Atmospheric changes caused by galactic cosmic rays over the period 1960-2010

Charles H. Jackman¹, Daniel R. Marsh², Douglas E. Kinnison², Christopher J. Mertens³, and Eric L. Fleming⁴,⁵

[1](Emeritus, NASA Goddard Space Flight Center, Greenbelt, MD, U.S.A.)
[2](National Center for Atmospheric Research, Boulder, CO, U.S.A.)
[3](NASA Langley Research Center, Hampton, VA, U.S.A.)
[4](NASA Goddard Space Flight Center, Greenbelt, MD, U.S.A.)
[5](Also at Science Systems and Applications, Inc., Lanham, MD, U.S.A.)

Correspondence to: Charles H. Jackman (Charles.H.Jackman@nasa.gov)
Abstract

The Specified Dynamics version of the Whole Atmosphere Community Climate Model (SD-WACCM) and the Goddard Space Flight Center two-dimensional (GSFC 2-D) models are used to investigate the effect of galactic cosmic rays (GCRs) on the atmosphere over the 1960-2010 time period. The Nowcast of Atmospheric Ionizing Radiation for Aviation Safety (NAIRAS) computation of the GCR-caused ionization rates are used in these simulations. GCR-caused maximum NOx increases of 4-15% are computed in the Southern polar troposphere with associated ozone increases of 1-2%. NOx increases of ~1-6% are calculated for the lower stratosphere with associated ozone decreases of 0.2-1%. The primary impact of GCRs on ozone was due to their production of NOx. The impact of GCRs varies with the atmospheric chlorine loading, sulfate aerosol loading, and solar cycle variation. Because of the interference between the NOx and ClOx ozone loss cycles (e.g., the ClO + NO2 + M \rightarrow ClONO2 + M reaction) and the change in the importance of ClOx in the ozone budget, GCRs cause larger atmospheric impacts with less chlorine loading. GCRs also cause larger atmospheric impacts with less sulfate aerosol loading and for years closer to solar minimum. GCR-caused decreases of annual average global total ozone (AAGTO) were computed to be 0.2% or less with GCR-caused tropospheric column ozone increases of 0.08% or less and GCR-caused stratospheric column ozone decreases of 0.23% or less. Although these computed ozone impacts are small, GCRs provide a natural influence on ozone and need to be quantified over long time periods.
1 Introduction

Galactic cosmic rays (GCRs) from outside the solar system are comprised of highly energetic charged particles and are believed to be the result of supernovae events and other high energy astrophysical processes. GCRs contain a wide range of energetic particles, which are also influenced by the Earth’s magnetosphere. High energy GCRs not only penetrate further into the atmosphere, but can also cause atmospheric effects outside the polar cap regions. The flux of GCRs is larger during solar minimum, when the reduced solar magnetic field less effectively shields the solar system from the particles.

The influence of galactic cosmic rays (GCRs) on the middle atmosphere has been studied since the 1970’s (e.g., Warneck 1972; Ruderman and Chamberlain, 1975; Nicolet 1975; Jackman et al., 1980, 1987, 1996; Thorne 1980; Garcia et al., 1984; Legrand et al., 1989; Jackman 1991, 1993; Müller and Crutzen, 1993; Vitt and Jackman, 1996; Krivolutsky et al., 1999, 2001, 2002; Vitt et al., 2000; Semeniuk et al., 2011; Calisto et al., 2011). These previous studies made use of GCR-produced ionization rates (GPIR) in computing atmospheric chemistry impacts. The GPIR were deduced primarily in a couple of different methodologies.

For example, Nicolet (1975) made use of balloon soundings and ionization chambers to compute the GPIR. Several of the other earlier studies roughly followed the Nicolet (1975) methodology for inclusion of GPIR in atmospheric analyses. A more recent study by Calisto et al. (2011) primarily relied on the computations of the Cosmic Ray induced Cascade: Application for Cosmic Ray Induced Ionization (CRAC:CRII) of Usoskin et al. (2010) to deduce the GPIR. Another method of computing GPIR has been developed by the Nowcast of Atmospheric Ionizing Radiation for Aviation Safety (NAIRAS) team at NASA Langley Research Center (see Mertens et al., 2013). The NAIRAS-deduced GPIR has been computed over the years 1960-2010. The solar cycle shows substantial variation over this 51-year time period, which is reflected in the GPIR.

GCRs also affect the atmosphere through the production of the important constituent families of NOx (N, NO, NO2) and HOx (H, OH, HO2) either directly or through a photochemical sequence. The NAIRAS-deduced GPIR and subsequent NOx and HOx production can be used in atmospheric models to predict impact on constituents over the 1960-2010 period. We use two models, the Specified Dynamics – Whole Atmosphere Community Climate Model (SD-WACCM) and the Goddard Space Flight Center (GSFC) two-dimensional (2-D) model, to
study the influence of GCRs on the atmosphere over these 51 years. SD-WACCM is used for
detailed studies of the impact of GCRs on minor atmospheric constituents. The GSFC 2-D
model helps in the quantification of the changing GCR influence between 1960 and 2010 as
the chlorine-loading, sulfate aerosol amount, solar cycle, and dynamics vary over this time
period. The fast computational speed of the GSFC 2-D model (compared with SD-WACCM)
allows a number of simulations to investigate the sensitivity of the GCR influence in different
changing background atmospheres.

This paper is divided into six primary sections, including the Introduction. The NAIRAS
GCR ionization rate computation is discussed in Section 2 and the GCR-induced production
of HOx and NOx are discussed in Section 3. A description of the two models (SD-WACCM
and GSFC 2-D) used in this work are given in Section 4. Model results (both SD-WACCM
and GSFC 2-D) for several GCR-caused atmospheric constituent changes are shown in
Section 5. The conclusions are presented in Section 6.

2 NAIRAS GCR ionization rate

The Nowcast of Atmospheric Ionizing Radiation for Aviation Safety (NAIRAS) team at
NASA Langley Research Center (see http://sol.spacenvironment.net/~nairas/) has developed
and integrated a model to include GCRs into their ionizing radiation computation. The
interplanetary magnetic field varies over a solar cycle and provides a modulation of the GCR
spectral flux, which has been referred to as a solar modulation potential (e.g., Badhwar and
O’Neill, 1996). For real-time application of the NAIRAS model, four real-time, high-latitude,
ground-based neutron monitor count rate measurements are used to cross correlate with the
solar modulation potential and provide the NAIRAS model’s GCR spectral flux incident on
the Earth for penetration into and through the atmosphere. NAIRAS is a physics-based model
that maximizes the use of measurement input data (Mertens et al., 2013, and references
therein).

In the NAIRAS model, GCRs travel from outside the heliosphere to 1 AU by the Badhwar
potential determined from measurements of ground-based neutron monitor count rates. The
GCR spectral flux at 1 AU travel through the magnetosphere by means of a transmission
factor determined by the vertical geomagnetic cutoff rigidity computed in the International
Geomagnetic Reference Field model (Finlay et al., 2010). The vertical cutoff rigidities are
determined by numerical solutions of charged particle trajectories in the IGRF field using the
techniques advanced by Smart and Shea (1994, 2005). After transmission through the magnetosphere, the GCR spectral flux travels through the neutral atmosphere using the NASA HZETRN deterministic transport code (Mertens et al., 2012). The global distribution of atmospheric mass density is obtained from NCAR/NCEP Reanalysis 1 data at pressure levels larger than 10 hPa (Kalnay et al., 1996) and the Naval Research Laboratory Mass Spectrometer and Incoherent Scatter model atmosphere data at pressure levels less than 10 hPa (Picone et al., 2002).

The NAIRAS model has been used to compute the annual average GCR-produced ionization rates (GPIR) for the 1960-2010 time periods. For these time periods, measurements from the Thule and Izmiran neutron monitor stations were used to determine the solar modulation potential. GPIR in the NAIRAS model are computed by multiplying the dose rate in air by the atmospheric density, divided by 35 eV per ion-pair. The annual average GPIR from the NAIRAS model for two years, 2002 and 2009, are presented in Figure 1. This shows the inverse relationship between GPIR and solar activity. Year 2002 is very close to solar maximum and shows a smaller GPIR with maximum ionization rates of nearly 15 cm\(^{-3}\) s\(^{-1}\), whereas year 2009 is very close to solar minimum with about a factor of two larger maximum ionization rate of 30 cm\(^{-3}\) s\(^{-1}\). The time-dependent variation in the GPIR at 90°S and 90°N is given in Figure 2. Peaks in GPIR occur in 1965, 1977, 1987, 1997, and 2009, reflective of solar minimum conditions in those years. The North-South asymmetry in the GPIR is due to a systematic hemispherical asymmetry in the NCEP atmospheric density profiles.

The Mertens et al. (2013) GPIR are about a factor of two smaller than those presented in Usoskin et al. (2010), and the altitude of the maximum in the GPIR is lower in the NAIRAS results as well. A comparison of these two computations of GCR ion rates at 90 degrees N is given in Figure 3 for both solar minimum (1965) and solar maximum (1960) conditions. The underprediction of the NAIRAS GPIR and the lower altitude of its maximum is due to the lack of pion-initiated electromagnetic cascade processes in the HZETRN version 2010 currently implemented in the NAIRAS model (Mertens et al., 2013). This deficiency will soon be rectified when the 2015 version of HZETRN is integrated into the NAIRAS model (e.g., Norman et al., 2012, 2013; Slaba et al. 2013).

3 \ NO_x (N, NO, NO_2) and HO_x (H, OH, HO_2) production

Besides ionization, GCRs also produce the important constituent families of NO\(_x\) (N, NO, NO\(_2\)) and HO\(_x\) (H, OH, HO\(_2\)) either directly or through a photochemical sequence. NO\(_x\) is
produced when the cosmic rays (primarily protons and their associated secondary electrons) dissociate N₂ as they precipitate into the atmosphere. Here it is assumed that 1.25 N atoms are produced per ion pair and the proton impact of N atom production is divided between the ground state N(⁴S) (45% or 0.55 per ion pair) and excited state N(²D) (55% or 0.7 per ion pair) nitrogen atoms (Porter et al., 1976). GCRs also result in the production of HOx through complex positive ion chemistry (Solomon et al., 1981). The charged particle-produced HOx is a function of ion pair production and altitude and is included in model simulations using a lookup table from Jackman et al. (2005, Table 1), which is based on the work of Solomon et al. (1981). Each ion pair results in the production of about two HOx constituents for the troposphere, stratosphere, and lower mesosphere and less than two HOx constituents for the middle and upper mesosphere.

4 Model Predictions

4.1 Description of the Specified Dynamics – Whole Atmosphere Community Climate Model

The latest version of the NCAR Community Earth System Model, version 1 (CESM1) Specified Dynamics – Whole Atmosphere Community Climate Model (SD-WACCM) was used to predict the impact of GCRs on the atmosphere. SD-WACCM is a global model with 88 vertical levels from the surface to 4.5x10⁻⁶ hPa (approximately 140 km geometric height). SD-WACCM was most recently described in Wegner et al. (2013) and Solomon et al. (2015) and uses prescribed dynamical fields (e.g., see Lamarque et al., 2012) from the NASA Global Modeling and Assimilation Office Modern-Era Retrospective Analysis for Research and Applications (MERRA) (Rienecker et al., 2011). Temperature, zonal and meridional winds, and surface pressure are used to drive the physical parameterizations that control boundary layer exchanges, advective and convective transport, and the hydrological cycle. The SD-WACCM meteorological fields are relaxed toward the MERRA reanalysis fields using the approach described in Kunz et al. (2011).

The chemical module of SD-WACCM is based upon the 3-D chemical transport Model of Ozone and Related Tracers, Version 3 (MOZART) (Kinnison et al., 2007). It includes a detailed representation of the chemical and physical processes from the troposphere through the lower thermosphere. The species included within this mechanism are contained within the Oₓ, NOₓ, HOₓ, ClOₓ, and BrOₓ chemical families, along with CH₄ and its degradation
products. SD-WACCM also includes 17 primary nonmethane hydrocarbons and related oxygenated organic compounds (Emmons et al., 2010). This mechanism contains 134 species, 420 chemical reactions, with 17 heterogeneous reactions on multiple aerosol types (i.e., sulfate, nitric acid trihydrate, and water-ice; Solomon et al., 2015). Reaction rates have been updated to JPL-2010 (Sander et al., 2011). Tropospheric NOx production from lightning and aircraft is included as described in Lamarque et al. (2012).

For this work, the SPARC Chemistry Climate Model Initiative (CCMI), REFC1 scenario was used (see Eyring et al., 2013). This scenario included observed time-dependent evolution of: greenhouse gases (GHGs); ozone depleting substances (ODSs); sea surface temperatures and sea ice concentrations (SSTs/SICs); stratospheric sulfate surface area densities (SADs); and 11-year solar cycle variability, which includes spectrally resolved solar irradiances.

4.2 Description of the Goddard Space Flight Center Two-Dimensional Model

The most recent version of the Goddard Space Flight Center (GSFC) two-dimensional (2-D) atmospheric model was used to predict the impact of GCRs on the atmosphere. This model was first discussed over 25 years ago (Douglass et al. 1989; Jackman et al. 1990) and has undergone extensive improvements over the years (e.g., Considine et al. 1994; Jackman et al. 1996; Fleming et al. 1999, 2007, 2011, 2015). The vertical range of the model, equally spaced in log pressure, is from the ground to approximately 92 km (0.0024 hPa) with about a 1 km grid spacing. The model has a 4° latitude grid spacing.

The specified transport version of the model is used for this study. Here, the model transport fields are derived using daily average global winds and temperatures from the National Centers for Environmental Prediction-National Center for Atmospheric Research (NCEP-NCAR) reanalysis project for years 1960-1978 (Kalnay et al., 1996; Kistler et al., 2001)) and the MERRA meteorological analyses for years 1979-2010. Thirty-day running averages of the residual circulation, eddy diffusion, zonal mean wind, and zonal mean temperature are computed using the methodology detailed in Fleming et al. (2007). For use in some of the simulations a climatological average was constructed of the transport over these years and applied it over the simulated periods. The averaged transport fields change daily, but repeat yearly.

The ground boundary conditions in the GSFC 2-D model for the ozone depleting substances are taken from WMO (2014) for years 1960-2010. The model uses a chemical solver
described in Jackman et al. (2005) and Fleming et al. (2007, 2011). For these computations, the photochemical gas and heterogeneous reaction rates and photolysis cross sections have been updated to the Jet Propulsion Laboratory recommendations (Sander et al., 2011) with further updates based on SPARC (2013).

The model tropospheric chemistry scheme has also been updated to include the following species: CH$_3$OH, C$_2$H$_6$, CH$_2$CHO, CH$_3$CO$_3$, CH$_3$C(O)OOH, CH$_3$CO$_3$NO$_2$ (peroxy acetyl nitrate, PAN), C$_2$H$_5$O$_2$, C$_2$H$_5$OOH, CH$_3$COCH$_3$ (acetone), and C$_3$H$_8$ (isoprene). For this, the following quantities are specified using a four-year average (2004-2007) of output from recent simulations of the Global Modeling Initiative’s (GMI) combined stratosphere-troposphere chemistry and transport model (Strahan et al., 2007; Duncan et al., 2007; Strode et al. 2015): surface emissions of CH$_2$O, CO, NOx, C$_2$H$_6$, and isoprene; surface mixing ratio boundary conditions for acetone, and tropospheric NOx production from lightning and aircraft. The model tropospheric OH is specified from the monthly varying OH field documented in Spivakovsky et al. (2000). Surface dry deposition rates for H$_2$O$_2$, CH$_2$O, CH$_3$OOH, HNO$_3$, NO$_2$, N$_2$O$_5$, PAN, and O$_3$, and tropospheric washout rates for HO$_2$, H$_2$O$_2$, CH$_3$O, CH$_3$OOH, HONO, HNO$_3$, HO$_2$NO$_2$, NO$_2$, NO$_3$, and N$_2$O$_5$ are also specified from the GMI output. The resulting 2-D distributions of tropospheric NOx and ozone (as well as HNO$_3$, CO, C$_2$H$_6$, and PAN) compare well with the GMI simulations and the ozone climatology compiled by McPeters et al. (2007). This allows the model to be used to simulate the GCR perturbations in the stratosphere and troposphere addressed in this study.

### 4.3 Model simulations

We conducted fourteen model simulations with the two models, which are all briefly described in Table 1. SD-WACCM was used for two simulations, both over the period 2000-2010. One of the SD-WACCM simulations did not include GCRs (simulation Base_SD-W), whereas the other did (simulation GCR_SD-W).

The GSFC 2-D model was used for twelve simulations, all over the 51-year period 1960-2010. The transport was specified for all simulations, either interannually varying with NCEP-NCAR data for years 1960-1978 and with MERRA data for years 1979-2010 or with a climatological average of those data over the 1960-2010 time period. Five of the simulations (labeled *_Base_GSFC) did not include GCRs and seven of the simulations (labeled *_GCR_GSFC) did include GCRs. Four simulations (A1_GCR_GSFC, B1_GCR_GSFC,
C_GCR_GSFC, and D_GCR_GSFC) used a 51-year average of the GCR amount and three
simulations (A2_GCR_GSFC, B2_GCR_GSFC, and E_GCR_GSFC) included the interannual
variation of GCRs. These simulations investigated the impact of GCRs in a changing
atmosphere of different chlorine-loading, sulfate aerosol amount, solar photon flux, and
dynamics over this time period.

5 Results

SD-WACCM and GSFC 2-D model simulations were compared to delineate the GCR-caused
changes under different atmospheric conditions. Model simulations were compared for the
year 2009 (solar minimum, GCR maximum) to determine the GCR impact on several
constituents in section 5.1. The influence of GCRs over the solar cycle is also shown in
section 5.1 (comparing year 2009 to year 2002). Changing atmospheric conditions over the
years 1960-2010 and their impact on the GCR atmospheric influence are shown in section 5.2.
In particular, GCR-caused global total ozone changes in the different regions of the
atmosphere (troposphere, stratosphere, and total) are discussed in section 5.2 as well as the
global total ozone changes caused by GCRs with different imposed atmospheric conditions.
Finally, the GCR-caused NO\textsubscript{y} production is given in comparison to the N\textsubscript{2}O oxidation-caused
NO\textsubscript{y} production in section 5.3.

5.1 NO\textsubscript{x}, Ozone, HO\textsubscript{x}, and HNO\textsubscript{3}

The GCR-caused NO\textsubscript{x} (NO+NO\textsubscript{2}) impact is shown in Figure 4 (top) for SD-WACCM and in
Figure 5 (top) for the GSFC 2-D model. NO\textsubscript{x} is mostly enhanced throughout the domain from
1000-1 hPa with largest increases (>15%) in the south polar troposphere. GCR-caused NO\textsubscript{x}
increases over 6% are computed in the north polar lower stratosphere. Although there are
differences between the SD-WACCM and GSFC 2-D model computations shown here and
those computed by Calisto et al. (2011), there are many similarities including the larger
computed GCR-caused NO\textsubscript{x} impact in the south polar tropospheric region compared with the
north polar tropospheric region. The larger percentage change in the SH polar (60-90°S)
troposphere is due to this region being significantly cleaner (NO\textsubscript{x} background levels of 5-20
pptv) compared to north polar (60-90°N) troposphere (background levels of 20-50 pptv). As
an aside, the SD-WACCM results, like those in Calisto et al. (2011), indicate a much smaller
GCR-caused NO\textsubscript{x} impact than computed in Semeniuk et al. (2011). Mironova et al. (2015)
propose that “the absence of anthropogenic and natural NO\textsubscript{x} emissions together with
oversimplified tropospheric chemistry in CMAM” may be the reason for the larger response of the GCR perturbation in CMAM.

The GCR-caused ozone impact is shown in Figure 4 (bottom) for SD-WACCM and in Figure 5 (bottom) for the GSFC 2-D model. Ozone is mostly enhanced in the troposphere and lowest part of the stratosphere with largest increases of 1-2% from GCRs in the south polar troposphere in 2009. The GCR-caused ozone increase is due to two processes: 1) the NO reacting with CH4 oxidation products (see, also Krivolutsky et al., 2001):

For example, \( \text{CH}_4 + \text{OH} \rightarrow \text{CH}_3 + \text{H}_2\text{O} \)

\( \text{CH}_3 + \text{O}_2 + \text{M} \rightarrow \text{CH}_3\text{O}_2 + \text{M} \)

\( \text{CH}_3\text{O}_2 + \text{NO} \rightarrow \text{CH}_3\text{O} + \text{NO}_2 \)

\( \text{NO}_2 + \text{hv} \rightarrow \text{NO} + \text{O} \)

\( \text{O} + \text{O}_2 + \text{M} \rightarrow \text{O}_3 + \text{M} \)

and 2) the GCR-produced NO2 reacts with ClO to form ClONO2 and reduces the chlorine-caused ozone loss.

Ozone is decreased in most of the stratosphere due to the NOx catalytic ozone depletion cycle:

\( \text{NO} + \text{O}_3 \rightarrow \text{NO}_2 + \text{O}_2 \)

\( \text{NO}_2 + \text{O} \rightarrow \text{NO} + \text{O}_2 \)

Net: \( \text{O}_3 + \text{O} \rightarrow \text{O}_2 + \text{O}_2 \)

GCR-caused NOx increases of ~1-6% are calculated for the lower stratosphere and cause ozone decreases of 0.2-1%. Our computed ozone impacts are similar to those previously discussed in Krivolutsky et al. (2001) and Calisto et al. (2011).

The computed impact of GCRs on HOx and HNO3 using SD-WACCM is given in Figure 6. Although GCRs produce HOx (see section 3), HOx decreases are computed throughout most of the atmosphere (Figure 6, top). This is caused by the NOx increases which remove OH via the reaction

\( \text{OH} + \text{NO}_2 + \text{M} \rightarrow \text{HNO}_3 + \text{M} \),

leading to HNO3 enhancements (Figure 6, bottom). Again, these results are similar to those discussed in Calisto et al. (2011).

The SD-WACCM computations can also be used to address the question of the change in GCR influence over a solar cycle. The focus in this section has been on year 2009 since that was near solar minimum resulting in the maximum atmospheric influences caused by GCRs. The last previous solar maximum or GCR minimum occurred in year 2002. Since the background atmosphere changes significantly from year 2002 to year 2009, it would be
confusing to directly compare atmospheric changes between the two years to derive any GCR-caused change. Instead, the annual average percentage change from GCRs was computed for years 2002 and 2009 separately and then differenced from each other to illustrate the GCR-caused change over the solar cycle. The results are given in Figure 7 for NOx (top) and ozone (bottom) using simulations GCR_SD-W and BASE_SD-W. The computed GCR-induced solar cycle changes from 2002 to 2009 were slightly smaller than those computed for the GCR-maximum (solar minimum) year 2009. The GCR-caused changes are proportional to the GCR-caused ion pair production, which is given in Figure 1 for the years 2002 and 2009. Note that the largest ion pair production near the south pole is over 30 cm$^{-3}$s$^{-1}$ in 2009 and is nearly 15 cm$^{-3}$s$^{-1}$ in 2002. Thus, there is a difference of about 15 cm$^{-3}$s$^{-1}$ from 2002 to 2009 versus a difference of 30 cm$^{-3}$s$^{-1}$ for 2009 in a comparison without GCRs to with GCRs.

5.2 Time-dependent Total Ozone Changes

The GSFC 2-D model gives fairly similar results to SD-WACCM (compare Figs. 4 and 5) and is significantly faster computationally to use for longer-term simulations. Thus, the GSFC 2-D model was used in several sensitivity study simulations described in Table 1 (and Sect. 4.2) to investigate the longer term GCR-caused changes, particularly focusing on annual average global total ozone (AAGTO) as well as global column ozone in the two regions between 1000 and 100 hPa and between 100 and 1 hPa. The GCR-caused change in ozone in those two regions, separately, and for the entire troposphere and stratosphere (1000 to 1 hPa) is computed for two pairs of scenarios: (1) Fig. 8 (top) shows a comparison of the first pair (A1_GCR_GSFC to A_Base_GSFC), which are simplified representations of the atmosphere with a climatological mean transport (changes daily, but repeats yearly) in both scenarios and a mean GCR input (constant throughout the simulation) in A1_GCR_GSFC; and (2) Fig. 8 (bottom) shows a comparison of the most comprehensive pair (E_GCR_GSFC to E_Base_GSFC), which include interannually varying transport, sulfate aerosol surface area, and solar cycle photon flux variation in both scenarios and an interannually varying GCR input in E_GCR_GSFC.

First, focus on the results intercomparing scenarios A1_GCR_GSFC to A_Base_GSFC (see Fig. 8, top): the GCR-caused column ozone between 1000 and 100 hPa showed an increase from +0.03% up to ~+0.05% over the 1960–2010 time period, driven partly by increases in CH4 over those 51 years. The GCR-caused column ozone between 100 and 1 hPa also
showed a time dependent increase, but started in year 1960 at -0.19% ending up at -0.12% in 
year 2010. The GCR-caused total AAGTO follows the increases in the two regions noted 
above, starting at -0.16% in year 1960 and increasing to ~-0.07% in year 2010.

Second, intercompare the more complete simulations E_GCR_GSFC to E_Base_GSFC (see 
Fig. 8, bottom): the GCR-caused column ozone changes between 1000 and 100 hPa showed a 
significant variation from ~+0.03% to ~+0.07% over the 1960–2010 time period. The GCR-
caused column ozone changes between 100 and 1 hPa also showed substantial variation 
giving -0.23% in 1979 and -0.02% in 1992. The GCR-caused total AAGTO followed these 
variations, with a low of -0.19% in 1979 and a high of +0.03% in 1992.

The GCR-caused atmospheric changes are larger at higher latitudes, thus we also compute the 
annual average polar total ozone (AAPTO). The AAPTO is calculated using the model 
output only at polar latitudes (60-90 degrees South and 60-90 degrees North) and is given in 
Figure 9. Both the AAGTO (Figure 8) and the AAPTO (Figure 9) have similar shapes for the 
total ozone change in the two regions plotted (1000 to 100 hPa and 100 to 1 hPa). In 1960 the 
AAGTO for the entire troposphere and stratosphere (1000 to 1 hPa) is computed to be -0.13% 
(see Figure 8, bottom) while the AAPTO is computed to be -0.18% (see Figure 9, bottom). In 
2010 the AAGTO for the troposphere and stratosphere is computed to be -0.11% (see Figure 
8, bottom) while the AAPTO is computed to be -0.27% (see Figure 9, bottom). Thus, the 
polar differences tend to be larger by the end than they were at the start of the simulation 
period.

The impact of five simultaneous atmospheric changes are responsible for the GCR-caused 
variations in AAGTO observed in Figure 8(bottom). These changes are: 1) background total 
chlorine; 2) sulfate aerosol surface area; 3) solar cycle photon flux variation; 4) solar cycle 
GCR variation; and 5) interannual transport variability. Background total chlorine increases 
dramatically from 0.7 to 3.5 ppbv over the 1960-2010 period (Figure 10A, Equator, 1 hPa). 
Volcanoes can add substantially to the aerosol surface area during certain years (especially 
1963, 1982, and 1991, see Figure 10B). The photon flux varies over the solar cycle and is 
especially important to the stratosphere at ultraviolet wavelengths. The solar flux variation at 
200 nm (up to about 8.5% from solar minimum to maximum) is important for ozone 
production and is shown in Figure 10C. The GCRs vary over the solar cycle as well and the 
GCR-caused ion pair production is given in Figure 10D at 200 hPa and 90oS. The final
atmospheric variation is due to the interannual transport variability over the whole time period, which is difficult to illustrate in a line plot (like those given in Figure 10).

### 5.2.1 Background total chlorine

The smoothest change over the 1960-2010 time period occurred with the amount of background total chlorine. The AAGTO has been computed for the six scenarios \((A_{Base\_GSFC}, A1\_GCR\_GSFC, A2\_GCR\_GSFC, B_{Base\_GSFC}, B1\_GCR\_GSFC, B2\_GCR\_GSFC)\) for use in this analysis. Percentage differences in AAGTO for \(A1\_GCR\_GSFC\) compared to \(A_{Base\_GSFC}\) are shown in Figure 11A (black solid line) compared with background total chlorine (red solid line). Note the good correspondence between background total chlorine amount and GCR-caused AAGTO change. Smaller amounts of background total chlorine correlate with larger computed GCR-caused AAGTO decrease and vice versa.

First, this is partly a reflection of the role that chlorine, through the ClO family catalytic cycle

\[
\begin{align*}
\text{Cl} + \text{O}_3 &\rightarrow \text{ClO} + \text{O}_2 \\
\text{ClO} + \text{O} &\rightarrow \text{Cl} + \text{O}_2 \\
\text{Net:} \quad \text{O}_3 + \text{O} &\rightarrow \text{O}_2 + \text{O}_2,
\end{align*}
\]

has in controlling stratospheric ozone over this time period. At low levels of chlorine, the NO family catalytic cycle is more important to ozone control. Thus, increases in NO, such as caused by GCRs, lead to a more significant ozone response in the 1960s than in the 2000s when the background total chlorine amounts are much higher.

Second, this is also a reflection of the interference of the NO family with the ClO family catalytic cycle through the reaction

\[
\text{ClO} + \text{NO}_2 + \text{M} \rightarrow \text{ClONO}_2 + \text{M}.
\]

Increased NO amounts caused by GCRs will lead to an increased production of the reservoir constituent, ClONO\(_2\), and thus less ozone destruction.

Both of these processes are ongoing in the atmosphere and are reflected in Figure 11A, which illustrates most clearly the correlation between the GCR-caused change in ozone and background total chlorine amount.

Figure 11B shows the results of the AAGTO computed in \(B1\_GCR\_GSFC\) compared to \(B_{Base\_GSFC}\). The main difference here is that the model transport changes interannually.
There still is a correlation between high background total chlorine amounts and less AAGTO change caused by the GCRs.

Figure 11C illustrates the results of a comparison of the AAGTO computed in $A2_{\text{GCR\_GSFC}}$ compared to $A_{\text{Base\_GSFC}}$. Both simulations have the same mean transport imposed over the 51-year time period, however, the GCRs are forced with interannually varying GCRs (see Figure 10D). Again, there is a correspondence between the amount of background total chlorine and the GCR-caused AAGTO change.

Finally, Figure 11D illustrates the results of a comparison of the AAGTO computed in $B2_{\text{GCR\_GSFC}}$ compared to $B_{\text{Base\_GSFC}}$. Both simulations have interannual transport and the simulation with GCRs ($B2_{\text{GCR\_GSFC}}$) includes the interannual variation of GCRs. Although there is clearly more year-to-year variability, it is apparent that higher background total chlorine levels lead to less GCR-caused ozone changes.

### 5.2.2 Aerosol surface area

The aerosol surface area varies dramatically over the 1960-2010 time period. Volcanoes in years 1963, 1982, and 1991 caused large increases in the aerosol surface area. Enhanced aerosol surface area results in an increase in heterogeneous reactions on the sulfate aerosols. In particular, the reaction

\[
N_2O_5 + H_2O \rightarrow 2HNO_3
\]

proceeds rapidly, taking the more active NO$_x$ constituents and producing the less active HNO$_3$ reservoir constituent. The result of this is that NO$_x$ production from any source is less efficient. A comparison of the AAGTO computed in $C_{\text{GCR\_GSFC}}$ compared to $C_{\text{Base\_GSFC}}$ is shown in Figure 12. This shows that the GCRs cause a less negative change (even positive in 1992-3) in AAGTO during the years of enhanced aerosol surface area.

### 5.2.3 Solar cycle photon variation

The sun not only influences the GCR flux over a solar cycle, but it also shows a significant variation in solar photons and solar particles (electrons, protons and other particles). The photon flux variation and its impact on the GCR effect will be addressed here. However, it is outside the scope of this paper to discuss the influence of solar energetic particles (e.g., protons, other ions, and electrons) on the GCR-caused atmospheric influence.
The solar cycle variation led to changes in the photon flux, especially at the X-ray, extreme ultraviolet, and ultraviolet wavelengths. In particular, the stratosphere is greatly influenced by photons at ultraviolet wavelengths (e.g., 200 nm photons are important in producing ozone) and a variation of up to about 8.5% from solar minimum to maximum was shown in Figure 10C. A comparison of the AAGTO computed in $D_{GCR\_GSFC}$ was compared to $D_{Base\_GSFC}$. These simulations isolated the impact of the solar cycle photon variation on the GCR influence. Only a very minor change ($\pm 0.004\%$ in AAGTO) was found to be forced by the solar cycle photon flux variation (not shown).

5.2.4 GCR interannual and solar cycle driven variation

The GCRs vary from year-to-year, influenced primarily by the strength of the solar magnetic field. The GCR variation (given in ion pair production) can be as large as a factor of two at the poles (see Figure 10D). Most of the impact from GCRs is in the polar lower stratosphere/upper troposphere, since the GCR caused ionization rates peak there (see Figure 1). The residence time for constituents in the lower stratosphere is long (~1 year or so, which is driven by the transport) as is the photochemical time constant for odd oxygen (essentially ozone) in this region (e.g., see Figure 5.3, Brasseur and Solomon, 1995), thus the computed impact of the GCRs on the atmosphere will be a time-lagged average of the GCR input. The AAGTO shown in Figure 11C was differenced from that shown in Figure 11A in order to compute the change caused by the interannual GCR variation. This interannually-driven GCR change in AAGTO is represented by the black line in Figure 13. The red line in Figure 13 is a two-year boxcar (running) average of the GCR ion pair production (in cm$^{-3}$s$^{-1}$) at 200 hPa and 90°S with a one-year lag and appears to be an anti-correlation of the AAGTO change. Thus, the impact of the interannual variation in the GCRs with an imposed one-year time lag and two-year average can represent the computed AAGTO change fairly well.

5.2.5 Interannual transport variation

The interannual transport variation drives changes in the impact of the GCRs on the AAGTO. This interannual behavior is best observed in Figure 11B, where the mean GCRs are imposed continuously over the entire 51-year time period. Variations of up to ~0.04% in the GCR-caused AAGTO impact are computed over a couple of years or so. However as noted in section 5.3.1, the strong correlation between high background total chlorine amounts and less AAGTO change caused by the GCRs dominates so that the interannual transport changes have only a very small effect on the time-dependent GCR-induced AAGTO impact.
5.3 NO\textsubscript{y} Production

The total NO\textsubscript{y} produced per year from GCRs was compared with that from other sources. GSFC 2-D model calculations show that N\textsubscript{2}O oxidation (N\textsubscript{2}O + O(1D) \rightarrow NO + NO) produce 43.5-55.7 GigaMoles of NO\textsubscript{y} with the vast majority (greater than 90%) produced in the stratosphere. GCRs were computed to produce 3.1-6.4 GigaMoles of NO\textsubscript{y}, with 40-50% of that produced in the stratosphere. Thus, GCRs can be responsible for as much as 14% of the total NO\textsubscript{y} production, however on average, GCRs produce about 3-6% of stratospheric NO\textsubscript{y} in any given year. This is somewhat less than that found by Vitt and Jackman (1996), who computed that GCRs were responsible for 9-12% of the total stratospheric NO\textsubscript{y} produced per year. The Vitt and Jackman (1996) computations used ion pair production rates from a parameterization based on yearly averaged sunspot number from Nicolet (1975), which are generally larger than those GCR-caused ion pair production rates computed with the more recent NAIRAS model (discussed in section 2).

6 Conclusions

Two global models, SD-WACCM and GSFC 2-D, were used to study the atmospheric impact of GCRs over the 1960-2010 time period. The largest atmospheric impacts occurred in the NO\textsubscript{x} constituents, which had maximum GCR-caused increases of 4-15% in the Southern polar troposphere. There were associated ozone increases of 1-2% correlated with these NO\textsubscript{x} enhancements. The lower stratosphere was also impacted with computed NO\textsubscript{x} increases of ~1-6% causing associated ozone decreases of 0.2-1%. GCR-caused decreases of AAGTO were computed to be 0.2% or less with GCR-caused tropospheric column ozone increases of 0.08% or less and GCR-caused stratospheric column ozone decreases of 0.23% or less. There appears to be a time lag of about a year between the GCR-caused NO\textsubscript{x} production and the resultant AAGTO change. This is consistent with the long residence and photochemical time constant of ozone in the lower stratosphere. The impact of GCRs has a strong correlation with the atmospheric chlorine loading, sulfate aerosol loading, and solar cycle variation. GCRs cause larger atmospheric impacts with less chlorine loading, less sulfate aerosol loading, and for years closer to solar minimum.

Acknowledgements

CHJ, DRM, DEK, CJM, and ELF thank the NASA Headquarters Living With a Star Targeted Research and Technology Program for support during the time that this manuscript was written. CHJ and ELF were also supported by the NASA Headquarters Atmospheric
Composition Modeling and Analysis Program. The National Center for Atmospheric Research (NCAR) is sponsored by the U.S. National Science Foundation. WACCM is a component of the Community Earth System Model (CESM), which is supported by the National Science Foundation (NSF) and the Office of Science of the U.S. Department of Energy. Computing resources were provided by NCAR’s Climate Simulation Laboratory, sponsored by NSF and other agencies. This research was enabled by the computational and storage resources of NCAR’s Computational and Information System Laboratory (CISL).
References


tropospheric variations of ozone content caused by galactic cosmic ray influence, Adv. 
rays on chemical composition of the atmosphere: data analysis and photochemical 
Kunz, A., Pan, L., Konopka, P., Kinnison, D. E., and Tilmes, S.: Chemical and dynamical 
discontinuity at the extratropical tropopause based on START08 and WACCM 
L., Holland, E. A., Lauritzen, P. H., Neu, J., Orlando, J. J., Rasch, P. J., and Tyndall, 
G. K.: CAM-chem: Description and evaluation of interactive atmospheric chemistry in 
the Community Earth System Model, Geosci. Model Dev., 5, 369–411, 
stratospheric budget of odd nitrogen, including effects of solar cycle variations, Tellus, 
41B, 413-426, 1989.
McPeters, R. D., Labow, G. J., and Logan, J. A.: Ozone climatological profiles for satellite 
2007.
Mertens, C. J., Kress, B. T., Wiltberger, M., Tobiska, W. K., Grajewski, B., and Xu, X.: 
Atmospheric ionizing radiation from galactic and solar cosmic rays, Current Topics in 
Ionizing Radiation Research, Edited by Mitsu Ur Nenoi, InTech Publisher (ISBN 978-
radiation model development, dose climatology, and initial validation, Space Weather, 
Mironova, I. A., Aplin, K. L., Arnold, F., Bazilevskaya, G. A., Harrison, R. G., Krivolutsky, 
A. A., Nicoll, K. A., Rozanov, E. V., Turunen, E., Usoskin, I. G.: Energetic Particle 
Müller, R., and Crutzen, P. J.: A possible role of galactic cosmic rays in chlorine activation 
Nicolet, M.: On the production of nitric oxide by cosmic rays in the mesosphere and 
Deterministic pion and muon tranport in Earth's atmosphere, Adv. Space Res., 50, 146-
155, 2012.
Picone, J. M., Hedin, A. E., Drob, D. P., and Aikin, A. C.: NRLMSIS-00 empirical model of 
the atmosphere: Statistical comparisons and scientific issues, J. Geophys. Res., 
Porter, H. S., Jackman, C. H., and Green, A. E. S.: Efficiencies for production of atomic 
nitrogen and oxygen by relativistic proton impact in air, J. Chem. Phys., 65, 154-167, 
1976.


<table>
<thead>
<tr>
<th>Simulation Designation</th>
<th>Model</th>
<th>Time Period (Years)</th>
<th>Include GCRs</th>
<th>Other Information</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Base_SD-W</strong></td>
<td>SD-WACCM</td>
<td>2000-2009</td>
<td>No</td>
<td>Interannual MERRA Transport No Sulfate Aerosol (SA) Variation No Solar Cycle (SC) Photon Flux (PF) Variation</td>
</tr>
<tr>
<td><strong>GCR_SD-W</strong></td>
<td>SD-WACCM</td>
<td>2000-2009</td>
<td>Yes, Interannually Varying</td>
<td>Interannual MERRA Transport No SA Var., No SC PF Var.</td>
</tr>
<tr>
<td><strong>A_Base_GSFC</strong></td>
<td>GSFC 2-D</td>
<td>1960-2010</td>
<td>No</td>
<td>Climatological Averaged Transport No SA Var., No SC PF Var.</td>
</tr>
<tr>
<td><strong>B_Base_GSFC</strong></td>
<td>GSFC 2-D</td>
<td>1960-2010</td>
<td>No</td>
<td>Interannual Transport No SA Var., No SC PF Var.</td>
</tr>
<tr>
<td><strong>C_Base_GSFC</strong></td>
<td>GSFC 2-D</td>
<td>1960-2010</td>
<td>No</td>
<td>Climatological Averaged Transport SA Var., No SC PF Var.</td>
</tr>
<tr>
<td><strong>D_Base_GSFC</strong></td>
<td>GSFC 2-D</td>
<td>1960-2010</td>
<td>No</td>
<td>Climatological Averaged Transport No SA Var., SC PF Var.</td>
</tr>
<tr>
<td><strong>E_Base_GSFC</strong></td>
<td>GSFC 2-D</td>
<td>1960-2010</td>
<td>No</td>
<td>Interannual Transport SA Var., SC PF Var.</td>
</tr>
<tr>
<td><strong>A1_GCR_GSFC</strong></td>
<td>GSFC 2-D</td>
<td>1960-2010</td>
<td>Yes, Mean of Values</td>
<td>Climatological Averaged Transport No SA Var., No SC PF Var.</td>
</tr>
<tr>
<td><strong>A2_GCR_GSFC</strong></td>
<td>GSFC 2-D</td>
<td>1960-2010</td>
<td>Yes, Interannually Varying</td>
<td>Climatological Averaged Transport No SA Var., No SC PF Var.</td>
</tr>
<tr>
<td><strong>B1_GCR_GSFC</strong></td>
<td>GSFC 2-D</td>
<td>1960-2010</td>
<td>Yes, Mean of Values</td>
<td>Interannual Transport No SA Var., No SC PF Var.</td>
</tr>
<tr>
<td><strong>B2_GCR_GSFC</strong></td>
<td>GSFC 2-D</td>
<td>1960-2010</td>
<td>Yes, Interannually Varying</td>
<td>Interannual Transport No SA Var., No SC PF Var.</td>
</tr>
<tr>
<td><strong>C_GCR_GSFC</strong></td>
<td>GSFC 2-D</td>
<td>1960-2010</td>
<td>Yes, Mean of Values</td>
<td>Climatological Averaged Transport SA Var., No SC PF Var.</td>
</tr>
<tr>
<td><strong>D_GCR_GSFC</strong></td>
<td>GSFC 2-D</td>
<td>1960-2010</td>
<td>Yes, Mean of Values</td>
<td>Climatological Averaged Transport No SA Var., SC PF Var.</td>
</tr>
<tr>
<td><strong>E_GCR_GSFC</strong></td>
<td>GSFC 2-D</td>
<td>1960-2010</td>
<td>Yes, Interannually Varying</td>
<td>Interannual Transport SA Var., SC PF Var.</td>
</tr>
</tbody>
</table>
Figure 1. NAIRAS model computed GCR annual average ionization rates for years 2002 (left) and 2009 (right). Contour intervals are 1, 2, 5, 10, 15, 20, 25, and 30 (#cm$^{-3}$ s$^{-1}$).

Figure 2. NAIRAS model computed galactic cosmic ray annual average ionization rates at 90°S (left) and 90°N (right) over the time period 1960-2010. Contour intervals are 1, 2, 5, 10, 15, 20, 25, and 30 (#cm$^{-3}$ s$^{-1}$).
Figure 3. NAIRAS model computed galactic cosmic ray annual average ionization rates (Mertens et al., 2013) compared to those given in Usoskin et al. (2010) for solar minimum (1965, top plot) and solar maximum (1960, bottom plot).
Figure 4. Annual average percentage change for year 2009 in zonal mean NO$_x$ (top) and ozone (bottom) due to GCRs in the SD-WACCM (simulation Base_SD_W compared to GCR_SD_W). The contour intervals for the NO$_x$ changes are 0, 1, 2, 4, 6, 8, 10, and 15%. The contour intervals for the ozone changes are -1, -.5, -.2, -.1, 0, .1, .2, .5, 1, and 2%.
Figure 5. Annual average percentage change for year 2009 in zonal mean NO$_x$ (top) and ozone (bottom) due to GCRs in the GSFC 2-D model (simulation $B2\_GCR\_GSFC$ compared to $B\_Base\_GSFC$). The contour intervals for the NO$_x$ changes are 0, 1, 2, 4, 6, 8, 10, 15, and 20%. The contour intervals for the ozone changes are -1, -.5, -.2, -.1, 0, .1, .2, .5, and 1%.
Figure 6. Annual average percentage change for year 2009 in zonal mean HO\textsubscript{x} (top) and HNO\textsubscript{3} (bottom) due to GCRs in the SD-WACCM (simulation Base_SD_W compared to GCR_SD_W). The contour intervals for the HO\textsubscript{x} changes are -6, -4, -2, -1, 0, and 1%. The contour intervals for the HNO\textsubscript{3} are -1, 0, 1, 2, 5, 10, 15, and 20%.
Figure 7. Annual average percentage change from year 2002 (solar maximum) to 2009 (solar minimum) in zonal mean NO$_x$ (top) and ozone (bottom) in the SD-WACCM. Simulations $GCR_{SD-W}$ and $Base_{SD-W}$ were used for this comparison. The annual average percentage change from GCRs was computed for years 2002 and 2009 separately and then differenced from each other. The contour intervals for the NO$_x$ changes are 0, 1, 2, 4, 6, 8, and 10%. The contour intervals for the ozone changes are -1, -.5, -.2, -.1, 0, .1, .2, .5, and 1%. 
Figure 8. GSFC 2-D model GCR-computed tropospheric column (dotted black), stratospheric column (dashed black), and total (solid black) AAGTO impacts over the 1960-2010 time period. The top plot shows the comparison of simulation $A1_{\text{GCR\_GSFC}}$ to $A_{\text{Base\_GSFC}}$. The bottom plot shows the comparison of simulation $E_{\text{GCR\_GSFC}}$ to $E_{\text{Base\_GSFC}}$. 
Figure 9. GSFC 2-D model GCR-computed impacts of annual average polar total ozone (AAPTO) between 1000 and 100 hPa (dotted black), between 100 and 1 hPa (dashed black), and for the entire troposphere and stratosphere, 1000 to 1 hPa, (solid black) over the 1960-2010 time period. The top plot shows the comparison of simulation A1_GCR_GSFC to A_Base_GSFC. The bottom plot shows the comparison of simulation E_GCR_GSFC to E_Base_GSFC.
Figure 10. Forcing used in the GSFC 2-D model over the 1960-2010 time period. These include: A) Background Total Chlorine (Cl₂, in ppbv); B) Aerosol Surface Area (SA) at 50 hPa and the Equator in \(10^{-8}\) cm²/cm³; C) Solar Flux at 200 nm in \(10^{11}\) cm⁻² nm⁻¹ s⁻¹; and D) GCR Ion Pair Production at 200 hPa and 90°S in cm⁻³ s⁻¹.
Figure 11. GSFC 2-D model GCR-computed AAGTO impacts (black lines) over the 1960-2010 time period. The Cl\textsubscript{y} levels are also shown (red lines). The GSFC 2-D model comparisons include: A) Mean GCRs, Mean Transport (simulation A1\_GCR\_GSFC compared to A\_Base\_GSFC); B) Mean GCRs, Interannual Transport (simulation B1\_GCR\_GSFC compared to B\_Base\_GSFC); C) Interannual GCRs, Mean Transport (simulation A2\_GCR\_GSFC compared to A\_Base\_GSFC); and D) Interannual GCRs, Interannual Transport (simulation B2\_GCR\_GSFC compared to B\_Base\_GSFC).
Figure 12. GSFC 2-D model GCR-computed AAGTO impacts (black line) over the 1960-2010 time period (simulation \textit{C\_GCR\_GSFC} compared to \textit{C\_Base\_GSFC}). The Aerosol Surface Area (SA) at 50 hPa and the Equator is also shown (red lines), given in $10^8$ cm$^2$/cm$^3$.

Figure 13. GSFC 2-D model GCR-computed AAGTO change (black line) over the 1960-2010 time period caused by the interannual GCR variation. The global total ozone change shown in Figure 11C is differenced from that shown in Figure 11A. A two-year boxcar (running) average of the GCR Ion Pair Production (in cm$^3$s$^{-1}$) at 200 hPa and 90oS with a one-year lag is also shown (red line).