

We thank the referees for their reviews. To facilitate the review process we have copied the reviewer comments in black text. Our responses are in regular blue font. We have responded to all the referee comments and made alterations to our paper (**in bold text**). Figures, tables, and sections in the responses are numbered as in the *revised* manuscript unless specified, while page and line numbers refer to the ACPD paper.

## Anonymous Referee #1

In the study at hand, a previously developed kinetic box model is applied to a plethora of scenarios that could be encountered when using oxidation flow reactors (OFR) to produce secondary organic aerosol (SOA) in the presence of NO. Peng et al. present a very detailed study that, while not directly relevant for the general public, might be very helpful for the specialized field of atmospheric researchers employing OFR and falls within the scope of ACP. Especially the comprehensive Fig. S7 should be a fantastic resource for research groups working with OFR and without excess to kinetic modelling tools. The authors convincingly show that the conditions in which OFR are often operated are far from atmospheric relevance.

R1.0) The article is well-structured, but is now and then difficult to read, e.g. in Sects. 3.1.1 and 3.2. A reason for this might be that the narrative doesn't closely follow the figures, and, while the figures contain lots of useful information, it seems that much of the given information is not discussed in the manuscript, which would technically render most of the figures in the main text supplementary material. I would like to encourage the authors at this point to re-think their use of figures in this manuscript. For example, can the information in Figure 1 be presented in a more concise, meaningful way? It also does not help that positions and sizes of fonts and symbols in Fig. 1 are different in all three panels. This does not diminish the solid scientific message of this work, but would help immensely to reach a larger audience. Thus, I can recommend this paper for publication in ACP after only minor revisions, but would encourage the authors to revise the visual presentation of their scientific results. Further point-by-point comments are given below.

We have made the sizes of fonts and symbols identical in the 3 panels of Fig. 1. To improve the legibility of Sections 3.1.1 and 3.2, we have made modifications to the text in a number of places: reformulating/reordering sentences, adding/improving references to figures, clarifying some details etc. In particular, we have referred to Fig. S7 in the ACPD version (Fig. S3 in the revised version; other figures in SI also renumbered accordingly) in these sections as well as elsewhere in the paper to better take advantage of its large amount of useful information. Note that Fig. S7 (in the ACPD version) was included mainly for experimental planning purposes. We did not aim to explain every feature in Fig. S7 in the ACPD version and have only referred to it when useful, and have not substantially changed the text just according to the material in this figure.

The modified Sections 3.1.1 and 3.2 now read as follows:

### Section 3.1:

“In OFR185-iNO, NO is *not* oxidized extremely quickly under *all* conditions. For instance, under a typical condition in the midrange of the phase space shown in Fig. 1a,  $t_{NO} \sim 13$  s. This lifetime is much shorter than the residence time, but long enough for  $OH_{exp}$  to reach  $\sim 3 \times 10^{10}$  molecules  $cm^{-3}$  s, which is equivalent to an OH equivalent age of  $\sim 6$  hrs. Such an OH equivalent age is already sufficient to allow some VOC processing and even SOA formation to occur (Lambe et al., 2011; Ortega et al., 2016). Within  $t_{NO}$ , NO suppresses  $HO_2$  through the reaction  $NO + HO_2 \rightarrow NO_2 + OH$ , leading to  $NO_{exp}/HO_{2exp}$  of  $\sim 700$  during this period, high enough for  $RO_2$  to dominantly react with NO. Meanwhile,  $NO + HO_2 \rightarrow NO_2 + OH$  enhances OH production, which helps  $OH_{exp}$  build up in a relatively short period. In addition, non-tropospheric photolysis of VOCs at 185 and 254 nm is minor ( $F_{185exp}/OH_{exp} \sim 600$  cm/s, Fig. 1a), because of enhanced OH production and moderate UV. Therefore, such an OFR condition may be of some interest for high-NO VOC oxidation. We thus analyze the  $NO_y$  chemistry in OFR185-iNO in more detail below, by taking the case shown in Fig. 1a as a representative example.

In OFR185-iNO,  $HO_x$  concentrations are orders-of-magnitude higher than in the atmosphere while the amount of  $O_3$  produced is relatively small during the first several seconds after the flow enters the reactor. As a result, NO is not oxidized almost exclusively by  $O_3$  as in the troposphere, but also by OH and  $HO_2$  to form HONO and  $NO_2$ , respectively (Fig. 1a). The large concentration of OH present then oxidizes HONO to  $NO_2$ , and  $NO_2$  to  $HNO_3$ . Photolysis only plays a negligible role in the fate of HONO and  $NO_2$  in OFRs, in contrast to the troposphere, where it is the main fate of these species. This is because the reactions of HONO and  $NO_2$  with OH are greatly accelerated in OFR compared to those in the troposphere, while photolysis not (Peng et al., 2016). The interconversion between  $NO_2$  and  $HO_2NO_2$  is also greatly accelerated (Fig. 1a), since a large amount of  $HO_2$  promotes the formation of  $HO_2NO_2$ , whose thermal decomposition and reaction with OH in turn enhance the recycling of  $NO_2$ . Though not explicitly modeled in this study,  $RO_2$  are expected to undergo similar reactions with  $NO_2$  to form reservoir species, i.e., peroxy nitrates (Orlando and Tyndall, 2012). Peroxy nitrates that decompose on timescales considerably longer than OFR residence times may serve as effectively permanent  $NO_y$  sinks in OFRs (see Section 3.4.1).

Interestingly but not surprisingly, the  $NO_y$  chemistry shown in Fig. 1a is far from temporally uniform during the OFR residence time (Fig. S1a). Within  $t_{NO}$ , NO undergoes an e-fold decay as it is rapidly converted into  $NO_2$  and HONO, whose concentrations reach maxima around that time. After most NO is consumed, HONO and  $NO_2$  also start to decrease, but significantly more slowly than NO, since they do not have as many and efficient loss pathways as NO. The reaction of OH with HONO, the dominant fate of HONO, is slower than that with NO (Fig. 1a). The net rate of the  $NO_2$ -to- $HO_2NO_2$  conversion becomes low because of the relatively fast reverse reaction (Fig. 1a). Besides, the total loss of  $NO_2$  is partially offset by the production from HONO. The generally stable concentrations of HONO and  $NO_2$  (Fig. S1a) result in their respective reaction rates with OH that are comparable during and after  $t_{NO}$  (Fig. 1a), as OH variation is also relatively small during the

entire residence time (Fig. S1b). However, the  $\text{NO}_2$ -to- $\text{HO}_2\text{NO}_2$  conversion after  $\tau_{\text{NO}}$  is much faster than during it (Fig. 1a), resulting from substantially decreased NO and  $\text{HO}_2$  concomitantly increasing >1 order of magnitude after  $\tau_{\text{NO}}$  (Fig. S1a,b).  $\text{HNO}_3$  and  $\text{HO}_2\text{NO}_2$ , which are substantially produced only after  $\text{NO}_2$  is built up, have much higher concentrations later than within  $\tau_{\text{NO}}$ .

Under other OFR185-iNO conditions than in Fig. 1a, the major reactions interconverting  $\text{NO}_y$  species are generally the same, although their relative importance may vary. At lower  $\text{NO}^{\text{in}}$ , the perturbation of  $\text{HO}_x$  chemistry caused by  $\text{NO}_y$  species is smaller. Effects of  $\text{NO}^{\text{in}}$  less than 1 ppb (e.g., typical non-urban ambient concentrations) are generally negligible regarding  $\text{HO}_x$  chemistry. Regarding  $\text{NO}_y$  species, the pathways in Fig. 1a are still important under those conditions. At higher  $\text{NO}^{\text{in}}$  (e.g., >1 ppm), one might expect  $\text{NO}_3$  and  $\text{N}_2\text{O}_5$  to play a role (as in OFR254-iNO; see Section 3.1.2 below), since high  $\text{NO}_y$  concentrations might enhance self/cross reactions of  $\text{NO}_y$ . However, this would not occur unless OH production is high, since relatively low  $\text{O}_3$  concentrations in OFR185-iNO cannot oxidize  $\text{NO}_2$  to  $\text{NO}_3$  rapidly. Also, a large amount of  $\text{NO}_y$  can lead to significant OH suppression. That would in turn slow down the  $\text{NO}_3$  production from  $\text{HNO}_3$  by OH. This is especially true when an OFR is used to oxidize the output of highly concentrated sources (e.g., from vehicle exhausts). When sources corresponding to  $\text{OHR}_{\text{ext}}$  of thousands of  $\text{s}^{-1}$  and  $\text{NO}^{\text{in}}$  of tens of ppm are injected into OFR185 (Fig. 1b), they essentially inhibit active chemistry except NO consumption, as all subsequent products are much less abundant compared to remaining NO (Fig. S1c)."

And Section 3.2:

"Having illustrated the main  $\text{NO}_y$  chemical pathways for typical cases, we present the results of the exploration of the entire physical parameter space (see Section 2.2). Note that the explored space is indeed very large and gridded logarithmically uniformly in every dimension. Therefore, the statistics of the exploration results can be useful to determine the relative importance of the conditions types defined in Section 2.2 and Table 3.

It has been shown that during  $\tau_{\text{NO}}$ ,  $\text{RO}_2$  can react dominantly with NO (Section 3.1.1), while to determine if a condition is high-NO (see Table 3), the entire residence time is considered. This is done because for VOC oxidation systems of interest, there will be significant oxidation of the initial VOC and its products under low-NO conditions, if  $\tau_{\text{NO}}$  is shorter than the reactor residence time. After most NO is consumed, the longer the remaining residence time, the more  $\text{RO}_2$  will react with  $\text{HO}_2$  and the more likely that an input condition is classified as low-NO. For a condition to be high-NO, a significantly long  $\tau_{\text{NO}}$  is required. Figure 3 shows the fractional occurrence distribution of good/risky/bad conditions in the entire explored condition space over logarithm of  $r(\text{RO}_2+\text{NO})/r(\text{RO}_2+\text{HO}_2)$ , which distinguishes high- and low-NO conditions. In OFR254-iNO,  $\tau_{\text{NO}}$  is so short that no good high-NO condition is found in the explored range in this study (Fig. 3a). A fraction of explored conditions are bad high-NO. These conditions result from a full consumption of  $\text{O}_3$  by NO. Then very little  $\text{HO}_x$  is produced (right panels in Fig. S3h), but the fate of any  $\text{RO}_2$  formed is dominated by  $\text{RO}_2+\text{NO}$  (right panels in Fig. S3i). However, also due to

negligibly low OH concentration, little RO<sub>2</sub> is produced and non-tropospheric photolysis of VOCs is also substantial compared to their reaction with OH under these conditions, classifying all of them as “bad” (Fig. 3a).

In OFR185-iNO, in addition to the typical case shown in Fig. 1a, many other cases have a  $\tau_{NO}$  of ~10 s or longer (Figs. S3b and S4), which allow the possibility of high-NO conditions. Indeed, ~1/3 of explored conditions in OFR185-iNO with a residence time of 3 min are high-NO (Fig. 3b). Most of these high-NO conditions are also classified as bad, similar with those in OFR254-iNO. More importantly, in contrast to OFR254-iNO, good and risky high-NO conditions also comprise an appreciable fraction of the OFR185-iNO conditions. It is easily expected that very high OHR<sub>ext</sub> and NO<sup>in</sup> lead to bad high-NO conditions (all panels in Fig. 4), since they strongly suppress HO<sub>x</sub>, which yields bad conditions and in turn keep NO destruction relatively low. Besides, the occurrence of bad high-NO conditions is reduced at high UV (bottom panels in Fig. 4), which can be explained by lowered NO due to high O<sub>3</sub> production and fast OH reactant loss due to high OH production. Good high-NO conditions are rare in the explored space. They are only 1.1% of total explored conditions (Fig. 3b) and present under very specific conditions, i.e., higher H<sub>2</sub>O, lower UV, lower OHR<sub>ext</sub>, and NO<sup>in</sup> of tens to hundreds of ppb (Figs. 4 and S5). Since a very high NO can suppress OH, to obtain both a significant NO level and a good conditions, NO<sup>in</sup> can only be tens to hundreds of ppb. As NO<sup>in</sup> is lower and OH is higher than under bad high-NO conditions, UV should be lower than bad high-NO conditions to keep a sufficiently long presence of NO. Thus, UV at 185 nm for good high-NO conditions are generally lower than 10<sup>12</sup> photons cm<sup>-2</sup> s<sup>-1</sup> (Fig. S5). In addition, a low OHR<sub>ext</sub> (generally <50 s<sup>-1</sup>) and a higher H<sub>2</sub>O (the higher the better, although there is no apparent threshold) are also required for good high-NO conditions (Fig. S5), as Peng et al. (2016) pointed out. Risky high-NO conditions often occur between good and bad high-NO conditions, e.g., at lower NO<sup>in</sup> than bad conditions (e.g., Cases ML, MM, HL, and HM in Fig. 4, see Table 2 for the typical case label code), at higher OHR<sub>ext</sub> and/or NO<sup>in</sup> than good conditions (e.g., Cases ML and MM), and at lower H<sub>2</sub>O than good conditions (e.g., Case LL).

The trend of the distributions of good, risky, and bad low-NO conditions is generally in line with the analysis in Peng et al. (2016). For low-NO conditions, NO<sub>y</sub> species can be simply regarded as external OH reactants, as in Peng et al. (2016). As H<sub>2</sub>O decreases and/or OHR<sub>ext</sub> or NO<sup>in</sup> increases, a low-NO condition becomes worse (good→risky→bad) (Figs. 4 and 5). In OFR185-iNO, increasing UV generally makes a low-NO condition better because of an OH production enhancement (Fig. 4); while in OFR254-iNO, increasing UV generally makes a low-NO condition worse (Fig. 5), since at a higher UV, more O<sub>3</sub> is destroyed and the resilience of OH to suppression is reduced.

As discussed above, the fraction of high-NO conditions also depends on OFR residence time. A shorter residence time is expected to generally lead to a larger fraction of high-NO conditions, since the time spent in the reaction for  $t > \tau_{NO}$  is significantly smaller. Thus, we also investigate an OFR185-iNO case with a residence time of 30 s. In Fig. 3b, compared to the case with a residence time of 3 min, the distributions of all condition types (good/risky/bad) of the 30 s residence time case shift toward higher  $r(\text{RO}_2+\text{NO})/r(\text{RO}_2+\text{HO}_2)$ .

Nevertheless, shortening the residence time also removes the period when the condition is better (i.e., less non-tropospheric photolysis), when external OH reactants have been partially consumed and OH suppression due to  $\text{OHR}_{\text{ext}}$  has been reduced later in the residence time. As a result, the fractions of good and risky conditions decrease (Fig. 3b). With the two effects (higher  $r(\text{RO}_2+\text{NO})/r(\text{RO}_2+\text{HO}_2)$  and more significant non-tropospheric photolysis) combined, the fraction of good high-NO conditions increases by a factor of ~3. An even shorter residence time does not result in a larger good high-NO fraction, since the effect of enhancing non-tropospheric photolysis is even more apparent.”

R1.1) The authors have to define “non-tropospheric” photolysis, which shows up as early as in the abstract, but is never properly defined. Is the connotation of stratospheric or mesospheric photolysis intended?

185 and 254 nm photons, the main driver of OH production in OFRs, do not exist in the troposphere. VOC photolysis at these wavelengths can only occur above the troposphere. We thus call it “non-tropospheric”.

We have modified the following sentence in L79 to include a clarification of non-tropospheric photolysis:

“Peng et al. (2016) systematically examined the relative importance of non-OH/non-tropospheric reactants on the fate of VOCs over a wide range of conditions, and provided guidelines for OFR operation to avoid non-tropospheric VOC photolysis, i.e., VOC photolysis at 185 and 254 nm.”

R1.2) Why have the authors chosen the term “risky” for conditions that are not unambiguously good or bad? What is the “risk” that is taken here? It would be helpful to briefly motivate the use of this word around l. 171.

We choose the word “risky” for conditions that are not unambiguously good or bad for experiments with *all* SOA precursors. Under risky conditions, some VOCs may have significant non-tropospheric photolysis while others may not. To further clarify the good/risky/bad conditions, we have modified the text in L172 to read:

“Under good conditions, photolysis of most VOCs has a relative contribution <20% to their fate; under bad conditions, non-tropospheric photolysis is likely to be significant in all OFR experiments, since it can hardly be avoided for oxidation intermediates, even if the precursor(s) does not photolyze at all. Under risky conditions, some species photolyzing slowly and/or reacting with OH rapidly (e.g., alkanes, aldehydes, and most biogenics) still have a relative contribution of photolysis <20% to their fates, while species photolyzing more rapidly and/or reacting with OH more slowly (e.g., aromatics and other highly conjugated species and some saturated carbonyls) will undergo substantial non-tropospheric photolysis. Note that these definitions are slightly different than in Peng et al. (2016).”

R1.3) Fig. 2: What is shown on the x-axis? Please label/explain these cases. This is also relevant in the later discussion, around I. 323.

We believe that the meaning of the typical case labels have been well defined in Table 2. For more clarity, we have modified the following text to better refer readers to that table.

In L150:

**“We explore physical input cases evenly spaced in a logarithmic scale over very wide ranges: H<sub>2</sub>O of 0.07%–2.3%, i.e., relative humidity (RH) of 2–71% at 295 K; 185 nm UV of 1.0x10<sup>11</sup>–1.0x10<sup>14</sup> and 254 nm UV of 4.2x10<sup>13</sup>–8.5x10<sup>15</sup> photons cm<sup>-2</sup> s<sup>-1</sup>; OHR<sub>ext</sub> of 1–16000 s<sup>-1</sup>; O<sub>3,in</sub> of 2.2–70 ppm for OFR254; initial NO mixing ratio (NO<sup>in</sup>) from 10 ppt to 40 ppm. Besides, conditions with OHR<sub>ext</sub>=0 are also explored. UV at 254 nm is estimated from that at 185 nm according to the relationship determined by Li et al. (2015). Several typical cases within this range as well as their corresponding 4 or 2-character labels (e.g., MM0V and HL) are defined in Table 2.”**

In L319:

**“In addition, a low OHR<sub>ext</sub> (generally <50 s<sup>-1</sup>) and a higher H<sub>2</sub>O (the higher the better, although there is no apparent threshold) are also required for good high-NO conditions (Fig. S4), as Peng et al. (2016) pointed out. Risky high-NO conditions often occur between good and bad high-NO conditions, e.g., at lower NO<sup>in</sup> than bad conditions (e.g., Cases ML, MM, HL, and HM, see Table 2 for the typical case label code), at higher OHR<sub>ext</sub> and/or NO<sub>in</sub> than good conditions (e.g., Cases ML and MM), and at lower H<sub>2</sub>O than good conditions (e.g., Case LL).”**

In the caption of Fig. 2 (L756):

**“Figure 2. Relative variances (left axes)/uncertainties (right axes) of several outputs (i.e., NO, NO<sub>3</sub>, and OH exposures) of Monte Carlo uncertainty propagation, and relative contributions of key reactions to these relative variances in several typical cases (denoted in 4-character labels, see Table 2 for the typical case label code) in OFR185-iNO.”**

R1.4) I. 295: You compare NO lifetime to reactor residence time. Should it not be better to compare to e.g. VOC lifetime in the reactor, or generally to total overturn of reactive material? I can imagine a scenario where NO is used up very quickly, but so are all other reactive gases, so that much of the reactor residence time is not used to make (or age) SOA and hence mostly irrelevant anyhow

We do not agree that a situation where “much of the reactor residence time is not used to make (or age) SOA and hence mostly irrelevant anyhow” after NO is used up quickly is likely. Even for

primary VOCs with lifetimes comparable with or shorter than that of NO, their oxidation intermediates/products actually have significant presence for a much longer period than NO lifetime (Nehr et al., 2014; Schwantes et al., 2017). Besides, heterogeneous OA oxidation can be important at high photochemical ages in OFR (Hu et al., 2016), leading to decomposition and revolatilization of particle-phase species. Whether RO<sub>2</sub> generated from these second and later generation species undergo high-NO or low-NO oxidation still matters in OFR chemistry, regardless of NO lifetime. Therefore, we believe that the entire residence time is the appropriate period of interest for the high/low-NO considerations.

In addition, we have investigated a case with much shorter residence time (30 s) to more focus on NO and primary VOC oxidation, as the further oxidation is limited by the short residence time. This case may be seen as closer to the Referee's scenario. However, the fraction of good high-NO conditions in this case is still comparable to that with a residence time of 180 s.

For more clarity, we have added the following sentence at the end of the first paragraph of Section S1 (L94 in SI):

**“The entire residence time is taken into account since there is still significant presence of VOCs after NO and primary VOCs are destroyed. The oxidation intermediates/products of primary VOCs can exist for a much longer period than NO lifetime (Nehr et al., 2014; Schwantes et al., 2017). In addition, heterogeneous OA oxidation can be important at high photochemical ages in OFR (Hu et al., 2016), leading to decomposition and revolatilization of particle-phase species. Thus continuing oxidation processes are very likely to occur during the entire the residence time.”**

R1.5) I. 299: Figure 3 is very complex, yet is doesn't find much introduction. Please expand your discussion of this figure the first time it is referenced in the text

To introduce Fig. 3, we modify the text to L298 to read:

**“Figure 3 shows the fractional occurrence distribution of good/risky/bad conditions in the entire explored condition space over logarithm of  $r(\text{RO}_2+\text{NO})/r(\text{RO}_2+\text{HO}_2)$ , which distinguishes high- and low-NO conditions. In OFR254-iNO,  $t_{\text{NO}}$  is so short that no good high-NO condition is found in the explored range in this study (Fig. 3a).”**

R1.6) I. 40: “on similar timescales”

Corrected as the Referee suggested.

R1.7) I. 41: Is there an “of” missing after “decoupling”? Alternatively: “... to decouple ...”

We have added “of” after “decoupling” and now the sentence reads:

**“Chemical reactors allow for decoupling of these two types of processes.”**

R1.8) l. 72: Please give a unit of exposure. Also relevant e.g. in line 197.

We have specified the units of all key quantities mentioned in this paragraph as well as in L197.

The modified text in L71 reads:

**“Li et al. (2015) and Peng et al. (2015) developed a box model for OFR HO<sub>x</sub> chemistry that predicts measurable quantities [e.g., OH exposure (OH<sub>exp</sub>, in molecules cm<sup>-3</sup> s) and O<sub>3</sub> concentration (abbr. O<sub>3</sub> hereinafter, in ppm)] in good agreement with experiments. This model has been used to characterize HO<sub>x</sub> chemistry as a function of H<sub>2</sub>O mixing ratio (abbr. H<sub>2</sub>O hereinafter, unitless), UV light intensity (abbr. UV hereinafter, in photons cm<sup>-2</sup> s<sup>-1</sup>), and external OH reactivity [in s<sup>-1</sup>,  $\text{OHR}_{\text{ext}} = \sum k_i c_i$ , i.e., the sum of the products of concentrations of externally introduced OH-consuming species ( $c_i$ ) and rate constants of their reactions with OH ( $k_i$ )].”**

And that around L197:

**“We evaluate this issue below by calculating NO effective lifetime ( $\tau_{\text{NO}}$ , in s), defined as NO exposure (NO<sub>exp</sub>, in molecules cm<sup>-3</sup> s) divided by initial NO concentration, under various conditions.”**

R1.9) l. 275: Instead of “similar with those cases” it must read “similar to those cases”.

Corrected as the Referee suggested.

R1.10) l. 394: “Despite its double bond, ethene reacts as slowly with NO<sub>3</sub> as alkanes, likely due to lack of alkyl groups enriching electron density on the C=C bond, which slows NO<sub>3</sub> addition.”  
Why is this relevant here?

In that text we explained why ethene is different from other alkenes. Readers can thus get the message that NO<sub>3</sub> reacts rapidly with species with C=C bond, except ethene. It is not rigorous to draw the conclusion that alkenes react rapidly with NO<sub>3</sub> without mentioning this exception.

R1.11) l. 439-441: This sentence is confusing, the word “process” seems out of place here.

We rewrite the sentence as follows:

**“As a result of  $\text{NO}_{3\text{exp}}/\text{OH}_{\text{exp}} \sim 100$ , only a minor portion of cresol could have undergone OH addition and then H-elimination again. This pathway leads to the formation of methyldihydroxybenzenes and other OH-oxidation products (Atkinson and Arey, 2003).”**

R1.12) l. 444-447: In this sentence, please briefly state again (maybe in parenthesis) which route is which in this example (H-abstraction vs. OH addition) to avoid confusion.

We have stated the pathways in parenthesis and the text in L444 now reads:

**“In summary, the model results suggest that there were two possible routes leading to nitroaromatic formation. However, one of them (recombination of OH-aromatic adducts with  $\text{NO}_2$ ) is likely of little atmospheric relevance due to very high  $\text{NO}_x$  needed, and the other (H-abstraction from cresol) occurs in the atmosphere but is not a major fate of aromatics (Calvert et al., 2002).”**

R1.13) l. 465: “... suppression can as high ...” should read “... suppression can be as high... ”.

Corrected as the Referee suggested.

R1.14) l. 477: “most hot stabilized period”. Is there a word missing here?

The corrected sentence reads:

**“A dilution by a factor of 12, as actually used by Karjalainen et al. (2016), appears to be sufficient to bring most of the hot stabilized period under good conditions (Fig. S9).”**

R1.15) Fig. S1: please use consistent colors for chemical species.

Having double-checked, we think that colors for species in Fig. S1 are consistent. In all panels of Fig. S1, all the species concentrations (or concentration ratio) have one-to-one correspondence with line styles/colors.

## Anonymous Referee #2

The current paper explores a chemical space extended to consider high NO concentrations within an OFR. Such a contribution, whilst of limited interest outside the immediate field, should be of considerable worth to users of such devices, particularly those looking to explore the emissions from high temperature combustion sources. However, to some degree, the paper is missing the same point that many previous theoretical characterisations of the devices also miss. The chemical space is just one element influencing the performance and atmospheric relevance of all PAM-type reactors (and the gas phase chemical space, just a subset of this). It is for this reason that I would hope that the current study is envisaged as one of a series of papers that will be extended to the dynamical, physical and condensed-phase chemical considerations. I will return to this below.

Having said this, within the stated scope, this paper carries a large amount of good new work that will make it worthy of publication in ACP. The chemical modelling appears appropriate with most of the necessary rate constants relatively well-constrained. This allows the characterisation of "good", "risky" and "bad" conditions under both 185 and 254 nm photolysis, though with the same caveats to the earlier work relating to uncertainties in the photolysis cross-sections and product yields of all possible VOCs (particularly when considering complex mixtures as in combustion emissions). In general, I am in agreement with the other referee that the gas phase chemical modelling alone warrants publication in ACP, but would invite the authors to address two main points to establish the validity of the approach and one point relating to the contextualisation of their study.

R2.1) Validity of the plug flow assumption: in section 3.1.3 it is stated that the uncertainties relating to kinetic parameters are relatively low compared to other factors including the plug flow assumption, referring to Peng et al., 2015. It would be useful for the authors to discuss whether the relative kinetic vs dynamic uncertainties under the high NO<sub>x</sub> conditions are of a comparable magnitude to those under low NO<sub>x</sub> conditions. There have been plenty of studies of axial and radial gradients in flow reactors, so some justification of the highly simplified modelling approach would be appropriate, given the biggest uncertainties are explicitly stated as being related to this assumption.

We have investigated the impacts of a residence time distribution (RTD) measured by Lambe et al. (2011). Under most conditions, the difference between OH<sub>exp</sub> from the plug-flow and RTD models is relatively small (within a factor of 3), while at high UV, OH<sub>R<sub>ext</sub></sub>, and NO<sup>in</sup>, the difference can be larger. All main conclusions in this paper still hold after the discussions about the RTD impacts are included.

We have added Section 3.3 for discussion of RTD effects:

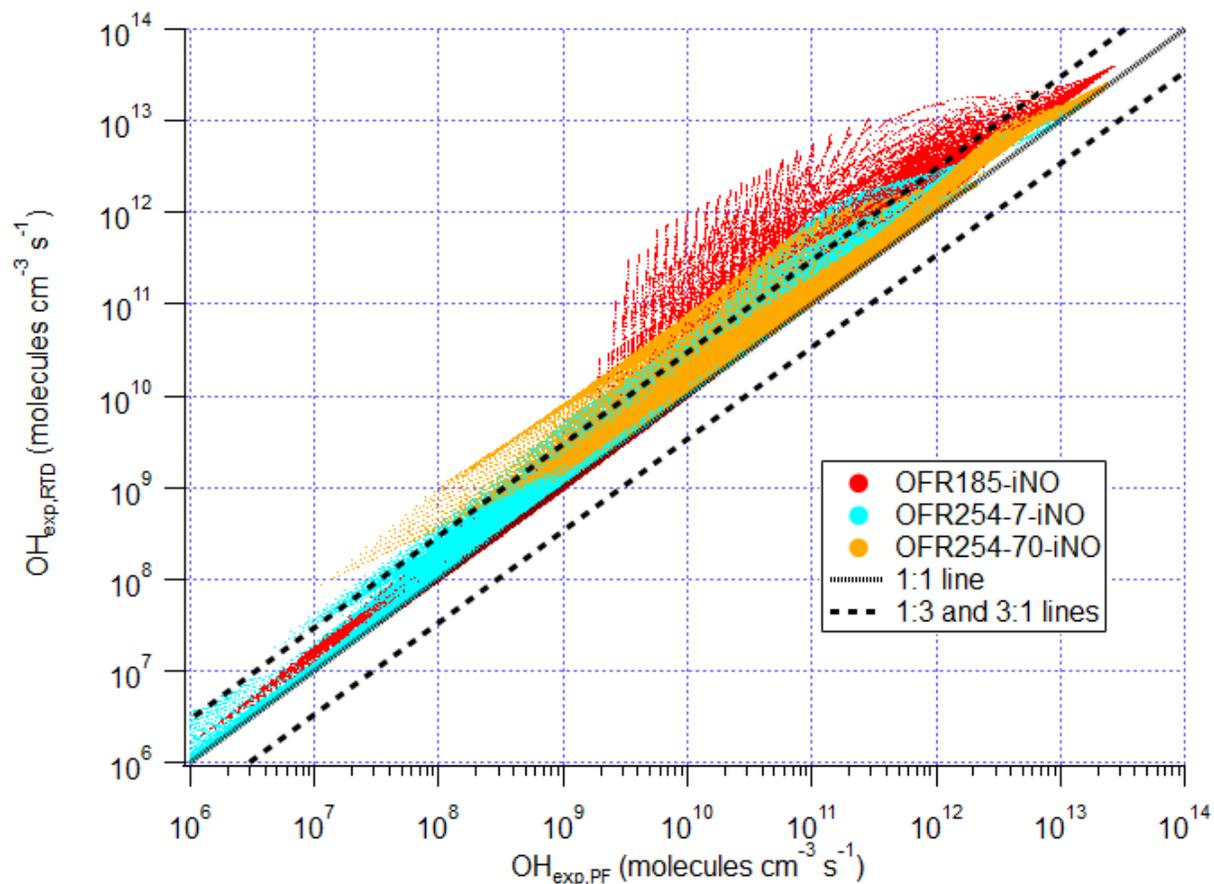
### **“3.3 Effect of non-plug flow**

We performed model runs where the only change with respect to our box model introduced in Section 2.2 is that the plug-flow assumption is replaced by the residence time distribution (RTD) measured by Lambe et al. (2011) (also see Fig. S8 of Peng et al. (2015)). The chemistry of different air parcels with different residence times is simulated by our box model and outputs are averaged over the RTD. Lateral diffusion between different air parcels is neglected in these simulations.

$OH_{exp}$  calculated from the model with RTD ( $OH_{exp,RTD}$ ) is higher than that calculated from the plug-flow model ( $OH_{exp,PF}$ ) in both OFR185-iNO and OFR254-iNO (Table 4 and Fig. S6). Under most explored conditions deviations are relatively small, which leads to an overall positive deviation of  $OH_{exp,RTD}$  from  $OH_{exp,PF}$  by  $\sim x2$  (within the uncertainties of the model and its application to real experimental systems). For OFR185-iNO, most conditions ( $\sim 90\%$ ) in the explored space lead to  $<x3$  differences between  $OH_{exp,PF}$  and  $OH_{exp,RTD}$ , while for a small fraction of cases the differences can be larger (Fig. S6). The larger deviations are mainly present at high UV,  $OHR_{ext}$ , and  $NO^{in}$ , where conditions are generally “bad” and in which experiments are of little atmospheric relevance. Under these specific conditions, external OH reactants and  $NO_y$  can be substantially destroyed for the air parcels with residence times longer than the average, while this is not the case for the average residence time. This feature was already described by Peng et al. (2015) (see Fig. S10 of that study). Although only non- $NO_y$  external OH reactants were considered in that study, the results are the same. In the present study, a higher upper limit of the explored  $OHR_{ext}$  range (compared to Peng et al., 2015, due to trying to simulate extremely high  $OHR_{ext}$  used in some recent literature studies) large amounts of  $NO_y$  and cause somewhat larger deviations. In OFR254-iNO, OH is less suppressed at high  $OHR_{ext}$  and  $NO^{in}$  than in OFR185-iNO because of high  $O_3$  (Peng et al., 2015),  $OH_{exp,RTD}$  deviations from  $OH_{exp,PF}$  are also smaller (Table 4).

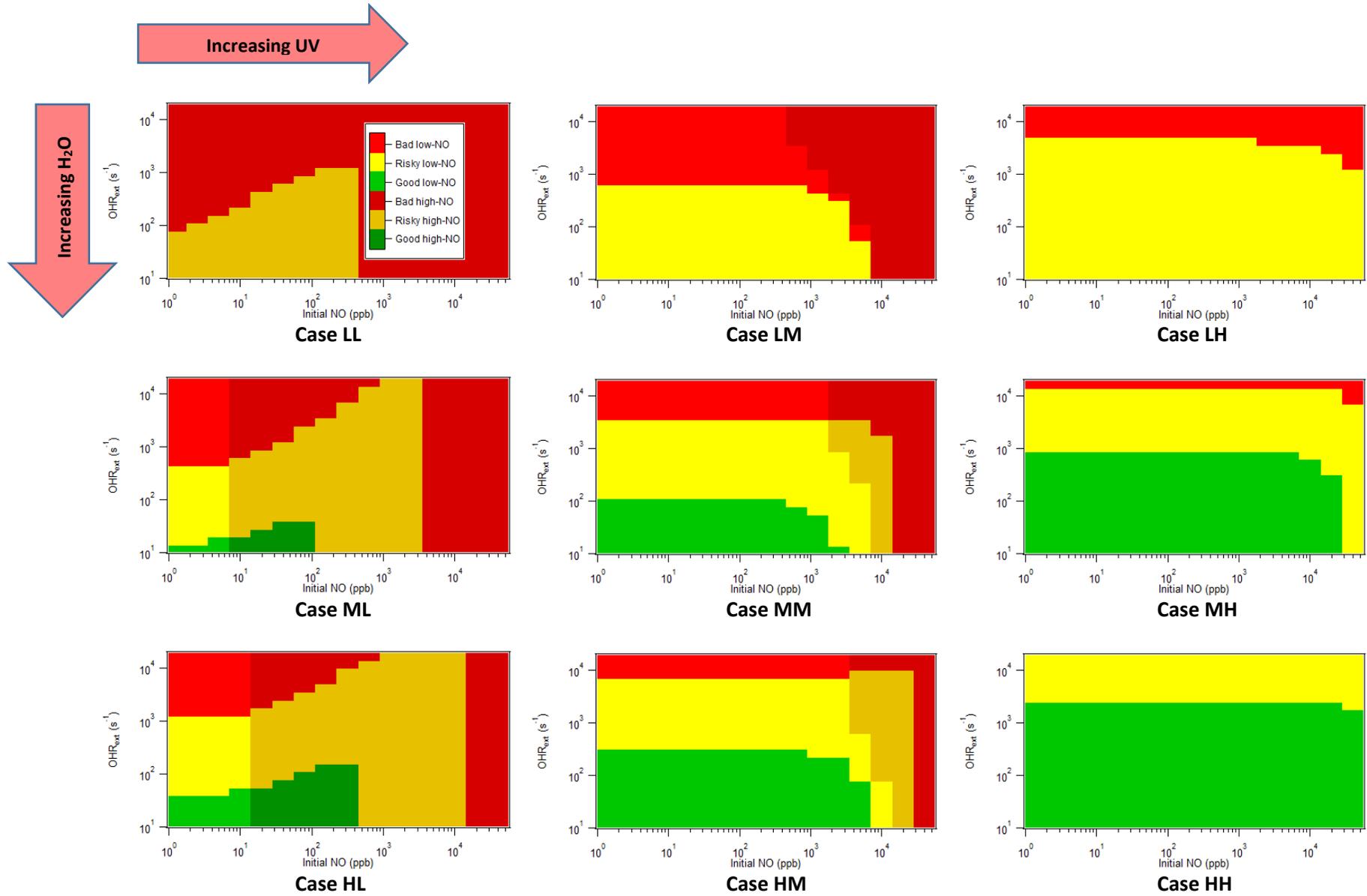
Table 4. Statistics of the ratio between OH exposures calculated in the model with the Lambe et al. (2011) residence time distribution ( $OH_{exp,RTD}$ ) and in the plug-flow model ( $OH_{exp,PF}$ ). The geometric mean, uncertainty factor (geometric standard deviation), and percentage of outlier cases ( $>3$  or  $<1/3$ ) are shown for OFR185-iNO, OFR254-70-iNO, and OFR254-7-iNO.

	Geometric mean	Uncertainty factor	Outlier cases (%)
OFR185-iNO	1.91	1.64	11
OFR254-7-iNO	1.59	1.51	7
OFR254-70-iNO	1.48	1.29	3

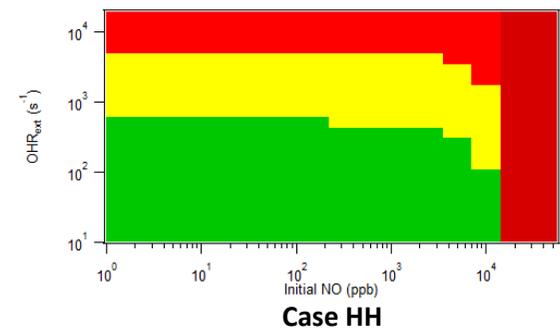
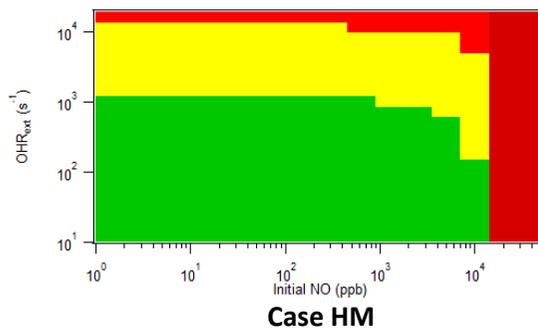
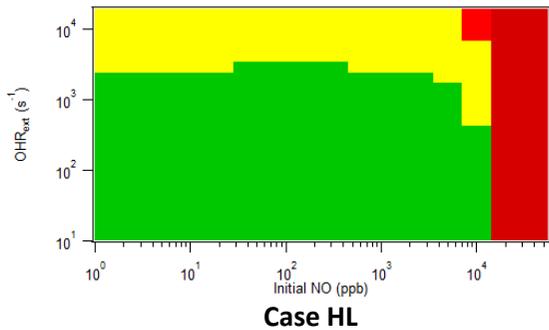
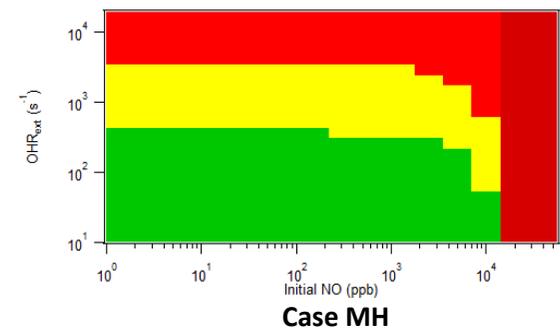
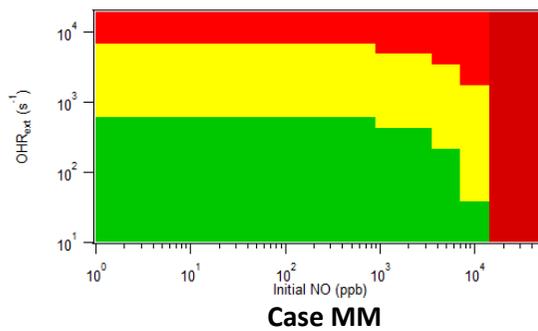
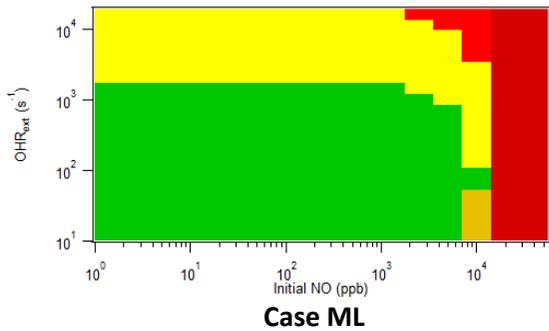
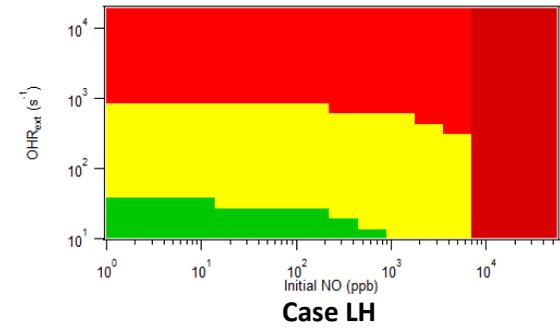
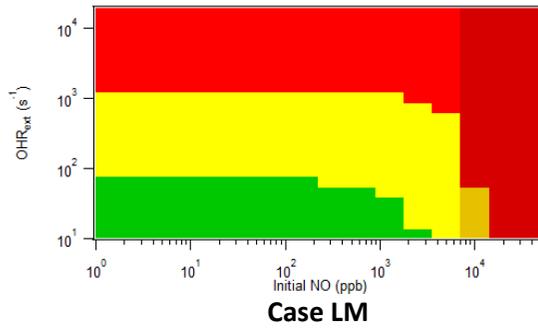
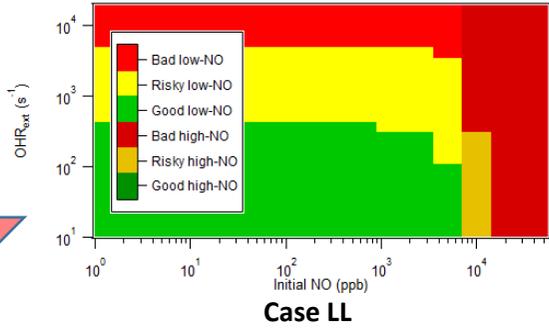
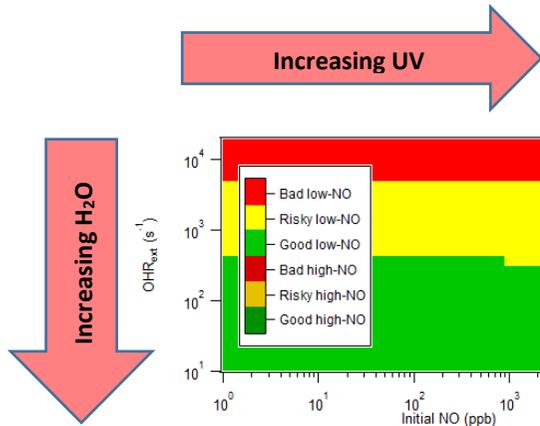


**Figure S6.** Scatter plot of OH exposure calculated in the model with the Lambe et al. (2011) residence time distribution ( $\text{OH}_{\text{exp,RTD}}$ ) vs. that calculated in the plug-flow model ( $\text{OH}_{\text{exp,PF}}$ ) for OFR185-iNO, OFR254-7-iNO, and OFR254-70-iNO. 1:1, 1:3, and 3:1 lines are also shown for comparison.

Based on the outputs of the model with RTD, similar mapping of the physical input space as Figs. 4 and 5 can be done (Figs. S7 and S8). Overall, the mapping of the RTD model results is very similar with that of the plug-flow model. The conditions appear to be only slightly better in a few places of the explored space than those from the plug-flow model, which can be easily explained by the discussions above. Besides, the mapping in Figs. S7 and S8 also appear to be slightly more low-NO, for the same reasons discussed above. After NO is destroyed at long residence times,  $\text{HO}_2$ , suppressed by NO, also recovers as OH.  $r(\text{RO}_2+\text{NO})/r(\text{RO}_2+\text{HO}_2)$  is obviously expected to be smaller than in the plug-flow model in general.



**Figure S7. Same format as Fig. 4, but for the OFR185-iNO results obtained by the model with the Lambe et al. (2011) residence time distribution.**



**Figure S8. Same format as Fig. 5, but for the OFR254-22-iNO results obtained by the model with the Lambe et al. (2011) residence time distribution.**

Note that most conditions that appear to be better in the RTD model results are already identified as bad by the plug-flow model. Those conditions look slightly better only because of their better  $RTD\text{-averaged } F_{185_{\text{exp}}}/OH_{\text{exp}}$  and  $F_{254_{\text{exp}}}/OH_{\text{exp}}$ . However, each of those cases is actually composed of both a better part at longer residence times and also a worse part at shorter residence times. Under those conditions, the reactor simultaneously works in two distinct regimes, one of which is bad due to heavy OH suppression. Such conditions are obviously not desirable for OFR operation.”

R2.2) Validity of separating the numerical treatment of gas phase and particle phase processes: there is no statement of any of the uncertainty in gas phase chemistry being attributable to multiphase processes. I find this rather curious, since the primary focus of most PAM chamber studies relates to particulate mass. Both radical and closed shell species may interact substantially with the particle phase. All the particulate material in SOA particles is, by definition, formed from the vapour phase. If the flow regime is anything near plug flow, then the particle number, condensation sink, mass and composition of the particulate will evolve with the gas phase species and hence mass transfer (in both directions, where there is oxidative fragmentation and functionalisation) will be changing temporally and spatially inside the reactor. There really should be some discussion of the potential impacts of these processes in the paper.

We believe that separation of gas-phase and particle phase processes can only have minor impacts on both gas-phase and particle-phase chemistries in OFR and is thus a valid approximation.

We have modified the text to L144 to provide some discussion of this issue:

“As in Peng et al. (2015, 2016),  $SO_2$  is used as a surrogate of external OH reactants (e.g., VOCs).  $NO_y$  species, although also external OH reactants, are explicitly treated in the model and *not* counted in  $OHR_{\text{ext}}$  in this work. Therefore,  $OHR_{\text{ext}}$  stands for *non- $NO_y$*   $OHR_{\text{ext}}$  only hereinafter, unless otherwise stated. Also, particle-phase processes and interactions of gas-phase species with particles are not considered in this study. We have made this assumption because:

- i) The presence of aerosols has typically negligible impacts on the gas-phase chemistry. Condensational sink (CS) of ambient aerosols can rarely exceed  $1 \text{ s}^{-1}$  even in polluted areas and is usually 1-3 orders of magnitude lower (Donahue et al., 2016; Palm et al., 2016). Thus, even under the assumption of unity uptake coefficient, CS cannot compete with  $OHR_{\text{ext}}$  (usually on the order of  $10 \text{ s}^{-1}$ ) in OH loss. Uptake of NO onto aerosols only occurs through the reaction with  $RO_2$  on particle surface (Richards-Henderson et al., 2015), which is formed very slowly (see below) compared to gas-phase  $HO_x$  and  $NO_x$  chemistry. Uptake of  $HO_2$ ,  $O_3$ ,  $NO_3$  etc. is even more unlikely to be efficient (Moise and Rudich, 2002; Moise et al., 2002; Hearn and Smith, 2004; Lakey et al., 2015).

- ii) On the other hand, gas-phase species have only limited impacts on OA. Heterogeneous oxidation of OA by OH is generally slow. Significant OA loss due to heterogeneous oxidation can only be seen at photochemical ages as high as weeks (Hu et al., 2016). The enhancement of heterogeneous oxidation due to NO is remarkable only at OH concentration close to the ambient values but not at typical values in OFR (Richards-Henderson et al., 2015).

It is an important approximation that the *real*  $OHR_{ext}$  decay (due to not only primary VOC oxidation and subsequent oxidation, but also wall loss, partitioning to the particle phase, reactive uptake etc.) is surrogated by that of  $SO_2$ . Gas-phase measurements in literature laboratory studies revealed that there is a large variability of total  $OHR_{ext}$  during (subsequent) oxidation of VOCs, depending on the type of precursors (Nehr et al., 2014; Schwantes et al., 2017). This variability is obviously mainly due to the evolution of different types of oxidation intermediates/products contributing to  $OHR_{ext}$ , but not due to changes in CS, wall conditions etc. Also this variability is difficult to accurately capture even if modeling with a mechanism as explicit as MCM is performed (Schwantes et al., 2017). It is thus justified to use a lumped surrogate to model the  $OHR_{ext}$  decay for simplicity and efficiency. The uncertainties introduced by this approximation include those due to both the types of oxidation intermediates/products and all interactions of VOCs with aerosols, walls etc. And the uncertainties due to the former dominate over those due to the latter.”

R2.3) My final point relates to the context of the study. If it is not envisaged that this second paper on the chemical characterisation of OFRs is to eventually be accompanied by a numerical study of the multiphase processes, then I think the paper requires quite a bit more contextualisation. The root of the missing material relates to the competition between processes (nucleation, condensation, evaporation, coagulation, condensed phase reaction) alluded to in point ii) above and relating to aerosol dynamical evolution that are highly dependent on the magnitudes of different moments of the aerosol distribution. Extrapolation to concentration regimes other than the dilutions under the operating conditions of the OFR is simply not possible without the adoption of substantial questionable assumptions or use of a highly complex model which has yet to be described. The current paper implicitly aims to limit its scope to gas phase oxidation of VOCs in the OFR, but this is seldom the purpose to which they are put. Indeed, the limited context for OFR studies explicitly points to their use for "...secondary organic aerosol (SOA) formation and aging [studies], in both the laboratory and the field", because of the perceived advantage of elevated oxidant levels. None of the disadvantages that are related directly to the inappropriate extrapolation of all the multiphase processes of relevance to SOA formation and transformation are mentioned. This requires significant rebalancing, ideally quantitatively in a further detailed publication but at least qualitatively in the introduction of the current paper.

First of all, a reactor such as an OFR is complex and can involve gas, heterogeneous, particle-phase chemistry, gas-particle partitioning thermodynamics and kinetics, size distribution dynamics, three-dimensional flow fields and UV light distributions, different wall materials, and small temperature non-uniformities in some cases. In addition, an OFR can be used in a multitude

of configurations and input conditions. It is impossible to investigate all the processes in a single paper, especially when some of the processes (e.g. the impact of high initial NO in the gas-phase chemistry in OFRs) had never been investigated before. Our approach has been to tackle important parts of the overall phase space in individual papers. In particular we are focusing on the gas-phase chemistry in several of our papers because (1) there seems to be limited understanding of it in the OFR community, (2) at least some literature studies may have been conducted under conditions far from atmospheric relevance; and (3) once this chemistry is understood, there are relatively easy and practical ways to plan experiments to avoid major problems, and to quantify the relative effects of different processes. We are working on additional manuscripts and we hope to continue to be active in this area, but overall OFR modeling is a subfield in itself, and our group cannot be expected to address every single possible topic. Even for environmental chambers, which have been around for over 6 decades, very few modeling publications consider the gas and particle chemistry and size distribution dynamics simultaneously.

Importantly, we would like to let the Referee know that we are currently collaborating with the group of Jeffrey Pierce at Colorado State University on detailed aerosol dynamics modeling in OFR, including nucleation, condensation, and coagulation, as well as heterogeneous chemistry, and our collaborators have already presented some preliminary results (Hodshire et al., 2017).

As stated in Hu et al. (2016), “the OFR does not accelerate processes such as aerosol uptake and reactions that do not scale with OH”. This feature of OFR is rather straightforward. None of aerosol dynamical processes except the uptake of species with elevated concentrations (OH, HO<sub>2</sub> etc.) relative to those in the atmosphere are enhanced in OFR. The short residence times and high LVOC production rates may prevent SOA growth from reaching equilibrium (Palm et al., 2016; Ahlberg et al., 2017). Also, common particle-phase chemical reactions (e.g., carbonyl-amine browning (Haan et al., 2009) and cyclic hemiacetal formation and dehydration (Strollo and Ziemann, 2013)) do not involve OH and are not accelerated in OFR. Heterogeneous OA oxidation by OH is accelerated but its main pathways are identical to those in the gas phase (Houle et al., 2015; Richards-Henderson et al., 2015) and is not as important as the gas-phase radical chemistry in terms of species production and consumption amounts (see response to R2.2). Therefore, we had not intended to limit the scope of this paper within the gas phase. As the title of this paper reads, we focus on OFR chemistry with NO, but for the reasons above, a gas-phase model is sufficient to investigate the main features of this chemistry.

Most importantly, “atmospheric relevance” in this paper does not refer to a perfect reproduction of all processes of interest in the atmosphere, as none of the reactors used for atmospheric chemistry and aerosol research can achieve this. We aim to understand the chemistry in the reactor to enable us and others to avoid the processes that do not occur in the atmosphere, and to understand the deviations in the relative importance of the processes that do occur. In OFR, aerosol dynamics may be relatively slower, compared to accelerated reactions with OH, even though both occur in both OFR and the atmosphere. Specific input conditions and/or measures of intervention may be adopted to modify and/or investigate such issues. For instance, pure sulfuric acid particles may be used to enhance the reactive uptake of IEPOX (Hu et al., 2016); or

seed particles may be injected to avoid over-oxidation of LVOCs in the gas phase before their condensation onto particles (Palm et al., 2016).

In summary, we believe that the scope of this paper and the use of a gas-phase model in this paper are appropriate, and a detailed investigation of particle-related processes, which is ongoing, will result in a future paper.

R2.4) Related to the above, the previous findings of nitrogen being incorporated into SOA are very tricky to interpret. The recommendations for operation are made from the perspective of gas phase oxidation to ensure that the gas phase product distribution is not anomalous. Incorporation of the nitrogenous species into particles will be subject to multiphase processes leading to net mass transfer between the phases. The mass transfer rate will be proportional to not only the difference between the gaseous concentration and the equilibrium concentration above the particle, but also to the condensation sink provided by the particles. Extrapolation to the amount of a component or class of components in the SOA (e.g. nitrogen-containing ones) to ambient conditions should not only consider the equivalent oxidant dose and gas phase chemical regime, but also aim to establish some equivalence in terms of the mass transfer between phases.

To our knowledge, Liu et al. (2015) is the only published OFR study reporting the incorporation of nitrogen into SOA. Their interpretation of this observation did *not* involve multiphase *chemical* processes. They interpreted their nitrogen-containing compounds observed in SOA as organic nitrates formed by  $\text{RO}_2 + \text{NO}$  and nitroaromatics formed by reactions of phenoxy with  $\text{NO}_2$ . Both pathways have been extensively discussed in our paper. In addition, we have found by modeling that under similar conditions with theirs, recombination of OH-aromatic adducts with  $\text{NO}_2$  can be faster than that with  $\text{O}_2$ . Since OH-aromatic adducts can be the products of the very first step of aromatic (SOA precursors in that study) oxidation, nitroaromatic formation via this pathway may be substantial (see Section 3.3.3 in the ACPD paper). All abovementioned pathways are gas-phase reactions. The products may undergo further oxidation till their volatilities are sufficiently low to condense onto aerosols.

Although it cannot be ruled out, nitrogen incorporation due to reactive uptake of NO leading to organic nitrates formation in the particle phase was found to be negligible (Richards-Henderson et al., 2015). Therefore we do not agree with the Referee that complex multiphase mass transfer considerations are necessary to interpret nitrogen incorporation into OA, at least from current experimental reports.

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1 **Modeling of the chemistry in oxidation flow reactors with high initial NO**

2 Zhe Peng and Jose L. Jimenez

3 Cooperative Institute for Research in Environmental Sciences and Department of Chemistry, University of  
4 Colorado, Boulder, CO 80309, USA

5 Correspondence to: J.L. Jimenez (jose.jimenez@colorado.edu)

6

7 **Abstract.** Oxidation flow reactors (OFRs) are increasingly employed in atmospheric chemistry research  
8 because of their high efficiency of OH radical production from low-pressure Hg lamp emissions at both  
9 185 and 254 nm (OFR185) or 254 nm only (OFR254). OFRs have been thought to be limited to studying  
10 low-NO chemistry (where peroxy radicals ( $\text{RO}_2$ ) react preferentially with  $\text{HO}_2$ ) because NO is very rapidly  
11 oxidized by the high concentrations of  $\text{O}_3$ ,  $\text{HO}_2$ , and OH in OFRs. However, many groups are performing  
12 experiments aging combustion exhaust with high NO levels, or adding NO in the hopes of simulating  
13 high-NO chemistry (where  $\text{RO}_2 + \text{NO}$  dominates). This work systematically explores the chemistry in  
14 OFRs with high initial NO. Using box modeling, we investigate the interconversion of N-containing  
15 species and the uncertainties due to kinetic parameters. Simple initial injection of NO in OFR185  
16 can result in more  $\text{RO}_2$  reacted with NO than with  $\text{HO}_2$  and minor non-tropospheric photolysis, but only  
17 under a very narrow set of conditions (high water mixing ratio, low UV intensity, low external OH  
18 reactivity ( $\text{OHR}_{\text{ext}}$ ), and initial NO concentration ( $\text{NO}^{\text{in}}$ ) of tens to hundreds of ppb) that account for a  
19 very small fraction of the input parameter space. These conditions are generally far away from  
20 experimental conditions of published OFR studies with high initial NO. In particular, studies of aerosol  
21 formation from vehicle emissions in OFR often used  $\text{OHR}_{\text{ext}}$  and  $\text{NO}^{\text{in}}$  several orders of magnitude higher.  
22 Due to extremely high  $\text{OHR}_{\text{ext}}$  and  $\text{NO}^{\text{in}}$ , some studies may have resulted in substantial non-tropospheric  
23 photolysis, strong delay to  $\text{RO}_2$  chemistry due to peroxyxynitrate formation, VOC reactions with  $\text{NO}_3$   
24 dominating over those with OH, and faster reactions of OH-aromatic adducts with  $\text{NO}_2$  than those with  
25  $\text{O}_2$ , all of which are irrelevant to ambient VOC photooxidation chemistry. Some of the negative effects  
26 are worst for alkene and aromatic precursors. To avoid undesired chemistry, vehicle emissions generally  
27 need to be diluted by a factor of  $>100$  before being injected into OFR. However, sufficiently diluted  
28 vehicle emissions generally do not lead to high-NO chemistry in OFR, but are rather dominated by the  
29 low-NO  $\text{RO}_2+\text{HO}_2$  pathway. To ensure high-NO conditions without substantial atmospherically irrelevant  
30 chemistry in a more controlled fashion, new techniques are needed.

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## 36 1 Introduction

37 The oxidation of gases that are emitted into the atmosphere, in particular volatile organic  
38 compounds (VOCs), is one of the most important atmospheric chemistry processes (Haagen-Smit, 1952;  
39 Chameides et al., 1988). VOC oxidation is closely related to radical production and consumption (Levy  
40 II, 1971), O<sub>3</sub> production, and formation of secondary aerosols (Odum et al., 1996; Hoffmann et al., 1997;  
41 Volkamer et al., 2006; Hallquist et al., 2009), which have impacts on air quality and climate (Lippmann,  
42 1991; Nel, 2005; Stocker et al., 2014).

43 Chemical reactors are critical tools for research of VOC oxidation. Oxidation reactions of interest  
44 often have typical timescales of hours to weeks. Studying these processes in ambient air can be  
45 confounded by dispersion and changes in ambient conditions, which often occur on similar timescales.

46 Chemical reactors allow for decoupling of these two types of processes. Also, they should be able to  
47 simulate the different regimes of reactions occurring in the atmosphere, e.g., VOC oxidation under low  
48 and high-NO conditions (peroxy radical fate dominated by reaction with HO<sub>2</sub> or with NO) representing  
49 remote and urban areas, respectively (Orlando and Tyndall, 2012).

50 Large environmental chambers are a commonly used reactor type (Carter et al., 2005; Wang et al.,  
51 2011). They typically employ actinic wavelength (>300 nm) light sources (e.g., outdoor solar radiation  
52 and UV blacklights) to produce oxidants and radicals and have large volumes (on the order of several  
53 cubic meters or larger). However, the capability of generating sustained elevated levels of OH, the most  
54 important tropospheric oxidant, is usually limited in chambers, resulting in OH concentrations similar  
55 to those in the atmosphere (10<sup>6</sup>–10<sup>7</sup> molecules cm<sup>-3</sup>; Mao et al., 2009; Ng et al., 2010), and consequently,  
56 long simulation times (typically hours) to reach OH equivalent ages of atmospheric relevance (George  
57 et al., 2007; Kang et al., 2007; Carlton et al., 2009; Seakins, 2010; Wang et al., 2011). The partitioning of  
58 gases and aerosols to chamber walls (usually made of Teflon) in timescales of tens of minutes to hours  
59 makes it difficult to conduct very long experiments that simulate high atmospherically-relevant  
60 photochemical ages (Cocker et al., 2001; Matsunaga and Ziemann, 2010; Zhang et al., 2014; Krechmer  
61 et al., 2016). In addition, the long simulation times and large size of chambers and auxiliary equipment  
62 are logistically difficult for field deployment, and their cost limits the number of laboratories equipped  
63 with them.

64 Given the limitations of environmental chambers, a growing number of experimenters have  
65 instead employed oxidation flow reactors (OFRs). OFRs have a much smaller size (of the order of 10 L),  
66 efficiently generate OH via photolysis of H<sub>2</sub>O and/or O<sub>3</sub> by more energetic 185 and 254 nm photons  
67 from low-pressure Hg lamps, and overcome the abovementioned shortcomings of chambers due to a  
68 much shorter residence time (George et al., 2007; Kang et al., 2007, 2011; Lambe et al., 2011). Moreover,  
69 OFRs are able to rapidly explore a wide range of OH equivalent ages within a short period (~2 hr), during  
70 which significant changes of ambient conditions can usually be avoided in the case of field deployment  
71 (Ortega et al., 2016; Palm et al., 2016, 2017). Because of these advantages, OFRs have recently been  
72 widely used to study atmospheric chemistry, in particular secondary organic aerosol (SOA) formation  
73 and aging, in both the laboratory and the field (Kang et al., 2011; Li et al., 2013; Ortega et al., 2013,

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75 2016; Tkacik et al., 2014; Palm et al., 2016).

76 In addition to experimental studies using OFRs, there has also been some progress in the  
77 characterization of OFR chemistry by modeling. Li et al. (2015) and Peng et al. (2015) developed a box  
78 model for OFR HO<sub>x</sub> chemistry that predicts measurable quantities [e.g., OH exposure (OH<sub>exp</sub>, in  
79 molecules cm<sup>-3</sup> s) and O<sub>3</sub> concentration (abbr. O<sub>3</sub> hereinafter, in ppm)] in good agreement with  
80 experiments. This model has been used to characterize HO<sub>x</sub> chemistry as a function of H<sub>2</sub>O mixing ratio  
81 (abbr. H<sub>2</sub>O hereinafter, unitless), UV light intensity (abbr. UV hereinafter, in photons cm<sup>-2</sup> s<sup>-1</sup>), and  
82 external OH reactivity [in s<sup>-1</sup>, OHR<sub>ext</sub> = ∑ k<sub>i</sub>C<sub>i</sub>, i.e., the sum of the products of concentrations of externally  
83 introduced OH-consuming species (C<sub>i</sub>) and rate constants of their reactions with OH (k<sub>i</sub>)]. Based on this  
84 characterization, Peng et al. (2015) found that OH suppression, i.e., reduction of OH concentration  
85 caused by OHR<sub>ext</sub>, is a common feature under many typical OFR operation conditions. Peng et al. (2016)  
86 systematically examined the relative importance of non-OH/non-tropospheric reactants on the fate of  
87 VOCs over a wide range of conditions, and provided guidelines for OFR operation to avoid non-  
88 tropospheric VOC photolysis, i.e., VOC photolysis at 185 and 254 nm.

89 In previous OFR modeling studies, NO<sub>x</sub> chemistry was not investigated in detail, since in such in  
90 typical OFR experiments with large amounts of oxidants (e.g., OH, HO<sub>2</sub>, and O<sub>3</sub>), NO would be very  
91 rapidly oxidized and thus unable to compete with HO<sub>2</sub> for reaction with peroxy radicals (RO<sub>2</sub>). Li et al.  
92 (2015) estimated an NO (NO<sub>2</sub>) lifetime of ~0.5 (~1.5) s under a typical OFR condition. From these  
93 estimates, OFRs processing ambient air or laboratory air without large addition of NO<sub>x</sub> were assumed  
94 to be not suitable for studying oxidation mechanisms relevant to polluted conditions under higher NO  
95 concentrations. OFRs have recently been used to conduct laboratory experiments with very high initial  
96 NO<sub>x</sub> levels (Liu et al., 2015) and deployed to an urban tunnel, where NO<sub>x</sub> was high enough to be a major  
97 OH reactant (Tkacik et al., 2014). The former study reported evidence for the incorporation of nitrogen  
98 into SOA. Besides, OFRs have been increasingly employed to process emissions of vehicles, biomass  
99 burning, and other combustion sources (Table 1), where NO can often be hundreds of ppm (Ortega et  
100 al., 2013; Martinsson et al., 2015; Karjalainen et al., 2016; Link et al., 2016; Schill et al., 2016; Alanen et  
101 al., 2017; Simonen et al., 2017). It can be expected that such a high NO input together with very high  
102 VOC concentrations would cause a substantial deviation from good OFR operation conditions identified  
103 in Peng et al. (2016). Very recently, N<sub>2</sub>O injection has been proposed by Lambe et al. (2017) as a way to  
104 study oxidation of VOCs under high NO conditions in OFR. As more OFR studies at high NO<sub>x</sub> level are  
105 conducted, there is growing need to understand the chemistry of N-containing species in OFRs and  
106 whether it proceeds along atmospherically-relevant channels.

107 In this study, we present the first comprehensive model of OFR NO<sub>y</sub> chemistry. We extend the  
108 model of Li et al. (2015) and Peng et al. (2015) by including a scheme for NO<sub>y</sub> species. Then this model  
109 is used to investigate i) if an OFR with initial NO injection results in NO significantly reacting with RO<sub>2</sub>  
110 under any conditions, ii) if previously published OFR experiments with high initial NO concentrations  
111 led to RO<sub>2</sub>+NO being dominant in VOC oxidation without negative side effects (e.g., non-tropospheric  
112 reactions), iii) how to avoid undesired chemistry in future studies. The results can provide insights into

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115 the design and interpretation of future OH-oxidation OFR experiments with large amounts of NO<sub>x</sub>  
116 injection.

## 117 **2 Methods**

118 The physical design of the OFR modeled in the present work, the chemical kinetics box model, and  
119 the method of propagating and analyzing the parametric uncertainties on the model have already been  
120 introduced previously (Kang et al., 2007; Li et al., 2015; Peng et al., 2015). We only provide brief  
121 descriptions for them below.

### 122 **2.1 Potential Aerosol Mass flow reactor**

123 The OFR modeled in this study is the “Potential Aerosol Mass” (PAM) flow reactor, firstly  
124 introduced by Kang et al. (2007). The PAM OFR is a cylindrical vessel with a volume of ~13 L, equipped  
125 with low-pressure Hg lamps (model no. 82-9304-03, BHK Inc.) to generate 185 and 254 nm UV light.  
126 This popular design is being used by many atmospheric chemistry research groups, particularly those  
127 studying SOA (Lambe and Jimenez, 2017 and references therein). When the lamps are mounted inside  
128 Teflon sleeves, photons at both wavelengths are transmitted and contribute to OH production (“OFR185  
129 mode”). In OFR185, H<sub>2</sub>O photolyzed at 185 nm produces OH and HO<sub>2</sub>, while O<sub>2</sub> photolyzed at the same  
130 wavelengths results in O<sub>3</sub> formation. O(<sup>1</sup>D) is produced via O<sub>3</sub> photolysis at 254 nm and generates  
131 additional OH through its reaction with H<sub>2</sub>O. 185 nm lamp emissions can be filtered by mounting the  
132 lamps inside quartz sleeves, leaving only 254 nm photons to produce OH (“OFR254 mode”). In this mode,  
133 injection of externally formed O<sub>3</sub> is necessary to ensure OH production. As the amount of O<sub>3</sub> injected is  
134 a key parameter under some conditions (Peng et al., 2015), we adopt the notation OFR254-X to denote  
135 OFR254 experiments with X ppm initial O<sub>3</sub> (O<sub>3,in</sub>). In this study, we investigate OFR experiments with NO  
136 injected and thus utilize “OFR185-iNO” to describe the OFR185 mode of operation with initially (at the  
137 reactor entrance) injected NO. The same terminology is used for the OFR254 mode. For instance, the  
138 initial NO injection into OFR254-7 is denoted as OFR254-7-iNO.

### 139 **2.2 Model description**

140 The basic framework of the box model used in this study, a standard chemical kinetics model, is  
141 the same as in Peng et al. (2015). Plug flow is assumed in the model, since approximately taking  
142 residence time distribution into account leads to similar results under most conditions but at much  
143 higher computational expense (Peng et al., 2015). In addition to the reactions in the model of Peng et  
144 al. (2015), including all HO<sub>x</sub> reactions available in the JPL Chemical Kinetic Data Evaluation (Sander et al.,  
145 2011), all gas-phase NO<sub>y</sub> reactions available in the JPL database except those of organic nitrates and  
146 peroxy nitrates are also considered in the current reaction scheme. An updated JPL evaluation was  
147 published recently (Burkholder et al., 2015), with slightly different (~20%) rate constants for  
148 NO<sub>2</sub>+HO<sub>2</sub>+M→HO<sub>2</sub>NO<sub>2</sub>+M and NO<sub>2</sub>+NO<sub>3</sub>→N<sub>2</sub>O<sub>5</sub>. The updated rate constants only result in changes of  
149 ~10–20% of the concentrations of the species directly consumed/produced by these reactions. These  
150 changes are smaller than the parametric uncertainties of the model (see Section 3.1.3). For other  
151 species, concentration changes are negligible. HO<sub>2</sub>NO<sub>2</sub>+M→HO<sub>2</sub>+NO<sub>2</sub>+M and N<sub>2</sub>O<sub>5</sub>+M→NO<sub>2</sub>+NO<sub>3</sub>+M,  
152 are also included in the scheme, with kinetic parameters from the IUPAC Task Group on Atmospheric

153 Chemical Kinetic Data Evaluation (Ammann et al., 2016). As in Peng et al. (2015, 2016), SO<sub>2</sub> is used as a  
154 surrogate of external OH reactants (e.g., VOCs). NO<sub>y</sub> species, although also external OH reactants, are  
155 explicitly treated in the model and *not* counted in OHR<sub>ext</sub> in this work. Therefore, OHR<sub>ext</sub> stands for *non*-  
156 NO<sub>y</sub> OHR<sub>ext</sub> only hereinafter, unless otherwise stated. Also, particle-phase processes and interactions of  
157 gas-phase species with particles are not considered in this study. We have made this assumption

158 because:

159 i) The presence of aerosols has typically negligible impacts on the gas-phase chemistry.  
160 Condensational sink (CS) of ambient aerosols can rarely exceed 1 s<sup>-1</sup> even in polluted  
161 areas and is usually 1-3 orders of magnitude lower (Donahue et al., 2016; Palm et al.,  
162 2016). Thus, even under the assumption of unity uptake coefficient, CS cannot compete  
163 with OHR<sub>ext</sub> (usually on the order of 10 s<sup>-1</sup>) in OH loss. Uptake of NO onto aerosols only  
164 occurs through the reaction with RO<sub>2</sub> on particle surface (Richards-Henderson et al.,  
165 2015), which is formed very slowly (see below) compared to gas-phase HO<sub>2</sub> and NO<sub>x</sub>  
166 chemistry. Uptake of HO<sub>2</sub>, O<sub>3</sub>, NO<sub>3</sub> etc. is even more unlikely to be efficient (Moise and  
167 Rudich, 2002; Moise et al., 2002; Hearn and Smith, 2004; Lakey et al., 2015).

168 ii) On the other hand, gas-phase species have only limited impacts on OA. Heterogeneous  
169 oxidation of OA by OH is generally slow. Significant OA loss due to heterogeneous  
170 oxidation can only be seen at photochemical ages as high as weeks (Hu et al., 2016).  
171 The enhancement of heterogeneous oxidation due to NO is remarkable only at OH  
172 concentration close to the ambient values but not at typical values in OFR (Richards-  
173 Henderson et al., 2015).

174 It is an important approximation that the *real* OHR<sub>ext</sub> decay (due to not only primary VOC  
175 oxidation and subsequent oxidation, but also wall loss, partitioning to the particle phase, reactive  
176 uptake etc.) is surrogated by that of SO<sub>2</sub>. Gas-phase measurements in literature laboratory studies  
177 revealed that there is a large variability of total OHR<sub>ext</sub> during (subsequent) oxidation of VOCs,  
178 depending on the type of precursors (Nehr et al., 2014; Schwantes et al., 2017). This variability is  
179 obviously mainly due to the evolution of different types of oxidation intermediates/products  
180 contributing to OHR<sub>ext</sub>, but not due to changes in CS, wall conditions etc. Also this variability is difficult  
181 to accurately capture even if modeling with a mechanism as explicit as MCM is performed (Schwantes  
182 et al., 2017). It is thus justified to use a lumped surrogate to model the OHR<sub>ext</sub> decay for simplicity and  
183 efficiency. The uncertainties introduced by this approximation include those due to both the types of  
184 oxidation intermediates/products and all interactions of VOCs with aerosols, walls etc. And the  
185 uncertainties due to the former dominate over those due to the latter.

186 A residence time of 180 s and typical temperature (295 K) and atmospheric pressure (835 mbar)  
187 in Boulder, CO, USA are assumed for all model cases. The lower-than-sea level pressure only leads to  
188 minor differences in the outputs (Li et al., 2015). We explore physical input cases evenly spaced in a  
189 logarithmic scale over very wide ranges: H<sub>2</sub>O of 0.07%–2.3%, i.e., relative humidity (RH) of 2–71% at  
190 295 K; 185 nm UV of 1.0x10<sup>11</sup>–1.0x10<sup>14</sup> and 254 nm UV of 4.2x10<sup>13</sup>–8.5x10<sup>15</sup> photons cm<sup>-2</sup> s<sup>-1</sup>; OHR<sub>ext</sub> of

191 1–16000 s<sup>-1</sup>; O<sub>3,in</sub> of 2.2–70 ppm for OFR254; initial NO mixing ratio (NO<sup>in</sup>) from 10 ppt to 40 ppm.  
192 Besides, conditions with OHR<sub>ext</sub>=0 are also explored. UV at 254 nm is estimated from that at 185 nm  
193 according to the relationship determined by Li et al. (2015). Several typical cases within this range as  
194 well as their corresponding 4 or 2-character labels (e.g., MMOV and HL) are defined in Table 2. Literature  
195 studies are modeled by adopting all reported parameters (e.g., residence time, H<sub>2</sub>O, and O<sub>3,in</sub>) and  
196 estimating any others that may be needed (e.g., UV) from the information provided in the papers.

197 In this study, OH equivalent ages are calculated under the assumption of an ambient OH  
198 concentration of 1.5x10<sup>6</sup> molecules cm<sup>-3</sup> (Mao et al., 2009). Conditions leading to a ratio of RO<sub>2</sub> reacted  
199 with NO over the entire residence time [r(RO<sub>2</sub>+NO)] to that with HO<sub>2</sub> [r(RO<sub>2</sub>+HO<sub>2</sub>)] larger than 1 are  
200 regarded as “high NO” (under the assumption of constant OHR<sub>ext</sub> from VOCs, see Section S1 for more  
201 details), where [r(X)] is the total reactive flux for reaction X over the entire residence time. F<sub>185<sub>exp</sub></sub>/OH<sub>exp</sub>  
202 and F<sub>254<sub>exp</sub></sub>/OH<sub>exp</sub> are used as measures of the relative importance of VOC photolysis at 185 and 254  
203 nm to their reactions with OH, respectively [F<sub>185<sub>exp</sub></sub> (F<sub>254<sub>exp</sub></sub>) are 185 (254) nm photon flux exposure,  
204 i.e., product of 185 (254) nm photon flux and time]. Readers may refer to Figs. 1 and 2 of Peng et al.  
205 (2016) for the determination of the relative importance of non-tropospheric (185 and 254 nm)  
206 photolysis of individual VOCs. Although the relative importance of non-tropospheric photolysis depends  
207 on individual VOCs, in the present work, we set criteria on F<sub>185<sub>exp</sub></sub>/OH<sub>exp</sub><3x10<sup>3</sup> cm/s and  
208 F<sub>254<sub>exp</sub></sub>/OH<sub>exp</sub><4x10<sup>5</sup> cm/s to define “good” conditions and F<sub>185<sub>exp</sub></sub>/OH<sub>exp</sub><1x10<sup>5</sup> cm/s and  
209 F<sub>254<sub>exp</sub></sub>/OH<sub>exp</sub><1x10<sup>7</sup> cm/s (excluding good conditions) to define “risky” conditions. Conditions with  
210 higher F<sub>185<sub>exp</sub></sub>/OH<sub>exp</sub> or F<sub>254<sub>exp</sub></sub>/OH<sub>exp</sub> are defined as “bad”. Under good conditions, photolysis of most  
211 VOCs has a relative contribution <20% to their fate; under bad conditions, non-tropospheric photolysis  
212 is likely to be significant in all OFR experiments, since it can hardly be avoided for oxidation  
213 intermediates, even if the precursor(s) does not photolyze at all. Under risky conditions, some species  
214 photolyzing slowly and/or reacting with OH rapidly (e.g., alkanes, aldehydes, and most biogenics) still  
215 have a relative contribution of photolysis <20% to their fates, while species photolyzing more rapidly  
216 and/or reacting with OH more slowly (e.g., aromatics and other highly conjugated species and some  
217 saturated carbonyls) will undergo substantial non-tropospheric photolysis. Note that these definitions  
218 are slightly different than in Peng et al. (2016). All definitions of the types of conditions are summarized  
219 in Table 3.

### 220 2.3 Uncertainty analysis

221 We apply the same method as in Peng et al. (2014, 2015) to calculate and analyze the output  
222 uncertainties due to uncertain kinetic parameters in the model. Random samples following log-normal  
223 distributions are generated for all rate constants and photoabsorption cross sections in the model using  
224 uncertainty data available in the JPL database (Sander et al., 2011) or estimated based on IUPAC data  
225 (Ammann et al., 2016). Then, Monte Carlo Uncertainty Propagation (BIPM et al., 2008) is performed for  
226 these samples through the model to obtain the distributions of outputs. Finally, we compute squared  
227 correlation coefficients between corresponding input and output samples and apportion the relative  
228 contributions of individual kinetic parameters to the output uncertainties based on these coefficients

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230 (Saltelli et al., 2005).

### 231 3 Results and discussion

232 In this section, we study the NO<sub>y</sub> chemistry in OFR while considering relevant experimental issues.  
233 Based on these results, we propose some guidelines for OFR operation for high-NO OH oxidation of  
234 VOCs.

#### 235 3.1 NO<sub>y</sub> chemistry in typical OFR cases with initial NO injection

236 NO was thought to be unimportant (i.e., unable to significantly react with RO<sub>2</sub>) in OFRs with initial  
237 NO injection (OFR-iNO) based on the argument that its lifetime is too short due to large amounts of O<sub>3</sub>  
238 OH, and HO<sub>2</sub> to compete with RO<sub>2</sub>+HO<sub>2</sub> (Li et al., 2015). We evaluate this issue below by calculating NO  
239 effective lifetime ( $\tau_{NO}$ , in s), defined as NO exposure (NO<sub>exp</sub>, in molecules cm<sup>-3</sup> s) divided by initial NO  
240 concentration, under various conditions. This definition cannot effectively capture the true NO average  
241 lifetime if it is close to or longer than the residence time. In this case,  $\tau_{NO}$  close to the residence time  
242 will be obtained, which is still long enough for our characterization purposes.

##### 243 3.1.1 OFR185-iNO

244 In OFR185-iNO, NO is *not* oxidized extremely quickly under *all* conditions. For instance, under a  
245 typical condition in the midrange of the phase space shown in Fig. 1a,  $\tau_{NO} \sim 13$  s. This lifetime is much  
246 shorter than the residence time, but long enough for OH<sub>exp</sub> to reach  $\sim 3 \times 10^{10}$  molecules cm<sup>-3</sup> s, which is  
247 equivalent to an OH equivalent age of  $\sim 6$  hrs. Such an OH equivalent age is already sufficient to allow  
248 some VOC processing and even SOA formation to occur (Lambe et al., 2011; Ortega et al., 2016). Within  
249  $\tau_{NO}$ , NO suppresses HO<sub>2</sub> through the reaction  $NO+HO_2 \rightarrow NO_2+OH$ , leading to  $NO_{exp}/HO_{2exp}$  of  $\sim 700$  during  
250 this period, high enough for RO<sub>2</sub> to dominantly react with NO. Meanwhile,  $NO+HO_2 \rightarrow NO_2+OH$  enhances  
251 OH production, which helps OH<sub>exp</sub> build up in a relatively short period. In addition, non-tropospheric  
252 photolysis of VOCs at 185 and 254 nm is minor ( $F_{185exp}/OH_{exp} \sim 600$  cm/s, Fig. 1a), because of enhanced  
253 OH production and moderate UV. Therefore, such an OFR condition may be of some interest for high-  
254 NO VOC oxidation. We thus analyze the NO<sub>y</sub> chemistry in OFR185-iNO in more detail below, by taking  
255 the case shown in Fig. 1a as a representative example.

256 In OFR185-iNO, HO<sub>x</sub> concentrations are orders-of-magnitude higher than in the atmosphere  
257 while the amount of O<sub>3</sub> produced is relatively small during the first several seconds after the flow enters  
258 the reactor. As a result, NO is not oxidized almost exclusively by O<sub>3</sub> as in the troposphere, but also by  
259 OH and HO<sub>2</sub> to form HONO and NO<sub>2</sub>, respectively (Fig. 1a). The large concentration of OH present then  
260 oxidizes HONO to NO<sub>2</sub>, and NO<sub>2</sub> to HNO<sub>3</sub>. Photolysis only plays a negligible role in the fate of HONO and  
261 NO<sub>2</sub> in OFRs, in contrast to the troposphere, where it is the main fate of these species. This is because  
262 the reactions of HONO and NO<sub>2</sub> with OH are greatly accelerated in OFR compared to those in the  
263 troposphere, while photolysis not (Peng et al., 2016). The interconversion between NO<sub>2</sub> and HO<sub>2</sub>NO<sub>2</sub> is  
264 also greatly accelerated (Fig. 1a), since a large amount of HO<sub>2</sub> promotes the formation of HO<sub>2</sub>NO<sub>2</sub>,  
265 whose thermal decomposition and reaction with OH in turn enhance the recycling of NO<sub>2</sub>. Though not  
266 explicitly modeled in this study, RO<sub>2</sub> are expected to undergo similar reactions with NO<sub>2</sub> to form  
267 reservoir species, i.e., peroxy nitrates (Orlando and Tyndall, 2012). Peroxy nitrates that decompose on

Deleted: to suppress HO<sub>2</sub> through the reaction  $NO+HO_2 \rightarrow NO_2+OH$ , leading to  $NO_{exp}/HO_{2exp}$  of  $\sim 700$  during this period, high enough

Deleted: RO<sub>2</sub> to dominantly react with NO. Meanwhile,  $NO+HO_2 \rightarrow NO_2+OH$  enhances OH production. Within  $\tau_{NO}$ ,

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284 timescales considerably longer than OFR residence times may serve as effectively permanent NO<sub>y</sub> sinks  
285 in OFRs (see Section 3.4.1).

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286 Interestingly but not surprisingly, the NO<sub>y</sub> chemistry shown in Fig. 1a is far from temporally  
287 uniform during the OFR residence time (Fig. S1a). Within  $\tau_{NO}$ , NO undergoes an e-fold decay as it is  
288 rapidly converted into NO<sub>2</sub> and HONO, whose concentrations reach maxima around that time. After  
289 most NO is consumed, HONO and NO<sub>2</sub> also start to decrease, but significantly more slowly than NO,  
290 since they do not have as many and efficient loss pathways as NO. The reaction of OH with HONO, the  
291 dominant fate of HONO, is slower than that with NO<sub>x</sub> (Fig. 1a). The net rate of the NO<sub>2</sub>-to-HO<sub>2</sub>NO<sub>2</sub>  
292 conversion becomes low because of the relatively fast reverse reaction (Fig. 1a). Besides, the total loss  
293 of NO<sub>2</sub> is partially offset by the production from HONO. The generally stable concentrations of HONO  
294 and NO<sub>2</sub> (Fig. S1a) result in their respective reaction rates with OH that are comparable during and after  
295  $\tau_{NO}$  (Fig. 1a), as OH variation is also relatively small during the entire residence time (Fig. S1b). However,  
296 the NO<sub>2</sub>-to-HO<sub>2</sub>NO<sub>2</sub> conversion after  $\tau_{NO}$  is much faster than during it (Fig. 1a), resulting from  
297 substantially decreased NO and HO<sub>2</sub> concomitantly increasing >1 order of magnitude after  $\tau_{NO}$  (Fig.  
298 S1a,b). HNO<sub>3</sub> and HO<sub>2</sub>NO<sub>2</sub>, which are substantially produced only after NO<sub>2</sub> is built up, have much higher  
299 concentrations later than within  $\tau_{NO}$ .

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300 Under other OFR185-iNO conditions than in Fig. 1a, the major reactions interconverting NO<sub>y</sub>  
301 species are generally the same, although their relative importance may vary. At lower NO<sup>in</sup>, the  
302 perturbation of HO<sub>x</sub> chemistry caused by NO<sub>y</sub> species is smaller. Effects of NO<sup>in</sup> less than 1 ppb (e.g.,  
303 typical non-urban ambient concentrations) are generally negligible regarding HO<sub>x</sub> chemistry. Regarding  
304 NO<sub>y</sub> species, the pathways in Fig. 1a are still important under those conditions. At higher NO<sup>in</sup> (e.g., >1  
305 ppm), one might expect NO<sub>3</sub> and N<sub>2</sub>O<sub>5</sub> to play a role (as in OFR254-iNO; see Section 3.1.2 below), since  
306 high NO<sub>y</sub> concentrations might enhance self/cross reactions of NO<sub>y</sub>. However, this would not occur  
307 unless OH production is high, since relatively low O<sub>3</sub> concentrations in OFR185-iNO cannot oxidize NO<sub>2</sub>  
308 to NO<sub>3</sub> rapidly. Also, a large amount of NO<sub>y</sub> can lead to significant OH suppression. That would in turn  
309 slow down the NO<sub>3</sub> production from HNO<sub>3</sub> by OH. This is especially true when an OFR is used to oxidize  
310 the output of highly concentrated sources (e.g., from vehicle exhausts). When sources corresponding  
311 to OHR<sub>ext</sub> of thousands of s<sup>-1</sup> and NO<sup>in</sup> of tens of ppm are injected into OFR185 (Fig. 1b), they essentially  
312 inhibit active chemistry except NO consumption, as all subsequent products are much less abundant  
313 compared to remaining NO (Fig. S1c).

### 314 3.1.2 OFR254-iNO

315 The ppm-level O<sub>3,in</sub> used in the OFR254-iNO mode of operation has a strong impact on its NO<sub>y</sub>  
316 chemistry. An O<sub>3,in</sub> of 2.2 ppm (lowest in this study) is already enough to shorten  $\tau_{NO}$  to ~1 s, preventing  
317 NO from playing a role in the chemistry under most explored conditions. The reaction fluxes under a  
318 typical O<sub>3,in</sub> of 7 ppm are shown in Fig. 1c. A reactive flux from NO+O<sub>3</sub>→NO<sub>2</sub> makes the reaction of NO  
319 with other oxidants (OH, HO<sub>2</sub> etc.) negligible. The HNO<sub>3</sub> production pathway from NO<sub>2</sub> is similar to that  
320 in OFR185-iNO. The interconversion between NO<sub>2</sub> and HO<sub>2</sub>NO<sub>2</sub> is also fast over the residence time, and  
321 even faster than in OFR185-iNO during  $\tau_{NO}$ , since a high concentration of O<sub>3</sub> also controls the OH-HO<sub>2</sub>

333 interconversion and makes HO<sub>2</sub> more resilient against suppression due to high NO (Fig. S1f; Peng et al.,  
334 2015). A major difference in the NO<sub>y</sub> chemistry in OFR254-iNO (Fig. 1c) compared to OFR185-iNO (Fig.  
335 1a) is significant NO<sub>3</sub>/N<sub>2</sub>O<sub>5</sub> chemistry due to high O<sub>3</sub> in OFR254-iNO, which accelerates the oxidation of  
336 NO<sub>2</sub> to NO<sub>3</sub>. Interconversion between NO<sub>2</sub>+NO<sub>3</sub> and N<sub>2</sub>O<sub>5</sub> also occurs to a significant extent because of  
337 high NO<sub>2</sub>. Under the conditions of Fig. 1c, NO<sub>3</sub> can also be significantly consumed by HO<sub>2</sub>. Unlike  
338 OFR185-iNO, OFR254-iNO can substantially form NO<sub>3</sub> from HNO<sub>3</sub> under conditions that are not on the  
339 extremes of the explored physical condition space, e.g., at higher UV and lower NO<sup>in</sup> (e.g., Fig. S2). In  
340 the case of very high NO<sup>in</sup> (equal to or higher than O<sub>3,in</sub>), all O<sub>3</sub> can be rapidly destroyed by NO. As a  
341 consequence, OH production is shut down and these cases are of little practical interest (Fig. S3h).

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### 342 3.1.3 Uncertainty analysis

343 The results of uncertainty propagation confirm that the output uncertainties due to uncertain  
344 kinetic parameters are relatively low compared to other factors (e.g., non-plug flow in OFR; Peng et al.,  
345 2015) and the overall model accuracy compared to experimental data (a factor of 2–3; Li et al., 2015).  
346 For OFR185-iNO, NO, NO<sub>3</sub>, and OH exposures have relative uncertainties of ~0–20%, ~40–70%, and ~15–  
347 40%, respectively. The uncertainties in OH exposure are very similar to those in the cases without NO<sub>x</sub>  
348 (Peng et al., 2015). The contribution of NO<sub>y</sub> reactions to OH<sub>exp</sub> uncertainty is negligible, except for some  
349 contribution of OH+NO→HONO in a few cases with high NO<sup>in</sup> (Fig. 2). The uncertainties on NO<sub>exp</sub> are  
350 dominated by the reactions producing HO<sub>x</sub> and O<sub>3</sub>, i.e., the major consumers of NO. For NO<sub>3</sub> exposure,  
351 a few major production and loss pathways (e.g., NO<sub>2</sub>+NO<sub>3</sub>→N<sub>2</sub>O<sub>5</sub>, N<sub>2</sub>O<sub>5</sub>→NO<sub>2</sub>+NO<sub>3</sub>, and  
352 HO<sub>2</sub>+NO<sub>3</sub>→OH+NO<sub>2</sub>+O<sub>2</sub>) dominate its uncertainties. OFR254-iNO has a simpler picture of parametric  
353 uncertainties in terms of composition. O<sub>3</sub> controls the NO oxidation under most conditions and this  
354 reaction contributes most of output uncertainties for NO exposures. HO<sub>2</sub>+NO<sub>3</sub>→OH+NO<sub>2</sub>+O<sub>2</sub> dominates  
355 the uncertainty on NO<sub>3</sub> exposure. The levels of those uncertainties are lower than in OFR185-iNO (<2%  
356 for NO exposure; <60% in all cases and <25% in most cases for NO<sub>3</sub> exposure). Thus, model uncertainties  
357 in OFR254-iNO are not shown in detail.

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### 358 3.2 Different conditions types

359 Having illustrated the main NO<sub>y</sub> chemical pathways for typical cases, we present the results of  
360 the exploration of the entire physical parameter space (see Section 2.2). Note that the explored space  
361 is indeed very large and gridded logarithmically uniformly in every dimension. Therefore, the statistics  
362 of the exploration results can be useful to determine the relative importance of the conditions types  
363 defined in Section 2.2 and Table 3.

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364 It has been shown that during τ<sub>NO</sub>, RO<sub>2</sub> can react dominantly with NO (Section 3.1.1), while to  
365 determine if a condition is high-NO (see Table 3), the entire residence time is considered. This is done  
366 because for VOC oxidation systems of interest, there will be significant oxidation of the initial VOC and  
367 its products under low-NO conditions, if τ<sub>NO</sub> is shorter than the reactor residence time. After most NO  
368 is consumed, the longer the remaining residence time, the more RO<sub>2</sub> will react with HO<sub>2</sub> and the more  
369 likely that an input condition is classified as low-NO. For a condition to be high-NO, a significantly long  
370 τ<sub>NO</sub> is required. [Figure 3 shows the fractional occurrence distribution of good/risky/bad conditions in](#)

374 the entire explored condition space over logarithm of  $r(\text{RO}_2+\text{NO})/r(\text{RO}_2+\text{HO}_2)$ , which distinguishes high-  
375 and low-NO conditions. In OFR254-iNO,  $\tau_{\text{NO}}$  is so short that no good high-NO condition is found in the  
376 explored range in this study (Fig. 3a). A fraction of explored conditions are bad high-NO. These  
377 conditions result from a full consumption of  $\text{O}_3$  by NO. Then very little  $\text{HO}_x$  is produced, (right panels in  
378 Fig. S3h), but the fate of any  $\text{RO}_2$  formed is dominated by  $\text{RO}_2+\text{NO}$ , (right panels in Fig. S3i). However,  
379 also due to negligibly low OH concentration, little  $\text{RO}_2$  is produced and non-tropospheric photolysis of  
380 VOCs is also substantial compared to their reaction with OH under these conditions, classifying all of  
381 them as “bad” (Fig. 3a).

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382 In OFR185-iNO, in addition to the typical case shown in Fig. 1a, many other cases have a  $\tau_{\text{NO}}$  of  
383  $\sim 10$  s or longer (Figs. S3b and S4), which allow the possibility of high-NO conditions. Indeed,  $\sim 1/3$  of  
384 explored conditions in OFR185-iNO with a residence time of 3 min are high-NO (Fig. 3b). Most of these  
385 high-NO conditions are also classified as bad, similar with those in OFR254-iNO. More importantly, in  
386 contrast to OFR254-iNO, good and risky high-NO conditions also comprise an appreciable fraction of  
387 the OFR185-iNO conditions. It is easily expected that very high  $\text{OHR}_{\text{ext}}$  and  $\text{NO}^{\text{in}}$  lead to bad high-NO  
388 conditions (all panels in Fig. 4), since they strongly suppress  $\text{HO}_x$ , which yields bad conditions and in  
389 turn keep NO destruction relatively low. Besides, the occurrence of bad high-NO conditions is reduced  
390 at high UV, (bottom panels in Fig. 4), which can be explained by lowered NO due to high  $\text{O}_3$  production  
391 and fast OH reactant loss due to high OH production. Good high-NO conditions are rare in the explored  
392 space. They are only 1.1% of total explored conditions (Fig. 3b) and present under very specific  
393 conditions, i.e., higher  $\text{H}_2\text{O}$ , lower UV, lower  $\text{OHR}_{\text{ext}}$ , and  $\text{NO}^{\text{in}}$  of tens to hundreds of ppb (Figs. 4 and  
394 S5). Since a very high NO can suppress OH, to obtain both a significant NO level and a good conditions,  
395  $\text{NO}^{\text{in}}$  can only be tens to hundreds of ppb. As  $\text{NO}^{\text{in}}$  is lower and OH is higher than under bad high-NO  
396 conditions, UV should be lower than bad high-NO conditions to keep a sufficiently long presence of NO.  
397 Thus, UV at 185 nm for good high-NO conditions are generally lower than  $10^{12}$  photons  $\text{cm}^{-2} \text{s}^{-1}$  (Fig. S5).  
398 In addition, a low  $\text{OHR}_{\text{ext}}$  (generally  $< 50 \text{ s}^{-1}$ ) and a higher  $\text{H}_2\text{O}$  (the higher the better, although there is  
399 no apparent threshold) are also required for good high-NO conditions (Fig. S5), as Peng et al. (2016)  
400 pointed out. Risky high-NO conditions often occur between good and bad high-NO conditions, e.g., at  
401 lower  $\text{NO}^{\text{in}}$  than bad conditions (e.g., Cases ML, MM, HL, and HM in Fig. 4, see Table 2 for the typical  
402 case label code), at higher  $\text{OHR}_{\text{ext}}$  and/or  $\text{NO}^{\text{in}}$  than good conditions (e.g., Cases ML and MM), and at  
403 lower  $\text{H}_2\text{O}$  than good conditions (e.g., Case LL).

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404 The trend of the distributions of good, risky, and bad low-NO conditions is generally in line with  
405 the analysis in Peng et al. (2016). For low-NO conditions, NO, species can be simply regarded as external  
406 OH reactants, as in Peng et al. (2016). As  $\text{H}_2\text{O}$  decreases and/or  $\text{OHR}_{\text{ext}}$  or  $\text{NO}^{\text{in}}$  increases, a low-NO  
407 condition becomes worse (good  $\rightarrow$  risky  $\rightarrow$  bad) (Figs. 4 and 5). In OFR185-iNO, increasing UV generally  
408 makes a low-NO condition better because of an OH production enhancement (Fig. 4); while in OFR254-  
409 iNO, increasing UV generally makes a low-NO condition worse (Fig. 5), since at a higher UV, more  $\text{O}_3$  is  
410 destroyed and the resilience of OH to suppression is reduced.

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411 As discussed above, the fraction of high-NO conditions also depends on OFR residence time. A

426 shorter residence time is expected to generally lead to a larger fraction of high-NO conditions, since the  
427 time spent in the reaction for  $t > \tau_{NO}$  is significantly smaller. Thus, we also investigate an OFR185-iNO  
428 case with a residence time of 30 s. In Fig. 3b, compared to the case with a residence time of 3 min, the  
429 distributions of all condition types (good/risky/bad) of the 30 s residence time case shift toward higher  
430  $r(\text{RO}_2+\text{NO})/r(\text{RO}_2+\text{HO}_2)$ . Nevertheless, shortening the residence time also removes the period when the  
431 condition is better (i.e., less non-tropospheric photolysis), when external OH reactants have been  
432 partially consumed and OH suppression due to  $\text{OHR}_{\text{ext}}$  has been reduced later in the residence time. As  
433 a result, the fractions of good and risky conditions decrease (Fig. 3b). With the two effects (higher  
434  $r(\text{RO}_2+\text{NO})/r(\text{RO}_2+\text{HO}_2)$  and more significant non-tropospheric photolysis) combined, the fraction of  
435 good high-NO conditions increases by a factor of  $\sim 3$ . An even shorter residence time does not result in  
436 a larger good high-NO fraction, since the effect of enhancing non-tropospheric photolysis is even more  
437 apparent.

### 438 **3.3 Effect of non-plug flow**

439 We performed model runs where the only change with respect to our box model introduced in  
440 Section 2.2 is that the plug-flow assumption is replaced by the residence time distribution (RTD)  
441 measured by Lambe et al. (2011) (also see Fig. S8 of Peng et al. (2015)). The chemistry of different air  
442 parcels with different residence times is simulated by our box model and outputs are averaged over the  
443 RTD. Lateral diffusion between different air parcels is neglected in these simulations.

444  $\text{OH}_{\text{exp}}$  calculated from the mode with RTD ( $\text{OH}_{\text{exp,RTD}}$ ) is higher than that calculated from the plug-  
445 flow model ( $\text{OH}_{\text{exp,PF}}$ ) in both OFR185-iNO and OFR254-iNO (Table 4 and Fig. S6). Under most explored  
446 conditions deviations are relatively small, which leads to an overall positive deviation of  $\text{OH}_{\text{exp,RTD}}$  from  
447  $\text{OH}_{\text{exp,PF}}$  by  $\sim 2$  (within the uncertainties of the model and its application to real experimental systems).  
448 For OFR185-iNO, most conditions ( $\sim 90\%$ ) in the explored space lead to  $< 3$  differences between  $\text{OH}_{\text{exp,PF}}$   
449 and  $\text{OH}_{\text{exp,RTD}}$ , while for a small fraction of cases the differences can be larger (Fig. S6). The larger  
450 deviations are mainly present at high UV,  $\text{OHR}_{\text{ext}}$ , and  $\text{NO}^{\text{in}}$ , where conditions are generally “bad” and in  
451 which experiments are of little atmospheric relevance. Under these specific conditions, external OH  
452 reactants and  $\text{NO}_y$  can be substantially destroyed for the air parcels with residence times longer than  
453 the average, while this is not the case for the average residence time. This feature was already described  
454 by Peng et al. (2015) (see Fig. S10 of that study). Although only non- $\text{NO}_y$  external OH reactants were  
455 considered in that study, the results are the same. In the present study, a higher upper limit of the  
456 explored  $\text{OHR}_{\text{ext}}$  range (compared to Peng et al., 2015, due to trying to simulate extremely high  $\text{OHR}_{\text{ext}}$   
457 used in some recent literature studies) large amounts of  $\text{NO}_y$  and cause somewhat larger deviations. In  
458 OFR254-iNO, OH is less suppressed at high  $\text{OHR}_{\text{ext}}$  and  $\text{NO}^{\text{in}}$  than in OFR185-iNO because of high  $\text{O}_3$   
459 (Peng et al., 2015),  $\text{OH}_{\text{exp,RTD}}$  deviations from  $\text{OH}_{\text{exp,PF}}$  are also smaller (Table 4).

460 Based on the outputs of the model with RTD, similar mapping of the physical input space as Figs.  
461 4 and 5 can be done (Figs. S7 and S8). Overall, the mapping of the RTD model results is very similar with  
462 that of the plug-flow model. The conditions appear to be only slightly better in a few places of the  
463 explored space than those from the plug-flow model, which can be easily explained by the discussions

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465 above. Besides, the mapping in Figs. S7 and S8 also appear to be slightly more low-NO, for the same  
466 reasons discussed above. After NO is destroyed at long residence times, HO<sub>2</sub>, suppressed by NO, also  
467 recovers as OH.  $r(\text{RO}_2+\text{NO})/r(\text{RO}_2+\text{HO}_2)$  is obviously expected to be smaller than in the plug-flow model  
468 in general.

469 Note that most conditions that appear to be better in the RTD model results are already  
470 identified as bad by the plug-flow model. Those conditions look slightly better only because of their  
471 better RTD-averaged  $F_{185_{\text{exp}}}/\text{OH}_{\text{exp}}$  and  $F_{254_{\text{exp}}}/\text{OH}_{\text{exp}}$ . However, each of those cases is actually  
472 composed of both a better part at longer residence times and also a worse part at shorter residence  
473 times. Under those conditions, the reactor simultaneously works in two distinct regimes, one of which  
474 is bad due to heavy OH suppression. Such conditions are obviously not desirable for OFR operation.

### 475 **3.4 Possible issues related to high-NO<sub>x</sub> levels**

476 In the discussion above, we focused on obtaining high-NO conditions and considered only one  
477 experimental issue (non-tropospheric photolysis) that had been previously investigated in Peng et al.  
478 (2016) and is not specific for experiments with high NO injection. We discuss additional potential  
479 reasons why the OFR-iNO chemistry can deviate strongly from tropospheric conditions, as specifically  
480 related to high-NO<sub>x</sub> level in this subsection.

#### 481 **3.4.1 NO<sub>2</sub>**

482 NO<sub>2</sub> reacts with RO<sub>2</sub> to form peroxy nitrates, generally regarded as reservoir species in the  
483 atmosphere as most of them thermally decompose very quickly compared to atmospheric time scales.  
484 However, in OFRs, with residence times on the order of minutes, some peroxy nitrates may no longer be  
485 considered as fast decomposing. This is especially true for acylperoxy nitrates, whose lifetimes can be  
486 hours at room temperature (Orlando and Tyndall, 2012). Acylperoxy nitrates are essentially sinks instead  
487 of reservoirs in OFRs for both NO<sub>2</sub> and RO<sub>2</sub>. RO<sub>2</sub> is estimated to be as high as several ppb in OFRs by our  
488 model (e.g., ~6 ppb RO<sub>2</sub> in OFR185 at H<sub>2</sub>O=1%, UV at 185 nm=1x10<sup>13</sup> photons cm<sup>-2</sup> s<sup>-1</sup>, OHR<sub>exit</sub>=1000 s<sup>-1</sup>,  
489 and NO<sup>0</sup>=0), while high-NO experiments can yield far higher NO<sub>2</sub>. If all RO<sub>2</sub> were acylperoxy, the RO<sub>2</sub>  
490 chemistry could be rapidly shut down by NO<sub>2</sub>, as rate constants of these RO<sub>2</sub> + NO<sub>2</sub> reactions are around  
491 10<sup>-11</sup> cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup> (Orlando and Tyndall, 2012). Nevertheless, acylperoxy nitrates are not expected  
492 to typically be the dominant component of peroxy nitrates, since acyl radicals are not a direct oxidation  
493 product of most common VOCs and can only be formed after several steps of oxidation (Atkinson and  
494 Arey, 2003; Ziemann and Atkinson, 2012). Most alkylperoxy nitrates retain their short-lived reservoir  
495 characteristics in OFRs due to their relatively short thermal decomposition time scales (on the order of  
496 0.1 s; Orlando and Tyndall, 2012). Even so, OFR experiments can be seriously hampered at extremely  
497 high NO<sub>2</sub>. If NO<sub>2</sub> reaches ppm levels, the equilibrium between RO<sub>2</sub>+NO<sub>2</sub> and alkylperoxy nitrate  
498 (RO<sub>2</sub>+NO<sub>2</sub>↔RO<sub>2</sub>NO<sub>2</sub>) is greatly shifted toward the alkylperoxy nitrate side, as the forward and reverse  
499 rate constants are on the order of 10<sup>-12</sup> cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup> and 1 s<sup>-1</sup>, respectively (Orlando and Tyndall,  
500 2012). This results in a substantial decrease in effective RO<sub>2</sub> concentration, or in other words, a  
501 substantial slow-down of RO<sub>2</sub> chemistry.

502 Parts per million levels of NO<sub>2</sub> may impose an additional experimental artifact in the oxidation

503 chemistry of aromatic precursors. OH-aromatic adducts, i.e., the immediate products of aromatic  
504 oxidation by OH, undergo addition of O<sub>2</sub> and NO<sub>2</sub> at comparable rates under ppm levels of NO<sub>2</sub> (rate  
505 constants of the additions of O<sub>2</sub> and NO<sub>2</sub> are on the order of 10<sup>-16</sup> and 10<sup>-11</sup> molecules cm<sup>-3</sup> s<sup>-1</sup>,  
506 respectively ;Atkinson and Arey, 2003). However, only the former addition is atmospherically relevant  
507 (Calvert et al., 2002). Liu et al. (2015) performed OFR254-iNO experiments with toluene over a range of  
508 NO<sup>in</sup> of 2.5–10 ppm, encompassing the NO concentration range at which the reactions of OH-toluene  
509 adduct with O<sub>2</sub> and with NO<sub>2</sub> are of equal importance (~5 ppm; Atkinson and Arey, 2003). This suggests  
510 that nitroaromatics, whose formation was reported in the study of Liu et al. (2015), might have been  
511 formed in substantial amounts in that study through the addition of NO<sub>2</sub> to the OH-toluene adduct.

#### 512 3.4.2 NO<sub>3</sub>

513 As discussed in Section 3.1, NO<sub>3</sub> can be formed in significant amounts in OFRs with high NO  
514 injection. Although NO<sub>3</sub> is also present in the atmosphere, especially during nighttime, significant VOC  
515 oxidation by both OH and NO<sub>3</sub> results in more complex chemistry that may complicate the  
516 interpretation of experimental results. NO<sub>3</sub> oxidation-only OFR has been previously realized  
517 experimentally via thermal dissociation of injected N<sub>2</sub>O<sub>5</sub> (Palm et al., 2017). We discuss below how to  
518 avoid significant VOC oxidation by NO<sub>3</sub> and achieve OH-dominated VOC oxidation in OFRs with high NO  
519 injection.

520 If NO<sub>3exp</sub>/OH<sub>exp</sub> > 0.1, NO<sub>3</sub> can be a competitive reactant for biogenic alkenes and dihydrofurans,  
521 which have a C=C bond for NO<sub>3</sub> addition, and phenols, which have activated hydroxyl for fast hydrogen  
522 abstraction by NO<sub>3</sub> (Atkinson and Arey, 2003), while for lower NO<sub>3exp</sub>/OH<sub>exp</sub>, OH is expected to dominate  
523 the oxidation of all VOCs, as shown in Fig. 6. Oxidation for VOCs without alkene C=C bonds and phenol  
524 hydroxyl (such as alkanes and (alkyl)benzenes) is dominated by OH unless NO<sub>3exp</sub>/OH<sub>exp</sub> > 1000. Despite  
525 its double bond, ethene reacts as slowly with NO<sub>3</sub> as alkanes, likely due to lack of alkyl groups enriching  
526 electron density on the C=C bond, which slows NO<sub>3</sub> addition. We calculate NO<sub>3exp</sub>/OH<sub>exp</sub> for OFR185-  
527 iNO and OFR254-iNO and plot histograms of this ratio in Fig. 6. Many experimental conditions lead to  
528 high enough NO<sub>3exp</sub>/OH<sub>exp</sub> that NO<sub>3</sub> is a competitive sink for alkenes, while only under very extreme  
529 conditions can NO<sub>3</sub> be a competitive sink for species without C=C bonds. High-NO conditions in OFR185-  
530 iNO have lower NO<sub>3exp</sub>/OH<sub>exp</sub> (~10<sup>-2</sup>–10<sup>2</sup>) than in OFR254-iNO (~10<sup>1</sup>–10<sup>5</sup>) (Figs. 6 and S3d,g,i). This  
531 difference in NO<sub>3exp</sub>/OH<sub>exp</sub> is due to the different levels of O<sub>3</sub> in the two modes, as high O<sub>3</sub> promotes  
532 NO<sub>2</sub>-to-NO<sub>3</sub> oxidation. Note that low-NO conditions in both OFR185-iNO and OFR254-iNO can also reach  
533 high NO<sub>3exp</sub>/OH<sub>exp</sub> as some high-NO conditions have. This is because in OFR185-iNO a large part of NO<sub>3</sub>  
534 is formed by OH oxidation, resulting in NO<sub>3exp</sub>/OH<sub>exp</sub> being largely influenced by NO<sup>in</sup> but not by other  
535 factors mainly governing OH, (Fig. S3d); and under low-NO conditions in OFR254-iNO, NO<sub>3</sub> can form  
536 rapidly from NO<sub>2</sub>+O<sub>3</sub>, while OH can be heavily suppressed by high OHR<sub>ext</sub> (Fig. S3g,i).

537 Most of the species shown in Fig. 6 are primary VOCs, except phenols and a dihydrofuran, which  
538 can be intermediates of the atmospheric oxidation of (alkyl)benzenes (Atkinson and Arey, 2003) and  
539 long-chain alkanes (Aimanant and Ziemann, 2013; Strollo and Ziemann, 2013; Ranney and Ziemann,  
540 2016), respectively. Nevertheless, only the phenol production may occur in high-NO OFRs, as the

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544 particle-phase reaction in the photochemical formation of dihydrofurans from alkanes is too slow  
545 compared to typical OFR residence times (Ranney and Ziemann, 2016). Therefore, the impact of NO<sub>3</sub>  
546 oxidation on VOC fate needs to be considered only if the OFR input flow contains high NO mixed with  
547 biogenics and/or aromatics [(alkyl)benzenes and/or phenols]. However, (alkyl)benzenes were likely to  
548 be major SOA precursors in, to our knowledge, the only few literature OFR studies with high NO levels  
549 (Ortega et al., 2013; Tkacik et al., 2014; Liu et al., 2015). In the study of the air in a traffic tunnel (OFR185-  
550 iNO mode; Tkacik et al., 2014), where toluene is usually a major anthropogenic SOA precursor as in  
551 other urban environments (Dzepina et al., 2009; Borbon et al., 2013; Hayes et al., 2015; Jathar et al.,  
552 2015), NO<sub>x</sub> was several hundreds of ppb. This resulted in an estimated NO<sub>3exp</sub>/OH<sub>exp</sub> range of ~0.1–1,  
553 where up to ~30% of cresols (intermediates of toluene oxidation) may have been consumed by NO<sub>3</sub>.  
554 Dihydrofurans may also have formed in the tunnel air (but outside the OFR) in the presence of NO<sub>x</sub>  
555 (Aimanant and Ziemann, 2013; Strollo and Ziemann, 2013) and, after entering the OFR, they would have  
556 been substantially (up to ~50%) consumed by NO<sub>3</sub>. In the laboratory experiment of Liu et al. (2015) with  
557 toluene, the injection of as much as 10 ppm NO elevated NO<sub>3exp</sub>/OH<sub>exp</sub> to ~100, where cresols from  
558 toluene oxidation reacted almost exclusively with NO<sub>3</sub> in addition to being photolyzed.

### 559 3.4.3 A case study

560 We use a case study of an OFR254-13-iNO laboratory experiment with a large amount of toluene  
561 (5 ppm) and NO<sup>in</sup> (10 ppm) to illustrate how very high VOC and NO concentrations cause multiple types  
562 of atmospherically irrelevant reactions in OFR. Due to very high OHR<sub>ext</sub> and NO<sup>in</sup>, photolysis of toluene  
563 at 254 nm may have been important (Peng et al., 2016). In case of a high (close to 1) quantum yield, up  
564 to ~80% of the consumed toluene in their experiments could have been photolyzed (Scheme 1). Of the  
565 rest of reacted toluene, ~10% undergoes H-abstraction by OH from the methyl group in the model,  
566 leading to an RO<sub>2</sub> similar to alkyl RO<sub>2</sub> and likely proceeding with normal RO<sub>2</sub> chemistry. ~90% of the  
567 toluene formed an OH-adduct (Calvert et al., 2002). As discussed above, 70% of this adduct (depending  
568 on NO<sup>in</sup>) is predicted to recombine with NO<sub>2</sub> producing nitroaromatics because of the ppm-level NO<sub>x</sub>.  
569 The adduct could also react with O<sub>2</sub> via two types of pathways, of which one was addition forming a  
570 special category of RO<sub>2</sub> (OH-toluene-O<sub>2</sub> adducts) potentially undergoing ring-opening (Atkinson and  
571 Arey, 2003; Orlando and Tyndall, 2012; Ziemann and Atkinson, 2012), the other H-elimination by O<sub>2</sub>  
572 producing cresols. Again, like toluene, cresols may have been substantially photolyzed. As a result of  
573 NO<sub>3exp</sub>/OH<sub>exp</sub> ~100, only a minor portion of cresol could have undergone OH<sub>2</sub> addition and then H-  
574 elimination again. This pathway leads to the formation of methylidihydroxybenzenes and other OH-  
575 oxidation products (Atkinson and Arey, 2003). The rest of cresols may have formed methylphenoxy  
576 radicals, nevertheless, dominantly via H-abstraction by NO<sub>3</sub>, since H-abstraction by OH was even a minor  
577 pathway compared to the OH-addition one (Atkinson et al., 1992). In summary, the model results  
578 suggest that there were two possible routes leading to nitroaromatic formation. However, one of them  
579 (recombination of OH-aromatic adducts with NO<sub>2</sub>) is likely of little atmospheric relevance due to very  
580 high NO<sub>x</sub>, needed, and the other (H-abstraction from cresol) occurs in the atmosphere but is not a major  
581 fate of aromatics (Calvert et al., 2002).

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### 588 3.5 Implications for OFR experiments with combustion emissions as input

589 Emissions from combustion sources, e.g., vehicles and biomass burning, usually contain VOCs  
590 and NO<sub>x</sub> at very high concentrations (Table 1). An injection of this type of emissions (typically with OHR<sub>ext</sub>  
591 of thousands of s<sup>-1</sup> or larger and NO<sup>n</sup> of tens of ppm or larger) in OFRs without any pretreatment is likely  
592 to cause all experimental issues discussed in Peng et al. (2016) and this paper, i.e., strong OH  
593 suppression, substantial non-tropospheric photolysis, strong RO<sub>2</sub> suppression by NO<sub>2</sub> whether RO<sub>2</sub> is  
594 acyl RO<sub>2</sub> or not, fast reactions of NO<sub>2</sub> with OH-aromatic hydrocarbon adducts, substantial NO<sub>3</sub>  
595 contribution to VOC fate, and even a near-total inhibition of OFR chemistry due to complete titration of  
596 O<sub>3</sub> by NO in the case of OFR254. We take the study of Karjalainen et al. (2016), who used an OFR to  
597 oxidize diluted car exhaust in real-time, as a case study to investigate the extent to which these issues  
598 may affect typical combustion source studies and to explore approaches to mitigate the problems.

599 During the first 200 s of their experiment (defined as the “cold start” period when the catalyst is  
600 cold and emissions are high), NO and total hydrocarbon in the emissions of the test vehicle reached  
601 ~400 and ~600 ppm, respectively. We first simulate the oxidation of those emissions without any  
602 dilution (even though x12 dilution was used in their experiments) to explore the most extreme  
603 conditions. Our model simulation indicates that such an extremely concentrated source would generally  
604 lead to bad high- or low-NO conditions (depending on NO concentration) in their OFR (Fig. 7), even  
605 though it was run at relatively high H<sub>2</sub>O and UV. OH suppression can be as high as 3 orders of magnitude;  
606 VOC fates by non-tropospheric photolysis and reactions of alkenes and phenols with NO<sub>3</sub> can be nearly  
607 100%; up to ~1/3 of OH-toluene adduct may be recombined with NO<sub>2</sub> instead of forming an adduct with  
608 O<sub>2</sub>. After the test vehicle entered the “hot stabilized” stage (200–1000 s), its VOC emissions (on the  
609 order of ppm) were still too high for an undiluted OFR to yield a good condition (Fig. S9). OH suppression  
610 can still reach 2 orders of magnitude; non-tropospheric photolysis, and sometimes reactions with NO<sub>3</sub>,  
611 can still dominate over reactions with OH in VOC fates; reactions of OH-toluene adduct with NO<sub>2</sub> can  
612 still be substantial at some small NO emission spikes. Moreover, although NO emissions were roughly  
613 at ppm level even during the hot stabilized period, NO effective lifetime may be very short during that  
614 period, leading to low-NO conditions in their OFR.

615 As suggested in Peng et al. (2016) for low-NO OFR, dilution of sources can also mitigate strong  
616 deviations on OFR-iNO chemistry vs. atmospherically-relevant conditions. A dilution by a factor of 12,  
617 as actually used by Karjalainen et al. (2016), appears to be sufficient to bring most of the hot stabilized  
618 period under good conditions (Fig. S9). However, most VOC, or in other words, most SOA formation  
619 potential, was emitted during the cold start period, when risky and bad conditions still prevailed (Figs.  
620 7 and 8). Even if the emissions are diluted by x100, the cold-start emission peak (Fig. 7) is still under  
621 risky conditions. Although bad conditions are eliminated and good condition is present during most of  
622 time, this emission peak under risky condition may contribute >50% to total SOA formation potential  
623 (Fig. 8). For SOA formed under good condition to be dominant, a dilution factor >400 would be needed.

624 Note that the emissions of the test vehicle of Karjalainen et al. (2016) are rather clean compared  
625 to the typical 2013 US on-road fleet (i.e., all at the hot stabilized stage) measured by Bishop and

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628 Stedman (2013) (Figs. 9 and §10). For emissions of an average on-road fleet, a dilution by a factor of  
629 100 or larger would be necessary to ensure that most emissions would be processed in OFR185 under  
630 good conditions at the highest H<sub>2</sub>O and UV in this study (Figs. 9b and §10b,e,h). In the case of lower H<sub>2</sub>O  
631 and/or UV, an even larger dilution factor would be required.

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632 Conducting OFR185-iNO experiments at high UV lowers the dilution factor needed for good  
633 conditions. However, it also renders good high-NO condition impossible (see Section 3.2 and Fig. S4). If  
634 one wants to oxidize vehicle exhausts in a high-NO environment in OFR, as in an urban atmosphere,  
635 OFR185 at low UV is necessary. Consequently, a much stronger dilution is in turn necessary to keep the  
636 operation condition still good. Nevertheless, not all vehicle emissions can be moved into good high-NO  
637 region through a simple dilution (Figs. 9c and §10c,f,i). Furthermore, a low UV would seriously limit the  
638 highest OH<sub>exp</sub> that OFR can achieve (~3x10<sup>11</sup> molecules cm<sup>-3</sup> s for modeled good high-NO conditions in  
639 this study), while a much higher OH<sub>exp</sub> would be desirable to fully convert SOA formation potential into  
640 measurable SOA mass. If both good high-NO condition and high OH<sub>exp</sub> are required, new techniques  
641 (e.g., injection of N<sub>2</sub>O at percent level proposed by Lambe et al. (2017)) may be necessary.

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#### 642 4 Conclusions

643 In this study, OFR chemistry involving NO<sub>y</sub> species was systematically investigated over a wide  
644 range of conditions. NO initially injected into the OFR was found to be rapidly oxidized under most  
645 conditions. In particular, due to high O<sub>3</sub> concentrations, NO lifetime in OFR254-iNO was too short to  
646 result in a significant RO<sub>2</sub> consumption by NO compared to that by HO<sub>2</sub> under all conditions with active  
647 chemistry. Nevertheless, it is not completely impossible for OFR185-iNO to have a significant RO<sub>2</sub> fate  
648 by NO and minor non-tropospheric photolysis at the same time ("good high-NO conditions"). According  
649 to our simulations, these conditions are most likely present at high H<sub>2</sub>O, low UV, low OHR<sub>ext</sub>, and NO<sup>n</sup>  
650 of tens to hundreds of ppb.

651 However, many past OFR studies with high NO injection were conducted under conditions  
652 remarkably different from the abovementioned very narrow range. NO<sup>n</sup> and/or OHR<sub>ext</sub> in those studies  
653 were often much higher than good high-NO conditions require (particularly, >3 orders of magnitude in  
654 some OFR studies using combustion emissions as input). In addition to non-tropospheric organic  
655 photolysis, OFR oxidation of highly concentrated sources can cause multiple large deviations from  
656 tropospheric OH oxidation, i.e., RO<sub>2</sub> suppression by high NO<sub>2</sub>, substantial nitroaromatic formation from  
657 the recombination of NO<sub>2</sub> and OH-aromatic adducts, and fast reactions of VOCs with NO<sub>3</sub> compared to  
658 those with OH.

659 Working at lower NO<sub>x</sub> (sub-ppm level) and VOC concentrations or dilution can mitigate these  
660 experimental problems. In general, a strong dilution (by a factor of >100) is needed for OFR that process  
661 typical on-road vehicle emissions. Humidification can also make good conditions more likely. By these  
662 measures, good conditions can be guaranteed, as long as NO and/or precursor concentrations are  
663 sufficiently low, while high-NO conditions cannot be ensured. To aid design and interpretation of OFR  
664 experiments with high NO injection, we provide our detailed modeling results in a visualized form (Fig.  
665 §3). For OFR users in need for both high OH<sub>exp</sub> and high NO, simple NO injection is not a good option.

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670 New techniques (e.g., injection of N<sub>2</sub>O proposed by Lambe et al. (2017) or other innovations) may be  
671 necessary to meet this need.

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680

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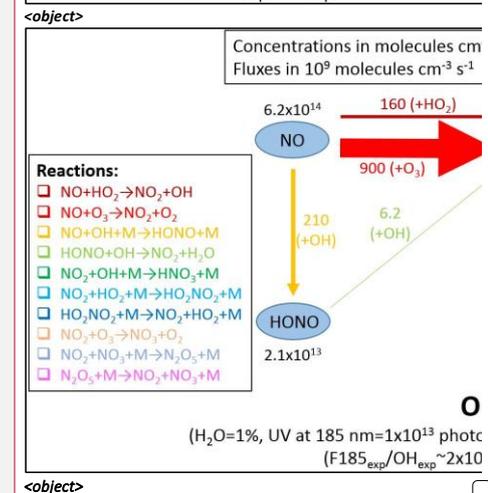
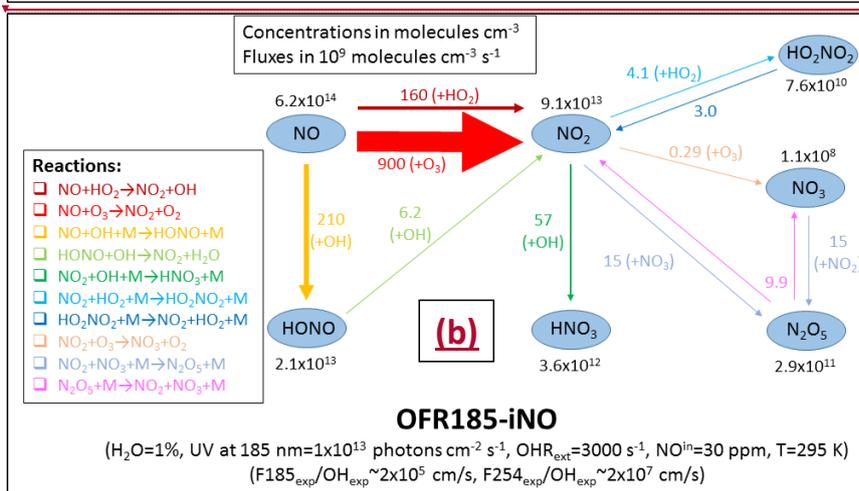
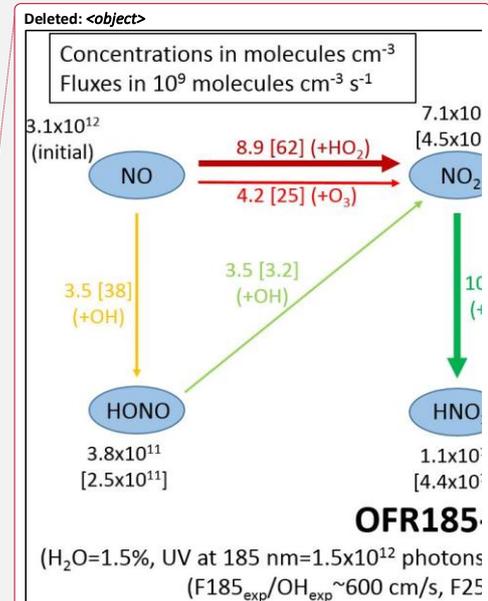
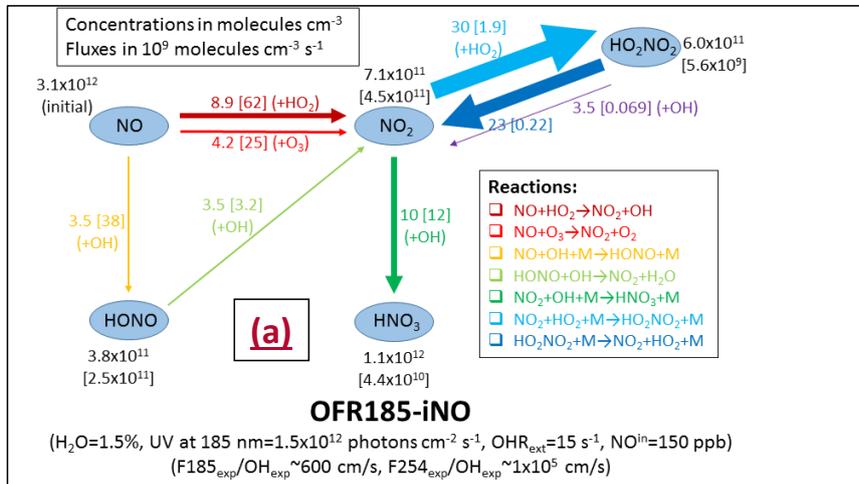
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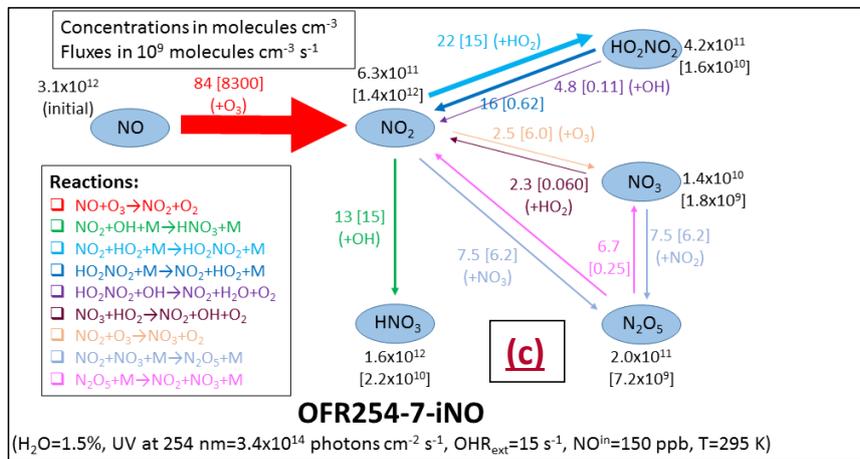
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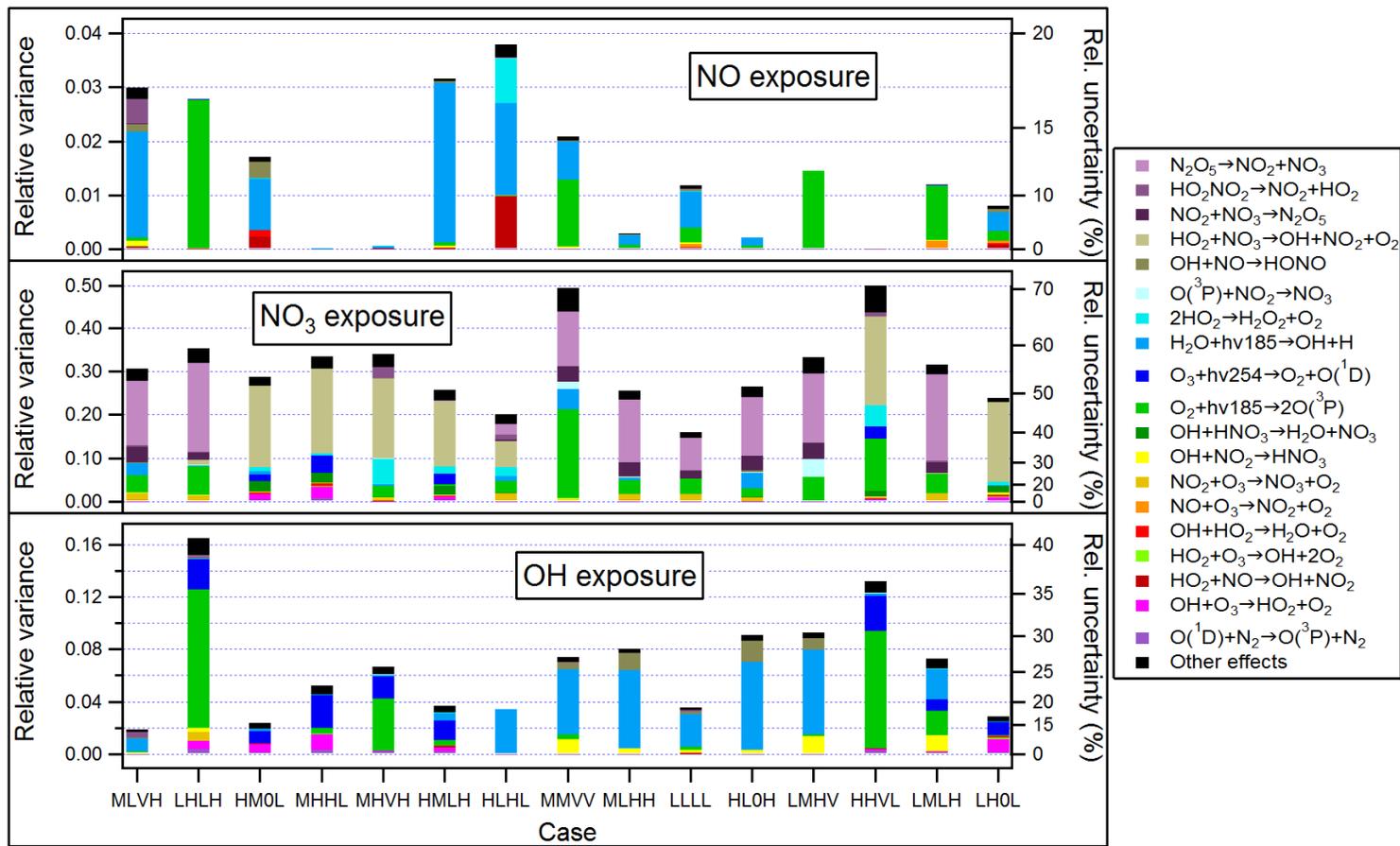
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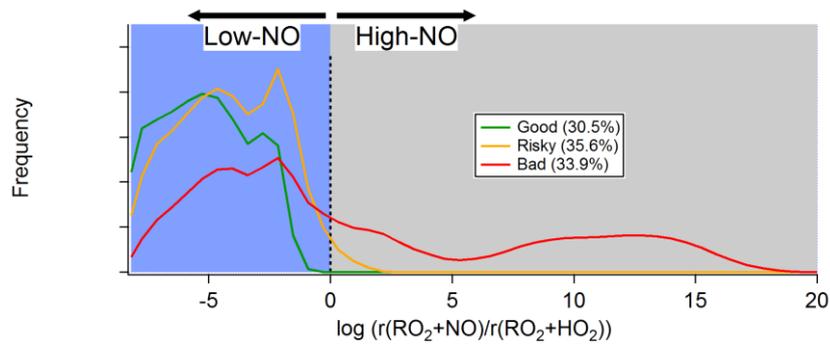
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**Figure 1.** Schematics of main N-containing species and their major interconversion pathways under typical input conditions for (a) OFR185-iNO with NO<sup>in</sup>=150 ppb, (b) OFR254-7-iNO with NO<sup>in</sup>=150 ppb, and (c) OFR185-iNO with NO<sup>in</sup>=30 ppm. Species average concentrations (in molecules  $\text{cm}^{-3}$ ) are shown in black beside species names. Arrows denote directions of the conversions. Average reaction fluxes (in units of  $10^9$  molecules  $\text{cm}^{-3} \text{ s}^{-1}$ ) are calculated according to the production rate, and shown on or beside the corresponding arrows and in the same color. Within each schematic, the thickness of the arrows is a measure of their corresponding species flux. Multiple arrows in the same color and pointing to the same species should be counted only once for reaction flux on a species. Note that all values in these schematics are average ones over the residence time, except for those in square brackets in panels a and b, which are average values within approximate NO effective lifetime ( $\tau_{\text{NO}}$ , or more accurately, an integer multiple of the model's output time step closest to NO effective lifetime). All concentrations and fluxes have two significant digits.



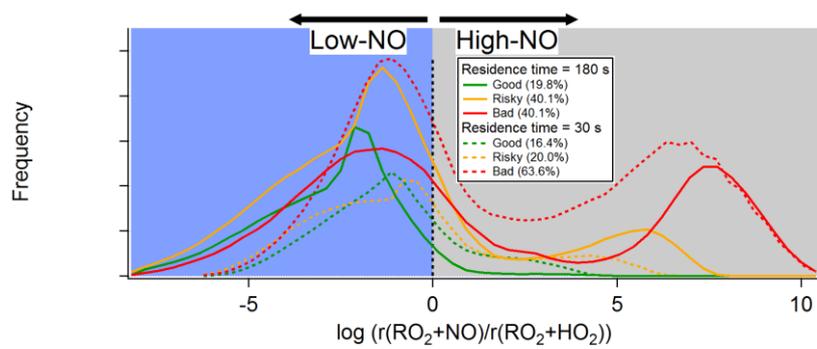
953 **Figure 2.** Relative variances (left axes)/uncertainties (right axes) of several outputs (i.e., NO, NO<sub>3</sub>, and OH exposures) of Monte Carlo uncertainty propagation, and relative  
954 contributions of key reactions to these relative variances in several typical cases (denoted in 4-character labels, see Table 2 for the typical case label code) in OFR185-iNO.  
955 Relative variances are shown in linear scales (left axis), while corresponding relative uncertainties, equal to relative variances' square roots, are indicated by the non-linear  
956 right axis. Only the reactions with a contribution of no less than 0.04 to at least one relative variance are shown.



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(a) OFR254-iNO



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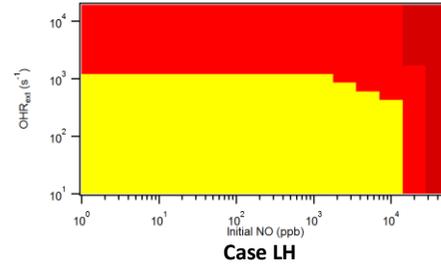
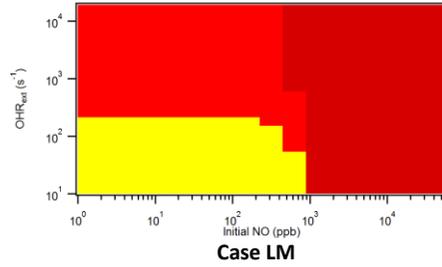
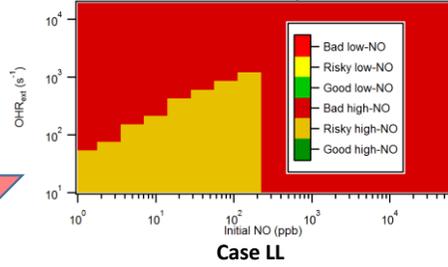
(b) OFR185-iNO

961 **Figure 3.** Frequency occurrence distributions of good, risky, and bad conditions (see Table 3) over  
 962 logarithm of the ratio between RO<sub>2</sub> reacted with NO and with HO<sub>2</sub> (see Section S1 for more detail) for  
 963 (a) OFR254-iNO (only the case with a residence time of 180 s) and (b) OFR185-iNO (including two cases  
 964 with residence times of 180 and 30 s). Low and high-NO regions (see Table 3) are colored in light blue  
 965 and grey, respectively.

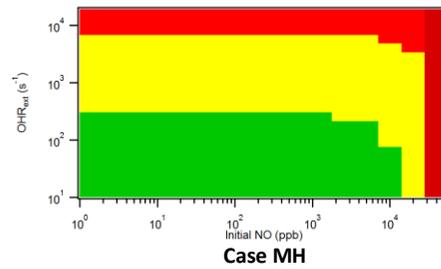
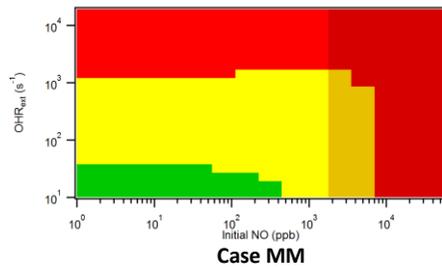
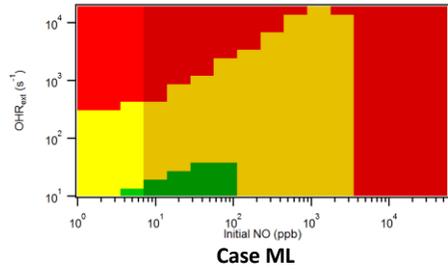
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Increasing UV

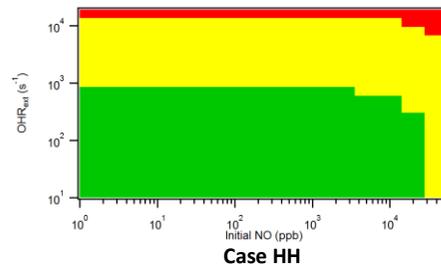
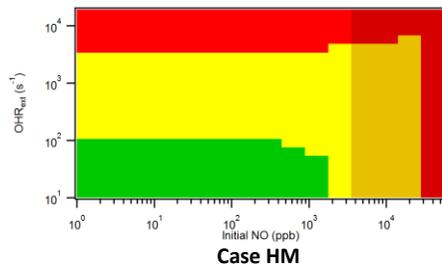
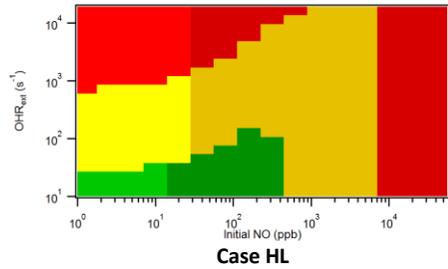
Increasing H<sub>2</sub>O



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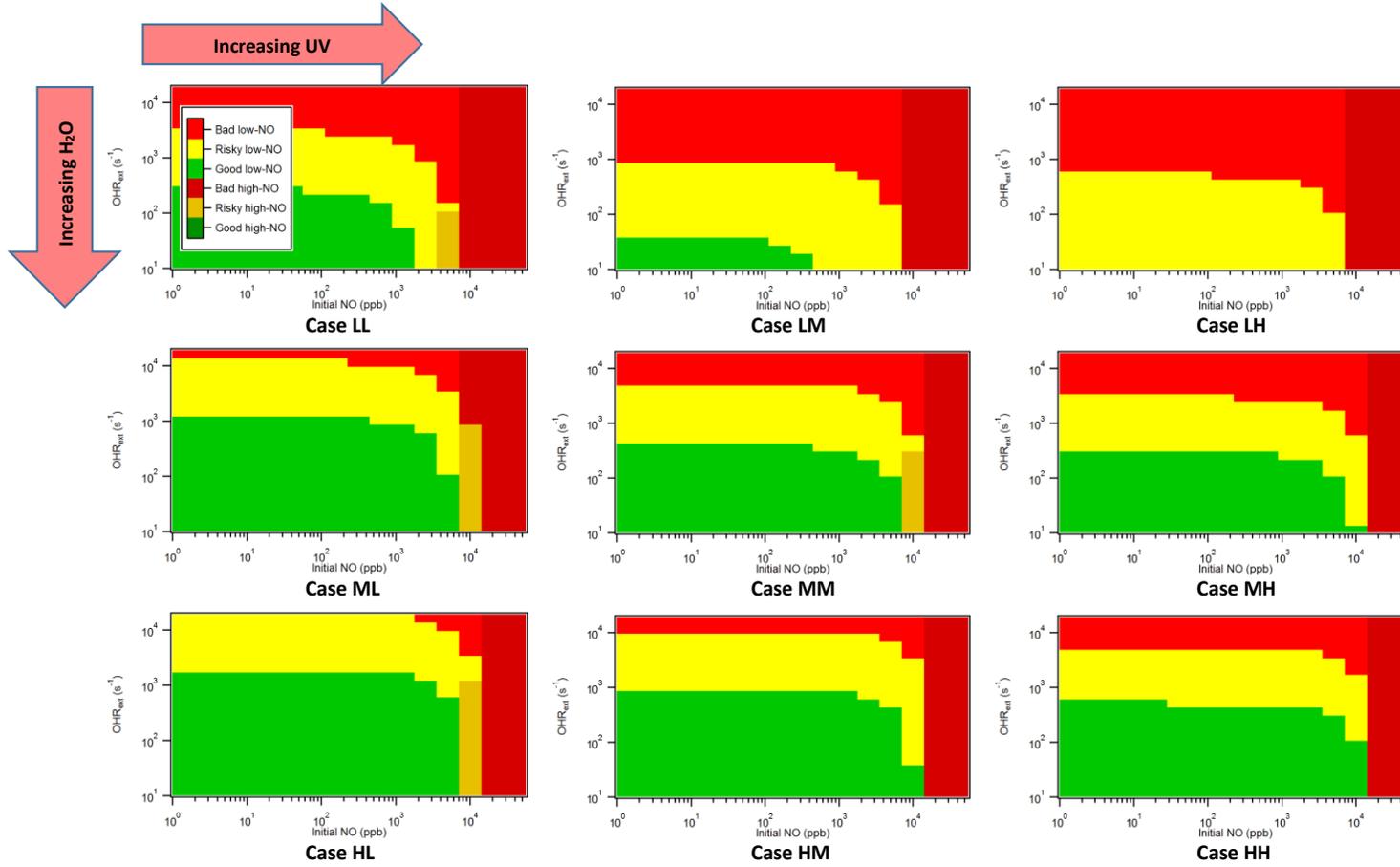
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974 **Figure 4.** Image plots of the condition types defined in Table 3 vs. external OH reactivity (excluding N-containing species) and initial NO for several typical cases in OFR185-  
975 iNO (see Table 2 for the case label code).  
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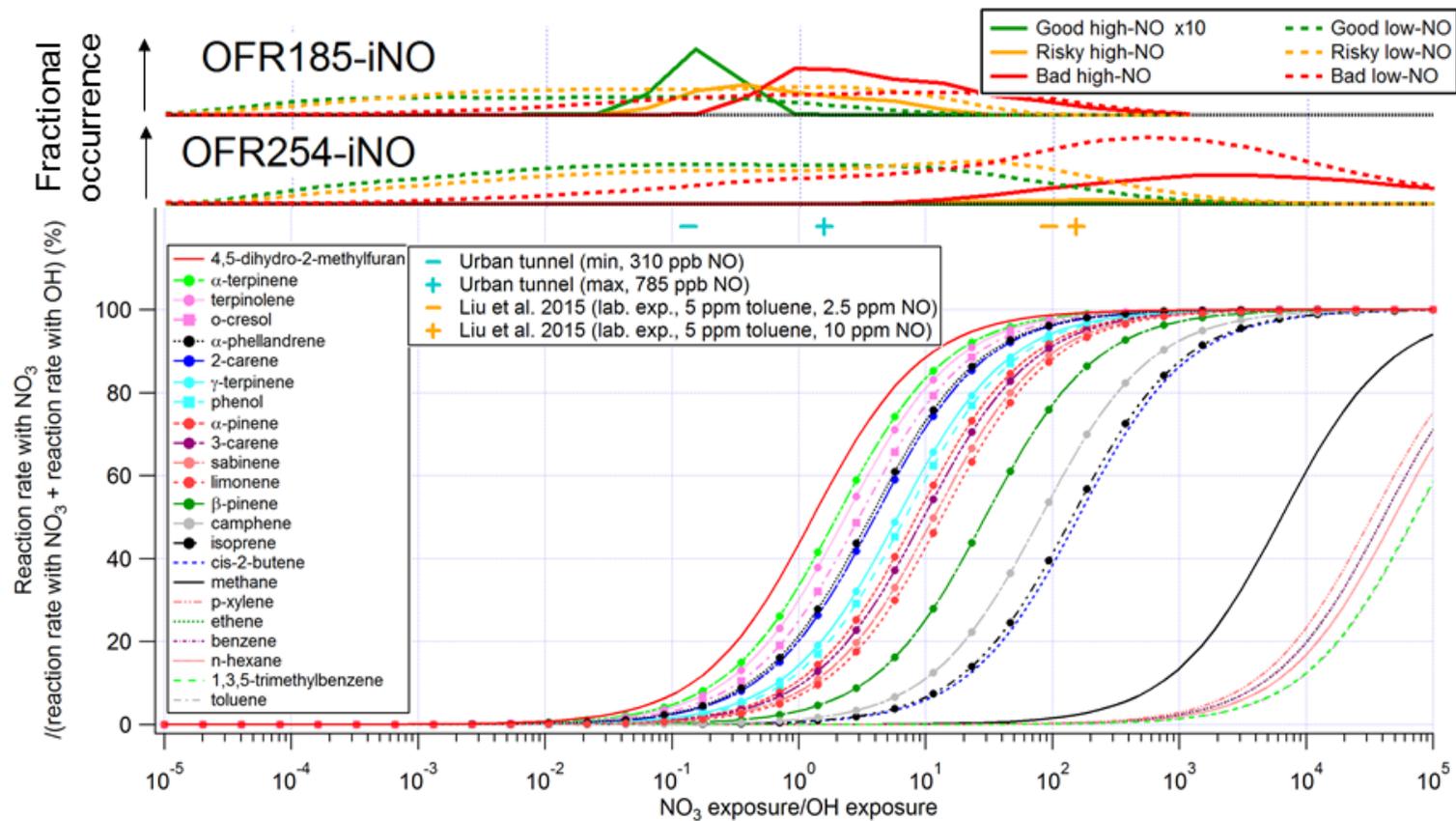


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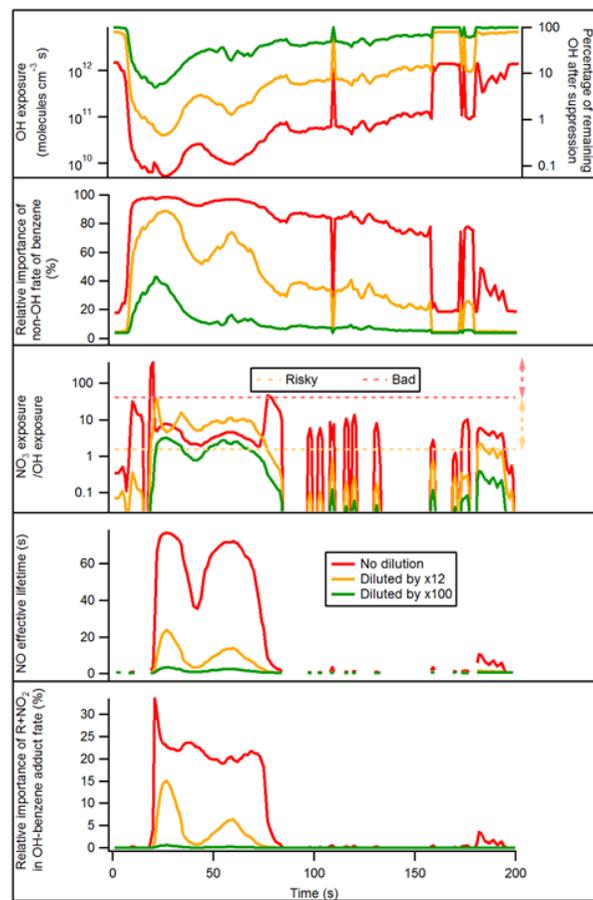
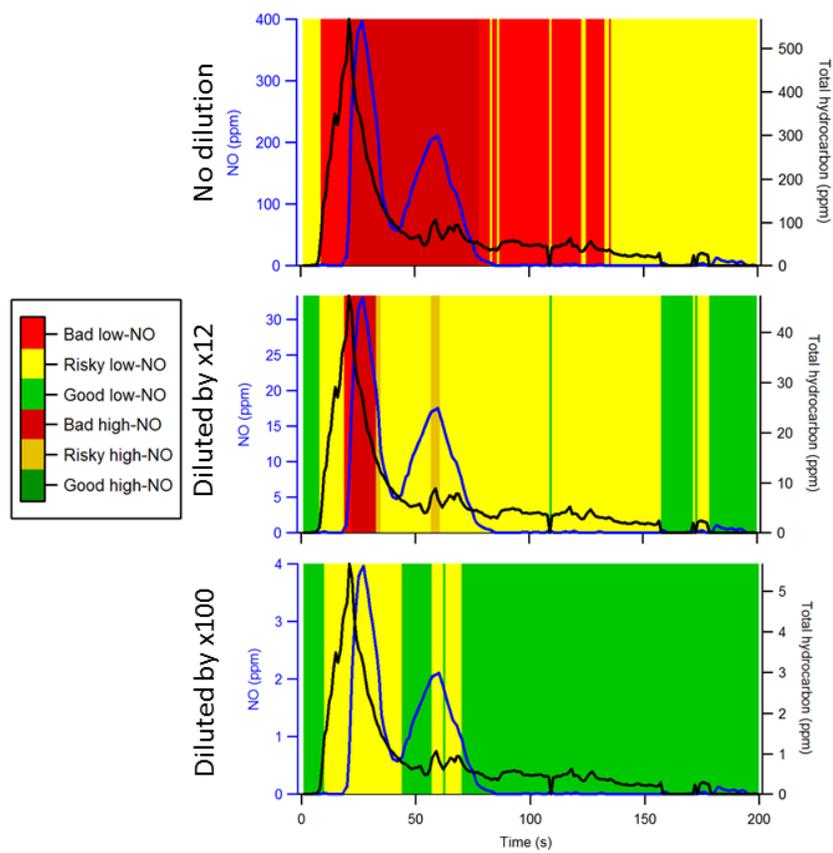
985 **Figure 5.** Same format as Fig. 4, but for OFR254-22-INO.



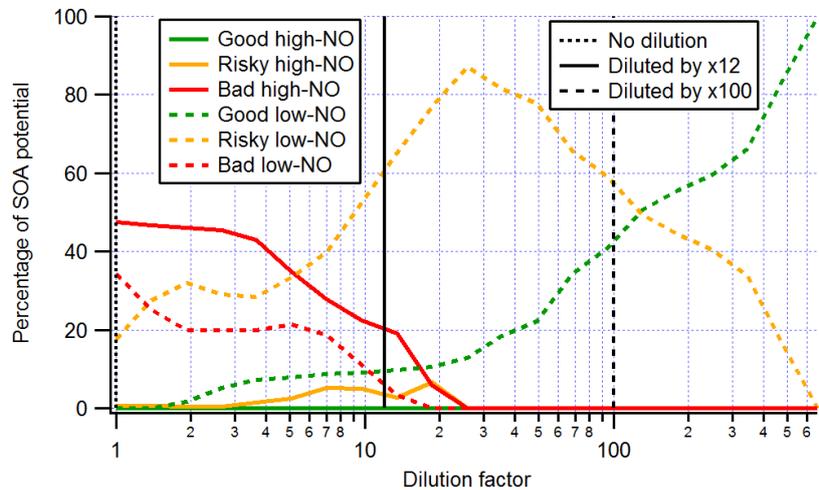
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**Figure 6.** Fractional importance of the reaction rate of several species of interest with  $\text{NO}_3$  vs. that with OH, as a function of the ratio of exposure to  $\text{NO}_3$  and OH. The curves of biogenics and phenols are highlighted by solid dots and squares, respectively. The turquoise and orange markers show the ranges of modeled exposure ratios between  $\text{NO}_3$

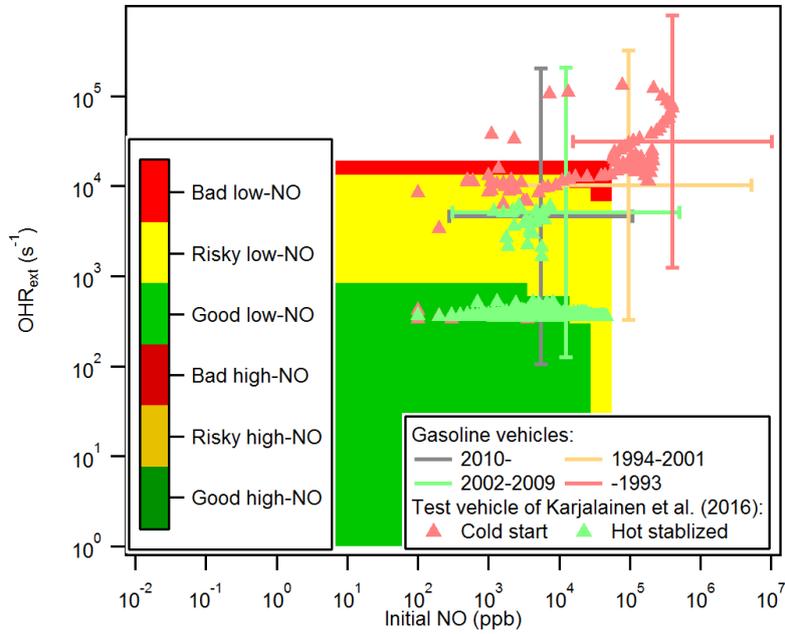
989 and OH of a source study in an urban tunnel (Tkacik et al., 2014) and a laboratory study (Liu et al., 2015) using OFR, respectively. In the upper part of the figure, the modeled  
990 frequency distributions of ratios of NO<sub>3</sub> exposure to OH exposure under good/risky/bad high/low-NO conditions for OFR185-iNO and OFR254-iNO are also shown. See Table  
991 3 for the definitions of the three types of conditions. All curves, markers, and histograms in this figure share the same abscissa.  
992



994 **Figure 7.** (left) NO and total hydrocarbon during the first 200 s of the test of Karjalainen et al. (2016) in the cases of no dilution, dilution by a factor of 12 (as actually done in  
995 that study), and dilution by a factor of 100. Different periods of time are colored according to corresponding emissions (i.e., input conditions for OFR), classified as  
996 good/risky/bad high/low-NO. (right) OH exposure/percentage of remaining OH after suppression, relative importance of non-OH fate of benzene, exposure ratio of NO<sub>3</sub> to  
997 OH, NO effective lifetime, and relative importance of reaction of OH-toluene adduct with NO<sub>2</sub> in the fate of this adduct in the OFR of Karjalainen et al. (2016) during the first  
998 200 s of their test in the cases of no dilution, dilution by a factor of 12, and dilution by a factor of 100. Horizontal orange and red dashed lines in the middle right panel denote  
999 “risky” and “bad” regions for exposure ratio of NO<sub>3</sub> to OH, respectively. Above the orange (red) dashed line, reaction with NO<sub>3</sub> contributes >20% to the fate of phenol (isoprene).



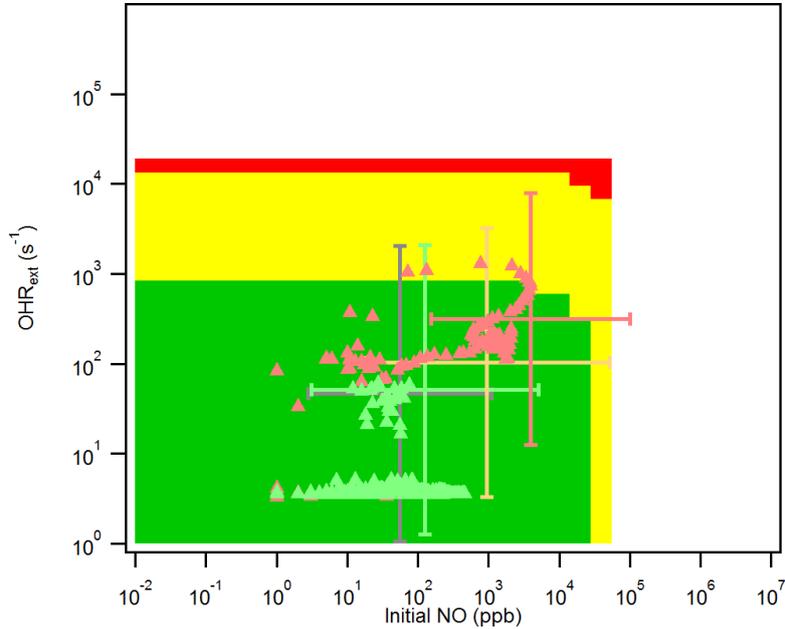
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 1001 **Figure 8.** Secondary organic aerosol (SOA) potential (estimated from the total hydrocarbon  
 1002 measurement) in the OFR of Karjalainen et al. (2016) formed during periods of time in the OFR  
 1003 corresponding to good/risky/bad high/low-NO conditions, as a function of dilution factor. Vertical lines  
 1004 denoting dilution factors of 1, 12 (as actually used in that study), and 100 are also shown.  
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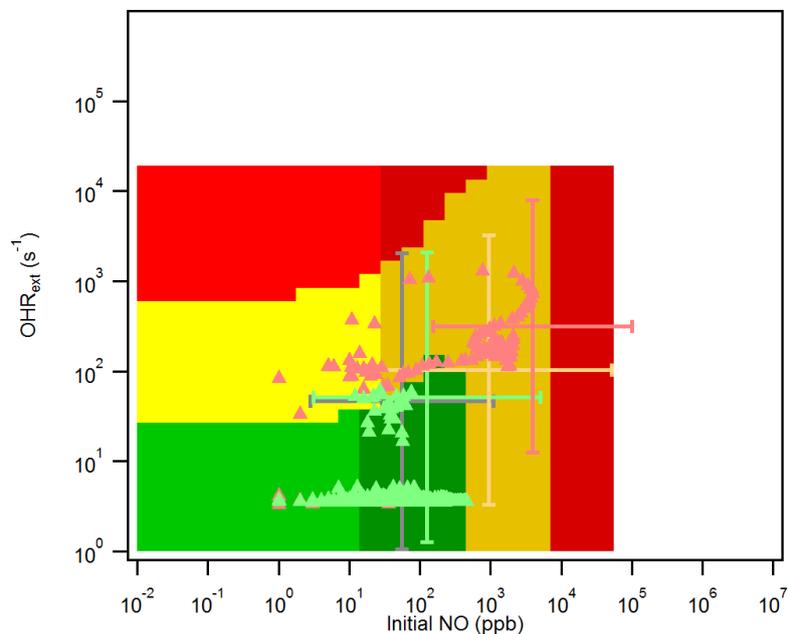
(a) No dilution (background: Case HH)



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(b) Dilution by a factor of 100 (background: Case HH)



(c) Dilution by a factor of 100 (background: Case HL)

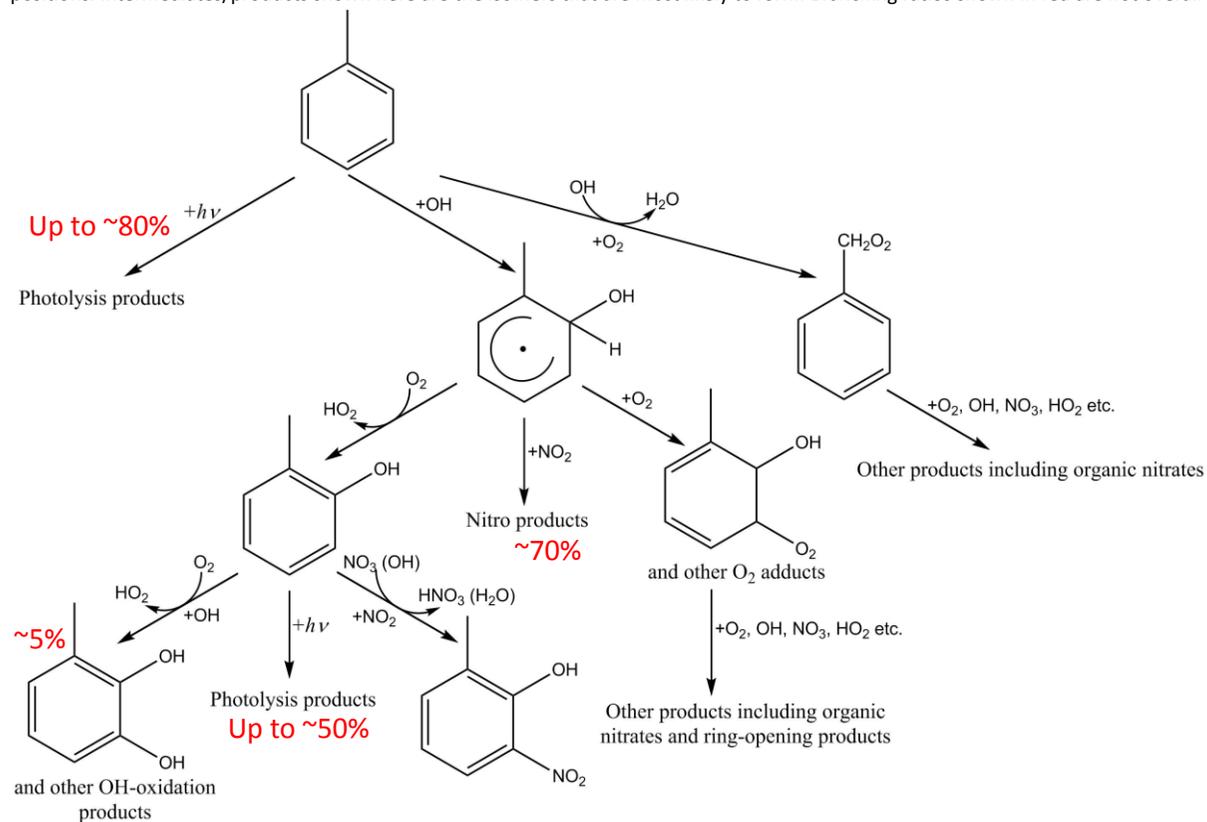
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1012 **Figure 9.** Location of individual 1 s datapoints vs. OFR185-iNO reaction conditions. Datapoints are shown  
 1013 from the test vehicle of Karjalainen et al. (2016), as well as average exhaust from gasoline vehicle on-  
 1014 road emissions measured by Bishop and Stedman (2013). On-road emissions are classified by vehicle  
 1015 year and the distribution of each category is shown as a cross representing 1 standard deviation (with  
 1016 log-normal distribution assumed). The X and Y axes are NO and external OH reactivity (excluding N-  
 1017 containing species) due to vehicle emissions in OFR in the cases of (a) no dilution and (b,c) dilution by a  
 1018 factor of 100. The Karjalainen et al. (2016) points are classified as cold start (during first 200 s) and hot  
 1019 stabilized (during 200–1000 s). In addition, the same image plots as the panels of Cases HH (high H<sub>2</sub>O  
 1020 and high UV, see Table 2 for the case label code) and HL in Fig. 4 (OFR185-iNO) are shown as background  
 1021 for comparison.

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1023 **Scheme 1.** Possible major reactions in an OFR254-13-iNO with 5 ppm toluene and 10 ppm initial NO. Branching ratios in red are estimated by the model and/or according to  
 1024 Calvert et al. (2002), Atkinson and Arey (2003), Ziemann and Atkinson (2012), and Peng et al. (2016). Note that addition/substitution on the aromatic ring may occur at other  
 1025 positions. Intermediates/products shown here are the isomers that are most likely to form. Branching ratios shown in red are not overall but from immediate reactant.



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**Table 1.** Experimental conditions of several OFR studies with high NO injection.

Study	Source type	Temperature (K)	Relative humidity (%)	Dilution factor	External OH reactivity of undiluted source (s <sup>-1</sup> )	Source NO <sub>x</sub> concentration (ppm)
Link et al. (2016)	Diesel vehicle emission		50	45–110	~5000 <sup>*1</sup>	436 <sup>*1</sup>
Martinsson et al. (2015)	Biomass burning emission			1700	156400 <sup>*1</sup>	154
Karjalainen et al. (2016)	Gasoline vehicle emission	295	60	12	~73000 <sup>*2,a</sup>	~400 <sup>*1,b</sup>
Liu et al. (2015)	Purified gas	293	13	1	~1400 <sup>*1,a</sup>	10 <sup>*1,b</sup>
Tkacik et al. (2014)	Tunnel air	293	42	1	~60 <sup>*1,a</sup>	~0.8 <sup>*1</sup>
Ortega et al. (2013)	Biomass burning emission	290	30	~500	~15-500	~0.2

<sup>\*1</sup> maximum value in the study<sup>\*2</sup> value at the moment of maximum NO emission<sup>\*a</sup> NO<sub>y</sub> species excluded<sup>\*b</sup> NO only1028  
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1033 **Table 2.** Code of the labels of typical cases. A case label can be composed of four characters denoting the water mixing ratio, the photon flux, the external OH reactivity  
 1034 excluding N-containing species, and the initial NO mixing ratio, respectively. A case label can also be composed of two characters denoting the water mixing ratio and the  
 1035 photon flux.

	Water mixing ratio	Photon flux	External OH reactivity (no ON)	Initial NO mixing ratio
	L=low (0.07%)	L=low ( $10^{11}$ photons $\text{cm}^{-2} \text{s}^{-1}$ at 185 nm; $4.2 \times 10^{13}$ photons $\text{cm}^{-2} \text{s}^{-1}$ at 254 nm)	0	0
Options	M=medium (1%)	M=medium ( $10^{13}$ photons $\text{cm}^{-2} \text{s}^{-1}$ at 185 nm; $1.4 \times 10^{15}$ photons $\text{cm}^{-2} \text{s}^{-1}$ at 254 nm)	L=low ( $10 \text{ s}^{-1}$ )	L=low (10 ppb)
	H=high (2.3%)	H=high ( $10^{14}$ photons $\text{cm}^{-2} \text{s}^{-1}$ at 185 nm; $8.5 \times 10^{15}$ photons $\text{cm}^{-2} \text{s}^{-1}$ at 254 nm)	H=high ( $100 \text{ s}^{-1}$ )	H=high (316 ppb)
			V=very high ( $1000 \text{ s}^{-1}$ )	V=very high (10 ppm)
Example	LHOV:	low water mixing ratio, high photon flux, no external OH reactivity (excluding ON), very high initial NO mixing ratio		
	ML:	medium water mixing ratio, low photon flux		

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1038 **Table 3.** Definition of condition types in this study (good/risky/bad high/low-NO).

Condition	Good	Risky	Bad
Criterion	$F_{185_{\text{exp}}}/OH_{\text{exp}} < 3 \times 10^3 \text{ cm s}^{-1}$ and $F_{254_{\text{exp}}}/OH_{\text{exp}} < 4 \times 10^5 \text{ cm s}^{-1}$	$F_{185_{\text{exp}}}/OH_{\text{exp}} < 1 \times 10^5 \text{ cm s}^{-1}$ and $F_{254_{\text{exp}}}/OH_{\text{exp}} < 1 \times 10^7 \text{ cm s}^{-1}$ (excluding good conditions)	$F_{185_{\text{exp}}}/OH_{\text{exp}} \geq 1 \times 10^5 \text{ cm s}^{-1}$ or $F_{254_{\text{exp}}}/OH_{\text{exp}} \geq 1 \times 10^7 \text{ cm s}^{-1}$
Condition	High-NO	Low-NO	
Criterion*	$\frac{r(\text{RO}_2 + \text{NO})}{r(\text{RO}_2 + \text{HO}_2)} > 1$	$\frac{r(\text{RO}_2 + \text{NO})}{r(\text{RO}_2 + \text{HO}_2)} \leq 1$	

\* See Section S1 for detail.

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**Table 4.** Statistics of the ratio between OH exposures calculated in the model with the Lambe et al. (2011) residence time distribution ( $OH_{exp,RTD}$ ) and in the plug-flow model ( $OH_{exp,PF}$ ). The geometric mean, uncertainty factor (geometric standard deviation), and percentage of outlier cases ( $>3$  or  $<1/3$ ) are shown for OFR185-iNO, OFR254-70-iNO, and OFR254-7-iNO.

	<u>Geometric mean</u>	<u>Uncertainty factor</u>	<u>Outlier cases (%)</u>
<u>OFR185-iNO</u>	<u>1.91</u>	<u>1.64</u>	<u>11</u>
<u>OFR254-7-iNO</u>	<u>1.59</u>	<u>1.51</u>	<u>7</u>
<u>OFR254-70-iNO</u>	<u>1.48</u>	<u>1.29</u>	<u>3</u>

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