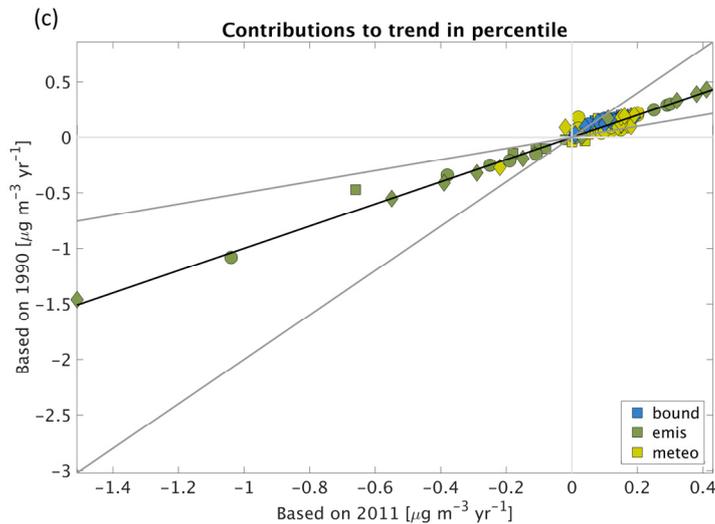
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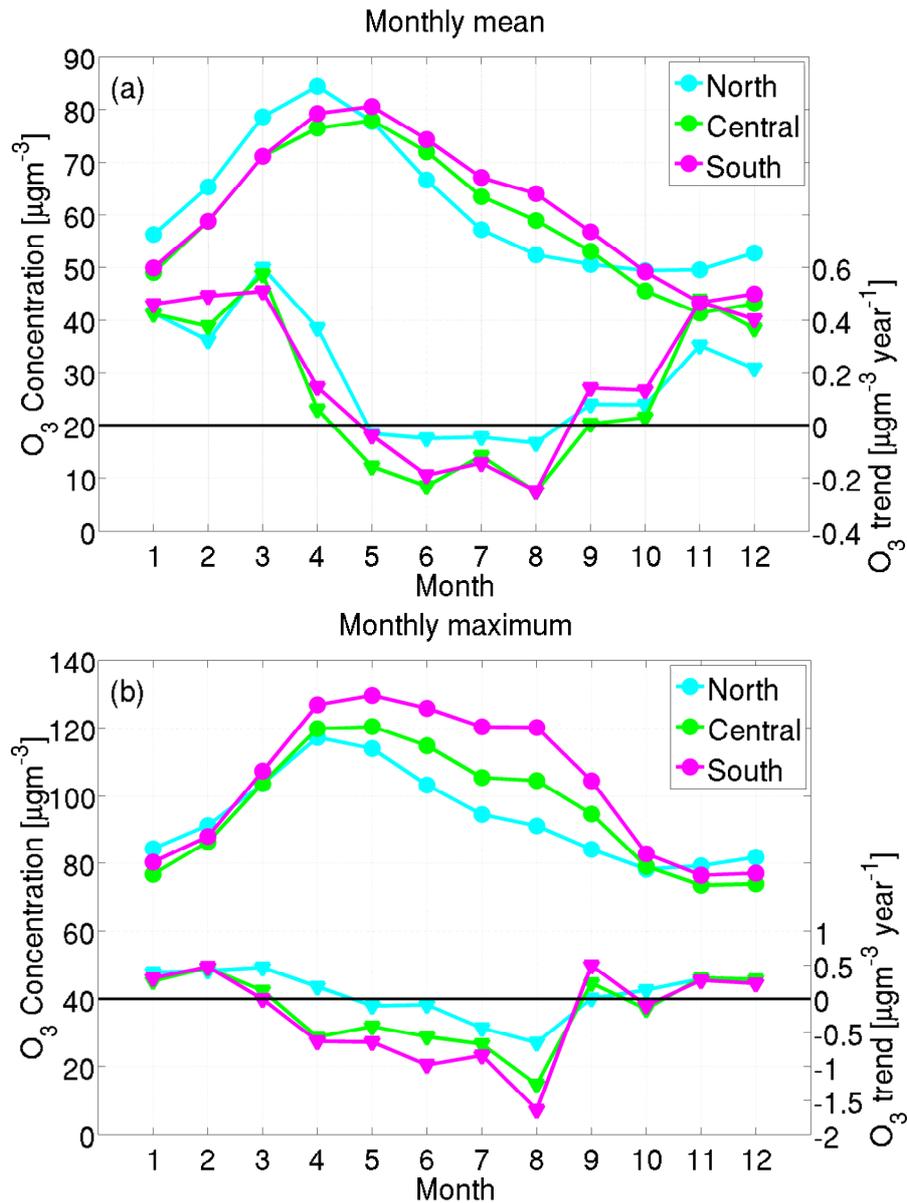
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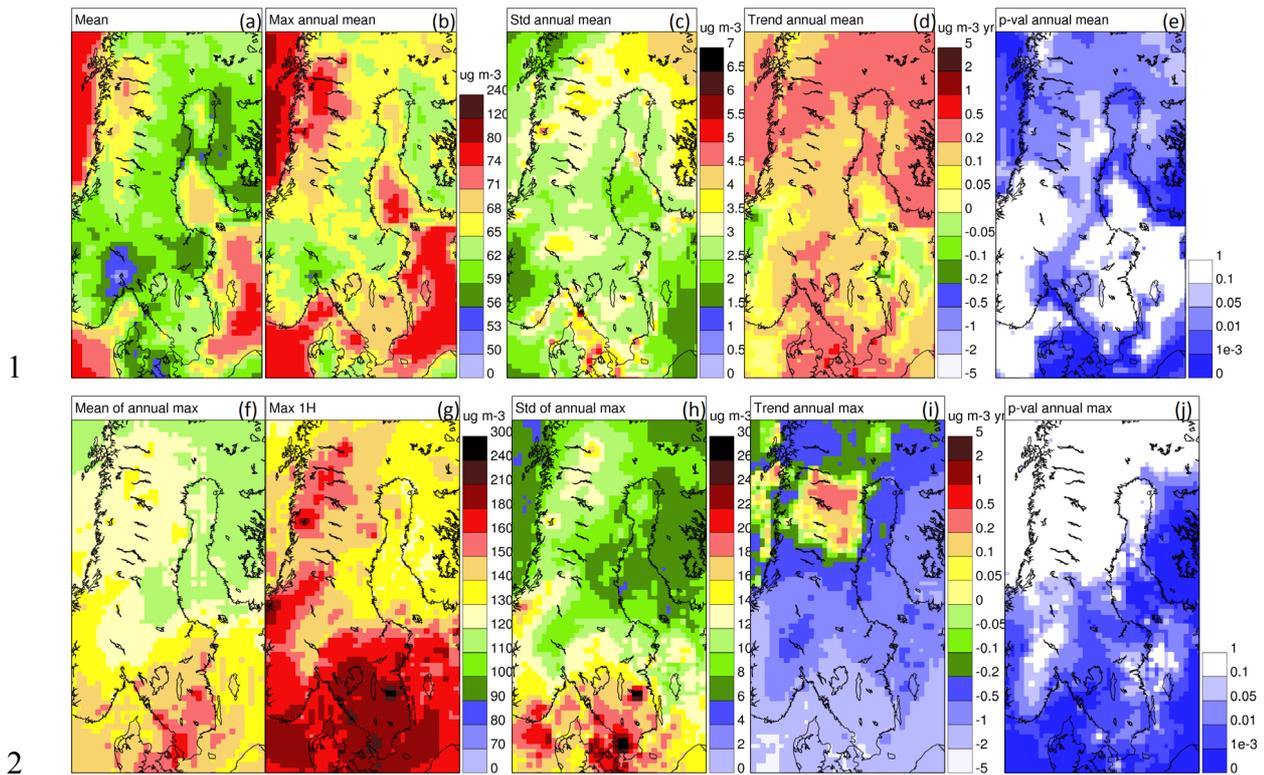
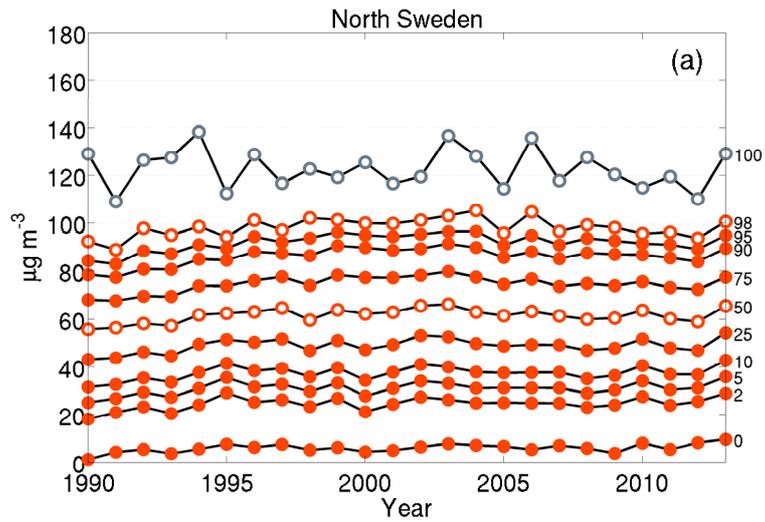
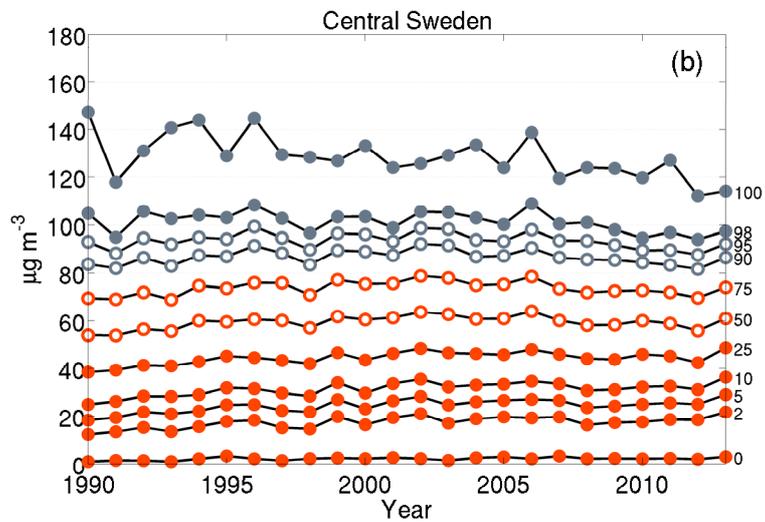


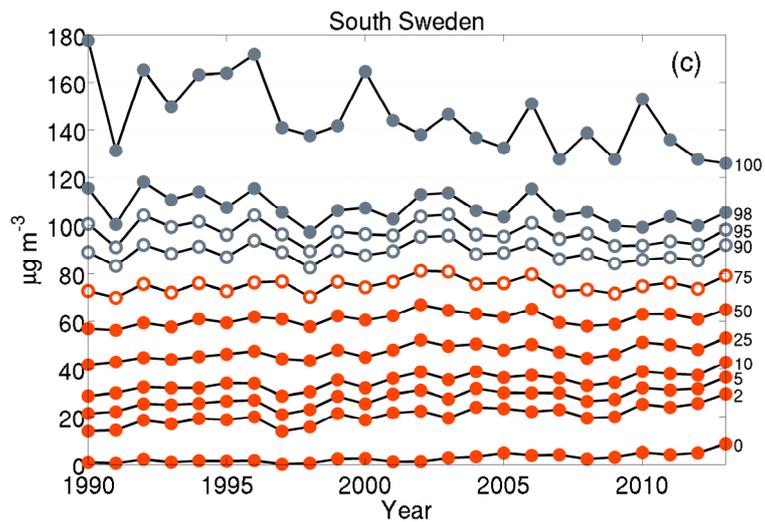
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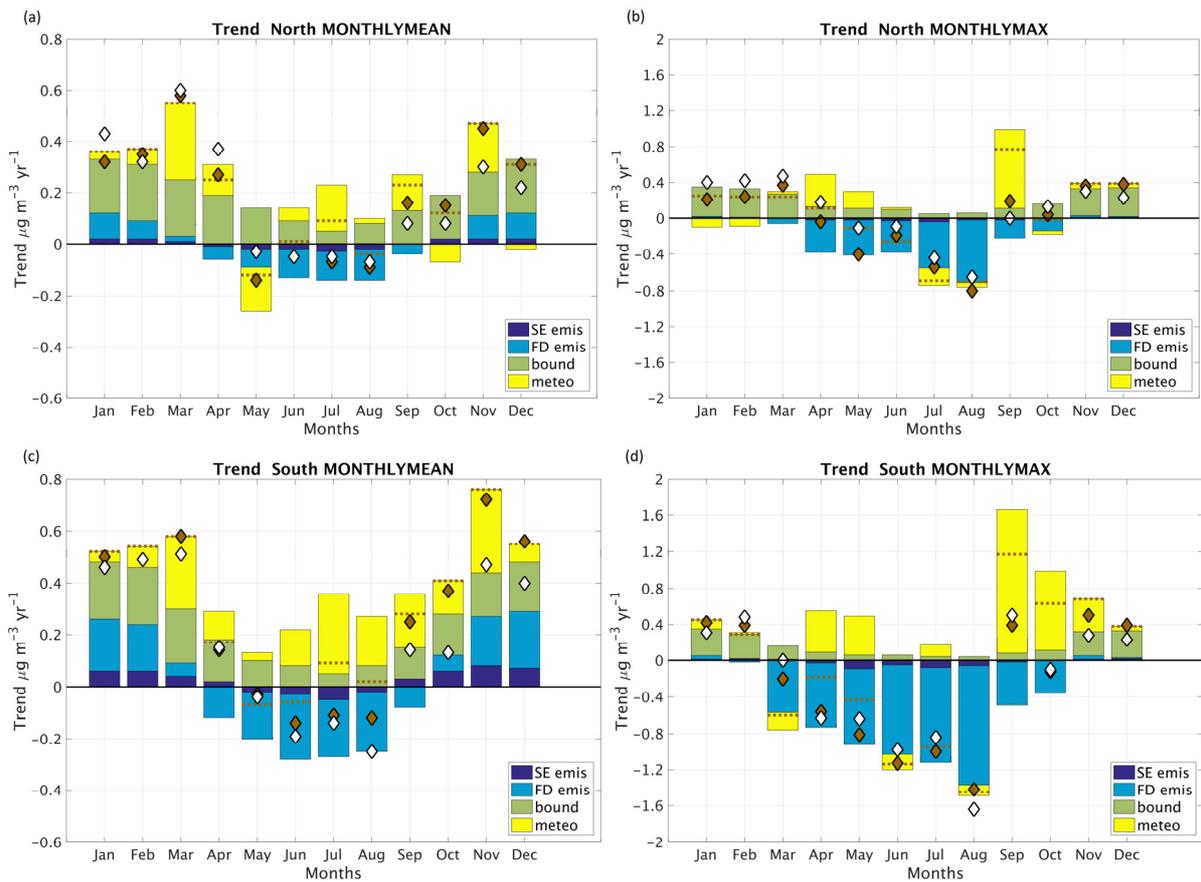
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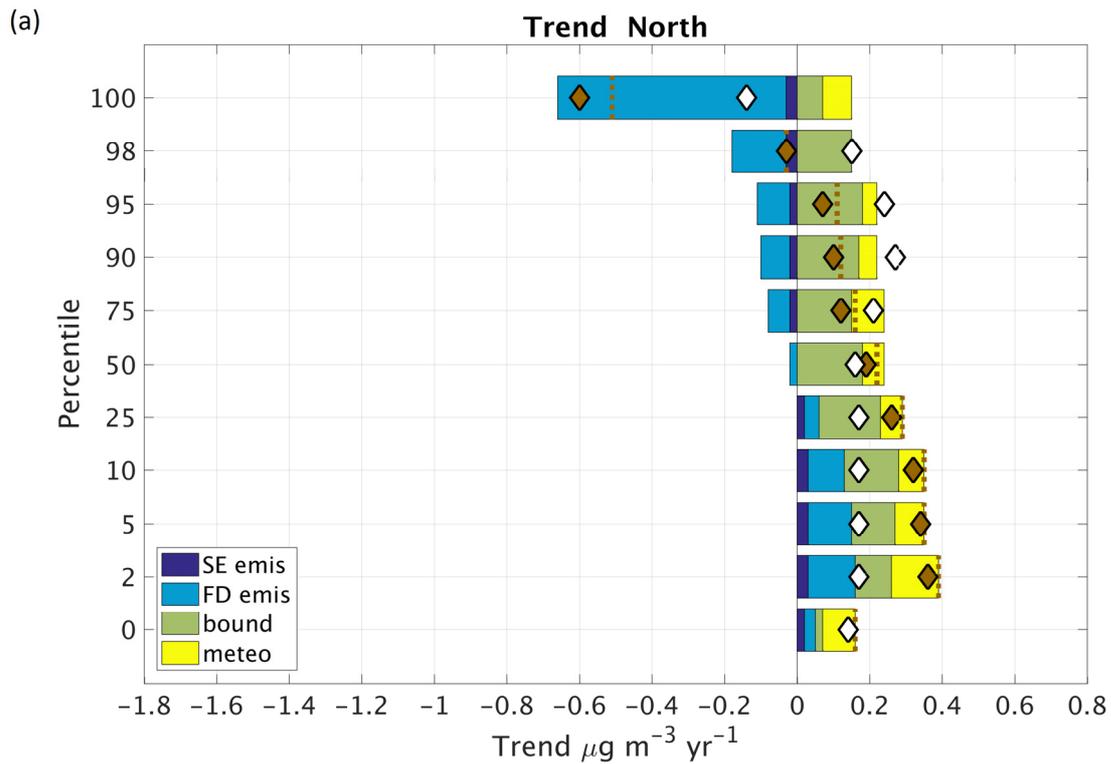
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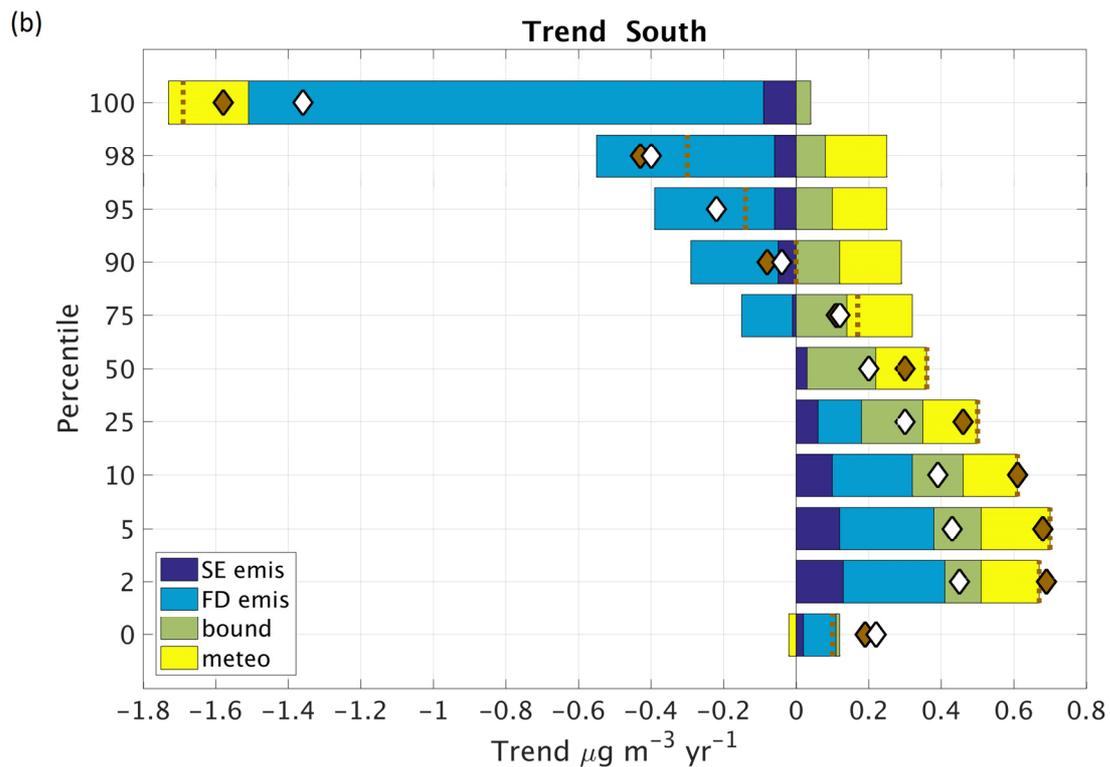
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 3 simulations (MFG), two reanalysis data sets (LONGTERM and ALL), sensitivity scenarios
 4 (MFD, MSE, MBC and MMET).

Scenario/data set	Description
MFG	MATCH base case simulation and “first guess” used as input to the reanalyzes.
LONGTERM	Reanalysis data set of hourly near-surface ozone concentration covering Sweden and Norway based on 1) the MFG European MATCH simulation and 2) selected hourly near-surface ozone measurements in Sweden and Norway, based on temporal coverage of the measurement sites. Optimal for trend analyses. Analyzed and presented in Sect. 3.
ALL	Reanalysis data set of hourly near-surface ozone concentration covering Sweden and Norway based on 1) the MFG European MATCH simulation and 2) all available Swedish hourly ozone measurements and a selection of the Norwegian (as in LONGTERM). Not used for trend analyses in this study, but best estimate for the hourly near-surface ozone concentration in Sweden at any point in time.
MFD	MATCH sensitivity simulation where the full domain anthropogenic emissions are kept constant from year to year, set to the level of 2011.
MSE	MATCH sensitivity simulation where the Swedish anthropogenic emissions are kept constant from year to year, set to the level of 2011.
MBC	MATCH sensitivity simulation where the top and lateral boundaries for all species are kept constant from year to year, set to the level of 2011.
MMET	MATCH sensitivity simulation where the meteorology is kept constant, using the meteorological year 2011.

5

6

- 1 Table 1b. Formation of contributions to the linear trend over the period 1990-2013 from the
 2 sensitivity simulations (see Table 1a).

SE emis	Contribution to the trend caused by the change in anthropogenic Swedish emissions, calculated as the model scenario difference: MFG-MSE.
FD emis	Contribution to the trend caused by the change in full domain anthropogenic, non-Swedish, emissions, calculated as the model scenario difference: (MFG-MFD)-(MFG-MSE).
emis	Contribution to the trend caused by the change in full domain anthropogenic emissions, calculated as the model scenario difference: MFG-MFD. Used only for the base year sensitivity investigation.
bound	Contribution to the trend caused by the change in lateral and upper boundaries, calculated as the model scenario difference: MFG-MBC.
meteo	Contribution to the trend caused by the variation in meteorology, calculated as the model scenario difference: MFG-MMET.
SUM	Sum of the contributions to the trend, calculated as the sum of: SE emis+FD emis+Bound+Meteo.

3

4

1 Table 2. Evaluation of modelled hourly and daily maximum of 1h mean near-surface ozone
2 concentrations in 2013 at Swedish observation sites. Mean value (mean), standard deviation
3 (σ), model mean bias normalized by the observed mean (%bias), Pearson correlation
4 coefficients (r) for data including at least 10 pairs, the root mean square error (RMSE) and
5 number of observed hours/days⁵ at the sites. The evaluation includes the reanalyzed data sets
6 ALL and LONGTERM, where ALL is evaluated at the 12 Swedish sites included in that
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13 Sect. S1).

		spatial mean of evaluation statistics					
Hourly mean		mean (ppb(v))	std dev (ppb(v))	%bias (%)	r	RMSE (ppb(v))	#hours
ALL	obs	30.9	11.0				8760
	MFG	31.1	9.4	1.4	0.67	8.8	
	cross	30.6	9.9	-0.3	0.76	8.0	
	2dvar	30.8	11.1	-0.6	0.94	3.5	
LONGTERM	obs	32.6	10.5				8760
	MFG	31.2	9.7	-3.3	0.67	8.7	
	cross	32.2	9.3	-0.1	0.72	8.5	
	2dvar	32.6	10.7	0.2	0.97	2.7	
Daily maximum		mean (ppb(v))	std dev (ppb(v))	%bias (%)	r	RMSE (ppb(v))	#days
ALL	obs	39.4	8.7				365
	MFG	37.7	7.6	-4.3	0.79	5.8	
	cross	38.3	7.9	-2.6	0.83	5.2	
	2dvar	39.5	8.5	0.3	0.97	1.4	
LONGTERM	obs	40.0	8.7				365
	MFG	37.8	8.0	-5.6	0.79	6.0	
	cross	38.7	7.9	-3.3	0.81	5.6	
	2dvar	40.4	8.9	1.0	1.00	0.8	
		spatial statistics of annual means					

⁵ A daily data coverage of 75% (>18 hours) is required to include the observed daily maximum as a valid observation.

Annual mean		mean (ppb(v))	std dev (ppb(v))	%bias (%)	r	RMSE (ppb(v))	#stns
ALL	obs	30.9	2.5				12
	MFG	31.1	1.2	0.6	0.21	3.0	
	cross	30.6	1.8	-1.0	0.11	3.5	
	2dvar	30.8	2.8	-0.5	0.98	0.7	
LONGTERM	obs	32.6	2.2				6
	MFG	31.2	1.0	-4.1	X	3.4	
	cross	32.2	1.6	-1.2	X	4.3	
	2dvar	32.6	2.2	0.2	X	0.2	
Annual mean of daily maximum		mean (ppb(v))	std dev (ppb(v))	%bias (%)	r	RMSE (ppb(v))	#stns
ALL	obs	39.4	1.3				12
	MFG	37.7	1.2	-4.4	0.43	2.5	
	cross	38.3	1.6	-2.7	0.31	2.3	
	2dvar	39.5	1.6	0.3	0.90	1.0	
LONGTERM	obs	40.0	1.6				6
	MFG	37.8	1.4	-5.7	X	2.9	
	cross	38.7	2.0	-3.4	X	2.7	
	2dvar	40.4	1.6	1.0	X	0.4	

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2

- 1 Table 3. Linear trend during 1990-2013 of policy related metrics in the 3 Swedish regions
 2 North, Central and South (cf. Fig. 3). Stars (*, **, and ***) indicate that the trend is
 3 significant ($p \leq 0.05$, $p \leq 0.01$, $p \leq 0.001$, respectively).

Metrics	North	Central	South
Mean [$\mu\text{g m}^{-3} \text{ year}^{-1}$]	+0.18*	+0.13	+0.18*
SOMO35 [ppb(v) d year^{-1}]	+14	-3.1	-4.7
Maximum 8h mean [$\mu\text{g m}^{-3} \text{ year}^{-1}$]	-0.11	-0.68**	-1.2**
Maximum 1h mean [$\mu\text{g m}^{-3} \text{ year}^{-1}$]	-0.14	-0.82**	-1.4***
AOT40c [ppm(v) h year^{-1}]	-0.01	-0.07*	-0.09
AOT40f [ppm(v) h year^{-1}]	+0.03	-0.09	-0.12*
#hours $> 80 \mu\text{g m}^{-3}$ [# year^{-1}]	+26*	+1.7	+6.6
#days $> 70 \mu\text{g m}^{-3}$ [# year^{-1}]	+1.3	+0.73	+1.1
#days $> 120 \mu\text{g m}^{-3}$ [# year^{-1}]	+0.01	-0.12*	-0.32**

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