

Author's comments in reply to the editor for "Decadal changes in global surface NO_x emissions from multi-constituent satellite data assimilation" by K. Miyazaki et al.

We want to thank the editor for the helpful comments. We have revised the manuscript according to the comments, and hope that the revised version is now suitable for publication. Below are the referee comments in italics with our replies in normal font.

Reply to the editor

After thorough consideration of revision 2 of the MS, I believe that you have addressed the reviewer's concerns. However, I feel that the sentence in response to the second major concern, dealing with the diurnal cycle, could be worded stronger or, at least, better explained.

The sentences have been revised as follows:

“Large negative values of Etc are also found over northern China including Inner Mongolia, northern India, and the Middle East, where various emission sources (not only mobile sources with morning peaks) could be important. For instance, over Inner Mongolia, the estimated emissions show a positive trend over the past decade (around 110E, 41N in Fig 12), which could be associated with increased emissions by power plants and industries without morning peaks. These results suggest a larger negative bias in simulated tropospheric NO₂ column in the morning than in the afternoon, associated with errors in the chemical lifetime and atmospheric transports (e.g., boundary layer development) and also associated with biases between the different NO₂ retrievals. Thus, the model errors could artificially affect the diurnal emission variability.”

1 Decadal changes in global surface NO_x emissions from multi-constituent 2 satellite data assimilation

3
4 `\begin{abstract}`

5
6 Global surface emissions of nitrogen oxides (NO_x) over a ten-year period (2005--
7 2014) are estimated from an assimilation of multiple satellite datasets: tropospheric
8 NO_2 columns from OMI, GOME-2, and SCIAMACHY; O_3 profiles from
9 TES; CO profiles from MOPITT; and O_3 and HNO_3 profiles from MLS
10 using an ensemble Kalman filter technique. Chemical concentrations of various species and
11 emission sources of several precursors are simultaneously optimized. This is expected to
12 improve the emission inversion because the emission estimates are influenced by biases in the
13 modelled tropospheric chemistry, which can be partly corrected by also optimizing the
14 concentrations. We present detailed distributions of the estimated emission distributions for
15 all major regions, the diurnal and seasonal variability, and the evolution of these emissions
16 over the ten-year period. The estimated regional total emissions show a strong positive trend
17 over India (+29 %/decade), China (+26 %/decade), and the Middle East (+20 %/decade),
18 and a negative trend over the United States (-38 %/decade), Southern Africa (-8.2 %/decade),
19 and western Europe (-8.8 %/decade). The negative trends in the United States and western
20 Europe are larger during 2005--2010 relative to 2011--2014, whereas the trend in China
21 becomes negative after 2011. The data assimilation also suggests a large uncertainty in
22 anthropogenic and fire-related emission factors and an important underestimation of soil
23 NO_x sources in the emission inventories. Despite the large trends observed for
24 individual regions, the global total emission is almost constant between 2005 (47.9
25 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$) and 2014 (47.5 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$).

26
27 `\end{abstract}`

28
29 `\introduction`

30

1 Nitrogen oxides ($\text{NO}_x = \text{NO} + \text{NO}_2$) play an important role in air
2 quality, tropospheric chemistry, and climate. Tropospheric NO_x concentrations are
3 highly variable in both space and time, reflecting its short chemical lifetime in the atmosphere
4 and the heterogeneous distribution of its sources and sinks. Emission sources are important in
5 determining the amount and distribution of NO_x . Natural NO_x sources
6 include biogenic emissions from bacteria in soils, biomass burning, and lightning.
7 Anthropogenic NO_x sources include fossil fuel and biofuel combustion, emissions
8 from vehicle transport, and industrial emissions. Bottom-up inventories from different sources
9 and regions contain large uncertainties, which result from inaccurate emission factors and
10 activity rates for each source category. Examples include traffic rush hours, economic activity,
11 biomass-burning activity, wintertime-heating of buildings, and rain-induced emission pulses
12 of NO_x (e.g., Velders et al., 2001; Jaegl' {e} et al., 2005; Wang et al., 2007; Xiao et
13 al., 2010; Streets et al., 2013; Castellanos et al., 2014; Reuter et al., 2014; Vinken et al., 2014;
14 Oikawa et al., 2015). As a result, bottom-up inventories generally do poorly at representing
15 the spatial and temporal variability at multiple scales (i.e., diurnal, daily, seasonal, and
16 interannual). Large uncertainties in biomass burning emissions mainly reflect a relative lack
17 of observations for characterizing the large spatial and temporal variations of burning
18 conditions (Castellanos et al., 2014). The wide range in soil NO_x emission estimates
19 in previous studies reflect incomplete knowledge of the emission factors and processes
20 driving these emissions (Oikawa et al., 2015). Recent studies (e.g., Steinkamp and Lawrence,
21 2011, Hudman et al., 2012, Vinken et al., 2014) suggest that soil NO_x emissions are
22 likely around 10 Tg N yr^{-1} , a considerable increase relative to earlier studies that
23 assumed about 5 Tg N yr^{-1} soil NO_x emissions (Yienger and Levy,
24 1995). Large uncertainties are also in lightning NO_x (LNO_x) source
25 estimates. Schumann and Huntrieser (2007) provided a best estimate of 5 Tg N yr^{-1}
26 for the annual global LNO_x source. More recently, Murray et
27 al. (2012), Stavrakou et al. (2013), and Miyazaki et al. (2014) estimated at 6 ± 0.5 , 3.3 --
28 5.9 , and $6.3 \pm 1.4 \text{ Tg N yr}^{-1}$, respectively.

29

30

31 Tropospheric NO_2 columns retrieved from satellite measurements, including the
32 Global Ozone Monitoring Experiment (GOME), Scanning Imaging Absorption Spectrometer

1 for Atmospheric Cartography (SCIAMACHY), GOME-2, and the Ozone Monitoring
2 Instrument (OMI), have been used to infer NO_x emissions using top-down
3 approaches (e.g., Martin et al., 2003; Richter, 2004; Jaegl'et al., 2005; van der A et al.,
4 2006; Zhang et al., 2007; Boersma et al., 2008a; Stavrakou et al., 2008; van der A et al., 2008;
5 Kurokawa et al., 2009; Zhao and Wang, 2009; Lamsal et al., 2010; Lin et al., 2010; Miyazaki
6 et al., 2012a; Gu et al., 2013; Mijling et al., 2013; Vinken et al., 2014; Ding et al., 2015; Lu et
7 al., 2015). Long-term tropospheric NO_2 column records have allowed us to
8 investigate changes in the atmospheric environment over the past decade as a result of
9 economic growth and emission controls over major polluted regions (Castellanos and
10 Boersma, 2012; Hilboll et al., 2013; Cui et al., 2015; Lelieveld et al., 2015; Wang et al., 2015;
11 Duncan et al., 2016; Krotkov et al. 2016).

12
13 Advanced data assimilation techniques such as four-dimensional variational assimilation (4D-
14 VAR) (Müller and Stavrakou, 2005; Kurokawa et al., 2009; Chai et al., 2009) and
15 ensemble Kalman filter (EnKF) (Miyazaki et al., 2012a, 2012b, 2014, 2015) have been
16 employed to take full advantage of the chemical transport model (CTM) and satellite
17 retrievals in top-down emission estimates. These advanced techniques consider flow-
18 dependent forecast error covariance and take errors from both the model and retrievals into
19 account. These advantages are considered essential for improving long-term global emission
20 estimates, as dominant atmospheric processes, the emission--concentration relationships, and
21 observational sampling and errors must be incorporated into the analysis. These advanced
22 methodologies can readily assimilate multiple-species. The additional observations of
23 O_3 and CO constrain surface NO_x emissions through their
24 indirect impact on NO_2 concentrations through tropospheric chemistry. These
25 species directly influence OH concentrations, which control the NO_x
26 variability and lifetime, and indirectly the accuracy of the emission estimates. Chemically
27 consistent, multi--constituent assimilation is an advance over conventional approaches, which
28 assume NO_2 observations are uniquely controlled by NO_x emissions.

29
30 Various sources of error in current chemical transport models (CTMs) impact the simulated
31 NO_x lifetime and the accuracy of NO_x emission inversions (Lin et al.,
32 2012a; Miyazaki et al., 2012a; Stavrakou et al., 2013). Stavrakou et al. (2013) showed the

1 strong effect of chemical NO_x loss uncertainties on top-down NO_x source
2 inversions. OH is the main radical responsible for the removal of atmospheric
3 pollution and for determining the lifetime of many chemicals including NO_x (Levy,
4 1971; Logan et al., 1981; Thompson, 1992), but its concentrations in CTMs are considered to
5 have large uncertainties (Naik et al., 2013; Miyazaki et al., 2015; Patra et al., 2015).
6 Meanwhile, representations of LNO_x sources are essential for realistic
7 representations of tropospheric NO_2 columns, but current parameterizations contain
8 large uncertainties (Martin et al., 2007; Schumann and Huntrieser, 2007; Miyazaki et al.,
9 2014). Errors in representing these natural sources of NO_2 can directly propagate
10 into surface NO_x emissions estimates.

11
12 Increasing attention has been paid to combining observations of multiple-species to improve
13 the analysis of tropospheric chemistry, including for NO_x emission estimates.
14 Measurements of species other than NO_2 (e.g., O_3 and HNO_3)
15 could improve the representation of NO_x in models through their chemical
16 interactions with NO_x (e.g., Hamer et al., 2015). Advanced data assimilation
17 techniques such as 4D-VAR and EnKF propagate observational information from a limited
18 number of observed species to a wide range of chemical components. Miyazaki et al. (2012b,
19 2014, 2015) and Miyazaki and Eskes (2013) demonstrated that the assimilation of multiple-
20 species observations, taking their complex chemical interactions into account using an EnKF
21 technique, can provide comprehensive constraints on both concentration and emissions, and
22 this approach has the potential to improve emission inversions by accounting for confounding
23 factors in the relationship between NO_x emissions and NO_2
24 concentrations. Because of the simultaneous assimilation of multiple-species data with
25 optimisation of both the concentrations and emission fields, the global distribution of
26 OH was modified considerably, decreasing the OH gradient between NH and
27 SH (Miyazaki et al., 2015). The changes in OH are the important chemical pathway
28 for propagating observational information between various species and for modulating the
29 chemical lifetimes among these species.

30
31 In this study, we estimate global surface NO_x emissions between 2005 and 2014
32 using the assimilation of multiple-species data from OMI NO_2 , GOME-2

1 NO_2 , SCIAMACHY NO_2 , Tropospheric Emission Spectrometer (TES)
2 O_3 , Measurement of Pollution in the Troposphere (MOPITT) CO , and
3 Microwave Limb Sounder (MLS) O_3 and HNO_3 retrievals using an EnKF
4 technique. We attempted to optimize the diurnal variations in surface NO_x
5 emissions, while updating daily, seasonal, and interannual emission variations, based on a
6 combination of three NO_2 retrievals obtained at different overpass times. The
7 assimilation of multiple chemical data sets with different vertical sensitivity profiles provides
8 comprehensive constraints on the global NO_x emissions while improving the
9 representations of the entire chemical system affecting tropospheric NO_2 column
10 variations, including LNO_x sources. Based on the EnKF estimations, this study
11 presents detailed distributions of the surface NO_x emissions for all major regions,
12 the diurnal and seasonal variability, and the development over the ten-year period.

13

14 `\section{Methodology}`

15

16 The data assimilation system is constructed based on the global CTM MIROC-Chem
17 (Watanabe et al. 2011) and on a variance of the EnKF technique. The basic framework is
18 similar to the system used to produce tropospheric chemistry reanalysis data
19 (<http://www.jamstec.go.jp/res/res/kmiyazaki/reanalysis>)
20) in our previous study (Miyazaki et al., 2015); however, some updates to the data
21 assimilation framework have been made and the calculation has been extended to cover the
22 ten years from 2005 to 2014, as described below.

23

24 `\subsection{MIROC-Chem model and a priori emissions}`

25

26 The original forecast model used in our previous study (CHASER ; Sudo et al. 2002) is
27 replaced by the newer MIROC-Chem model (Watanabe et al., 2011). MIROC-Chem
28 represents the chemistry part of the MIROC-ESM Earth system model. It considers detailed
29 photochemistry in the troposphere and stratosphere by simulating tracer transport, wet and dry
30 deposition, and emissions, and calculates the concentrations of 92 chemical species and 262
31 chemical reactions (58 photolytic, 183 kinetic, and 21 heterogeneous reactions). Its

1 tropospheric chemistry was developed based on the CHASER model, with many updates to
2 chemical reactions and emissions, considering the fundamental chemical cycle of O_x-
3 \chem{NO_x}-HO_x-CH_4-CO along with oxidation of NMVOCs (ethane,
4 ethane, propane, propene, butane, acetone, methanol, isoprene, and terpenes) to properly
5 represent ozone chemistry in the troposphere. Its stratospheric chemistry was developed based
6 on the CCSR/NIES stratospheric chemistry model (Akiyoshi et al., 2004), which calculates
7 chlorine and bromine containing compounds, CFCs, HFCs, OCS, N_2O, and the
8 formation of PSCs and associated heterogeneous reactions on their surfaces.

9

10 MIROC-Chem has a T42 horizontal resolution (approximately 2.8°^{circ}×2.8°^{circ})
11 and uses the hybrid terrain-following pressure vertical coordinate system with 32 vertical
12 levels from the surface to 4.4 hPa. It is coupled to the atmospheric general circulation model
13 MIROC-AGCM version 4 (Watanabe et al., 2011). The radiative transfer scheme considers
14 absorption within 37 bands, scattering by gases, aerosols, and clouds, and the effect of surface
15 albedo. Detailed radiation calculations are used for photolysis calculation. The MIROC-
16 AGCM fields were nudged toward the 6-hourly ERA-Interim (Dee et al., 2011) at every
17 model time step to reproduce past meteorological fields and to simulate short-term (i.e., less
18 than 6 hours) meteorological variability and sub-grid scale transport effects.

19

20 The forecast model update from CHASER to MIROC-Chem improved the simulated profiles
21 of various tropospheric species (not shown). The inclusion of stratospheric chemistry in
22 MIROC-Chem allowed us to provide reasonable estimates of a priori profiles and their
23 ensemble spread in the stratosphere. Since TES O_3 and MOPITT CO retrievals in
24 the troposphere, together with MLS retrievals, have sensitivity to the lower stratospheric
25 concentration to some degree, the improved representation of background error covariance in
26 the stratosphere, as estimated from ensemble model simulations, meant that satellite retrievals
27 are more effectively assimilated into the updated system throughout the troposphere and
28 stratosphere through the use of observation operator (c.f., Sec. 2.3).

29

30 The a priori values for surface emissions of NO_x and CO were obtained
31 from bottom-up emission inventories. Annual total anthropogenic NO_x and

1 CO emissions were obtained from the Emission Database for Global Atmospheric
2 Research (EDGAR) version 4.2 (EC JRC/PBL, 2012) for 2005--2008. Emissions from
3 biomass burning were based on the monthly Global Fire Emissions Database (GFED) version
4 3.1 (van der Werf et al., 2010) for 2005--2011. Emissions from soils were based on monthly
5 mean Global Emissions Inventory Activity (GEIA) (Yienger and Levy, 1995). To cover data
6 limitations during 2005--2014, EDGAR emissions for 2008 were used in the calculations for
7 2009--2014, and GFED emissions averaged over 2005--2011 were used in the 2012--2014
8 calculation. The global total a priori NO_x emissions averaged over the 2005-2014
9 period from anthropogenic sources, biomass burning, and soils are 28.7, 4.3, and 5.4
10 Tg N yr^{-1} , respectively. The total aircraft NO_x emission is 0.55
11 Tg N yr^{-1} , which is obtained from the EDGAR inventory.

12

13 Following the settings of Lotos-Euros (Schaap et al., 2008) and Boersma et al. (2008b), we
14 applied anthropogenic-type diurnal variations for total emissions with maxima in morning and
15 in evening with a factor of about 1.5 (black dotted line in Fig. 1, for which the daily mean
16 hourly emission value is 1) in Europe, eastern China, South Korea, Japan, India, and North
17 America; biomass burning-type variations with a rapid increase in morning and maximal
18 emissions in the mid-day with a maximum factor of about 4 in North and central Africa,
19 southeast Asia, and northern and central South America; and soil-type diurnal variations with
20 maximal emissions in afternoon with a factor of about 1.2 in Australia, Sahara, western China,
21 and Mongolia.

22

23 LNO_x sources in MIROC-Chem were calculated in conjunction with the convection
24 scheme of MIROC-AGCM. The global distribution of the flash rate was parameterised for
25 convective clouds based on the relationship between lightning activity and cloud top height
26 (Price and Rind, 1992). The vertical profiles of the LNO_x sources are determined on
27 the basis of the C-shaped profile given by Pickering et al. (1998). The mean yearly global
28 flash rate obtained for 2005--2014 was 42.4 flashes s^{-1} , which is close to
29 climatological estimates of 46 flashes s^{-1} derived from Lightning Imaging Sensor
30 (LIS) and Optical Transient Detector (OTD) measurements (Cecil et al., 2014). The
31 LNO_x sources were optimized in the data assimilation runs, following the method
32 of Miyazaki et al. (2014).

1

2 \subsection{Emission estimates from EnKF data assimilation}

3

4 Data assimilation is based on an ensemble square root filter (SRF) EnKF approach (i.e., a
5 local ensemble transform Kalman filter; LETKF; Hunt et al., 2007). As in other EnKF
6 approaches, the background error covariance is estimated from ensemble model forecasts
7 based on the assumption that background ensemble perturbations sample the forecast errors.
8 Using the covariance matrices of observation error and background error, the data
9 assimilation determines the relative weights given to the observation and the background, and
10 then transforms a background ensemble into an analysis ensemble. Unlike standard EnKF
11 analyses, the LETKF analysis is performed locally in space and time, which reduces sampling
12 errors caused by limited ensemble size. Furthermore, the analysis is performed independently
13 for different grid points, which reduces the computational cost through parallel computations.
14 More details on the data assimilation technique are given in Miyazaki et al. (2015).

15

16 The emission estimation is based on a state augmentation technique, which was employed in
17 our previous studies (Miyazaki et al., 2012a; 2012b; 2013; 2014; 2015). In this approach, the
18 background error correlations, estimated from the ensemble model simulations at each
19 analysis step, determine the relationship between the concentrations and emissions of related
20 species for each grid point. This approach allows us to reflect temporal and geographical
21 variations in transport and chemical reactions in the emission estimates. The state vector in
22 this study is optimized following Miyazaki et al. (2015), which includes several emission
23 sources (surface emissions of NO_x and CO , and LNO_x sources)
24 as well as the concentrations of 35 chemical species. In order to improve the filter
25 performance, the covariance among non- or weakly related variables in the state vector is set
26 to zero, as in Miyazaki et al (2012b) and Miyazaki et al (2015). The emissions in the state
27 vector are represented by scaling factors for each surface grid cell for the total NO_x
28 and CO emissions, and for each production rate profile of the LNO_x
29 sources. For surface NO_x emissions, only the combined total emission is optimized
30 in data assimilation. This is to reduce the degree of freedom in the analysis and to avoid the
31 difficulty associated with estimating spatiotemporal variations in background errors for each
32 category source separately.

1

2 In the MIROC-Chem simulations, an emission diurnal variability function
3 (E_t ($t=1,\dots,24$)) was applied following the approach of Miyazaki et al. (2012a). Its
4 application generally improved the model simulation performance; however, because
5 E_t was constructed based on simple assumptions, and because it does not change with
6 season and location within an area of the same dominant category, its application can cause
7 large uncertainties in simulated NO_2 variations. Multiple satellite NO_2
8 retrievals obtained at different overpass times have a potential to constrain diurnal emission
9 variability (e.g., Lin et al., 2010), although differences between the different NO_2
10 retrievals and errors in model processes could introduce artificial corrections (see also Section
11 5.2). Note that the retrievals from different instruments used are all based on the same
12 retrieval method (DOMINO v2, TM4NO2A v2) and largely consistent ancillary data, which
13 limits the discrepancies between the data sets to large degree (Boersma et al., 2008) (see
14 Section 2.3.1). We also acknowledge that differences between the surface reflectivity and
15 cloud data used may lead to some structural uncertainty between the morning and afternoon
16 sensors, although numerous validation studies pointed out that the three NO_2
17 column retrievals agree well with independent reference data (e.g., Irie et al., 2011; Ma et al.,
18 2013).

19

20 We attempt to optimize E_t using data assimilation of OMI, SCIAMACHY, and GOME-2
21 retrievals, with local equator overpass time of 13:45, 10:00 and 9:30, in order to improve the
22 representation of diurnal emission variability. In our approach, a correction factor for
23 emission diurnal variability (E_{tc}) and an emission scaling factor (E_s) for surface
24 NO_x emissions are simultaneously optimized in the analysis step using multiple
25 NO_2 retrievals, by adding them to the state vector together with other variables such
26 as predicted concentrations. The background error correlation between E_s and E_{tc} is not
27 considered; the two emission parameters are independently optimized using measurements
28 from instruments with different overpass times. As in Miyazaki et al. (2012a), we apply
29 covariance inflation to the emission factors to prevent covariance underestimation caused by
30 the application of a persistent forecast model, by inflating the spread to a minimum
31 predefined value (i.e., 30 % of the initial standard deviation) at each analysis step for both
32 E_s and E_{tc} . The initial error is set to 40 % for both E_s and E_{tc} . For concentrations,

1 multiplication factors (5 %) are applied to prevent an underestimation of background error
2 covariance. The emission factors are analyzed and updated at every analysis step (i.e., two
3 hours). Because of the lack of any applicable model, a persistent forecast model is used for
4 the emission factors. When there is no observational information available in the analysis step,
5 previously analyzed emission factors are used in the next forecast step.

6

7 Figure 1 depicts a schematic diagram of the emission correction scheme for anthropogenic
8 emissions. First, we obtain optimal values of E_s and E_{tc} from the data assimilation
9 analysis. Second, E_s is applied to scale up/down daily total emissions while maintaining the
10 a priori diurnal variability shape (black solid line). Third, optimized E_{tc} is applied to
11 modify the diurnal variability shape (red line). Considering the overpass time of the satellite
12 retrievals and the typical daytime lifetime of NO_x (i.e. 2--3 hours), a square-wave
13 with amplitude of E_{tc} and a wavelength of six hours was applied. This assumes that
14 GOME-2 and SCIAMACHY measurements constrain emissions in the 07:30--10:30 window,
15 and OMI measurements constrain the 10:30-13:30 window. Consequently, an analysis of the
16 emission diurnal variability function is obtained as $E_t^a = E_t^b \times E_s - E_{tc}$ for
17 07:30--10:30, and $E_t^a = E_t^b \times E_s + E_{tc}$ for 10:30--13:30, where a and
18 b represent the analysis and background states, respectively. E_{tc} is set to zero (i.e.,
19 $E_t^a = E_t^b \times E_s$) from 13:30 to 07:30. The optimized emission factors are used as
20 initial conditions in the next forecast step of ensemble model simulations.

21

22 \subsection{Measurements used in the assimilation}

23

24 Trace gas concentrations were obtained from OMI, SCIAMACHY, and GOME-2 satellite
25 measurements of NO_2 , from TES of O_3 , from MOPITT measurements of
26 CO , and from MLS of O_3 and HNO_3 . The retrieved concentration
27 and observation error information were obtained for each retrieval, where the observation
28 error included contributions from smoothing errors, model parameter errors, forward model
29 errors, geophysical noise, and instrument errors. These combined errors, together with a
30 representativeness error for super observations (Miyazaki et al., 2012a), were considered in
31 the observation error matrix (\mathbf{R}) for data assimilation.

1

2 For the assimilation of the satellite retrievals, observation operators (H) were
3 developed, consisting of the spatial interpolation operator (S), a priori profile in the satellite
4 retrievals (\vec{x}_{apriori}), and an averaging kernel (\mathbf{A}). This
5 operator mapped the model fields (\vec{x}_i^{b}) into retrieval space
6 (\vec{y}_i^{b}), as follows:

7 $\begin{aligned}$

8 &

9 $\vec{y}_i^{\mathrm{b}} = H($

10 \vec{x}_i^{b}

11 $) = \vec{x}_{\text{apriori}} + \mathbf{A} (S(\vec{x}_i^{\mathrm{b}}) -$

12 $\vec{x}_{\text{apriori}}),$

13 $\end{aligned}$

14 where i indicates the ensemble member. The use of the averaging kernel \mathbf{A} removes the
15 dependence of the analysis or of the relative model retrieval comparison
16 ($\vec{y}_i^{\mathrm{b}} - \vec{y}^{\mathrm{o}} / \vec{y}_i^{\mathrm{b}}$) on the
17 retrieval a priori profile (Eskes and Boersma, 2003; Jones et al., 2003).

18

19 We employed the super-observation approach to produce representative data with a horizontal
20 resolution of MIROC-Chem (T42) for OMI, SCIAMACHY, GOME-2, and MOPITT
21 observations. Super observations were generated by averaging all data located within a super
22 observation grid cell, following the approach of Miyazaki et al. (2012a). Super observation
23 measurement error was estimated by considering an error correlation of 15 % among the data,
24 although there is no evidence for this value. Representativeness error was introduced when
25 the super-observation grid was not fully covered by observation pixels. The super-observation
26 approach generally provided more representative data with reduced random error and resulted
27 in more stable analysis increments than did the individual observations (Miyazaki et al.,
28 2012a). Another popular approach in data assimilation is to apply data thinning. However,
29 individual observations are much more noisy than super observations, and the representativity
30 error is large. Note that, in our previous studies (Miyazaki et al., 2012a, 2012b, 2013, 2014,

1 2015), the super observation was produced with a resolution of $2.5^\circ \times 2.5^\circ$,
2 which was similar but not equivalent to the model grid size (T42). In this study, the super
3 observation was set to be equivalent to the model grid size (T42), which generally led to
4 larger adjustments in the estimated emissions over industrial areas, and resulted in better data
5 assimilation performance for most cases (e.g., reduced OmF).

6
7 **3.2.3 Tropospheric NO_2 columns from OMI, SCIAMACHY, and**
8 **GOME-2**

9
10 The tropospheric NO_2 column retrievals used are from the version-2 DOMINO data
11 product for OMI (Boersma et al., 2011) and version 2.3 TM4NO2A data products for
12 SCIAMACHY and GOME-2 (Boersma et al., 2004) obtained through the TEMIS website
13 (www.temis.nl). The ground pixel size of the OMI retrievals is 13--24 km with daily global
14 coverage. Since December 2009, approximately half of the pixels have been compromised by
15 the so-called row anomaly, which reduced the daily coverage of the instrument. GOME-2
16 retrievals have 80 km \times 40 km ground pixel size with a global coverage within 1.5
17 days. SCIAMACHY retrievals have 60 km \times 30 km ground pixel size with a global
18 coverage once every 6 days. OMI measurements were assimilated throughout the analysis
19 period during 2005--2014. In contrast, because of the data limitations, SCIAMACHY
20 retrievals were assimilated before February 2012, and the GOME-2 measurements were
21 assimilated after January 2007. Low-quality data were excluded before assimilation following
22 the recommendations of the products' specification document (Boersma et al., 2011). We
23 employed clear-sky data for surface NO_x emission estimations and both clear-sky
24 data and cloud-scene data for LNO_x estimations, following the method of Miyazaki
25 et al. (2014). The analysis increments in the assimilation of the NO_2 retrievals were
26 limited to adjusting only the surface emissions of NO_x , LNO_x sources,
27 and concentrations of NO_y species using the estimated inter-species error
28 correlations.

29
30 Boersma et al. (2011) summarized the general error characteristics of tropospheric
31 NO_2 retrievals. More recently, Maasackers (2013) presented the possibility for

1 improving the tropospheric {NO_2} column retrievals algorithm; for example, in the a
2 priori profiles, the effective surface pressure calculation, and in the cloud retrieval.
3 Maasakkers (2013) presented an improved error parameterization for the tropospheric
4 {NO_2} column, which reduced errors in high tropospheric columns by up to 41 %
5 and in the mean global error by 13 %. Following this result, we modified the version-2
6 DOMINO and version 2.3 TM4NO2A data products (Boersma et al., 2004; 2011) used in data
7 assimilation; we reduced retrieval errors of individual {NO_2} retrievals by 30 % over
8 polluted areas (for columns > $1.1 \times 10^{15} \text{ molec cm}^{-2}$) before
9 producing super observation for all the {NO_2} retrievals. The assimilation of
10 {NO_2} retrievals with reduced error increased the effective use of observational
11 information (i.e., larger emission adjustments) and improved the chi-square statistics (not
12 shown). The obtained super observation error is typically about 20--50 %, 30--60 %, and 25-
13 -50 % of the {NO_2} columns over polluted areas for OMI, SCIAMACHY, and
14 GOME-2 retrievals, respectively (Fig. S1). The differences between the instruments mainly
15 reflect the differences in coverage and pixel size.

16

17 \subsubsection{TES {O_3}}

18

19 The Tropospheric Emission Spectrometer (TES) is a Fourier Transform Spectrometer (FTS)
20 that measures spectrally-resolved outgoing longwave radiation of the Earth's surface and
21 atmosphere. The TES {O_3} data used are version 6 level 2 nadir data obtained from
22 the global survey mode (Herman and Kulawik, 2013). This data set consists of 16 daily orbits
23 with a spatial resolution of 5--8 km along the orbit track, with an equator crossing time of
24 13:40 and 02:29 local mean solar time. Retrievals of atmospheric parameters and their error
25 characterization are based upon optimal estimation (Worden et al., 2004; Bowman et al.,
26 2006; Kulawik et al., 2006) which provide the diagnostics (a priori, averaging kernels, and
27 error covariances) needed to construct the observation operator. The standard quality flags
28 were used to exclude low-quality data. The data assimilation of the TES {O_3}
29 retrievals was performed based on the logarithm of the mixing ratio following the retrieval
30 product specification (Bowman et al., 2006).

31

1 \subsubsection{MLS O_3 and HNO_3 }

2

3 The MLS data used are the version 4.2 O_3 and HNO_3 level 2 products
4 (Livesey et al., 2011). We excluded low quality data, following the recommendations of
5 Livesey et al. (2011). We used data for pressures of less than 215 hPa for O_3 and
6 150 hPa for HNO_3 . The accuracy and precision of the measurement error, described
7 in Livesey et al. (2011), were included as the diagonal element of the observation error
8 covariance matrix.

9

10 \subsubsection{MOPITT CO}

11

12 The MOPITT CO data used are version 6 level 2 TIR products (Deeter et al., 2013). The
13 MOPITT instrument is mainly sensitive to free-tropospheric CO, especially in the middle
14 troposphere, with degrees of freedom for signals (DOFs) typically much larger than 0.5.
15 Owing to data quality problems, we excluded data poleward of 65° and night-time data.
16 Data at 700 hPa were used for constraining surface CO emissions.

17

18 \subsection{Measurements used in the validation}

19

20 We use vertical NO_2 profiles observed from in-situ and aircraft measurements to
21 validate the simulated NO_2 distributions. The model simulation and assimilation
22 fields were interpolated to the time and location of each measurement, and then compared
23 with the measurements.

24

25 \subsubsection{DANDELIONS}

26

27 Vertical NO_2 profiles were measured using the Netherlands National Institute for
28 Public Health and the Environment (RIVM) NO₂ lidar during the Dutch Aerosol and
29 Nitrogen Dioxide Experiments for Validation of OMI and SCIAMACHY (DANDELIONS)

1 campaign in September 2006 (Volten et al., 2009). The lidar data have a spatial representation
2 of 2 km in the viewing direction and approximately 12 km in the direction of the wind, which
3 is much finer than the model resolution (approximately 2.8 \circ). The model grid points
4 used for the interpolation around Cabauw are located in Belgium, northeastern Netherlands,
5 western Germany, and on the North Sea. Boundary layer conditions are different among the
6 grid points, especially between land and ocean. To avoid a possibly large error of
7 representativeness in the validation, particularly under the different boundary layer condition,
8 the profiles obtained in the morning (before 12:00 p.m.) were used because the differences
9 between land and sea mixing layer depths are then still relatively small, following Miyazaki et
10 al. (2012a).

11

12 \subsubsection{INTEX-B}

13

14 During the Intercontinental Chemical Transport Experiment Phase B (INTEX-B) campaign,
15 vertical NO_2 profiles were obtained using the UC Berkeley Laser-Induced
16 Fluorescence (TD-LIF) instrument on a DC-8 over the Gulf of Mexico in March 2006 (Singh
17 et al., 2009). We removed data collected over highly polluted areas over Mexico City and
18 Houston from the comparison to avoid a serious spatial representativeness error, as applied in
19 Miyazaki et al. (2015).

20

21 \subsubsection{ARCTAS}

22

23 The Arctic Research of the Composition of the Troposphere from Aircraft and Satellites
24 (ARCTAS) campaign (Jacob et al., 2010) was conducted over Alaska (between 60--
25 90 \circ N) in April 2008 (ARCTAS-A) and over western Canada (between 50--
26 70 \circ N) in June--July 2008 (ARCTAS-B). Since the data assimilation impact is limited
27 in polar regions, the profile data obtained during ARCTAS-B were used in the comparison.
28 Note that Browne et al. (2011) investigated that the observed NO_2 concentrations
29 could be too high in the upper troposphere.

30

1 \subsubsection{DC3}

2

3 The Deep Convective Clouds and Chemistry (DC3) experiment field campaign was
4 conducted over northeastern Colorado, western Texas to central Oklahoma, and northern
5 Alabama during May and June 2012 (Barth et al., 2015). The observations obtained from the
6 DC-8 by the UC Berkeley measurement were used in the validation.

7

8 \subsubsection{SEAC⁴RS}

9

10 The Studies of Emissions and Atmospheric Composition, Clouds and Climate Coupling by
11 Regional Surveys (SEAC⁴RS) aircraft campaign was conducted over the southeast US in
12 August--September 2013 (Travis et al., 2016). The observations obtained from the DC-8 by
13 the UC Berkeley measurement were used in the validation.

14

15 \section{Simulated and retrieved tropospheric NO_2 columns}

16

17 Tropospheric NO_2 columns obtained from data assimilation and model simulation
18 (without any assimilation) are compared with satellite observations. For these comparisons,
19 concentrations were interpolated for the retrieval pixels to the overpass time of the satellite,
20 while applying the averaging kernel of each retrieval, and both the retrieved and simulated
21 concentrations were mapped on the horizontal grid of the super observation (i.e., T42).

22

23 \subsection{Global distribution}

24

25 Figure 2 compares global distributions of annual mean tropospheric NO_2 columns
26 obtained from the three satellite retrievals (OMI for 2005-2014, SCIAMACHY for 2005--
27 2011, and GOME-2 for 2007--2014), the MIROC-chem simulation, and the data assimilation.
28 The three satellite measurements commonly reveal high tropospheric NO_2
29 concentrations over large industrial regions: eastern China, Europe, and the United States.

1 High concentrations are also found over the Southern and Central Africa, India, Middle East,
2 Japan, South Korea, and Southeast Asia. Tropospheric NO_2 concentrations are
3 generally lower in OMI retrievals compared to GOME-2 and SCIAMACHY retrievals over
4 polluted areas, reflecting the diurnal cycle of emissions and chemistry, with faster chemical
5 loss of NO_2 at noon compared to early morning (e.g. Boersma et al., 2009). All of
6 the retrievals are produced using the same retrieval approach (Boersma et al., 2011).
7 Therefore, the differences in overpass time and also in pixel size could be the main cause of
8 the differences between the three different satellite retrievals, although the use of super
9 observations for all the sensors reduces the influence of different pixel sizes.

10

11 The MIROC model reproduces the general features of observed tropospheric NO_2 ,
12 with a global spatial correlation of 0.86--0.94 for the annual mean concentration during the
13 ten-year period between 2005--2014 (Fig. 2 and Table 1). However, the simulated regional
14 mean tropospheric NO_2 columns are generally too low over most industrial areas
15 and major biomass-burning areas and too high over remote areas. In the global mean, the
16 model is negatively biased relative to the three retrievals (i.e., -0.04 – $-0.18 \times$
17 $10^{15} \text{ molec cm}^{-2}$) compared with the three retrievals). Data assimilation
18 improves agreements with the satellite retrievals for most industrial and biomass-burning
19 areas mainly because of the optimized surface NO_x emissions, with great reductions
20 in the ten-year global mean negative bias (i.e., -0.02 – $+0.03 \times$
21 $10^{15} \text{ molec cm}^{-2}$) (Table 1). Improvements can also be found in the
22 improved spatial correlation (from 0.86--0.94 to 0.95--0.98) and the reduced global root mean
23 square error (RMSE: reduced by about 40, 30, and 50 % compared with OMI, SCIAMACHY,
24 and GOME-2, respectively). The annual mean analysis--observation differences show similar
25 spatial distributions between SCIAMACHY and GOME-2 ($r=0.93$) and differed somewhat
26 between OMI and other sensors ($r=0.55$ -- 0.60).

27

28 $\text{\subsection{Regional distribution}}$

29

30 The regional mean tropospheric NO_2 columns are compared in Table 2. The data
31 assimilation reduced the ten-year mean negative bias of the model by 40--62 % over China

1 and 48--50 % over the United States compared to the three retrieval. The data assimilation
2 also reduced the almost constant negative bias over Australia by 20--76 %, over India by 57--
3 60 %, and over Southern Africa by 35--64 %. The error reduction over China and southern
4 Africa is generally smaller for the SCIAMACHY and GOME-2 retrievals compared with the
5 OMI retrievals.

6

7 Improvements are also found over biomass burning areas. The ten-year mean negative model
8 bias over Southeast Asia is reduced by 57--77 %, which is mainly attributed to the positive
9 adjustments in the biomass burning season (i.e., in boreal winter-spring). The persistent
10 negative biases throughout the year over central and North Africa are also reduced, with a ten-
11 year mean reduction of 66-80 % and 78--86 %, respectively. These improvements over the
12 tropical regions are mostly commonly found in comparisons with the three retrievals.
13 Considering the short lifetime and rapid diurnal variation of biomass burning activity at low
14 latitudes, these improvements suggest that the assimilation of multiple-species and multiple
15 NO_2 measurements effectively corrected the temporal changes in the tropospheric
16 NO_2 column between the different overpass times.

17

18 Despite the general improvement by data assimilation, disagreements remain between the
19 simulated and observed NO_2 concentrations over polluted regions, such as Europe,
20 Southern Africa, and China. The inadequacies of the improvements can be partly attributed to
21 the small number of observations and large observation errors for highly polluted cases. The
22 quality and abundance of the retrievals varies largely with season and area (Fig. S1),
23 reflecting observation conditions (e.g., clouds, aerosols, and surface albedo), which have great
24 impacts on the magnitude of data assimilation improvement. For instance, over Europe in
25 winter, the number of observations is relatively small, and the observation error is relatively
26 large. The remaining errors may also result from model errors such as too short lifetime of
27 NO_x through processes such as the $\text{NO}_2 + \text{OH}$ reactions and the
28 reactive uptake of NO_2 and N_2O_5 by aerosols (e.g., Lin et al., 2012b;
29 Stavrakou et al. 2013). This will further be discussed in Section 5.3.

30

31 $\text{\subsection{Seasonal and interannual variation}}$

1

2 The underestimation in the simulated concentrations is most obvious in winter over most of
3 the industrial regions, such as China, Europe, the United States, and Southern Africa. Data
4 assimilation greatly reduced the wintertime low bias by 50-70 \% over China, by about 50--90
5 \% over the United States, and by 50--70 \% over Southern Africa, as summarized in Table 2.
6 Over Europe, the model's negative bias is reduced by about 10--80 \% in summer, but the
7 negative bias compared with the OMI retrievals mostly remains in winter (c.f., Section 5.3).
8 Despite the persistent wintertime bias over Europe, the improved temporal correlation (from
9 0.64--0.89 in the model simulation to 0.90--0.95 in the data assimilation) confirms improved
10 seasonality and year-to-year variation. Over India, the {NO_2} columns in the model
11 simulation do not reveal clear seasonal variation, whereas a significant seasonal variation is
12 introduced by data assimilation, reflecting the observed high concentration in boreal winter-
13 spring. The temporal correlation is largely improved over India (from -0.47--0.06 in the
14 model simulation to 0.76--0.95 in the data assimilation).

15

16 The observed concentrations reveal large year-to-year variations over the industrial regions,
17 which are generally underestimated in the model simulation (Fig. 3). Over China, the
18 difference between the model simulation and the observations becomes significant after 2010,
19 suggesting a larger underestimation in the a priori inventories in that time period, relative to
20 the period before 2010. The observed concentrations reveal positive trends over China, with
21 an exceptional decrease in 2009, followed by a rapid increase in 2010, and a decrease in 2014,
22 as found by Cui et al. (2015), Duncan et al. (2016), and Krotkov et al. (2016). The data
23 assimilation better captures the observed variations, as indicated by the better agreement in
24 the linear trend (+40 \%/decade in the OMI observation, +13 \%/decade in the model
25 simulation, and +28 \%/decade in the data assimilation) and by the improved temporal
26 correlation (from 0.85--0.94 to 0.95--0.99). Over the United States, the data assimilation
27 removes most of the model's negative bias in 2005--2007 and reproduces the observed
28 downward trend for the ten-year periods. These improved agreements suggest that the a
29 posteriori emissions from data assimilation capture the actual anthropogenic emission
30 variability.

31

1 The seasonal and year-to-year variations over Southeast Asia, and North and Central Africa
2 are associated with changes in the biomass burning activity. Data assimilation improves the
3 temporal variability, as confirmed by the improved temporal correlations (by 0.10--0.14 over
4 North Africa, by 0.03--0.04 over Central Africa, and by 0.15--0.21 over Southeast Asia). Over
5 Southeast Asia, the negative bias in the biomass burning season is largely removed by data
6 assimilation. The systematic adjustments for North and Central Africa throughout the year
7 suggests that the a priori emissions reasonably represent the seasonality of biomass burning
8 activity, but emission factors might be underestimated in the a priori setting, as discussed in
9 Section 4.

10

11 \subsection{Vertical profiles}

12

13 Figure 4 compares the vertical profiles with the aircraft observations during the INTEX-B,
14 ARCTAS, DC3, and SEAC⁴SRS campaigns and with the ground-based lidar observations
15 obtained during the DANDELIONS campaign. For all the profiles, the observed
16 NO_2 concentrations are high in the boundary layer and decrease with height above
17 the boundary layer in the troposphere. Both the model simulation and data assimilation
18 reproduced these observed general features.

19

20 For the ARCTAS profile, the data assimilation has only a small effect on the lower and
21 middle tropospheric NO_2 profiles, because of the large observational error of the
22 NO_2 measurements at high latitudes. In contrast, the data assimilation mostly
23 removed the model negative bias in the upper troposphere and lower stratosphere, mainly
24 because of the MLS O_3 and HNO_3 data assimilation and through the use
25 of the inter-species correlation that was determined using background error covariances
26 estimated from ensemble model simulations (c.f., Section 2.2). An estimated inter-species
27 correlation is demonstrated in Miyazaki et al. (2012b) in Fig. 3, which shows a strong positive
28 correlation between the concentrations of NO_2 with those of O_3 and
29 HNO_3, reflecting complex tropospheric chemical processes. The data assimilation
30 widely influences the NO_x and NO_y species in both analysis and forecast
31 steps. This improvement cannot be achieved using the NO_2 measurements only.

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Compared with the INTEX-B and DC3 profiles, both the model and assimilation are too low in the middle/upper troposphere, whereas in the lower troposphere these are too high compared with the DC3 profile and too low compared with the INTEX-B profile. Compared with the SEAC⁴S profile, both the model and assimilation are too high in the lower troposphere. Because of the coarse model resolution (approximately 2.8°), the model has difficulty in representing the spatial footprint of the measurement, and this could cause large differences near the surface for comparisons at urban sites. The near-surface concentration will be sensitive to the model resolution owing to fine-scale emission distribution and transport, as well as non-linear chemical processes, as discussed in Valin et al (2011) and Miyazaki et al (2012a). The coarse model resolution may also make the improvements by data assimilation obscure.

During the DANDELIONS and aircraft campaigns, large variations in individual measurements along the flights were observed. Therefore we evaluate the variability as well as mean profiles using scatter plots. The right four panels in Fig. 4 show the scatter plots for an INTEX-B profile on March 9 in 2006 as an example and for the DANDELIONS measurements. For the INTEX-B profile, the data assimilation improves the agreement (i.e., the correlation and slope) with the observations in the lower and middle troposphere, except within the boundary layer (i.e., below 900 hPa). The correlation (from 0.324 to 0.455) and the slope (from 0.26 to 0.53) increased in the lower troposphere (900--750 hPa) by data assimilation. The improvements are also found for higher levels (750--600 hPa) and for other flights (not shown). The assimilation does not obviously change the model profile in the upper troposphere (600--300 hPa); the remaining negative bias could be attributed to errors in the model, such as in the chemical loss, NO_y species partitioning, and atmospheric transport. For the DANDELIONS profiles, the data assimilation improves the agreement in the lower troposphere (e.g., the correlation and slope are increased from 0.14 to 0.46 and from 0.11 to 0.90, respectively, for 150--500 m), except near the surface (i.e., below 150 m).

\section{Estimated surface NO_x emissions}

1

2 The a posteriori emissions were compared against the a priori emissions for the 2005--2014
3 period and against an independent emission inventory from EDGAR-HTAP v2 (Janssens-
4 Maenhout et al., 2015) for the years 2008 and 2010. EDGAR-HTAP v2 was produced using
5 nationally reported emissions combined with regional scientific inventories from the
6 European Monitoring and Evaluation Programme (EMEP), Environmental Protection Agency
7 (EPA), Greenhouse Gas-Air Pollution Interactions and Synergies (GAINS), and Regional
8 Emission Inventory in Asia (REAS). For the comparison against EDGAR-HTAP v2,
9 emissions from biomass burning and soils were obtained based on GFED version 3.1 and
10 GEIA inventories; they were used in the a priori emissions.

11

12 \subsection{Top-down vs. a priori global surface \chem{NO_x} emissions}

13

14 The global distributions of the estimated emission sources are depicted in Fig. 5. As
15 summarized in Table 3, the ten-year mean global total surface \chem{NO_x} emissions after
16 data assimilation is $48.4 \text{ Tg}\text{N}\text{yr}^{-1}$, which is about 26 \% higher than the a priori
17 emissions ($38.4 \text{ Tg}\text{N}\text{yr}^{-1}$). The positive analysis increment in global total
18 emissions is attributable to an approximate +21 \% increment in the Northern Hemisphere
19 (NH, 20°N), a +35 \% increment in the tropics (20°S – 20°N), and a
20 42 \% increment in the Southern Hemisphere (SH, 20°S). Strong positive
21 increments are found over China (+39 \%), the United States (+10 \%), India (+22 \%), and
22 Southern Africa (+50 \%). There are also positive increments in emissions over the biomass
23 burning areas of Central Africa (+53 \%) and Southeast Asia (+39 \%). The a posteriori
24 regional total emissions are clearly closer to the EDGAR-HTAP v2 emissions than the a priori
25 emissions over China, the United States, and India. Since the same biomass burning and soil
26 emission inventories are used in producing the total a priori and EDGAR-HTAP v2 emission
27 data sets in this study, the emissions are similar between the two data sets over biomass
28 burning and remote areas.

29

30 Fig. 6 depicts the global distribution of the linear trend during the ten-year period. The trend
31 is negative over most of the United States, Europe, some parts of eastern China, South Korea,

1 Japan, central and Southern Africa, Northern South America, with strong negative trends over
2 the eastern United States, some parts of Europe (e.g., Northwest Europe, Po valley, and
3 northern Spain), and Japan. Strong positive trends are found over China, India, Middle East,
4 around Sao Paulo in Brazil, and around Jakarta in Indonesia.

5

6 Data assimilation reveals significant temporal variations (Fig. 7), including seasonal (Fig. 8)
7 and interannual (Fig. 9) variations, in the emissions over major polluted regions. In northern
8 mid-latitudes, the emissions are strongly enhanced in summer, and the timing of the
9 summertime peak from data assimilation is earlier by 1--2 months over North America,
10 Europe, and China (Fig. 8), as similarly found in our previous study (Miyazaki and Eskes,
11 2013). Applying the ratio of different emission categories within the a priori emissions for
12 each grid point to the estimated emissions after data assimilation (only the total emission is
13 optimized in our estimates), global total NO_x emissions from soils are 7.9
14 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$ for the a posteriori emissions in contrast to 5.4 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$
15 yr^{-1} for the a priori emissions. In line with recent studies by Hudman et al. (2012) and
16 Vinken et al. (2014), our results suggest that the a priori emissions underestimate those by
17 soils and misrepresent the seasonality.

18

19 Over biomass-burning areas, the time of the peak emissions does not change for most cases,
20 suggesting that the a priori emissions describe the seasonality reasonably, but the systematic
21 adjustment indicates large uncertainties in emission factors and biomass burnt estimates used
22 in the inventories. The weak year-to-year variations in the a priori emissions are partly
23 attributable to the use of climatology after 2011 (c.f., Sec. 2.1).

24

25 Despite the large year-to-year variations over many regions (c.f., Figs. 6 and 7), the global
26 total emission is almost constant between 2005 (47.9 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$) and 2014 (47.5
27 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$), with a maximum in 2012 (50.9 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$) and a
28 minimum in 2008 (46.7 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$). Over the ten-year period, the large emission
29 increases over China, India, and the Middle East mostly compensate for the large emission
30 decreases over the United State, western Europe, and Japan.

31

1 \subsection{Top-down vs. a priori regional surface {NO_x} emissions and their trends}

2
3 \subsubsection{East Asia}

4
5 Data assimilation adjusts the total annual emissions from 4.47 to 6.21 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$
6 over China for the 2005--2014 period (Table 3), whereas the a posteriori emissions show
7 good agreement with the EDGAR-HTAP v2 emissions (6.19 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$) in the a
8 posteriori emissions and 6.25 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$ in the EDGAR-HTAP v2 for 2008 and
9 2010). Our a priori inventory is too low over China, by about 40 %. The seasonal variation is
10 largely corrected by data assimilation (Fig. 8), exhibiting maximum emissions in January and
11 June.

12
13 At the grid scale, the estimated emissions are higher than the a priori emissions over northern
14 and eastern China, such as Beijing (+58 % at the nearest grid point), Tianjin (+97 %),
15 Nanjing (+30 %), and around Guangzhou (+78 %), whereas they are lower around Chengdu
16 and Chongqing (Fig. 10). In terms of the regional mean, the EDGAR-HTAP v2 is closer to
17 the a posteriori emissions for China. However, there are disagreements at grid-scale around
18 large cities, such as Shanghai (the a posteriori minus EDGAR-HTAP v2 is -25 %),
19 Guangzhou (+46 %), and Chongqing (-19 %), and also in South Korea around Seoul (+37
20 %) and in Japan around Tokyo (+13 %).

21
22 Our estimate of 12.5 TgN for July 2007 over East Asia (80-150 $^{\circ}$ E, 10--50 $^{\circ}$ N) is
23 slightly larger than that of 11.0 TgN estimated using OMI observations (Zhao and Wang,
24 2009). The 6.6 TgN (8.0 TgN) estimated for July 2008 (January 2009) over east China
25 (103.75--123.75 $^{\circ}$ E, 19--45 $^{\circ}$ N) from OMI and GOME-2 observations by Lin
26 and McElroy (2010) is slightly smaller than (larger than) our estimates of 7.4 TgN (7.4 TgN).
27 We emphasize that the estimated emissions are strongly constrained by the assimilation of
28 non-{NO_2} measurements in our estimates. The estimated emissions for July 2008
29 over east China for the above-mentioned case from a {NO_2}-only assimilation (8.2
30 TgN) is 11 % larger than the estimate using multiple-species (7.4 TgN). The importance of
31 multiple-species assimilation is further discussed in Sect. 5.1.

1

2 The estimated emission for China does not follow a simple linear increase, but rather
3 increasing from 2005 to 2011 with a slightly negative trend afterwards, as shown by Fig 9 and
4 Fig. 11. The ten-year linear trend slope is estimated at +26 %/decade (Table 4). The
5 difference in the estimated emission trend between the two time periods (2005--2010 and
6 2011--2014) are most commonly found across the country, which can be attributed to the
7 competing influences of economic growth and emission controls (Cui et al., 2015). The
8 temporal strong decrease in the estimated emissions in 2008 summer (Fig. 7) could be
9 associated with the Beijing Olympic games, as suggested by Mijling et al. (2009), Witte et al.
10 (2009), and Worden et al. (2012). The trend for 2005--2010 over China is estimated at +3.0
11 %/year in our estimate, which is slightly smaller than the +4.0 %/year estimate using OMI
12 measurements by Gu et al. (2013). The increase from 2008 to 2010 for China is larger in the a
13 posteriori emissions (+0.73 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$) than in EDGAR-HTAP v2 (+0.49
14 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$).

15

16 As shown by Fig. 12, strong positive trends are found over large cities such as Wuhan (+42
17 %/decade), Nanjing (+35 %/decade), Tianjin (+35 %/decade), Chengdu (+56 %/decade),
18 and over eastern China. A larger relative positive trend occurs over western China, especially
19 over northwestern China (around 88--110°E, 37--48°N) where the rate of
20 increase reaches +50--110 %/decade at grid scale. Despite the general large positive trend
21 for the ten-year period, the three largest cities in China show a net reduction or a small
22 increase during 2005--2014; Beijing (-0.6 %/decade), Shanghai (-6.2 %/decade), and
23 Guangzhou (+4.5 %/decade), as commonly found in the observed NO_2
24 concentrations (Wang et al., 2015). In East Asia, the estimated emissions also show strong
25 negative trends over major cities in Japan and South Korea; Tokyo (-48 %/decade), Osaka (-
26 38 %/decade), and Seoul (-11 %/decade).

27

28 \subsubsection{Europe}

29

30 The total emissions for Europe are about 5 % higher in the a posteriori than in the a priori
31 emissions (Table 3), which is attributed to positive increments over some parts of western

1 Europe, such as Belgium (+67 %), western Germany (+23 %), northern Italy (+62 %), and
2 Istanbul (+40.3 %) (Fig. 10). The a posteriori emissions for Europe are higher than the
3 EDGAR-HTAP v2 inventory by 17 % for 2008 and 2010, and the differences are large at the
4 grid scale around London (+27 %), Belgium (+87 %), western Germany (+84 %), Paris
5 (+27 %), Madrid (+55 %), northern Italy (+90 %), and Istanbul (+56 %). Both the a priori
6 and EDGAR-HTAP v2 emission inventories show maximum emissions in summer (i.e., July),
7 whereas the timing of peak emission becomes earlier by 1 month after data assimilation (Fig.
8 8). The estimated seasonal amplitude is larger over Eastern Europe than over Western Europe
9 by about 40 %, which suggests the possibility of more active summertime emissions from
10 soil in Eastern Europe, as consistently revealed by Vinken et al. (2014).

11

12 The estimated emissions for Europe show a slightly negative trend during 2005--2014, with a
13 sharp decrease from 2009 to 2010 (Fig. 9). The estimated linear decrease for the ten-year
14 period is small (-0.1 %/decade) for Europe (10¹⁰W--30¹⁰E, 35--60¹⁰N),
15 but is much larger (-8.8 %/decade) over Western Europe (10¹⁰W--17¹⁰E, 36--
16 54¹⁰N), as summarized in Table 4. At the grid scale (Fig. 12), strong negative trends
17 occur over large cities in Western Europe; Paris (-10 %/decade), northwestern France (-57
18 %/decade), London (-11 %/decade), Belgium (-24 %/decade), Athens (-22 %/decade), and
19 over a region with many power plants in northern Spain (-45 %/decade) and Po valley (-52
20 %/decade). These variations are considered to be the result of the global economic recession
21 and emission controls, as pointed out by Castellanos and Boersma (2012). The negative trends
22 are stronger during 2005--2010 than during 2011-2014 over some parts of western and
23 southern Europe such as over northern Spain, northern Italy, and western Germany (Fig. 12).
24 Strong negative emission trends over these regions were similarly found by Curier et al.
25 (2014) for 2005--2010. Zhou et al. (2012) revealed that {NO_x} emissions from
26 Spanish Power plants have been strongly reduced for the 2004-2009 period because of
27 emission abatement strategies, which is consistent with our estimates.

28

29 \subsubsection{North America}

30

1 The ten-year mean a posteriori emissions are higher than both the a priori (5.73
2 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$ v.s 5.23 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$ for 2005--2014) and EDGAR-HTAP v2
3 (5.26 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$ v.s 4.84 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$ for 2008 and 2010) emissions over
4 the United States (Table 3). Positive increments are found over most remote areas and around
5 the Southeast United States (e.g., +23 % near Atlanta) and most of the Western United States
6 (e.g., +26 % near Denver), whereas negative increments are found around large cities such as
7 New York (-28 %), Toronto (-17 %), Montreal (-19%), Houston (-19 %), and Los Angeles
8 (-5 %) (Fig. 10). Despite the small adjustment for the ten-year mean regional total emissions,
9 the data assimilation analysis increments for the regional total emission are strongly positive
10 during 2005-2008, producing a long-term negative trend (Fig. 7). The timing of maximum
11 emissions becomes earlier by 2 months (from July to May) due to data assimilation (Fig. 8).
12 The summertime peak enhancement is obvious over remote regions such as high temperature
13 agricultural land over the East South Central and the Southwestern United States, which
14 suggests that the a priori emissions underestimates emissions from soil, as suggested by
15 Oikawa et al. (2015) for the western Unites States. The estimated emissions are larger than
16 the EDGAR-HTAP v2 emissions around large cities such as New York (+24 %), Chicago
17 (+12 %), Denver (+35 %), Houston (+17 %), San Francisco (+74 %), and Los Angeles
18 (+68 %) but are smaller over remote areas in the eastern and central United States for 2008
19 and 2010 (Fig. 10). The 0.73 $\text{Tg}\,\text{N}$ estimated over the United States (130--
20 70 $^{\circ}$ W, 25--50 $^{\circ}$ N) from ICARTT observations between 1 July and 15 August in
21 2004 (Hudman et al., 2007) is close to our estimates of 0.82 TgN for 1 July to 15 August in
22 2005. The 0.465 TgN estimated over the eastern United States (102--64 $^{\circ}$ W, 22--
23 50 $^{\circ}$ N) from the OMI observations for March 2006 (Boersma et al., 2008a) is slightly
24 smaller than our estimate of 0.502 TgN.

25

26 The a posteriori regional emissions for the United States show a strong negative trend during
27 2005--2014 (-29.4%/decade) (Table 4). The estimated trend for 2005--2012 (-32 %) in this
28 study is close to that reported by Tong et al. (2015) using OMI measurements (-35 %). The
29 ten-year linear trend is strongly negative over large cities such as New York (-48 %/decade),
30 Boston (-42 %/decade), Chicago (-52 %/decade), Atlanta (-47 %/decade), Dallas (-19
31 %/decade), Houston (-25 %/decade), Denver (-16 %/decade), and Los Angeles (-46
32 %/decade) (Fig. 11). Lu et al. (2015) estimated that total OMI-derived NO_x

1 emissions over selected urban areas decreased by 49 % from 2005 to 2014, reflecting the
2 success of NO_x control programs for both mobile sources and power plants, with
3 greater reductions before 2010 than after 2010. These variations are similarly found in our
4 estimates (Fig. 12). Both the a posteriori and EDGAR-HTAP v2 emissions consistently reveal
5 a decrease in the regional emissions for the United States from 2008 to 2010 (-0.34 and -0.51
6 Tg N yr^{-1} , respectively).

7

8 India

9

10 The ten-year total emissions from India are 22 % higher in the a posteriori emissions than in
11 the a priori emissions (Table 3). The positive adjustment for the country's total emissions is
12 large in spring, resulting in a Mar--June/July--September ratio of about 1.55 ± 0.1 (Fig. 8),
13 which could be associated with the seasonality in open biomass burning (Venkataraman et al.,
14 2006). The seasonal variation is mostly absent in the a priori and EDGAR-HTAP v2
15 inventories. The positive increment is large around large cities such as Lucknow (+110 %),
16 Patna (+25 %), Mumbai (+50 %), Hyderabad (+16 %), and Madras (+21 %) (Fig. 10). In
17 contrast, the country's total emissions are about 10 % smaller in the a posteriori emissions
18 than in the EDGAR-HTAP v2, with large negative biases (i.e., the a posteriori is smaller)
19 around Delhi (-49 %) and southern India (-20\$-\$-70 %) and large positive biases over
20 Lucknow (+68 %), Gwalior (+45 %), Raipur (+41 %), Mumbai (+12 %), and Hyderabad
21 (+14 %) at grid scale (Fig. 10). These results suggest both EDGARv4 and EDGAR-HTAP v2
22 inventories largely underestimate emissions over some parts of India such as around Lucknow,
23 Raipur, Mumbai, and also in Thailand around Bangkok (+26 % compared with the a priori
24 emissions and +118 % compared with the EDGAR-HTAP v2 emissions) and Chiang Mai
25 (+54 % and +66 %, respectively).

26

27 The a posteriori emissions for India increased continuously over the ten-year period, with a
28 linear trend of +29 %/decade (Fig. 9). The positive trend is large across the country, with
29 particularly strong increases around Lucknow (+29 %/decade), Kolkata (+47 %/decade),
30 Raipur (+67 %/decade), and Madras (+40 %/decade) (Fig. 12). The positive emissions trend
31 could be associated with increased thermal power plants in India, as pointed out by Lu and

1 Streets (2013). In 2014, the regional total emissions for India (i.e., $3.46 \text{ Tg N yr}^{-1}$)
2 are comparable to (about 83 % of) the European-total emissions (i.e., 4.15
3 Tg N yr^{-1}) and about 67 % of the United States-total emission (i.e., 5.17
4 Tg N yr^{-1}). In contrast, tropospheric NO_2 columns over India are much
5 lower compared to those in northern midlatitude polluted areas, as a result of the high values
6 of temperature, photolysis rates, and specific humidity, leading to shorter NO_2
7 lifetimes throughout the year (Beirle et al., 2011).

8

9 \subsubsection{Southern Africa}

10

11 A large adjustment in NO_x emissions is apparent in the Highveld region of Southern
12 Africa with a factor of about 1.5 (table 3). The positive adjustment is relatively large in the
13 austral summer (Fig. 8). The emissions from Southern Africa show a slight negative trend (-8
14 $\%/decade$), with a temporary increase in 2006--2007, followed by a rapid decrease in 2009,
15 and almost constant emissions afterwards (Fig. 9). The difference in emissions between 2008
16 and 2010 is small in EDGAR-HTAP v2 ($+0.01 \text{ Tg N yr}^{-1}$), whereas the a
17 posteriori emissions show a negative trend ($-0.09 \text{ Tg N yr}^{-1}$ (2010-2008)) (Table
18 3). The ten-year linear trend reaches about $-40 \%/decade$ at grid scale over highly polluted
19 areas. Duncan et al. (2016) highlighted a complex mixture of different emissions sources over
20 Southern Africa. The various emission sources may have experienced different variations, and
21 high resolution emission analysis is required to understand the detailed spatial variation in
22 these emissions and to obtain unbiased emission estimates (Valin et al., 2011).

23

24 \subsubsection{North and central Africa}

25

26 Over North Africa, the ten-year mean emission increased by 40 % due to data assimilation
27 from 2.07 to $2.90 \text{ Tg N yr}^{-1}$ (Table 3). The positive increment is large from boreal
28 winter to summer, producing the second maximum in July that is absent in the a priori
29 emission (Fig. 8). The enhanced emissions for July and August are found throughout the
30 2005--2014 period and can mainly be attributed to emissions from the Sahel and Nigeria. This
31 large positive increment may indicate an underestimation of soil NO_x emissions in

1 the a priori inventory. The short summer dry season in Nigeria may also lead to enhanced
2 biomass burning emissions. The data assimilation largely corrects the spatial distribution
3 during the peak season in January, with larger positive adjustments over the western (by about
4 +60--120 % at grid scale around 5W^o--15W^o) rather than the eastern parts of
5 North Africa (Fig. 5). The data assimilation also introduced a distinct year-to-year variation,
6 reflecting the observed concentration variations associated with changes in biomass burning
7 activity. The estimated emissions are high in 2005, 2006, 2008, and 2009, and low in 2010
8 (Fig. 7), which could be associated with drought events related to atmospheric variations such
9 as ENSO (Janicot et al., 1996).

10
11 Over central Africa, the ten-year mean a posteriori emissions are larger than the a priori
12 emissions by about 53 % ($2.57 \text{ Tg}\cdot\text{N}\cdot\text{yr}^{-1}$ v.s. $1.68 \text{ Tg}\cdot\text{N}\cdot\text{yr}^{-1}$) (Table
13 3). Large positive increments are found in the Congo region, with about +50--150 %
14 increases for the ten-year mean emissions at the grid scale (Fig. 5). The relative adjustment
15 for the regional total emissions during the biomass burning season is +30--40 % over
16 central Africa and about +40 % over North Africa. These numbers may indicate a possible
17 underestimation of the magnitude of fire-related emission factors in GFED v3. Although
18 variation in the seasonal emissions is different between North Africa and Central Africa
19 (almost in opposite phase, reflecting the transition of the Intertropical Convergence Zone
20 (ITCZ)), the year-to-year variation revealed by data assimilation is similar between the two
21 regions. The temporal correlation of the annual total emission between North Africa and
22 central Africa for the 2005-2011 period (when the GFED emissions are available) is estimated
23 at 0.90 for the a posteriori emissions, and 0.01 for the a priori emissions. This result may
24 suggest that year-to-year emission variations over the two regions are controlled in the same
25 manner by long-lasting atmospheric variations (e.g., ENSO), for which the a priori emissions
26 have large uncertainties.

27 28 \subsubsection{Southeast Asia}

29
30 Over Southeast Asia, the data assimilation increases the annual mean emission by 45 % from
31 0.47 to 0.68 $\text{Tg}\cdot\text{N}\cdot\text{yr}^{-1}$ (Table 3), with a large increase in boreal winter and spring

1 (Fig. 8). The regional emission increment is positive over peninsular Malaysia (+20--40 %
2 for the ten-year mean emission), Borneo Island (+60--100 %), and central and northern
3 Thailand (+50--80 %) (Fig. 10). Because of the large adjustment in boreal winter and spring,
4 the peak-to-peak seasonal variation for southeast Asia is enhanced by 20 % by data
5 assimilation (Fig. 8). The a priori inventories reveal enhanced emissions in 2005, 2007, and
6 2010, reflecting year-to-year changes in biomass burning emissions, whereas data
7 assimilation further increased them by up to 30 % (Fig. 7). The relative adjustment in other
8 years (i.e. years with weaker biomass-burning activity) is even higher during the boreal winter
9 and spring (with a factor of more than 2), which can largely be attributed to large positive
10 increments over central and northern Thailand. The Southeast Asia emissions can be
11 characterized as a combination of various sources. Using the ratio between different emission
12 categories in the a priori emission inventories at each grid point, the regional total emissions
13 from anthropogenic sources, biomass burning, and soils are estimated at 0.51, 0.11, and 0.06
14 Tg N yr^{-1} , respectively, which is 47, 32, and 58 % higher than the a priori
15 emissions.

16

17 \subsubsection{South America}

18

19 Over South America, the ten-year mean regional total emissions are comparable between the
20 a priori and a posteriori emissions, whereas the spatial distribution is largely corrected, with
21 large positive increments over eastern Brazil (+50--110 % at grid scale) and Peru (+90--
22 +140 %) and negative increments over the central Amazon (up to -30 %) (Fig. 5). The
23 seasonal variation of the regional total emission for South America is largely corrected by
24 data assimilation (Fig. 8). A large decrease (by -30 %) occurs in the biomass burning season
25 in August-September in all of the years, which might be the result of an overestimation of
26 emissions by forest (i.e., deforestation) fires in dry conditions in the emissions inventory, as
27 similarly investigated by Castellanos et al. (2014) using GFED v3. This is in contrast to the
28 increased emissions over central Africa in the biomass burning season (c.f., Section 4.2.6). In
29 contrast to the negative increments in the biomass-burning season, the emissions in the
30 biomass burning off-season are increased by 30--60 % by data assimilation. Consequently,
31 data assimilation decreased the seasonal amplitudes by 40 %. The year-to-year variations are
32 similar between the a priori and a posteriori emissions (Fig. 7). As an exception, a large

1 decrease in 2010 (with a 50 \% decrease from 6.9 $\text{Tg}\,\text{N}$ to 3.5 $\text{Tg}\,\text{N}$ in August
2 by data assimilation) suggests large uncertainty in fire-related emission factors in the major
3 fire year (Bloom et al., 2015).

4

5 \subsubsection{Other remote regions}

6

7 The data assimilation may capture signals related to soil emissions, for which the inventories
8 may have large uncertainties. For instance, the regional mean emissions over Australia are
9 higher by about 40 \%, with a large increase in boreal spring-early summer. The emissions are
10 also higher over the central Eurasian continent, including eastern Europe and western China,
11 and over the Sahel (Fig. 5), as was similarly found by Vinken et al. (2014). The global total
12 NO_x emissions by soils for the ten-year period are estimated at 7.9
13 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$, in contrast to 5.4 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$ for the a
14 priori emissions. The results indicate large underestimates in the soil emission inventories
15 over these regions. For instance, the nonlinear relationships between soil NO_x
16 emissions and time since fertilization, soil temperature, and soil moisture, are not properly
17 considered in current inventories, as pointed out by Oikawa et al. (2015) for agricultural
18 regions. Note that our estimate of 7.9 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$ is smaller than other recent
19 estimates (8.9 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$ in Jaegl' e et al. (2005), 8.6 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$ in
20 Steinkamp and Lawrence (2011), 10.7 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$ in Hudman et al. (2012), and
21 12.9 ± 3.9 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$ in Vinken et al. (2014)), which could partly be
22 attributed to the assumed emission ratio between different categories for each model grid
23 point, which is based on the a priori inventories and was not modified by the data assimilation
24 in this study.

25

26 Among major industrialized areas, the Middle East has experienced a rapid increase in
27 NO_2 levels (Lelieveld et al., 2015). Our estimates reveal a linear trend of +20
28 \%/decade in NO_x emissions and a 45 \% positive adjustment from the a priori
29 emissions for the Middle East (32--65 $^\circ\text{E}$, 12--40 $^\circ\text{N}$) during the ten-year period.
30 Strong positive trends are found over major cities, such as Kuwait (+47 \%/decade), Cairo
31 (+29 \%/decade), and Tehran (+37 \%/decade). In contrast, the trend in the estimated emission

1 over Dubai is negative (-6 %/decade). The rate of increase becomes larger after 2010 for
2 many areas (Fig. 11), as found in observed {NO_2} levels (Lelieveld et al., 2015).
3 Lelieveld et al. (2015) suggested that a combination of air quality control and political factors
4 has drastically altered the emission landscape of {NO_x} in the Middle East.

5
6 Over the oceans, the data assimilation decreases the ten-year mean global total emissions
7 from ships. In contrast, at the regional scale, data assimilation increments are positive over the
8 oceans around Europe (Fig. 12), and a positive trend during 2005--2010 is introduced by data
9 assimilation (Fig. 11, note that the estimated positive trend is more pronounced during 2005--
10 2008, as commonly found by Boersma et al. (2015)). The overall negative increment as well
11 as the positive increment around Europe may indicate an overestimate and an underestimation
12 around Europe of ship emissions in the a priori inventories and errors in modelled chemical
13 processes in the exhaust plumes (Vinken et al., 2011), which occur at fine scales relative to
14 the model grid. The overall negative increment can also be influenced by possible negative
15 bias in {NO_2} retrievals. Boersma et al. (2008a) showed negative bias over the ocean
16 in {NO_2} retrievals in version-1 DOMINO {NO_2} retrievals, and the negative
17 bias could not be fully removed in the version-2 DOMINO {NO_2} retrievals (Boersma
18 et al., 2011a).

19

20 `\section{Discussion}`

21

22 `\subsection{Importance of assimilating multiple trace gases}`

23

24 The differences between our {NO_x} emissions estimates and previous studies, as
25 discussed in Section 4, may be attributed to differences in the assimilated data, forecast model,
26 and data assimilation approach. In particular, the use of non-{NO_2} measurements is
27 expected to improve emission estimates in our approach, as these affect the {NO_x}
28 chemistry and reduce model errors unrelated to surface emissions.

29

1 Table 5 compares the estimated emissions between the multiple-species data assimilation and
2 a NO_2 -only data assimilation. The estimated emissions differ in many regions if
3 non- NO_2 data assimilation is considered because the ratio of predicted
4 NO_x emission and NO_2 column has been modified by non- NO_2
5 observations. The assimilation of non- NO_2 measurements leads to changes of up to
6 about 70 % in the regional monthly-mean emissions. The estimated ten-year total regional
7 emissions for South America and Australia are about 10 % lower in the multiple-species
8 assimilation than in the NO_2 -only assimilation. The RMSE between the two
9 estimates for the monthly total regional emissions is 15.5 % for central Africa, 16.5 % for
10 Australia, and about 5--8 % for major polluted regions during the ten-year period. The
11 estimated monthly mean emissions are mostly smaller in the multiple-species assimilation
12 than in the NO_2 -only assimilation, especially over the tropical and southern
13 subtropical regions such as South America, central Africa, and Australia, suggesting that
14 NO_2 -only data assimilation tends to overcorrect the emissions from the a priori. The
15 monthly total global emissions decrease by up to 6 TgN (in boreal summer) if non-
16 NO_2 data assimilation is considered.

17

18 We conducted Observing System Experiments (OSEs), and confirmed that the assimilation of
19 individual data sets results in a strong influence on the estimated emissions. For instance, in
20 January 2008, the TES O_3 assimilation led to substantial changes in the regional
21 emissions over India (3.50 TgN in the NO_2 -only assimilation and 3.15 TgN in the
22 NO_2 and TES O_3 assimilation, in contrast to 3.16 TgN in the multi-
23 species assimilation and 2.45 TgN in the a priori emissions), whereas other non-
24 NO_2 measurements (i.e., MOPITT and MLS) have less impact. Similar important
25 contributions of TES O_3 measurements are found for South America in January
26 2008 (1.09 TgN in the NO_2 -only assimilation and 0.91 TgN in the NO_2
27 and TES O_3 assimilation, in contrast to 0.90 TgN in the multi-species assimilation
28 and 0.46 TgN in the a priori emissions). These changes in NO_x emissions are
29 associated with negative adjustments of O_3 by the TES assimilation over South
30 America throughout the troposphere and positive adjustments of O_3 over India in
31 the middle troposphere, and their influence on NO_x - OH - O_3
32 chemical reactions and the LNO_x source optimization, as discussed below.

1

2 The ten-year linear trend is also different over most industrial areas (Table 4). For instance,
3 the positive trend for India is 34.3 %/decade in the {NO_2}-only assimilation, which is
4 larger than the 29.2 %/decade in the multiple-species assimilation. For the United States, the
5 negative trend is larger in the multiple-species assimilation (-29.4 %/decade) than in the
6 {NO_2}-only assimilation (-23.9 %/decade). These results confirm that the
7 assimilation of measurements for species other than {NO_2} provides additional
8 constraints on the {NO_x} emissions over both anthropogenic and biomass burning
9 regions.

10

11 The improved representation of {NO_x} emissions is confirmed by the better
12 agreement of simulated {O_3} concentrations with independent ozonesonde
13 observations using {NO_x} emissions from multiple-species assimilation than those
14 using {NO_x} emissions from {NO_2}-only data assimilation, which was also
15 demonstrated by Miyazaki and Eskes (2013). After 2010, TES {O_3} retrievals were
16 not assimilated because of the lack of standard observations. Even so, the optimized surface
17 {NO_x} emissions from the multiple-species assimilation improved agreements with
18 TES {O_3} ver. 6 special observations during 2011--2014 for most locations (Table S1).
19 These results indicate that multiple-species measurements provide important information for
20 improving surface {NO_x} source estimations and improve the chemical consistency
21 including the relation between concentrations and the estimated emissions. Note that the
22 emissions of {O_3} precursors other than {NO_x}, such as {VOCs}, and
23 various model processes in atmospheric transport and chemistry influence the model
24 performance. The impact of using the optimized {NO_x} emissions may vary with
25 models (e.g., given different forecast errors of {NO_2} and {O_3}). The
26 optimization of additional precursors emissions and the improvement of the forecast model could
27 be important for improving {O_3} simulations, as discussed in our previous studies
28 (Miyazaki et al., 2012b; 2015).

29

30 {LNO_x} sources are important for a realistic representation of tropospheric
31 {NO_2} columns, which are optimized from data assimilation in our framework. Using
32 the multiple-species data assimilation, the ten-year mean global {LNO_x} source

1 amount was estimated at 5.8 Tg N yr^{-1} , in contrast to 5.3 Tg N yr^{-1}
2 estimated from the model simulation and $6.3 \pm 1.4 \text{ Tg N yr}^{-1}$ in our previous
3 data assimilation estimate (Miyazaki et al., 2014). The data assimilation increments for
4 LNO_x sources are large and mostly positive in the middle and upper troposphere in
5 the NH and the TR, in which non- NO_2 measurements with different vertical
6 sensitivities provided important constraints. Through its influence on simulated tropospheric
7 NO_2 columns, for instance, the inclusion of the LNO_x source optimisation
8 altered the surface NO_x emission estimates over eastern China by up to 12% in
9 summer. Moreover, surface CO emissions increased by 10 % in the NH by the assimilation
10 of MOPITT CO measurements in our system. Both optimised LNO_x sources and
11 CO emissions reveal enhanced seasonal and interannual variations over many regions after
12 data assimilation, providing important constraints on long-term estimates of surface
13 NO_x emissions, through their influence on OH and thus the NO_x chemical
14 lifetime.

15

16 Figure 13 shows changes in OH concentrations (ΔOH) in the lower
17 troposphere in the boreal summer (averaged over June--August) due to data assimilation. The
18 multiple-species assimilation changes the global OH distribution, increasing OH globally. As
19 summarised in Table 6, the regional impact is large (greater than +20 %) in tropical regions
20 such as over the Middle East, Southeast Asia, and Central and North Africa, and over
21 industrial areas (greater than +10 %), such as over China, the United States, and India. These
22 changes in OH concentrations are influenced by changes in NO_x emissions through
23 the assimilation of NO_2 measurements, but the assimilation of non- NO_2
24 measurements is also important. Fig. S2 demonstrates that the assimilation of non-
25 NO_2 measurements acts to decrease the OH concentration in the lower and
26 middle troposphere for most regions in June 2008. The TES assimilation mostly reduces the
27 O_3 concentration in the tropics, which leads to a decrease of OH
28 concentrations. In contrast, the TES assimilation acts to increase the OH
29 concentration in the NH extratropics in the lower and middle troposphere. The assimilation of
30 MOPITT CO acts to decrease the OH concentration in the NH, because of
31 the increased surface CO emissions. The ten-year mean NH/SH OH ratio is estimated
32 at 1.19 in the multiple-species assimilation, in contrast to 1.27 in the MIROC model

1 simulation and 1.22 in the NO_2 -only assimilation, which is closer to 0.97 ± 0.12
2 estimated based with the help of methyl chloroform observations (a proxy for OH
3 concentrations) by Patra et al. (2014).

4
5 To elucidate the changes in the NO_x chemical lifetime, Table 6 compares the lower
6 tropospheric OH concentration and the ratio of the regional mean surface
7 NO_x emissions and lower tropospheric NO_2 concentrations (averaged
8 from the surface to 790 hPa) between the multiple-species data assimilation and the model
9 simulation ($\Delta \text{NO}_x\text{-emi}/\text{NO}_2$) in the boreal summer. The multiple-
10 species assimilation leads to an increase in the OH concentration in the troposphere.
11 Meanwhile, the increased ratio of NO_x to NO_2 (i.e. increased fraction of
12 NO) in the multiple-species assimilation compared to the model simulation indicates
13 that the $\text{HO}_2 + \text{NO} \rightarrow \text{NO}_2 + \text{OH}$ reaction, which is the
14 source of OH , is enhanced in the multiple-species assimilation. It is also found that
15 the assimilation of non- NO_2 measurements suppress these changes for most regions
16 in both the OH concentration (c.f., Fig. S2) and the $\text{NO}_x\text{-emi}/\text{NO}_2$
17 ratio. For instance, the ten-year mean ratio over Central Africa is
18 increased by 16.5 % in the multiple-species assimilation, in contrast to the 19.3 % increase
19 in the NO_2 -only assimilation.

20
21 These results suggest that NO_x chemical lifetime is decreased because of increased
22 OH concentrations (through the $\text{NO}_2 + \text{OH}$ reaction, which acts as
23 the main sink of NO_x) in the multiple-species data assimilation (and also in the
24 NO_2 -only assimilation) than in the model simulation over most industrial and
25 biomass burning areas. It is also suggested for many regions NO_x chemical lifetime
26 is longer in the multiple-species assimilation than in the NO_2 -only assimilation,
27 because of decreased OH concentrations by the assimilation of non- NO_2
28 measurements. These changes, together with the increased LNO_x sources, could
29 explain the smaller NO_x emissions in the multiple-species assimilation than in the
30 NO_2 -only assimilation in many cases (c.f., Table 5). These results demonstrate the
31 utility of the multiple-species assimilation to constrain the tropospheric chemistry (i.e.,

1 chemical regime) controlling NO_x variations and to improve surface NO_x
2 emission inversions.

3

4 NO_2 observations from multiple instruments}

5

6 Unlike most previous studies that used NO_2 retrievals from a single sensor, we
7 assimilated multiple NO_2 measurements to constrain surface NO_x
8 emissions. When assimilating OMI retrievals only, the larger discrepancies with respect to the
9 SCIAMACHY and GOME-2 retrievals for some regions may be attributed to errors in the
10 simulated diurnal NO_2 variations, since both emission factors and tropospheric
11 concentrations of NO_x are constrained only in the early afternoon in this case. When
12 assimilating multiple NO_2 measurements, the application of the correction factor
13 (E_{tc}) for the emission diurnal variability function (E_{t}) modified the shape of the diurnal
14 emission variability (Fig. 1), which improved the agreement with multiple NO_2
15 retrievals in both the morning and afternoon for many cases. The global RMSE for monthly
16 mean tropospheric NO_2 column is reduced by 8 % compared to the OMI retrievals
17 and by 13 % compared to the SCIAMACHY in January 2005 by assimilating multiple
18 NO_2 measurements with applying E_{tc} , compared to the case with the OMI
19 retrievals only. The estimated monthly regional emissions constrained by the three retrievals
20 decreased by 18 % over Europe and by 9 % over Australia in January 2005 compared to
21 those from the OMI retrievals only.

22

23 As shown in Fig. 14 and Table 7, the estimated E_{tc} is negative for most industrial regions
24 such as Europe and North America, and over biomass burning areas, such as southeast Asia.
25 The large adjustments ($E_{\text{tc}} = -0.3$ to -0.4 , for which the daily mean hourly emission value is
26 1) for the industrialized areas suggest that a positive adjustment to the assumed diurnal
27 emission variability is required between 7:30--10:30 (and then a negative adjustment for
28 emissions between 10:30--13:30), probably due to larger underestimations of emissions (e.g.,
29 morning traffic rush). Large negative values of E_{tc} are also found over northern China
30 including Inner Mongolia, northern India, and the Middle East, where various emission
31 sources (not only mobile sources with morning peaks) could be important. For instance, over

1 Inner Mongolia, the estimated emissions show a positive trend over the past decade (around
2 110[±]%, 41[±]% in Fig 12), which could be associated with increased emissions by
3 power plants and industries without morning peaks. These results ~~also~~ suggest a larger
4 negative bias in simulated tropospheric {NO_2} column in the morning than in the
5 afternoon, associated with errors in the chemical lifetime and atmospheric transports (e.g.,
6 boundary layer development) and also associated with biases between the different
7 {NO_2} retrievals. Thus, the model errors could artificially affect the diurnal emission
8 variability. The optimized Δ for biomass-burning and soil emission dominant regions are
9 mostly slightly negative, which may suggest that the applied diurnal emission variability with
10 an afternoon maximum (see Section 2.1) was inappropriate for some regions. In contrast, they
11 are positive for most of the ocean. These results suggest the need to not only correct diurnal
12 {NO_2} variations, but also account for the differences in the sampling and bias
13 between OMI and other instruments as well as the influences of model errors. Future
14 geostationary satellite missions such as Sentinel-4, GEMS, and TEMPO will be able to
15 provide dramatically more systematic constraints on diurnal emission variability and
16 observational information.

17 18 19 \subsection{Possible error sources}

20
21 Biases in satellite retrievals and modeling affect the magnitude of estimated emissions.
22 Miyazaki et al. (2012a) demonstrated that possible biases (up to 40 %) in the {NO_2}
23 retrieval alter regional {NO_x} emissions by 5--45 %. The emission estimates may
24 also be sensitive to measurement biases for species other than {NO_2}. For example, a
25 bias correction for the positive bias in the TES {O_3} profiles altered monthly
26 {NO_x} emissions by 1--11 % at the regional scale (Miyazaki and Eskes, 2013).
27 Discontinuities in the assimilated measurements (e.g., lack of most TES retrievals after 2010,
28 OMI row anomaly since January 2009, and the limited data coverage of SCIAMACHY
29 (before February 2012) and GOME-2 (after January 2007)) may also affect long-term
30 emission estimates.

31

1 Estimated emissions are sensitive to the choice of forecast model and its resolution. Our
2 analysis using a different forecast model (CHASER versus MIROC-Chem) showed up to 20
3 % difference in monthly NO_x emissions at the regional scale. Meanwhile, negative
4 biases remain in tropospheric NO_2 columns over industrial regions, such as China,
5 Europe, the United States, and Southern Africa, using either model and data assimilation. The
6 inadequacies of the improvements in simulated tropospheric NO_2 columns could be
7 related to model biases in the NO_x chemical lifetime (e.g., Stavarakou et al., 2013)
8 and may also be partly attributed to the small number of observations and large observation
9 errors for highly polluted cases (Fig. S1). Over polluted areas, observation errors increase
10 almost linearly with the retrieved concentrations for most cases, and large observation errors
11 may lead to the insufficient improvements by data assimilation for highly polluted cases. The
12 remaining error may indicate a possible bias in the estimated emissions.

13

14 For example, over Europe, the increased wintertime negative bias against OMI retrievals (in
15 contrast to the reduced bias against SCIAMACHY retrievals) in 2009 and 2010 could also be
16 associated with difficulties in correcting the diurnal emission variation. For that time period
17 over northern Europe, the number of OMI observations used for data assimilation is greatly
18 reduced and observation errors are significantly increased, whereas those of SCIAMACHY
19 vary differently (Fig. S1). More observational data (e.g., from ground-based measurements)
20 may be required to further constrain surface NO_x emissions for cloudy and snow-
21 covered conditions and for high latitudes. Meanwhile, the diurnal variability correction
22 scheme may need to be refined to further improve the agreement with various overpass time
23 measurements.

24

25 Meanwhile, coarse resolution models are known to have negative biases in NO_2
26 over large sources (Valin et al., 2011). The emissions estimated at the T42 resolution in this
27 study could potentially be overestimated over polluted areas, whereas the contrast between
28 rural and urban areas could be underestimated. A high-resolution forecast model is important
29 to accurately simulate nonlinear effects in NO_2 loss rate, while also providing
30 insights into individual emission sources, such as power plants (e.g., de Foy et al., 2015).

31

1 Although the assimilation of multiple-species data influences the representation of the entire
2 chemical system (Miyazaki et al., 2012b, 2015), the influence of model and observation errors
3 remains a concern. In the multiple-species data assimilation, model performance is critical for
4 the correct propagation of observational information between chemical species and to
5 improve the emission estimation, whereas biases in any of the measurement data sets
6 (including non-{NO_2} measurements) may seriously degrade the emission estimation
7 (Miyazaki et al., 2013). Improvements in the model, data assimilation scheme, and retrieved
8 observations are essential to reduce the uncertainty on the emission estimates from the
9 multiple-species data assimilation.

10

11 \subsection{Trends in {NO_2} concentrations and {NO_x} emissions}

12

13 We emphasize that the observed concentration variations do not necessarily correlate linearly
14 with surface emissions, as similarly investigated by other inversion studies (e.g., Lamsal et al.,
15 2011; Castellanos et al., 2012; Turner et al., 2012; Vinken et al., 2014). As summarised in
16 Table 4, linear trends are significantly different between the observed concentrations and
17 estimated emissions. The positive trend is larger in the observed {NO_2} concentration
18 (+39.6 \%/decade) than in the emission estimates (+26.0 \%/decade) for China, whereas the
19 negative trend is larger in the emission estimates (-29.4 \%/decade) than in the observed
20 {NO_2} concentration (-6.3 \%/decade) for the United States. The relation between
21 observed {NO_2} concentration and estimated {NO_x} emissions varies
22 seasonally, as similarly expressed by Zhang et al. (2007), and the differences can be much
23 larger at the grid scale. The results indicate that an accurate estimation of the long-term
24 emission trends requires an emission-concentration relationship that explicitly accounts for
25 tropospheric chemistry and non-{NO_2} concentrations afforded by advanced data
26 assimilation techniques (see Section 5.1). These year-to-year variations in the observed
27 {NO_2} concentrations have previously been reported by Duncan et al. (2016) and
28 Krotkov et al. (2016).

29

30 These results also suggest that the tropospheric chemical regime may have changed over the
31 ten-year period. For instance, over Europe, the linear trend is positive for the observed

1 NO_2 concentration (+13.6 %/decade for all of Europe and +7.5 %/decade for
2 western Europe in OMI) and is negative for the emission estimates (-0.1 %/decade and -8.8
3 %/decade, respectively). This suggests that NO_2 may have become longer-lived or
4 has become a larger fraction of NO_x over Europe over the past decade. In fact, the
5 lower tropospheric OH concentrations show slight negative trends (by up to -5
6 %/decade) over most of Western Europe over the past decade (figure not shown). Another
7 possible explanation is that a shift in NO_2 : NO_x emission ratios related to
8 the increasing share of European diesel cars could have occurred. Further efforts are required
9 to explain the long-term variations of the tropospheric chemical regime and its causal
10 mechanisms. Note that the linear trend in the observed concentration is different between the
11 instruments over Europe (c.f., Fig. 3).

12

13 **conclusions**

14

15 Global surface nitrogen oxides (NO_x) emissions are estimated for the ten-year
16 period between 2005--2014 from the assimilation of multiple satellite datasets: tropospheric
17 NO_2 columns from OMI, GOME-2, and SCIAMACHY; O_3 profiles from
18 TES; CO profiles from MOPITT; and O_3 and HNO_3 profiles
19 from MLS. The daily emission inversion is performed based on the ensemble Kalman filter
20 data assimilation, which simultaneously optimises chemical concentrations of various species
21 and emission sources of several precursors. Within the simultaneous emission and
22 concentration optimisation framework, the analysis increment directly produced via chemical
23 concentrations plays an important role in reducing model--observation mismatches arising
24 from model errors unrelated to emissions, which can be expected to improve emission
25 inversion. The assimilation of measurements for species other than NO_2 provides
26 additional constraints on the NO_x emissions over both anthropogenic and biomass
27 burning regions, leading to changes in the regional monthly-mean emissions of up to 70 %.
28 The impact of non- NO_2 measurements varied largely with season, year, and region.
29 In addition to daily emission factors, the diurnal emission variability function was optimised
30 using multiple NO_2 retrievals, obtained in the morning (SCIAMACHY and GOME-
31 2) and afternoon (OMI). The emission correction largely improved the agreement with

1 observed tropospheric NO_2 columns, at both the seasonal and interannual time
2 scales.

3

4 The ten-year mean global total surface NO_x emissions after data assimilation is 48.4
5 $\text{Tg}\text{N}\text{yr}^{-1}$, which is 26 % higher than a priori emissions based on bottom-up
6 inventories. The optimised ten-year mean emissions are higher over most industrialised areas.
7 The data assimilation corrected the timing and strength of emissions from biomass burning,
8 such as over central Africa (the ten-year mean regional emission is $1.68 \text{Tg}\text{N}\text{yr}^{-1}$
9 in the a priori emissions and $2.57 \text{Tg}\text{N}\text{yr}^{-1}$ in the a posteriori emissions), North
10 Africa ($2.07 \text{Tg}\text{N}\text{yr}^{-1}$ v.s $2.90 \text{Tg}\text{N}\text{yr}^{-1}$), Southeast Asia (0.47
11 $\text{Tg}\text{N}\text{yr}^{-1}$ v.s $0.68 \text{Tg}\text{N}\text{yr}^{-1}$), and South America (1.00
12 $\text{Tg}\text{N}\text{yr}^{-1}$ v.s $1.04 \text{Tg}\text{N}\text{yr}^{-1}$), suggesting a large uncertainty in fire-
13 related emission factors in the emission inventories. At northern mid-latitudes and over
14 Australia, the emissions are largely enhanced during summer, suggesting an important
15 underestimation of soil sources in the a priori inventory. Using the emission ratio between
16 different categories in the a priori emission inventories, the global total soil NO_x
17 emission for the 2005--2014 period is estimated at $7.9 \text{Tg}\text{N}\text{yr}^{-1}$,
18 which is much higher than the a priori estimate of $5.4 \text{Tg}\text{N}\text{yr}^{-1}$. This
19 soil NO_x emission estimate may nevertheless be conservative, because the ratio
20 between the source categories is kept fixed in our approach.

21

22 The estimated regional total emissions show strong positive trends over India (+29
23 %/decade), China (+26 %/decade), and the Middle East (+20 %/decade), and negative
24 trends over the United States (-29.4 %/decade), Southern Africa (-8.2 %/decade), and
25 western Europe (-8.8 %/decade). At the grid scale, strong positive trends are found over large
26 cities in China (e.g., Wuhan (+42 %/decade), Chengdu (+56 %/decade), northwestern China
27 (+50--110 %/decade)), India (e.g., Kolkata (+47 %/decade), Raipur (+67 %/decade),
28 Madras (+40 %/decade)), the Middle East (e.g., Kuwait (+47 %/decade), Tehran (+37
29 %/decade)), and Brazil (Sao Paulo (+40 %/decade)), whereas large negative trends are found
30 in Europe (e.g., northern Spain (-45 %/decade), Po Valley (-52 %/decade)), the United
31 States (e.g., New York (-48 %/decade), Boston (-42 %/decade), Chicago (-52 %/decade),
32 Atlanta (-47 %/decade), Los Angeles (-46 %/decade)), and Japan (e.g., Tokyo (-48

1 \%/decade), Osaka (-38 \%/decade)). The yearly mean emissions for China reveal a large
2 positive trend from 2005 to 2011, subsequently decreasing through 2014. For the United
3 States and some parts of Europe, the negative trends are larger during 2005--2010 than 2011--
4 2014. These changes are more variable as a result of the global economic recession and
5 emission controls. Despite the large year-to-year variations over many regions, the global
6 total emission is almost constant between 2005 (47.9 TgN) and 2014 (47.5 TgN).

7

8 The estimated emissions have great potential to contribute to better understanding of
9 precursor variability influences on observed air quality (e.g., tropospheric O_3)
10 variations and associated climate impacts. The obtained emission data is also crucial to
11 evaluate bottom-up inventories. The consistent data set comprising emissions and
12 concentrations of various species, which were obtained from our simultaneous data
13 assimilation framework, provides comprehensive information on atmospheric environmental
14 variations, associated with both human and natural activity. Meanwhile, our results suggested
15 that more observational constraints would be required to improve the global emission
16 estimates. Observational information from future satellite missions such as TROPOMI and
17 sensors on board geostationary satellites (Sentinel-4, GEMS, and TEMPO) in conjunction
18 with exploitation of existing sounders, e.g., IASI and CrIS, can be expected to add constraints
19 on more detailed spatiotemporal variability in surface NO_x emissions and its impact
20 on air quality (Bowman, 2013).

21

22 \begin{acknowledgements}

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29 \end{acknowledgements}

30

1 Table 1: Comparisons of tropospheric NO_2 columns between data assimilation and
2 satellite retrievals: OMI for the period 2005--2014, SCIAMACHY for the period 2005--2011,
3 and GOME-2 for the period 2007--2014. Shown are the global spatial correlation (S-Corr),
4 the mean bias (BIAS: the data assimilation minus the satellite retrievals) and the root-mean-
5 square error (RMSE) in $10^{15} \text{ molec cm}^{-2}$. The model simulation results
6 (without data assimilation) are also shown in brackets.

7

8 Table 2: The monthly mean bias and temporal correlation of regional mean tropospheric
9 NO_2 columns: the data assimilation minus the satellite retrievals from OMI for the
10 period 2005--2014, SCIAMACHY for the period 2005--2011, and GOME-2 for the period
11 2007--2014 in $10^{15} \text{ molec cm}^{-2}$. The results of the model simulation
12 (without data assimilation) are also shown in brackets.

13

14 Table 3: The regional ten-year mean NO_x emissions (in Tg N yr^{-1})
15 obtained from the a priori emissions, a posteriori emissions, and the relative difference
16 between these two emissions (in %) for the period 2005-2014 (left columns). The results are
17 also shown for EDGAR-HTAP v2 emissions (as a reference) averaged over the years 2008
18 and 2010, the a posteriori emissions (the same results as in the left columns, but averaged
19 over the years 2008 and 2010), and the relative difference between these two estimates (in %)
20 (central columns), and for their difference from 2008 to 2010 (right columns). The results are
21 also shown for the Northern Hemisphere (NH, 20°N), the tropics (TR,
22 20°S -- 20°N), the Southern Hemisphere (SH, 90°S), and the globe
23 (GL, 90°S -- 90°N).

24

25 Table 4: Linear trend (in % per decade) of the regional a posteriori NO_x emissions
26 from the multiple-species assimilation (left column) and NO_2 -only assimilation
27 (central column), and of the regional mean tropospheric NO_2 columns from OMI
28 (right column) for the period 2005-2014.

29

30 Table 5: Difference between the a posteriori emissions from the multiple-species assimilation
31 and NO_2 -only assimilation. Relative difference for the regional ten-year mean

1 emissions (left column), RMSE for the monthly regional emissions (central column), and
2 range of relative difference for the monthly regional emissions (right column) are shown.

3

4 Table 6: Regional and ten-year mean difference in lower tropospheric OH concentration
5 averaged below 790 hPa ($\Delta \text{chem}\{\text{OH}\}$) and the ratio of surface $\text{chem}\{\text{NO}_x\}$
6 emission and lower tropospheric $\text{chem}\{\text{NO}_2\}$ concentration averaged below 790 hPa
7 ($\Delta \text{chem}\{\text{NO}_x\}\text{-emi}/\text{chem}\{\text{NO}_2\}$) between the data assimilation run and the model
8 simulation in the boreal summer (averaged over June--August) over the 2005--2014 period.

9

10 Table 7: Regional and ten-year mean correction factor for the emission diurnal variability
11 (E_{tc}) for 2005--2014.

12

13 Figure 1: Schematic diagram of the correction scheme for the emission diurnal variation for a
14 case with $E_{tc}=-0.3$. The black dotted time represents the a priori emission diurnal
15 variability function (E_{t}) for anthropogenic emissions. The black solid line represents the a
16 posteriori emission variation after applying the daily emission scaling factor ($E_t \times E_s$).
17 The blue line represents the correction factor for the emission diurnal variability (E_{tc}). The
18 red line represents the a posteriori emission variation after applying the daily emission scaling
19 factor and the correction factor for the emission diurnal variability ($E_t \times E_s - E_{tc}$ for
20 07:30--10:30, and $E_t \times E_s + E_{tc}$ for 10:30--13:30).

21

22 Figure 2: Global distributions of the tropospheric $\text{chem}\{\text{NO}_2\}$ columns (in
23 $10^{15} \text{ molec cm}^{-2}$). The results are shown for OMI (left columns, sampling
24 time $\approx 13:00$ hrs) for 2005--2014, SCIAMACHY (middle columns, 10:00 hrs) for
25 2005--2011, and GOME-2 (right columns, 09:30 hrs) for 2007--2014. Upper rows show the
26 tropospheric $\text{chem}\{\text{NO}_2\}$ columns obtained from the satellite retrievals (OBS); centre
27 shows the difference between the model simulation and the satellite retrievals (Model-OBS);
28 and lower rows show the difference between the data assimilation and the satellite retrievals
29 (Assim-OBS).

30

1 Figure 3: Time series of regional monthly mean tropospheric NO_2 columns (in
2 $10^{15} \text{ molec cm}^{-2}$) averaged over China (110--123°E, 30--
3 40°N), Europe (10°W--30°E, 35--60°N), the United States (70--
4 125°W, 28--50°N), South America (50--70°W, 20°S--Equator),
5 North Africa (20°W--40°E, Equator--20°N), Central Africa (10--
6 40°E, Equator--20°S), Southern Africa (25--34°E, 22--31°S),
7 Southeast Asia (96--105°E, 10--20°N), Australia (113--155°E, 11--
8 44°S), and India (68--89°E, 8--33°N) obtained from the satellite
9 retrievals (black), model simulation (blue), and the data assimilation (red). The model
10 simulation and data assimilation results were obtained at the local overpass time of the
11 retrievals with applying the averaging kernel.

12
13 Figure 4: (Left panels) Mean vertical NO_2 profiles obtained during the ARCTAS
14 campaign in June--July 2009; the ARCTAS campaign in June--July 2006; the DC3 campaign
15 in May 2012; and the SEACRS campaign in August--September 2013. The black line
16 represents the observation; the blue line represents the model simulation; and the red line
17 represents the data assimilation. The error bars represent the standard deviation. (Right
18 panels) Scatter plots of NO_2 concentrations for the data assimilation (top) and the
19 model simulation (bottom) during the DANDELIONS campaign ($\mu\text{g m}^{-3}$) in
20 September 2006 and during the INTEX-B campaign (in pptv) on March 9, 2006. The
21 straight lines represent linear regression lines for each level. Each line represents a linear fit to
22 the points of the same colour, and the colours represent the altitude level.

23
24 Figure 5: Global distributions of surface NO_x emissions (in 10^{-13} kg
25 $\text{m}^{-2} \text{ s}^{-1}$) averaged over 2005--2014. The a priori emissions (top), a posteriori
26 emissions from the data assimilation run (middle), and analysis increment (bottom) are shown.

27
28 Figure 6: Global distribution of linear trend of the a posteriori surface NO_x
29 emissions (in $10^{-13} \text{ kg m}^{-2} \text{ s}^{-1}$) per decade) for the period 2005--2014.
30 The red (blue) colour indicates positive (negative) trends.

31

1 Figure 7: Time series of monthly total regional surface NO_x emissions (in
2 Tg N yr^{-1}) obtained from the a priori emissions (black lines) and the a posteriori
3 emissions (red lines) for the period 2005--2014. The results are also shown for EDGAR-
4 HTAP v2 emissions (green lines) for the years 2008 and 2010.

5

6 Figure 8: Seasonal variations of the regional surface NO_x emissions (in
7 Tg N yr^{-1}) obtained from the a priori emissions (black line) and the a posteriori
8 emissions (red line) averaged over the period 2005--2014. The results are also shown for the a
9 posteriori emissions for individual years during 2005--2014 (yellow lines).

10

11 Figure 9: Time series of the difference (in %) of the annual mean a posteriori surface
12 NO_x emissions relative to the 2005 emissions in the period 2005--2014 for India
13 (yellow), China (blue), Europe (light blue), western Europe (light blue dashed line), Southern
14 Africa (red), and the United States (green).

15

16 Figure 10: The regional distribution of ten-year mean surface NO_x emissions (in
17 $10^{-13} \text{ kg m}^{-2} \text{ s}^{-1}$) over East Asia (upper panels), Europe (upper middle
18 panels), the United States (lower middle panels), and Southeast Asia (lower panels) obtained
19 from the a posteriori emissions in the period 2005--2014 (left panels), and the difference
20 between the a posteriori emissions and a priori emissions in the period 2005-2014 (centre
21 panels), and between the a posteriori emissions and EDGAR-HTAP v2 emissions for the
22 years 2008 and 2010 (right panels). The black square line represents the region used for the
23 regional mean analysis.

24

25 Figure 11: Global distribution of linear trend of the a posteriori surface NO_x
26 emissions for the period 2005--2010 (left) and 2011--2014 (right). The red (blue) colour
27 indicates positive (negative) trends.

28

29 Figure 12: The regional distribution of the linear trend in surface NO_x emissions (in
30 $10^{-13} \text{ kg m}^{-2} \text{ s}^{-1}$ per decade) during 2005--2014 over East Asia (upper

1 left), Europe (upper right), the United States (bottom left), and Southeast Asia (bottom right),
2 obtained from the a posteriori emissions. The black square line represents the region used for
3 the regional mean analysis.

4

5 Figure 13: Global distribution of the ten-year mean OH concentration (in
6 $10^6 \text{ molecules cm}^{-3}$) in the data assimilation run (top) and its difference
7 between the data assimilation run and the model simulation (bottom) averaged over June, July,
8 and August over the 2005--2014 period at 850 hPa.

9

10 Figure 14: Global distribution of the annual mean correction factor for the emission diurnal
11 variability (Etc) for the period 2005--2014.

12