

1 Radiative and climate impacts of a large volcanic eruption 2 during stratospheric sulfur geoengineering

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17

18 **Abstract**

19

20 Both explosive volcanic eruptions, which emit sulfur dioxide into the stratosphere, and
21 stratospheric geoengineering via sulfur injections can potentially cool the climate by increasing
22 the amount of scattering particles in the atmosphere. Here we employ a global aerosol-climate
23 model and an earth system model to study the radiative and climate changes occurring after an
24 erupting volcano during solar radiation management (SRM). According to our simulations the
25 radiative impacts of the eruption and SRM are not additive and the radiative effects and climate
26 changes occurring after the eruption depend strongly on whether SRM is continued or
27 suspended after the eruption. In the former case, the peak burden of the additional stratospheric
28 sulfate as well as changes in global mean precipitation are fairly similar regardless of whether
29 the eruption takes place in a SRM or non-SRM world. However, the maximum increase in the

1 global mean radiative forcing caused by the eruption is approximately 21% lower compared to
2 a case when the eruption occurs in an unperturbed atmosphere. In addition, the recovery of the
3 stratospheric sulfur burden and radiative forcing is significantly faster after the eruption,
4 because the eruption during the SRM leads to a smaller number of larger sulfate particles
5 compared to the eruption in a non-SRM world. On the other hand, if SRM is suspended
6 immediately after the eruption, the peak increase in global forcing caused by the eruption is
7 about 32% lower compared to a corresponding eruption into a clean background atmosphere.
8 In this simulation, only about 1/3 of the global ensemble-mean cooling occurs after the eruption,
9 compared to that occurring after an eruption under unperturbed atmospheric conditions.
10 Furthermore, the global cooling signal is seen only for the 12 months after the eruption in the
11 former scenario compared to over 40 months in the latter. In terms of global precipitation rate,
12 we obtain a 36% smaller decrease in the first year after the eruption and again a clearly faster
13 recovery in the concurrent eruption and SRM scenario, which is suspended after the eruption.
14 We also found that an explosive eruption could lead to significantly different regional climate
15 responses depending on whether it takes place during geoengineering or into an unperturbed
16 background atmosphere. Our results imply that observations from previous large eruptions,
17 such as Mt Pinatubo in 1991, are not directly applicable when estimating the potential
18 consequences of a volcanic eruption during stratospheric geoengineering.

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20

21

22 **1 Introduction**

23 Solar radiation management (SRM) by injecting sulfur to the stratosphere is one of the most
24 discussed geoengineering methods, because it has been suggested to be affordable and effective
25 and its impacts have been thought to be predictable based on volcanic eruptions (Crutzen, 2006,
26 Rasch *et al*, 2008, Robock *et al* 2009 , McClellan *et al* 2012). Stratospheric sulfur injections
27 could be seen as an analogue of explosive volcanic eruptions, during which large amounts of
28 sulfur dioxide (SO₂) are released into the stratosphere. Once released, SO₂ oxidizes and forms
29 aqueous sulfuric acid particles which can grow to large enough sizes (some hundreds of
30 nanometers) to efficiently reflect incoming solar radiation back to space. In the stratosphere,
31 the lifetime of the sulfate particles is much longer (approximately 1-2 years) than in the
32 troposphere, and the cooling effect from sulfate aerosols may last for several years, as has been

1 observed after large volcanic eruptions, such as Mt. Pinatubo in 1991 (Hansen et al 1992,
2 Robock 2000, Stenchikov et al 2009). Stratospheric SRM would maintain a similar aerosol
3 layer in the stratosphere continuously and could therefore be used (at least in theory) as a means
4 to buy time for the greenhouse gas emission reductions (Keith and MacMartin 2015).

5

6 One concern in implementing stratospheric SRM is that an explosive eruption could happen
7 while SRM is being deployed. While it is impossible to predict the timing of such eruptions,
8 large volcanic events are fairly frequent with three eruptions in the 20th century suggested
9 having Volcanic Explosivity Index (VEI) value of 6, indicating substantial stratospheric
10 injections (Santa María in 1902, Novarupta/Katmai in 1912, and Pinatubo in 1991) (Robock,
11 2000). Thus it is possible that a large volcanic eruption could happen during SRM deployment,
12 which would most likely be ongoing for decades. Should this happen, it could lead temporarily
13 to a very strong global cooling effect when sulfate particles from both SRM and the volcanic
14 eruption would reflect solar radiation back to space. While the climate effects of volcanic
15 eruptions into an unperturbed atmosphere have been investigated in many previous studies (see
16 overview papers by Robock, 2000 and Timmreck 2012), they may be different if a volcanic
17 eruption took place during SRM. In the unperturbed atmospheric conditions, the stratosphere is
18 almost clean of particles, while during SRM there would already be a large amount of sulfate
19 in the stratosphere prior to the eruption. Thus, the temporal development of the volcanic aerosol
20 size distribution and related to this the volcanic radiative forcing under SRM conditions may
21 behave very different.

22

23 Here we study the effects of a volcanic eruption during SRM by using two Max Planck
24 Institute's models, i.e. the general circulation model (GCM) MAECHAM5 (Giorgetta et al,
25 2006) coupled to an aerosol microphysical module HAM-SALSA (Bergman et al. 2012,
26 Kokkola et al. 2008) and the Max Planck Institute Earth System Model (MPI-ESM) (Giorgetta
27 et al., 2013). We investigate the simulated characteristics of the stratospheric sulfur burden,
28 radiative forcing, and global and regional climate effects.

29

1 **2 Methods**

2 **2.1 Model descriptions**

3 The simulations were performed in two steps. In the first step, we used the aerosol-climate
4 model MAECHAM5-HAM-SALSA to define global aerosol fields in scenarios with
5 stratospheric sulfur injections and/or a volcanic eruption. In the second step, we prescribe the
6 simulated stratospheric aerosol fields from MAECHAM5-HAM-SALSA to MPI-ESM, similar
7 to Timmreck et al (2010).

8

9 **2.1.1 Defining aerosol fields with MAECHAM5-HAM-SALSA**

10 For the global aerosol simulation we use MAECHAM5-HAM-SALSA. The atmospheric model
11 MAECHAM5 is a middle atmosphere configuration of ECHAM5, in which the atmosphere is
12 divided into 47 height levels reaching up to ~80 km. MAECHAM5 is integrated with a spectral
13 truncation of 63 (T63), which corresponds approximately to a 1.9° x 1.9° horizontal grid. The
14 simulations were performed with a time step of 600 s.

15

16 The aerosol module HAM is coupled interactively to MAECHAM5 and it calculates aerosol
17 emissions and removal, gas and liquid phase chemistry, and radiative properties for the major
18 global aerosol compounds of sulfate, organic carbon, black carbon, sea salt and mineral dust.

19

20 In the original ECHAM-HAM (Stier et al., 2005), the aerosol size distribution is described with
21 seven lognormal particle modes with fixed standard deviations and is designed to represent the
22 tropospheric aerosol conditions. Therefore, the width of the coarse mode is optimized for
23 description of sea salt and dust particles, and it does not perform well in special cases like
24 volcanic eruptions or SRM, when a fairly monodisperse coarse mode of sulfate particles can
25 form in the stratosphere (Kokkola et al., 2009). Simulating stratospheric aerosols would then
26 require narrower coarse mode (see e.g. Niemeier et al., 2009) which on the other hand is not
27 appropriate for simulating tropospheric aerosols. Here we chose to use a sectional aerosol model
28 SALSA (Kokkola et al., 2008), which has been previously implemented to ECHAM-HAM
29 (Bergman et al, 2012) and is used to calculate the microphysical processes of nucleation,

1 condensation, coagulation and hydration. SALSA does not restrict the shape of the size
2 distribution making it possible to simulate both tropospheric and stratospheric aerosols with the
3 same aerosol model.

4

5 The default SALSA setup divides the aerosol number and volume size distribution into 10 size
6 sections, which are grouped into three subregions (Fig. 1, left panel, distribution a). In addition,
7 it has 10 extra size sections to describe external mixing of the particles (Fig. 1, left hand panel,
8 distributions b and c). In order to keep the number of tracer variables to the minimum, in the
9 third subregion (coarse particles) only a number concentration in each section is tracked and
10 thus the particle dry size is prescribed. This means that the sulfate mass is not explicitly tracked
11 in this region although it is allowed to change the solubility of the dust particles (distribution c
12 in Fig. 1). In addition, there is no coagulation and condensation growth inside this third
13 subregion, although smaller particles and gas molecules can be depleted due to collisions with
14 particles in subregion 3. In standard tropospheric conditions, this kind of description of the
15 coarse particles is sufficient and it saves computational time and resources. However, when
16 studying large volcanic eruptions or stratospheric sulfur geoengineering, microphysical
17 processing of an aerosol by a large amount of stratospheric sulfur can significantly modify also
18 the size distribution of coarse particles during their long lifetime (Kokkola et al., 2009). With
19 the default setup, this processing cannot be reproduced adequately. In addition, information on
20 the sulfur mass in each size section in the coarse size range is not available in the default setup.
21 Thus we modified the SALSA model to exclude the third subregion and broadened the second
22 subregion to cover also the coarse particle range, as is shown in Figure 1 (right hand panel).
23 This allows a better representation of coarse particles in the stratosphere, but increases
24 simulation time by approximately 30% due to an increased number of the particle composition
25 tracers.

26

27 In addition to the sulfur emissions from SRM and from volcanic eruptions (described in
28 Section 2.2), the MAECHAM5-HAM-SALSA simulations include aerosol emissions from
29 anthropogenic sources and biomass burning as given in the AEROCOM database for the year
30 2000 (Dentener et al 2006). For sea spray emissions, we use a parameterization combining the
31 wind-speed-dependent source functions by Monahan et al (1986) and Smith and Harrison
32 (1998) (Schulz et al 2004). Dust emissions are calculated online as a function of wind speed

1 and hydrological parameters according to the Tegen et al (2002) scheme. We do not include
2 volcanic ash emissions as it has been shown that ash sediments within a few day after the
3 eruption from the stratosphere and the area affected by the ash cloud is relatively small (Guo et
4 al 2004a). The effect of fine ash on the distribution of the volcanic cloud in the atmosphere is
5 also relatively small (Niemeier et al 2009).

6

7 The MAECHAM5-HAM-SALSA simulations were carried out with a free running setup
8 without nudging. Thus the dynamical feedback resulting from the additional heating from
9 increased stratospheric sulfate load was taken into account. Global aerosol model studies of the
10 Pinatubo eruption (Timmreck et al 1999; Aquila et al 2013) showed that the dynamic response
11 to local aerosol heating has an important influence on the initial dispersal of the volcanic cloud.
12 Performing non-interactive and interactive Pinatubo simulations these studies revealed that an
13 interactive coupling of the aerosol with the radiation scheme is necessary to adequately describe
14 the observed transport characteristics over the first months after the eruption. Only the
15 interactive model simulations where the volcanic aerosol is seen by the radiation scheme are
16 able to simulate the observed initial southward cross-equatorial transport of the cloud as well
17 as the aerosol lifting to higher altitudes. A further improvement of the interactive simulation is
18 a reduced northward transport and an enhanced meridional transport towards the south, which
19 is consistent with satellite observations. On the other hand, not running the model in the nudged
20 mode means that the online emissions of, e.g., sea salt and mineral dust that are sensitive to
21 wind speed at 10 m height, can differ significantly between the simulations. This can
22 occasionally have fairly strong local effects on the aerosol radiative forcing. However, the
23 global radiative forcing from dust is small compared to the forcing from the volcanic eruption
24 and SRM. The radiative forcing resulting from aerosol loadings was calculated using a double
25 call of radiation (with and without aerosols).

26

27 Because MAECHAM5-HAM-SALSA is not coupled to the ocean model, the simulations
28 presented below have been done using fixed sea surface temperatures. All runs are preceded by
29 a two-year spin-up period followed by a five-year simulation period for the baseline scenarios
30 (defined in section 2.2) and a three-year simulation period for the sensitivity scenarios
31 (Appendix B). Only one MAECHAM5-HAM-SALSA simulation has been performed for each
32 of the studied scenarios to obtain the aerosol optical fields for the ESM simulations. Only for

1 Volc we have carried out a five member ensemble to address potential forcing uncertainties
2 (Appendix A).

3

4 2.1.2 Determining climate effects with MPI-ESM

5 In the second step, simulations to quantify the global and regional climate effects of concurrent
6 SRM and volcanic eruption are performed with the Earth system model MPI-ESM (Giorgetta
7 et al., 2013). The model is a state-of-the-art coupled three-dimensional atmosphere-ocean-land
8 surface model. It includes the atmospheric component ECHAM6 (Stevens et al., 2013), which
9 is the latest version of the atmospheric model ECHAM and whose earlier version is used in the
10 first step of this study. The atmospheric model is coupled to the Max Planck Institute Ocean
11 Model (MPIOM) (Junglaus et al., 2013). MPI-ESM also includes the land model JSBACH
12 (Reich et al., 2013) and the ocean biochemistry model HAMOCC (Ilyina et al., 2013).
13 ECHAM6 was run with the same resolution as in the first part of this study. We did not include
14 dynamical vegetation and carbon cycle in the simulations.

15

16 In MPI-ESM, aerosol fields are prescribed. We used the same tropospheric aerosols fields based
17 on the Kinne et al., (2013) climatology in all scenarios. In the stratosphere, we use precalculated
18 aerosol fields from the different simulations with MAECHAM5-HAM-SALSA. The aerosol
19 radiative properties were calculated based on monthly mean values of the aerosol effective
20 radius and the aerosol optical depth (AOD) at 550 nm. MPI-ESM uses a precalculated look-up
21 table to scale AOD at 550 nm to the other radiation wavelengths based on the effective radius.
22 Here MPI-ESM assumes the size distribution to consist of a single mode, which in most cases
23 differs from the sectional size distribution in MAECHAM5-HAM-SALSA. This can lead to
24 somewhat different radiative forcings between MAECHAM5-HAM-SALSA and MPI-ESM.
25 In our study this has been seen as overestimation of both shortwave and longwave forcing.
26 Overestimation is slightly larger in LW-radiation and thus warming effect of MPI-ESM is
27 overestimated in MPI-ESM compared to the simulations by ECHAM-HAM. Since there is very
28 little zonal variation in the monthly mean stratospheric aerosol fields, the zonal mean aerosol
29 fields from MAECHAM5-HAM-SALSA are used in MPI-ESM.

30 The atmospheric gas concentrations were fixed to year 2010 level, in accordance with the
31 tropospheric aerosol fields and land use maps. Year 2010 concentrations were also used for

1 methane, CFC and nitrous oxide.

2 Experiments with a full Earth system model require a long spin-up period as the ocean
3 component needs centuries to stabilize. We resolved this by restarting our 105-year-long spin-
4 ups from previously run Coupled Model Intercomparison Project Phase 5 (CMIP5) simulations
5 ending in year 2005. Since the aerosol and atmospheric gas concentrations in our simulations
6 differed slightly from the CMIP5 runs, the 105 years of spin-up was not enough for the model
7 to reach a full steady state; there was a small warming (0.3 K / 100 yr) also after spin-up period
8 in both *CTRL* and *SRM* simulations (see simulation details in Section 2.2). This temperature
9 change is nevertheless so small that it does not affect our conclusions.

10

11 Since the initial state of the climate system can have a significant effect on the climate impacts
12 resulting from forcing, we ran 10-member ensembles of 5-year duration for all baseline
13 scenarios with a volcanic eruption. To do this, we first ran the model for 50 years after the spin-
14 up and saved the climate state after every 5 years. We then continued the simulations from each
15 of these saved climate states for further five years with a volcanic eruption taking place in these
16 specific climate conditions. The obtained results were compared to the corresponding 5-year
17 period in the simulations without a volcanic eruption (which were run continuously for 50
18 years).

19

20 **2.2 Model experiments**

21 We simulated altogether 5 baseline scenarios in order to investigate the radiative and climate
22 impacts of concurrent SRM and a volcanic eruption. To better separate the effects of SRM and
23 the eruption, these scenarios included also simulations with only SRM or only a volcanic
24 eruption taking place. The studied scenarios are listed in Table 1, and detailed below. Three
25 additional sensitivity simulations investigating the sensitivity of the results to the geographical
26 location and the seasonal timing of the eruption are presented in Appendix B.

27

28 All the simulations with SRM assumed continuous injections of 8 Tg(S)/yr of SO₂ between 30°
29 N and 30° S and 20 km – 25 km in the vertical. The injection strength of 8 Tg/yr was chosen
30 based on previously published SRM studies and for example Niemeier et al (2011) has shown

1 such injection rates to lead to all sky global shortwave radiative forcing of -3.2 to -4.2 W/m² in
2 ECHAM5-HAM. This forcing is roughly comparable (but opposite in sign) to forcing from
3 doubling of CO₂ from preindustrial level. Such a strong SRM forcing could be considered
4 realistic in view of the business-as-usual scenario of the Representative Concentration
5 Pathways (RCP8.5), which estimates that without efforts to constrain the greenhouse gas
6 emissions the total radiative forcing from anthropogenic activities at the end of the 21st century
7 is roughly 8.5 W/m² (IPCC, 2013). All the simulations with a volcanic eruption assumed an
8 explosive eruption releasing 8.5 Tg of sulfur to the stratosphere (Niemeier et al 2009, Guo et al
9 2004b, Read et al., 1993). This corresponds to the magnitude of the Mt. Pinatubo eruption in
10 June 1991. In all of the volcanic eruption scenarios, sulfur was injected to the height of 24 km.
11 The eruption was always initiated on the 1st day of the month at 06:00 UTC and it lasted for 3
12 hours.

13

14 The baseline scenarios summarized in Table 1 and detailed below were simulated first with
15 MAECHAM5-HAM-SALSA, and then with MPI-ESM using the stratospheric aerosol fields
16 from MAECHAM5-HAM-SALSA simulations. On the other hand, the sensitivity simulations
17 in Appendix B were run only with MAECHAM5-HAM-SALSA because of the computational
18 expense of the MPI-ESM code.

19

20 The control (*CTRL*) simulation included only standard natural and anthropogenic aerosols with
21 no SRM or explosive eruptions, while the simulation *SRM* included SRM on top of the
22 background aerosol, but no volcanic eruption. All the baseline scenarios which simulated a
23 volcanic eruption assumed a tropical eruption at the site of Mt. Pinatubo (15.14° N, 120.35° E),
24 where a real explosive eruption took place in summer 1991. We simulated a July eruption at
25 this site both in background conditions (simulation *Volc*) and during SRM (simulation *SRM*
26 *Volc and SRM Cont*). Due to safety and economic considerations, it might be that SRM is
27 suspended at some point after the eruption. When this would happen depends on several factors
28 (decision making process, magnitude/timing volcano). Here we study cases where SRM was
29 suspended immediately after the eruption (*SRM Volc*), and we also simulated a scenario where
30 SRM was continued despite the eruption (*SRM Cont*). The purpose of the latter simulation was
31 also to study how additive the radiative effects of volcanic eruption and solar radiation
32 management are. It should be noted that if the SRM injections are suspended after a volcanic

1 eruption, the injections should be restarted after some time from the eruption to prevent abrupt
2 warming. However, we do not simulate the restart of SRM injections in this study.

3

4 **3 Results**

5 **3.1 Microphysical simulations of volcanic eruption and SRM compared to the** 6 **measurements and previous studies**

7 A comparison of the *Volc* simulation against observations of the Pinatubo 1991 eruption shows
8 that the model reproduces well the temporal behavior of particle effective radius after a tropical
9 eruption (Figure A1b in Appendix A). The model overestimates sulfate burden compared to
10 those retrieved from the HIRS satellite observations (Baran and Foot, 1994) during the first 12
11 months after the eruption (Figure A1 in Appendix A). There are several previous global model
12 studies that where evolution of stratospheric aerosols following Pinatubo eruption has been
13 investigated. Many of these shows similar sulfate burden than in the our study and
14 overestimation of sulfate burden compared to the HIRS data (Niemeier et al 2009, English et al
15 2012, Dhomse et al 2014, Sheng et al 2015). This comparison between the limited set of the
16 observational data and with other modelling studies gives us confidence that the new
17 MAECHAM5-HAM-SALSA set-up simulates aerosol loads and properties consistent to
18 observations under high stratospheric sulfur conditions.

19

20 We first looked at the aerosol burdens and the radiative impacts of a tropical volcanic eruption
21 and SRM separately based on the MAECHAM5-HAM-SALSA runs (simulations *Volc* and
22 *SRM*, respectively). The maximum stratospheric sulfate burden after the volcanic eruption
23 (*Volc*) is 8.31 Tg(S). 75% of the erupted SO₂ is oxidized during two months after the eruption
24 and the global maximum of sulfate burden is reached 5 months after the eruption (Fig. 2a, black
25 solid line). After this, the burden starts to decline rapidly, but remains above the level that was
26 simulated prior to the eruption for approximately 4 years. On the other hand, continuous
27 geoengineering with 8 Tg(S)/yr (*SRM*) leads to the global stratospheric sulfate burden of 7.8
28 Tg with only little variation in time (Fig. 2a, dashed black line). The total sulfur amount (SO₂
29 and sulfate) in the stratosphere is 8.8 Tg(S) which indicates the average sulfur lifetime (sulfur
30 burden divided by the amount of the injected sulfur) in the stratosphere to be 1.1 years. As
31 previous studies have shown, the lifetime of sulfur is strongly dependent on the injection area

1 and height, and the amount of injected sulfur. Some of the studies have shown a lifetime of
2 clearly less than a year for the comparable magnitude of injected sulfur, when sulfur is injected
3 at a lower height than in our study (Heckendorn et al 2009, Pierce et al 2010, Niemeier et al
4 2011, English et al 2012), slightly under a year when sulfur is injected at the same height as
5 here (Heckendorn et al 2009, Pierce et al 2010), and over a year when sulfur is injected higher
6 (Niemeier et al 2011). Thus, overall our results are in good agreement with the previous studies.

7

8 The maximum clear-sky shortwave (SW) surface forcing in the *Volc* simulation reaches -6.36
9 W/m^2 (Fig. 2b), which is close to the average global mean forcing of -6.00 W/m^2 in the *SRM*
10 simulation, as could be expected based on the similar maximum and steady state sulfate
11 burdens, respectively (Fig 2a). In the presence of clouds, the change in SW all-sky flux in *SRM*
12 is smaller (-3.38 W/m^2) than in clear-sky conditions. Radiative forcing from the *SRM* is in
13 agreement with previous studies where the forcing effect has been studied with climate models
14 including an explicit aerosol microphysics description. For example, Niemeier et al (2011)
15 showed all-sky SW radiative forcings from -3.2 W/m^2 to -4.2 W/m^2 for 8 Tg(S)/yr injection,
16 and Laakso et al (2012) a forcing of -1.32 W/m^2 for 3 Tg(S) injection. On the other hand,
17 Heckendorn et al (2009) simulated a clearly smaller radiative forcing of -1.68 W/m^2 for 10
18 Tg(S) injection.

19

20 The shortwave radiative effect (-6.00 W/m^2) from the sulfate particles originating from *SRM* is
21 concentrated relatively uniformly between 60° N and 60° S (not shown).. *SRM* leads also to a
22 0.73 W/m^2 all-sky longwave radiative forcing which is concentrated more strongly in the tropics
23 than in the midlatitudes and polar regions. In the case of the volcanic eruption (*Volc*), forcing
24 is distributed between 30° N and equator for the first 4 months after the eruption. After that,
25 forcing is concentrated more to the midlatitudes than the low latitudes in both hemispheres. It
26 should be noted, however, that the initial state of the atmosphere and local winds over the
27 eruption area at the time of the eruption can have a large impact on the distribution of sulfur
28 released from a short-duration eruption. This can be seen for example in Figure A2, which
29 illustrates the hemispheric sulfur burdens from 5 different ensemble members of the *Volc*
30 simulation (see Appendix A for details). As an example, in one of the ensemble simulations,
31 burden is concentrated much more in the northern hemisphere (NH) (peak value 6.7 Tg (S))
32 than in the southern hemisphere (SH) (2.2 Tg (S)). This leads to northern and southern

1 hemispheric peak values of clear sky forcings of -8.18 and -3.72 W/m², respectively. However,
2 in another ensemble member sulfate is distributed more uniformly between the hemispheres
3 (4.8 and 3.7 Tg (S) in the NH and SH, respectively) resulting in clear sky peak forcing of -6.04
4 W/m² in the north and -6.35 W/m² in the south. (In the analysis above (e.g. Fig. 2), we have
5 used simulation *Volc4* from Appendix A, since it resembles most closely the 5-member
6 ensemble mean in terms how sulfate is distributed between the hemispheres.)

7 **3.2 Burden and radiative effects of concurrent volcanic eruption and SRM –** 8 **Results of aerosol microphysical simulations**

9 Next we investigated whether the radiative impacts from a volcanic eruption taking place during
10 SRM differs from the sum of volcanic eruption –only and SRM-only scenarios discussed in
11 Section 3.1. In order to do this, we compared the SRM only (*SRM*) and volcanic eruption only
12 (*Volc*) simulations with two scenarios of concurrent eruption and SRM: *SRM Volc* where SRM
13 is suspended immediately after the eruption, and *SRM Cont* where SRM is continued after the
14 eruption. The magnitude, timings and locations of the eruption were assumed the same as in
15 *Volc* simulation.

16

17 Figure 2 shows the stratospheric sulfur burden and the global clear sky radiative forcing from
18 the four MAECHAM5-HAM-SALSA runs. It is evident that both the stratospheric sulfate
19 burden and the global shortwave radiative forcing reach a maximum value and recover back to
20 pre-eruption level clearly faster if the volcanic eruption happens during SRM than in
21 stratospheric background conditions, as can be seen by comparing the scenario of volcanic
22 eruption concurrent with SRM (solid blue and red lines) to the sum of eruption-only and SRM-
23 only scenarios (dashed purple line). This is the case especially when SRM is suspended
24 immediately after the eruption (simulation *SRM Volc*). In this case, in our simulation set-up, it
25 takes only 10 months for the stratospheric sulfate burden and the global radiative effect to
26 recover to the state before the volcanic eruption. On the other hand, if the eruption happens in
27 stratospheric background conditions (*Volc*), it takes approximately 40 months before the sulfate
28 burden and the radiative effect return to their pre-eruption values. In addition, the global SW
29 radiative forcing reaches a maximum value two months earlier in *SRM Volc* than in *Volc* (Fig.
30 2b). In comparison to the level before the eruption, the peak increase in radiative forcing is 32%
31 smaller in *SRM Volc* (-4.30 W/m²) than in *Volc* (-6.36 W/m²).

1

2 The first, somewhat trivial reason for lower and shorter-lasting radiative forcing in *SRM Volc*
3 is that because SRM is suspended immediately after the eruption, the stratospheric sulfur load
4 will recover from both the volcanic eruption and SRM. If the stratospheric background sulfur
5 level is not upheld by continuous sulfur injections as before the eruption, the sulfur burden will
6 return back to the pre-eruption conditions within less than a year after the eruption. However,
7 the different responses to a volcanic eruption during background (*Volc*) and SRM (*SRM Volc*)
8 conditions cannot be explained only by suspended SRM injections. This can be seen in Figure
9 2a in scenario *SRM Cont* (solid red line) where geoengineering is continued after the volcanic
10 eruption: also in this case the lifetime of sulfate particles is shorter than in *Volc*. There is a
11 similar increase in the sulfate burden in the first ten months after the eruption in the *Volc* and
12 *SRM Cont* scenarios as is seen by comparing the red and purple lines in Figure 2; here the purple
13 dashed line shows the calculated sum of the effects from separate simulations of *Volc* and *SRM*.
14 This scales the *Volc* simulation to the same start level as *SRM Cont*. After the first ten months
15 the sulfate burden starts to decrease faster in the *SRM Cont* scenario and is back to the level
16 prior to the eruption after 20 months from the eruption, compared with ~40 months in the *Volc*
17 run. The difference between the two scenarios can be seen even more clearly in the shortwave
18 radiative forcing (Fig. 2b). When the volcano erupts during SRM, the contribution of the
19 eruption to the forcing is lower immediately after the eruption than after the eruption in *Volc*
20 and the peak increase in global mean radiative forcing compared the pre-eruption level is 21%
21 lower in *SRM Cont* (-5.04 W/m^2) than in *Volc* (-6.36 W/m^2).

22

23 The reason for these findings is that the initial stratospheric aerosol load is significantly
24 different when the volcanic eruption occurs during stratospheric sulfur geoengineering than
25 under background conditions. If a volcano erupts concurrently with SRM, sulfur from the
26 eruption does not only form new particles but also condenses onto pre-existing particles.
27 Furthermore, the new small particles that are formed after the eruption coagulate effectively
28 with the existing larger particles from the SRM injections. This means that a situation develops
29 where there are fewer but larger particles compared to a case without SRM. The increased
30 particle size can also be seen in Figure 3 which shows the effective radius in the SRM injection
31 area. These larger particles in *SRM Volc* and *SRM Cont* have higher gravitation settling
32 velocities and sediment faster. Thus, about 30 months after the eruption the effective radius in

1 *SRM Volc* becomes even smaller than in simulation *Volc*, when larger particles have sedimented
2 out of the atmosphere in *SRM Volc*. Figure 2 indicates the impact on the radiative forcing. SW
3 scattering gets less effective with increasing particle size (Pierce et al, 2010) and, although the
4 stratospheric sulfur burden is the same in the first months after the eruption in *SRM Cont* and
5 in the sum of *Volc* and *SRM*, there is a clear difference in the radiative forcing. This indicates
6 that the number-to-mass ratio of particles is smaller in *SRM Cont* than in the calculated sum
7 from *Volc* and *SRM*.

8

9 Additional sensitivity simulations with MAECHAM5-SALSA discussed in more detail in
10 Appendix B show that the season when the tropical eruption occurs defines how sulfate from
11 the eruption is distributed between the hemispheres. An eruption in January leads to a larger
12 sulfur burden in the northern hemisphere than an eruption in July (Toohey et al 2011, Aquila et
13 al, 2012). This conclusion holds also if the eruption occurs during geoengineering at least in
14 cases, where SRM is implemented evenly to the both hemispheres. In case of an eruption
15 outside the tropics, the season of the eruption can have a large impact on the magnitudes of both
16 the sulfate burden and the global radiative forcing. Therefore it very likely has an impact also
17 on the regional climates which further defines when and where suspended stratospheric sulfur
18 injections should be restarted. However, due to the computational expense of the fully coupled
19 MPI-ESM, we limit our analysis of the climate impacts below only to the baseline scenarios. It
20 should be noted that the impact after concurrent volcanic eruption and SRM may depend also
21 on the altitude at which sulfur is released. Increasing the injection height increases the lifetime of
22 sulfate (Niemeier and Timmreck 2015). If sulfur from the eruption is released at the same altitude
23 where SRM sulfur resides, it might lead to locally to larger sulfur concentration and therefore
24 to larger particles compared to a case when sulfur from the eruption is released below the SRM
25 sulfate layer. Dependent on the geographical location this volcanic sulfur can still reach the
26 SRM layer e.g in the case of tropical eruption with the ascending branch of the Brewer Dobson
27 circulation. However, this happens on much longer time scales.

28

29

30

3.3 Climate effects from concurrent volcanic eruption and SRM – Results of ESM simulations

In this section we investigate how the radiative forcings simulated for the different scenarios in section 3.2 translate into global and regional climate impacts. For this purpose, we implemented the simulated AOD and effective radius of stratospheric sulfate aerosol from MAECHAM5-HAM-SALSA to MPI-ESM, similar to Timmreck et al (2010).

Figure 4a shows the global mean temperature change compared to the pre-eruption climate. Simulation *Volc* (black line) leads to cooling with an ensemble mean peak value of -0.45 K reached six months after the eruption. On average, this cooling impact declines clearly more slowly than the radiative forcing after the eruption (shown in Fig. 2b): One year after the eruption the radiative forcing was 64% of its peak value, and subsequently 17% and 8% of the peak value two and three years after the eruption. On the other hand, the ensemble mean temperature change is one year after the eruption 84% of the peak value. Subsequently, two and three years after the eruption the temperature change is still 53% and 30% of the peak value. It should be noted, however, that the variation in temperature change is quite large between the 10 climate simulation ensemble members (± 0.67 K compared the mean of the ensemble). In fact, in some of the ensemble members the pre-eruption temperature is reached already approximately 15 months after the eruption.

Figure 4a also shows that on average a volcanic eruption during continued SRM (simulation *SRM Cont*, red line) leads to on average 33% smaller cooling for next three years after the eruption than under unperturbed atmospheric conditions. If SRM is suspended (*SRM Volc*), the maximum value of the global cooling is only about 1/3 (i.e. less than 0.14 K at maximum for the ensemble mean) compared to an eruption to the non-geoengineered background stratosphere (simulation *Volc*). This is consistent with the clearly smaller radiative forcings predicted for the eruption during SRM than in the background atmospheric conditions (Fig. 2b). Similar to *Volc* simulation, the global mean temperature is lower compared to the pre-eruption level even radiative forcing has leveled off. In *SRM Volc* scenario the global mean shortwave radiative forcing from the sulfate particles has reached the pre-eruption level after 10 months from the eruption but there would be still some global cooling after 12 months from the eruption. Our simulations indicate that if SRM is suspended but not restarted, there is fast warming compared

1 to the pre-eruption temperature within the first 20 months after the eruption.

2

3 Figure 5 depicts the regional surface temperature changes simulated in the different scenarios.
4 Geoengineering alone (*SRM*) would lead to global ensemble mean cooling of -1.35 K compared
5 to the *CTRL* case. As figure 5a) shows, cooling is clearly stronger in the northern hemisphere
6 (-1.65 K) than in the southern hemisphere (-1.05 K). The strongest regional cooling is seen in
7 the northern high latitudes (regional average of -2.2 K north of 50° N). The smallest cooling
8 effect, or even slight warming, is predicted over the southern oceans. These general features are
9 consistent with the GeoMIP multimodel intercomparison when only the impact of SRM (and
10 not of combined SRM and CO₂ increase) is considered: Kravitz et al. (2013a) show a very
11 similar decrease in polar temperature when subtracting temperature change under increased
12 CO₂ from the combined SRM and CO₂ increase results.

13

14 For the three volcanic eruption scenarios we concentrate on the regional climate impacts during
15 the first year after the eruption. Figure 5 b) shows the one-year-mean temperature anomaly at
16 the surface after a volcanic eruption into the unperturbed background stratosphere in simulation
17 *Volc*. As expected, the cooling impact from the volcanic event over the first year following the
18 eruption is clearly smaller than that from continuously deployed SRM. While there are some
19 similar features in the temperature change patterns between Figures 5a and 5b (such as more
20 cooling in the northern than in the southern hemisphere, and warming in the southern Pacific),
21 clear differences also emerge, especially in NH high and mid latitudes where there is less
22 cooling, and in some regions even warming, after the eruption. During the first year after the
23 eruption, sulfate from the tropical eruption is mainly concentrated at low latitudes where there
24 is also strong solar intensity and thus strong radiative effect from the enhanced stratospheric
25 aerosol layer. During the subsequent years, sulfate transport towards the poles causes stronger
26 cooling also in the high latitudes. The global yearly mean temperature change is -0.34 K for the
27 first year after the eruption then decreasing to a value of -0.30 for the second year from the
28 eruption. However, there is an increased temperature response north of 50° N from the first year
29 mean of -0.30 K to the second year mean of -0.44 K. Even though there is larger cooling at the
30 midlatitudes in the second year after the eruption, we see 0.06 K warming north of 75° N in the
31 second boreal winter (December-February) after the eruption. Winter warming after a volcanic
32 eruption has been seen also in observations (e.g. Robock and Mao 1992, Fischer et al., 2007)),

1 though the current generation of CMIP5 models has problems to reproduce the NH
2 postvolcanic winter warming pattern (Driscoll et al. 2012).

3

4 When the eruption takes place during geoengineering and SRM injections are suspended (*SRM*
5 *Volc*), the global one-year ensemble mean temperature change is only -0.09 K during the first
6 year after the eruption (Fig. 5c). This small global impact is due to the fact that the anomaly in
7 SW radiation after the volcanic eruption is relatively small in magnitude and only about 10
8 months in duration when geoengineering is suspended after the eruption (Fig. 2b). However,
9 the regional impacts are much stronger and show distinctly different patterns from those in *Volc*
10 (Fig. 5b). *Volc* scenario leads to 0.30 K cooling north from 50° N in the ensemble mean, while
11 there is small warming of 0.02 K in *SRM Volc* after the first year from eruption. The warming
12 is concentrated over the central areas of Canada, where the ensemble mean temperature increase
13 is more than 1 K, and over North Eurasia, where the temperature increase is more than 0.5 K.
14 It should be noted, however, that in most parts of these regions the warming signal is not
15 statistically significant.

16

17 There are also differences in the southern hemispheric temperatures between the different
18 scenarios. While *Volc* scenario leads to small -0.02 K mean cooling south of 50° S in the first
19 year after the eruption, there is a warming of 0.14 K in the *SRM Volc* scenario. In addition, over
20 the Pacific equatorial area *Volc* scenario leads to a cooling of more than -0.5 K while *SRM Volc*
21 scenario leads to a warming of more than 0.5 K. These differences between *Volc* and *SRM Volc*
22 simulations imply that previous observations of regional climate impacts after an explosive
23 eruption, such as Pinatubo in 1991, may not offer a reliable analogue for the impacts after an
24 eruption during SRM. It is important to note, however, that just like there were some variations
25 in the global mean temperature between individual ensemble members, there are also variations
26 in regional changes between the members. Variations are the largest over high latitudes, while
27 most of the individual ensemble members are in good agreement at the low latitudes (hatching
28 in Fig. 5), where the change in temperature is the largest.

29

30 The main reason for the differences between *Volc* and *SRM Volc* is that in the latter simulation
31 the volcanic eruption is preceded by SRM injections (providing a baseline stratospheric sulfate

1 load) which are suspended immediately after the eruption. Thus, after the eruption the baseline
2 sulfate load starts decreasing, especially far away from the eruption site, and, therefore, during
3 the first year after the eruption there are regions with a positive radiative forcing compared to
4 the pre-eruption level.

5

6 We also find that there could be regional warming in some regions after the volcanic eruption
7 even if the SRM injections were still continued (figure 5d, *SRM Cont*). This warming is
8 concentrated to the high latitudes and areas with relatively little solar shortwave radiation but
9 with large stratospheric particles capable of absorbing outgoing longwave radiation. The
10 warming is strongest in the first post-eruption boreal winter when some areas over Canada,
11 Northeast of Europe and western Russia experience over 0.5 K warming (not shown). Such
12 significant regional warming means that the ensemble mean temperature change north of 50°
13 N during the first post eruption winter is only -0.05 K. In some parts of the Southern Ocean a
14 volcanic eruption could enhance the warming signal caused already by SRM (Fig. 5a).

15

16 It is also worth to note that the stratospheric sulfur geoengineering with 8 Tg (S)/yr itself leads
17 only to -1.35 K global temperature change in our simulations. Such weak response is likely at
18 least partly due to the radiation calculations in MPI-ESM, which assume a single modal particle
19 size distribution (see section 2.1.2 for details). Compared to a more flat size distribution
20 simulated by the sectional approach of MAECHAM5-HAM-SALSA, this assumption leads to
21 an overestimation longwave (LW) AOD which is calculated from 550nm AOD. This in turn
22 leads to an overestimation of the longwave radiative forcing (0.7 W/m² for *SRM*) while the
23 shortwave forcing is less affected (-0.2 W/m² for *SRM*). However this does not affect
24 conclusions of this study.

25

26 In addition to the changes in surface temperature, volcanic eruptions will also lead to changes
27 in precipitation. Figure 4b shows the global mean precipitation change after a volcanic eruption
28 in the three scenarios. There is a similar decrease in the precipitation in all volcanic scenarios
29 during the first five months after the eruption. Thereafter there is a similar slow increase in the
30 global mean precipitation in the simulations *Volc* and *SRM Cont* but a clearly faster increase in
31 *SRM Volc*. This faster increase would also, about one year after the eruption, lead to a higher

1 global ensemble mean precipitation compared to the pre-eruption climate.

2

3 The global one year mean precipitation change is 0.036 mm/day, 0.023 mm/day and 0.031
4 mm/day for *Volc*, *SRM Volc* and *SRM Cont* respectively for the first year after the eruption.
5 Earlier studies (Bala et al. 2008, Kravitz et al 2013a,b, Niemeier et al 2013) have already shown
6 that geoengineering leads to a reduction in the global precipitation compared the climate
7 without geoengineering. In our *SRM* simulation, we obtain a precipitation reduction of 0.11
8 mm/day (2.8%), which is clearly larger than the impact after the volcanic eruption.

9

10 The stratospheric sulfate affects precipitation via two climate system responses. The first one
11 is the rapid adjustment (fast response) due to atmospheric forcing, such as change in solar
12 irradiance, on a short time scale. The second one is the feedback response (slow response) due
13 to temperature changes (Bony et al 2013, Ferraro et al 2014, Fuglestvedt et al 2014, Kravitz et
14 al 2013,). The signals from both of these responses can be seen in Figure 4b, especially in the
15 simulation *SRM Volc* (blue line). During the first months after the eruption, the precipitation
16 drops relatively rapidly which corresponds well with the rapid change in the radiative forcing
17 (Fig. 2b); at the same time, the temperature change in *SRM Volc* is less steep (Fig. 4a). This
18 implies that in the first months following the eruption, the precipitation change is more affected
19 by the change in the radiation than the change in the temperature. On the other hand, after two
20 years from the eruption there is only small SW radiative effect left from the eruption (and the
21 *SRM* prior to eruption) but there is still a decrease in the global mean precipitation. During this
22 period, precipitation is predominantly affected by the change in temperature.

23

24 Figure 6 shows the regional precipitation changes in each of the studied scenarios. The largest
25 changes after geoengineering (*SRM*) are seen in the tropical convective region where *SRM*
26 reduces the precipitation rate in large areas by as much as 0.5 mm/day (Fig. 6a). This is in good
27 agreement with previous multi-model studies (Kravitz et al 2013a). In our simulations, an
28 increase of the same magnitude in the precipitation rate is predicted just north of Australia,
29 which has not been seen in previous model intercomparisons (Kravitz et al 2013a).

30

31 Although the precipitation patterns in *SRM* and *Volc* are similar in low latitudes, differences are

1 seen especially in NH mid- and high latitudes where *SRM* shows clearly larger reduction in
2 precipitation. The zonal mean value is 0.15mm/day in both 50° north and south latitudes. In
3 these areas, there is clearly less evaporation in the *SRM* scenario which is not seen first year
4 after the volcanic eruption (*Volc*) which would lead to different precipitation patterns. Similar
5 to the temperature change, our simulations indicate that a tropical volcanic eruption impacts
6 precipitation patterns differently in unperturbed and *SRM* conditions. In fact, a volcanic
7 eruption during geoengineering (*SRM Volc* and *SRM Cont*) leads to an opposite precipitation
8 change pattern than an eruption to the unperturbed atmosphere (*Volc*) over the tropic area in
9 Pacific and Atlantic (Fig. 6c and 6d). In these areas, a volcanic eruption during *SRM* leads to
10 the increase in the evaporation flux at the surface during the first year after the eruption, whereas
11 the evaporation flux decreases if the eruption takes place in unperturbed conditions. This is
12 caused by different tropical temperature responses between the simulations (Fig. 5). Compared
13 to the pre-eruption values, in simulations *SRM* and *Volc*, equatorial SST anomalies (latitudes 0
14 N - 10 N) are relatively colder than the SST anomalies over latitudes 10 N - 20 N. In simulation
15 *SRM*, the difference in SST anomaly between these areas is -0.02 K and in simulation *Volc*, it
16 is -0.05 K. On the other hand, in simulations *SRM Volc* and *SRM Conc*, equatorial SST
17 anomalies are relatively warmer than those over latitudes 10 N - 20 N. In *SRM Volc*, the
18 difference in temperature anomaly is 0.13 K and in *SRM Cont* it is 0.05 K. However, these
19 changes in precipitation are not significant and a larger ensemble would be necessary for further
20 detailed investigations. It should also be noted, that here we have studied an unrealistic scenario
21 where *SRM* is implemented without global warming. If warming from increased greenhouse
22 gases had included in the scenarios, the temperature gradient could be very different in
23 simulation *SRM* which could lead to different precipitation patterns. There is also a large natural
24 variability in the precipitation rates and as the precipitation changes after the eruption are
25 relatively small, our results are statistically significant only in a relatively small area (hatching
26 in Fig. 6).

27

28 **4 Summary and conclusions**

29 We have used an aerosol microphysical model coupled to an atmosphere-only GCM as well as
30 an ESM to estimate the combined effects of stratospheric sulfur geoengineering and a large
31 volcanic eruption. First, MAECHAM5-HAM-SALSA was used to define the stratospheric
32 aerosol fields and optical properties in several volcanic eruption and *SRM* scenarios. Following

1 the approach introduced in Timmreck et al. (2010) and Niemeier et al. (2013), these parameters
2 were then applied in the Max Planck Institute Earth System Model (MPI-ESM) in order to study
3 their effects on the temperature and precipitation.

4
5 According to our simulations, climate responses to be expected after a volcanic eruption during
6 SRM depend strongly on whether SRM is continued or halted after the eruption. In the former
7 case, the peak additional forcing is about 21% lower and the global cooling 33% smaller than
8 compared to an eruption taking place in non-SRM world. However, the peak additional burden
9 and changes in global mean precipitation are fairly similar regardless of whether the eruption
10 takes place in a SRM or non-SRM world. On the other hand, if SRM is stopped immediately
11 after the eruption, the peak burden is 24% and forcing 32% lower and reached earlier compared
12 to the case with unperturbed atmosphere. Furthermore, the forcing from the eruption declines
13 significantly faster, implying that if SRM was stopped after the eruption, it would need to be
14 restarted relatively soon (in our scenario within 10 months) after the eruption to maintain the
15 pre-eruption forcing level.

16
17 In line with the burden and forcing results, the simulated global and regional climate impacts
18 were also distinctly different depending on whether the volcano erupts during SRM or in the
19 background stratospheric conditions. In the investigated scenarios, a Pinatubo-type eruption
20 during SRM caused a maximum global ensemble-mean cooling of only 0.14 K (assuming that
21 SRM is paused after the eruption) compared to 0.45 K in the background case. On the other
22 hand, the ensemble-mean decline in the precipitation rate was 36% lower for the first year after
23 the eruption during SRM than for the eruption under unperturbed atmospheric conditions. Both
24 the global mean temperature and the precipitation rate recovered to the pre-eruption level in
25 about one year, compared to approximately 40 months in the background case. If SRM was
26 continued despite the large volcanic eruption, the global ensemble mean cooling was averagely
27 -0.19 K ($-(0.31 - 0.02)$ K in individual ensemble member) for three subsequent years after the
28 eruption. This is only 67% of three subsequent years cooling after the eruption in normal
29 unperturbed atmospheric conditions, when global ensemble mean cooling was -0.28 K ($-(0.49$
30 $- 0.15)$ K).

1 In terms of the regional climate impacts, we found cooling throughout most of the Tropics
2 regardless of whether the eruption took place during SRM or in the background conditions, but
3 a clear warming signal (up to 1°C) in large parts of the mid and high latitudes in the former
4 scenario. While it should be noted that the regional temperature changes were statistically
5 significant mostly only in the tropics, the declining stratospheric aerosol load compared to the
6 pre-eruption level (as a result of switching off SRM after the eruption) offers a plausible
7 physical mechanism for the simulated warming signal in the mid and high latitudes. On the
8 other hand, the largest regional precipitation responses were seen in the tropics. Interestingly,
9 the sign of the precipitation change was opposite in *SRM Volc* and *SRM Cont* than in the *Volc*
10 and *SRM* in large parts of the tropical Pacific. We attribute this difference to a clearly weaker
11 tropical cooling, or in some areas even a slight warming, in the former scenario leading to an
12 increased evaporation in the first year following the eruption.

13

14 Based on both the simulated global and regional responses, we conclude that previous
15 observations of explosive volcanic eruptions in stratospheric background conditions, such as
16 Mt Pinatubo eruption in 1991, are likely not directly applicable to estimating the radiative and
17 climate impacts of an eruption during stratospheric geoengineering. The global mean
18 temperature and precipitation decline from the eruption can be significantly alleviated if the
19 SRM is switched off after the eruption; however, large regional impacts could still be expected
20 during the first year following the eruption.

21

22 **Appendix A: Evaluation of the model: Pinatubo eruption 1991, comparison** 23 **between model and measurements**

24 This is the first study where ECHAM5-HAM-SALSA has been used to simulate aerosol
25 processes in the stratosphere. To ensure that the model can be applied for simulation of high
26 aerosol load in the stratosphere, we evaluated the model's ability to reproduce the response of
27 the stratospheric aerosol layer to the Mt. Pinatubo eruption in 1991. We simulated the Pinatubo
28 eruption with MAECHAM5-HAM-SALSA making a 5-member ensemble initiated on the 1st
29 July (see simulation *Volc* in section 2.2 for details). In these simulations, we first used the same
30 two-year spin up for all ensemble members. After the spin up, the model was slightly perturbed
31 by a very small change in a model tuning parameter and then run freely for 6 months, in order
32 to create different atmospheric states for the volcano to erupt into. Only after this was the

1 volcanic eruption triggered in the model. Simulated sulfur burdens and particle effective radii
2 were compared against observations from satellite (HIRS) (Baran and Foot, 1994) and lidar
3 measurements (Ansmann *et al.*, 1997), respectively.

4

5 Figure A1 shows that the model results are in general in good agreement with the observations.
6 For example, the model correctly indicates that the oxidation of SO₂ and formation of sulfate
7 particles is very fast right after the eruption. However, the simulated sulfate burden peaks at
8 higher values than the observations after which sulfur burden decreases below observed values
9 approximately one year after the eruption. This has been seen also in previous studies (e.g.
10 English *et al* 2013 and Niemeier *et al* 2009). English *et al.* (2013) suggest that this might be
11 because aerosol heating was not included their model. Our model includes the aerosol heating
12 effect and still underestimates the burden. This might be due the poleward transport at the
13 stratosphere which is overestimated in the model (Niemeier *et al* 2009).

14 In all of the ensemble members the effective radius is generally overestimated during months
15 3-8 after the eruption, although there is also large variation in the measured values (Fig A1b).
16 The simulated maximum value for the effective radius is reached 3-4 months earlier than in
17 observations. After eight months from the eruption results from the all model simulations are
18 good agreement with observations.

19

20

21 One possible explanation to the larger burden and effective radius in the model could be that
22 the amount of erupted sulfur is overestimated in the model compared to the real Pinatubo
23 eruption. Recent global stratospheric aerosol studies indicate a much better agreement with
24 observations if they assume a smaller amount of the volcanic SO₂ emission of 5 to 7 Tg S(
25 Dohmse *et al.* 2014; Sheng *et al.*, 2015). Another possible explanation is that a larger proportion
26 of sulfur was removed from the stratosphere during first months after the eruption due the cross
27 tropopause transport out of stratosphere or the enhanced removal with ash and ice cloud
28 (Dhomse *et al* 2014). Unfortunately, there is only limited amount of observations after the
29 eruption of Pinatubo which makes comparison between model results and observations
30 difficult. However, our results here are similar to the previous model studies (Niemeier *et al*
31 2009, English *et al* 2012, Dhomse *et al* 2014, Sheng *et al* 2015).

1

2 There is some variation in the predicted peak burden and effective radii between the five
3 members of the ensemble simulation (Fig. A1). This indicates that the results are dependent on
4 the local stratospheric conditions at the time of the eruption. Depending on meridional wind
5 patterns during and after the eruption, the released sulfur can be distributed in very different
6 ways between the hemispheres. This can be seen in Figure A2 which shows the sulfate burdens
7 after the eruption separately in the northern and southern hemispheres. As the figure shows, in
8 simulation *Volc1* over 70% of the sulfate from the eruption is distributed to the northern
9 hemisphere, whereas in *Volc5* simulation it is distributed quite evenly to both hemispheres.
10 These very different spatial distributions of sulfate lead to the aerosol optical depth (AOD)
11 fields illustrated in Figure A3. The AOD in the northern hemisphere is clearly higher in the
12 *Volc1* simulation (panel a) than in the *Volc5* simulation (panel b) for about 18 months after the
13 eruption, whereas the opposite is true for the southern hemisphere for approximately the first
14 two years following the eruption. These results highlight that when investigating the climate
15 effects of a volcanic eruption during SRM, an ensemble approach is necessary.

16

17 **Appendix B: Sensitivity simulations: Location and season of the eruption**

18 **Description of sensitivity runs**

19 We also performed a set of sensitivity simulations to investigate how the season and location
20 of the volcanic eruption during SRM impacts the global sulfate burden and radiative forcing.
21 The baseline scenario *SRM Volc* was compared with three new simulations summarized in
22 Table B1 and detailed below. These sensitivity runs were performed only using MAECHAM5-
23 HAM-SALSA due to the high computational cost of the full ESM, and are therefore limited to
24 analysis of sulfur burdens and radiative forcings.

25

26 In the baseline simulations the eruption took place in the tropics. Because the predominant
27 meridional transport in the stratosphere is from the tropics towards the poles, sulfur released in
28 the tropics is expected to spread throughout most of the stratosphere. On the other hand, sulfate
29 released in the mid or high latitudes will spread less effectively to the lower latitudes, and an
30 eruption at mid or high latitudes will therefore lead to more local effects in only one hemisphere.
31 Therefore we conducted a sensitivity run simulating a July eruption during SRM at Mt. Katmai

1 (Novarupta) (58.2° N, 155° W) where a real eruption took place near the northern arctic area in
2 year 1912 (simulation *SRM Arc July*).

3

4 The local stratospheric circulation patterns over the eruption site will also affect how the
5 released sulfur will be transported. Furthermore, stratospheric circulation patterns are depended
6 on the season and thus sulfur transport and subsequent climate effects can be dependent on the
7 time of the year when the eruption occurs. For example, the meridional transport toward the
8 poles is much stronger in the winter than in the summer hemisphere (Fig B1). For this reason,
9 we repeated both the tropical and the Arctic volcanic eruption scenarios assuming that the
10 eruption took place in January instead of July (*SRM Volc Jan* and *SRM Arc Jan*, respectively).

11

12 **Results from sensitivity simulations**

13 Figure B2 shows that the season of the tropical eruption does not significantly affect the
14 stratospheric sulfate burden or the global mean clear-sky radiative forcing (simulations *SRM*
15 *Volc* and *SRM Volc Jan*). The difference in peak burden values between the simulations with
16 January and July eruptions is under 1% (0.11 Tg (S)) and in peak clear-sky forcing about 1%.
17 Although the timing of the eruption does not have a large impact on the global mean values,
18 there is some asymmetry between the hemispheres as peak value of additional sulfate from the
19 eruption is 54% larger after the tropical NH eruption in July (boreal summer) than in January
20 (boreal winter) (not shown). This is because the predominant meridional wind direction is
21 towards south in July and towards north in January (Fig. B1). Our results are consistent with
22 previous studies (Toohey et al 2011, Aquila et al, 2012) who showed that a Pinatubo type
23 tropical eruption in April would lead to an even increase in AOD in both hemispheres, while a
24 volcanic eruption during other seasons will lead to more asymmetric hemispheric forcings. We
25 show that these results hold also if the eruption takes place during SRM.

26

27 On the other hand, if the eruption takes place in the Arctic, the season of the eruption becomes
28 important. Figure B2a shows that a summertime Arctic eruption (*SRM Arc July*) leads to similar
29 global stratospheric peak sulfate burden as the tropical eruptions (*SRM Volc Jan* and *SRM Volc*),
30 although the burden declines much faster after the Arctic eruption. However, an Arctic eruption
31 in January (*SRM Arc Jan*) leads to a global stratospheric sulfate burden peak value that is only

1 ~82% of the July eruption value. The peak value is also reached two months later in the January
2 eruption. Regarding the global forcing (Fig. B2b), an Arctic winter-time eruption (*SRM Arc*
3 *Jan*) leads to a very similar peak forcing than the tropical eruptions, while the additional peak
4 forcing (compared to the pre-eruption level) is 38% lower if the Arctic eruption takes place in
5 July.

6

7 It is interesting to note that in the case of the Arctic volcano, a July eruption leads to a clearly
8 higher stratospheric sulfate peak burden than the January eruption, but the opposite is true for
9 global peak forcing (Fig. B2). A major reason for this is the strong seasonal variation in
10 available solar radiation and subsequently hydroxyl radical (OH) concentration in the high
11 latitudes. OH is the main oxidant that converts SO₂ to sulfuric acid (H₂SO₄). Due to the rising
12 OH concentrations in the Arctic spring, the peak in sulfur burden in the January eruption is
13 reached during the Arctic summer when there is highest amount of sunlight available to be
14 reflected back to space. However, when the eruption takes place in July, the peak burden is
15 reached already in October due to high OH concentrations, and thus much faster compared to
16 the winter-time eruption. However, when the peak value is reached, the intensity of solar
17 radiation has already dramatically decreased, and thus the peak radiative forcing from the
18 eruption remains small. The fast conversion of SO₂ to sulfate also leads to larger particles than
19 after the winter eruption and consequently to faster sedimentation and shorter lifetime (Fig.
20 B2a).

21

22 Another main factor that has impact on the climate effects of an Arctic eruption is the
23 stratospheric circulation. Concurrent circulation patterns can influence the sulfate lifetime and
24 radiative effects. As Figure B1 shows, there is a strong seasonal cycle in the Arctic meridional
25 winds. If an Arctic volcano erupts in January, strong zonal polar vortex winds block poleward
26 transport of released sulfur and it can spread towards midlatitudes. In contrast, in July the
27 atmospheric flow is towards north at the northern high latitudes (Fig. B1) and the sulfur stays
28 in the Arctic. At the same time seasonality of subtropical barrier affects how sulfate is
29 transported to the tropics. As figure B1 shows, winds in the northern border of the tropics are
30 towards south only between April and July and sulfur is transported to the tropic only during this
31 time period. There is clearly more sulfate at the northern border of the tropics during these months
32 after the Arctic eruption in January while most of the sulfate is already removed from the

1 atmosphere if volcano erupted in July. Thus after 6 months of the Arctic eruption, stratospheric
2 sulfur burden in the tropics between 30° N and 30° S is 3.1 Tg (S) for a July eruption but 4.2
3 Tg (S) for a January eruption. Since the tropics have much more solar radiation for the sulfate
4 particles to scatter than the higher latitudes, part of the stronger radiative forcing in the *SRM*
5 *Arc Jan* simulation compared to *SRM Arc July* (Fig. B2b) arises from this difference in transport
6 to the tropics. Furthermore, since the lifetime of sulfur is longer in the low than in the high
7 latitudes, this leads to a longer average sulfur lifetime in the *SRM Arc Jan* simulation (Fig. B2a).

8

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1 Table 1. Studied sulfur injection and volcanic eruption scenarios.

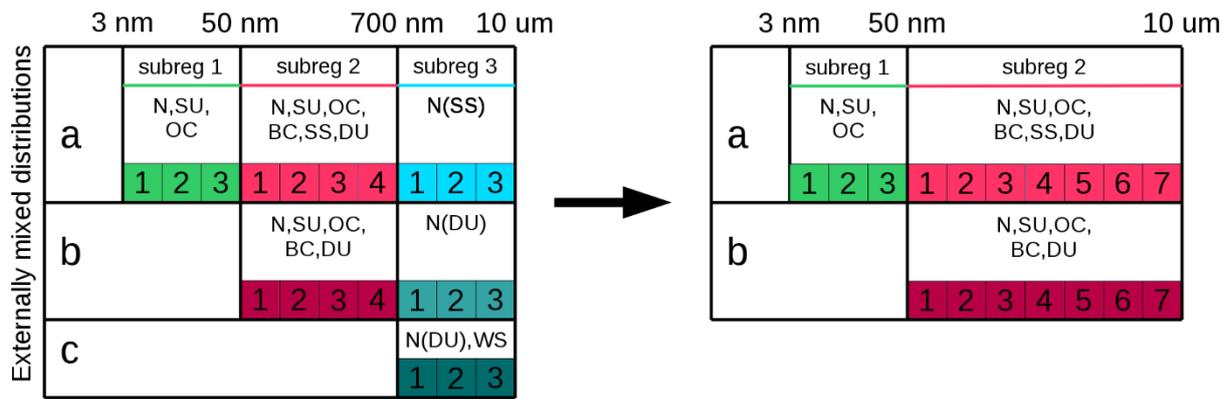
Scenario	Description
<i>CTRL</i>	Control simulation with no SRM or explosive eruptions
<i>SRM</i>	Injections of 8 Tg(S)/yr of SO ₂ between latitudes 30° N and 30° S between 20 km – 25 km altitude
<i>Volc</i>	Volcanic eruption at the site of Mt. Pinatubo (15.14° N, 120.35° E) on the first of July. 8.5 Tg of sulfur (as SO ₂) injected at 24 km
<i>SRM Volc</i>	Volcanic eruption during SRM. SRM suspended immediately after the eruption
<i>SRM Cont</i>	Volcanic eruption during SRM. SRM still continued after the eruption

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1 **Table B1.** Sensitivity scenarios run only with MAECHAM5-HAM-SALSA. Here *Jan* refers to
2 a volcanic eruption in January and *Arc* to an Arctic eruption at the site of Katmai.

Scenario	Timing of eruption	Eruption site	SRM
<i>SRM Volc Jan</i>	1. January	Pinatubo (15° N, 120° E)	suspended
<i>SRM Arc Jan</i>	1. January	Katmai (58° N, 155° W)	suspended
<i>SRM Arc July</i>	1. July	Katmai (58° N, 155° W)	suspended

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3 Figure 1. Particle size sections and chemical species in aerosol model SALSA. The left-hand
 4 figure illustrates the standard SALSA set-up. The rows ‘a’, ‘b’ and ‘c’ denote the externally
 5 mixed particle distributions. Within each distribution and subregion, N denotes number
 6 concentration and SU, OC, BC, SS and DU respectively sulfate, organic carbon, black carbon,
 7 sea salt and dust masses, which are traced separately. Within distribution ‘a’ and ‘b’ subregion
 8 3, only particle number concentration is tracked, and all particles are assumed to be sea salt in
 9 distribution ‘a’ (N(SS)) and dust in distribution ‘b’ (N(DU)). In subregion 3 only number
 10 concentration (N(DU)) and water soluble fraction (WS) are traced. The numbers at the bottom
 11 of each subregion illustrate the size sections within that subregion. In our study, the third
 12 subregion is excluded and the second subregion is broadened to cover subregion 3 size sections
 13 (right-hand figure).

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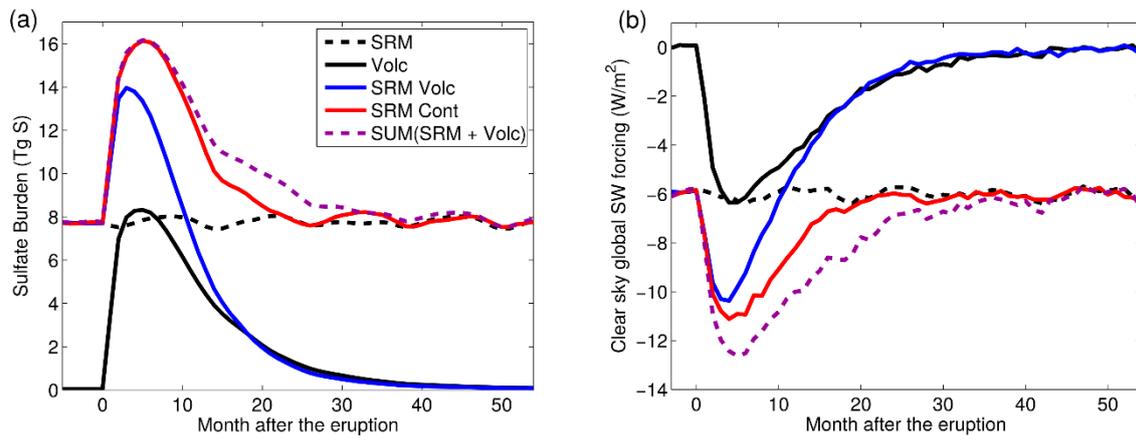
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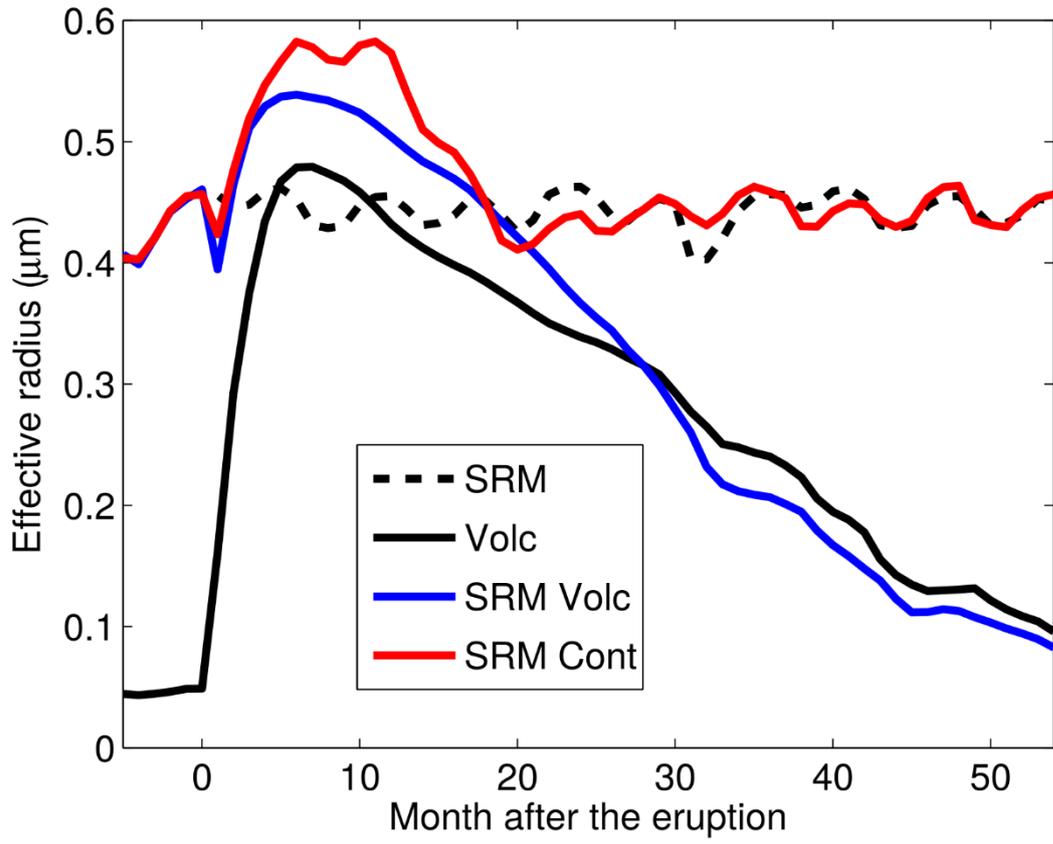
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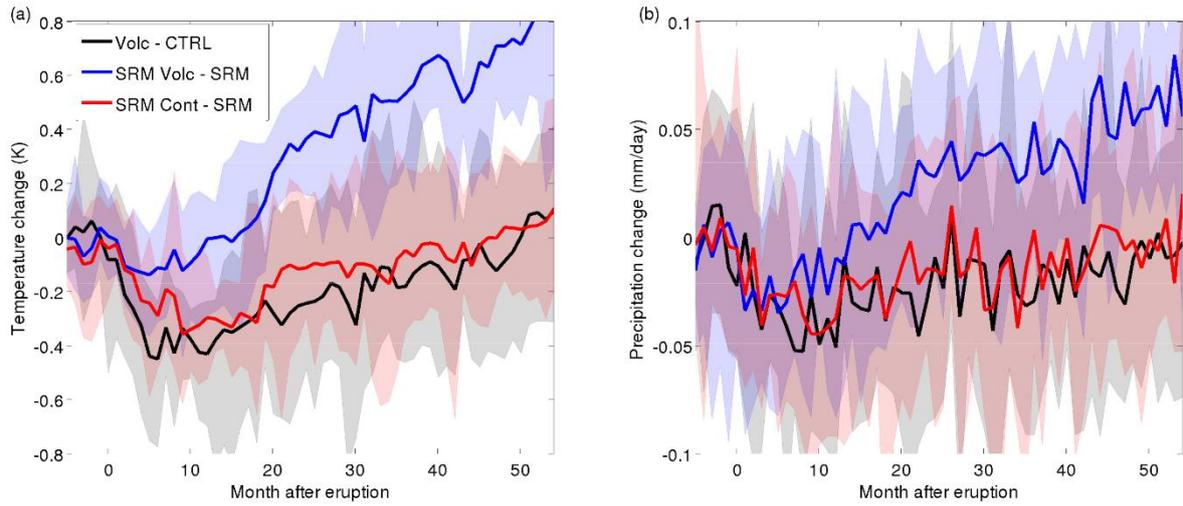
2 Figure 2. a) Stratospheric sulfate burden and b) global mean clear sky shortwave radiative
 3 forcing at the surface in the different scenarios. In addition, the dashed purple line represents
 4 the sum of SRM and Volc runs, and is shown for comparison.

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Figure 3. Mean effective radius in the different scenarios between 20°N and 20°S latitudes and between 20 - 25 km altitude levels.



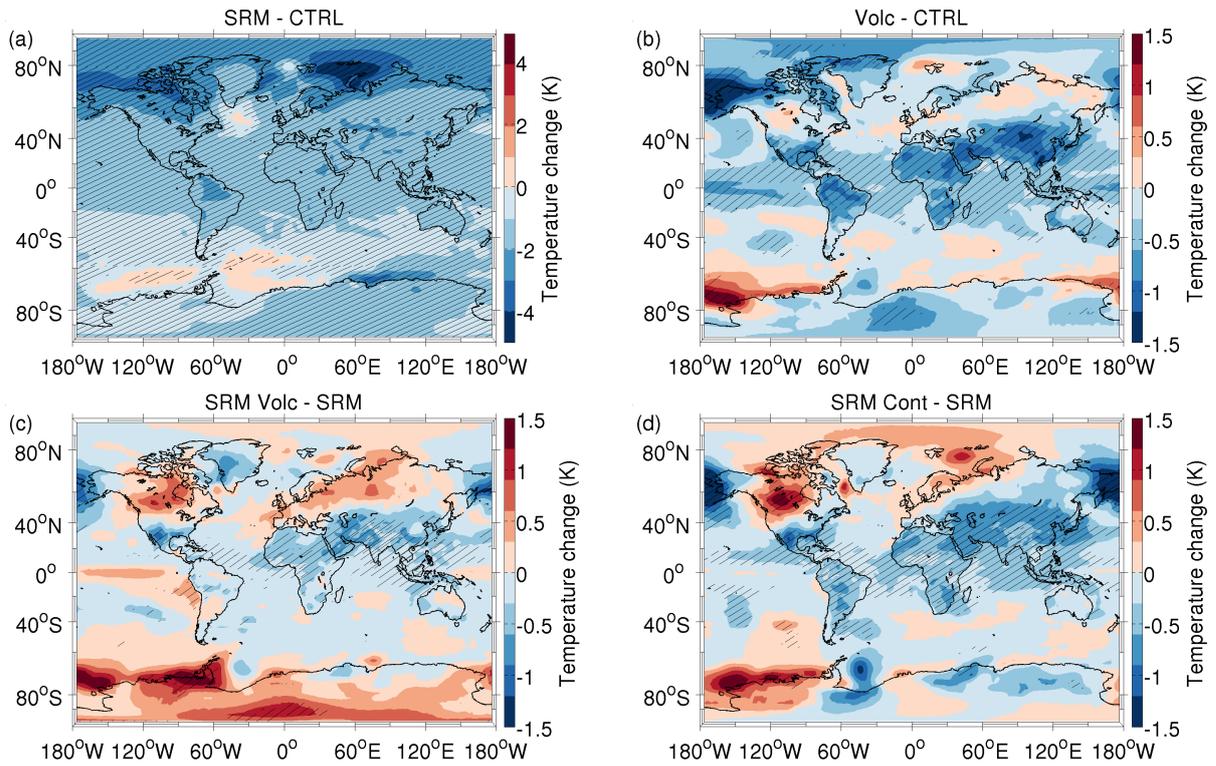
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3 Figure 4. Global mean 2m a) temperature and b) precipitation changes after the volcanic
 4 eruption compared to the background condition (black line) and during solar radiation
 5 management (blue and red lines). Solid lines are mean values of the ten members of the
 6 ensemble simulations. The maximum and minimum values of the ensemble are depicted by
 7 shaded areas.

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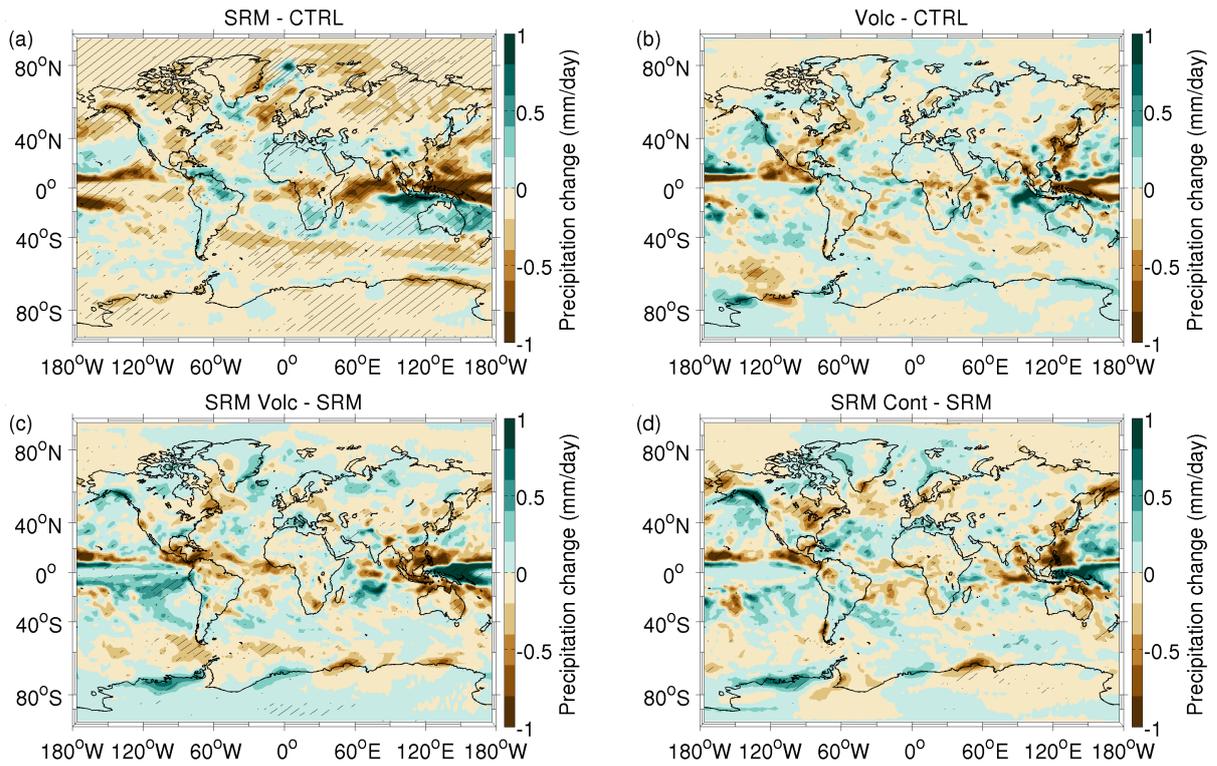
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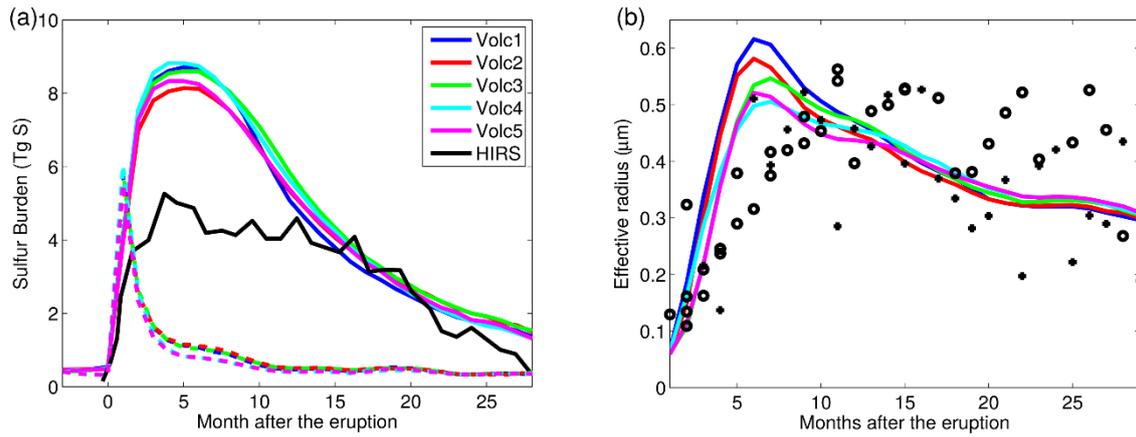
3 Figure 5. Ensemble mean change in annual mean 2-meter temperature. a) 50-year mean temperature
 4 change in SRM scenario. One-year-mean temperature change after the volcanic eruption in b)
 5 Volc, c) SRM Volc and d) SRM Cont compared to the pre-eruption climate (CTRL for SRM
 6 and Volc, and SRM for SRM Volc and SRM Cont). Hatching indicates a regions where the
 7 change of temperature is statistically significant at 95% level. Significance level was estimated
 8 using Student's unpaired t test with a sample of 10 ensemble member means for panels b-d and
 9 a sample of 50 annual means for panel a. Note different scale in panel a.



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3 Figure 6. Ensemble-mean precipitation change in a) 50 year mean precipitation change in the
 4 SRM scenario. The change in one year mean precipitation after the volcanic eruption in b)
 5 Volc, c) SRM Volc and d) SRM Cont compared to the pre-eruption climate (CTRL for SRM
 6 and Volc, and SRM for SRM Volc and SRM Cont). Panels b-d show the one-year-mean
 7 temperature after the eruption. Panel a shows the mean over the corresponding one-year-periods
 8 as the other panels. Hatching indicates a regions where the change of precipitation is
 9 statistically significant at 95% level. Significance level was estimated using Student's unpaired
 10 t test with a sample of 10 ensemble member means for panels b-d and a sample of 50 annual
 11 means for panel a.

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3 Figure A1. a) Global SO₂ (dashed lines) and particulate sulfate (solid lines) burdens after a
 4 simulated volcanic eruption in July compared to sulfate observations from HIRS satellite after
 5 the 1991 Pinatubo eruption (black). b) Zonal mean effective radius at 53° N latitude after the
 6 simulated July eruption compared to lidar measurements at Laramie 41° N (dots) and
 7 Geestracht 53° N (crosses) after the Pinatubo eruption (Ansmann et al., 1997). In both panels
 8 the results are shown for altitude range 16 - 20 km. The different colored lines show results
 9 from the 5 members of the simulated ensemble (simulations Volc1,..., Volc5).

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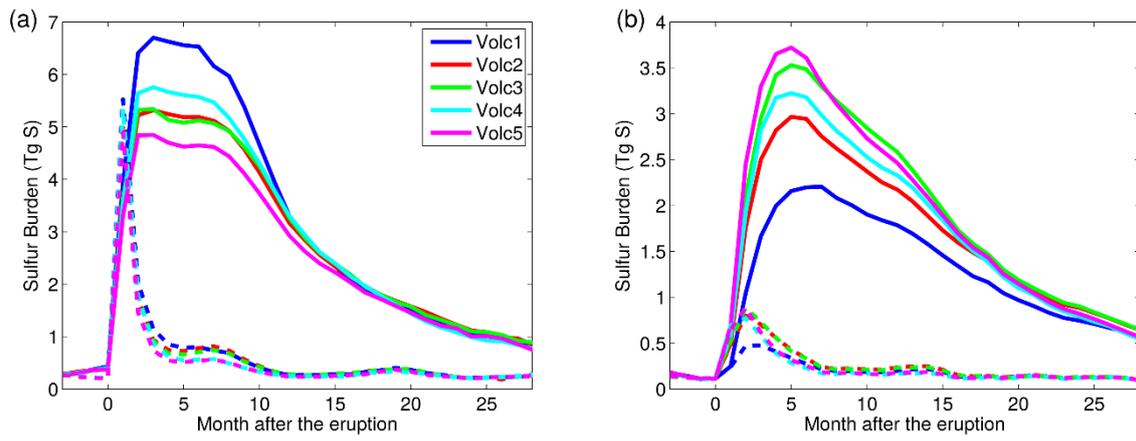
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3 Figure A2. SO₂ (dashed lines) and sulfate (solid lines) burden after the eruption on a) northern
 4 hemisphere and b) southern hemisphere. Note different scale in Y-axes.

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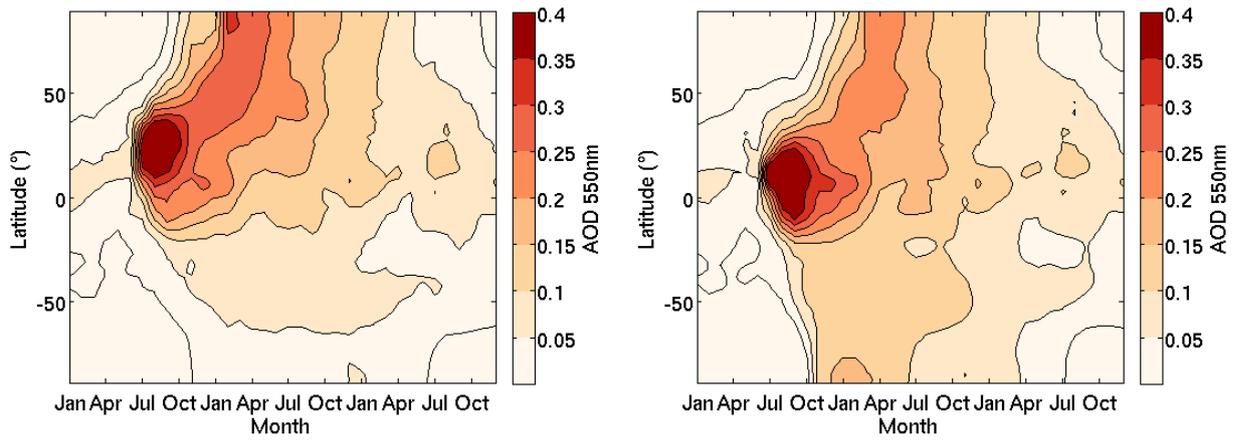
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3 Figure A3. Zonal and monthly mean 550 nm aerosol optical depth after volcanic eruption in a)

4 Volc1 simulation and b) Volc5 simulation

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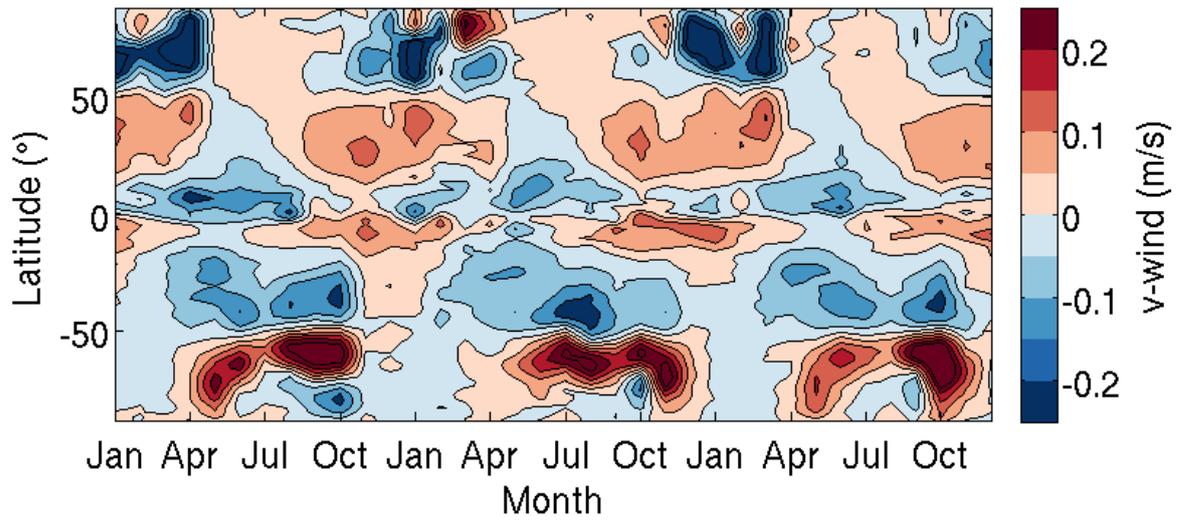
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3 Figure B1. Meridional wind components (positive values from south to north) at 25 km altitude
 4 in CTRL simulation with MAECHAM5-HAM-SALSA.

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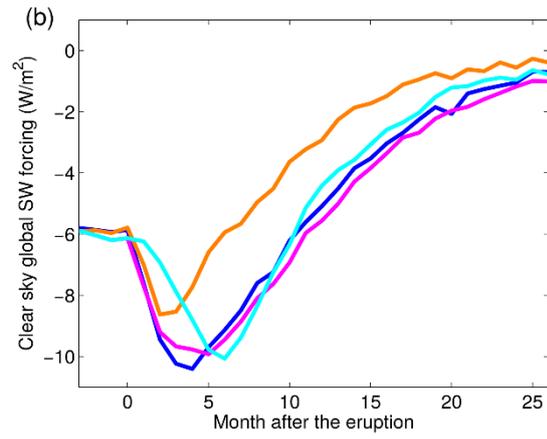
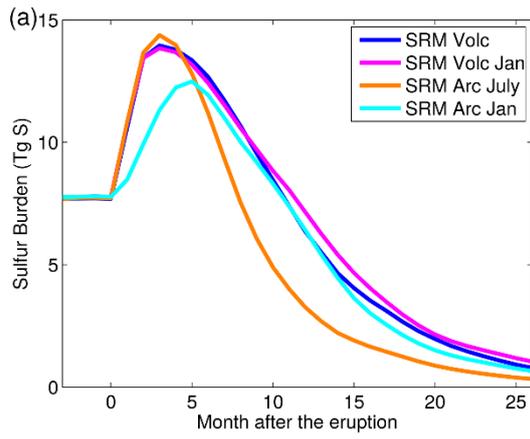
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Figure B2. a) Stratospheric sulfate burden and b) global mean clear sky shortwave radiative forcing after the eruption in January (blue line) and July (magenta line) and Arctic eruption in January (cyan line) and July (orange line).