

Impact of NO<sub>x</sub> sink uncertainties on top-down NO<sub>x</sub> emissions

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# Key chemical NO<sub>x</sub> sink uncertainties and how they influence top-down emissions of nitrogen oxides

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## Abstract

Triggered by recent developments from laboratory and field studies regarding major  $\text{NO}_x$  sink pathways in the troposphere, this study evaluates the influence of chemical uncertainties in  $\text{NO}_x$  sinks for global  $\text{NO}_x$  distributions calculated by the IMAGESv2 chemistry-transport model, and quantifies their significance for top-down  $\text{NO}_x$  emission estimates. Our study focuses on four key chemical parameters believed to be of primary importance, more specifically, the rate of the reaction of  $\text{NO}_2$  with OH radicals, the newly-identified  $\text{HNO}_3$ -forming channel in the reaction of NO with  $\text{HO}_2$ , the reactive uptake of  $\text{N}_2\text{O}_5$  on aerosols, and the regeneration of OH in the oxidation of isoprene. Sensitivity simulations are performed to estimate the impact of each source of uncertainty. The model calculations show that, although the  $\text{NO}_2 + \text{OH}$  reaction is the largest  $\text{NO}_x$  sink globally accounting for 50–70 % of the total sink, the reaction contributing the most to the overall uncertainty is the formation of  $\text{HNO}_3$  in  $\text{NO} + \text{HO}_2$ , leading to  $\text{NO}_x$  column changes reaching a factor of two over tropical regions, and to a 35 % decrease in the global tropospheric  $\text{NO}_x$  lifetime.

Emission inversion experiments are carried out using model settings which either minimize (MINLOSS) or maximize (MAXLOSS) the total  $\text{NO}_x$  sink, both constrained by one year of OMI  $\text{NO}_2$  column data from the DOMINO v2 KNMI algorithm. The choice of the model setup is found to have a major impact on the top-down flux estimates, with 50 % higher emissions for MAXLOSS compared to the MINLOSS inversion globally. Even larger departures are found for soil NO (factor of 2) and lightning (70 %), whereas the global anthropogenic source is comparatively better constrained, especially in China.

Evaluation of the emission optimization is performed against independent satellite observations from the SCIAMACHY sensor, airborne  $\text{NO}_2$  measurements, observed  $\text{NO}_x$  lifetimes at megacities, as well as with two new bottom-up inventories of anthropogenic emissions in Asia (REASv2) and China (MEIC). Neither the MINLOSS nor the MAXLOSS setup succeeds in providing the best possible match with all independent

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datasets. Whereas the minimum sink assumption leads to better agreement with aircraft  $\text{NO}_2$  profile measurements, comforting the results of a previous analysis (Henderson et al., 2012), the same assumption leads to unrealistic features in the inferred distribution of emissions over China. Clearly, although our study addresses an important issue which was largely overlooked in previous inversion exercises, and demonstrates the strong influence of  $\text{NO}_x$  loss uncertainties on top-down emission fluxes, additional processes need to be considered which could also influence the inferred source.

## 1 Introduction

Sources of nitrogen oxides in the atmosphere are predominantly anthropogenic. According to state-of-art estimates, anthropogenic  $\text{NO}_x$  accounts for about 65 % of the annual global  $\text{NO}_x$  flux, whereas natural emissions from fires, soils and lightning are less significant on the global scale, and are estimated at 9 %, 17 % and 6 %, respectively (e.g. Jaeglé et al., 2005; Müller and Stavrakou, 2005). The uncertainties in these estimates are, however, significant, especially for natural sources. To narrow down these uncertainties, top-down or inverse modelling methods constrained by tropospheric  $\text{NO}_2$  vertical column abundances observed from space have been widely used to evaluate and complement the bottom-up inventories used in atmospheric models (e.g. Müller and Stavrakou, 2005; Stavrakou et al., 2008; Zhao and Wang, 2009; Lin et al., 2010; Lin, 2012b; Mijling and van der A, 2012; Miyazaki et al., 2012a).

Inverse modelling adjusts the emission fluxes used in a chemistry-transport model in order to reduce the mismatch between the predictions of the model and atmospheric observations. This is often realized iteratively by varying the emissions until the model/data biases are minimized within their assigned errors. This approach implicitly assumes that the relationship between the emission fluxes and the atmospheric abundances is reasonably well simulated by the model, so that the model/data mismatch can be mostly attributed to errors in the emission inventories. In other words, the uncertainties associated to the bottom-up emissions are assumed to be larger

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than errors in the model or in the measurements used to constrain the inversion. Nevertheless, a series of recent developments regarding the chemical NO<sub>x</sub> sinks, and the chemistry of volatile organic compounds (Mollner et al., 2010; Butkovskaya et al., 2007, 2009; Henderson et al., 2012; Brown et al., 2009; Lelieveld et al., 2008) point to flaws in the current mechanisms implemented in global models, implying potentially large impacts on simulated NO<sub>x</sub> concentrations, and consequently on top-down NO<sub>x</sub> emission estimates.

These developments concern firstly the main tropospheric NO<sub>x</sub> removal channel, i.e. the reaction of NO<sub>2</sub> with OH radicals, forming nitric acid. It was shown in a laboratory study that its reaction rate at room temperature is lower than previously recommended (Mollner et al., 2010), and further, aircraft observations were used to show that its reaction rate in the upper troposphere was even more largely overpredicted at the low temperatures typical of the upper troposphere (Henderson et al., 2012). Secondly, a series of laboratory experiments performed by Butkovskaya et al. (2007, 2009) suggested an additional loss process for NO<sub>x</sub> through a nitric acid formation channel in the NO + HO<sub>2</sub> reaction. Although this channel is quite minor compared to the traditional pathway forming NO<sub>2</sub> + OH (less than 0.5% in dry air), it has the potential to represent a significant HNO<sub>3</sub> formation pathway (Cariolle et al., 2008); furthermore, it was found to proceed much faster in the presence of water vapor. This could strongly affect the NO<sub>2</sub> concentrations, especially in the lower troposphere and in tropical regions. Thirdly, field measurements (Brown et al., 2009) suggested that reactive uptake of N<sub>2</sub>O<sub>5</sub> at the surface of aerosols proceeds at rates substantially lower than predicted by parameterizations widely used in global models (e.g. Evans and Jacob, 2005; Davis et al., 2008). Finally, there is conclusive evidence that global models strongly underpredict OH radical concentrations, in low-NO<sub>x</sub>, high-isoprene environments (Lelieveld et al., 2008; Hofzumahaus et al., 2009). Although the reaction mechanisms responsible for this discrepancy are currently the topic of intense research (Peeters et al., 2009; Crouse et al., 2011; Berndt, 2012), simple expedients have been proposed to crudely compensate for the model underprediction (Lelieveld et al., 2008). A thorough

discussion of the above sources of uncertainty in  $\text{NO}_x$  concentrations is presented in Sect. 2, and their importance on the  $\text{NO}_2$  abundances simulated with the IMAGESv2 global CTM is addressed in Sect. 4.

Based on the above, two model setups are designed to either minimize (MINLOSS simulation) or maximize (MAXLOSS) the  $\text{NO}_x$  sink in the model. These scenarios are meant to provide a measure of the “state-of-science” uncertainty related to the major chemical  $\text{NO}_x$  losses. They do not address other sources of uncertainty, however, such as errors related to meteorological fields or other model parameters. Recently, a systematic analysis of meteorological and chemical parameters affecting the  $\text{NO}_x$  simulation in a CTM by Lin et al. (2012a), showed that errors in meteorology affect only moderately the modelled  $\text{NO}_2$  columns, whereas the overall reported uncertainty accounting for all parameters was lower than 20 %.

The MINLOSS and MAXLOSS setups are used in an inverse modelling framework using the adjoint of the IMAGESv2 model (e.g. Müller and Stavrakou, 2005; Stavrakou et al., 2008, 2012). The inversion experiments are constrained by vertical  $\text{NO}_2$  tropospheric columns retrieved from the Ozone Monitoring Instrument (OMI) (Boersma et al., 2011) (Sect. 3). The top-down estimates of  $\text{NO}_x$  fluxes as derived from MINLOSS and MAXLOSS inversions are compared in Sect. 5. Evaluation of the results against independent measurements and inventories is presented in Sect. 6. More specifically, the model predictions before and after inversion are compared against (i)  $\text{NO}_2$  column data from SCIAMACHY instrument aboard ENVISAT (Sect. 6.1), (ii) airborne  $\text{NO}_2$  profiles from INTEX-A and INTEX-B missions (Sect. 6.2), and (iii) observed  $\text{NO}_x$  lifetimes in selected megacities (Sect. 6.3). The top-down emissions are also directly compared with two state-of-art bottom-up emission inventories for Asia and for China (Sect. 6.4). Finally, conclusions are drawn in Sect. 7.

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## 2 Uncertainties in NO<sub>x</sub> sinks

### 2.1 The NO<sub>2</sub> + OH → HNO<sub>3</sub> reaction

Despite its crucial role as the primary sink of NO<sub>x</sub> in the troposphere, the rate constant for the termolecular association reaction, NO<sub>2</sub> + OH + M → HNO<sub>3</sub> + M, has remained very difficult to measure with accuracy under atmospheric conditions. Recently, its expression has been revised by Mollner et al. (2010) based on room temperature experiments performed using improved experimental methods relying on the simultaneous measurement of all reactants and products in the reaction (Donahue, 2011). The measured rates at 298 K were significantly lower than in previous recommendations, by 23% compared to IUPAC-2004 (Atkinson et al., 2004) and by 13% compared to JPL (Sander et al., 2011). Since the temperature dependence of the rate was not investigated by Mollner et al. (2010), the recommendation of Sander et al. (2011) is adopted to extrapolate the experimental result of Mollner et al. (2010) at all temperatures, resulting in the following expressions for the low- and high-pressure limits of the reaction:  $k_0 = 1.48 \times 10^{-30} \times (T/300)^{-3} \text{ cm}^6 \text{ molecule}^{-2} \text{ s}^{-1}$ , and  $k_{\text{inf}} = 2.58 \times 10^{-11} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ .

Using upper tropospheric airborne measurements of NO<sub>2</sub>, HNO<sub>3</sub>, O<sub>3</sub>, OH, and HO<sub>2</sub> from the Intercontinental Chemical Transport Experiment – North America (INTEX-NA) in a Bayesian modelling framework designed to constrain reaction rates, Henderson et al. (2012) found that the HNO<sub>3</sub> formation rate in the upper troposphere is overestimated by 22% when adopting the rate recommendation of Sander et al. (2011). Assuming the experimental rate at 298 K by Mollner et al. (2010) to be correct, Henderson et al. (2012) deduced an updated temperature dependency:  $k_0 = 1.48 \times 10^{-30} \times (T/300)^{-1.8} \text{ cm}^6 \text{ molecule}^{-2} \text{ s}^{-1}$ .

The altitude dependence of the rate calculated using four different recommendations is displayed in Fig. 1 for mid-latitude summer conditions (left panel). Note that the IUPAC rate is overestimated in part because it represents the total NO<sub>2</sub> + OH + M rate

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constant, including the minor channel leading to pernitrous acid, HOONO. The latter channel cannot be considered a true NO<sub>x</sub> sink, because HOONO rapidly decomposes back to NO<sub>2</sub> and OH in lower tropospheric conditions (Sander et al., 2011). A reasonable estimation for the uncertainty on the nitric acid-forming channel in NO<sub>2</sub> + OH is the difference between the expressions provided by JPL and Henderson et al. (2012). The impact of this uncertainty is explored through three CTM simulations carried out following either Sander et al. (2011), Mollner et al. (2010) or Henderson et al. (2012) (JPL, MOLLNER and MINLOSS, cf. Table 1).

### 2.2 The NO + HO<sub>2</sub> → HNO<sub>3</sub> reaction

A potentially important NO<sub>x</sub> loss process is the minor HNO<sub>3</sub>-forming channel in the reaction of NO + HO<sub>2</sub> identified through laboratory observations by Butkovskaya et al. (2005). Its branching ratio, determined experimentally between 223–300 K under dry conditions, was found to strongly vary with temperature (e.g. from 0.18 % at 298 K to 0.87 % at 223 K at 200 Torr), according to the expression

$$\beta_d = 5.3/T + 6.4 \times 10^{-6}P - 0.0173$$

(*P* in Torr) (Butkovskaya et al., 2005, 2007; Cariolle et al., 2008).

The overall reaction rate under dry conditions (*k<sub>d</sub>*) is the product of this branching ratio by the Sander et al. (2011) recommended value for the NO + HO<sub>2</sub> reaction rate:

$$k_d = 3.3 \times 10^{-12} \exp(270/T) \times \beta_d.$$

Recent modelling studies have investigated the impacts of the addition of the new channel on the distribution of HNO<sub>3</sub>, NO<sub>x</sub>, HO<sub>x</sub> and O<sub>3</sub> (Cariolle et al., 2008) and on the trends of atmospheric species and radiative forcing (Søvde et al., 2011).

Further experiments showed that the HNO<sub>3</sub> yield is strongly enhanced in presence of water vapour (Butkovskaya et al., 2009). Assuming that this effect is due to the reaction of NO with the HO<sub>2</sub>·H<sub>2</sub>O complex, the rate of the reaction NO + HO<sub>2</sub>·H<sub>2</sub>O → HNO<sub>3</sub> was

estimated at room temperature:

$$k_w = 6 \times 10^{-13} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}.$$

Since the effect of water was investigated only at 298 K, this rate is assumed here to be temperature-independent. The complexed fraction  $f_c$  of the hydroperoxyl radical  $\text{HO}_2$  is estimated from the equilibrium constant of  $\text{HO}_2 + \text{H}_2\text{O} \leftrightarrow \text{HO}_2 \cdot \text{H}_2\text{O}$ :

$$f_c = \frac{1}{1 + 1/(K_{\text{eq}} \times [\text{H}_2\text{O}])},$$

with  $K_{\text{eq}} = 1.57 \times 10^{-24} \exp(3775/T) \text{ cm}^3 \text{ molecule}^{-1}$ . This expression for  $K_{\text{eq}}$  is based on its measured value at 297 K,  $5.2 \times 10^{-19} \text{ cm}^3 \text{ molecule}^{-1}$  (Kanno et al., 2005), and on an enthalpy of  $\Delta H = 7.5 \text{ kcal mol}^{-1}$ , close to the value of  $7.42 \text{ kcal mol}^{-1}$  reported in the theoretical study of Alongi et al. (2006). The overall rate of the  $\text{NO} + \text{HO}_2 \rightarrow \text{HNO}_3$  reaction in moist air can then be estimated using

$$k = k_d \times (1 - f_c) + k_w \times f_c.$$

The  $\text{H}_2\text{O}$ -assistance in the  $\text{HNO}_3$ -forming channel leads to a factor of 2–3 increase of the rate constant below 4 km (Fig. 1, middle panel), whereas the gap closes at higher altitudes as air becomes drier. The temperature dependence of  $k_w$  adds therefore little uncertainty to the overall impact of this reaction in the troposphere, since low temperature is generally associated with dry air. The implications of the new reaction on the global modelled  $\text{NO}_2$  columns are investigated in two simulations, with and without accounting for the effect of  $\text{H}_2\text{O}$ -assistance (BUTKO1, BUTKO2, Table 1).

### 2.3 Heterogeneous reaction on sulfate aerosols

The heterogeneous hydrolysis of  $\text{N}_2\text{O}_5$  at the surface of aerosols is an important  $\text{NO}_x$  loss process during nighttime. Its overall impact is largest in polluted environments

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(2008) parameterization, and a factor of 2–8 compared to Evans and Jacob (2005). To evaluate the impact of these differences on the  $\text{NO}_x$  budget and distribution, we contrast the results of two simulations, one using a constant value of 0.003 for the uptake coefficient, as suggested by Brown et al. (2009), and one using the Davis et al. (2008) parameterization (MINLOSS and DAVIS simulations, respectively).

## 2.4 Other losses

In addition to the previous pathways of  $\text{NO}_x$  removal, the following processes are also considered.

- Dry deposition of  $\text{NO}_2$ . The dry deposition of  $\text{NO}_2$  to vegetation and soils is a direct  $\text{NO}_x$  sink, computed with the resistance-in-series scheme of Wesely (1989).
- Wet and dry deposition of organic nitrates.  $\text{NO}_x$  is converted to organic nitrate through reactions of  $\text{NO}_3$  with alkenes, as well as through reactions of peroxy radicals with  $\text{NO}$  and acyl peroxy radicals with  $\text{NO}_2$ . Organic nitrates are temporary  $\text{NO}_x$  reservoirs with lifetimes of the order of minutes to weeks which can be transported away from the source regions, where they can either release  $\text{NO}_2$  through decomposition or oxidation, or undergo wet and/or dry deposition. Besides peroxy acyl nitrates (PANs), isoprene nitrates are believed to represent the largest contribution to the total organic nitrate budget (Beaver et al., 2012; Browne and Cohen, 2012), although their precise yields and fate remain poorly understood. Their role is relatively limited in IMAGESv2 due to their short lifetimes, and to the simplifying assumption that their reaction with  $\text{OH}$  releases  $\text{NO}_2$ , as in the MIM2 mechanism (Taraborrelli et al., 2009). In itself, nitrate formation and export should not be considered a  $\text{NO}_x$  sink, although it might significantly deplete  $\text{NO}_x$  levels in the formation region (Paulot et al., 2012). In this section, only the deposition of organic nitrate will be considered a  $\text{NO}_x$  sink. However, when evaluating the modelled  $\text{NO}_x$  lifetimes against measurement-based estimations at a local level (e.g.

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a megacity), the net organic nitrate formation rate should be taken into account (see Sect. 6.3).

- Reaction of  $\text{NO}_3$  with aldehydes and with dimethylsulfide  $(\text{CH}_3)_2\text{S}$ .  $\text{NO}_3$  can be converted to nitric acid by reaction with aldehydes,  $\text{NO}_3 + \text{RCHO} \rightarrow \text{HNO}_3 + \text{RCO}^\bullet$ ; or by reaction with dimethylsulfide,  $\text{NO}_3 + (\text{CH}_3)_2\text{S} \rightarrow \text{HNO}_3 + \text{CH}_3\text{SCH}_2^\bullet$ . Whereas the reaction with aldehydes is found to be almost negligible in our model calculations, the reaction with  $(\text{CH}_3)_2\text{S}$  is significant above oceans.

According to our model calculations, the sum of the above sinks represents only a small part (ca. 18%) of the global tropospheric  $\text{NO}_x$  sink (Table 1). Uncertainties related to these sinks will not be addressed in this study, although they might be substantial. As a result, the overall  $\text{NO}_x$  sink uncertainty derived in this study should only be seen as a lower limit. In particular, a more detailed treatment of organic nitrate chemistry could result in increased  $\text{NO}_x$  sinks in regions influenced by biogenic isoprene emissions.

## 2.5 OH radical concentration

An additional source of uncertainty resides in the abundances of OH radicals, responsible for the conversion of  $\text{NO}_2$  to nitric acid as well as for the removal of most reactive compounds in the troposphere. We discuss briefly below three possibly major reasons for this uncertainty, namely, the degradation chemistry of isoprene, the production of HONO, whose photolysis provides a direct OH source, and the heterogeneous  $\text{HO}_2$  loss on aerosols.

There is strong evidence from field campaigns in mid-latitude forests, tropical forests and other rural environments (e.g. Lelieveld et al., 2008; Ren et al., 2008; Hofzumahaus et al., 2009) that OH levels are largely underestimated in models when using traditional isoprene chemical chemistry (Butler et al., 2008; Kubistin et al., 2010; Stone et al., 2011). Furthermore, the model underprediction is found to increase for increasing isoprene concentrations as well as for decreasing NO levels (Lu et al., 2013). Although

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the causes of this behaviour are still under heated debate, they imply the existence of OH recycling processes counteracting the OH suppression due to reaction with isoprene and its degradation products. Lelieveld et al. (2008) suggested that between 2 and 4 OH radicals are formed in the reaction of isoprene peroxy radicals with HO<sub>2</sub> as implemented in the MIM2 chemical mechanism (Taraborrelli et al., 2009). Although this approach allowed to better align the model to the data, it was not supported by theoretical or experimental evidence. A substantial progress towards the elucidation of the high observed OH concentrations was provided by the theoretical studies of Peeters et al. (2009) and Peeters and Müller (2010), proposing that the isomerization of specific isoprene peroxy radicals leads to substantial HO<sub>x</sub> regeneration. Tested in global models (e.g. Stavrakou et al., 2010), the mechanism was found to be very efficient in enhancing HO<sub>x</sub> concentrations in the boundary layer, providing good agreement with available data. However, several open issues merit further investigation, e.g. the subsequent degradation chemistry of the isomerization products, as well as the reconciliation of theoretical findings with experimental evidence from the laboratory (Crouse et al., 2011).

The second source of uncertainty in OH levels originates in the primary OH radical source through HONO photolysis, which has been identified from field observations as quite significant in the lower troposphere, especially in the early morning (e.g. Elshorbany et al., 2009). A strong missing source of HONO has been invoked by recent studies to reconcile the large discrepancies found between observed and modelled HONO abundances during daytime (Sörgel et al., 2011; Su et al., 2011). The formation processes leading to these unexpectedly enhanced HONO concentrations are still under investigation, like nitrate photolysis following HNO<sub>3</sub> deposition on forest canopy surfaces (Zhou et al., 2011), heterogeneous conversion of NO<sub>2</sub> on ground and aerosol surfaces (Su et al., 2008), or HONO release from soil nitrites (Su et al., 2011). However, evidence favoring one or the other process is still partial, and therefore, the atmospheric impact of HONO, in particular its contribution to the production of OH radicals, remains an open issue.

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Finally, the reactive uptake of HO<sub>2</sub> by aerosols is a potentially important yet very uncertain HO<sub>x</sub> sink. A reaction probability ( $\gamma_{\text{HO}_2}$ ) value of 0.2 has been recommended for use in atmospheric models (Jacob, 2000), and is adopted in the baseline model simulation (MINLOSS). Laboratory measurements at atmospherically-relevant conditions revealed that the uptake coefficients for dry (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> and NaCl aerosol were lower than 0.05, whereas for wet aerosols, measured  $\gamma_{\text{HO}_2}$  ranged between ca. 0.1 and 0.2 (Taketani et al., 2008). On the other hand, values higher than 0.2 have been observed in the presence of transition metal ions in aqueous aerosols (Cooper and Abbatt, 1996; Taketani et al., 2008). Based on a compilation of available measurement data, the parameterization of Macintyre and Evans (2011) for the uptake coefficient of HO<sub>2</sub> on sulfates, black and organic carbon, sea salt and dust suggests a global mean value of 0.028, the uptake being more significant on sulfates (up to 0.3). Over polluted areas, the uptake on sulfates is the dominant component of the total aerosol sink, and therefore, only this component is considered in our study.

In order to test the uncertainties related to isoprene chemistry, we performed a sensitivity simulation denoted MIM2+ (Table 1), which includes the recycling mechanism proposed by Lelieveld et al. (2008). The OH production from HONO is not considered in the current version of the model. We test the sensitivity of the model with respect to uncertainties in HO<sub>2</sub> aerosol uptake by carrying out a simulation where  $\gamma_{\text{HO}_2}$  is set to a lower value, equal to 0.05 (HO2L run of Table 1).

### 3 Satellite-driven source inversion of NO<sub>x</sub> sources

#### 3.1 Tropospheric NO<sub>2</sub> columns from OMI

OMI (Ozone Monitoring Instrument) is a Dutch–Finnish nadir viewing imaging spectrometer flying on a sun-synchronous orbit crossing the local equator at ca. 13:40 LT (Levelt et al., 2006). Launched aboard the Aura platform in 2004, OMI measures in the UV-visible spectral window (270–500 nm) at a nadir resolution of 13 × 24 km. The Dutch

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OMI tropospheric NO<sub>2</sub> version 2 (DOMINO v2) data product (Boersma et al., 2011), publicly available through the TEMIS portal (<http://www.temis.nl>), is used in this study. This version constitutes a major improvement compared to the previous DOMINO v1 tropospheric NO<sub>2</sub> columns (Boersma et al., 2011). DOMINO v2 has been used thus far

in assimilation studies (Miyazaki et al., 2012a,b), air quality assessments (McLinden et al., 2012), as well as for validation purposes (Irie et al., 2012; Ma et al., 2013). DOMINO v2 tropospheric NO<sub>2</sub> columns are reduced by 20 % in winter, and by 10 % in summer over polluted regions, compared to the DOMINO v1 product. Larger discrepancies between DOMINO v2 and v1 occur, however, on regional scales. The OMI tropospheric NO<sub>2</sub> retrieval error for individual, cloud-free pixels comprises the uncertainties on the slant and on the stratospheric slant column, estimated at  $0.7 \times 10^{15}$  and  $0.15 \times 10^{15}$  molec cm<sup>-2</sup>, respectively, and the uncertainty on the tropospheric AMF, lying between 10 and 40 % (Boersma et al., 2007). The total error of the DOMINO v2 product is estimated to be lower, from  $1.0 \times 10^{15}$  molec cm<sup>-2</sup> + 30 % for v1, to  $1.0 \times 10^{15}$  molec cm<sup>-2</sup> + 25 % for DOMINO v2 (Boersma et al., 2011).

### 3.2 NO<sub>2</sub> simulated by IMAGESv2 CTM

The IMAGESv2 global chemistry-transport model simulates the concentrations of 132 trace species at a resolution of  $2^\circ \times 2.5^\circ$ , and at 40 sigma-pressure levels between the Earth's surface and the lower stratosphere (44 hPa). Meteorology is obtained from ERA-Interim analyses of the European Center of Medium-Range Weather Forecasts (ECMWF) for the year of the simulation (2007). Details about the model can be found in previously published work (Müller and Stavrakou, 2005; Stavrakou et al., 2008). The chemistry is solved by the fourth order Rosenbrock solver of the Kinetic Preprocessor software tool (KPP, Sandu et al., 2006). The model time step for the forward simulations is taken equal to 4 h. The effects of diurnal variations are accounted for through correction factors calculated from a detailed model run with a 20 min time step, which is also used to estimate the NO<sub>2</sub> column diurnal shape profile. This diurnal cycle simulation

accounts for diurnal variations in the photolysis and kinetic rates, in the meteorological fields, and in the emissions.

The model uses anthropogenic emissions from the EDGAR 3.2 FT2000 inventory for year 2000 (<http://themasites.pbl.nl/tridion/en/themasites/edgar/>), overwritten by the REAS (Ohara et al., 2007) inventory over Asia, and EMEP emissions over Europe (<http://www.ceip.at/>). Over the US, the EDGAR emission is scaled to the National Emission Inventory (NEI, <http://www.epa.gov/ttn/chief/eiinformation.html>) values for each year.

The seasonality of anthropogenic emissions accounts for (i) a temperature dependence of vehicle emissions from the MOBILE 6 algorithm (Giannelli et al., 2002), and (ii) the seasonal variation of residential heating. In MOBILE 6, the effect of cold starts on CO road transport emissions is accounted for by a correction factor applied for temperatures lower than 18 °C, equal to  $1 + (18 - T_C) \times 0.05$ , with  $T_C$  the temperature in Celsius. Road emissions of NO<sub>x</sub> are only slightly temperature-dependent, a correction factor equal to  $1 + (24 - T_C) \times 0.0072$  being applied only for temperatures above 24 °C. The sectoral split of anthropogenic emissions is obtained from EDGAR. The seasonal variation of heating emissions is estimated by assuming that these emissions are proportional to the number of heating degree-days, with a threshold of 18 °C.

Diurnal and weekly profiles of CO, NO<sub>x</sub> and VOC anthropogenic emissions for OECD countries are obtained from Jenkin et al. (2000). A diurnal cycle is also applied to biomass burning emissions (Stavrakou et al., 2009a) as well as to lightning emissions, assumed to follow the diurnal cycle of convective updraft fluxes.

For the year 2007, global annual anthropogenic NO<sub>x</sub> emissions (excluding ship and aircraft emissions) are estimated at 25.7 TgN. Emissions from ships and aircraft contribute to an additional 4.2 TgN in 2007. Open vegetation fires are obtained from the Global Fire Emission Database (GFEDv3, van der Werf et al., 2010) and amount to 4.3 TgN globally in 2007. The horizontal distribution of lightning NO emissions (scaled at 3 TgNyr<sup>-1</sup> globally) combines a spaceborne climatology (LIS/OTD) for the number of flashes with a parameterization (Price and Rind, 1993; Martin et al., 2007) which uses cloud top heights and convective precipitation rates from ECMWF. The lightning

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emissions are vertically distributed according to Pickering et al. (1998). Emissions of organic and black carbon aerosols are taken from Bond et al. (2004) and van der Werf et al. (2010). Inorganic aerosols are calculated by EQSAM (Metzger et al., 2002). The wet removal scheme for gases and aerosols is described in Stavrakou et al. (2009c).

5 Soil emissions are calculated according to the algorithm of Yienger and Levy (1995). Biome data are taken from the compilation of Matthews (1983) (<http://data.giss.nasa.gov/landuse/vegeem.html>), and cropland distribution from the land use database of Klagenfurt University (Erb et al., 2007). Total fertiliser consumption per year and per country is obtained from the International Fertiliser Association (IFA, <http://www.fertilizer.org>), and the fraction of fertiliser consumption which is used in rice paddies is obtained from the Food and Agriculture Organization (FAO, <http://faostat.fao.org>). The fraction of the applied fertilizer assumed to be lost as NO is equal to 1 % for all crops (Steinkamp and Lawrence, 2011), except for rice paddies where it is assumed to be zero (Yienger and Levy, 1995). The total soil emission is scaled to 8 TgN globally.

15 Nine forward global simulations are performed (Table 1) to evaluate the sensitivity of the calculated NO<sub>2</sub> column and NO<sub>x</sub> lifetime to the NO<sub>x</sub> sink uncertainties described in Sect. 2. The simulations are performed for year 2007, and the model is initialized on 1 September 2006. (cf. Sect. 3.3).

20 The simulated monthly averaged NO<sub>2</sub> columns are compared to the corresponding DOMINO v2 averages binned at the horizontal resolution of the model, while accounting for the instrument averaging kernels and for the sampling times of observation at each location. The errors associated to the monthly DOMINO v2 averages are estimated from the reported retrieval errors, following a super-observation approach as in Miyazaki et al. (2012a), and accounting for an assumed error correlation of 50 % between retrieval errors on individual measurements contributing to the same super-observation. The total monthly error combines this super-observation retrieval error and an assumed model/representativity error of  $0.5 \times 10^{15}$  molec cm<sup>-2</sup>.

### 3.3 The inversion setup

The discrete adjoint of the IMAGESv2 model is used to infer top-down  $\text{NO}_x$  emission estimates constrained by OMI columns. This method, described in previous work (Stavrakou et al., 2006, 2008), allows for deriving monthly emission estimates at the resolution of the model ( $2^\circ \times 2.5^\circ$ ) for each of the  $\text{NO}_x$  emitting categories (anthropogenic, biomass burning, soil and lightning). The assumed error on the anthropogenic emissions by country is set equal to a factor of 1.6 and 2 for OECD and other countries, respectively, to a factor of 2 for soil emissions, and 2.5 for vegetation burning and lightning.

Two optimizations are carried out, which use  $\text{NO}_x$  sink assumptions of either the MINLOSS and MAXLOSS simulations (Table 1). They are performed at  $2^\circ \times 2.5^\circ$  model resolution and use one year (2007) of OMI  $\text{NO}_2$  global columns as constraints. The total number of control variables to be optimized in these source inversions is approximately equal to 70 000.

### 4 Importance of uncertainties of $\text{NO}_x$ losses addressed with IMAGESv2

Using MINLOSS as our baseline run, the influence of the chemical uncertainties discussed in Sect. 2 is illustrated by their impact on the sink rate of the tropospheric  $\text{NO}_x$  column in January and July (Figs. 2 and 3). By far the largest impact is the change due to the  $\text{HNO}_3$  forming channel in the reaction  $\text{NO} + \text{HO}_2$ , especially when  $\text{H}_2\text{O}$ -assistance is included (BUTKO1-2). The impact reaches up to a factor of two over tropical oceans, as a result of the high abundance in  $\text{HO}_2$  and water vapour in these regions (Fig. 2d). Over polluted regions, the relative impact of this loss process is found to be lower, although it remains still significant, especially in summer (up to 25 %). Overall, the relative contribution of this reaction to the global tropospheric  $\text{NO}_x$  sink amounts to 14 % in the case of BUTKO1 scenario, and reaches 27 % when water-assistance is taken into account. As a result, the global  $\text{NO}_x$  lifetime is reduced by 18 % and 26 %

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in BUTKO1 and BUTKO2, respectively, compared to the base run. Including this reaction channel results in an overall decrease of NO<sub>x</sub> columns by up to 45% (60%) at remote oceanic regions, and by 15% (30%) over the continental Tropics in BUTKO1 (BUTKO2) cases, whereas the decrease over polluted continental regions is much less important (ca. 5% in the US). As expected, the impact of the additional sink is largest for chemically aged air masses, far away from the emission regions. For the same reason, calculated changes in the surface NO<sub>2</sub> mixing ratios generally do not exceed 15% over continents, even in the BUTKO2 simulation. As an effect of the lower NO<sub>x</sub> abundances, O<sub>3</sub> production is reduced by 4–6% in the mid-latitudes near the surface, and by up to 16% at remote environments to the south of 50° S in the BUTKO1 run, in very good agreement with the changes reported by Cariolle et al. (2008). The enhanced NO<sub>x</sub> sink in BUTKO2 run leads to more pronounced decreases of model surface O<sub>3</sub>, by 7–10% in mid-latitudes S and by 24% at latitudes south of 50° S.

The replacement of the low constant value for  $\gamma_{\text{N}_2\text{O}_5}$  (0.003) by the Davis et al. (2008) parameterization for the uptake of N<sub>2</sub>O<sub>5</sub> on aerosols in the DAVIS simulation leads to substantial increases in the loss rate of the NO<sub>x</sub> column in the northern extratropical latitudes during winter. This is attributed to the low HO<sub>x</sub> levels at this time of the year, to the presence of high NO<sub>x</sub> and aerosol sources in these regions, and to the strong dependence of the Davis et al. (2008) parameterization on relative humidity. The enhancement of the sink rate reaches 100% in these regions in January. In July, the importance of this reaction is drastically reduced due to the dominance of the NO<sub>2</sub> + OH sink (Figs. 2, 3e). The sensitivity is weak in the Tropics. Globally, this sink, which accounts for 8% of the global sink in the baseline simulation, is almost doubled in the DAVIS case, and is responsible for a reduction of the global NO<sub>x</sub> lifetime by 6% (Table 1). The parameterization by Davis et al. (2008) results in NO<sub>x</sub> column decreases reaching 30% at high latitudes in wintertime, although these changes are more limited over emission regions.

The reaction of NO<sub>2</sub> with OH constitutes the major loss of NO<sub>x</sub> in the troposphere, estimated to represent more than 70% of the total NO<sub>x</sub> sink globally. The sensitivity

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of this sink with respect to the use of the Mollner et al. (2010) rate constant instead of the Henderson et al. (2012) expression is found to be weak in both seasons, generally of the order of 10 %, except at remote southern latitudes where it reaches 20 % (MOLLNER, Table 1, Figs. 2a, 3a). This can be explained by the stronger temperature dependence assumed in the MOLLNER case (Fig. 1) compared to MINLOSS, which reinforces the NO<sub>x</sub> loss in the upper troposphere, especially away from NO<sub>x</sub> sources, because at these regions the relative contribution of this sink at the surface is minor. In the JPL run, more pronounced increases in the NO<sub>x</sub> loss rate are found, reaching 30 % over the remote regions of the Southern Hemisphere. Globally, the calculated NO<sub>x</sub> lifetime is found to decrease by 6 % and 12 % in the MOLLNER and JPL simulation, respectively.

The effect of the model changes in the MIM2+ and HO2L simulations is found to be small, or even insignificant. In the MIM2+ simulation, the increased OH concentrations over forested areas lead to increased NO<sub>x</sub> loss rates. The sink increase amounts to less than 1 % on the global scale, but can reach 20 % in isoprene-rich regions (Figs. 2f, 3f). Further, the model using the lower reaction probability for the sulfate aerosol uptake of HO<sub>2</sub> (HO2L simulation) exhibits a very small global sensitivity (Table 1), except in regions with high aerosol concentrations (see also Macintyre and Evans, 2011).

As seen from this analysis, the base run MINLOSS realizes the lowest NO<sub>x</sub> loss rates and the largest global tropospheric NO<sub>x</sub> lifetime among the sensitivity tests. The MAXLOSS scenario is designed by adopting those choices which maximize the NO<sub>x</sub> loss. This is realized by using the JPL recommendation for the NO<sub>2</sub> + OH reaction rate, by including the HNO<sub>3</sub> forming channel in NO + HO<sub>2</sub>, based on Butkovskaya et al. (2009), the uptake probability of N<sub>2</sub>O<sub>5</sub> on sulfate aerosols from Davis et al. (2008), and the MIM2+ chemistry. The combination of these settings causes a strong reduction of the global NO<sub>x</sub> lifetime, by a factor of 1.6 with respect to MINLOSS (Table 1). Note that other model uncertainties could possibly affect the modelled NO<sub>x</sub> columns, e.g. in meteorological parameters, in the emissions of other compounds (e.g. NMVOCs) or in chemical parameters other than those considered in this study (Lin et al., 2012a). The

comparison of our MINLOSS and MAXLOSS simulations should, nevertheless, provide a measure of the uncertainties associated to the major chemical NO<sub>x</sub> pathways.

The NO<sub>x</sub> lifetimes calculated for MINLOSS and MAXLOSS cases are shown in Fig. 4 and the contribution of the main individual NO<sub>x</sub> sinks to the total sink is illustrated in Fig. 5 for MAXLOSS. Lifetimes of less than one day are calculated in the case of MAXLOSS over most continental regions, especially in summertime and in high-NO<sub>x</sub> environments, due to the dominance of the loss via OH. In essence, the distribution of the NO<sub>2</sub> + OH sink reflects mainly the boundary layer OH concentration field pattern (Fig. 5). The loss via NO + HO<sub>2</sub> occurs mostly over tropical regions, and is, on average, roughly two times lower than the loss due to NO<sub>2</sub> + OH. In January, N<sub>2</sub>O<sub>5</sub> uptake by aerosols is quite significant in regions with high aerosol concentrations and long nights, and is estimated to be three times more important than in July at the global scale (Fig. 5). Over oceans and high-latitude regions the lifetime can reach several days due to lower OH levels and low NO<sub>2</sub> concentrations.

## 5 Top-down NO<sub>x</sub> emissions

This section focuses on the results of the two emission inversions constrained by 2007 OMI data performed using either the MINLOSS or the MAXLOSS model settings (Table 1). The a priori and optimized tropospheric NO<sub>x</sub> budget is summarized in Table 2, and the annual total NO<sub>x</sub> emission update is shown in Fig. 6.

The differences between the emissions inferred by both inversions are found to be substantial, with the total NO<sub>x</sub> source being 50 % higher in MAXLOSS compared to MINLOSS. The top-down estimates, 44 TgN from MINLOSS against 66 TgN from MAXLOSS, correspond to a slight decrease of the source (3 %) in the former case, and a substantial increase by 47 % in the latter, with respect to the a priori source.

The annual total NO<sub>x</sub> emission update, i.e. the ratio of a posteriori to the a priori emissions (Fig. 6), is found to be lower than one at mid-latitudes in MINLOSS, except over Northeastern China. In tropical regions the emissions are increased by up to a factor

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of two, mainly due to increased natural sources. Similar patterns are found in the case of MAXLOSS, but with much higher emission updates, generally between 1 and 2 over polluted regions, and between 2 and 5 in the Tropics.

The largest discrepancies between the two inversions concern natural emissions.

5 Global  $\text{NO}_x$  emissions from lightning and soil differ between by 70 % and 100 % between MAXLOSS and MINLOSS, respectively. This is the consequence of the large difference in  $\text{NO}_x$  lifetimes between the two inversions in tropical regions (Fig. 4). This difference is further amplified by chemical feedbacks, because the larger  $\text{NO}_x$  increases in MAXLOSS lead to higher OH levels, and therefore, to further  $\text{NO}_x$  lifetime decreases. In comparison to most global inversion studies, soil NO emission is found to be generally underestimated by the algorithm of Yienger and Levy (1995). Top-down studies derived estimates for the global soil NO source ranging between 8.9 TgN (Jaeglé et al., 2005) and 10–12 TgN (Müller and Stavrakou, 2005; Stavrakou et al., 2008). More recently, improvements upon the algorithm of Yienger and Levy 10 (1995) resulted in new parameterizations yielding global above-soil  $\text{NO}_x$  emission of 10.7 TgN (Hudman et al., 2012), and an above-canopy soil  $\text{NO}_x$  source at 8.6 TgN (Steinkamp and Lawrence, 2011), in better agreement with satellite-derived fluxes. In the latter study, which was based on a compilation of a large number (ca. 600) of flux measurements, the emission factors for the different ecosystems were estimated from 20 the geometrically averaged observed fluxes. However, the use of an alternative, equally plausible averaging method (the plain arithmetic average) resulted in an annual estimate of 27.6 TgN, much higher than all previous values. This finding underscores the high uncertainty of this source, whereas the wide range of our OMI-derived estimates for soil emissions, 8.8–17.9 TgN per year (Table 2), could be regarded as a top-down uncertainty range for this source. 25

The global  $\text{NO}_x$  production from lightning derived in this study is estimated at 3.0–5.2 TgNyr<sup>-1</sup>, i.e. 0–70 % higher than the a priori source, with the strongest increases predicted in the Tropics. This result is in line with previously reported top-down values, ranging between 1.1 and 6.4 annually (Müller and Stavrakou, 2005; Stavrakou et al.,

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2008; Boersma et al., 2005). Recently, Murray et al. (2012), based on satellite lightning data, estimated a global annual source of  $6 \pm 0.5$  TgN, in agreement with the best estimate of 6 TgN by Martin et al. (2007) derived through comparisons of satellite observations of NO<sub>2</sub>, O<sub>3</sub> and nitric acid with a global CTM, and with the study by Schumann and Huntrieser (2007) reporting a range of 2–8 TgN per year, based on a literature review suggesting that a thunderstorm flash produces  $15(2\text{--}40) \times 10^{25}$  molecules NO per flash on average. However, this result was contradicted by a more recent study (Beirle et al., 2010) using SCIAMACHY NO<sub>2</sub> columns, which suggested that the production efficiency is of the order of  $2 \times 10^{25}$  molecules NO per flash, at the low end of the range derived by Schumann and Huntrieser (2007). Should this be confirmed, the global annual NO production would be of the order of 1 TgN.

Both inversions suggest that the emissions from fires are higher than estimated by the a priori GFEDv3 vegetation fire inventory. On the global scale, the inferred annual values are larger than the a priori, 5 TgN in MINLOSS, 7.1 in MAXLOSS compared to 4.3 TgN in GFEDv3. The strongest emission increments are found over Southern Africa (factor of 2–2.5) and Oceania, whereas fire emissions in South America are very close to the prior. Support for higher NO<sub>x</sub> emissions from fires is provided by an independent global database of fire emissions (Fire Inventory from NCAR, Wiedinmyer et al., 2011), where the global 2007 emissions are estimated at 6.7 TgN.

The differences in anthropogenic global totals inferred by the inversions are significant, 26.7 TgN in MINLOSS vs. 36.2 TgN in MAXLOSS (cf. Table 2). Pronounced differences are also derived on the continental scale, as illustrated in Table 2. In Europe and North America the posterior emissions are lower than the a priori in the MINLOSS inversion, but higher when the maximum loss scenario is considered. Exception is made for China for which quite robust estimates are found in both inversions, 5.8 TgN in MINLOSS vs. 6.5 in MAXLOSS, consistently higher than the a priori. This is close to the 2006 top-down anthropogenic flux estimate derived from SCIAMACHY NO<sub>2</sub> columns, 6.3 TgNyr<sup>-1</sup> (Stavrakou et al., 2008), but is lower than reported in a recent inversion study for East China (101.25–126.25° E, 19–46° N), 7.1 TgNyr<sup>-1</sup>, also constrained by

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DOMINO v2 NO<sub>2</sub> columns (Lin, 2012b). Based on a bottom-up methodology for China, Zhang et al. (2007) estimated the anthropogenic emission in 2004 at 5.7 TgNyr<sup>-1</sup>. Extrapolating this value based on the emission growth rate derived by Zhang et al. (2007) between 1995–2004 (6.1 %yr<sup>-1</sup>) would result in an emission estimate of 6.8 TgN in 2007, very close to the MAXLOSS result. Two recently available bottom-up inventories, namely, the latest version of the Regional Emission inventory in ASia (REAS version 2) and the Multi-resolution Emission Inventory in China (MEIC) will be compared with the OMI-derived emissions in Sect. 6.4.

The flux estimates for North America and Europe are found to depend strongly on the inversion setup. In North America, they are found to be lower (5 TgN) or higher (7.2 TgN) in comparison with the a priori (6 TgN) in MINLOSS and MAXLOSS, respectively, whereas the previous top-down estimate for the same region in 2006 by Stavrakou et al. (2008) lies within the above range, and is very close to the a priori (6.1 TgNyr<sup>-1</sup>). In Europe, based on data assimilation of DOMINO v2 NO<sub>2</sub> columns, Miyazaki et al. (2012a) reported an anthropogenic annual flux equal to 4.6 TgN, close to our a priori value.

The lower sensitivity of top-down emissions to the NO<sub>x</sub> sink rates over China compared to, e.g. Europe, North America and tropical regions is very likely a consequence of the very high NO<sub>x</sub> concentrations typically found over Northeastern China. Indeed, the positive response of OH levels to NO<sub>x</sub> emissions typically found in most tropospheric conditions becomes weaker and even changes sign at those very high NO<sub>x</sub> levels. As a result, emission enhancements over China in the MAXLOSS inversion are not accompanied by larger OH level increases compared to MINLOSS, as opposed to the other regions where the chemical feedbacks tend to amplify the differences between MAXLOSS and MINLOSS emission updates.

One fifth of the NO<sub>x</sub> source predicted by MAXLOSS is removed via the NO + HO<sub>2</sub> reaction. Owing to this additional channel, the relative contribution of the NO<sub>2</sub> + OH loss to the total sink is weakened in the MAXLOSS case study (~ 50%) compared to MINLOSS (ca. 74 %). The optimization infers very similar magnitudes for the NO<sub>2</sub> + OH

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sink in both cases (33 vs. 37 TgN), whereas the uptake of N<sub>2</sub>O<sub>5</sub> on sulfate aerosols is found to increase substantially (more than a factor of 3) in MAXLOSS inversion compared to MINLOSS, due to higher NO<sub>x</sub> emissions and the non-linear dependence of N<sub>2</sub>O<sub>5</sub> formation on NO<sub>x</sub> levels. Note that the NO<sub>x</sub> sources are not exactly balanced by the sinks due to the small conversion of HNO<sub>3</sub> to NO<sub>x</sub>, mostly via oxidation by OH.

The percentage differences between inferred total NO<sub>x</sub> emissions in simulations MINLOSS and MAXLOSS (Fig. 7) are less pronounced at mid-latitudes in January (generally less than 30 %) than in July (40–100 %). This weak sensitivity of winter fluxes is partly explained by the longer NO<sub>x</sub> lifetimes (> 1 day), and therefore, the increased role played by transport in determining NO<sub>x</sub> columns over emission regions in winter. Furthermore, the winter losses are primarily due to the uptake of N<sub>2</sub>O<sub>5</sub> on aerosols (Fig. 5) which, although being very uncertain (Fig. 1), is a process operating at night and having therefore only a modest influence on NO<sub>x</sub> columns at the overpass time of OMI (13:40 LT).

Both inversions are found to modify the seasonality of anthropogenic emissions over China (Fig. 8), improving the agreement with the bottom-up inventory of Zhang et al. (2007). The December-to-July emission ratio, equal to 1.25 in the a priori and in MINLOSS, and equal to 1.13 in MAXLOSS, is very close to the ratio reported in Zhang et al. (2007) (1.26). Further, the inferred seasonality is compared with the bottom-up seasonality from two new emission inventories for China (cf. Sect. 6.4). In North America and Europe, the inversions keep the main seasonality features of the a priori almost unchanged. The February peak of the a priori seasonality, due to the low temperatures and the heating-degree day approach applied to the residential emissions, is consistent with the satellite observations, especially in the MINLOSS inversion.

## 6 Comparisons with independent observations and inventories

### 6.1 SCIAMACHY NO<sub>2</sub> vertical columns

We evaluate the modelled a priori and a posteriori NO<sub>2</sub> columns against NO<sub>2</sub> column abundances retrieved from the SCIAMACHY UV-visible nadir sounder crossing the local equator at ca. 10:00 LT. SCIAMACHY has a ground pixel of 30 × 60 km<sup>2</sup> and achieves global coverage within six days. For these comparisons we use SCIAMACHYv2 data distributed via the TEMIS website (www.temis.nl, Boersma et al., 2004). This product is reprocessed using the same basic algorithm for satellite observations used for DOMINO v2. Comparisons above selected regions between modelled NO<sub>2</sub> columns before and after inversion and columns from OMI and SCIAMACHY are illustrated in Figs. 9 and 10.

Overall, the model/OMI data discrepancy is efficiently reduced after the optimization. Whereas relatively small changes are inferred in summer over Northern China, the column increases are more substantial in wintertime (up to factor of two), despite the reduced seasonality of anthropogenic emissions (Fig. 8). As discussed in Stavrakou et al. (2008), different photochemical regimes in summer and in winter lead to different responses of the NO<sub>x</sub> lifetime to an emission increase, primarily because OH levels increase with NO<sub>x</sub> in summer (due to the HO<sub>2</sub> + NO reaction), resulting in a negative feedback, whereas the opposite effect prevails in winter (due to OH + NO<sub>2</sub>). The role of N<sub>2</sub>O<sub>5</sub> is limited because it operates only at night, as noted above.

The bias between the model and the SCIAMACHY data is significantly reduced in the a posteriori solution in Northern China, despite remaining underestimations. In Western Europe and Eastern US, the optimized columns are still lower than OMI (up to 25%), but in good agreement with SCIAMACHY data, although the MAXLOSS inversion leads to an overestimation of the columns over Europe in summertime. In Southeast Asia, the a priori columns capture quite well the seasonal pattern of the observed columns from OMI and SCIAMACHY, but their magnitudes are strongly different due to the importance of the NO + HO<sub>2</sub> sink in the Tropics in the MAXLOSS case. After inversion,

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the match between the modelled and OMI columns is excellent, however, a systematic model overprediction persists with respect to SCIAMACHY data. This overestimation, also found over tropical America and tropical Africa, is possibly related to a misrepresentation in the diurnal cycle of natural fluxes and/or OH levels in these regions.

## 6.2 Aircraft campaign measurements

Modelled NO<sub>2</sub> profile shapes before and after inversion are evaluated against the two Intercontinental Chemical Transport Experiments INTEX-A and INTEX-B. The INTEX-A field mission (Singh et al., 2006) was undertaken between 1 July to 15 August 2004 over North America (<http://www-air.larc.nasa.gov/missions/intexna/intexna.htm>). The NO<sub>2</sub> profiles obtained in this mission are described in Singh et al. (2007). The INTEX-B two-phase mission (Singh et al., 2009) was conducted in spring 2006 (1 March–15 May) over Mexico area in March and over the Pacific in April and May (<http://www.espo.nasa.gov/intex-b/>).

Over North America, the a priori NO<sub>2</sub> model profiles are quite similar with slight differences (less than 20 %) in the continental boundary layer. Both inversions succeed in reducing the bias with the observations in summertime. In spring, the MAXLOSS inversion results lead to large overestimations in the boundary layer. In oceanic locations, the changes inferred by the inversions are small in all cases. Although biased high, the MINLOSS profiles lie closer to the measurements, especially over the North Pacific. The assumed strong sink in the MAXLOSS setup leads to significant NO<sub>2</sub> underestimation over all oceanic regions, especially in the mid- and upper troposphere.

## 6.3 Lifetimes at megacities

Modelled daytime NO<sub>x</sub> lifetimes are compared in Fig. 13 with lifetimes derived over megacities by Beirle et al. (2011), using cloud free OMI NO<sub>2</sub> tropospheric columns retrieved using the algorithm version 1.02 (Boersma et al., 2007). The method used by

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Beirle et al. (2011) relies on the observed difference in NO<sub>2</sub> distribution according to wind direction.

Seasonally averaged daytime lifetimes for seven megacities are compared with respective modelled lifetimes averaged between 09:00–13:30 LT from the MINLOSS and MAXLOSS inversions. Two ways for calculating the lifetimes are tested. In the first, organic nitrate and peroxy acyl nitrate formation and export are not considered a NO<sub>x</sub> sink for the corresponding grid cell. This method leads to generally longer-than-observed lifetimes, especially in the mid-latitudes during wintertime, when HO<sub>x</sub> levels are low. The second method accounts for the net organic nitrate formation rate, and is more appropriate for estimating lifetimes over strong, localized sources, like megacities. The agreement with the observations is markedly improved in this case at most locations, although the model still overpredicts the lifetimes in Moscow and to a lesser extent in Tokyo during winter.

Overall, the seasonality of NO<sub>x</sub> lifetimes is surprisingly well captured by both MINLOSS and MAXLOSS inversions. In South China and Singapore the observed lifetimes, ranging between 2 and 4 h, are very well reproduced by both inversions, whereas in Riyadh the lifetimes from MINLOSS are factor of two higher than from MAXLOSS. Although the above analysis lends some confidence to the model results, it appears insufficient to (in)validate either of the two inversion scenarios, given the limitations of a comparison between NO<sub>x</sub> lifetimes at different spatial scales.

#### 6.4 Comparison with REASv2 and MEIC emission inventories

Figure 14 compares the annual inversion results from MINLOSS and MAXLOSS with the latest version of the Regional Emission inventory in ASia (REAS version 2) and the Multi-resolution Emission Inventory in China (MEIC) for the year 2007. The a priori inventory used for Asia is REASv1, except for the seasonality which has been calculated as described in Sect. 3.2.

REASv2 was developed recently by updating the REASv1 database, which was a first historical anthropogenic emission inventory in Asia covering the period from 1995

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to 2003, whose major emission sources are fuel combustion, industrial process, and agricultural activities. The new REAS inventory includes most of major air pollutants and greenhouse gases: SO<sub>2</sub>, NO<sub>x</sub>, CO, NMVOC, PM<sub>10</sub>, PM<sub>2.5</sub>, BC, OC, NH<sub>3</sub>, CH<sub>4</sub>, N<sub>2</sub>O, and CO<sub>2</sub>. Target years are from 2000 to 2008 and areas are expanded from East, Southeast and South Asia of REASv1 to Central Asia and Asian part of Russia (Ural, West and East Siberia, and Far East). Emissions are estimated on a monthly basis for each country and region and are allocated to grids at a 0.25° × 0.25° resolution. Both spatial and temporal resolutions are finer than in REASv1. Activity data such as energy consumption and industrial production were obtained from new statistics and database of power plants as point sources are fully updated. Country- and region-specific parameters such as emission factors and removal efficiencies were also updated by surveying recently published literature for Asian emission inventories. As for Japan, South Korea, and Taiwan, inventories of other research works based on detailed activity data and information were used in REASv2 (Kurokawa et al., 2013).

MEIC is based on a dynamic, technology-based methodology to estimate anthropogenic emission fluxes in China beyond 1990 and until the present date. Emissions are estimated for all anthropogenic sources for ten chemical species: SO<sub>2</sub>, NO<sub>x</sub>, CO, NMVOC, NH<sub>3</sub>, CO<sub>2</sub>, PM<sub>10</sub>, PM<sub>2.5</sub>, BC, and OC. Total NMVOC emissions are further speciated into species for five chemical mechanisms: CBIV, CB05, RADM2, SAPRC99, and SAPRC07. MEIC updates and improves upon the inventories developed by the same group (Zhang et al., 2007, 2009; Lei et al., 2011a). The major improvements of MEIC inventory include unit based emission inventory for power plants (Wang et al., 2012) and cement plants (Lei et al., 2011b), updates of recent activity data and emission factors from literature, and an on-line emission process database (<http://www.meicmodel.org>). Emissions are available for four aggregated sectors, namely, power generation, industry, residential and transportation, with monthly temporal variation and 0.25° spatial resolution.

The REASv2 and MEIC distributions are very similar both geographically and temporally over China. At the resolution of the CTM (2° × 2.5°), REASv2 and MEIC are

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highly correlated ( $r = 0.96$ ). Both optimizations bring the model total emissions closer to REASv2 and MEIC: 5.8 and 6.5 TgNyr<sup>-1</sup> in MINLOSS and MAXLOSS, vs. 7.2 and 7.6 TgNyr<sup>-1</sup> in REASv2 and MEIC, respectively. In terms of seasonal variation (Fig. 8), both optimizations increase the share of summertime emissions compared to the a priori, while reducing the January–March normalized emissions, in better agreement with the bottom-up estimates. Although MINLOSS achieved the best match with the seasonality of Zhang et al. (2007), the seasonality of MAXLOSS emissions is in remarkably good agreement with the more recent inventories, as quantified by the reduced root mean square deviations between MAXLOSS and MEIC (0.14) or REASv2 (0.17), compared to the a priori (0.22). The a priori seasonality (too high in late winter, and too low in summer) is therefore not supported by the satellite observations.

Regarding the spatial distributions of the emissions (Fig. 14), the MINLOSS inversion leads to large emission enhancement in the North China Plain, and to emission decreases in most other regions, leading to a deterioration of the correlation with the MEIC and REASv2 inventories (Table 3). The MAXLOSS inversion realizes a better match with the recent inventories, as seen by the reduced root mean square deviations shown in Table 3.

## 7 Conclusions

We have investigated the influence of major uncertainties in NO<sub>x</sub> chemical sinks on the NO<sub>x</sub> columns simulated with the IMAGESv2 model and on the top-down NO<sub>x</sub> emission strengths derived by inverse modelling. Uncertainties in four key processes were addressed, namely, (i) the rate of the reaction NO<sub>2</sub> + OH → HNO<sub>3</sub>, (ii) the formation of HNO<sub>3</sub> in the reaction of NO + HO<sub>2</sub> as proposed by Butkovskaya et al. (2007, 2009), (iii) the N<sub>2</sub>O<sub>5</sub> uptake coefficient on aerosol, and (iv) the regeneration of OH radicals in isoprene oxidation. Through sensitivity model studies, we have shown that these uncertainties imply significant differences in the predicted NO<sub>x</sub> columns and lifetimes:

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1. Including the reaction  $\text{NO} + \text{HO}_2 \rightarrow \text{HNO}_3$  in the model leads to NO<sub>x</sub> column decreases estimated at ca. 60 % in oceanic regions and at 30 % over the continental Tropics, whereas the tropospheric NO<sub>x</sub> lifetime is reduced globally by 35 %.
2. The use of laboratory-based rates for the uptake of N<sub>2</sub>O<sub>5</sub> by aerosols, instead of the low value (0.003) suggested by field data, is found to strongly enhance the total NO<sub>x</sub> column sink during winter at mid- and at high latitudes, by about a factor of two, whereas the global NO<sub>x</sub> lifetime is decreased by about 6 %. However, this large uncertainty is of little consequence for the comparison of model columns with satellite data obtained around midday local time.
3. The model sensitivity to the rate constant of the NO<sub>2</sub> + OH reaction is found to be relatively weak. Compared to a simulation which uses a rate expression constrained by field data (Henderson et al., 2012), the use of the JPL recommendation (Sander et al., 2011) results in a global decrease of NO<sub>x</sub> lifetime by 12 %. Furthermore, the influence of OH recycling in isoprene oxidation is found to be small on the global scale, although it leads to increased (by up to 20 %) loss rates in forested regions.

The consequences of those sink uncertainties on top-down emissions are explored through emission inversion using model settings which either minimize (MINLOSS) or maximize (MAXLOSS) the total sink. Top-down flux estimates for 2007 are obtained from OMI DOMINO v2 NO<sub>2</sub> columns using the IMAGESv2 model and its adjoint.

Major differences are inferred in the NO<sub>x</sub> sources derived in both inversions, by virtue of their substantial differences in NO<sub>x</sub> loss rates. More specifically, the global NO<sub>x</sub> flux from the MAXLOSS inversion is by 50 % higher than in MINLOSS. Interestingly, the largest discrepancies are deduced from soil NO and lightning fluxes (up to a factor of two) reflecting the large sink uncertainties in tropical regions. The global anthropogenic source exhibits weaker differences between the two inversions, and is estimated at 27 and 36 TgNyr<sup>-1</sup> in MINLOSS and MAXLOSS, respectively.



forming channel in  $\text{NO} + \text{HO}_2$  was found to represent the largest source of uncertainty. In view of its potential importance, confirmation by further laboratory experiments is urgently needed.

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**Table 1.** Forward simulations conducted with the global model, calculated percentage contributions of each sink process to the global tropospheric sink of  $\text{NO}_x$ , and global tropospheric  $\text{NO}_x$  lifetime (days).

| Simulations | Description   | $\text{NO}_2 + \text{OH}$<br>sink<br>(%) | $\text{NO} + \text{HO}_2$<br>sink<br>(%) | Aerosol<br>sink<br>(%) | Other<br>sinks<br>(%) | Lifetime<br>(days) |
|-------------|---|--|--|------------------------|-----------------------|--------------------|
| MINLOSS     | $\text{NO}_2 + \text{OH}$ reaction rate from Henderson et al. (2012)<br>$\text{HNO}_3$ channel in $\text{NO} + \text{HO}_2$ ignored<br>$\gamma_{\text{N}_2\text{O}_5}$ from Brown et al. (2009)<br>$\gamma_{\text{HO}_2} = 0.2$ on sulfates<br>MIM2 isoprene oxidation mechanism                      | 73.7                                     | 0  | 7.8                    | 18.5                  | 1.41               |
| MOLLNER     | as MINLOSS, $\text{NO}_2 + \text{OH}$ rate constant from Mollner et al. (2010)  | 74.1                                     | 0  | 7.6                    | 18.4                  | 1.33               |
| JPL         | as MINLOSS, $\text{NO}_2 + \text{OH}$ rate constant from Sander et al. (2011)   | 75.0                                     | 0  | 7.1                    | 17.9                  | 1.24               |
| BUTKO1      | as MINLOSS, including $\text{HNO}_3$ channel in $\text{NO} + \text{HO}_2$ (Butkovskaya et al., 2005)  | 60.6                                     | 14.2                                     | 7.3                    | 17.9                  | 1.16               |
| BUTKO2      | as BUTKO1, including $\text{H}_2\text{O}$ -assistance to $\text{HNO}_3$ channel (Butkovskaya et al., 2009)  | 49.8                                     | 26.9                                     | 6.5                    | 16.9                  | 1.04               |
| DAVIS       | as MINLOSS, $\text{N}_2\text{O}_5$ reaction probability on sulfate aerosols from Davis et al. (2008)  | 67.1                                     | 0  | 15.2                   | 17.6                  | 1.32               |
| MIM2+       | as MINLOSS, with the MIM2+ isoprene mechanism (Lelieveld et al., 2008)  | 74.3                                     | 0  | 7.8                    | 17.8                  | 1.38               |
| HO2L        | as MINLOSS, $\text{HO}_2$ reaction probability on sulfate aerosols lowered to $\gamma_{\text{HO}_2} = 0.05$   | 74.0                                     | 0  | 7.6                    | 18.4                  | 1.40               |
| MAXLOSS     | $\text{NO}_2 + \text{OH}$ reaction rate from Sander et al. (2011)<br>$\text{HNO}_3$ channel in $\text{NO} + \text{HO}_2$ from Butkovskaya et al. (2009)<br>$\gamma_{\text{N}_2\text{O}_5}$ from Davis et al. (2008)<br>$\gamma_{\text{HO}_2} = 0.2$ on sulfates<br>MIM2+ isoprene oxidation mechanism | 48.9                                     | 23.9                                     | 11.8                   | 15.4                  | 0.88               |

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**Table 2.** A priori and a posteriori annual tropospheric NO<sub>x</sub> emissions and sinks (Tg yr<sup>-1</sup>) according to the MINLOSS and MAXLOSS scenarios. Global anthropogenic includes emissions from shipping and aircraft.

| Sources   | MINLOSS |           | MAXLOSS |           |
|---|---------|-----------|---------|-----------|
|   | Prior   | Optimized | Prior   | Optimized |
| Anthropogenic   | 29.8    | 26.7      | 29.8    | 36.2      |
| Asia  | 11.5    | 10.7      | 11.5    | 14.7      |
| Europe  | 4.7     | 3.7       | 4.7     | 5.2       |
| N. America  | 6.0     | 5.0       | 6.0     | 7.2       |
| China   | 4.8     | 5.8       | 4.8     | 6.5       |
| Fires   | 4.3     | 5.0       | 4.3     | 7.1       |
| Soil  | 8.0     | 8.8       | 8.0     | 17.9      |
| Lightning   | 3.0     | 3.0       | 3.0     | 5.2       |
| Total source  | 45.1    | 43.6      | 45.1    | 66.5      |
| Sinks   |         |           |         |           |
| NO <sub>2</sub> + OH  | 34.6    | 32.9      | 23.9    | 37.3      |
| NO + HO <sub>2</sub>  | 0.0     | 0.0       | 11.7    | 14.8      |
| N <sub>2</sub> O <sub>5</sub> + H <sub>2</sub> O<br>on aerosols | 3.7     | 4.1       | 5.8     | 9.6       |
| Other   | 8.7     | 8.5       | 7.5     | 10.2      |
| Total sink  | 47.0    | 45.5      | 48.9    | 71.9      |

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**Table 3.** Spatiotemporal correlations and root mean square deviations, expressed in  $10^{10}$  molec cm<sup>-2</sup> s<sup>-1</sup>, of monthly NO<sub>x</sub> emissions over China.

| Correlation | A priori | MINLOSS | MAXLOSS |
|-------------|----------|---------|---------|
| REASv2      | 0.916    | 0.853   | 0.902   |
| MEIC        | 0.914    | 0.877   | 0.917   |
| RMSD        | A priori | MINLOSS | MAXLOSS |
| REASv2      | 8.74     | 10.57   | 7.58    |
| MEIC        | 11.1     | 10.06   | 7.75    |

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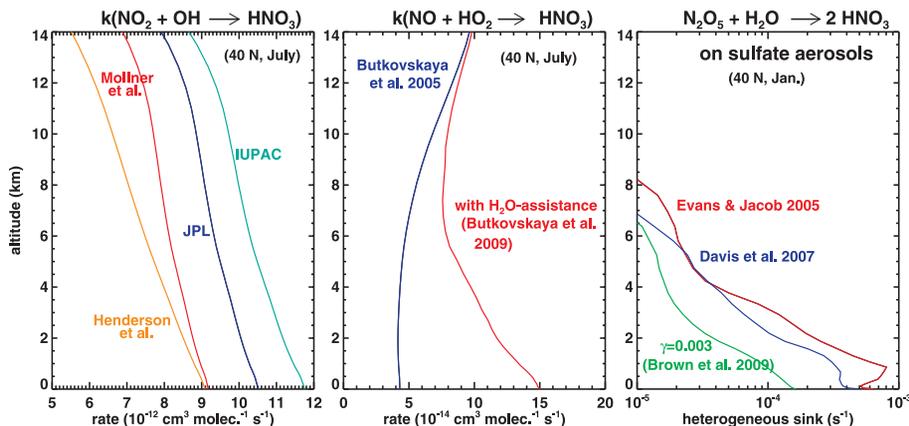
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**Fig. 1.** Zonally averaged at 40° N of the  $\text{NO}_2 + \text{OH}$  reaction rate in July (left), the  $\text{NO} + \text{HO}_2 \rightarrow \text{HNO}_3$  reaction rate in July (middle), and the heterogeneous sink of  $\text{N}_2\text{O}_5$  on sulfate aerosols in January (right).

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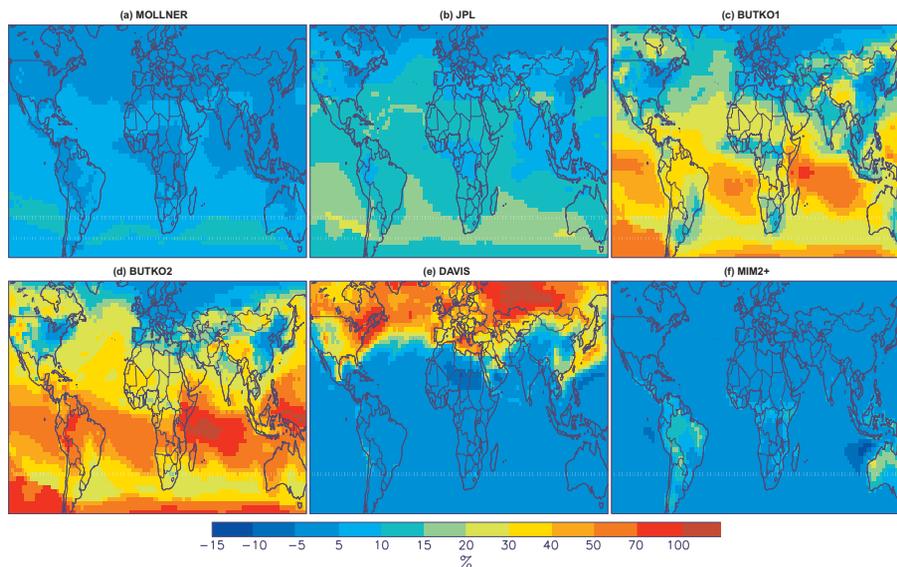
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## Impact of NO<sub>x</sub> sink uncertainties on top-down NO<sub>x</sub> emissions

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**Fig. 2.** Percentage difference in the sink rate of the tropospheric NO<sub>x</sub> column between the simulations of Table 1 and the base run MINLOSS  $((\text{RUN} - \text{MINLOSS})/\text{MINLOSS}) \cdot 100$ , for January 2007.

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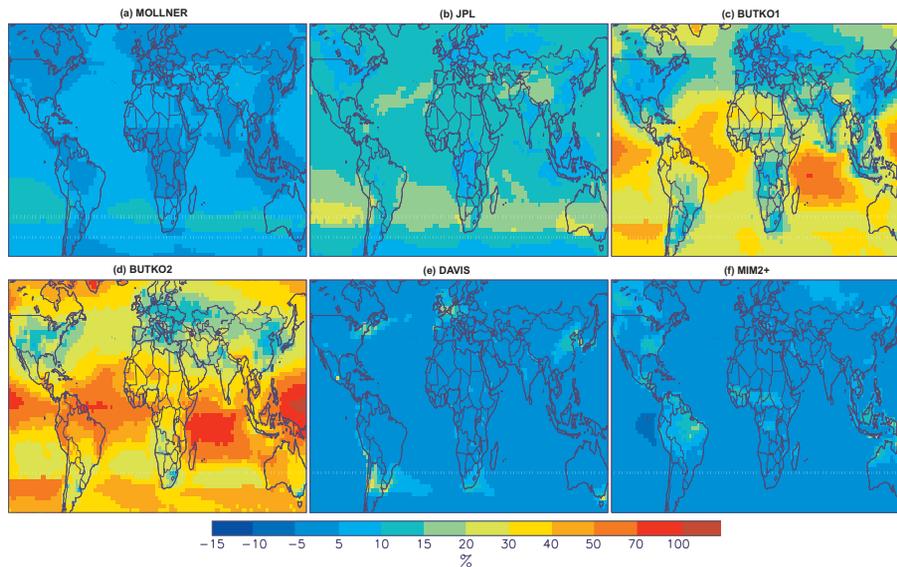
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**Fig. 3.** Same as Fig. 2, for July 2007.

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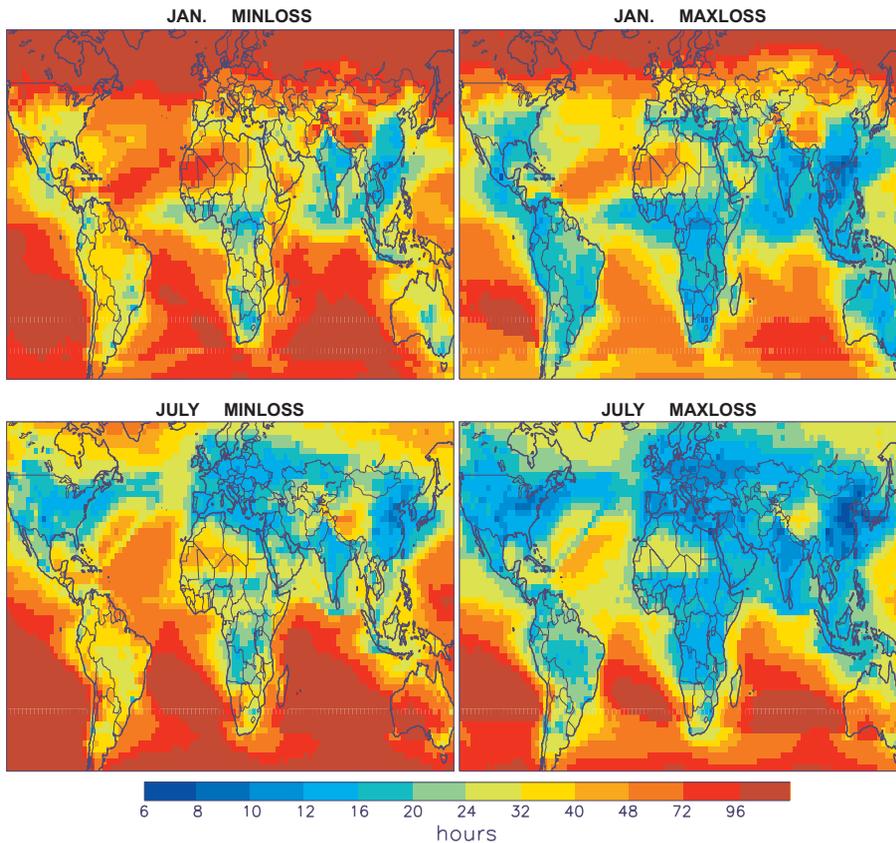
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**Fig. 4.** Comparison of  $\text{NO}_x$  lifetimes calculated in the MINLOSS and MAXLOSS simulations in January and July 2007.

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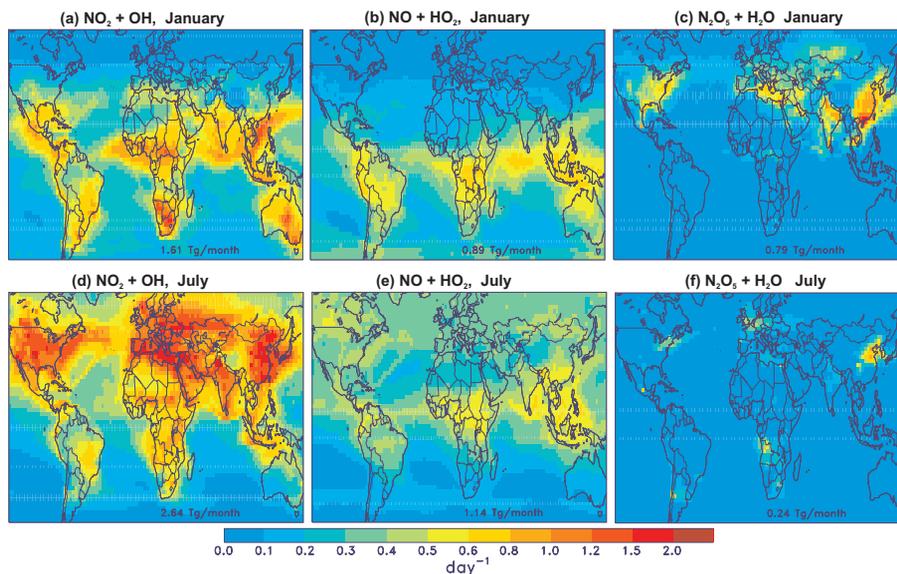
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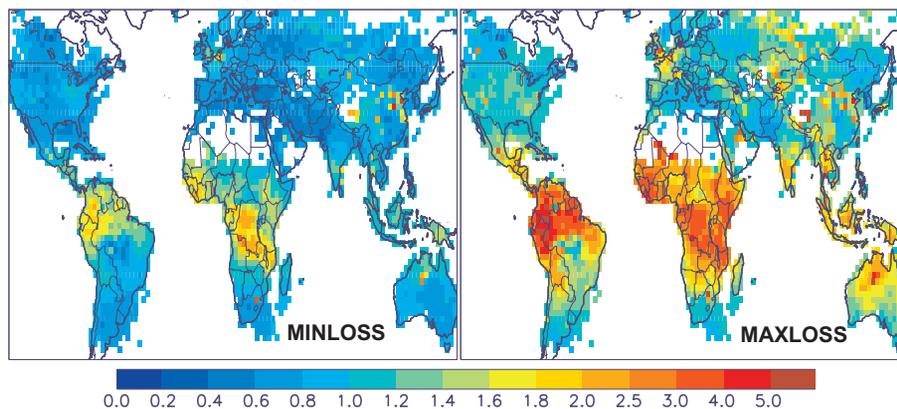


**Fig. 5.** Calculated contribution of **(a, d)**  $\text{NO}_2 + \text{OH}$  reaction, **(b, e)** the  $\text{HNO}_3$ -forming channel in  $\text{NO} + \text{HO}_2$  reaction, and **(c, f)** the heterogeneous  $\text{N}_2\text{O}_5$  sink to the tropospheric  $\text{NO}_x$  sink rate ( $\text{day}^{-1}$ ) in January **(a–c)** and July **(d–f)** for the MAXLOSS simulation.

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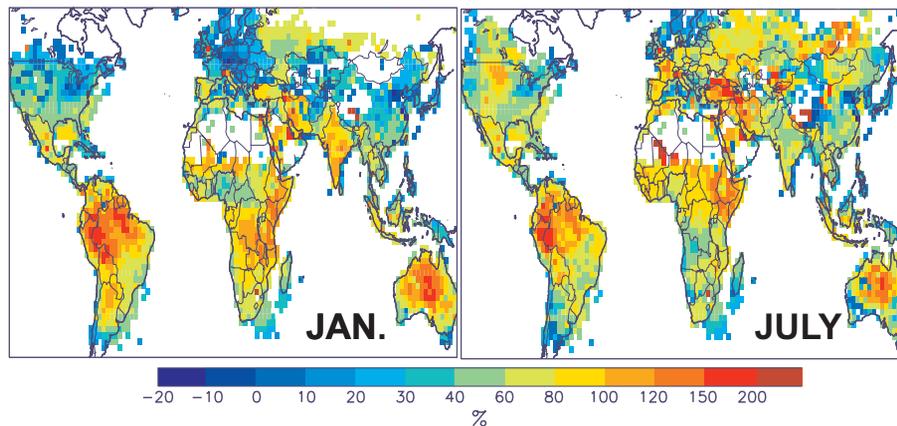


**Fig. 6.** Annual total NO<sub>x</sub> emission update (optimized/a priori) inferred from inversion MINLOSS (left) and MAXLOSS (right).

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**Impact of  $\text{NO}_x$  sink uncertainties on top-down  $\text{NO}_x$  emissions**

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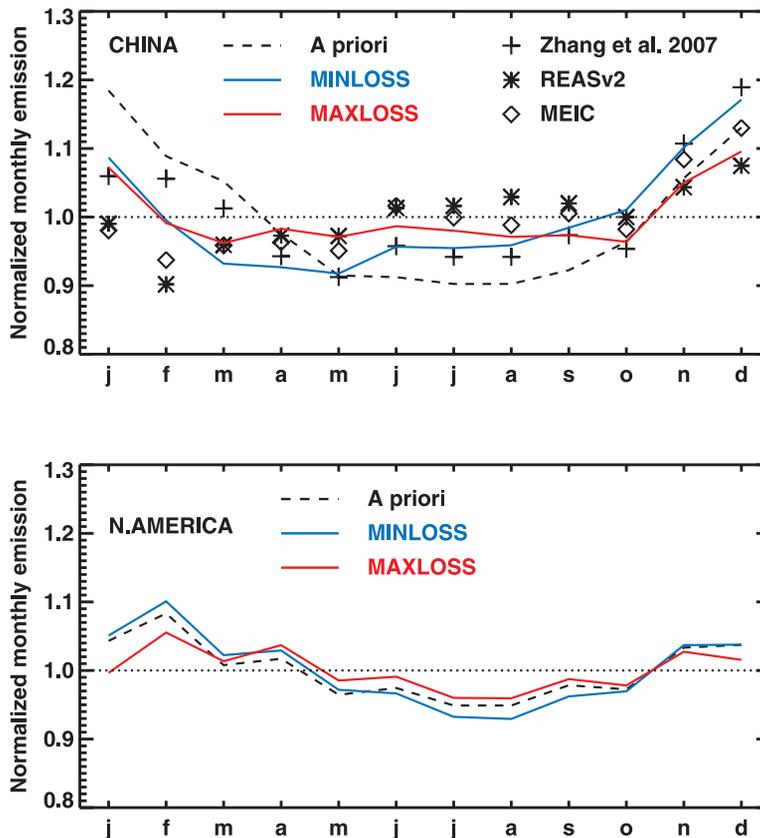


**Fig. 7.** Percentage difference between inferred total  $\text{NO}_x$  emission in simulations MINLOSS and MAXLOSS for January and July 2007.

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**Fig. 8.** Normalized seasonal variation of anthropogenic emissions over China (top) and North America (bottom).

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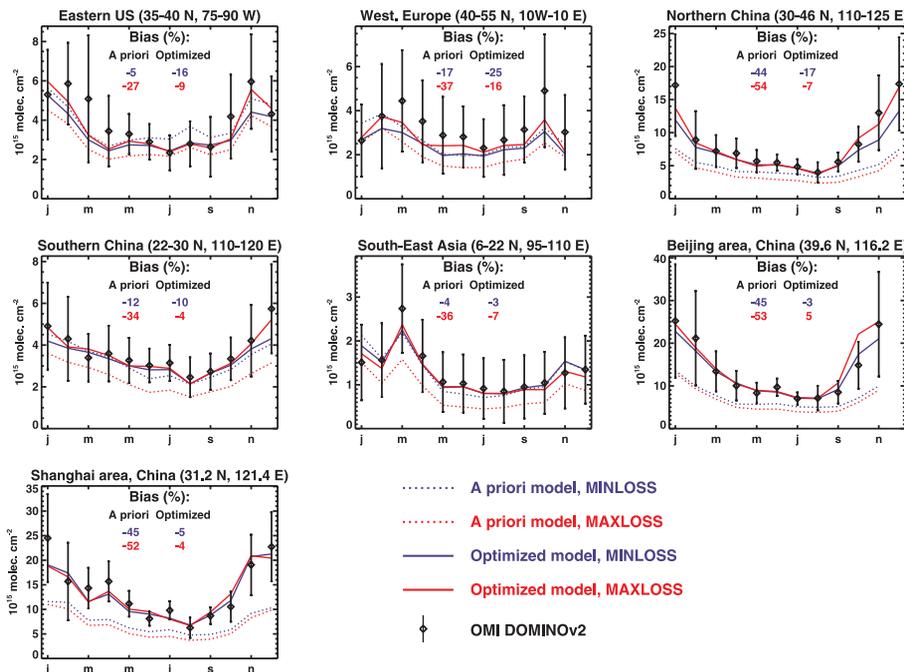
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**Fig. 9.** Seasonal variation of NO<sub>2</sub> columns over large regions. Comparisons between OMI retrievals (diamonds), a priori (dotted), and optimized (solid) columns in MINLOSS (blue) and MAXLOSS (red) for 2007. Percentage biases are given inset. The NO<sub>2</sub> column errors are as described in Sect. 3.2.

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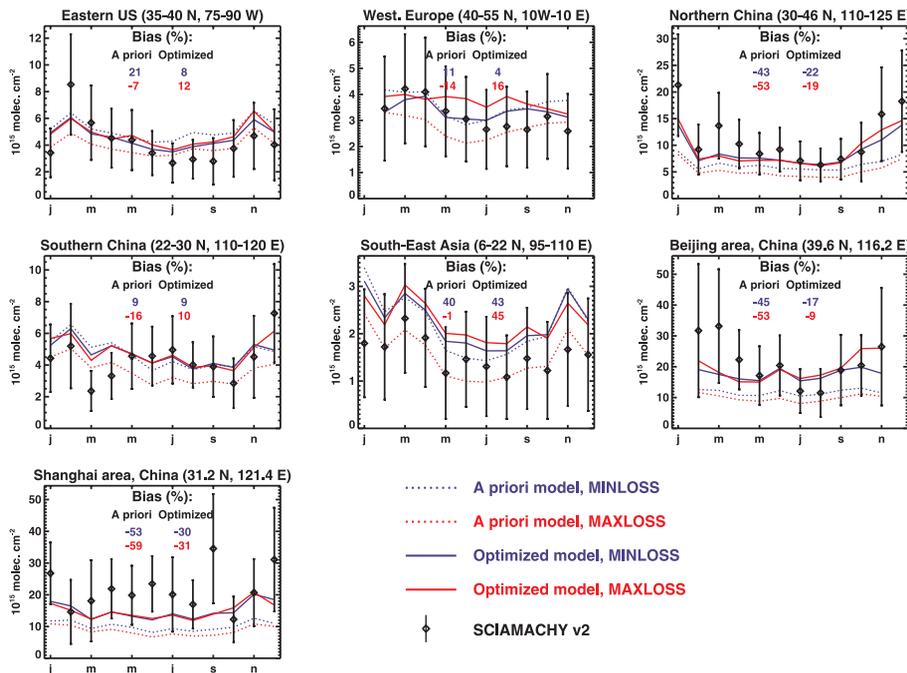
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**Fig. 10.** Same as Fig. 9 but using SCIAMACHYv2 NO<sub>2</sub> retrievals and modelled columns calculated at the SCIAMACHY overpass time (10:00 LT). The NO<sub>2</sub> column errors are as described in Sect. 6.

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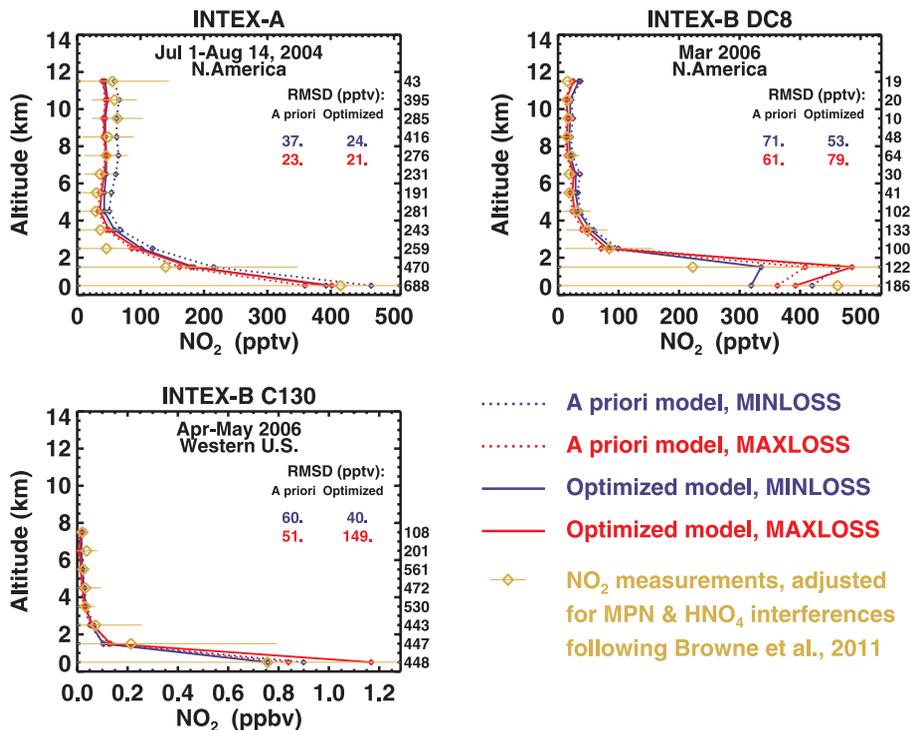
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**Fig. 11.** A priori and optimized modelled NO<sub>2</sub> mixing ratios compared with observed mixing ratios during the INTEX-A and INTEX-B missions over North America. Observed NO<sub>2</sub> mixing ratios are adjusted for methyl peroxy nitrate (MPN) and HNO<sub>4</sub> interferences according to Browne et al. (2011).

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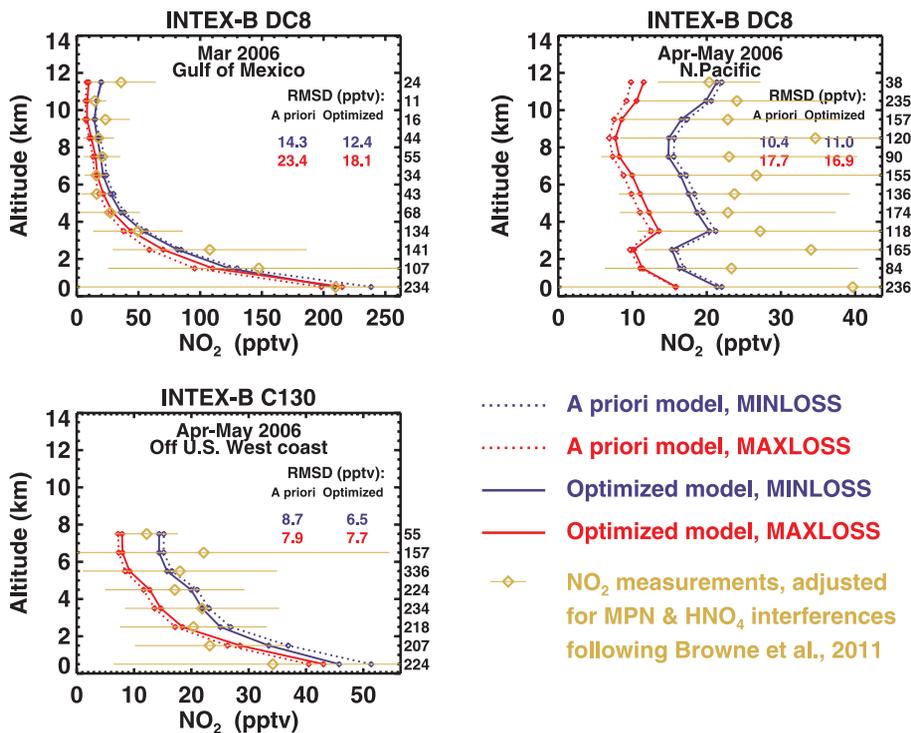


Fig. 12. Same as Fig. 11 but for oceanic areas.

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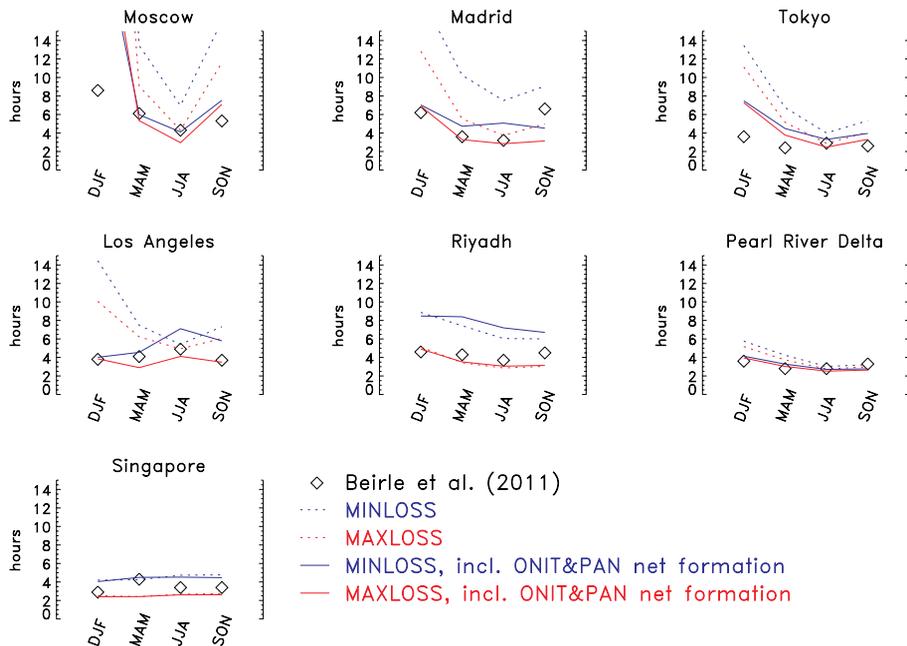
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Impact of NO<sub>x</sub> sink uncertainties on top-down NO<sub>x</sub> emissions

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**Fig. 13.** Comparison between NO<sub>x</sub> daytime lifetimes (in black) derived by Beirle et al. (2011) at seven megacities, and modelled lifetimes between 09:00–13:30 LT from the MINLOSS (blue) and MAXLOSS (red) inversions calculated assuming that either nitrate formation and export are not a NO<sub>x</sub> sink (dotted lines), or that the net rate of organic nitrate formation should be accounted for (solid lines).

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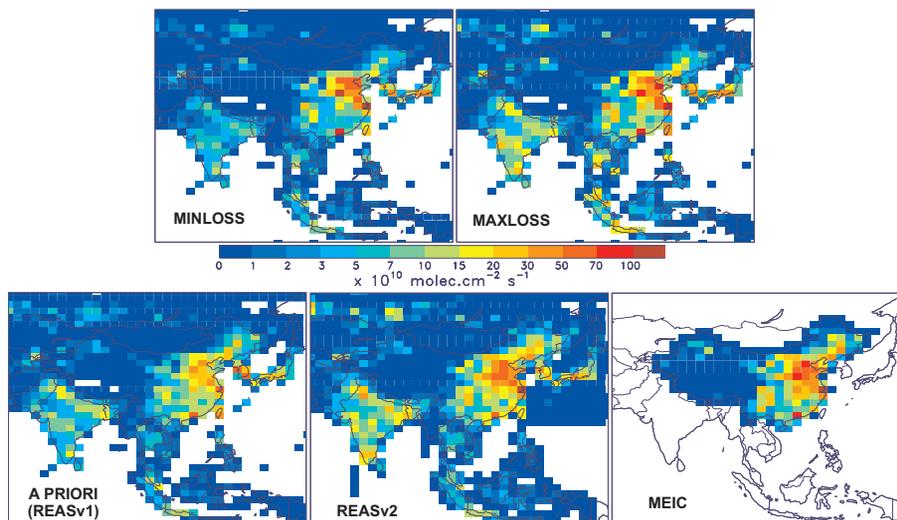
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**Fig. 14.** Comparison between annual anthropogenic emissions inferred from MINLOSS and MAXLOSS inversions (upper panels), and a priori emissions from REASv1, REASv2, and emissions from Multi-Resolution Emission Inventory in China (MEIC) in 2007.

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