Volutility basis-set approach simulation of organic aerosol formation in East Asia: implications for anthropogenic-biogenic interaction and controllable amounts

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Abstract

Organic aerosol (OA) simulations using the volatility basis-set approach were made for East Asia and its outflow region. Model simulations were evaluated through comparisons with OA measured by aerosol mass spectrometers in and around Tokyo (at Komaba and Kisai in summer 2003 and 2004) and over the outflow region in East Asia (at Fukue and Hedo in spring 2009). The simulations with aging processes of organic vapors reasonably well reproduced mass concentrations, temporal variations, and formation efficiency of observed OA at all sites. As OA mass was severely underestimated in the simulations without the aging processes, the oxidations of organic vapors are essential for reasonable OA simulations over East Asia. By considering the aging processes, simulated OA concentrations increased from 0.24 to 1.28 µg m\(^{-3}\) in the boundary layer over the whole of East Asia. OA formed from the interaction of anthropogenic and biogenic sources was also enhanced by the aging processes. The fraction of controllable OA was estimated to be 87 % of total OA over the whole of East Asia, showing that most of the OA in our simulations formed anthropogenically (from controllable combustion sources). Even a large portion of biogenic secondary OA (78 % of biogenic secondary OA) was formed through the influence of anthropogenic sources. These fractions were higher than the fraction of anthropogenic emissions. An important reason for these higher controllable fractions was higher oxidant concentrations and resulting faster oxidation rates of OA precursors by considering anthropogenic sources. Both the amounts (from 0.18 to 1.12 µg m\(^{-3}\)) and the fraction (from 75 % to 87 %) of controllable OA were increased by aging processes of organic vapors over East Asia.
1. Introduction

Organic aerosol (OA) accounts for a significant mass fraction of the submicron aerosols in the atmosphere (Kanakidou et al., 2005; Zhang et al., 2007), and it influences the Earth’s climate both directly (by scattering/absorbing of solar radiation) and indirectly (by modifying cloud microphysical properties) (Hallquist et al., 2009). OA is directly emitted from fossil fuel combustion, biomass burning, and other sources (primary organic aerosol, POA) or formed through the oxidation of thousands of volatile organic compounds (VOCs) in the atmosphere (secondary organic aerosol, SOA). Recent studies show that SOA accounts for a large fraction of OA globally (e.g., Kanakidou et al., 2005; Goldstein and Galbally, 2007; Zhang et al., 2007; de Gouw and Jimenez, 2009). However, as SOA formation processes are very complicated, estimates of the SOA burden in the atmosphere and its impact on climate and human health remain highly uncertain compared with those of other aerosols such as inorganic species (Hallquist et al., 2009).

The current estimation of global SOA formation rate is about 30 – 450 Tg yr$^{-1}$ (Hallquist et al., 2009; Heald et al., 2010; Spracklen et al., 2011).

In the traditional OA models, the mass concentrations of SOA produced from individual parent VOCs (for example, isoprene and terpenes for biogenic VOCs, and benzene, toluene and xylene for anthropogenic VOCs) are calculated with two mass-based yield coefficients and two partitioning coefficients which are estimated by fitting of laboratory experimental results (two-product approach) (Odum et al., 1996, 1997). Using these coefficients various global- and regional-scale simulations have been made (e.g., Chung and Seinfeld, 2002; Tsagaridis and Kanakidou, 2003, 2007; Heald et al., 2005, 2008), but they have underestimated observed OA and/or SOA concentrations and
formation rates in the atmosphere by approximately an order of magnitude, especially over urban regions (e.g., McKeen et al., 2007; Han et al., 2008; Matsui et al., 2009a).

More recently, a significant source of SOA was proposed by laboratory studies (e.g., Robinson et al., 2007), which found missing sources of semivolatile and intermediate volatility organic compounds (S/IVOCs) and the importance of chemical aging of S/IVOCs and VOCs in the atmosphere. Donahue et al. (2006) developed a new framework for OA modeling, the volatility basis set (VBS) approach. In the VBS, individual organic vapors are categorized to surrogate species with similar volatility, and their photochemical multigenerational oxidation and gas/particle partitioning processes are calculated. The VBS approach has recently been applied to both global- and regional-scale simulations (e.g., Lane et al., 2008a, 2008b; Farina et al., 2010; Pye and Seinfeld, 2010; Jathar et al., 2011). Improvement of the agreement between oxygenated OA (OOA, thought to be analogous to SOA) observed by aerosol mass spectrometers (AMS) and simulated SOA was reported for the air over Mexico City (Hodzic et al., 2010; Tsimpidi et al., 2010, 2011; Shrivastava et al., 2011), the United States (Ahmadov et al., 2012), and Europe (Fountoukis et al., 2011; Athanasopoulou et al., 2013).

East and Southeast Asia is one of the largest sources of aerosols in the world (e.g., Dentener et al., 2006; Bond et al., 2013). Many studies have reported impacts of Asian aerosols on regional and hemispherical scales (e.g., Ramanathan et al., 2001; Carmichael et al., 2003; Adhikary et al., 2010; Matsui et al., 2011a, 2011b, 2013a; Oshima et al., 2012, 2013). Several global and regional modeling studies have simulated and evaluated OA over East Asia (e.g., Heald et al., 2005; 2011; Han et al., 2008; Matsui et al., 2009a; Utembe et al., 2011; Mahmud and Barsanti, 2013). Most previous OA
simulation studies underestimated observed OA and SOA concentrations over the region. For example, Utembe et al. (2011) evaluated their global OA simulations over the outflow region in East Asia through the comparisons with OA measurements during the ACE-Asia campaign. While their simulations reproduced the vertical profile of observed OA mass concentrations, they underestimated absolute OA mass concentrations by a factor of 5. Matsui et al. (2009a) made OA simulations over Tokyo urban area in July and August 2003. The simulations reproduced absolute concentrations and their temporal variations of observed NOx, ozone (O3), VOCs, and inorganic aerosols reasonably well, but severely underestimated observed SOA (by a factor of 5) and OA concentrations (by a factor of 2).

Few studies have focused on OA concentrations and their spatial distributions over the whole of East and Southeast Asia and its outflow region (Han et al., 2008; Jiang et al., 2012). They also underestimated observed OA and/or SOA concentrations over China. As the VBS approach has a potential to explain realistic OA concentrations over East and Southeast Asia, the application and evaluation of the VBS approach to the Asian region is important for more quantitative understanding of OA concentrations and their spatial distributions over the region.

The understanding on the interaction of anthropogenic and biogenic sources is also very limited over the Asian region. Anthropogenic sources may substantially influence biogenic SOA (BSOA) formation (e.g., Carlton et al., 2010; Hoyle et al., 2011; Spracklen et al., 2011). The formation of BSOA is enhanced by anthropogenic POA, NOx, and VOCs because they increase the concentrations of precursor VOCs, the oxidation rates of VOCs, and the particle-to-gas partitioning ratios of organic compounds.
Carlton et al. (2010) estimated the effect of anthropogenic emissions on BSOA formation and demonstrated that more than 50% of predicted BSOA concentrations were influenced by anthropogenic emissions in the eastern United States. Some global modeling studies estimated much higher contributions from enhanced BSOA (Tsigaridis et al., 2006; Hoyle et al., 2009; Spracklen et al., 2011). As both anthropogenic and biogenic emissions are very large over East and Southeast Asia, the interaction of anthropogenic and biogenic sources and the resulting enhancement of BSOA is very important and should be examined for the region. These understandings would be useful to estimate the past, current, and future OA concentrations and their regional and hemispherical climatic impacts.

The objective of this study is to understand OA concentrations and their spatial distributions over all of East and Southeast Asia and its outflow region with the interaction of anthropogenic and biogenic sources. We simulate OA concentrations over East Asia and its outflow region by using a VBS model we develop (Sect. 2), and evaluate the results through comparisons with AMS measurements conducted in and around Tokyo and over the outflow region in East Asia (Sect. 3 and 4). OA spatial distributions over East Asia are described with the importance of aging treatments in the VBS (Sect. 5.1.1). We also examine the interaction of anthropogenic and biogenic sources in OA formation processes, such as the enhancement of BSOA formation due to aging processes of anthropogenic S/IVOCs and VOCs (Sect. 5.1.3). Finally, we estimate the contribution of anthropogenically induced (controllable) OA over East Asia and the impact of aging treatments on it (Sect. 5.2). The abbreviations of organic vapors and aerosols used in
this study are summarized in Table 1.

2. Regional three-dimensional model

2.1. WRF-chem model

In this study, we use the Weather Research and Forecasting/Chemistry (WRF-chem) model with the MOSAIC aerosol module (version 3.4) (Skamarock et al., 2008; Grell et al., 2005; Fast et al., 2006; Zaveri et al., 2008), which has been used in our previous studies (Matsui et al., 2009b, 2010, 2011c, 2013b, 2013c), with modifications of the schemes related to organic aerosol formation (see Sect. 2.2). The chemical processes considered in the original WRF-chem model are emissions of gaseous and aerosol species, gas-phase chemistry (Zaveri and Peters, 1999), new particle formation (Wexler et al., 1994), dynamical gas-particle partitioning (condensation/evaporation) (Zaveri et al., 2005a, 2005b, 2008), Brownian coagulation (Jacobson et al., 1994), aqueous-phase chemistry for inorganic species (Fahey and Pandis, 2001), and dry and wet deposition (Easter et al., 2004). The mass (sulfate (SO₄), nitrate, ammonium, black carbon (BC), POA, dust, sodium, chloride, and aerosol water) and number concentrations of aerosol are explicitly calculated for the size range from 40 nm to 10 μm in 8 size bins. The meteorological and chemical process options adopted in this study are summarized in Table 2. More detailed descriptions of the WRF-chem/MOSAIC model are given elsewhere (Fast et al., 2006).

2.2. OA formation scheme (VBS)

The WRF-chem model was modified to consider OA formation processes using
the VBS approach (Fig. 1). Table 3 shows the summary of the OA formation scheme developed in this study. Similar to previous studies (e.g., Lane et al., 2008a; Tsimpidi et al., 2010, Shrivastava et al., 2011), this study uses 9 surrogate volatility species to represent S/IVOCs with effective saturation concentrations ($C^*$, saturation concentrations at 300K) of $10^{-2}$, $10^{-1}$, 1, $10^2$, $10^3$, $10^4$, $10^5$, and $10^6$ µg m$^{-3}$. Gas-phase chemistry is represented by the SAPRC99 mechanism (Carter, 2000) with the formation of first-generation oxidized VOCs (OVOCs) from the 9 lumped VOCs; alkanes (ALK4 and ALK5), olefins (OLE1 and OLE2), aromatics (ARO1 and ARO2), isoprene (ISOP), monoterpene (TERP), and sesquiterpene (SESQ). The mass yield of OVOCs from each lumped VOC is calculated with the same NOx-dependent 4-product basis fit ($C^*$ of 1, 10, 100, and 1000 µg m$^{-3}$) used in Tsimpidi et al. (2010). S/IVOCs and OVOCs are oxidized to the surrogate species with an order of magnitude lower $C^*$ by OH radical with an assumed rate constant of $1 \times 10^{-11}$ cm$^3$ molecule$^{-1}$ s$^{-1}$ (Fig. 1). The increase in SOA mass due to the addition of an oxygen atom is taken into account, as described by Tsimpidi et al. (2010): 7.5% increase for the reduction of volatility by one order of magnitude. The enthalpy of vaporization is based on Tsimipidi et al. (2010) and Lane et al. (2008a): 64 – 112 kJ mol$^{-1}$ for POA and 30 kJ mol$^{-1}$ for SOA. Our scheme traces 53 surrogate vapor species (9 for primary S/IVOCs, 8 for oxygenated S/IVOCs, and 36 for OVOCs) and the corresponding 53 aerosol species for bulk aerosol mass concentrations. In this study, we define oxidized POA (OPOA) as OA from oxygenated S/IVOCs, anthropogenic SOA (ASOA) as OA from anthropogenic VOCs (ALK4, ALK5, OLE1, OLE2, ARO1, and ARO2), and biogenic SOA (BSOA) as OA from biogenic VOCs (ISOP, TERP, and SESQ) (Fig. 1).
The scheme assumes equilibrium between the vapor and particulate species. Bulk equilibrium gas-particle partitioning is calculated with an iteration scheme of Schell et al. (2001). The changes in size-resolved mass concentrations in 8 size bins are calculated based on Koo et al. (2003) with the Kelvin effect. The fraction of total flux of species $i$ between gas and aerosol phases that condenses onto or evaporates from aerosol size bin $k$ ($f_{i,k}$) is given by

$$
\tilde{f}_{i,k} = \frac{2\pi N_k d_k D_i F(C_i - C_i^{eq})}{\sum_k 2\pi N_k d_k D_i F(C_i - C_i^{eq})}
$$

(1)

where $N_k$ is the number concentrations in bin $k$, $d_k$ is the mean diameter of bin $k$, $D_i$, $C_i$, and $C_i^{eq}$ are the diffusivity, bulk gas-phase concentration, and equilibrium concentration at the particle surface of species $i$, respectively, $F$ is the correction for non-continuum effects which depends on the Knudsen number and the accommodation coefficient (0.1 is assumed), and $\eta$ is the Kelvin effect correction. In our scheme, equation (1) is calculated for individual VBS species (53 species), but all of the size-resolved information is not directly used for the calculation of three-dimensional transport processes to reduce computational cost. Only total OA (sum of all VBS species) is transported with the size-resolved information, and individual VBS species are transported with the information of bulk mass concentrations only (not size-resolved, we assume all VBS species have the same size distribution). This treatment can reduce the number of transport variables (therefore computational cost) by a factor of 4 compared with the size-resolved treatment for all VBS species and by a factor of 3 compared with the 4-bin scheme in Shrivastava et al. (2011) which was implemented in original WRF-
chem model (Table 3). Therefore, the scheme developed in this study is a detailed (9 species), size-resolved (for total OA), and computationally efficient VBS scheme.

In WRF-chem, interstitial (aerosol-phase) and in-cloud (cloud-phase) aerosols are treated separately for all aerosol species (5 inorganic species, BC, OA, and dust) and size bins to calculate in-cloud aerosol formation, regeneration, and wet removal processes. Therefore, OA size distribution is calculated separately for aerosol-phase and cloud-phase in our model. The model considers the increase in total OA (sum of all VBS species) through aerosol regeneration after cloud evaporation. Since the information of each VBS species is not calculated for in-cloud aerosols in our model, chemical composition (mass fraction of each VBS species) of regenerated OA is assumed to be that of interstitial OA at the same three-dimensional grid cell.

The emission factors of S/IVOCs and POA were assumed based on Shrivastava et al. (2011). In this study, we apply the factors for anthropogenic sources (Table 2 of Shrivastava et al. (2011)) to all the emission sources. The sum of all S/IVOCs and POA emissions is 7.5 times traditional POA emissions (Fig. 1), which is based on the rough estimate of the SVOC/POA ratio of 3 and the IVOC/SVOC ratio of 1.5 (or the IVOC/POA ratio of 4.5) in previous studies (Tsimpidi et al., 2010; Shrivastava et al., 2011). To make consistent aerosol number concentrations between traditional OA emissions and S/IVOCs/POA emissions, we assume particulate emissions (POA) for C* ranging from $10^{-2}$ to 1 µg m$^{-3}$, gas-phase emissions (S/IVOCs) for C* ranging from $10^6$ to $10^2$ µg m$^{-3}$, and the mixture of gas-phase and particulate emissions for C* of 10 µg m$^{-3}$ (Fig. 1).

Dry deposition of organic vapors (S/IVOCs and OVOCs) is calculated by the scheme of Wesely (1989), which is used in the original WRF-chem/MOSAIC model. In
In our VBS model, oxidation processes are considered only for gaseous species, namely, homogenous aging by OH radical. Our model does not consider other processes, such as aqueous-phase reactions (e.g., Ervens et al., 2011; Liu et al., 2012), heterogeneous oxidation (e.g., George et al., 2007, 2008), oligomerization (e.g., Kalberer et al., 2004; Inuma et al., 2004), and fragmentation (e.g., Jimenez et al., 2009; Kroll et al., 2009; Murphy et al., 2012). These processes could be important because they form OA from organic vapors and alter volatility and oxidation state (i.e., an atomic O/C ratio) of organic vapors and OA, leading to changes in OA concentrations. However, currently these processes have large uncertainties in reaction rates and products (Hallquist et al., 2009). Some recent studies developed two-dimensional VBS schemes (2D-VBS), in which both volatility and oxidation state were calculated considering functionalization and fragmentation (e.g., Jimenez et al., 2009; Donahue et al., 2011; Murphy et al., 2011, 2012;
Shrivastava et al., 2013). In Murphy et al. (2012), heterogeneous oxidation and aqueous-phase chemistry processes were also taken into account. They applied their one-dimensional (column) chemical transport model to Europe and showed that the simple one-dimensional (volatility only) VBS (1D-VBS) scheme reproduced observed OA mass concentrations and O/C ratios reasonably well and that the performance of the 1D-VBS scheme was not worse than that of their more complex 2D-VBS schemes, likely due to uncertainties in the understanding of SOA evolution in the atmosphere. Considering these uncertainties and computational costs of complex 2D-VBS schemes, we use a simpler 1D-VBS scheme in this study.

2.3. Uncertainties in the treatment of VBS model

To understand uncertainties of the simplicity in our VBS model, we conducted a sensitivity simulation without the simplicity. In this simulation, OA size distribution is calculated for each VBS species (53 species × 8 size bins). Aerosol-phase and cloud-phase aerosols and their size distributions are calculated separately for each VBS species. From the comparison between this sensitivity simulation including full representation of OA species and the base case simulation with the simplicity, the uncertainties in the estimation of SOA mass concentrations in the base simulation were estimated to be about 20% (as a total effect of OA formation, activation, and removal processes). The correlation coefficient ($R^2$) of SOA spatial distribution (at about 1 km) between the two simulations was 0.96, suggesting that the performance of OA distributions is good enough in the base case simulation.

Our VBS scheme has large uncertainties in the treatment of aging parameters,
emission factors, and dry and wet deposition of organic vapors, which could change simulated OA concentrations considerably. In this study, the sensitivity of aging coefficients is examined in Sect. 4.2 and 5.1.2. The uncertainties in emission factors and the treatment of dry and wet deposition for organic vapors used in the VBS scheme are described briefly here. The S/IVOCs-to-POA emission ratio of 7.5 used in this study is a highly uncertain parameter. A sensitivity simulation with the increase in SVOC (C* ranging from $10^3$ to $10^{-2}$ µg m$^{-3}$) emissions by a factor of 2 enhanced total OA and SOA concentrations by 25% and 45%, respectively, in our application over East Asia (period- and domain-averaged values at an altitude of about 1 km). Another sensitivity simulation with the increase in IVOC (C* ranging from $10^6$ to $10^4$ µg m$^{-3}$) emissions by a factor of 2 enhanced total OA and SOA concentrations by 20% and 15%, respectively. These results suggest OA concentrations are moderately sensitive to the treatment of S/IVOC emissions over East Asia. OA concentrations are sensitive to the treatment of dry deposition of organic vapors because a factor of 2 different velocities for S/IVOCs and OVOCs lead to an increase/decrease in OA concentrations about 50% in our application over East Asia. OA concentrations also have moderate sensitivity to the treatment of wet deposition of S/IVOCs and OVOCs. OA concentrations were increased by 25% in the simulation without wet deposition of S/IVOCs and OVOCs.

3. Measurements and simulation setups

In this study, we simulate OA formation both in and around Tokyo urban area (Sect. 3.1) and over East Asia (Sect. 3.2). The purpose of the simulation in and around Tokyo is to validate the VBS scheme over the region where meteorological fields,
emissions, and the concentrations of precursor gaseous species are relatively well known (compared with over the Asian region). We used observed data during the Integrated Measurement Program for Aerosol and oxidant Chemistry in Tokyo (IMPACT) campaign (Takegawa et al., 2006a, 2006b; Kondo et al., 2006, 2007, 2008, 2010). OA mass concentrations observed with an Aerodyne AMS and gaseous species such as O₃, OH, and VOCs are available for the campaign period. These observation data can be used not only to validate the simulations but also to constrain the parameters related to OA formation such as precursor VOCs. The simulation over Asia is conducted to understand the behavior of OA over all of East and Southeast Asia and its outflow region, though there are uncertainties in emissions and limitations of validations especially for precursor gases. OA mass concentrations (Aerodyne AMS) at two sites in Japan were used to evaluate the simulations over the outflow regions from the Asian continent.

3.1. Simulation in and around Tokyo (summer 2003 and 2004)

We used OA mass concentrations observed by an Aerodyne AMS and gaseous species of O₃ and VOCs at an urban area, Komaba (35.66°N, 139.67°E), Tokyo, in July and August 2003 during the IMPACT-2 campaign and at a suburban site, Kisai (36.08°N, 139.55°E), Saitama, in July and August 2004 during the IMPACT-L campaign (Fig. 2a). Details of the measurements are given elsewhere (Takegawa et al., 2005, 2006a, 2006b; Kondo et al., 2006, 2007, 2008, 2010, Shirai et al., 2007; Kanaya et al., 2007).

The oxygenated and hydrocarbon-like OA concentrations (OOA and HOA) were estimated by least-squares fits to the time series of OA using a linear combination of the time series of AMS-derived signals at mass-to-charge (m/z) ratios 44 and 57 (Zhang et
Though there are some uncertainties in this method, the OOA/HOA concentrations derived from this method can be used as a proxy of SOA/POA concentrations because SOA and POA concentrations, which were estimated from the correlation of total OA with CO, correlated well with HOA and OOA with slopes of 0.88–1.36 (R² of 0.76 – 0.85) and 0.97–1.41 (R² of 0.65 – 0.85), respectively, during the IMPACT campaign (Takegawa et al., 2006a, 2006b; Kondo et al., 2007). We used observed HOA concentrations to constrain POA emissions and to simulate realistic POA concentrations by the model (see below). Observed OOA is used to validate simulated SOA (Sect. 4.1).

For the simulation in and around Tokyo, the horizontal grid spacings in the model domain are 27 km (outer domain) and 9 km (inner domain) (horizontal scale of 9 × 7 degrees, Fig. 2a), and there are 18 vertical levels from the surface to 100 hPa. The lowest layer is about 30 m in depth. The simulation periods are 17 July – 15 August 2003 during the IMPACT-2 campaign and 23 July – 15 August 2004 during the IMPACT-L campaign. The first 2 days of data were used for model spin-up. The National Centers for Environmental Prediction (NCEP) Final (FNL) Operational Global Analysis data were used for initial and boundary conditions and nudging (free troposphere only) of meteorological fields. We made two model simulations, with and without aging processes of organic vapors in the VBS.

We used anthropogenic emission inventories for 1998 at a horizontal resolution of 10 × 10 km² with seasonal and diurnal dependencies (Kannari et al., 2004). The detailed description of the inventories is given by Matsui et al. (2009a). We also used on-line biogenic emissions: the Model of Emissions of Gases and Aerosols from Nature
version 2 (MEGAN2) (Guenther et al., 2006). Using the same approach as described in Matsui et al. (2009a), the emissions of aromatics (toluene and xylene) and POA were increased or decreased over all the simulation domains (without modification of spatial emission patterns) to achieve good agreement between observed and simulated mean concentrations of these species at the Komaba site during the IMPACT-2 campaign: ARO1 (toluene-like) and ARO2 (xylene-like) emissions were reduced by 50% and 30%, respectively, and POA emissions were increased by 25%. As the simulations with these modifications can reproduce mean concentrations of aromatics and POA during the simulation period, at least at and around Komaba, we can robustly evaluate the performance of OA formation processes. Note that the modifications of POA emissions were applied to the simulations in and around Tokyo only. We do not use these modifications in the simulations over East Asia (section 3.2).

3.2. Simulation over East Asia (spring 2009)

We used OA and sulfate mass concentrations observed with an Aerodyne AMS at Fukue (32.75°N, 128.68°E) and Hedo (26.87°N, 128.25°E), Japan, in March and April 2009 during the Aerosol Radiative Forcing in East Asia (A-FORCE) aircraft campaign (Oshima et al., 2012). As described by Takami et al. (2005, 2007), the collection efficiency was assumed to be 0.5 at Fukue and 1.0 at Hedo. Details of the AMS measurements at Fukue and Hedo are described by Takami et al. (2005, 2007). OA measurements over the outflow regions in East Asia are limited and they are useful to evaluate model simulations. The air parcels observed at Fukue and Hedo represent the history of sources from wide areas over northern China and their histories during the
transport (e.g., Kondo et al., 2011; Matsui et al., 2013a), which suggests model evaluations at these sites are suitable for overall validations of sources, transport, and transformation of aerosols from the Asian continent to the Pacific. We also used BC mass concentrations observed with the continuous soot monitoring system (COSMOS) to evaluate primary aerosol at Fukue and Hedo (Kondo et al., 2011).

For the simulation over East Asia, the horizontal grid spacings for the model domain are 180 km (outer domain) and 60 km (inner domain) (horizontal scale of $120 \times 60$ degrees, Fig. 2b), and there are 26 vertical levels from the surface to 100 hPa. The lowest layer is about 30 m in depth. The simulation period is 21 March – 26 April 2009 during the A-FORCE aircraft campaign. Statistics are calculated for 24 March – 26 April 2009 period. The NCEP-FNL data were used for initial and boundary conditions and nudging (free troposphere only) of meteorological fields. Our previous simulations using WRF-chem successfully reproduced meteorological fields due to synoptic-scale meteorological variations and related transport and variation processes of aerosol mass and number concentrations observed by both the aircraft and surface measurements during the A-FORCE period (Matsui et al., 2013b, 2013c). Our previous simulation using similar model settings also showed that observed precipitation and its spatial distributions were generally reproduced by WRF during the simulation period (Oshima et al., 2013). Table 4 shows the list of simulations over East Asia conducted in this study.

We used the anthropogenic and volcanic emission inventories of Streets et al. (2003), which were also used in our previous studies (Matsui et al., 2013b, 2013c). SO$_2$ emissions from the Miyakejima volcano were modified based on measurements, as shown by Matsui et al. (2013c). We also used daily biomass burning emissions from the Global
Fire Emissions Database version 3 (GFED3) (van der Werf et al., 2010), and on-line biogenic emissions from MEGAN2. Sea salt and dust emissions from natural sources are not considered in this study.

Anthropogenic POA (from fossil fuel and biofuel combustion) is emitted mostly from China and India (Fig. 3a), while biomass burning POA is emitted mainly from Southeast Asia and Siberia (Fig. 3b). Anthropogenic and biomass burning sources account for 69% and 31% of total POA emissions, respectively. ARO1 (anthropogenic) emissions are distributed over China, India, Southeast Asia, Japan, and South Korea (Fig. 3c). The main source regions of TERP (biogenic) are Southeast Asia and southern China (Fig. 3d).

4. Model results and evaluation

4.1. IMPACT campaign (Tokyo)

Figures 4a and b show the time-series of O₃ and SOA at Kisai during the IMPACT-L campaign (25 July – 15 August 2004). Simulated SOA is the sum of OPOA, ASOA, and BSOA. Simulated concentrations in Sect. 4 are chosen from a grid cell closest to each measurement site and are calculated for particles smaller than 1 μm in diameter. The data at the lowest layer are chosen for the comparison with the surface measurements. Meteorological conditions during the campaign are summarized by Takegawa et al. (2006a). Northerly and easterly winds were dominant during 25 – 30 July, persistent southerly winds were dominant during 31 July – 9 August (associated with a stable anticyclone located east of Tokyo), and the sea-land breeze circulation was dominant during 10 – 14 August (associated with a stable anticyclone over Tokyo). Due
to these meteorological conditions, relatively fresh air was transported from the Tokyo metropolitan area to Kisai by 9 August, which was the cause of relatively low O$_3$ and SOA concentrations at Kisai. In contrast, stagnant and aged air was transported to Kisai during 10 – 14 August, which enhanced both O$_3$ and SOA at Kisai through the accumulation of pollutants.

The simulation reproduces the absolute concentrations and the diurnal and day-to-day variations of observed O$_3$ and SOA concentrations reasonably well (Fig. 4 and Table 5). In particular, the model reproduces the contrast between the early (25 July – 6 August, low concentrations) and the later (7 – 15 August, high concentrations) simulation periods and the diurnal peak concentrations of both O$_3$ and SOA (Fig. 4). The daytime peak concentrations of OH and HO$_2$ radicals are also reproduced within 50% at Komaba during the IMPACT-L campaign in our simulations, though the concentrations have large day-to-day variability: the median values of the daytime peak concentrations of observed OH and HO$_2$ were $6.3 \times 10^6$ cm$^{-3}$ and 5.7 pptv, respectively (Kanaya et al., 2007), and those of simulated OH and HO$_2$ were $9.8 \times 10^6$ cm$^{-3}$ and 6.8 pptv, respectively.

As both O$_3$ and SOA were produced by photochemical reactions during the IMPACT-2 and IMPACT-L campaigns, the SOA/O$_3$ ratio can be used as an index of OA formation efficiency in a given oxidative condition (Fig. 5) (Herndon et al., 2008; Kondo et al., 2008). The model simulation tends to overestimate maximum SOA concentrations during daytime and underestimate SOA concentrations during nighttime. However, mean SOA concentrations are reproduced by the model to within 25 % of the corresponding observed values (underestimation by 21 % and 13 % during the IMPACT-2 and IMPACT-L campaigns, respectively) (Table 5). In both campaigns, the simulated
fitting slopes (with aging processes) are also consistent with observed slopes (and hence, OA formation efficiency): observed and simulated fitting slopes are 0.16 and 0.19 during the IMPACT-2 campaign and 0.15 and 0.20, respectively, during the IMPACT-L campaign.

The simulation without aging processes (orange lines and triangles in Fig. 4 and 5), which is similar to the simulation using a traditional OA model, severely underestimates mean observed OA concentrations by 76 % and 86 % and fitting slopes by 80 % and 82 % during the IMPACT-2 and IMPACT-L campaigns, respectively. The results show that the emissions of S/IVOCs and the oxidation processes of organic vapors (S/IVOCs and OVOCs) must be considered for reasonable OA simulations in and around Tokyo: including these in the VBS scheme considerably improved the model’s ability to simulate OA absolute concentrations and their temporal variations in Tokyo and its outflow area at Kisai.

In our simulations, SOA is formed mainly from anthropogenic sources at Komaba and Kisai (77 – 80% of total SOA). The three largest precursors of SOA are aromatics (ARO1 and ARO2, 48%), olefins (OLE1 and OLE2, 13 – 18%), and monoterpenes (TERP, 13 – 16%). OPOA accounts for only 6 – 7% of total SOA at both sites during the simulation periods.

### 4.2. A-FORCE periods (East Asia)

Figures 6a-6d show the time-series of BC and SO$_4$ at the Fukue and Hedo sites during the A-FORCE campaign (24 March – 26 April 2009). The meteorological conditions during this period are described by Matsui et al. (2013b, 2013c). Synoptic-
scale meteorological variations controlled temporal variations of observed aerosol concentrations at Fukue and Hedo: high concentrations during the period covered by a high-pressure system and rapid decreases in concentrations after the passage of a cold front. At Fukue, the site was covered by a high pressure system during the middle of the simulation period (6 – 12 April), and cold fronts passed on 14, 20, and 24 April. The temporal variations of observed BC and SO$_4$ due to synoptic-scale meteorological variations are generally reproduced by the model simulation. The mean BC concentrations are reproduced well by the model at Fukue and Hedo (normalized mean bias (NMB) of -14% at Fukue and -24% at Hedo). The mean SO$_4$ concentrations at Fukue are also reproduced well by the model (NMB of -11%, Table 5), while those at Hedo are overestimated by a factor of 2 during the middle and latter parts of the simulation period (NMB of 78%, Table 5).

Figures 6e and 6f show the time-series of OA at Fukue and Hedo during the A-FORCE period. At both sites, most of the measured OA was OOA and most of the simulated OA was SOA (shown below). The temporal variations of OA are generally similar to those of SO$_4$ at both sites. At Fukue, the model overestimates OA concentrations during 7 – 15 April but underestimates them during 28 March – 2 April. The model well reproduces observed OA concentrations during other periods. The period-averaged OA concentrations are slightly overestimated (NMB of 12%, Table 5), but the model simulations agree well with the measurements.

At Hedo, simulated OA concentrations are overestimated by 80% (Table 5). The period of OA overestimation corresponds to the period of SO$_4$ overestimation. Therefore, it is unlikely that the problems in OA formation processes only made the
discrepancy between observed and simulated OA concentrations. The model may overestimate the transport of pollutants, including precursor species and secondary aerosol formation from them. The uncertainties in AMS measurements may also contribute the discrepancy between measurements and model simulations because the observed OA concentrations at Hedo are the lower limit in terms of the collection efficiency (section 3.2).

Observed OOA/OA ratio was estimated to be greater than 95% at Fukue and Hedo (Zhang et al., 2007). Simulated SOA/OA ratio is 84% at Fukue and 83% at Hedo, suggesting that our model simulations tend to underestimate the fraction of SOA to total OA at these measurement sites.

Figures 6g and 6h show the time-series of OA/\text{SO}_4 ratio at Fukue and Hedo during the A-FORCE period. The OA/\text{SO}_4 ratio is used because both OA and \text{SO}_4 at these sites are formed through oxidation processes in the atmosphere. Their oxidation pathways may not be exactly the same (OA is formed from gas-phase oxidation only, but \text{SO}_4 is formed from both gas-phase and aqueous-phase oxidation in our model), but as the formation processes of \text{SO}_4 are relatively well known compared with those of OA, the OA/\text{SO}_4 ratio can be used as an index of OA formation efficiency relative to the amounts of secondary aerosols transported to the measurement sites. The model reproduces reasonably well the period-averaged OA/\text{SO}_4 ratio observed at Fukue and Hedo: the observed ratios are 0.89 and 0.58, and the simulated ratios are 0.78 (NMB of -12%) and 0.42 (NMB of -30%), respectively (Table 5).

In contrast, the simulation without aging processes do not capture observed OA mass concentrations and OA/\text{SO}_4 ratios. The model without aging processes
considerably underestimates both the OA concentrations (by 88% and 83%) and the OA/SO$_4$ ratio (by 85% and 90%) at Fukue and Hedo, respectively. The results demonstrate that the VBS scheme with aging processes much improves model performance; the scheme realistically simulated OA mass concentrations and their temporal variations and the OA/SO$_4$ ratio over the outflow regions in East Asia.

Simulated SOA is formed mostly from anthropogenic sources at Fukue and Hedo (90 – 91% of total SOA). The three largest sources are aromatics (ARO1 and ARO2, 41 – 46%), S/IVOCs (34 – 41%), and monoterpenes (TERP, 7 – 8%). The contributions of OPOA at Fukue and Hedo (34 – 41% of total SOA) are much higher than those at Komaba and Kisai (6 – 7% of total SOA), due to continuous aging processes of organic vapors during transport from source areas to the measurement sites at Fukue and Hedo.

The uncertainties in the aging coefficients of S/IVOCs are very large in the VBS scheme. To understand the impact of these uncertainties on simulated OA mass concentrations, we conducted sensitivity simulations with the aging coefficient of $4 \times 10^{-11}$ cm$^3$ molecule$^{-1}$ s$^{-1}$ (4 times of the base case, “Aging-4”) and $2.5 \times 10^{-12}$ cm$^3$ molecule$^{-1}$ s$^{-1}$ (1/4 of the base case, “Aging-0.25”) (Fig. 6e and 6f, Table 4). The Aging-4 (Aging-0.25) simulation increased (decreased) period-averaged OA mass concentrations by factors of 3.2 (2.1) and 4.1 (2.4) at Fukue and Hedo, respectively; thus simulated OA concentrations over East Asia are greatly affected by the choice of aging coefficients. Therefore, it is important to improve our understanding of the oxidation processes of organic vapors through laboratory and field measurements and to apply and validate the VBS scheme for various atmospheric conditions.
5. Spatial distribution of OA over East Asia

5.1. Impact of aging processes

5.1.1. Mass concentrations and contributions

Next, we examine the spatial distributions of OA over East Asia. In Sect. 5, all particles between 40 nm and 10 µm in diameter were used to calculate OA concentrations. For the simulation with the aging process, POA concentrations at an altitude of about 1 km peak over Southeast Asia and northern and central China (Fig. 7a), corresponding to large source regions of biomass burning and anthropogenic emissions, respectively (Fig. 3a and b). The spatial distribution of OPOA is similar to that of POA (Fig. 7c). ASOA concentrations are high over northern and central China and moderate over southern China, Japan, and Southeast Asia (Fig. 7e). The maximum of BSOA concentration is over Southeast Asia and southern China (Fig. 7g). Total SOA (OPOA + ASOA + BSOA) concentrations are distributed widely over East Asia with peaks over Southeast Asia and northern and central China (Fig. 7i).

The large contribution of BSOA over southern China and Southeast Asia is consistent, at least qualitatively, with previous OA modeling studies (Han et al., 2008; Jiang et al., 2012; Li et al., 2013), in which 65 – 90 % of SOA in southern China was estimated to be biogenic. Several measurement studies have reported mean organic carbon (OC) concentrations in spring over Guangzhou in southern China of 6 – 7 µg m⁻³ (Tao et al., 2012; Huang et al., 2012), over Hong Kong of 6 – 9 µg m⁻³ (Bahadur et al., 2009), and over Bangkok of about 10 µg m⁻³ (Sahu et al., 2011). We compared our simulation results with these measurements, though the meteorological conditions, the amounts of emissions (e.g., biomass burning, biogenic), or both may have differed.
between those studies and ours. When we assume an OC-to-OA conversion rate of 1.6
(Turpin and Lim, 2001), our simulations underestimate observed OA concentrations by
35% at Guangzhou and by 60 – 70% at Hong Kong and Bangkok. OOA concentrations
in the Pearl River Delta region observed with an AMS have also been reported: about 5
µg m\(^{-3}\) in summer 2006 (Xiao et al., 2011) and in fall 2009 (Li et al., 2013). Our
simulations underestimate the observed SOA concentrations by 30 – 40% in this region.
The rough comparisons shown above suggest that our OA and SOA simulations over
southern China and Southeast Asia are consistent with measurements to within a factor
of 3 (underestimation by 30 – 70%). The agreement between measurements and model
simulations over southern China and Southeast Asia was much improved by considering
aging processes of organic vapors in the VBS scheme.

SOA concentrations in the Aging-off simulation are much lower than those in
the Aging-on simulation (Fig. 7). By considering aging processes, ASOA, BSOA,
OPOA, total SOA, and total OA concentrations over the outer domain increased between
440% and 1380% (Fig. 8a and Table 6), demonstrating the importance of aging processes
in OA simulations for East Asia. POA concentrations, however, vary less: the Aging-on
POA concentrations over the outer domain are about 30% more than those for the Aging-
off simulations (Fig. 8a and Table 6). The lower POA concentrations in the Aging-off
simulation are likely due to the smaller amounts of low-volatile organic vapors, which
are produced by OH oxidation in the Aging-on simulation, and the resulting reduction of
OA concentrations in the particulate phase because of the shift of gas-particle partitioning
to the gas-phase.

In addition to differences in the absolute mass concentrations, the contributions
from individual chemical compositions to total OA also differ greatly between the Aging-
on and Aging-off simulations (Fig. 8b and c). In the Aging-on simulation, POA, OPOA,
ASOA, and BSOA account for 18%, 29%, 26%, and 27% of OA, respectively, over the
outer domain. The main precursors of ASOA are aromatics (ARO1 and ARO2, 80% of
ASOA), and those of BSOA are monoterpenes (TERP, 55% of BSOA). In the aging-off
simulation, POA is dominant (70% of total OA) because of the formation of much less
ASOA and BSOA and no OPOA.

5.1.2. Sensitivity of aging parameters over East Asia

Table 6 shows the results of the Aging-4 and Aging-0.25 simulations. Similar
to the results at Fukue and Hedo (Sect. 4.2), SOA concentrations are highly sensitive to
aging coefficients over the simulation domain. The period-averaged mass
concentrations of OPOA, ASOA, and BSOA were enhanced (reduced) by factors of 3.3
(6.6), 2.0 (3.1), and 1.9 (2.6), respectively, in the Aging-4 (Aging-0.25) simulation over
the whole East Asian region (Table 6a). In contrast, POA concentrations are not so
sensitive to the aging coefficients. The average POA concentrations were increased by
17% in the Aging-4 simulation and decreased by 26% in the Aging-0.25 simulation, both
relative to the Aging-on (base) simulation.

Increasing the rate constant by a factor of 4 enhances OPOA concentrations
(increase by a factor of 3.3) more relative to ASOA (increase by a factor of 2). IVOCs
and their aging processes may contribute to the difference in enhancement between
OPOA and ASOA because both primary and oxygenated S/IVOCs concentrations in high
volatility (C* of $10^6$ – $10^2$ μg m$^{-3}$) are lower in the Aging-4 simulation relative to the
Aging-on simulation (not shown).

OPOA increase from the Aging-on to the Aging-4 simulation is mostly due to faster aging processes of primary and secondary S/IVOCs. ASOA (BSOA) increase from the Aging-on to the Aging-4 simulation is due to faster aging processes of both anthropogenic OVOCs (biogenic OVOCs) and S/IVOCs. The contribution of S/IVOCs aging processes to ASOA and BSOA increases is about one-third of total increases in ASOA and BSOA concentrations from the Aging-on to the Aging-4 simulation (not shown).

5.1.3. Interaction of anthropogenic and biogenic sources

The sensitivity simulations shown in Sect. 5.1.3 and 5.2 are summarized in Fig. 9. The simulation results with aging processes from biogenic sources only (no aging treatment for S/IVOCs and anthropogenic OVOCs) are shown in Fig. 8a and Table 6 (the Aging-bio simulation in Table 4). The contribution of aging processes from anthropogenic sources (AN-aging) can be estimated from the difference in OA concentrations between the Aging-on (base case) and Aging-bio simulations (Fig. 9). As expected, the impact of AN-aging on OPOA and ASOA over the outer domain is very large: AN-aging enhances OPOA concentrations from 0.0 to 0.37 µg m\(^{-3}\) and ASOA concentrations from 0.038 to 0.33 µg m\(^{-3}\) (+780%) (Table 6). AN-aging also enhances POA concentrations moderately (+20%, Table 6).

BSOA concentrations are also enhanced considerably (+45%) by AN-aging (Table 6). This is because AN-aging produces large amounts of low-volatile organic vapors and OA from anthropogenic VOCs and S/IVOCs, and these vapors shift the gas-
particle partitioning ratio of BSOA to the particulate phase. Therefore, AN-aging is very important for OA formation from both anthropogenic and biogenic sources.

These results show that BSOA concentrations are substantially enhanced by OA models that can represent realistic OA concentrations from anthropogenic OA in the atmosphere (the VBS scheme in case of this study), even if we do not change the treatment of BSOA formation processes in the model. In this study, the importance of this effect was shown for springtime over East Asia, where anthropogenic and biogenic emissions interact closely. Similar interaction is expected over other large emission sources such as the United States and Europe, implying the importance of AN-aging to BSOA concentrations on hemispherical and global scales. Therefore, to obtain more accurate simulations of BSOA, which is considered to be dominant globally, it is important to use a realistic OA formation scheme for anthropogenic sources.

Figure 8a also shows the simulation results with aging processes from anthropogenic sources only (the Aging-an simulation in Table 4). We can estimate the contribution of aging processes from biogenic sources (BIO-aging) by the difference in OA between the Aging-on (base case) and Aging-an simulations (Fig. 9). BIO-aging slightly influences (less than 4 – 7%) POA, OPOA, and ASOA, whereas it is important for BSOA (increased by 210%). Therefore, the enhancement of anthropogenic OA by aging processes of biogenic VOCs is limited. The difference in the importance of AN-aging and BIO-aging is because anthropogenic sources are dominant over East Asia (Fig. 8b).

5.2. Estimation of controllable OA
We estimate the contribution of OA influenced by anthropogenic emission sources (i.e., controllable OA). Here, we assume that biomass burning emissions are not anthropogenic (not controllable) sources, following the treatment in Carlton et al. (2010). For estimating the contribution of controllable OA over East Asia, we conducted sensitivity simulations with various amounts of anthropogenic emissions ranging from 0% to 200% of base case emissions for both gaseous (CO, NOx, SO2, VOCs, and primary S/IVOCs) and aerosol species (POA and BC). Other settings are similar to the base case simulation. Biomass burning, biogenic, and volcanic emissions are not changed in these sensitivity simulations.

Period-averaged POA, OPOA, and ASOA concentrations normalized by those in the base case simulation increase almost linearly with anthropogenic emissions over the outer domain in the sensitivity simulations, except for the range of anthropogenic emissions from 0 to 50%, where the contribution from biomass burning sources dominates (Fig. 10). In the simulation without anthropogenic emissions, POA, OPOA, and ASOA decrease to 20%, 9%, and 2%, respectively, of the base case simulation. This is because the reduction of anthropogenic VOCs, NOx, and POA leads to OA reduction by changing both VOC concentrations and their oxidation rates, and gas-particle partitioning of organic compounds. Controllable OA concentrations can be estimated from the differences in OA between the simulations with (100%) and without (0%) anthropogenic emissions (Fig. 9). The fractions of controllable POA, OPOA, and ASOA are 80%, 91%, and 98%, respectively, in our simulations over all of East Asia.

The fractions of POA, OPOA, and ASOA in the simulation without anthropogenic emissions (20%, 9%, and 2% of the base case) are smaller than the
fractions expected from emissions, because biomass burning sources account for 30% of POA emissions and 10% of aromatics emissions over the outer domain (Fig. 3c and 3d).

An important reason for these smaller fractions is the lower OH concentrations (by a factor of 3) and resulting slower rates of oxidation of organic vapors in the simulation without anthropogenic emissions compared with the base case simulation. In fact, the fraction of low-volatile organics (sum of vapors and aerosols) is smaller in the simulation without anthropogenic emissions (not shown).

BSOA mass concentrations are positively related to the amounts of anthropogenic emissions, though the relationship is weaker than POA, OPOA, and ASOA (green line in Fig. 10). The fraction of controllable BSOA is 78% in our estimation; thus a large portion of BSOA is formed through the influence of anthropogenic sources (the enhancement of anthropogenic VOCs, NOx, and preexisting OA) over East Asia.

The period-averaged controllable OA concentrations over the outer domain are 1.12 µg m⁻³ and are higher than the sum of POA, OPOA, and ASOA concentrations (0.94 µg m⁻³). The fraction of controllable OA is 87% (Fig. 11a), suggesting that most of OA is controllable and form anthropogenically in springtime over all of East Asia. The fraction of controllable OA is more than 90% over most of India and China and its outflow regions and 60 – 80% even over Southeast Asia, where BSOA concentrations are high (Fig. 11c), though S/IVOCs emissions and their aging processes have large uncertainties currently (section 2.3). The fraction of controllable PM₂.₅ is 92% in our estimation, though dust and sea salt from natural sources are not considered in this study.

We conducted an additional sensitivity simulation to quantify the importance of the oxidant change (OH concentrations by a factor of 3) in the estimation of controllable...
OA concentrations. In this sensitivity simulation, we excluded emissions from combustion sources for aerosol species and SOA precursors (primary S/IVOCs, aromatics (ARO1 and ARO2), alkanes (ALK4 and ALK5), and olefins (OLE1 and OLE2)) without changing emissions for other gaseous species (CO, NOx, SO2, and other VOCs). Period-averaged OH concentrations in this sensitivity simulation were about the same as those in the base case simulation (the difference between two simulations is 7% for OH and 0.3% for HO2 over East Asia). This sensitivity simulation reduced OA concentrations by 73% and BSOA concentrations by 42% over East Asia. These results suggest that the OH change by NOx and VOCs has a large potential to increase controllable OA amounts over East Asia (from 73% to 87% for total OA and from 42% to 78% for BSOA).

Carlton et al. (2010) estimated that more than 50% of BSOA in the eastern United States was controllable. Global modeling studies showed that only 31% (Tsigaridis et al., 2006) and 21% (Hoyle et al., 2009) of the simulated SOA increase from the pre-industrial period to the present was formed directly from anthropogenic VOC, and that the vast majority of the remainder was BSOA enhanced by anthropogenic sources (Hoyle et al., 2011). Spracklen et al. (2011) made top-down estimates of a global SOA budget using both AMS measurements and global model simulations: these estimates suggested that 71% of SOA formed in the atmosphere was controllable. The contribution of controllable OA estimated in this study is higher than these previous estimates. The higher contribution of controllable OA in this study is because anthropogenic sources are dominant over East Asia and OA is enhanced considerably by aging processes of organic vapors from anthropogenic sources (Sect. 5.1.3).

Carlton and Turpin (2013) suggested aerosol water produced in anthropogenic
aerosols (e.g., SO$_4$) would enhance biogenic SOA mass concentrations in the eastern U.
S. through aqueous-phase chemistry. This process is not considered in our model but could be a potentially important mechanism to enhance controllable OA amounts further in East Asia because SO$_4$ and OA generally have similar spatial distribution over East Asia.

Our estimation of the controllable OA fraction may have large uncertainties because biomass burning emissions are still highly uncertain over East Asia (Matsui et al., 2013a). The estimation may also be highly sensitive to the simulation periods because of large seasonal and interannual variations of biomass burning emissions over East Asia (Matsui et al., 2013a). Since biomass burning emissions are highest during February-April over Southeast Asia and during March-May over China (Matsui et al., 2013a), higher fraction of controllable OA is expected in other seasons in terms of biomass burning emissions.

Without aging processes, the domain- and period averaged controllable OA is 0.18 µg m$^{-3}$, and the fraction of controllable OA is 75% (Fig. 11b). The fraction is lower than that in the base case simulation with aging processes. This is because the fraction of OPOA and ASOA, which are mostly formed from anthropogenic sources, is larger in the base case simulation. Both the amounts (from 0.18 to 1.12 µg m$^{-3}$) and the fraction (from 75% to 87%) of controllable OA are increased by aging processes of organic vapors over East Asia.

6. Summary and conclusions

We simulated OA concentrations over East Asia and its outflow region by using
the VBS approach. Model simulations were evaluated via comparisons with AMS measurements in and around Tokyo (at the Komaba and Kisai sites during the IMPACT-2 campaign in summer 2003 and the IMPACT-L campaign in summer 2004) and over the outflow region in East Asia (at the Fukue and Hedo sites during the A-FORCE campaign in spring 2009).

Model simulations with aging processes of organic vapors (S/IVOCs and OVOCs) reasonably well reproduced mass concentrations, temporal variations, and formation efficiency (i.e., SOA/O\textsubscript{3} and OA/SO\textsubscript{4} ratio) of observed OA: the model reproduced SOA concentrations to within 25% during the IMPACT campaign (NMB of -21% and -13% at Komaba and Kisai, respectively), the SOA/O\textsubscript{3} ratio to within 25% at Komaba and Kisai, OA concentrations to within 15% at Fukue and to within a factor of 2 at Hedo, and the OA/SO\textsubscript{4} ratio to within 30% at Fukue and Hedo. In contrast, the simulations without the aging processes did not capture these features. The model without the aging processes severely underestimated mass concentrations (by 76 – 88%) and formation efficiencies of OA (by 80 – 90%) at the 4 measurement sites. The oxidation of organic vapors is therefore essential for realistic OA simulations over East Asia.

Concentrations of simulated POA and total SOA (OPOA + ASOA + BSOA) peaked over northern and central China and Southeast Asia, corresponding to large source regions of anthropogenic and biomass burning emissions. Concentrations of ASOA (BSOA) were high over central and northern China (Southeast Asia and southern China). Simulated OA concentrations at an altitude of 1 km over all of East Asia were highly sensitive to aging processes of organic vapors: relative to the results of simulations
without aging, total OA concentrations increased from 0.24 to 1.28 µg m⁻³ (+440%).

Aging processes also changed OA chemical composition: in the simulation with the aging processes, the contributions of OPOA and ASOA were 29% and 26%, respectively, of total OA, whereas in the simulation without the aging processes, about 70% of total OA was POA.

We also examined the importance of the aging processes of organic vapors from anthropogenic (AN-aging) and biogenic sources (BIO-aging). AN-aging was very important for the enhancement of OA formation from both anthropogenic and biogenic sources. AN-aging enhanced BSOA concentrations considerably (+45%), while the enhancement of OPOA and ASOA (SOA from anthropogenic sources) by BIO-aging was very limited (less than 4 – 7%). The difference in the importance of AN-aging and BIO-aging is because anthropogenic sources are dominant over East Asia. These results show that BSOA concentrations are substantially enhanced by OA models that can simulate realistic OA concentrations from anthropogenic sources in the atmosphere, even if we do not change the treatment of BSOA formation processes in the model. Therefore, to obtain more accurate simulations of BSOA, which is considered to be dominant globally, it is important to use a realistic OA formation scheme for anthropogenic sources.

The fraction of controllable OA estimated in our simulations was 87%, suggesting that most OA was controllable and formed anthropogenically in springtime over all of East Asia. The fractions of controllable POA, OPOA, ASOA, and BSOA were 80%, 91%, 98%, and 78%, respectively, showing that even a large portion of BSOA was formed through the influence of anthropogenic sources (the enhancement of anthropogenic VOCs, NOₓ, and preexisting OA). These fractions were higher than the
fraction of anthropogenic emissions (70% of OA emissions and 90% of aromatics emissions over East Asia during the simulation periods). An important reason for these higher controllable fractions was higher OH concentration resulting in faster oxidation rates of organic vapors by considering anthropogenic sources. Both the amounts (from 0.18 to 1.12 µg m\(^{-3}\)) and the fraction (from 75% to 87%) of controllable OA were increased by including aging processes of organic vapors over East Asia.

This study is a first step to examine OA concentrations and their spatial distributions and the anthropogenic-biogenic interaction in OA formation over all of East and Southeast Asia and its outflow regions. Further validations of OA, precursor VOCs, and oxidant species especially over southern China and Southeast Asia, where the contribution of biogenic sources are high, are necessary to understand the behavior of OA and the anthropogenic-biogenic interaction over the Asian region more quantitatively.
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Figure Captions

Fig. 1. Summary of the volatility basis-set approach used in this study. Circles and squares show individual gas-phase (open) and aerosol-phase (closed) surrogate species. Squares denote primary emission species. AN, BB, and BIO denote anthropogenic, biomass burning, and biogenic sources, respectively. The oxidation processes shown by black arrows are calculated with the coefficients given by Tsimipidi et al. (2010). The oxidation process shown by orange arrows are calculated assuming OH oxidation with the rate coefficient of $1 \times 10^{-11}$ cm$^{-3}$ molecule$^{-1}$ s$^{-1}$.

Fig. 2. Simulation domains (a) in and around Tokyo during the IMPACT campaign and (b) over East Asia during the A-FORCE campaign. (a) Simulations were conducted for 17 July to 15 August 2003 (IMPACT-2) and for 23 July to 15 August 2004 (IMPACT-L) with horizontal resolutions of 27 km (outer domain, orange) and 9 km (inner domain, red). Light blue squares show the locations of measurement stations at Komaba (35.66°N, 139.67°E) and Kisai (36.08°N, 139.55°E). (b) Simulations were conducted for 21 March to 26 April 2009 with horizontal resolutions of 180 km (outer domain, orange) and 60 km (inner domain, red). Light blue squares show the locations of measurement stations at Fukue (32.75°N, 128.68°E) and Kisai (26.87°N, 128.25°E).

Fig. 3. Period-averaged (24 March – 26 April 2009) emissions for (a) POA from anthropogenic sources (fossil fuel and biofuel combustion), (b) POA from biomass burning sources, (c) ARO1 (aromatics), and (d) TERP (monoterpenes). We used the anthropogenic and volcanic emission inventories of Streets et al.
(2003), daily biomass burning emissions of the Global Fire Emissions Database version 3 (GFED3) (van der Werf et al., 2010), and on-line biogenic emissions of the Model of Emissions of Gases and Aerosols from Nature version 2 (MEGAN2) (Guenther et al., 2006).

Fig. 4. Time series of observed and simulated (a) O$_3$ volume mixing ratio and (b) SOA mass concentrations at Kisai during the IMPACT-L campaign. SOA values were simulated with and without aging.

Fig. 5. Correlation of SOA mass concentrations with O$_3$ volume mixing ratio (a) at Komaba during the IMPACT-2 campaign and (b) at Kisai during the IMPACT-L campaign. Solid lines show fitting slopes ($y = ax$) for observation (blue) and simulations with (red) and without (orange) aging processes of organic vapors.

Fig. 6. Time series of (a, b) black carbon mass concentrations, (c, d) sulfate mass concentrations, (e, f) organic aerosol mass concentrations, and (g, h) organic to sulfate mass concentration ratios at Fukue and Hedo, respectively. Red shading in panels e and f shows the range of organic aerosol mass concentrations with the aging coefficient between $4 \times 10^{-11}$ cm$^3$ molecule$^{-1}$ s$^{-1}$ (4 times the base case) and $2.5 \times 10^{-12}$ cm$^3$ molecule$^{-1}$ s$^{-1}$ (1/4 times the base case). The periods when observed sulfate mass concentrations were less than 1 µg m$^{-3}$ are not shown for measurements (blue points) in panels g and h.

Fig. 7. Period-averaged (24 March – 26 April 2009) simulated mass concentrations of POA (a, b), OPOA (c, d), ASOA (e, f), BSOA (g, h), and total SOA (sum of OPOA, ASOA, and BSOA) (i, j) at an altitude of about 1 km (layer number of 8, sigma level of 0.895). Left panels (a, c, e, g, i) are runs with aging, and right
panels (b, d, f, h, j) are runs without aging.

Fig. 8. Period-averaged (24 March – 26 April 2009) mass concentrations of POA, OPOA, ASOA, and BSOA at an altitude of about 1 km over the outer domain for Aging-on, Aging-off, Aging-bio, and Aging-an simulations (a). Period-averaged fraction of POA, OPOA, ASOA, and BSOA to total OA mass concentrations at an altitude of about 1 km over the outer domain for simulations with (b) or without (c) aging.

Fig. 9. Diagram of sensitivity simulations conducted in Sect. 5. OA contributions estimated from individual simulations are shown. AVOC and BVOC denote anthropogenic and biogenic OVOCs, respectively.

Fig. 10. Sensitivity of POA, OPOA, ASOA, and BSOA mass concentrations to changes in anthropogenic emissions (CO, NOx, SO2, VOCs, S/IVOCs, POA and BC) at an altitude of about 1 km over the outer domain. Mass concentrations and anthropogenic emissions in the sensitivity simulations are normalized by those in the base case simulation.

Fig. 11. Period-averaged (24 March – 26 April 2009) fraction of controllable and non-controllable OA mass concentrations at an altitude of about 1 km over the outer domain for simulations with (a) or without (b) aging. Period-averaged (24 March – 26 April 2009) fraction of controllable OA at an altitude of about 1 km over the outer domain for the simulation with aging (c).
Table 1. Abbreviations for organic vapors and aerosols used in this study

<table>
<thead>
<tr>
<th>Abbreviation</th>
<th>Definition</th>
<th>Explanation</th>
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<tr>
<td>OA</td>
<td>Organic aerosol</td>
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<td>POA</td>
<td>Primary OA</td>
<td>Primary emission or formed from S/IVOCs by equilibrium (w/o) oxidation</td>
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<td>SOA</td>
<td>Secondary OA</td>
<td>Sum of OPOA, BSOA, and ASOA</td>
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<td>VOCs</td>
<td>Volatile organic compounds</td>
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<td>S/IVOCs</td>
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<td>Oxidation products of VOCs</td>
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<td>(Tsimpidi et al., 2010)</td>
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<td>Koo et al. (2003)</td>
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<td>(interstitial aerosol, in-cloud aerosol)</td>
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<td>Wet deposition</td>
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<td>OA</td>
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<td></td>
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<td>OA/SO&lt;sub&gt;4&lt;/sub&gt;</td>
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<sup>a</sup> Values are calculated for the periods when measurements are available.

<sup>b</sup> Statistics are calculated for the Aging-on simulation.
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<tr>
<th>Simulation</th>
<th>POA</th>
<th>OPOA</th>
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<th>Total OA</th>
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\(^a\) Simulations without anthropogenic emissions (with biomass burning, biogenic, and volcanic emissions).
Fig. 1

Saturation conc. ($C^*$) 10^6 10^5 10^4 10^3 10^2 10^1 10^{-1} 10^{-2} (μg m^{-3})
Emission factor 2.4 1.5 1.17 0.86 0.51 0.40 0.26 0.17 0.23

Primary S/IVOCs

POA

ALK4, ALK5
OLE1, OLE2
ARO1, ARO2

ASOA

ISOP
TERP
SESQ

Anthropogenic OA (AN + BB sources)

Biogenic OA (BIO sources)

OPOA

BSOA
Fig. 2

(a) (b)

Kisai
Komaba

Fukue
Hedo
Fig. 3

(a) POA emission (anthropogenic)

(b) POA emission (biomass burning)

(c) ARO1 emission

(d) TERP emission
Fig. 4

(a) 
O₃ (ppbv)

Observation  Simulation

IMPACT-L (Kisai)

(b) 
SOA (µg m⁻³)

Observation  Simulation (Aging-on)  Simulation (Aging-off)

IMPACT-L (Kisai)
Fig. 5

(a) Observation □ Simulation (Aging-on) ▲ Simulation (Aging-off)

IMPACT-2 (Komaba)

SOA (μg m⁻³) vs. O₃ (ppbv)

y = 0.19x
y = 0.16x
y = 0.054x

(b) Observation □ Simulation (Aging-on) ▲ Simulation (Aging-off)

IMPACT-L (Kisai)

SOA (μg m⁻³) vs. O₃ (ppbv)

y = 0.20x
y = 0.15x
y = 0.017x
Fig. 6

(a) Observation vs. Simulation for Fukue

(b) Observation vs. Simulation for Hedo
Fig. 6 (cont.)

(c) Observation  Simulation

Fukue

M_{SO_4} (\mu g \text{ m}^{-3})

(d) Observation  Simulation

Hedo

M_{SO_4} (\mu g \text{ m}^{-3})
Fig. 6 (cont.)

(e) Observation, Simulation (Aging-on), Simulation (Aging-off)

Fukue

(f) Observation, Simulation (Aging-on), Simulation (Aging-off)

Hedo

$M_{\text{ORG}} \, (\mu g \, m^{-3})$
Fig. 6 (cont.)

(g) Observation, Simulation (Aging-on), Simulation (Aging-off)

Fukue

(h) Observation, Simulation (Aging-on), Simulation (Aging-off)

Hedo
Fig. 7 (cont.)

(e) ASOA (Aging-on)

(f) ASOA (Aging-off)

(g) BSOA (Aging-on)

(h) BSOA (Aging-off)
Fig. 7 (cont.)

(i) OPOA + ASOA + BSOA (Aging-on)

(j) OPOA + ASOA + BSOA (Aging-off)
Fig. 8

(a) Bar chart showing mass concentration (μg m⁻³) for different aging conditions: Aging-on, Aging-off, Aging-an, Aging-bio. The bars are color-coded as follows: POA (black), OPOA (blue), ASOA (red), BSOA (green).

(b) Pie chart for Aging-on showing the percentage distribution of POA, OPOA, ASOA, BSOA.

(c) Pie chart for Aging-off showing the percentage distribution of POA, ASOA, BSOA.
Fig. 11

(a) Aging-on
- Non-controllable: 12.9%
- Controllable: 87.1%

(b) Aging-off
- Non-controllable: 25.0%
- Controllable: 75.0%

(c) Fraction of controllable OA

Latitude (deg)

Longitude (deg)