

1 Mediterranean intense desert dust outbreaks and their vertical structure based on 2 remote sensing data

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21 Abstract

22 The main aim of the present study is to describe the vertical structure of the intense Mediterranean
23 dust outbreaks, based on the use of satellite and surface-based retrievals/measurements. Strong and
24 extreme desert dust (DD) episodes are identified at $1^\circ \times 1^\circ$ spatial resolution, over the period Mar. 2000
25 – Feb. 2013, through the implementation of an updated objective and dynamic algorithm. According to
26 the algorithm, strong DD episodes occurring at a specific place correspond to cases in which the daily
27 aerosol optical depth at 550nm (AOD_{550nm}) exceeds or equals the long-term mean AOD_{550nm} ($Mean$)
28 plus two standard deviations (Std), but being smaller than $Mean+4*Std$. Extreme DD episodes
29 correspond to cases in which the daily AOD_{550nm} value equals or exceeds $Mean+4*Std$. For the
30 identification of DD episodes, additional optical properties (Ångström exponent, fine fraction, effective
31 radius and Aerosol Index) derived by the MODIS-Terra & Aqua (also AOD retrievals), OMI-Aura and
32 EP-TOMS databases are used as inputs. According to the algorithm using MODIS-Terra data, over the
33 period Mar. 2000 – Feb. 2013, strong DD episodes occur more frequently (up to 9.9 episodes yr^{-1}) over

34 the western Mediterranean while the corresponding frequencies for the extreme ones are smaller (up to
35 3.3 episodes yr⁻¹, central Mediterranean Sea). In contrast to their frequency, dust episodes are more
36 intense (*AODs* up to 4.1), over the central and eastern Mediterranean Sea, off the northern African
37 coasts. Slightly lower frequencies and higher intensities are found when the satellite algorithm operates
38 based on MODIS-Aqua retrievals, for the period 2003–2012. The consistency of the algorithm is
39 successfully tested through the application of an alternative methodology for the determination of DD
40 episodes, which produced similar features of the episodes' frequency and intensity, with just slightly
41 higher frequencies and lower intensities. The performance of the satellite algorithm is assessed against
42 surface-based daily data from 109 sun-photometric (AERONET) and 22 *PM*₁₀ stations. The agreement
43 between AERONET and MODIS *AOD* is satisfactory ($R=0.505-0.750$) improving considerably when
44 MODIS level 3 retrievals with higher sub-grid spatial representativeness and homogeneity are
45 considered. Through the comparison against *PM*₁₀ concentrations, it is found that the presence of dust
46 is justified in all ground stations with success scores ranging from 68 % to 97%. However, it is
47 revealed a poor agreement between satellite and ground *PM*₁₀ observations in the western parts of the
48 Mediterranean attributed to the desert dust outbreaks' vertical extension and the high altitude of dust
49 presence. The CALIOP vertical profiles of pure and polluted dust observations and the associated total
50 backscatter coefficient at 532 nm (β_{532nm}), indicate that dust particles are mainly detected between 0.5
51 and 6 km, though they can reach 8 km between the parallels 32° N and 38° N in warm seasons. An
52 increased number of CALIOP dust records at higher altitudes is observed with increased latitude,
53 northwards to 40° N, revealing an ascending mode of the dust transport. However, the overall intensity
54 of DD episodes is maximum (up to 0.006 km⁻¹ sr⁻¹) below 2 km and at the southern parts of the study
55 region (30° N - 34° N). Additionally, the average thickness of dust layers gradually decreases from 4 to
56 2 km moving from South to North. In spring, dust layers of moderate-to-high β_{532nm} values (~ 0.004 km⁻¹
57 sr⁻¹) are detected over the Mediterranean (35° N - 42° N), extending from 2 to 4 km. Over the western
58 Mediterranean, dust layers are observed between 2 and 6 km, while their base height is decreased down
59 to 0.5 km for increasing longitudes underlying the role of topography and thermal convection. The
60 vertical profiles of CALIOP β_{532nm} confirm the multilayered structure of the Mediterranean desert dust
61 outbreaks on both annual and seasonal bases, with several dust layers of variable geometrical
62 characteristics and intensities. A detailed analysis of the vertical structure of specific DD episodes
63 using CALIOP profiles reveals that the consideration of the dust vertical structure is necessary when
64 attempting comparisons between columnar MODIS *AOD* retrievals and ground *PM*₁₀ concentrations.

65

66 1. Introduction

67 The Mediterranean basin, due to its proximity to the major dust source arid areas of Northern
68 Africa and Middle East (Middleton and Goudie, 2001; Prospero et al., 2002; Ginoux et al., 2012) is
69 frequently affected by transported high dust loads referred to as episodes or events. The suspension and
70 accumulation of mineral particles into the atmosphere over the Saharan and Arabian Peninsula's
71 deserts are determined by various factors such as the enhanced turbulence, soil conditions (reduced
72 vegetation cover and soil moisture), reduced precipitation amounts, latitudinal shift of the Intertropical
73 Convergence Zone (ITCZ) as well as by small scale meteorological processes (e.g. haboobs). However,
74 dust particles can be transported far away from their sources, mainly towards the Atlantic Ocean (e.g.
75 Prospero and Lamb, 2003; Ben-Ami et al., 2010; Huang et al., 2010) and Europe (e.g. Mona et al.,
76 2006; Mona et al., 2012; Papayannis et al., 2008; Basart et al., 2012; Bègue et al., 2012; Pey et al.,
77 2013), favored by the prevailing atmospheric circulation patterns, from planetary to synoptic scales.
78 Due to their frequent transport in the Mediterranean, mineral dust particles, constitute the predominant
79 aerosol type there (Barnaba and Gobbi, 2004; Basart et al., 2012), as shown by the good agreement, in
80 spatial terms, between the geographical distributions of dust episodes' *AOD* (Gkikas et al., 2013) and
81 average *AOD* conditions (Papadimas et al., 2008).

82 Dust particles play an important role for the shortwave (SW) and longwave (LW) radiation budget
83 (e.g. Kaufman et al., 2002; Tegen et al., 2003; Heinold et al., 2008; Sicard et al., 2014; Nabat et al.,
84 2015) and climate (IPCC, 2013). They affect atmospheric heating/cooling rates (e.g. Mallet et al.,
85 2009) while they can also result in a modification of atmospheric dynamics and large atmospheric
86 circulations like monsoons (e.g. Lau et al., 2006; Bollasina et al., 2011), cloud properties and
87 precipitation (e.g. Huang et al., 2006; Solmon et al., 2008). Moreover, it has been shown that the
88 consideration of their radiative impacts in numerical simulations can improve the forecasting accuracy
89 of weather models (Pérez et al., 2006). Dust particles also affect air quality in urban areas (Basart et al.,
90 2012) causing adverse health effects (Díaz et al., 2012; Karanasiou et al., 2012; Pérez García-Pando et
91 al., 2014). All these consequences of dust aerosol are relevant and maximize under maximum dust
92 loads, namely dust episodes, highlighting thus the significance of analyzing the spatial and temporal
93 characteristics of such events. To this aim, many studies have been carried out using either surface (e.g.
94 Cachorro et al., 2006) or satellite (e.g. Moulin et al., 1998) observations, as well as modelling
95 techniques (e.g. Heinold et al., 2007) focusing on the broader Mediterranean area. These studies have
96 been done either for specific cases (e.g. Kubilay et al., 2003; Balis et al., 2006) or for extended periods
97 at specific locations (e.g. Meloni et al., 2007; Toledano et al., 2007a; Gobbi et al., 2013; Mona et al.,

98 2014). Recently, Gkikas et al. (2013) developed an objective and dynamic algorithm relying on satellite
99 retrievals, which enabled an overall view of dust episodes over the entire Mediterranean and the
100 characterization of their regime (i.e., frequency of occurrence, intensity and duration).

101 Extensive research has also been carried out on the mechanisms of Mediterranean dust outbreaks.
102 Therefore, several mechanisms and processes of transport, apart from dust emissions in source areas,
103 have been proposed as controlling factors. Moulin et al. (1997) showed that the exported dust loads
104 from Northern Africa towards the Atlantic Ocean and the Mediterranean are controlled by the phase of
105 the North Atlantic Oscillation (NAO). Other studies, focused on the description of atmospheric
106 circulation characteristics favoring the occurrence of desert dust outbreaks over the central (Barkan et
107 al., 2005; Meloni et al., 2008) or western (Querol et al., 1998; Rodriguez et al., 2001; Salvador et al.,
108 2014) Mediterranean, but on a synoptic scale. An objective classification, based on multivariate
109 statistical methods, of the atmospheric circulation patterns related to dust intrusions over the
110 Mediterranean, has been presented by Varga et al. (2014) and Gkikas et al. (2015).

111 The concentration of dust aerosols in the Mediterranean is characterized by strong spatial and
112 temporal variability, associated with the seasonal variability of cyclones dominating or affecting the
113 broader Mediterranean basin (Trigo et al., 2002). According to Moulin et al. (1998), dust *AOD* levels
114 are higher in spring and summer compared to the wet seasons of the year. Moreover, dust intrusions are
115 mainly recorded over the southeastern Mediterranean in spring and winter, over the western parts in
116 summer and over the central ones in autumn (Gkikas et al., 2013).

117 Dust transport over the Mediterranean is characterized by a multi-layered structure (Hamonou et
118 al., 1999; Papayannis et al., 2008) in contrast to the Atlantic Ocean, which is well confined to the
119 Saharan Air Layer (SAL, Karyampudi et al., 1999). The vertical distribution of dust load into the
120 troposphere as well as the profile of dust aerosols' optical properties at different altitudes, control the
121 impacts on atmospheric dynamics induced by the mineral particles (Zhang et al., 2013). In order to
122 describe the geometrical features of dust transport, many researchers have used ground lidar
123 measurements, model simulations (Alpert et al., 2004; Kishcha et al. 2005) or they have relied on a
124 synergistic use of satellite observations and ground lidar profiles (Berthier et al., 2006). The vertical
125 extension of the Saharan dust intrusions over Europe, during the period 2000-2002, was the subject of a
126 comprehensive study by Papayannis et al. (2008), who used lidar measurements from the EARLINET
127 (European Aerosol Research Lidar Network, Bösenberg et al., 2003). Over the Mediterranean stations,
128 the mean base, top and thickness of dust layers was found to vary from 1356 to 2980 m, 3600 to 5900

129 m and 726 to 3340 m, respectively. According to the obtained results, tracers of dust particles can be
130 detected up to 10 km, as also reported by Gobbi et al. (2000), who studied a Saharan dust event in
131 Crete (South Greece) during spring of 1999.

132 Several similar studies have been also performed for specific Mediterranean locations based on
133 EARLINET lidar measurements. For example, Mona et al. (2006) analyzed the vertical structure of 112
134 Saharan intrusions that occurred over Potenza (Italy), from May 2000 to April 2003. The authors found
135 that these outbreaks are confined between 1.8 and 9 km while their mass center is located at 3.5 km
136 above sea level (a.s.l.). A similar analysis for Athens and Thessaloniki over the period 2000-2002, was
137 conducted by Papayannis et al. (2005) who demonstrated that dust layers are recorded mainly between
138 2 and 5 km while their thicknesses vary from 0.2 to 3 km. The geometrical characteristics of dust layers
139 over Athens, during the period 2004 – 2006, have been also presented by Papayannis et al. (2009), who
140 pointed out that the center of mass of dust layers is located at 2.9 km being in a very good agreement
141 with Kalivitis et al. (2007) findings (around 3 km) for the eastern Mediterranean. Additionally, the
142 authors reported that the dust layers mainly extend from 1.6 to 5.8 km while mineral particles can be
143 detected, at very low concentrations, up to 8 km a.s.l.. Gobbi et al. (2013) found that dust plumes over
144 Rome mainly extend from 0 to 6 km while their center of mass is located at around 3 km. In the
145 southern parts of Italy (Potenza), dust layers' base is found between 2 and 3 km, their geometrical
146 height extends from 2.5 to 4 km while tracers of dust particles can be detected up to 10 km, based on a
147 dataset of 310 dust events analyzed by Mona et al. (2014). Finally, Pisani et al. (2011) stated that the
148 mean base and top of dust layers is found at 1.5 km and 4.6 km a.s.l., respectively, while their mean
149 thickness is equal to 3.1 km, based on a statistical analysis of 45 desert dust episodes observed over
150 Naples (Italy), from May 2000 to August 2003.

151 Surface-based lidar measurements like those used in the aforementioned studies provide useful
152 information about the geometrical and optical properties of dust layers, but they are representative only
153 for specific locations. Yet, a more complete knowledge about the vertical structure of dust outbreaks is
154 necessary in order to adequately understand and determine their possible effects. The geographical
155 limitation imposed by the use of surface-based lidar observations can be overcome by utilizing accurate
156 satellite retrievals, as a complementary tool, which provide extended spatial coverage. Since 2006,
157 vertical resolved observations of aerosols and clouds from space were made possible thanks to the
158 CALIOP (Cloud-Aerosol Lidar with Orthogonal Polarization) lidar flying onboard the CALIPSO
159 (Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations) satellite (Winker et al., 2009).
160 Based on CALIOP observations, Liu et al. (2008) analyzed the global vertical distribution of aerosols

161 for one year, while other studies focused on the vertical structure of dust outflows towards the Atlantic
162 Ocean (e.g. Ben-Ami et al., 2009; Adams et al., 2012; Tsamalis et al., 2013) and the Pacific Ocean (e.g.
163 Eguchi et al., 2009; Hara et al., 2009). On the contrary, over the broader Mediterranean area, only a
164 small number of studies has been made aiming at describing the vertical distribution of dust aerosols
165 (Amiridis et al., 2013) or specifying the vertical structure of dust events (Amiridis et al., 2009).
166 Nevertheless, they only dealt with a single dust event (18-23 May 2008, Amiridis et al., 2009) and thus
167 cannot satisfy the need to know the general vertical structure of Mediterranean dust episodes.

168 The main target of the present study is to describe the Mediterranean desert dust outbreaks' vertical
169 structure. For this purpose, satellite retrievals derived by the MODIS-Terra/Aqua, EP-TOMS, OMI-
170 Aura and CALIOP-CALIPSO databases (Section 2) are used in a synergistic way. The dust outbreaks
171 are identified with an objective and dynamic algorithm, which uses appropriate aerosol optical
172 properties representative of suspended particles' load, size and nature (Section 3). First, the outputs of
173 the satellite algorithm are compared versus surface measurements provided by AERONET or PM_{10}
174 stations, located within the study region (Section 4.1). Additionally, useful information about various
175 optical and physical properties under intense dust episodes conditions is also derived from the
176 aforementioned analysis. Then, the primary characteristics of the intense Mediterranean desert dust
177 (DD) episodes, namely their frequency and intensity, are described in Section 4.2. Just in order to
178 assess the consistency of the algorithm' concept, an alternative methodology for the determination of
179 DD episodes is also applied and the obtained results are inter-compared with the basic methodology.
180 For the identified DD episodes, collocated CALIOP-CALIPSO vertical feature mask and total
181 backscatter coefficient at 532 nm retrievals are used in order to describe the annual and seasonal
182 variability of dust outbreaks' vertical extension over the Mediterranean (Section 4.3). Moreover, in
183 Section 4.4, a thorough analysis of specific Mediterranean DD episodes is made, in order to examine
184 how the vertical distribution of desert dust outbreaks can affect the agreement between MODIS AOD
185 and PM_{10} data. Finally, the summary and conclusions are drawn in Section 5.

186

187 **2. Satellite and surface-based data**

188 The different types of satellite retrievals that have been used as inputs to the objective and dynamic
189 satellite algorithm are described below, namely the MODIS (Section 2.1.1), EP-TOMS and OMI-Aura
190 (Section 2.1.2) databases. Also, CALIOP-CALIPSO vertically resolved satellite data, coincident with
191 the identified desert dust outbreaks by the satellite algorithm, are described in Section 2.1.3. Finally,

192 surface-based sun-photometric AERONET retrievals and PM_{10} concentrations, both used for the
193 comparison against the satellite algorithm's outputs, are described in Sections 2.2.1 and 2.2.2,
194 respectively.

195

196 2.1 Satellite data

197 2.1.1 MODIS

198

199 MODERate resolution Imaging Spectroradiometer (MODIS) onboard the Terra and Aqua satellites –
200 with daytime local equator crossing time at 10:30 and 13:30 UTC, respectively, and 2330 km viewing
201 swath – acquires measurements at 36 spectral bands between 0.415 and 14.235 μm with varying spatial
202 resolution of 250, 500 and 1000 m. Observations from Terra and Aqua are made continuously since
203 February 2000 and July 2002, respectively, and are available from the LAADS website
204 (<ftp://ladsweb.nascom.nasa.gov/>). Aerosol optical properties are retrieved through the Dark Target
205 (DT) algorithm (see e.g. Kaufman et al., 1997, 2001; Tanré et al., 1997; Levy et al., 2003; Remer et al.,
206 2005) where different assumptions are considered depending on the underlying surface type (land or
207 ocean). Several evaluation studies (e.g. Remer et al., 2008; Papadimas et al., 2009; Levy et al., 2010;
208 Nabat et al., 2013) have shown that aerosol optical depth (AOD) can be retrieved satisfactorily by
209 MODIS, nevertheless its performance is better over sea (uncertainty equal to $\pm 0.03 \pm 0.05 \times AOD$;
210 Remer et al., 2002) than over land ($\pm 0.05 \pm 0.15 \times AOD$; Levy et al., 2010).

211 The following daily MODIS-Terra and MODIS-Aqua Collection 051 (C051) level 3 satellite data
212 (MOD08_D3 and MYD08_D3 files) provided at $1^\circ \times 1^\circ$ latitude-longitude spatial resolution are used:
213 (i) AOD_{550nm} , (ii) Ångström exponent over land ($\alpha_{470-660nm}$), (iii) Ångström exponent over ocean
214 ($\alpha_{550-865nm}$), (iv) fine-mode fraction (FF) of AOD over land and ocean and (v) Effective radius over
215 ocean (r_{eff}). It must be mentioned that the size parameters (α , FF) over land are less reliable compared
216 to the corresponding ones over sea, since they are highly sensitive to spectral dependent factors such as
217 errors in the surface model or sensor calibration changes. Over sea, the accuracy of size parameters is
218 strongly dependent on wind conditions.

219 Similar data have been used by Gkikas et al. (2013). However, in the present study we have
220 improved data quality by using the quality assurance-weighted (QA) level 3 data ([http://modis-
221 atmos.gsfc.nasa.gov/docs/QA_Plan_2007_04_12.pdf](http://modis-atmos.gsfc.nasa.gov/docs/QA_Plan_2007_04_12.pdf)) derived from the level 2 retrievals (10 km x 10

222 km spatial resolution). Each level 2 retrieval, is flagged with a bit value (from 0 to 3) corresponding to
223 confidence levels (No confidence: 0, Marginal: 1, Good: 2 and Very Good: 3). Based on this, the level
224 3 QA-weighted spatial means are obtained by the corresponding level 2 retrievals considering as
225 weight their confidence level (bit value). In addition, the day cloud fraction as well as the number of
226 level 2 counts, which are both relevant to the performance of the satellite algorithm, are also used in
227 this study. The time series of daily MODIS aerosol data cover the 13-yr period March 2000-February
228 2013 (Terra) and the 10-yr period January 2003-December 2012 (Aqua).

229

230 2.1.2 EP/TOMS and OMI-Aura

231 The selected retrievals from MODIS provide information about particles' load (*AOD*) and size (α ,
232 FF , r_{eff}), which are both necessary to identify dust episodes. However, since dust is not the only coarse
233 aerosol, for example sea-salt can be so as well, another optical property indicative of particle
234 absorption efficiency is also required by the algorithm. To address this issue, the Absorption Aerosol
235 Index (*AI*) daily data were also used, derived from measurements taken by the Total Ozone Mapping
236 Spectrometer (TOMS) instrument onboard the NASA's Earth-Probe satellite (2000-2004) and the
237 Ozone Monitoring Instrument (OMI) onboard the NASA's Aura satellite (2005-2013). *AI* is the
238 primary TOMS aerosol product (Herman et al., 1997) based on a spectral contrast method in a UV
239 region (331-360 nm) where ozone absorption is very small and can be used for the distinction between
240 scattering (e.g. sea-salt) and absorbing (e.g. desert dust, smoke) aerosols. The retrieval algorithm (fully
241 described by Torres et al., 1998; 2002; 2005) takes advantage of the low surface albedo in the UV
242 spectrum range, even in arid and semi-arid areas, making thus possible the estimation of the *AOD* over
243 highly reflecting desert surfaces, where the major dust sources are located. Since the late 70's, the
244 TOMS sensor onboard Nimbus-7 (1978 – 1993) and Earth Probe (1996 – 2005) has been providing
245 global aerosol measurements. With the deployment of the EOS-Aura OMI (Ozone Monitoring
246 Instrument) in mid-2004 (Torres et al., 2007) the near UV aerosol record continues to be extended into
247 the foreseeable future. OMI is a hyperspectral sensor, covering the 270-500 nm range, launched
248 onboard the EOS-Aura satellite on July 15, 2004 (1:38 pm equator crossing time, ascending mode)
249 providing almost daily global coverage thanks to its wide viewing swath (2600 km with 13 km x 24 km
250 nadir resolution). Apart from *AI* measurements, OMI aerosol products include also the total and
251 absorption *AOD* and the single scattering albedo at 388 and 500 nm (Torres et al., 2007). Both EP-
252 TOMS and OMI-Aura retrievals are available via the Mirador ftp server (<http://mirador.gsfc.nasa.gov/>)

253 of the Goddard Earth Sciences Data and Information Services Center (GES DISC). OMI-Aura data, as
254 MODIS, are provided at $1^\circ \times 1^\circ$ spatial resolution while the EP-TOMS retrievals have been regrided
255 from their raw spatial resolution ($1^\circ \times 1.25^\circ$) in order to match the other two datasets (OMI, MODIS).

256

257 2.1.3 CALIOP-CALIPSO

258

259 The Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) onboard the NASA's satellite
260 CALIPSO (Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations), launched in April
261 2006, provides vertical resolved aerosol and cloud observations (Winker et al., 2009) since June 2006.
262 CALIPSO is flying in the A-Train constellation (Stephens et al., 2002; <http://atrain.nasa.gov/>) in a sun-
263 synchronous polar orbit at 705 km over the surface, with a 16-day repeat cycle, crossing the equatorial
264 plane at about 13:30 local solar time (Winker et al., 2009). CALIOP is an active sensor measuring the
265 backscatter signal at 532 nm and 1064 nm as well as the polarization at 532 nm (Winker et al., 2009).
266 These level 1 retrievals are further processed (calibration and range corrections) passing to level 2 in
267 order to retrieve the backscatter and extinction coefficients, at 532 nm and 1064 nm, for aerosol and
268 cloud layers. The identification of cloud and aerosol layers within the atmosphere (Vaughan et al.,
269 2009) is made through the cloud aerosol discrimination (CAD) algorithm (Liu et al., 2009), which is
270 based on the probability distribution functions (PDFs) of altitude-and-latitude-dependent parameters
271 (integrated color ratio, layer-integrated volume depolarization ratio, mean attenuated backscatter
272 coefficient). CAD scores vary mainly from -100 to 100 indicating the presence of aerosols and clouds
273 when negative and positive, respectively, while bins of confidence levels, both for aerosols and clouds,
274 are defined based on their absolute values
275 ([https://eosweb.larc.nasa.gov/sites/default/files/project/calipso/quality_summaries/CALIOP_L2VFMPr
277 oducts_3.01.pdf](https://eosweb.larc.nasa.gov/sites/default/files/project/calipso/quality_summaries/CALIOP_L2VFMPr
276 oducts_3.01.pdf)). More specifically, the performance of the classification scheme in the retrieval
278 algorithm, either for aerosols or clouds, is more reliable for increasing CAD scores in absolute terms.
279 Aerosols are categorized in 6 primary types, namely: (i) clean marine, (ii) dust, (iii) polluted
280 continental, (iv) clean continental, (v) polluted dust and (vi) smoke (Omar et al., 2009).

280 In the present analysis, we use the Version 3 (3.01 and 3.02) of the Level 2 Vertical Feature Mask
281 (VFM) and Aerosol Profile Products (APro) files, available from June 2006 to February 2013, both
282 derived from the NASA's Earth Observing System Data and Information System
283 (<http://reverb.echo.nasa.gov/>). The aerosol profile products are generated at a uniform horizontal
284 resolution of 5 km (http://www-calipso.larc.nasa.gov/products/CALIPSO_DPC_Rev3x6.pdf), while the

285 vertical resolution varies from 60 to 180 m depending on the altitude range and the parameter. The
286 scientific data sets which have been analyzed are the following: (i) aerosol subtype, (ii) *CAD* score and
287 (iii) Total Backscatter Coefficient at 532 nm (β_{532nm}), reported at several tropospheric and stratospheric
288 levels above mean sea level (Hunt et al., 2009).

289

290 2.2 Surface-based data

291

292 2.2.1 AERONET

293 The AErosol RObotic NETwork (AERONET, Holben et al., 1998) is a worldwide network of
294 installed CIMEL sun-sky radiometers obtaining sun-photometric observations in more than 1000
295 locations of the planet (<http://aeronet.gsfc.nasa.gov>). The solar irradiances received by the photometer
296 are inverted into columnar aerosol optical and microphysical properties through the implementation of
297 retrieval algorithms (e.g. Dubovik and King, 2000; O' Neill et al., 2003). The followed standardized
298 methods concerning instrument maintenance, calibration, cloud screening and data processing allow
299 aerosol monitoring and comparison between different study periods and areas (Smirnov et al., 2000).
300 From the global AERONET stations, 109 are located within the geographical limits of our study
301 region. For each station, the daily averages of cloud-screened and quality assured data (Level 2.0) of
302 direct sun and almucantar retrievals are used for: (i) *AOD* at 7 wavelengths from 340 to 1020 nm, (ii)
303 size distribution retrieved for 22 logarithmically equidistant discrete points (r_i) in the range of sizes
304 $0.05 \mu\text{m} \leq r \leq 15 \mu\text{m}$, (iii) Ångström exponent between 440 and 870 nm ($\alpha_{440-870nm}$), (iv) total effective
305 radius (r_{eff}), and (v) single scattering albedo (*SSA*) and asymmetry parameter (g_{aer}) both retrieved at 440
306 nm, 675 nm, 870 nm and 1020 nm. The uncertainty in the estimation of *AOD* depends on technical
307 (e.g. calibration method) factors and inversion assumptions, both described in detail in Holben et al.
308 (1998). Moreover, the accuracy of the retrieved *AOD* by the CIMEL radiometer is spectrally
309 dependent, being better ($< \pm 0.01$) for wavelengths longer than 440 nm and lower ($< \pm 0.02$) for the UV
310 wavelengths (Eck et al., 1999). It should be also noted that the AERONET Level 2.0 inversion products
311 (e.g. *SSA*) are provided when *AOD* at 440 nm is higher than 0.4 ensuring the minimization of the
312 inversion uncertainties, which are also determined by other factors (e.g. scattering angle, particles'
313 sphericity) as stated in detail by Dubovik et al. (2000).

314

315

316 2.2.2 PM_{10}

317 Daily total and dust surface PM_{10} concentrations, over the period 2001-2011 from 22 regional
318 background and suburban background sites were used in this study. The monitoring sites are distributed
319 as follows: 10 in Spain; 2 in southern France; 5 in Italy; 3 in Greece; 1 in southern Bulgaria and 1 in
320 Cyprus. PM_{10} concentrations were obtained in most cases from gravimetric determinations on filters,
321 whereas in few cases they were determined by real time instruments (Querol et al., 2009b; Pey et al.,
322 2013) but corrected against gravimetric measurements carried out in annual field campaigns. The
323 disaggregation of the dust component to the total amount is made based on a statistical approach which
324 has been applied in several past studies (e.g. Rodríguez et al., 2001; Escudero et al., 2007; Querol et al.,
325 2009b; Pey et al., 2013). A full description of the methodology which is followed for the calculation of
326 dust particles' contribution to the total PM_{10} is presented in Escudero et al. (2007). Briefly, the net dust
327 PM_{10} amount is calculated through the subtraction of the regional background PM_{10} , which is obtained
328 by applying a monthly moving 30th percentile to the PM_{10} timeseries excluding days of dust transport,
329 from the corresponding values of the total PM_{10} concentrations. Most of the derived data were obtained
330 from the AirBase (<http://acm.eionet.europa.eu/databases/airbase/>) database, while for the stations
331 Finokalia (Crete) and Montseny (NE Spain) the relevant measurements have been acquired from the
332 EUSAAR (<http://www.eusaar.net/>) database.

333

334 3. Identification of desert dust episodes

335

336 Following the methodology proposed by Gkikas et al. (2013), desert dust (DD) episodes are
337 identified based on an objective and dynamic algorithm which consists a branch of a unified algorithm
338 (Gkikas et al., 2016) able to identify and characterize not only DD episodes, but also four other types of
339 aerosol episodes, namely biomass-urban (*BU*), dust/sea-salt (*DSS*), mixed (*MX*) and undetermined
340 (*UN*). The algorithm (see Figure 2 in Gkikas et al., 2013) operates in three steps and is applied in each
341 individual 1° x 1° geographical cell within the geographical limits of the study domain (29° N - 47° N
342 and 11° W - 39° E). First, the mean (*Mean*) and the associated standard deviation (*Std*) from the
343 available AOD_{550nm} retrievals are calculated for the whole study period. These primary statistics are
344 used for the definition of two threshold levels, which are equal to $Mean+2*Std$ and $Mean+4*Std$. The
345 geographical distributions of the computed statistics (*Mean* and *Std*) as well as the corresponding
346 spatial patterns of both threshold levels are displayed in Figures S1-a (MODIS-Terra, Mar. 2000 – Feb.
347 2013) and S1-b (MODIS-Aqua, 2003 – 2012) in the supplementary material. At the next step, the

348 algorithm analyzes the daily AOD_{550nm} timeseries and classifies an episode as a strong one when AOD
349 is between the two defined thresholds ($Mean+2*Std \leq AOD_{550nm} < Mean+4*Std$) and as an extreme
350 one when AOD is higher/equal than $Mean+4*Std$. The same approach was undertaken by Gkikas et al.
351 (2009) who classified the Mediterranean aerosol episodes over the period 2000-2007 according to their
352 strength and described their frequency and intensity. It must be clarified that according to our
353 methodology in areas frequently affected by dust episodes, both mean and standard deviation values
354 are expected to be high resulting to high thresholds which means that cases with moderate-to-high
355 $AODs$, also possibly relevant to radiative and health effects, are masked out from the dataset. In order
356 to investigate the possible impact of this, “unbiased” mean, standard deviation and thresholds of AOD
357 are also computed based on another methodology and the results are discussed comparatively to those
358 of the primary methodology in a separate paragraph. Moreover, it must be mentioned that the satellite
359 algorithm identifies only intense desert dust episodes since their AOD must be higher than
360 $Mean+2*Std$ which is considered as a high threshold level.

361 It should be noted that the representativeness of the calculated mean levels is possibly affected by
362 the availability of the AOD retrievals and particularly by the way these data are distributed both at
363 temporal and spatial scales. Thus, a possible underrepresentation of winter AOD data in the long-term
364 dataset, which is often the case in satellite retrievals of AOD , may result in a higher mean AOD than
365 what would be in case of complete and balanced seasonal availability. Moreover, the spatiotemporal
366 availability of AOD is determined by the different satellite retrieval algorithm assumptions depending
367 on the underlying surface type (land or sea) and clouds (i.e. satellite retrievals are possible only under
368 clear skies conditions). In order to investigate the possible effect of temporal availability of daily AOD
369 data, we have calculated the percentage availability of AOD retrievals on a monthly, seasonal and year
370 by year basis, over the period 2000-2013 (results not shown here). Seasonal differences of AOD
371 availability are mainly encountered in the northernmost parts of the study region, attributed to the
372 enhanced cloud coverage, with lower values (20 to 40 %) from December to February against 50-85%
373 for the rest of the year. Differences in AOD availability are also found between land and sea surfaces
374 which are more pronounced in winter and summer and less remarkable during the transition seasons.
375 More specifically, across the Mediterranean Sea, in winter, the availability percentages range from 70
376 to 90 % while in summer the corresponding values are decreased, due to Sun glint, down to 60 % and
377 80 %, respectively. Over land, for both seasons, the spatial patterns of AOD availability are reversed. In
378 order to investigate furthermore how the spatiotemporal AOD variability and unbalanced seasonal
379 distribution of MODIS AOD data can affect the calculated mean AOD levels (calculated by daily

380 retrievals), we have repeated the calculations by utilizing monthly retrievals (calculated from the daily
381 ones) thus removing the possible effects of an unequal temporal distribution of the number of
382 observations on the mean *AOD*. According to our results, only small differences are found, generally
383 hardly exceeding 0.1 in absolute and 5% in relative percentage terms, with the mean *AODs* over land
384 being higher by up to 10% when they are computed from daily than monthly data, while the opposite is
385 found over sea. This finding reveals that the unequal temporal distribution of *AOD* retrievals does not
386 have critical impact on the computed mean *AODs* and the resulting algorithm outputs presented in this
387 study.

388 In a further step of the methodology, the strong and extreme DD episodes are identified separately
389 over land and sea surfaces of the study region. This is achieved through the usage of specific aerosol
390 optical properties, namely the Ångström exponent, effective radius, fine fraction and aerosol index,
391 which provide information about particles' size and nature. For each optical property, appropriate
392 upper or lower thresholds have been set up which must be valid concurrently in order to certify the
393 presence of dust particles in the atmosphere. Note that there are not any unanimously defined
394 acknowledged thresholds in literature. Therefore, these cut-off levels have been selected here according
395 to the literature findings, availability of raw data and several own sensitivity tests (more details are
396 provided in Gkikas et al., 2013) which have been applied individually to the MODIS size parameters
397 (i.e., α , *FF* and r_{eff}). Such analysis is essential when multi-parameter datasets are utilized and their
398 variations can possibly modify the satellite algorithm's outputs. To this aim, we have applied the
399 satellite algorithm modifying by 0.1 the α , *FF* and r_{eff} values within the ranges 0.6 – 0.8, 0.1 – 0.4 and
400 0.4 – 0.8, respectively. Our results indicate that the geographical patterns remain similar and the total
401 number of DD episodes is only slightly modified (less than 4%) for the α and r_{eff} retrievals, whereas it
402 changes more for the *FF* retrievals (by up to 25% over sea for strong episodes). Here, the validity of
403 these thresholds is further evaluated against AERONET measurements and the corresponding results
404 are discussed in Section 4.1.1.4.

405 In order to address the issue of possible overestimation of the defined threshold levels, particularly
406 in the most dust-affected areas as it has been mentioned above, we have also applied the satellite
407 algorithm using an alternative methodology (METHOD-B) in which dust-affected grid cells were
408 excluded. In this case, from the raw *AOD* retrievals we have masked out the “pure” desert dust grid
409 cells, which were identified based on the concurrent fulfillment of the defined criteria for dust
410 occurrence in the algorithm (for Ångström exponent, fine fraction, aerosol index and effective radius).
411 Then, from the remaining data (non-dust *AOD* retrievals), the mean, the associated standard deviation

412 as well as the defined thresholds of *AOD* are computed for the whole study period, for each pixel, as
413 also done in the primary methodology. Finally, also similarly to the way done in the primary
414 methodology, the DD episodes were classified into strong and extreme ones. The obtained results, i.e.
415 frequency of occurrence and intensity of DD episodes, based on the primary methodology and
416 METHOD-B are discussed in Section 4.2.

417 As explained, a similar methodology and data were used in the study by Gkikas et al. (2013).
418 Nevertheless, the present one is a significant extension mainly for five reasons: (i) DD episodes are
419 identified here over an extended period of study and for both MODIS platforms, i.e. Mar. 2000 – Feb.
420 2013 for MODIS-Terra and 2003 – 2012 for MODIS-Aqua, (ii) a second methodology (METHOD-B)
421 for the identification of DD episodes is tested, (iii) the quality of the input data is improved by using
422 QA-weighted level-3 data produced by weighting level-2 data based on their confidence flag instead of
423 regular ones, (iv) emphasis is given to the vertical structure of the intense DD episodes and (v) the role
424 of the detailed dust outbreaks' vertical structure for the level of agreement between columnar MODIS
425 *AOD* and ground *PM₁₀* concentrations is investigated. In addition, in the present analysis, the satellite
426 algorithm is also tested using only *AODs* associated with cloud fractions (*CF*) lower/equal than 0.8, in
427 order to investigate possible modifications of our results due to the cloud contamination effects on
428 MODIS *AODs*. The critical value of 0.8 for *CF* has been defined according to Zhang et al. (2005) and
429 Remer et al. (2008), who stated that under extended cloud coverage conditions *AOD* levels can be
430 increased substantially.

431

432 **4. Results**

433 Before dealing with the horizontal patterns (sub-section 4.2) and the vertical structure of dust
434 outbreaks (sub-sections 4.3 and 4.4), it is very important to compare the algorithm's outputs against
435 AERONET and *PM₁₀* observations (sub-section 4.1) in order to ensure an accurate three-dimensional
436 view of the intense Mediterranean DD episodes. It must be clarified that the comparison of the satellite
437 algorithm's outputs versus AERONET/*PM₁₀* is made only for its default version and not for the
438 METHOD-B, since between the two methodologies are not found remarkable differences, as it will be
439 presented in Section 4.2. For the same reason, the synergistic implementation of the CALIOP-
440 CALIPSO lidar profiles is done only when the DD episodes are identified based on the primary
441 methodology. The present section has been organized accordingly and the results are given below.

442

443 4.1 Comparison of the satellite algorithm's outputs against AERONET and PM_{10} measurements

444 The ability of the satellite algorithm to identify satisfactorily DD episodes, is tested against ground
445 measurements from 109 AERONET (Fig. 1, orange squares) and 22 PM_{10} (Fig. 1, green triangles)
446 stations located in the broader Mediterranean area. This is an extended and thorough comparison which
447 exceeds largely a similar one done for the outputs of the previous version of satellite algorithm (2000-
448 2007; Gkikas et al., 2013), but only relying on 9 AERONET stations and using *AOD* and volume size
449 distribution data. Here, the comparison is repeated for the improved algorithm, being extended over a
450 longer time period, for a much larger number of AERONET stations, and an analysis of more optical
451 properties, namely the Ångström exponent, effective radius, single scattering albedo and asymmetry
452 parameter is made. The comparison is performed for both study periods and satellite platforms (Mar.
453 2000 – Feb. 2013 for Terra and 2003 – 2012 for Aqua) while the issue of possible cloud contamination
454 is also considered. However, since the obtained results revealed a very similar performance of the
455 algorithm for both periods and platforms, only the results for the period Mar. 2000 – Feb. 2013 are
456 given here.

457 In 46 out of 109 AERONET stations, depicted with yellow triangles in Figure 1, we have found at
458 least one strong or extreme dust episode, for which coincident satellite and ground measurements are
459 available. For the specific AERONET stations and episode days, the mean values of the selected
460 AERONET aerosol optical properties have been calculated separately for strong, extreme and all (both
461 strong and extreme) DD episodes identified by the satellite algorithm. Subsequently, these values were
462 compared to the corresponding ones calculated from all the available retrievals (climatological
463 conditions, *clim*) collected from the 109 Mediterranean AERONET stations, during the period Mar.
464 2000 – Feb. 2013, aiming at highlighting the effect of episodes on these optical properties.
465 Additionally, in 7 AERONET stations (cyan circles in Figure 1) the intense DD episodes have been
466 identified from ground (AERONET) and the corresponding results are compared with the satellite
467 algorithm outputs (Section 4.1.1.4). Finally, the performance of the algorithm is also tested against
468 surface PM_{10} measurements from 22 stations (Section 4.1.2).

469

470

471

472

473 4.1.1 AERONET

474 4.1.1.1 Aerosol optical depth

475 During the period Mar. 2000 – Feb. 2013, 346 pixel-level intense DD episodes have been identified
476 by the satellite-based algorithm, in which coincident MODIS-Terra and AERONET retrievals are
477 available. The selected dataset corresponds to 1.06 % of the overall (strong and extreme) DD episodes
478 (32635) which have been identified during the study period. It should be noted that AERONET
479 AOD_{550nm} values have been calculated from available AERONET AOD_{870nm} and Ångström exponent
480 data ($\alpha_{440-870nm}$) by applying the Ångström equation (Ångström, 1929) to match the MODIS AOD_{550nm} .
481 For these intense DD episodes, the comparison between the satellite and ground aerosol optical depths
482 at 550 nm is given in Figure 2. Two similar scatterplots with matched MODIS-AERONET data pairs
483 are given. The first one (Fig. 2 i-a) is resolved by the number of level 2 ($L2$) measurements of 10 km x
484 10 km spatial resolution from which the compared $1^\circ \times 1^\circ$ level 3 ($L3$) $AODs$ in the figure are derived.
485 The second scatterplot (Fig. 2 i-b) is resolved by the spatial standard deviation inside the $1^\circ \times 1^\circ$
486 geographical cell (level 3 $AODs$). Both scatterplots address the issue of level 3 AOD sub-grid spatial
487 variability, which is essential when attempting comparisons against local surface-based AOD data like
488 the AERONET.

489 The overall correlation coefficient (R) between MODIS and AERONET $AODs$ is equal to 0.505,
490 with the satellite $AODs$ being overestimated (bias=0.143). The scatterplots show the existence of
491 outliers associated with small number of level 2 retrievals (< 20 , blue color Fig. 2 i-a) and/or high
492 standard deviations (> 0.5 , yellowish-reddish points, Fig. 2 i-b) inside the $L3$ grid cell. This finding
493 underlines the role of homogeneity and representativeness of $L3$ retrievals for the comparison of
494 MODIS $AODs$ against AERONET. This role is better visualized in Fig. 2 ii-a, where are presented the
495 computed R values between MODIS level-3 and AERONET $AODs$ depending on the number of $L2$
496 retrievals from which the $L3$ products were derived. In general, it is known that the $L2$ pixel counts
497 range from 0 to 121 while in polar regions (typically around 82° latitude) the maximum count numbers
498 can be even higher due to overlapping orbits and near nadir views intersect (Hubanks et al., 2008). It is
499 clear from our results that the correlation coefficients are gradually and essentially improved, from 0.49
500 to 0.75, with increasing representativeness of MODIS $AODs$, i.e. increasing counts of $L2$ retrievals
501 attributed. A similar improvement has been reported by Amiridis et al. (2013) who found a better
502 agreement between MODIS/AERONET and CALIOP aerosol optical depths applying similar criteria.
503 The agreement between MODIS and AERONET also improves when the former AOD products are

504 more spatially homogeneous, i.e. when they are characterized by smaller *AOD* standard deviations at
505 the grid-level (from < 0.25 down to < 0.05 , Fig. 2 ii-b). However, our results also indicate that apart
506 from increasing correlation coefficients (up to 0.7-0.8) with increasing level-2 counts and decreasing
507 standard deviations, the number of intense DD episodes is decreased dramatically (about 40-50 for
508 more than 50 counts and standard deviation smaller than 0.05).

509 In order to assess the performance of the satellite algorithm when operated with non-weighted
510 (Gkikas et al., 2013) and weighted QA (present analysis) MODIS-Terra retrievals we have compared
511 its outputs (DD episodes' *AODs*) of both versions versus the corresponding AERONET *AODs* for the
512 period Mar. 2000 – Feb. 2007 (Gkikas et al., 2013). Based on our results, the computed correlation
513 coefficients are equal to 0.53 (135 DD episodes) and 0.59 (177 DD episodes) for the old and new
514 version of the satellite algorithm, respectively, revealing thus a better performance when QA-weighted
515 level 3 retrievals are utilized as inputs to the satellite algorithm.

516 Finally, the spectral variation of the AERONET *AODs* at 7 wavelengths, from 340 to 1020 nm, in
517 climatological and dust episodes conditions has been investigated (results given in Figure S2,
518 supplementary material). The *AOD* boxplots produced for all the available daily AERONET
519 measurements (orange) and for the corresponding retrievals during strong (cyan), extreme (red) and all
520 DD (green) episodes identified by the satellite algorithm show that the spectral variation of aerosol
521 optical depth decreases in cases of dust episodes, with respect to the “climatological” conditions. This
522 is mainly attributed to the further increasing *AOD* levels at wavelengths longer than 500 nm (by about
523 6 times) than in (or near) the visible.

524

525 *4.1.1.2 Aerosol volume size distribution*

526 In Figure 3, are presented the mean aerosol volume size distributions (*AVSDs*) calculated from all
527 available AERONET data (orange curve) as well as under strong (cyan curve), extreme (red curve) and
528 all (green curve) DD episodes conditions. The results are given for Mar. 2000 – Feb. 2013 using
529 MODIS-Terra (346 intense DD episodes) retrievals as inputs to the satellite algorithm. In the
530 climatological curve, two modes are distinct centered at $0.15 \mu\text{m}$ for the fine mode and $2.24 \mu\text{m}$ for the
531 coarse mode. There is an about equal contribution of both modes, indicating the coexistence of fine
532 (e.g. urban aerosols) and coarse (e.g. dust aerosols) particles over the broader Mediterranean area. This
533 result is in agreement with previous studies for the Mediterranean (e.g. Fotiadi et al., 2006; Mallet et
534 al., 2013). However, under dust episodes conditions, although the *AVSD* still has two modes, there is a

535 dramatic increase of the coarse mode, which strongly dominates. More specifically, the peak of the
536 coarse mode (radius between 1.7 and 2.24 μm) is increased by factors of about 10, 15 and 11 for the
537 strong, extreme and all DD episodes. The differences between the strong and extreme *AVSDs* are
538 statistically significant (confidence level at 95 %) for almost all size bins (18 out of 22) except bin 1
539 (0.050 μm), 2 (0.065 μm), 6 (0.194 μm) and 7 (0.255 μm). Moreover, it should be noted that the
540 increment factors are slightly decreased when the algorithm operates only with *AOD* retrievals
541 associated with cloud fractions less than 0.8 which is reasonable since possible “overestimated”
542 retrievals are masked out from the analysis. Similar modifications in the shape of *AVSD* during dust
543 outbreaks have been pointed out by several studies in the past, either for the Mediterranean region (e.g.
544 Kubilay et al., 2003; Lyamani et al., 2005; Córdoba-Jabonero et al., 2011) or for other dust affected
545 areas of the planet (e.g. Alam et al., 2014; Cao et al., 2014).

546

547 *4.1.1.3 Size optical properties, single scattering albedo and asymmetry parameter*

548 The accuracy of the DD episodes identification method was further assessed by also using other
549 AERONET aerosol optical properties than *AOD*, namely the Ångström exponent (α) and the effective
550 radius (r_{eff}), able to provide information about particles’ size. For both aerosol optical properties, the
551 boxplots for all the available AERONET retrievals as well as for the corresponding data during strong,
552 extreme and all DD episodes, have been produced and depicted in Figure S3 (supplementary material).

553 Based on our results, the appropriateness of the applied methodology is confirmed by the drastic
554 reduction of α and increase of r_{eff} values when dust outbreaks occur. When all available AERONET
555 retrievals are considered (*clim*), the majority (> 75%) of α values is higher than 1.04 indicating the
556 strong presence of fine particles in the study domain (Figure S3-i). On the contrary, during intense dust
557 episodes the majority of the corresponding values for all and strong DD episodes are lower than 0.54
558 while for the extreme ones are lower than 0.36. Such low Ångström exponent values, attributed to
559 transported mineral particles from the northern African deserts (Pace et al., 2006), have been reported
560 also in previous studies (e.g. Tafuro et al. 2006; Basart et al., 2009). The existence of coarse aerosols is
561 also confirmed by the increase of r_{eff} values under intense DD conditions compared to the
562 climatological levels (Figure S3-ii). For all DD episodes, the 75% of r_{eff} values is higher than 0.55 μm
563 reaching up to 1.4 μm , while the mean and the median values are equal to about 0.73, compared to
564 about 0.37 for the climatological conditions. These values are even higher when extreme DD episodes
565 are concerned.

566 Moreover, the spectral variations of the averaged AERONET single scattering albedo (SSA) and the
567 asymmetry parameter (g_{aer}) are also studied. During intense dust outbreaks the shape and magnitude of
568 spectral SSA (Figure S4-i) and g_{aer} (Figure S4-ii) are modified compared to the climatological
569 conditions. The spectral curves of both parameters become less and more flattened during dust episodes
570 for SSA and g_{aer} , respectively. For SSA , the steepening results from decreasing values in the visible and
571 increasing values in the near-infrared (by up to 0.04, reaching 0.97 at 1020 nm). The flattening for g_{aer}
572 arises from smaller and larger increments in visible and near-infrared wavelengths, by up to 0.04 and
573 0.09, respectively. The differences between strong and extreme DD episodes SSA spectral curves are
574 statistically significant at 95 % confidence level only at 870 and 1020 nm. On the contrary, the
575 corresponding differences for the g_{aer} are statistically significant in all wavelengths. Our results are in
576 agreement with those presented for SSA by Mallet et al. (2013) in the Mediterranean and for g_{aer} by
577 Alados-Arboledas et al. (2008) during a dust episode over the southeastern parts of Spain.

578

579 *4.1.1.4 Intercomparison of surface-based and satellite algorithms used for the identification of the* 580 *desert dust episodes*

581 Despite their great usefulness, satellite aerosol retrievals still suffer from uncertainties, and
582 generally are considered as inferior to surface-based similar products, which are taken as the reference.
583 In order to examine this degree of uncertainty and to verify the successful performance of the
584 algorithm, we also tested using it along with AERONET retrievals. This has been made for 7
585 Mediterranean AERONET stations, depicted with cyan circles in Figure 1, during the periods for which
586 ground retrievals are available (Table 1). The selection of the AERONET stations was based on: (i)
587 data availability (see last column of Table 1), (ii) their location (i.e. near to the Northern African and
588 Middle East deserts) and (iii) the inclusion of sites where the aerosols' regime is complex (e.g. El
589 Arenosillo, FORTH Crete). The intense DD episodes were identified following the methodology
590 described in section 3, but using only AOD at 870 nm, $\alpha_{440-870nm}$ (lower/equal than 0.7) and r_{eff} (higher
591 than 0.6) as criteria, based upon their availability from AERONET. Subsequently, the algorithm was
592 also operated again using satellite (MODIS-Terra, OMI-Aura, EP-TOMS) input data for the periods
593 with available retrievals in each of the 7 AERONET stations.

594 In Figure 4, we present the overall scatterplots between satellite and ground $AODs$ when intense
595 DD episodes have been identified based on the ground (left column) and the satellite (right column)
596 algorithm. Colors in Figs. 4 i-a, 4 ii-a, 4 iii-a represent the associated MODIS-Terra Ångström

597 exponent, effective radius and day cloud fraction retrievals, respectively. In Figs. 4 i-b and 4 ii-b colors
598 represent the AERONET Ångström exponent and effective radius, respectively, while in Figure 4 iii-b
599 they represent the day cloud fraction observations derived by MODIS-Terra. Through this approach it
600 is feasible to assess furthermore the performance of the satellite algorithm, specify its drawbacks and
601 check the validity of the defined thresholds (green boxes in Figure 2 in Gkikas et al., (2013)).

602 It is apparent that the agreement between MODIS-Terra and AERONET *AODs* is better when DD
603 episodes are identified from the ground, as shown by the increased correlation coefficients (from 0.521
604 to 0.704), increased slopes (from 0.6 to 0.9-1.0) and decreased biases (from 0.16 to -0.03). In
605 particular, when DD episodes are identified from space, the MODIS-Terra *AOD* retrievals are
606 overestimated (bias=0.163) with regards to AERONET, particularly at low *AOD* values (< 0.5). In both
607 algorithms, the highest overestimations are associated with cloud fractions higher than 0.7 due to the
608 possible contamination of the satellite *AODs* by clouds (Figure 4 iii-a, iii-b). Given that DD episodes'
609 identification based on AERONET retrievals is more efficient, we have used these results in order to
610 check the validity of the defined thresholds for α , *AI*, *FF* and r_{eff} used in the satellite algorithm. For
611 each aerosol optical property, it has been calculated the percentage of intense DD episodes for which
612 the corresponding satellite observations are below or above the defined thresholds, depending on the
613 parameter. The results given in Table 2 are satisfactory, since the percentages range from 87 to 99%,
614 and confirm the validity of the defined thresholds.

615 The scatterplots in Figs. 4 i-b and ii-b also reveal some weaknesses of the satellite-based algorithm.
616 More specifically, it is found that for few DD episodes identified by the satellite algorithm the
617 corresponding AERONET Ångström exponent and effective radius values are higher than 1 and
618 smaller than 0.4, respectively. These values indicate a predominance of fine particles instead of coarse
619 ones as it would be expected for desert dust aerosols. In order to quantify the number of misclassified
620 pixel level intense DD episodes by the satellite algorithm, we have computed the percentage of cases
621 for which the AERONET α values are higher than 1 (15%) and r_{eff} values are lower than 0.4 (17.7%).
622 Also, we have repeated these calculations for all DD episodes and the corresponding percentages were
623 found to be equal to 11.8% and 14.5%, respectively. These misclassifications of the satellite algorithm
624 occur in AERONET stations (e.g. Thessaloniki, Rome, Avignon) with a strong presence of
625 anthropogenic aerosols (Kazadzis et al., 2007; Gobbi et al., 2007; Querol et al., 2009a; Yoon et al.,
626 2012). Some misclassifications also occur in AERONET stations (e.g. Evora, El Arenosillo, FORTH
627 CRETE) with mixed (natural plus anthropogenic) aerosol loads (Fotiadi et al., 2006; Toledano et al.,
628 2007b; Hatzianastassiou et al., 2009; Pereira et al., 2011). Over these areas, there are converging air

629 masses carrying particles of different origin, as shown by performed back-trajectories analyses (results
630 are not shown here) using the HYSPLIT (HYbrid Single-Particle Lagrangian Integrated Trajectory)
631 model (Draxler and Rolph, 2015). Nevertheless, it must be mentioned that DD episodes'
632 misclassifications can also be attributed to the lower accuracy of MODIS aerosol size retrievals over
633 land (Section 2.1.1).

634

635 4.1.2 PM_{10} and dust contribution

636 The satellite algorithm's outputs, apart from AERONET retrievals, have been also compared
637 against ground PM_{10} concentrations ($\mu\text{g m}^{-3}$) measured in 22 Mediterranean stations (green triangles in
638 Figure 1).

639 First, for each station, the number of intense DD episodes was calculated, for which coincident
640 satellite and ground measurements (total PM_{10}) are available (Figure 5-i). The number of concurrent
641 DD episodes varies from 3 to 53, being in general decreasing from southern to northern stations. For 14
642 out of 22 stations, where at least 10 intense DD episodes were identified by the satellite-based
643 algorithm, we have computed the correlation coefficients between satellite $AODs$ and surface total
644 PM_{10} concentrations (Fig. 5-ii). The highest R values (up to 0.8) are recorded in the central and eastern
645 parts of the Mediterranean while the lowest ones are found in the western stations. It must be noted that
646 the correlation coefficients are affected by outliers, because of the limited number of DD episodes in
647 each station, highlighting the sensitiveness of the intercomparison. Such outliers can be expected when
648 satellite-based columnar $AODs$ and surface-based PM_{10} data are compared, since satellite $AODs$ are
649 representative for the whole atmospheric column in contrast to in-situ PM measurements which are
650 more representative for the lowest part of the planetary boundary layer affected also by local factors.
651 Therefore, the vertical distribution of desert dust load, as it will be presented in the next sections, can
652 determine the level of agreement between satellite $AODs$ and surface PM concentrations.

653 The identification method by the satellite algorithm can be considered as correct when dust PM_{10}
654 concentrations are higher than zero (i.e. dust has been recorded at the station). According to this, the
655 ratio between the number of non-zero dust PM observations and the number of DD episodes
656 (coincident satellite-derived DD episodes and total PM_{10} measurements) for each station is defined as
657 success score. The calculated success scores (Figure 5-iii) vary from 68% (Monagrega, northeastern
658 Spain, 28 episodes) to 97% (Boccadifalco, Sicily, 33 episodes) confirming the appropriateness of the

659 DD episodes' identification. In the majority of stations, the contribution of dust particles to the total
660 burden (Figure 5-iv) is above 50%, ranging from 44% (Zarra, Spain) to 86.8% (Agia Marina, Cyprus).
661 In order to complete our analysis we have also calculated the mean (Figure 5-v) and the median (Figure
662 5-vi) dust PM_{10} concentrations for the identified intense DD episodes in each station. The mean PM_{10}
663 concentrations mainly vary between 20 and 50 $\mu\text{g m}^{-3}$, being higher in the southern stations, as
664 expected. The minimum mean value (17 $\mu\text{g m}^{-3}$) was recorded in Censt (Sardinia) and the maximum
665 one (223 $\mu\text{g m}^{-3}$) in Agia Marina (Cyprus). Our values are much higher than the corresponding ones in
666 Querol et al. (2009b), who obtained that the mean levels of mineral matter in PM_{10} during dusty days
667 range from 8 to 23 $\mu\text{g m}^{-3}$ based on ground concentrations measured at 21 Mediterranean stations.
668 These differences are reasonable since here only intense desert dust outbreaks associated with high
669 aerosol optical depths are considered. Finally, the median PM_{10} concentrations are lower compared to
670 the average ones, indicating that outliers (cases with extremely high AOD or PM_{10}) can alter the results,
671 attributed to the fact that both parameters' (AOD and PM_{10}) distributions are not Gaussians. For this
672 reason the highest differences are found in Finokalia (Crete) and Agia Marina (Cyprus), where the
673 maximum daily PM_{10} concentrations, equal to 690 and 1291 $\mu\text{g m}^{-3}$, respectively, were recorded during
674 an intense dust outbreak that affected the eastern Mediterranean on 24 and 25 February 2006.

675 4.2 2D geographical distributions of desert dust episodes' frequency and intensity

676 The mean geographical distributions of strong and extreme DD episodes' frequency of occurrence
677 (episodes yr^{-1}) are presented in Figure 6. Results are given separately as obtained from MODIS-Terra
678 and Aqua for the periods Mar. 2000 – Feb. 2013 and 2003 – 2012, corresponding to local late morning-
679 to-noon (Terra) and afternoon (Aqua) conditions, respectively. It is evident a gradual reduction of
680 frequencies from South to North, while for the strong DD episodes also appears a West to East
681 decreasing gradient. The decreasing South-to-North gradient of intense DD episodes' frequency, which
682 is also in agreement with previous studies based on ground PM measurements (Querol et al., 2009b;
683 Pey et al., 2013), model simulations (Papayannis et al., 2008; 2014) and AERONET AOD retrievals
684 (Basart et al., 2009), can be attributed to the increasing distance from the major dust sources and to the
685 higher precipitation amounts at the northern parts of the basin (e.g. Marriotti et al., 2002; Mehta and
686 Yang, 2008).

687 The maximum frequencies (9.9 episodes yr^{-1}) of strong DD episodes are observed in the western
688 parts of the study region, for both periods and datasets, while the corresponding values for the extreme
689 ones (3.3 episodes yr^{-1}) are observed over the central Mediterranean Sea for MODIS-Terra (Mar. 2000

690 – Feb. 2013). In general, there is similar spatial variability between Terra and Aqua, though slightly
691 lower maximum frequencies are found for Aqua. Although intense dust episodes occur rarely across
692 the northern parts of the study region (< 1 and 0.5 episodes yr^{-1} for strong and extreme episodes,
693 respectively), their occurrence proves that dust particles can be transported far away from their sources,
694 up to the central (e.g. Klein et al., 2010) or even northern (e.g. Bègue et al., 2012) European areas
695 under favorable meteorological conditions. Our calculated frequencies are significantly lower than the
696 corresponding ones obtained in Pey et al. (2013), who studied the African dust intrusions towards the
697 Mediterranean basin, based on ground *PM* concentrations, over the period 2001 – 2011. The observed
698 deviations between the two studies are mainly attributed to the different thresholds definition and hence
699 strength of dust episodes. Here, focus is given on the intense dust outbreaks (intensity equal/higher than
700 $Mean + 2*Std$) while in Pey et al. (2013) the dust occurrences were identified even at very low
701 concentrations ($> 1 \mu\text{g m}^{-3}$).

702 A noticeable difference between the two study periods and platforms is that relatively high
703 frequencies of extreme DD episodes are recorded in more northern latitudes in the Mediterranean Sea,
704 i.e. up to 43° N, according to MODIS-Terra over Mar. 2000 – Feb. 2013, while they are restricted
705 south of 40° N parallel for MODIS-Aqua during 2003 – 2012. In order to investigate this difference in
706 detail we have also applied the satellite algorithm, over the period 2003 – 2012, i.e. that of Aqua, using
707 MODIS-Terra retrievals as inputs. Through this analysis (Figures S5 and S6 in the supplementary
708 material), it is evident that there is a very good agreement between the satellite algorithm's outputs, for
709 the periods Mar. 2000 – Feb. 2013 and 2003 – 2012, revealing a constant dust episodes' regime.
710 Therefore, the discrepancy appeared between MODIS-Terra and MODIS-Aqua spatial distributions, is
711 attributed to the diurnal variation of factors regulating the emission and transport of dust particles from
712 the sources areas. Schepanski et al. (2009), analyzed the variation of the Saharan dust source activation
713 throughout the day, based on MSG-SEVIRI satellite retrievals, reporting that dust mobilization is more
714 intense in the local early morning hours after sunrise. Note, that desert dust episodes over the period
715 Mar. 2000 – Feb. 2013 have been identified based on observations retrieved by the Terra satellite,
716 which flies over the study region around noon in contrast to Aqua which provides aerosol
717 measurements at early afternoon hours.

718 The analysis has been also repeated (results not shown here) considering as inputs to the satellite
719 algorithm only *AODs* associated with cloud fractions lower/equal than 0.8, in order to investigate
720 possible modifications to our results due to the cloud contamination effect. As it concerns the strong
721 DD episodes, the geographical distributions are similar with those of Fig. 6, but the maximum

722 frequencies (recorded in Morocco) are higher by up to 2 episodes yr^{-1} and 0.3 episodes yr^{-1} for the
723 MODIS-Terra (Mar. 2000 – Feb. 2013) and MODIS-Aqua (2003-2012) data set, respectively. On the
724 contrary, in the case of extreme DD episodes the maximum frequencies decrease to 2.5 episodes yr^{-1}
725 for the period 2003 – 2012 and they shift southwards, namely over the northern coasts of Africa, while
726 over the central parts of the Mediterranean Sea, they are lower than 1 episode yr^{-1} .

727 The maps of intensities (in terms of AOD_{550nm}) of DD episodes (Figure 7), show that for both study
728 periods and satellite platforms, the maximum intensities are over the Gulf of Sidra and the Libyan Sea,
729 along the northern African coasts. These intensities reach $AODs$ up to about 1.5 for strong and 4.1 for
730 extreme episodes, while the minimum ones (values down to 0.25-0.46) are recorded in the northern and
731 western Mediterranean parts. Note that dissimilar spatial patterns appear between the geographical
732 distributions of DD episodes' frequency and intensity, indicating that these two features are determined
733 by different factors (e.g. tracks or strength of depressions). Finally, when the cloud contamination is
734 minimized using only $AODs$ associated with CF lower than 0.8, then the maximum intensities are
735 shifted southwards, across the northern Africa and eastern coasts of the Mediterranean, being lower
736 than 1 and 2 for strong and extreme DD episodes, respectively. Through the rejection of possibly
737 overestimated $AODs$ from the dataset, it is found that the threshold levels are decreased (mainly over
738 the most frequently dust affected areas) since both mean and standard deviation values are lower
739 (results not shown here). Nevertheless, even though these $AODs$ can be overestimated, in the majority
740 of the cases the collocated AERONET $AODs$ are high (but lower than the satellite observations)
741 indicating the occurrence of desert dust outbreaks as it has also been shown in Section 4.1.1.4.

742 The analysis has been also repeated applying the alternative METHOD-B described in Section 3.
743 Just to ensure a longer temporal coverage, this analysis was done for the period Mar. 2000 - Feb. 2013
744 using MODIS-Terra data. The obtained results for the frequency of occurrence as well as for the
745 intensity of DD episodes are depicted in Figures S7 and S8, respectively, in the supplementary
746 material. The geographical patterns for the frequency of occurrence between the two methodologies are
747 similar; however, the maximum frequencies of occurrence for the strong and extreme DD episodes can
748 reach up to 13.3 episodes yr^{-1} (Fig. S7-i) and 8.1 episodes yr^{-1} (Fig. S7-ii), respectively. As it concerns
749 the intensity, the geographical patterns, particularly for the strong DD episodes, are dissimilar and less
750 distinct compared to the corresponding ones obtained with the primary methodology. This difference is
751 attributed to the inclusion of more dust episodes with variable intensity, which leads to a not so clear
752 "signal" when all these episodes are averaged. Based on METHOD-B, the maximum intensities (in
753 terms of AOD_{550nm}) of strong DD episodes can reach up to 1 (Fig. S8-i) while for the extreme episodes

754 (Fig. S8-ii) it can be as large as 3. The main finding, based on the intercomparison of the two
755 methodologies for the identification of DD episodes, is that the frequency of the episodes is higher for
756 the METHOD-B with respect to the primary methodology, while the intensity is decreased. Both facts
757 are expected and can be explained by the lower calculated *AOD* thresholds with METHOD-B thus
758 yielding more DD episodes of lower intensity.

759

760 4.3 Vertical structure of the Mediterranean desert dust outbreaks

761 The ability of the developed satellite algorithm to detect intense dust episodes has been proved
762 adequate through the comparison analysis against AERONET retrievals and *PM₁₀* concentrations
763 (Section 4.1). Nevertheless, its main limitation is that it uses columnar satellite retrievals and not
764 vertical resolved data prohibiting thus the description of the vertical structure of these dust outbreaks.
765 In order to address this issue, the CALIOP-CALIPSO retrievals are used as a complementary tool to
766 the satellite algorithm's outputs. First, for the dust episodes identified by the satellite algorithm, the
767 spatially and temporally collocated vertically resolved CALIOP lidar observations are selected. For
768 these cases and for each 1° x 1° grid cell, we have divided the lower troposphere, up to 8 km, in 16
769 layers of 500 meters height. In this way, 14400 boxes of 1° x 1° surface area and 500 meters height
770 have been produced. Then, for each one of them, we have calculated the overall number of dust and
771 polluted dust observations (hereafter named as dust) according to the aerosol subtyping scheme of the
772 CALIOP Vertical Feature Mask (VFM). Note that dust and polluted dust were chosen because in
773 previous studies (Mielonen et al., 2009) they were shown to be the best two defined aerosol types
774 among the other ones classified by the CALIOP VFM. Nevertheless, in case of polluted dust, Burton et
775 al. (2013) reported that dust particles can be mixed with marine aerosols instead of smoke or pollution
776 as assumed by the VFM retrieval algorithm. In our study, more than 95% of the aerosol type records
777 were pure dust, for the collocated cases between the satellite algorithm and CALIPSO observations. In
778 addition, in the majority of the defined boxes, the percentage of dust from the overall observations is
779 higher than 70%, confirming furthermore the validity of the algorithm DD episodes' identification
780 procedure. This is an excellent proof of the successful identification of DD episodes by the satellite
781 algorithm, since CALIOP-CALIPSO is an independent and vertically resolved platform and database.
782 Thereby, CALIOP vertical observations were subsequently used to examine the vertical structure of
783 dust outbreaks.

784 In order to analyze the intensity of desert dust outbreaks at different altitudes in the troposphere, the
785 CALIOP data of the total backscatter coefficient at 532 nm (β_{532nm}) have been also acquired. For each
786 box, the average β_{532nm} values have been calculated from all the available CALIOP measurements (day
787 and night), for the identified intense dust episodes by the satellite algorithm. More specifically, the
788 average β_{532nm} values were calculated for the dust observations based on the CALIOP VFM associated
789 with *CAD* scores ranging from -100 to -20, as it has been proposed by Winker et al. (2013) for
790 discriminating aerosol from clouds. The selection of β_{532nm} values instead of extinction coefficients
791 ensures that incorrect lidar ratio assumptions in the CALIOP retrieval algorithm do not affect our
792 results. In the literature, it has been documented that the CALIOP lidar ratio is underestimated over the
793 northern African deserts and the surrounding areas affected by Saharan dust particles, leading to an
794 underestimation of the columnar *AOD* compared to MODIS and AERONET retrievals (Redemann et
795 al., 2012; Schuster et al., 2012). Amiridis et al. (2013) stated that an increase of the lidar ratio from 40
796 to 58 sr, along with a series of post-corrections in the CALIOP retrievals and the implementation of
797 several criteria concerning the cloud coverage and the spatial representativeness, can improve
798 substantially the agreement between MODIS-Aqua/AERONET and CALIOP observations.

799 It should be noted that in the present work, we have analyzed all the available CALIOP overpasses
800 (~ 10000) over the study region, during the period Jun. 2006 – Feb. 2013. For brevity reasons,
801 however, only the obtained results based on MODIS-Terra retrievals are presented here, since similar
802 findings are drawn for MODIS-Aqua (Jun. 2006 – Dec. 2012). Moreover, the analysis (results are not
803 shown here) has been made separately for the identified strong and extreme DD episodes without
804 revealing remarkable differences in the geometrical characteristics of dust outbreaks. Nevertheless, the
805 β_{532nm} values are higher for the extreme DD episodes being consistent with the discrimination of dust
806 episodes' intensity (in terms of *AOD*), which is applied to the satellite algorithm. In order to facilitate
807 the visualization of our results, for each column ($1^\circ \times 1^\circ$ spatial resolution) and latitudinal/longitudinal
808 zone (1° degree), we have calculated the overall number of dust observations and the associated
809 weighted averages of β_{532nm} , depending on the projection plane (latitudinal, longitudinal and columnar),
810 according to dust observations in each box. For both parameters, the analysis has been made on an
811 annual and seasonal basis and the corresponding results are discussed in Sections 4.3.1 and 4.3.2,
812 respectively.

813

814

815 *4.3.1 Annual characteristics*

816 In Figure 8, are presented the three dimensional structures of the CALIOP overall dust observations
817 (Fig. 8-i) and the associated average total backscatter coefficients at 532 nm (Fig. 8-ii), during intense
818 dust episodes conditions, over the broader Mediterranean area, for the period Jun. 2006 – Feb. 2013.
819 From the latitudinal projection in Fig. 8-i, it is evident that dust particles are mainly detected between
820 0.5 and 6 km, and more rarely up to 8 km, between the parallels 32° N and 38° N. The number of dust
821 observations is increased at higher altitudes with increasing latitudes, up to 40° N, while the altitude
822 range (thickness) where these records are detected is gradually reduced from 4 to 2 km. At northern
823 latitudes, the CALIPSO dust records are drastically reduced and are mainly observed between 1 and 4
824 km. The ascending mode of the transported mineral particles over the Mediterranean is attributed to the
825 prevailing low pressure systems, which mobilize and uplift dust particles from the source areas across
826 the Sahara Desert and the Arabian Peninsula. Dust aerosols are transported over the planetary boundary
827 layer (Hamonou et al., 1999) due to the upward movement of dry and turbid air masses (Dulac et al.,
828 1992), while the prevailing synoptic conditions determine also the spatial and temporal characteristics
829 of desert dust outbreaks over the Mediterranean (Gkikas et al., 2015).

830 In general, our results are in agreement with previous studies, based on lidar profiles, which have
831 been made in several Mediterranean sites. More specifically, Papayannis et al. (2008) found that dust
832 layers, over the EARLINET Mediterranean stations, extend from 0.5 to 10 km above mean sea level,
833 their center of mass is located between 2.5 and 3.5 km and their thickness ranges from 2.1 to 3.3 km.
834 Hamonou et al. (1999) reported that dust layers are mainly detected between 1.5 and 5 km based on
835 lidar measurements in the northwestern and northeastern Mediterranean. According to di Sarra et al.
836 (2001), who studied the Saharan dust intrusions in Lampedusa (central Mediterranean) for the period
837 May-June 1999, dust particles can be detected up to 7-8 km, which is in line with our findings for the
838 corresponding latitudinal zones (35° N - 36 ° N). Balis (2012), analyzed 33 Raman/lidar profiles of
839 Saharan dust intrusions over Thessaloniki (northern Greece), and found that the mean base and top of
840 dust layers were equal to 2.5 ± 0.9 and 4.2 ± 1.5 km, respectively.

841 As to the variation of vertical extension with longitude (Fig. 8-i), it is revealed that the base height
842 of dust layers is decreased towards the eastern parts of the study region. In the western Mediterranean,
843 the mineral particles are mainly detected between 2 and 6 km while over the central and eastern
844 Mediterranean the corresponding altitudes are equal to 0.5 and 6 km, respectively. It is well known,
845 that dust is transported over the western Mediterranean mainly in summer (e.g. Moulin et al., 1998)

846 favored by low pressure systems located over the northwestern Africa (Gkikas et al., 2015) and the
847 enhanced thermal convection, uplifting effectively dust aerosols at high altitudes in the troposphere.
848 Moreover, air masses carrying dust particles are “convected” towards higher altitudes due to the
849 existence of the Atlas Mountains Range. Therefore, the combination of strong convective processes
850 over North Africa along with topography can explain the identification of dust aerosols at higher
851 tropospheric levels over the western Mediterranean. It is the presence of mineral particles at high
852 altitudes in western Mediterranean that can explain the poor-to-moderate agreement between PM_{10}
853 concentrations and MODIS $AODs$ found in the Iberian Peninsula (Fig. 5-ii). In order to give a better
854 insight to how the dust outbreaks’ vertical extension can affect the level of agreement between
855 columnar AOD satellite retrievals and ground PM_{10} concentrations, emphasis is given at specific dust
856 events and the relevant findings will be discussed in section 4.4. In the central and eastern parts of the
857 Mediterranean basin, air masses carrying African dust aerosols travel at lower altitudes over Africa
858 because of the absence of significant topographical objects on their route, as suggested by Pey et al.
859 (2013).

860 Previous studies have shown that dust layers over the Mediterranean are characterized by a
861 multilayered structure (e.g. Hamonou et al., 1999; Mona et al., 2006; Papayannis et al., 2008). This is
862 also depicted in the longitudinal projection of Figure 8-i, where several dust layers of different base/top
863 altitudes and geometrical thicknesses are detected. In general, the base heights vary from 0.5 to 2 km,
864 the top heights from 4 to 6 km and the thicknesses from 1 to 4 km. The majority of common
865 observations between the CALIOP profiles and the identified intense DD episodes by the satellite
866 algorithm are recorded over the maritime parts of the study region (bottom map of Fig. 8-i). The
867 maximum number of CALIOP dust observations (~ 19000) is recorded along the Atlantic coasts of
868 Morocco, but high numbers (about 10000 – 15000) are also found across the northern African coasts.

869 Apart from the CALIOP dust observations, we have also analyzed the associated β_{532nm} values at
870 the defined altitude ranges in order to describe the variation of intensity of the desert dust episodes with
871 height over the Mediterranean (Fig. 8-ii). The maximum backscatter coefficients (up to $0.006 \text{ km}^{-1} \text{ sr}^{-1}$)
872 are observed below 2 km, being increased towards the southern edges ($30^\circ \text{ N} - 34^\circ \text{ N}$) of the study
873 region, close to dust source areas. This is explained by the fact that dust particles due to their coarse
874 size and large mass, are efficiently deposited and for this reason they are recorded at higher
875 concentrations near to the source areas and at low altitudes. Nevertheless, the decreasing intensity with
876 height towards the north is not so evident. Thus, high β_{532nm} values ($\sim 0.004 \text{ km}^{-1} \text{ sr}^{-1}$) are observed
877 between 2 and 4 km in the latitudinal zone extending from 35° N to 42° N . Though, the uppermost

878 altitudes where relatively high β_{532nm} values gradually decrease from 6 to 4 km, moving from South to
879 North. Any differences in the latitudinal patterns of dust observations and backscatter values (Figs 8-i
880 and 8-ii) can be explained by the fact that β_{532nm} values take into account only the dust records and not
881 the overall observations (all aerosol types).

882 The decrease of backscatter values at higher altitudes has been pointed out in previous studies
883 where lidar profiles have been analyzed over specific Mediterranean locations (e.g. Mona et al., 2006;
884 Papayannis et al., 2008). Nevertheless, it must be considered that in the aforementioned studies the
885 lidar measurements are valid above the retrieved planetary boundary layer (Matthias et al., 2004) which
886 varies depending on the location and the season (McGrath-Spangler et al., 2013). Despite the good
887 agreement, as it concerns the vertical shape of the β_{532nm} curves, between our findings and the
888 corresponding ones based on ground retrievals, in the present analysis the calculated backscatter
889 coefficients are in general higher, which is reasonable since are considered only cases of intense desert
890 dust outbreaks.

891 The longitudinal pattern of β_{532nm} profiles (Fig. 8-ii) is less distinct compared to the corresponding
892 one resulting from the latitudinal projection. Relatively high β_{532nm} values ($\sim 0.004 \text{ km}^{-1} \text{ sr}^{-1}$) are found
893 between 1 and 5 km over the western Mediterranean, while over the central and eastern parts of the
894 study region the desert dust outbreaks' intensity ($\sim 0.006 \text{ km}^{-1} \text{ sr}^{-1}$) is higher below 1.5 km. Among the
895 sub-regions, the backscatter coefficients are higher in the central and eastern Mediterranean, which is
896 also depicted in the bottom map of Fig. 8-ii. It is reminded that higher intensities of dust episodes over
897 the central and eastern Mediterranean have also been noticed based on MODIS retrievals (Figure 7).
898 From the obtained longitudinal projection, it is evident a patchy structure of the total backscatter
899 coefficient profiles, especially in the central and eastern parts, indicating the existence of several dust
900 layers of varying intensities at different altitudes into the atmosphere.

901 The three dimensional plots of Figures 8-i and 8-ii, have been also reproduced considering all the
902 available dust and polluted dust CALIOP-CALIPSO records, without taking into account the satellite
903 algorithm's outputs (intense dust outbreaks). The obtained results for the number of observations and
904 β_{532nm} are presented in Figures S9-i and S9-ii, respectively. Note, that for each studied parameter the
905 colorbar scales in Figure 8 and S9 are not identical because the number of observations for dust
906 average conditions (Fig. S9-i) is extremely larger than the corresponding one during intense dust
907 outbreaks (Fig. 8-i) while the opposite is found for the β_{532nm} values (Fig. 8-ii and Fig. S9-ii). It is
908 apparent that the latitudinal projections calculated for the intense dust outbreaks (Fig. 8-i) and for all

909 the available CALIOP dust records (Fig. S9-i) reveal different patterns. More specifically, when all
910 available CALIOP dust records are considered, it is found that dust aerosols are mainly confined
911 between 1 and 3 km in the southernmost parts of the study region while the number of observations
912 gradually decreases at higher altitudes and towards northern latitudes (Fig. S9-i). On the contrary,
913 during dust outbreaks, mineral particles are transported over the Mediterranean following an ascending
914 path, as it is depicted in the latitudinal projection of Figure 8-i. Nevertheless, it must be mentioned that
915 over the desert areas there is a full coverage (see bottom map in Fig. S9-i) when all dust CALIOP
916 records are considered in contrast to intense dust outbreaks (see bottom map in Fig. 8-i) attributed to
917 the absence of DT retrievals, used as inputs to the satellite algorithm, over bright surfaces. The
918 comparison between the longitudinal projections during intense dust outbreaks (Figure 8-i) and during
919 average dust conditions (Fig. S9-i) reveals less remarkable differences than for the latitudinal
920 projections. According to the longitudinal projection of Figure S9-i, in the western Mediterranean, dust
921 layers are confined between 1 and 5 km, while their base and top altitude both decrease down to 0.5
922 and 4 km, respectively, for increasing longitudes. In the easternmost part of the study region, dust
923 layers are mainly confined between 1 and 3 km, while its top height can reach up to 5 km. The intensity
924 of dust loads (in terms of β_{532nm}) is lower than $0.003 \text{ km}^{-1} \text{ sr}^{-1}$ regardless the projection plane for
925 average dust conditions based on CALIOP-CALIPSO lidar profiles (Fig. S9-ii). Moreover, the intensity
926 of dust loads decreases gradually with height as well as from South to North revealing a distinct pattern
927 in all projection planes in contrast to the corresponding ones found during desert dust outbreaks (Fig. 8-
928 ii).

929

930 *4.3.2 Seasonal characteristics*

931 The vertical structure of the Mediterranean desert dust outbreaks has also been analyzed separately
932 for winter, spring, summer and autumn. The seasonal three dimensional representations of the CALIOP
933 overall dust observations and the associated total backscatter coefficients are depicted in the left and
934 right column of Figure 9, respectively. It must be noted, that β_{532nm} colorbars' ranges are common,
935 among the seasons, depending on the projection plane. More specifically, the maximum limits have
936 been set to $0.012 \text{ km}^{-1} \text{ sr}^{-1}$, $0.014 \text{ km}^{-1} \text{ sr}^{-1}$ and $0.021 \text{ km}^{-1} \text{ sr}^{-1}$ for the latitudinal, longitudinal and
937 bottom map projections, respectively. It should be mentioned that β_{532nm} values can reach up to 0.045
938 $\text{ km}^{-1} \text{ sr}^{-1}$, but are associated with a very small number of dust observations.

939 The majority (85%) of dust observations is recorded in spring and summer, attributed to the
940 enhanced production rates of mineral particles and the prevailing atmospheric circulation over the
941 source areas and the Mediterranean. According to the latitudinal projections, a seasonal variability of
942 the intense Mediterranean desert dust outbreaks' geometrical characteristics is evident. Dust particles
943 are detected at higher altitudes (6-7 km) during warm seasons of the year while in winter they are
944 mainly detected below 3 km, and in autumn are recorded between 2 and 5 km. Nevertheless, it should
945 be mentioned that during these seasons only a small number of pixels (see bottom maps in Figs. 9 i-a,
946 iv-a) is available considering also that clouds prohibit the satellite observations. Note that in spring,
947 dust can be found at low tropospheric levels while in summer it is mainly observed above 1 km
948 highlighting thus the role of topography and the enhanced thermal convection. During the first half of
949 the year, the maximum dust observations are confined between the parallels 31° N and 37° N while
950 during the second one, they are shifted northwards in the latitudinal zone extending from 34° N to 40°
951 N. Similar latitudinal projections were also presented by Luo et al. (2015), for the same zonal areas of
952 the study region, who developed a new algorithm to improve CALIOP's ability to detect optically thin
953 dust layers. From the longitudinal projections as well as from the bottom maps, it is evident that the
954 maximum dust records are found in different Mediterranean sub-regions, depending on the season. The
955 geometrical characteristics, in longitudinal terms, of intense DD episodes affecting the western, central
956 and eastern parts of the Mediterranean are similar to those presented in the annual three dimensional
957 structure (Fig. 8-i) being more frequent in the eastern and central Mediterranean in winter, spring and
958 autumn and in the western and central Mediterranean in summer.

959 The seasonal patterns of β_{532nm} latitudinal projections are different than those for the dust
960 observations, while they also differ among the four seasons. The intensity of winter DD episodes is
961 stronger (up to $0.012 \text{ km}^{-1} \text{ sr}^{-1}$) below 2 km and at the southern parts of the study region. According to
962 the longitudinal and bottom map projections, these episodes take place over the central and eastern
963 Mediterranean Sea but the number of grid cells with coincident CALIOP observations and DD episodes
964 is limited. In spring, the highest β_{532nm} values (up to $0.006 \text{ km}^{-1} \text{ sr}^{-1}$) are recorded between the parallels
965 31° N and 35° N and below 2 km, although, relatively high β_{532nm} values (up to $0.004 \text{ km}^{-1} \text{ sr}^{-1}$) are
966 found up to 5 km (Fig. 9 ii-b). Moving northwards, over the Mediterranean, dust layers are mainly
967 confined between 2 and 4 km, associated with high β_{532nm} values (up to $0.004 \text{ km}^{-1} \text{ sr}^{-1}$) in the
968 latitudinal zone extending from 35° N to 43° N. The existence of these elevated dust layers, has been
969 also confirmed by model simulations through specific (Papayannis et al., 2008; 2014) or averaged
970 (Alpert et al., 2004) cross sections of dust concentrations in the central sector of the Mediterranean.

971 This is in accordance with our longitudinal projection (Fig. 9 ii-b), where β_{532nm} is high varying from
972 0.004 to 0.008 $\text{km}^{-1} \text{sr}^{-1}$ at these altitude ranges.

973 In summer, the intensity of dust episodes is smoothly decreased at higher altitudes, where dust
974 layers of considerable β_{532nm} values are also found. More specifically, the highest backscatter
975 coefficients (up to 0.008 $\text{km}^{-1} \text{sr}^{-1}$) are recorded near to the surface but also moderate values (up to
976 0.006 $\text{km}^{-1} \text{sr}^{-1}$) are observed between 2 and 5 km, particularly over the southern parts of the study
977 region (Fig. 9 iii-b). Most of these intense DD episodes occur in the western Mediterranean, where the
978 highest β_{532nm} values (up to 0.005 $\text{km}^{-1} \text{sr}^{-1}$) are recorded between 2 and 5 km. Over the central and
979 eastern Mediterranean, even higher β_{532nm} values are found (up to 0.014 $\text{km}^{-1} \text{sr}^{-1}$) but at lower altitudes
980 (< 1 km). In autumn, the majority of the grid cells of coincident CALIOP profiles and DD episodes
981 identified by the satellite algorithm are located between the parallels 33° N and 41° N. In this
982 latitudinal zone, CALIOP profiles are available over the interior parts of the Iberian Peninsula and over
983 western and central parts of the Mediterranean Sea, near to the northern African coasts. According to
984 the latitudinal projection, β_{532nm} values mainly vary from 0.002 to 0.009 $\text{km}^{-1} \text{sr}^{-1}$, revealing an
985 increasing tendency for increasing heights. On the contrary, the total backscatter coefficients do not
986 show a distinct spatial pattern on the longitudinal projection, due to the limited number of grid cells
987 participating in the calculations. Throughout the year, based on the CALIOP β_{532nm} retrievals, the DD
988 episodes are more intense (up to 0.018 $\text{km}^{-1} \text{sr}^{-1}$) in spring, when massive dust loads are transported
989 from the Sahara desert towards the central and eastern parts of the Mediterranean Sea (bottom map in
990 Fig. 9 ii-b).

991

992 4.4. Intercomparison of satellite AOD and PM_{10} concentrations for specific desert dust outbreaks

993 In Section 4.1.2, it has been shown that the agreement between the satellite algorithm's outputs and
994 PM_{10} concentrations is better in the central and eastern Mediterranean with regards to the western parts
995 (Figure 5-ii). This discrepancy has been mainly attributed to the higher altitude of dust layers' base
996 over the western sector of the study domain (Figure 8-i), in relation to the existing areal orography.
997 Here, aiming at addressing how dust layers' geometrical characteristics influence the agreement
998 between columnar AOD satellite and ground PM_{10} measurements, specific desert dust outbreaks that
999 took place over the PM_{10} stations are analyzed. These outbreaks were selected based on concurrent
1000 fulfillment of the following criteria: (i) a DD episode must be identified by the satellite algorithm at
1001 pixel level (at 1° x 1° grid cell), (ii) total PM_{10} measurement must be available at the station which lies

1002 into the geographical limits of the corresponding grid cell and (iii) CALIPSO flies across the grid cell.
1003 These criteria were met for 13 desert dust outbreaks, which took place over 9 PM_{10} stations during the
1004 period Jun. 2006 – Feb. 2013. Similarities were found among the identified cases and therefore only the
1005 results for four desert dust outbreaks of different geometrical characteristics are discussed in the present
1006 section. For each case, we have reproduced the cross sections of the β_{532nm} vertical profiles up to 8 km
1007 above sea level (a.s.l.) along the CALIOP-CALIPSO track when the satellite flies near the PM_{10} site
1008 (Figures 10-12). Moreover, the corresponding aerosol subtype profiles, acquired from the CALIOP
1009 website (http://www-calipso.larc.nasa.gov/products/lidar/browse_images/production/), are provided in
1010 the supplementary material (Figures S10-S12). Since the PM_{10} concentrations are available only as
1011 daily averages, the optimum solution would be to have the maximum number (2) of CALIOP
1012 overpasses near PM_{10} site throughout the day, in order to reduce the temporal inconsistencies between
1013 satellite vertical resolved retrievals and ground data. However, in 8 out of 13 desert dust outbreaks this
1014 was not feasible.

1015

1016 4.4.1 Case 1: 26th May 2008

1017 The first study case refers to a desert dust outbreak that took place on 26th May 2008 and affected
1018 the station Censt (Lat: 39.064, Lon: 8.457) located in southern Sardinia. At the ground, the measured
1019 mean daily total PM_{10} concentration was $19 \mu\text{g m}^{-3}$ whereas 68% (or $13 \mu\text{g m}^{-3}$) of the load consisted of
1020 dust particles indicating thus their strong presence in the lowest troposphere. Based on MODIS-Terra
1021 retrievals, representative for the whole atmospheric column and grid cell, the aerosol optical depth at
1022 550 nm was equal to 0.81. In order to investigate the vertical distribution of the dust outbreak, the cross
1023 sections of the β_{532nm} vertical profiles along CALIOP track, near the station, during daytime and
1024 nighttime have been reproduced and depicted in Figures 10-i and 10-ii, respectively. In addition, the
1025 corresponding aerosol subtype profiles are provided in Figures S10-i and S10-ii in the supplementary
1026 material. During night, the predominance of a well-developed dust layer mixed with polluted aerosols
1027 is evident (Figure S10-i) extending from surface up to 5 km a.s.l. between the parallels 33° N and 38°
1028 N, while near the station its top is lowered down to 3 km (left side of Figure 10-i). Moreover, the β_{532nm}
1029 values range mainly from 0.002 to $0.003 \text{ km}^{-1} \text{ sr}^{-1}$ without revealing remarkable variations, thus
1030 indicating a rather compact dust layer. According to the daytime CALIOP overpass (Figure 10-ii), a
1031 pure dust layer (Figure S10-ii) is confined between surface and 4 km, affecting the surrounding area of
1032 the station, while its intensity (in terms of β_{532nm}) varies slightly from 0.0015 to $0.002 \text{ km}^{-1} \text{ sr}^{-1}$.

1033 Nevertheless, due to the background solar illumination, leading thus to a lower signal-to-noise ratio
1034 (Nowottnick et al., 2015), the “borders” of the dust plume during daytime are not so distinct in contrast
1035 to nighttime. According to the obtained results, the ground-based measurements are able to capture
1036 satisfactorily the dust event when its load is equally distributed in the lowest tropospheric levels,
1037 resulting thus to a good agreement between MODIS and PM_{10} observations.

1038

1039 4.4.2 Case 2 and 3: 16th July 2008 and 12th September 2007

1040 Two dust events that affected Els Torms (NE Spain, Lat: 41.395, Lon: 0.721) and San Pablo
1041 (central Spain, Lat: 39.525, Lon: -4.353) on 16th July 2008 and 12th September 2007, respectively, are
1042 studied here. The daily averages of the total PM_{10} concentrations were equal to 16 and 30 $\mu\text{g m}^{-3}$,
1043 respectively, whereas the dust particles’ contribution (dust PM_{10}) to the total amount was zero in Els
1044 Torms and 33 % in San Pablo. On the contrary, the MODIS-Terra level 3 AOD retrievals were high and
1045 equal to 0.56 (Els Torms) and 0.64 (San Pablo), indicating the existence of dust aerosols according to
1046 the satellite algorithm’s classification method. In order to give a better insight, aiming at describing the
1047 discrepancies between MODIS-Terra AOD and PM_{10} concentrations, we have reproduced the cross
1048 sections of the total backscatter at 532 nm when CALIPSO flies, during daytime, near Els Torms
1049 (Figure 11-i) and San Pablo (Figure 11-ii). The corresponding profiles of the CALIOP aerosol
1050 classification scheme are also available in Figures S11-i and S11-ii. In Els Torms, where the dust PM_{10}
1051 concentration was zero, a dust layer (Figure S11-i) with its base at 3.5 km a.s.l. and its top at 5 km
1052 a.s.l., is recorded by the CALIOP lidar between the parallels 41° N and 43° N. The intensity of the
1053 elevated dust layer, in terms of β_{532nm} , varies from 0.002 to 0.004 $\text{km}^{-1} \text{sr}^{-1}$ (Figure 11-i). Through
1054 CALIOP lidar profiles, it is confirmed the existence of a dust layer aloft, which cannot be captured by
1055 the PM_{10} measurements in contrast to the MODIS spectroradiometer. In San Pablo, where the dust
1056 particles’ contribution to the total PM_{10} load was equal to 33 %, a dust layer abuts the ground extending
1057 up to 5-6 km a.s.l., whereas the dust plume covers a wide range, in latitudinal terms, from the sub-Sahel
1058 to the Celtic Sea, affecting the Iberian Peninsula (Figure S11-ii). Nevertheless, the intensity of the dust
1059 layer, over the surrounding area of the station, differs with altitude being higher between 2.5 and 5 km
1060 a.s.l. (0.004 to 0.007 $\text{km}^{-1} \text{sr}^{-1}$) and lower between ground and 2 km a.s.l. ($< 0.003 \text{ km}^{-1} \text{sr}^{-1}$), as
1061 depicted in the middle of Figure 11-ii. The two studied cases here differ from Case 1 (Section 4.4.1)
1062 either with regards to the position of the elevated dust layer (Els Torms) or to its vertical distribution

1063 (San Pablo), which explains the poor agreement between satellite columnar *AOD* retrievals (MODIS)
1064 and ground *PM*₁₀ concentrations.

1065

1066 4.4.3 Case 4: 25th February 2007

1067 The case studied here, namely the desert dust outbreak recorded in Agia Marina (Cyprus, Lat:
1068 35.039, Lon: 33.058) on 25th February 2007, is the strongest one among the selected cases. More
1069 specifically, the daily average of the dust *PM*₁₀ concentration was equal to 134 µg m⁻³ accounting for
1070 the 92 % of the total *PM*₁₀ measured amount at the station, which is indicative of the strong
1071 predominance of dust particles in the lowest troposphere. The MODIS-Terra level 3 *AOD* value for the
1072 grid cell to which the station belongs to is high and equal to 1.04. According to the CALIOP aerosol
1073 classification scheme, during nighttime, a shallow low-elevated dust layer mixed with polluted or
1074 marine aerosols is heading towards the station, whereas above the *PM*₁₀ site (Agia Marina) it extends
1075 from close to the ground up to 9 km a.s.l., comprising mainly pure dust aerosols (Figure S12). The
1076 main part of the dust layer, in the surrounding area of the station, is confined between 2.5 and 4 km
1077 a.s.l. where the maximum β_{532nm} values (up to 0.006 km⁻¹ sr⁻¹) are observed (Figure 12). Also, similar
1078 β_{532nm} values are recorded below 1 km a.s.l.; however, the dust layer is not well represented in the cross
1079 section of the CALIOP β_{532nm} vertical profiles due to the total attenuation of the lidar beam by clouds
1080 (located between 3 and 4 km a.s.l.) superimposed to the low-elevated dust layer.

1081

1082 5. Summary and conclusions

1083 This study aims at describing the vertical structure of intense desert dust outbreaks affecting the
1084 broader Mediterranean basin. To achieve this target, an updated version of an objective and dynamic
1085 algorithm, which has been introduced by Gkikas et al. (2009; 2013), has been applied for the
1086 identification of strong and extreme desert dust episodes, over the period Mar. 2000 – Feb. 2013. For
1087 its operation, a group of optical properties, retrieved by satellite sensors (MODIS-Terra/Aqua, EP-
1088 TOMS and OMI-Aura) on a daily basis, is used, providing information about aerosols' load, size and
1089 nature. Briefly, the satellite algorithm consists of three steps; at the first one are computed the mean
1090 *AOD* value (*Mean*) and the associated standard deviation (*Std*) for the whole study period in each grid
1091 cell of 1° x 1° spatial resolution, at the second one the identified aerosol episodes are classified based
1092 on their intensity into strong and extreme ones. Finally, at the third step the desert dust episodes are

1093 identified among these, separately over land and sea. Through this approach the selected dataset
1094 consists only of intense desert dust episodes since their intensity (expressed in terms of AOD_{550nm}) is
1095 higher/equal than/to $Mean + 2*Std$. The DD episodes have also been determined by applying an
1096 alternative second methodology (METHOD-B), which excludes dust-affected cases identified based on
1097 the criteria set concerning the aerosol size/nature related optical properties.

1098 Through the comparison of the default version of the satellite algorithm against surface
1099 measurements derived from 109 AERONET and 22 PM_{10} stations, it is found that:

1100 AERONET

- 1101 ➤ The correlation coefficient between MODIS and AERONET $AODs$ is increased from 0.505 to
1102 0.750 when level 3 grid cells with higher sub-grid spatial representativeness and homogeneity
1103 are considered.
- 1104 ➤ According to the AERONET volume size distributions, the predominance of the coarse mode is
1105 evident with a peak ($\sim 0.25 \mu m^3 \mu m^{-2}$) for particles radii between 1.70 and 2.24 μm , in case of
1106 intense DD episodes.
- 1107 ➤ The appropriateness of DD episodes' identification method applied to the satellite algorithm is
1108 confirmed since the majority ($> 75\%$) of AERONET $\alpha_{440-870nm}$ and r_{eff} values are lower than
1109 0.54 and higher than 0.55 μm , respectively.
- 1110 ➤ About 15% of the pixel level intense DD episodes are misclassified by the satellite algorithm
1111 and these drawbacks are encountered in AERONET stations where the aerosol load is
1112 dominated either by fine particles or by complex aerosol types.

1113 PM_{10} and dust contribution

- 1114 ➤ The agreement between surface and satellite measurements is better over the central and eastern
1115 Mediterranean stations.
- 1116 ➤ On a station level, the percentage of the intense DD episodes, for which a dust contribution to
1117 PM_{10} surface concentration has been recorded, varies from 68% (Monagrega, northeastern
1118 Spain) to 97% (Bocadifalco, Sicily).
- 1119 ➤ In the majority of stations, dust particles contribute more than 50% of the total amount reaching
1120 up to 86.8% (Agia Marina, Cyprus).
- 1121 ➤ The mean PM_{10} concentration levels mainly vary from 20 to 50 $\mu g m^{-3}$ reaching up to 223 μg
1122 m^{-3} in Agia Marina (Cyprus).

1123 Based on the satellite algorithm's outputs, an overall view about the regime of Mediterranean desert
1124 dust outbreaks is presented for the periods Mar. 2000 – Feb. 2013 (MODIS-Terra) and 2003-2012
1125 (MODIS-Aqua). The main findings concerning the intense DD episodes' frequency (in terms of
1126 episodes yr⁻¹) and intensity (in terms of *AOD* at 550nm) are the following:

- 1127 ➤ Strong DD episodes occur more frequently (up to 9.9 episodes yr⁻¹) in the western
1128 Mediterranean while the extreme ones occur more frequently (up to 3.3 episodes yr⁻¹) over the
1129 central parts of the Mediterranean Sea, when the satellite algorithm operates with MODIS-Terra
1130 retrievals.
- 1131 ➤ The intensity of strong and extreme DD episodes, in *AOD* terms, can reach to 1.5 and 3-4,
1132 respectively, over the central and eastern parts of the Mediterranean Sea, near off the northern
1133 African coasts.
- 1134 ➤ Slightly lower frequencies and higher intensities are found for the period 2003-2012, when the
1135 satellite algorithm operates with MODIS-Aqua retrievals.
- 1136 ➤ Through the intercomparison between the two applied methodologies, it is revealed that the
1137 geographical patterns of frequency of occurrence are similar both for strong and extreme DD
1138 episodes; however, higher frequencies are found based on METHOD-B.
- 1139 ➤ Based on METHOD-B, the DD episodes' intensities are decreased whereas the geographical
1140 patterns for the strong DD episodes are not so distinct compared to the corresponding results
1141 obtained by the default version of the satellite algorithm.
- 1142 ➤ The similarity between the outputs of the algorithm using the two methodologies shows the
1143 consistency of the algorithm and the validity of its concept.

1144
1145 In order to describe the vertical structure of the intense Mediterranean dust outbreaks, the CALIOP
1146 vertical profiles of aerosol subtyping and total backscatter coefficient at 532 nm, are used as a
1147 complementary tool to the identified intense DD episodes by the satellite algorithm. Through this
1148 synergistic approach it is found that:

- 1149 ➤ Dust particles are mainly detected between 0.5 and 6 km, following an ascending mode, up to
1150 40° N, leaving from the source areas and transported towards the Mediterranean.
- 1151 ➤ Over the western Mediterranean, the dust layers are mainly observed between 2 and 6 km while
1152 their base height is decreased down to 0.5 km for increasing longitudes.
- 1153 ➤ During the warm period of the year, dust particles are uplifted at higher altitudes (up to 8 km).

- 1154 ➤ In summer, the transported dust loads over the western Mediterranean are recorded above 1 km
1155 and in spring at lower altitudes over the central and eastern parts of the study region. This
1156 behavior underlies the role of topography (e.g. Atlas Mountains) and the enhanced thermal
1157 convection.
- 1158 ➤ The intensity of dust outbreaks, in terms of β_{532nm} , is maximized (up to $0.006 \text{ km}^{-1} \text{ sr}^{-1}$) below 2
1159 km and at the southern parts ($30^\circ \text{ N} - 34^\circ \text{ N}$) of the study region.
- 1160 ➤ In spring, considerably high β_{532nm} values ($\sim 0.004 \text{ km}^{-1} \text{ sr}^{-1}$) are observed between 2 and 4 km
1161 in the latitudinal zone extending from 35° N to 42° N .
- 1162 ➤ Moderate-to-high β_{532nm} values are observed up to 6 km, near to the source areas, while the top
1163 of dust layers is gradually decreased down to 4 km towards northern latitudes.
- 1164 ➤ From the longitudinal projection of β_{532nm} , it is evident that DD episodes are more intense (\sim
1165 $0.004 \text{ km}^{-1} \text{ sr}^{-1}$) between 1 and 5 km in the western Mediterranean, while over the central and
1166 eastern sectors, the maximum intensities ($\sim 0.006 \text{ km}^{-1} \text{ sr}^{-1}$) are recorded below 1.5 km.
- 1167 ➤ On a seasonal basis, DD episodes are found to be more intense (up to $0.018 \text{ km}^{-1} \text{ sr}^{-1}$) in spring,
1168 when dust is transported towards the central and eastern parts of the Mediterranean region.

1169 At the last part of the present study, it is investigated how the desert dust outbreaks' vertical
1170 distribution can affect the level of agreement between columnar satellite *AOD* retrievals (MODIS) and
1171 ground *PM*₁₀ concentrations. For this purpose, four intense Mediterranean desert dust outbreaks of
1172 different geometrical characteristics that took place across the Mediterranean, namely in Spain
1173 (western), Italy (central) and Cyprus (eastern), are studied when satellite algorithm's outputs, ground
1174 *PM*₁₀ concentrations and CALIOP-CALIPSO lidar profiles are available concurrently. Our analysis
1175 clearly shows that when a well-developed and compact dust layer is located in the lowest tropospheric
1176 levels, then the level of agreement between MODIS-*PM*₁₀ is high. On the contrary, when the dust layer
1177 is aloft or its load is not equally distributed in vertical, then a poor agreement between MODIS-*PM*₁₀ is
1178 found.

1179 This study attempts to highlight the importance of the synergistic use of active and passive satellite
1180 observations and the usage of surface-based measurements, targeting to the representation of the 3D
1181 structure of dust outbreaks and the description of their spatial and temporal features. For this reason,
1182 the further development of the satellite algorithm is an ongoing process by our group, aiming at
1183 extending the study domain from regional to global scale, considering the latest version of MODIS
1184 retrievals (Collection 006) as well as the Deep Blue Algorithm retrievals, available over the major dust
1185 sources of the planet.

1186

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1207

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1705 **Table 1:** AERONET stations, depicted with cyan colors in Figure 1, used for the identification of desert dust (DD) episodes
 1706 based on ground retrievals.

Stations	Latitude	Longitude	Study period
Blida	N 36° 30' 28"	E 02° 52' 51"	7 Nov. 2003 – 18 Feb. 2012
El Arenosillo	N 37° 06' 18"	W 06° 43' 58"	1 Mar. 2000 – 21 Feb. 2010
Evora	N 38° 34' 04"	W 07° 54' 43"	4 Jul. 2003 – 28 Feb. 2013
FORTH CRETE	N 35° 19' 58"	E 25° 16' 55"	23 Jan. 2003 – 6 Aug. 2011
IMC Oristano	N 39° 54' 36"	E 08° 30' 00"	30 May 2000 – 28 Feb. 2003
IMS METU Erdemli	N 36° 33' 54"	E 34° 15' 18"	1 Mar. 2000 – 28 Feb. 2013
Nes Ziona	N 31° 55' 19"	E 34° 47' 20"	1 Feb. 2000 – 28 Feb. 2013

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1708 **Table 2:** Percentages of the satellite Ångström exponent, Fine fraction, Effective Radius and Aerosol Index retrievals
 1709 satisfying the defined thresholds in the satellite algorithm for the identification of desert dust episodes.

Parameter	Valid	Invalid	Number of DD episodes
Ångström exponent	97.8%	2.2%	232
Fine fraction	98.7%	1.3%	232
Effective radius	94.5%	5.5%	117
Aerosol Index	86.9%	13.1%	206

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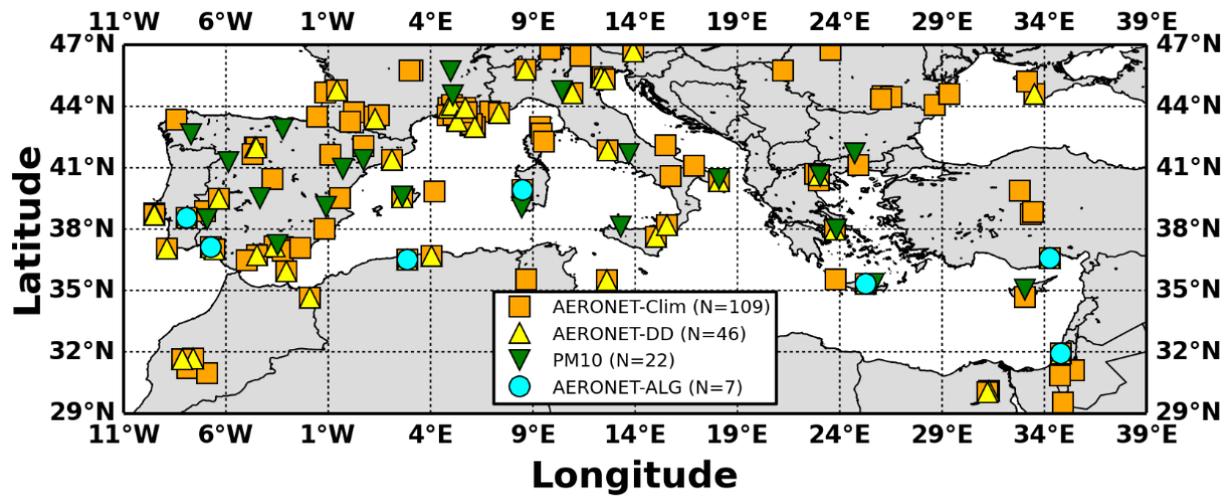
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1718 **Figure 1:** Locations of the AERONET and PM_{10} stations that have been used for the evaluation of the algorithm's outputs.
 1719 More specifically, with orange squares are denoted the AERONET stations located into the study region, with the yellow
 1720 triangles, the AERONET stations with coincident satellite and ground retrievals under dust episodes conditions, with the
 1721 cyan circles, the AERONET stations which have been used for the evaluation of the defined algorithm thresholds, and with
 1722 the green triangles are depicted the PM_{10} stations.

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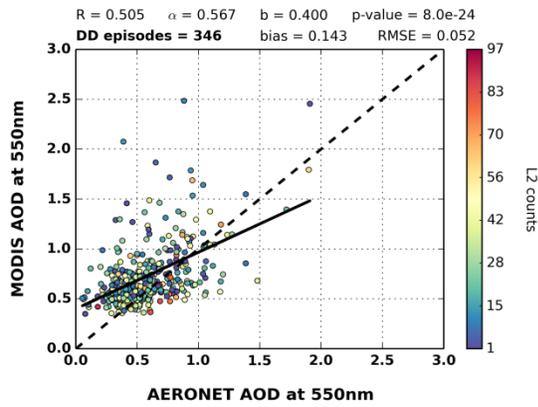
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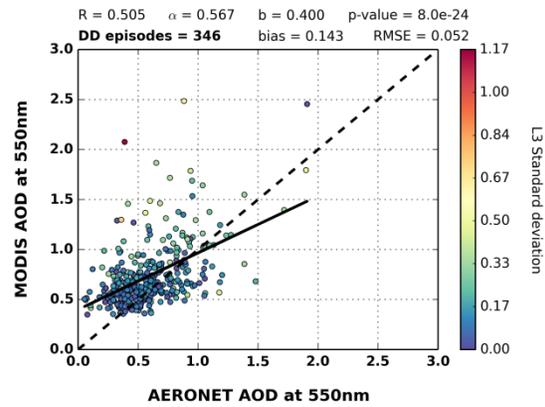
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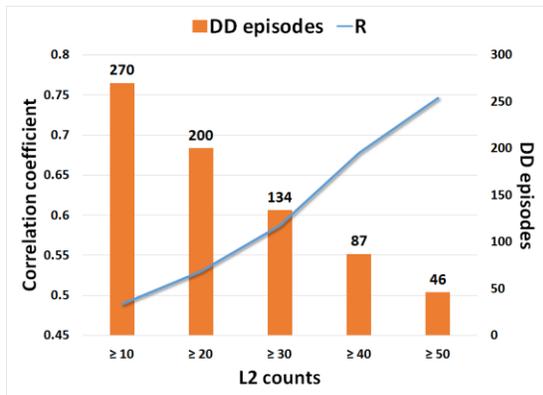
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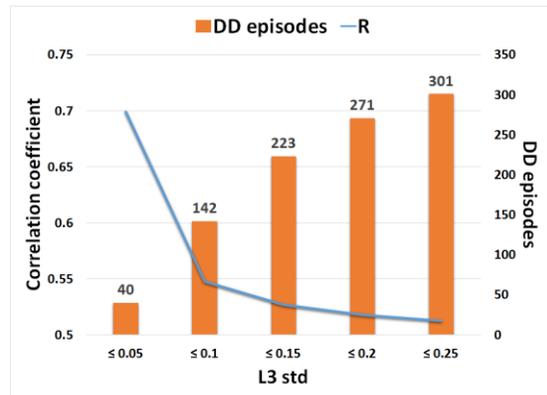
(i-a)



(i-b)



(ii-a)



(ii-b)

1732 **Figure 2:** (i) Scatterplots between MODIS-Terra and AERONET aerosol optical depths at 550 nm under intense desert dust
 1733 episodes conditions related to the: (a) number of level-2 counts which are used for the calculation of the level-3 retrievals
 1734 and (b) spatial standard deviation inside the $1^\circ \times 1^\circ$ grid cells (level-3 retrievals). (ii) Sensitivity analysis for the calculated
 1735 correlation coefficients between satellite and ground AODs, depending on the: (a) number of level-2 retrievals and (b) sub-
 1736 grid standard deviation of level-3 retrievals.

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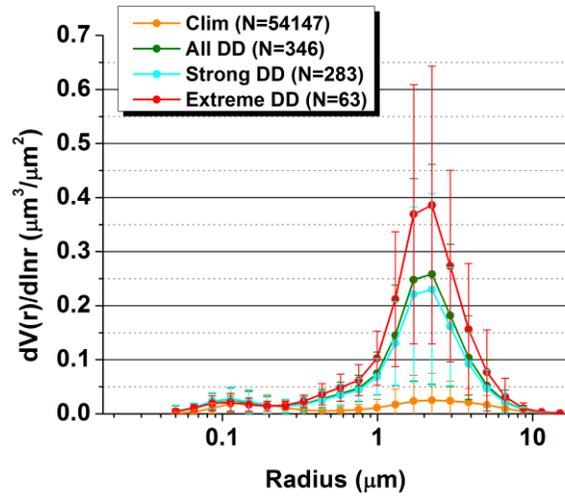
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1748 **Figure 3:** AERONET size distributions averaged over all available retrievals (orange curve) as well as over the total (green
 1749 curve), strong (cyan curve) and extreme (red curve) desert dust episodes that occurred over the broader area of the
 1750 Mediterranean basin, during the period Mar. 2000 – Feb. 2013. The error bars represent the calculated standard deviations.

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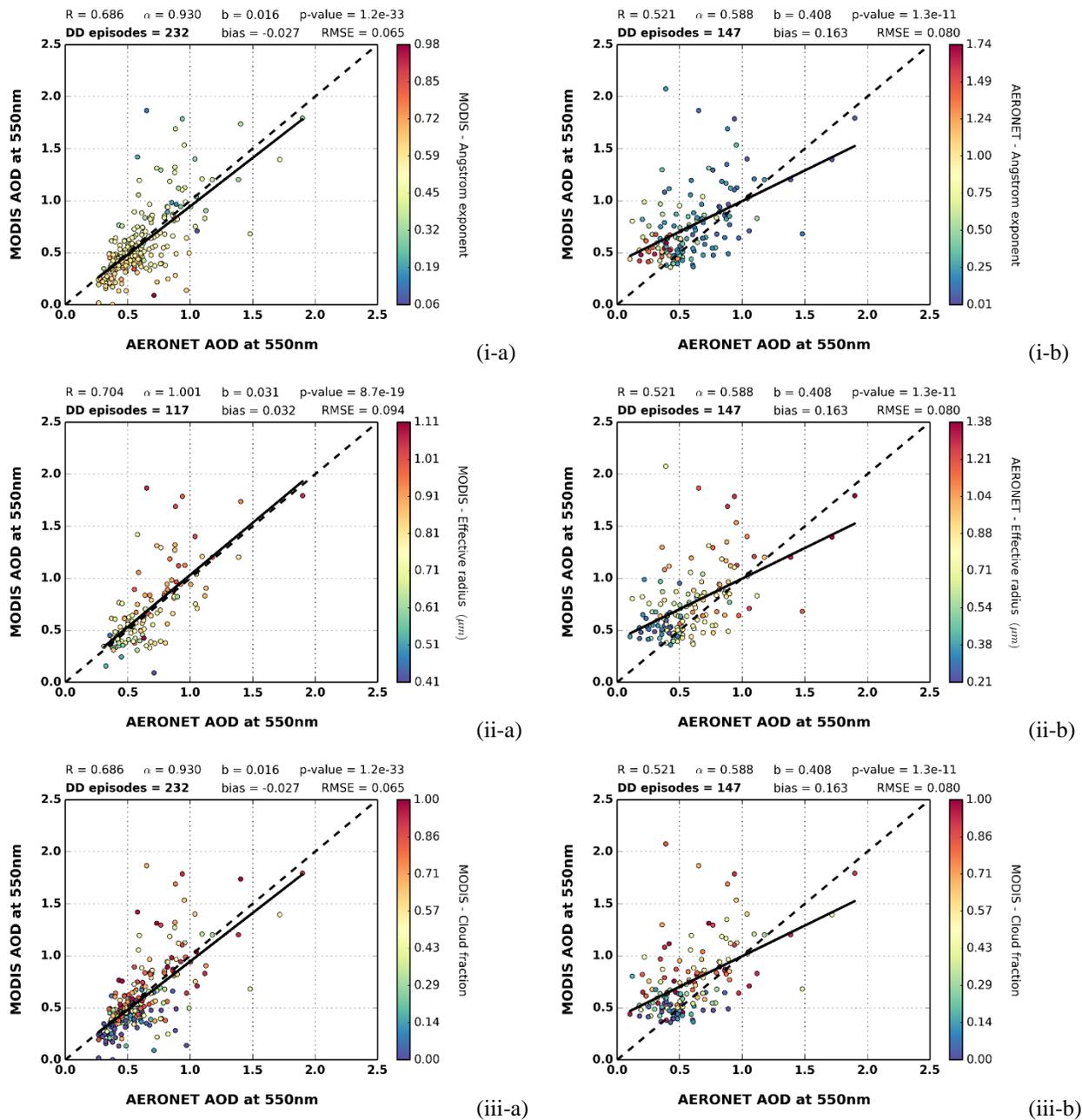
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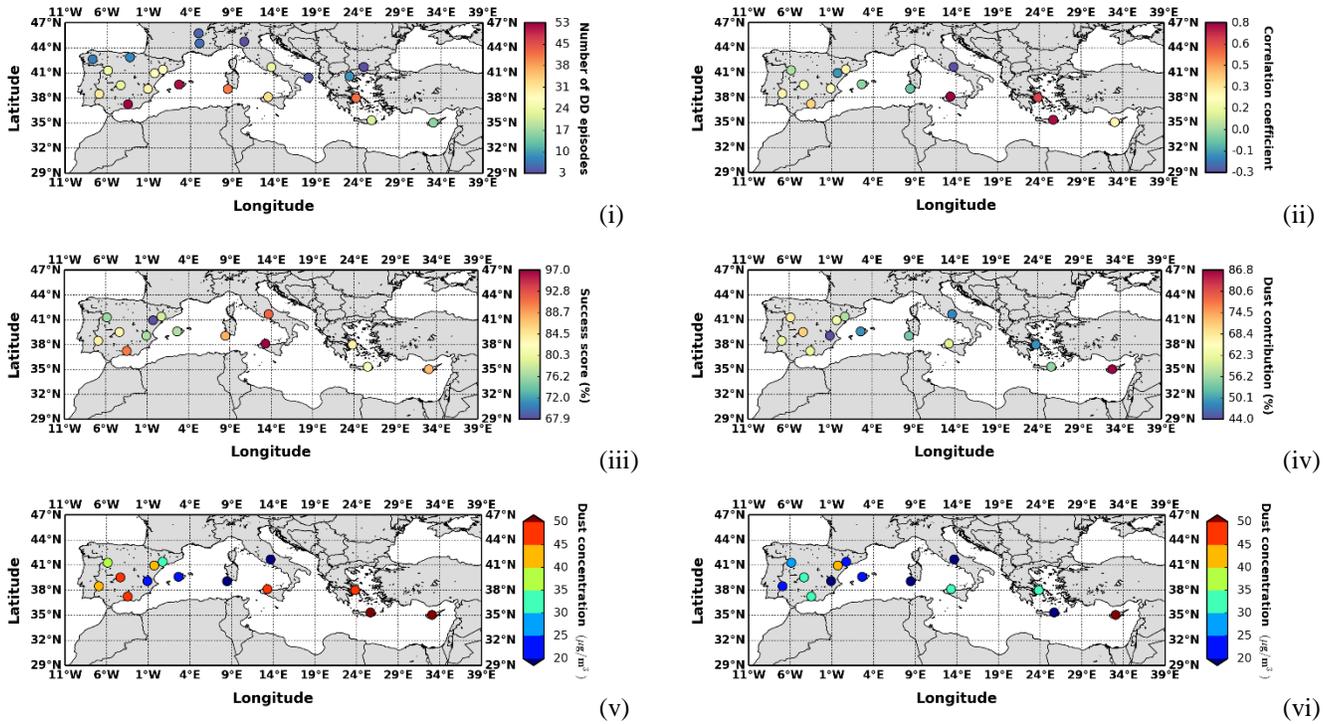
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1767 **Figure 4:** Scatterplots of MODIS-Terra and AERONET aerosol optical depths at 550 nm when intense dust episodes have
 1768 been identified based on: (a) AERONET retrievals and (b) satellite algorithm, respectively. In the left column, colormaps
 1769 indicate the corresponding values of: (i) Ångström exponent, (ii) Effective radius and (iii) Day cloud fraction derived by
 1770 MODIS-Terra retrievals. In the right column, colormaps indicate the corresponding values of: (i) AERONET Ångström
 1771 exponent, (ii) AERONET Effective radius and (iii) MODIS day cloud fraction retrievals. For each scatterplot, are provided
 1772 the correlation coefficient (R), slope (α), intercept (b), p-value, number of DD episodes, bias (MODIS – AERONET) and
 1773 root mean square error ($RMSE$).

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1776 **Figure 5:** (i) Number of concurrent intense DD episodes where total PM_{10} concentrations and MODIS-Terra AOD retrievals
 1777 are available, (ii) Computed correlation coefficient values between total PM_{10} concentrations and MODIS-Terra AOD
 1778 retrievals in stations where at least 10 DD episodes have been recorded, (iii) Percentage of intense DD episodes where dust
 1779 particles have been identified by the ground stations, (iv) Dust contribution percentages (%) to the total PM_{10}
 1780 concentrations, (v) Calculated mean and (vi) median dust concentrations ($\mu\text{g m}^{-3}$), based on ground measurements for the
 1781 identified intense DD episodes by the satellite algorithm.

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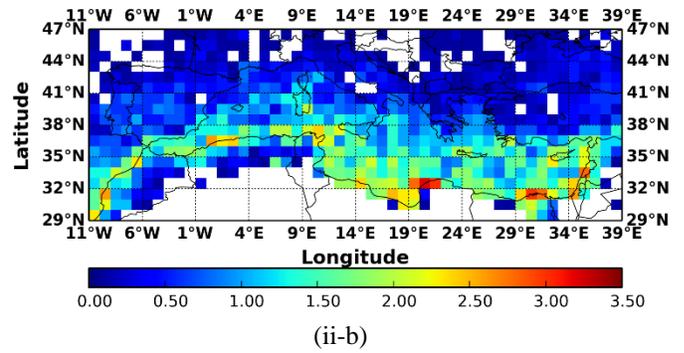
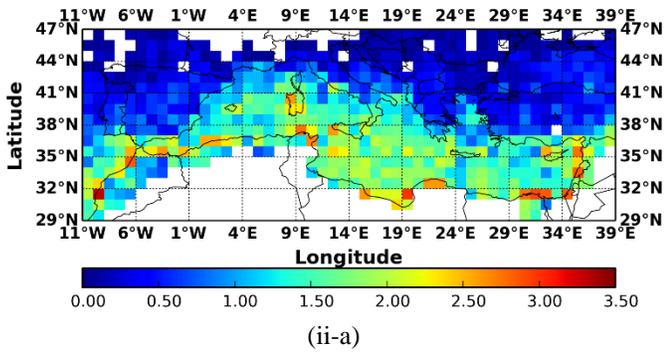
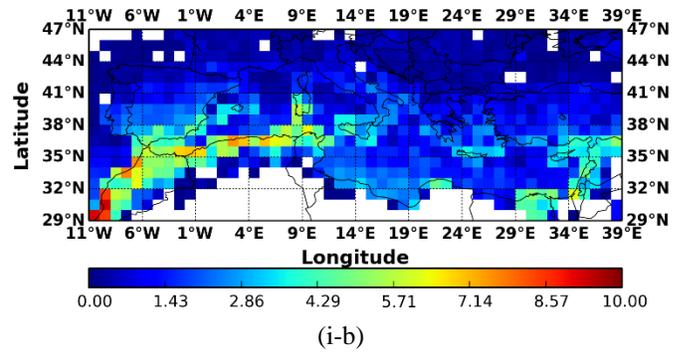
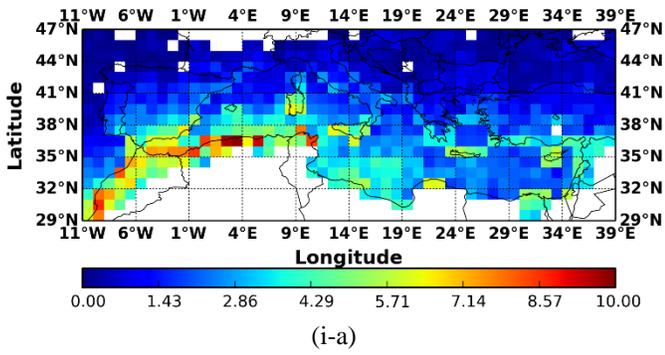
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1793 **Figure 6:** Geographical distributions of the occurrence frequency (episodes yr⁻¹) of: (i) strong and (ii) extreme desert dust
 1794 episodes, averaged over the periods: (a) Mar. 2000 – Feb. 2013 (MODIS-Terra) and (b) 2003 – 2012 (MODIS-Aqua), over
 1795 the broader area of the Mediterranean basin.

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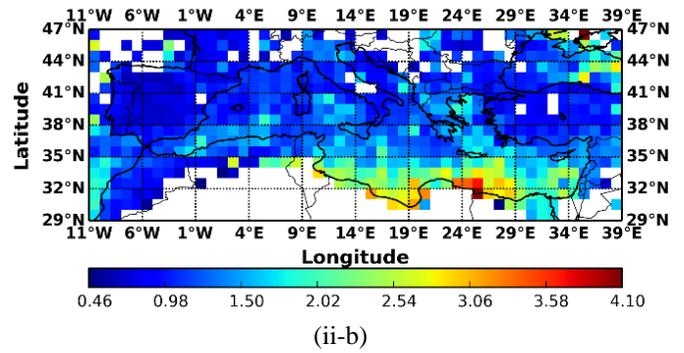
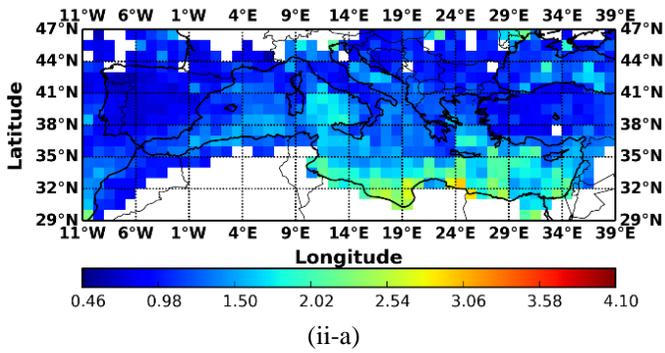
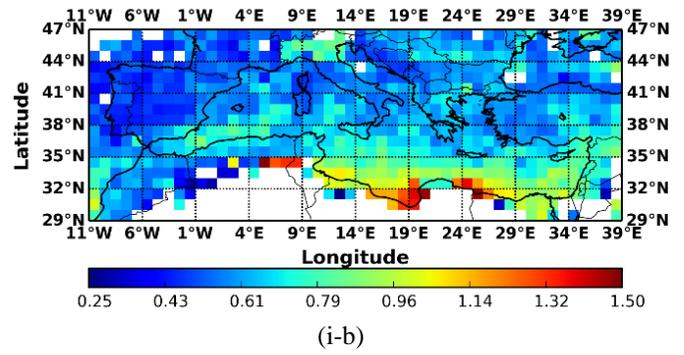
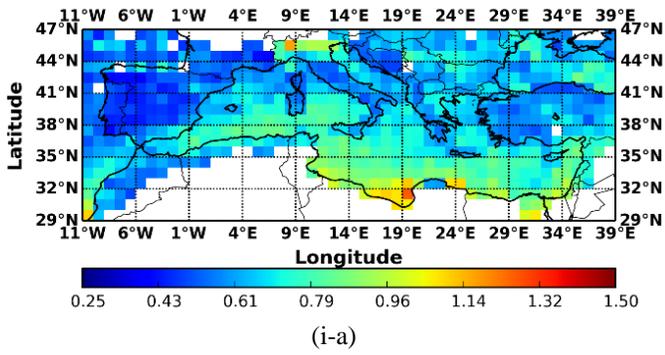
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1805 **Figure 7:** Geographical distributions of the intensity (in terms of AOD_{550nm}) of: (i) strong and (ii) extreme desert dust
 1806 episodes, averaged over the periods: (a) Mar. 2000 – Feb. 2013 (MODIS-Terra) and (b) 2003 – 2012 (MODIS-Aqua), over
 1807 the broader area of the Mediterranean basin.

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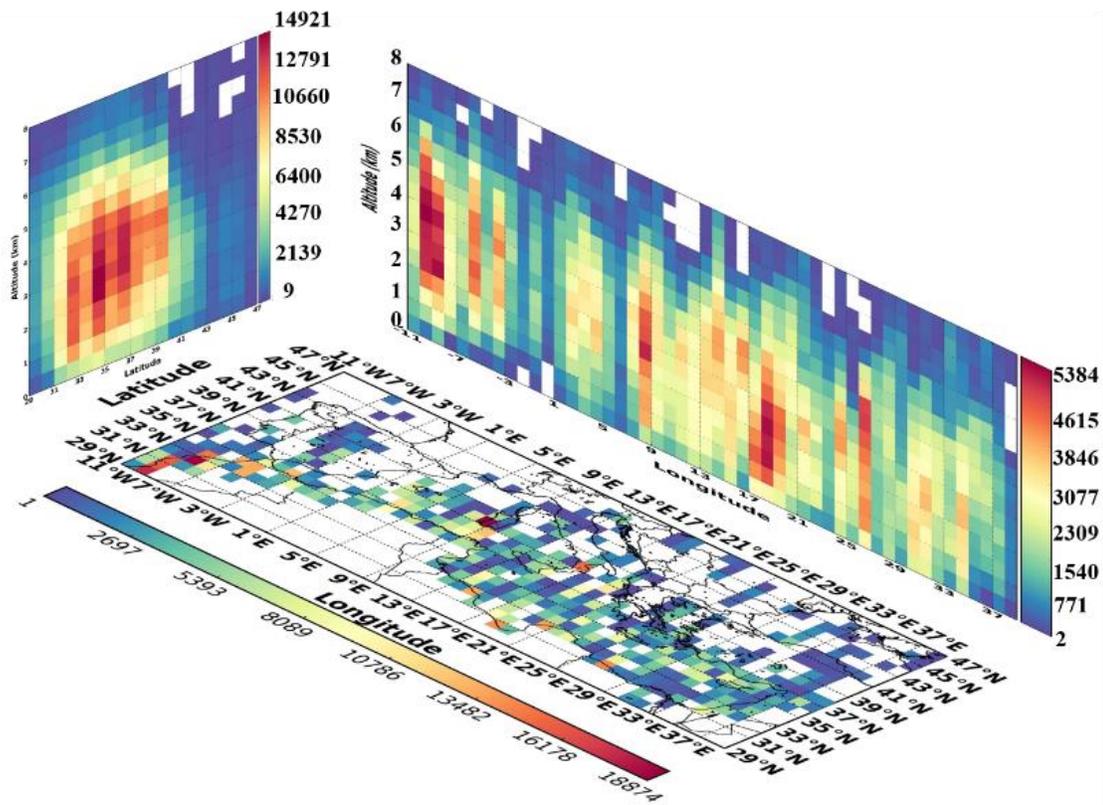
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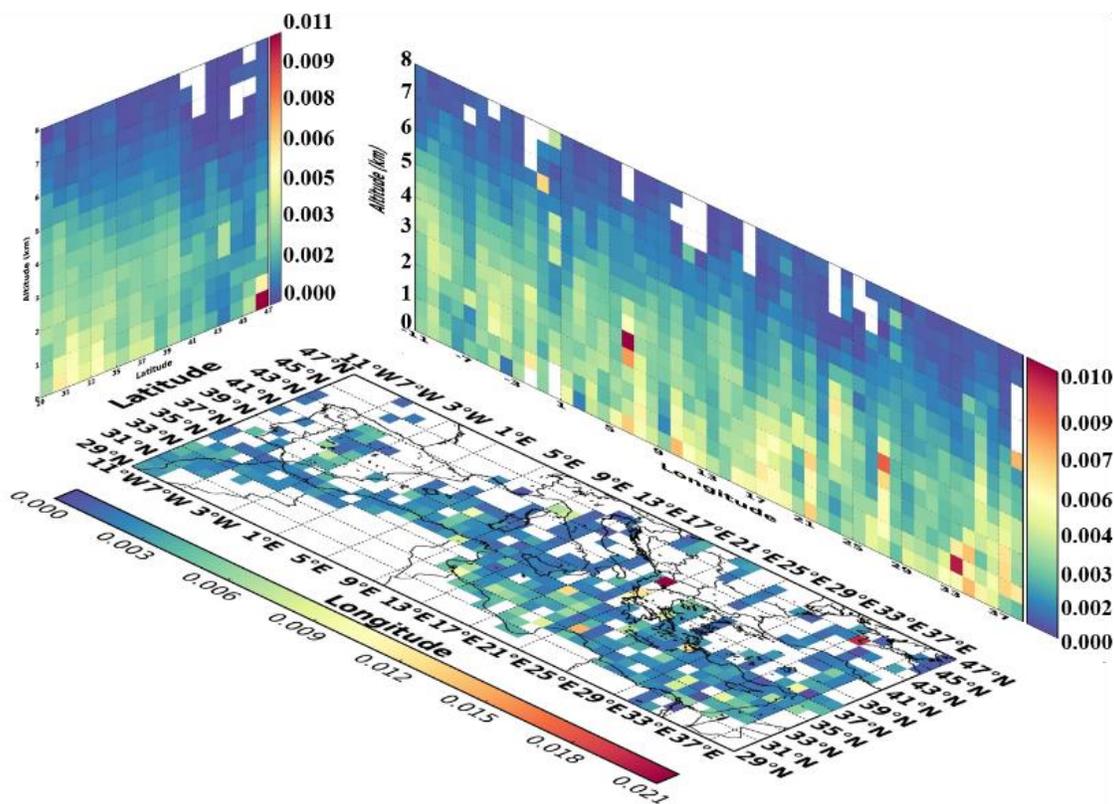
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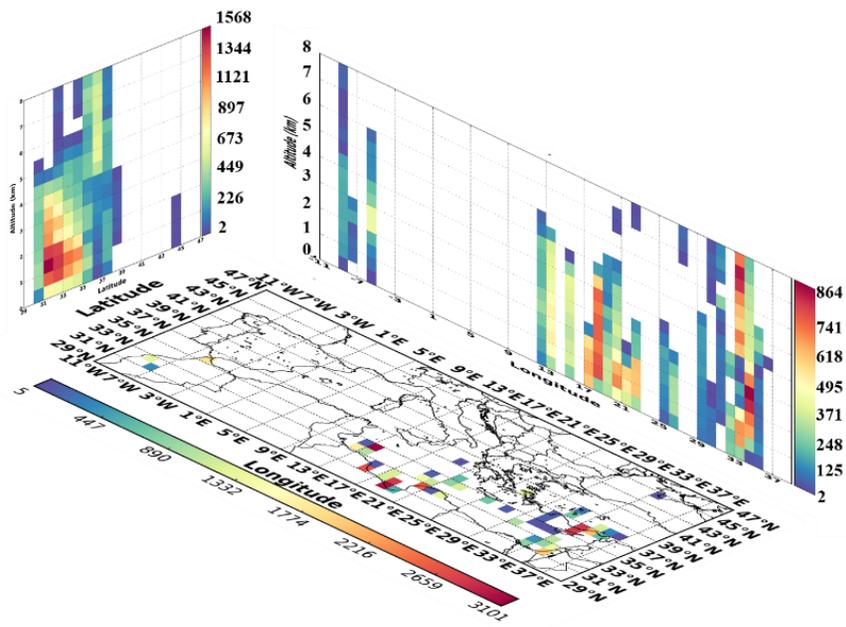


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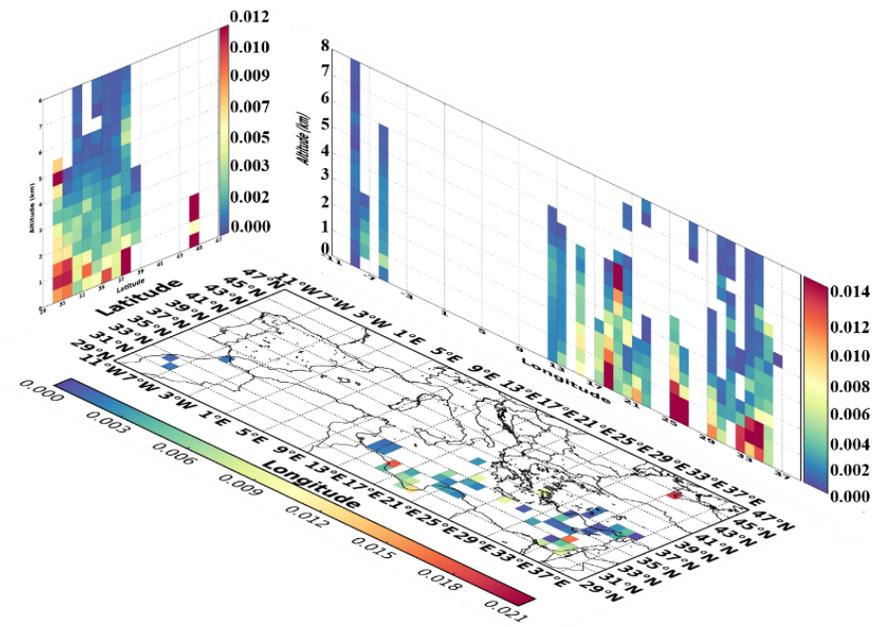


(ii)

Figure 8: Three dimensional structure of the: (i) overall number of dust and polluted dust observations and (ii) total backscatter coefficient at 532 nm (in $\text{km}^{-1} \text{sr}^{-1}$), over the broader Mediterranean basin under DD episodes conditions, based on CALIOP-CALIPSO vertically resolved retrievals for the period Jun. 2006 – Feb. 2013.

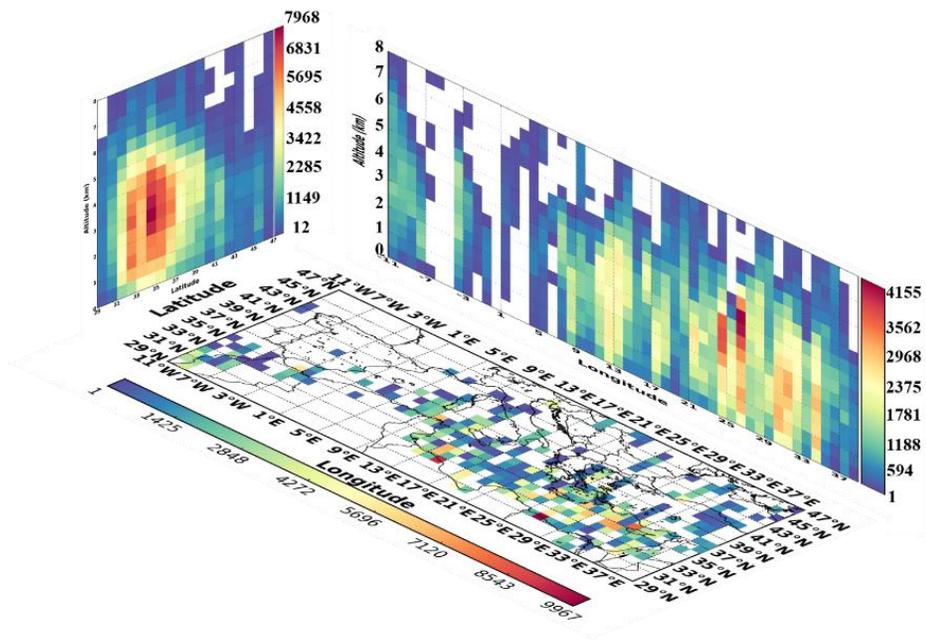


(i-a)

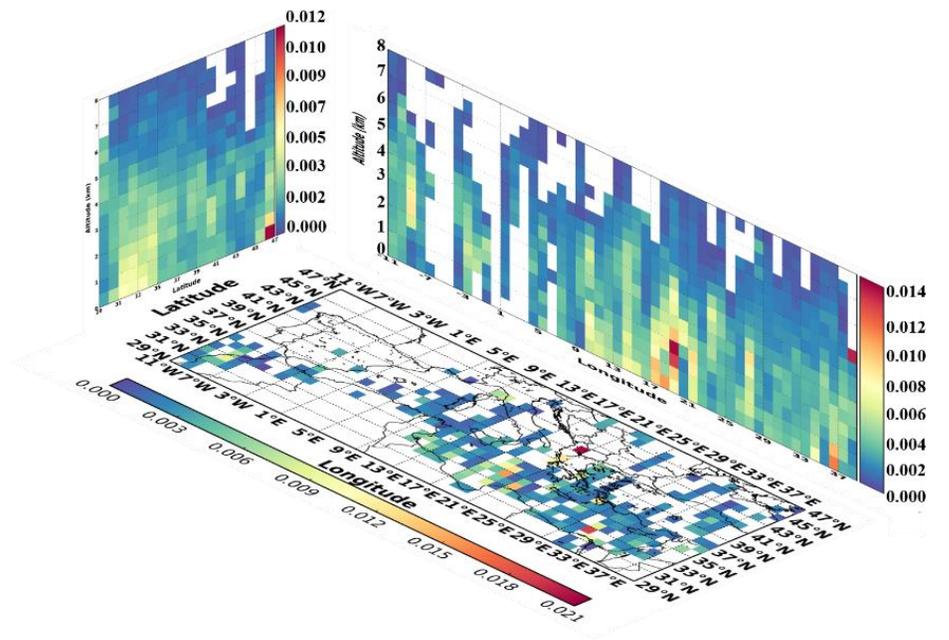


(i-b)

Figure 9: Three dimensional representation of the: (a) overall number of dust and polluted dust observations and (b) total backscatter coefficient at 532 nm (in $\text{km}^{-1} \text{sr}^{-1}$), over the broader Mediterranean basin, under DD episodes conditions, for: (i) winter, (ii) spring, (iii) summer and (iv) autumn based on CALIOP-CALIPSO vertically resolved retrievals, over the period Jun. 2006 – Feb. 2013.

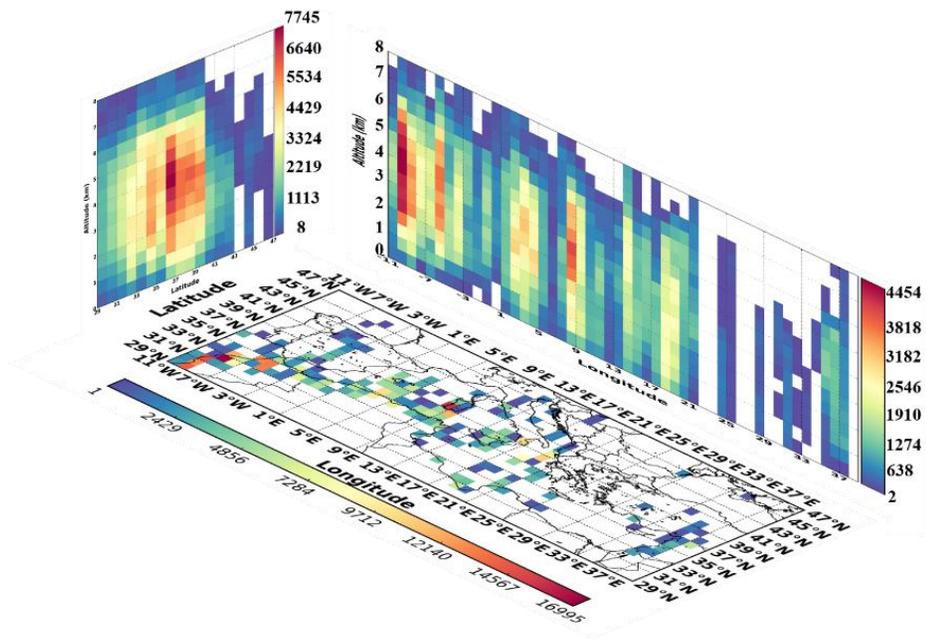


(ii-a)

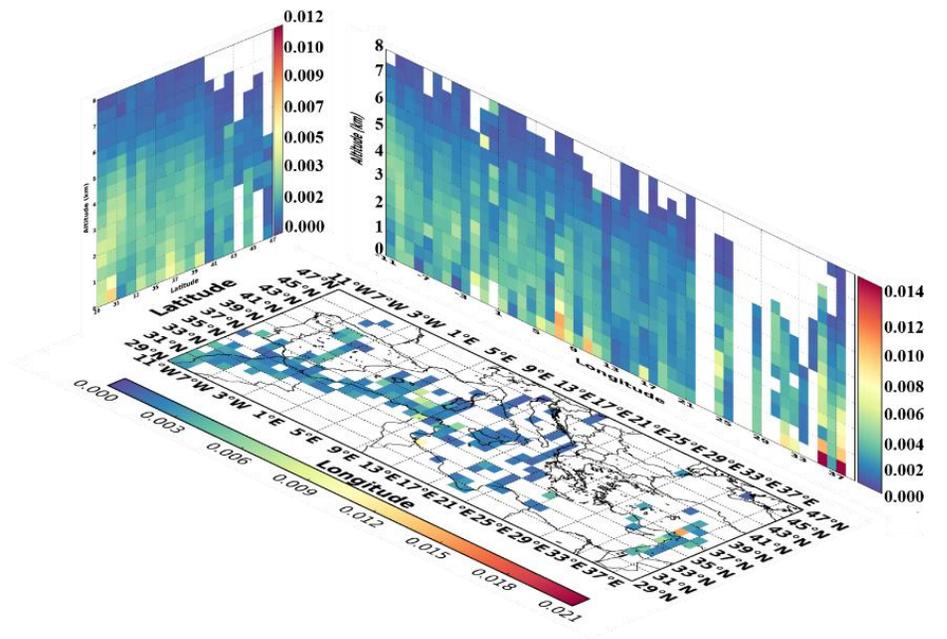


(ii-b)

Figure 9: Continued.

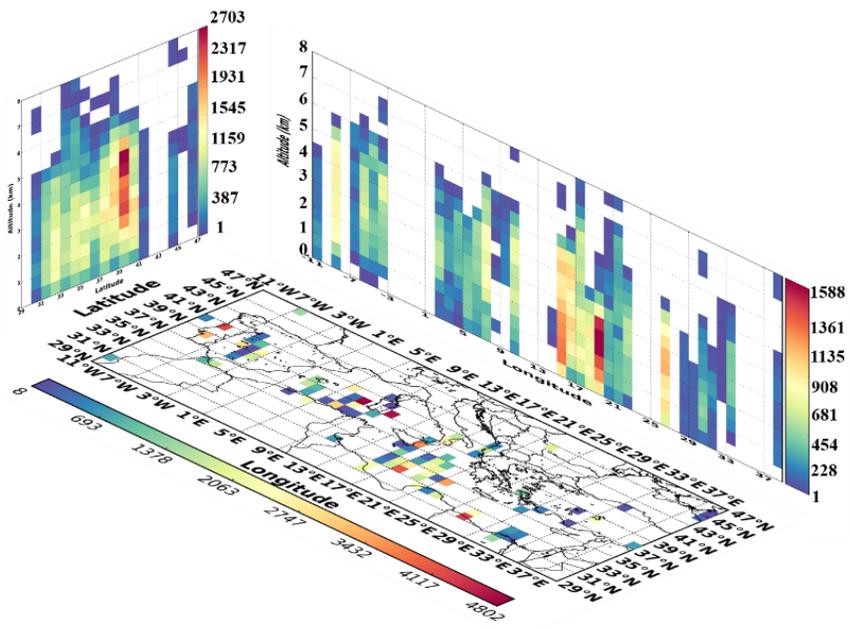


(iii-a)

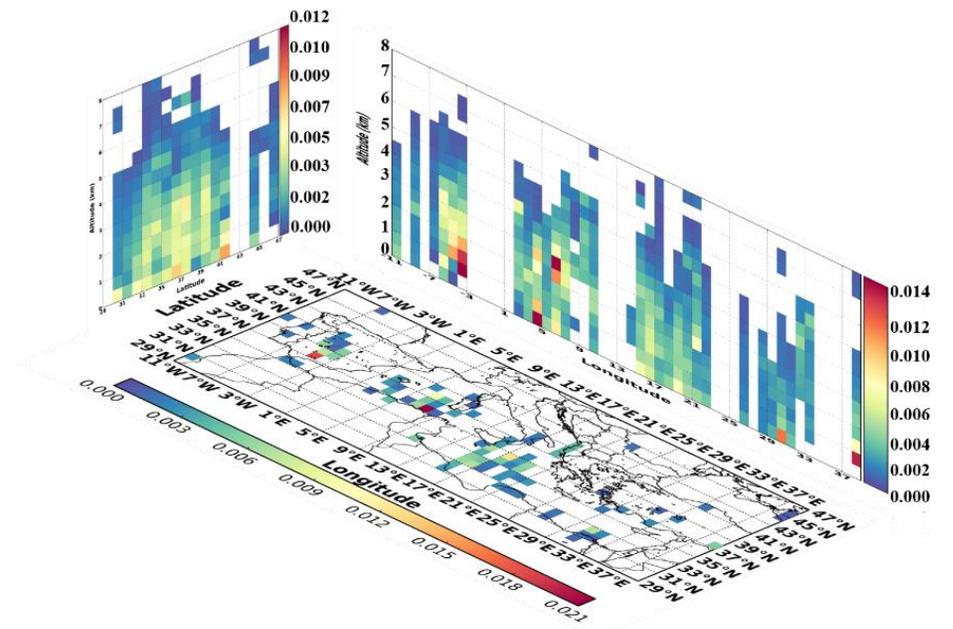


(iii-b)

Figure 9: Continued.

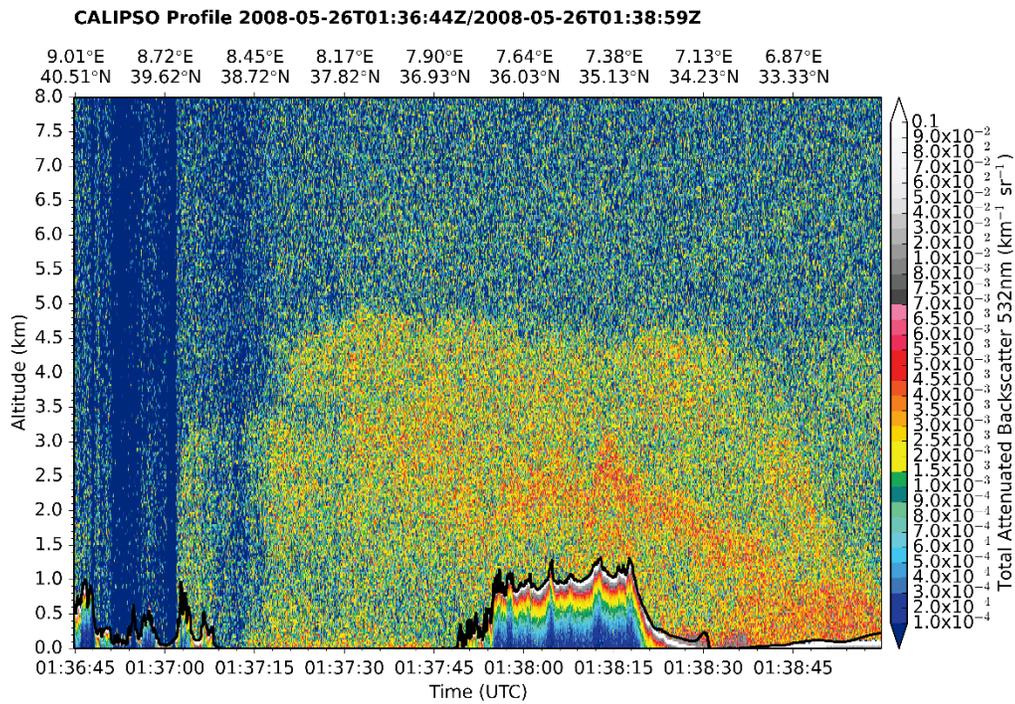


(iv-a)



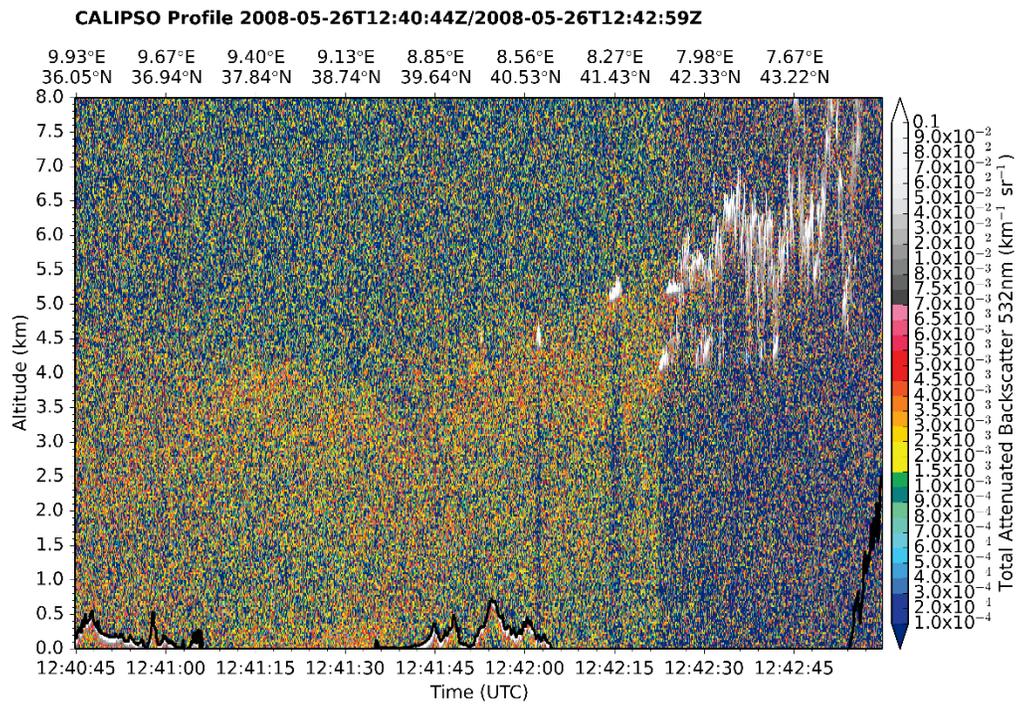
(iv-b)

Figure 9: Continued.



1841

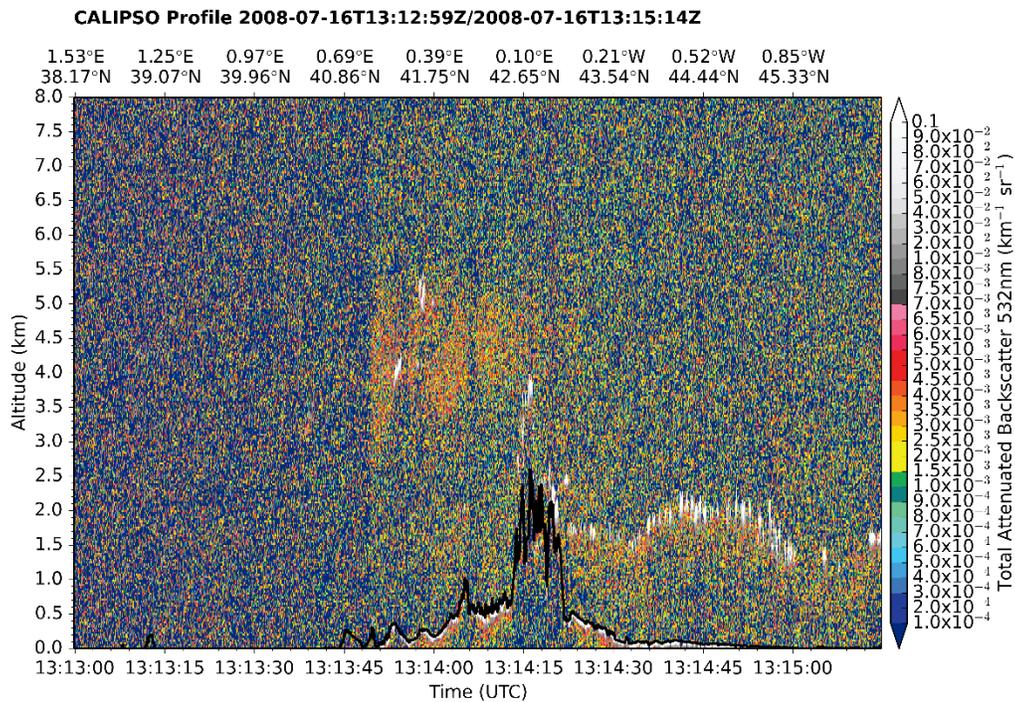
(i)



1842

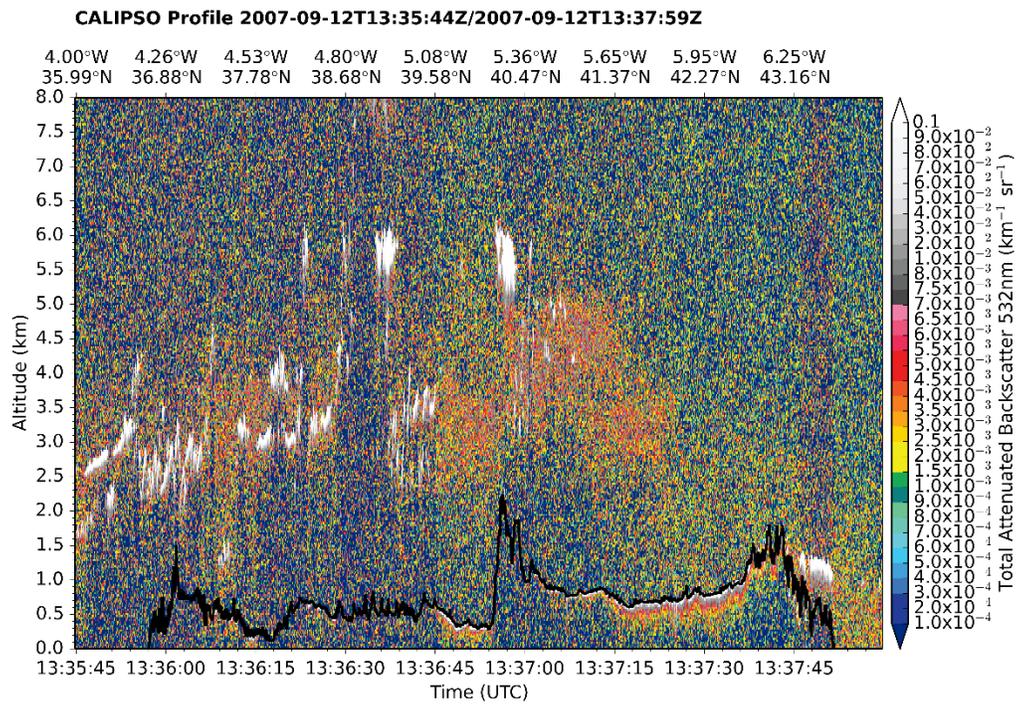
(ii)

1843 **Figure 10:** Cross sections of the total backscatter coefficient at 532 nm (in $\text{km}^{-1} \text{sr}^{-1}$) vertical profiles along the CALIOP-
 1844 CALIPSO track during: (i) nighttime and (ii) daytime, on 26th May 2008, over the station Censt (Lat: 39.064, Lon: 8.457).
 1845 The black thick solid line represents the surface elevation.



1846

(i)



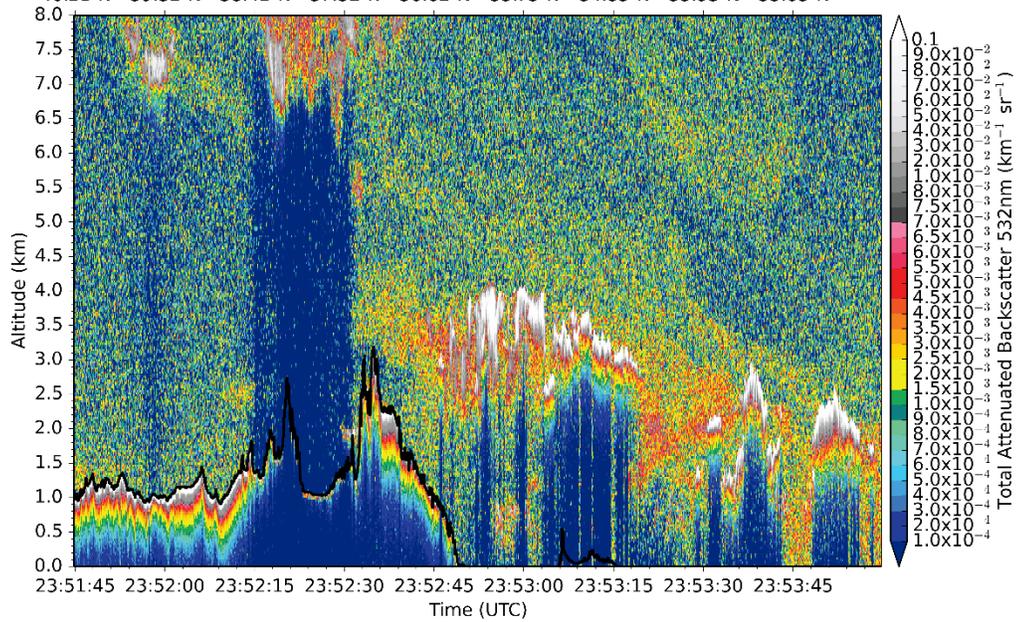
1847

(ii)

1848 **Figure 11:** Cross sections of the total backscatter coefficient at 532 nm (in $\text{km}^{-1} \text{sr}^{-1}$) vertical profiles along the CALIOP-
 1849 CALIPSO track during daytime over the stations: (i) Els Torns (Lat: 41.395, Lon: 0.721) on 16th July 2008 and (ii) San
 1850 Pablo (Lat: 39.525, Lon: -4.353) on 12th September 2007. The black thick solid line represents the surface elevation.

CALIPSO Profile 2007-02-25T23:51:44Z/2007-02-25T23:53:59Z

35.14°E 34.86°E 34.58°E 34.31°E 34.04°E 33.78°E 33.52°E 33.27°E 33.02°E
40.21°N 39.32°N 38.42°N 37.52°N 36.62°N 35.73°N 34.83°N 33.93°N 33.03°N



1851

1852 **Figure 12:** Cross section of the total backscatter coefficient at 532 nm (in $\text{km}^{-1} \text{sr}^{-1}$) vertical profiles along the CALIOP-
1853 CALIPSO track during nighttime over the station Agia Marina (Lat: 35.039, Lon: 33.058) on 25th February 2007. The black
1854 thick solid line represents the surface elevation.

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