

1 **Molecular composition of biogenic secondary organic aerosols using**
2 **ultrahigh resolution mass spectrometry: comparing laboratory and field**
3 **studies**

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43 **Abstract**

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45 Numerous laboratory experiments have been performed in an attempt to mimic atmospheric
46 secondary organic aerosol (SOA) formation. However, it is still unclear how close the aerosol
47 particles generated in laboratory experiments resemble atmospheric SOA with respect to their
48 detailed chemical composition. In this study, we generated SOA in a simulation chamber
49 from the ozonolysis of α -pinene and a biogenic volatile organic compound (BVOC) mixture
50 containing α - and β -pinene, Δ^3 -carene, and isoprene. The detailed molecular composition of
51 laboratory-generated SOA was compared with that of background ambient aerosol collected
52 at a boreal forest site (Hyytiälä, Finland) and an urban location (Cork, Ireland) using direct
53 infusion nanoelectrospray ultrahigh resolution mass spectrometry. Kendrick Mass Defect and
54 Van Krevelen approaches were used to identify and compare compound classes and
55 distributions of the detected species. The laboratory-generated SOA contained a
56 distinguishable group of dimers that was not observed in the ambient samples. The presence
57 of dimers was found to be less pronounced in the SOA from the BVOC mixtures when
58 compared to the one component precursor system. The molecular composition of SOA from
59 both the BVOC mixture and α -pinene represented the overall composition of the ambient
60 sample from the boreal forest site reasonably well, with $72.3 \pm 2.5\%$ ($n=3$) and $69.1 \pm 3.0\%$
61 ($n=3$) common ions, respectively. In contrast, large differences were found between the
62 laboratory-generated BVOC samples and the ambient urban sample. To our knowledge this is
63 the first direct comparison of molecular composition of laboratory-generated SOA from
64 BVOC mixtures and ambient samples.

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81 **1. Introduction**

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83 Biogenic volatile organic compounds (BVOCs) play an important role in atmospheric
84 chemistry and give rise to secondary organic aerosols (SOA), which have effects on climate
85 (Hallquist et al., 2009) and human health (Pope and Dockery, 2006). SOA is formed within
86 the atmosphere from gaseous precursors and gas-to-particle conversion processes. Laboratory
87 chamber experiments have been performed for decades in an attempt to mimic atmospheric
88 SOA formation. However, it is still unclear how close the aerosol particles generated in
89 laboratory experiments resemble atmospheric SOA with respect to their detailed chemical
90 composition. One of the major challenges is the identification of the organic composition of
91 the SOA, which is composed of thousands of organic compounds (Kanakidou et al., 2005).
92 These compounds generally cover a wide range of polarities, volatilities and masses
93 (Goldstein and Galbally, 2007) and therefore it is difficult to find a single analytical
94 technique for their detailed chemical analysis at the molecular level. Conventional
95 chromatographic methods (gas chromatography (GC) and liquid chromatography (LC)) are
96 not capable of resolving the highly complex mixtures with a wide variety of physico-
97 chemical properties. Moreover, commonly used mass spectrometers, which are often used as
98 detectors following chromatographic separation, do not have sufficient mass-resolving power
99 to distinguish and differentiate all the compounds present in the complex mixture of organic
100 aerosol. Ultra-high resolution mass spectrometers (UHR-MS) (i.e., Fourier transform ion
101 cyclotron resonance MS and Orbitrap MS) have a mass resolution power that is at least one
102 order of magnitude higher ($\geq 100,000$) than conventional MS and thus have the potential for
103 solving this problem. Direct infusion electrospray ionisation (ESI)-UHR-MS has been
104 successfully applied for the analysis of both ambient and laboratory-generated SOA and
105 facilitated the characterisation of hundreds of species with individual molecular formulae
106 (Nizkorodov et al., 2011). Despite the high analytical throughput of direct infusion MS, this
107 method is prone to artefacts such as changes in the ionisation efficiency of an analyte due to
108 the presence of 'matrix' compounds in the complex organic mixtures (Pöschl, 2005). For
109 instance, sulphates, nitrates and ammonium salts are important constituents of atmospheric
110 aerosols (Pöschl, 2005) and once injected into the ESI source can cause ion suppression,
111 adduct formation and a rapid deterioration of instrument performance (Dettmer et al., 2007).
112 NanoESI-MS, which generally produces smaller droplet sizes and analyte flow in the
113 electrospray (Schmidt et al., 2003), can substantially reduce interference effects from
114 inorganic salts. Moreover, it provides better sensitivity towards a variety of analytes in

115 samples containing relatively high levels of salts (Juraschek et al., 1999, Schmidt et al., 2003)
116 and decreases source contamination (Schmidt et al., 2003) compared to conventional ESI
117 sources.

118 To date, most laboratory experiments reproducing atmospheric SOA formation have been
119 performed using a single organic precursor (e.g., α - or β -pinene or isoprene) while in the
120 atmosphere a wide range of precursors contribute to SOA, which results in a more complex
121 SOA composition compared to the one-precursor laboratory systems. Although, there are a
122 few studies where oxidation of volatile organic compound (VOC) mixtures were performed,
123 their main goal was to investigate SOA formation, yields (VanReken et al., 2006; Jaoui et al.,
124 2008; Hao et al., 2009; Kiendler-Schar et al., 2009; Mentel et al., 2009; Hao et al., 2011;
125 Hatfield and Huff Hartz, 2011; Waring et al., 2011) and specific products (Jaoui et al., 2003;
126 Amin et al., 2013) rather than detailed molecular composition.

127 The main objective of this work is to compare the detailed molecular composition of
128 laboratory-generated SOA from oxidation of a single BVOC (α -pinene) and from a mixture
129 of four BVOCs, with samples of ambient aerosol from urban and remote locations using
130 chip-based direct infusion nanoESI-UHR-MS. In a preceding study we examined aerosol
131 samples from the boreal forest site Hyytiälä, Finland, and determined that a dominant fraction
132 of the detected compounds are reaction products of a multi-component mixture of BVOCs
133 (Kourtchev et al., 2013). In the present study we compare the composition of these field
134 samples with SOA generated in chamber experiments from the ozonolysis of α -pinene and of
135 BVOC mixtures containing four species (α - and β -pinene, Δ^3 -carene, and isoprene) that are
136 most abundant in Hyytiälä's environment. The laboratory experiments were performed under
137 conditions (e.g., relative humidity (RH), aerosol seed, and BVOC ratios) resembling those at
138 the boreal sampling site during the summer 2011 period. To the best of our knowledge this is
139 the first direct comparison of the molecular composition of laboratory-generated SOA from
140 the BVOC mixtures and ambient samples.

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142 **2. Materials and methods**

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144 **2.1 Atmospheric simulation chamber**

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146 Experiments were carried out in an atmospheric simulation chamber described in detail
147 elsewhere (Thüner et al., 2004). Briefly, the chamber is a cylinder made of fluorine–ethene–
148 propene (FEP) Teflon® foil with a volume of 3.91 m³. It was operated at 296 ±2 K using
149 purified air at 0.1-1 mbar above atmospheric pressure. The experiments were performed at

150 60-68% relative humidity produced from bubbling purified air through heated water. The
151 humidity and temperature were measured using a dew point meter (DRYCAP®DM70
152 Vaisala). Fans installed at both ends of the chamber were used during the first 5 minutes of
153 the reaction to provide rapid and uniform mixing of the reactants and products. Between
154 experiments the chamber was cleaned by introducing about 1 ppm of ozone into the chamber
155 and flushing with purified air at a flow rate of $0.15 \text{ m}^3 \text{ min}^{-1}$. The experiments were
156 performed with neutral ammonium sulphate ($(\text{NH}_4)_2\text{SO}_4$, Sigma Aldrich, 99.99%) seed
157 particles, produced using an atomizer and dried before introduction into the chamber. Aerosol
158 seed particles were subjected to Krypton-85 (Kr-85) charge neutraliser before introduction to
159 the chamber. Seed particle concentrations for each experiment are shown in Table 1.
160 Cyclohexane at a molar concentration 1000 times higher than the BVOC precursors was used
161 to scavenge OH radicals produced from ozonolysis of the reactants. BVOCs (i.e., α -pinene,
162 β -pinene, Δ^3 -carene and isoprene) were introduced into the chamber by flowing purified air
163 over known amounts of the compounds in a gently heated Pyrex impinger. The BVOC
164 concentrations are shown in Table 1. Ozone (ca. 200 ppbv) was introduced at the beginning
165 of the reaction over a period of 1 min using an electric discharge generator. Ozone decay was
166 monitored with an automated analyser (Thermo Model 49i). A scanning mobility particle
167 sizer (TSI model 3081) was used to measure particle number-size distributions in the range
168 11-478 nm (mobility diameter) every 3 min. The particle mass concentrations were calculated
169 assuming a density of 1 g cm^{-3} . Dilution and wall-loss corrections were calculated by
170 considering the particle loss as a first order rate process. The background NO_x concentration
171 measured using a NO_x analyser (Thermo Model 42i) was below 2 ppbv for all experiments.
172 The aerosol samples were collected on quartz fibre filters (Pallflex Tissuquartz 2500QAT-
173 UP, 47 mm diameter) 20-30 min after the maximum SOA concentration was observed.
174 Before use, the quartz fibre filters were preheated at $650 \text{ }^\circ\text{C}$ for 12 h to remove any possible
175 organic impurities. A charcoal denuder was used in front of the filter pack to remove gas
176 phase species formed during the ozonolysis reaction. The aerosol sampling flow rate was
177 approximately 12 L min^{-1} and the sampling time was 40 min. In addition, ‘blank’ chamber
178 samples were collected by drawing ‘clean’ air from the chamber for 40 min. The filter
179 samples were wrapped in baked aluminium foil and stored at $-20 \text{ }^\circ\text{C}$ prior to analysis.

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181 **2.2 Ambient samples**

182 Biogenic ambient samples were collected at the boreal forest site SMEAR II in Hyytiälä,
183 southern Finland ($61^\circ 51' \text{N}$, $24^\circ 17' \text{E}$) as previously described in detail (Kourchev et al.,

184 2013). The forest around the station is dominated by conifers (mainly Scots pine and Norway
185 spruce) with some deciduous trees, such as aspen and birch, with a tree density of about 2500
186 ha⁻¹. Detailed descriptions of the site, instrumentation, meteorological data collection and
187 sampling are given elsewhere (Kulmala et al., 2001; Hari and Kulmala, 2005). In total, 10
188 separate day and night atmospheric aerosol PM₁ samples, each representing 12 hours of
189 sampling, were collected over the period 16 to 25 August 2011.

190 Anthropogenic ambient samples were collected during 9-17 September, 2011 at the Tivoli
191 Industrial Estate and Docks (TIED), Cork, Ireland (51°54'5 N, 8°24'38 W). A detailed
192 description of the TIED site is given elsewhere (Healy et al., 2009; Hellebust et al., 2010;
193 Kourtchev et al., 2011). The site is located approximately 3 km east of Cork city centre with a
194 population of about 120,000 inhabitants. A shipping berth is located 400–600 m to the
195 southwest, while the main road carrying traffic east out of the city lies 200 m to the north of
196 the site. Residential areas surround the site on all sides except the north and northeast. The
197 vegetation that surrounds the site mainly consists of shrubs and some native deciduous trees,
198 which include oak (*Quercus robur* L. and *Quercus petraea*), ash (*Fraxinus excelsior*), birch
199 (*Betula pendula*), sycamore (*Acer pseudoplatanus*), poplar (*Populus tremula*) and beech
200 (*Fagus sylvatica*). It should be noted that there are not many conifer species present around
201 the sampling area and if they do exist, they are likely to be spruce (*Picea sitchensis*). PM_{2.5}
202 aerosol samples were collected on quartz fibre filters (Pallflex Tissuquartz 2500QAT-UP,
203 150 mm diameter, pre-fired for 24 h at 650°C) using a High Volume (Digital DHA-80,
204 Switzerland) sampler with a flow rate of 500 L min⁻¹.

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206 **2.3 Aerosol sample analysis**

207 All ambient filters were analysed for organic carbon (OC) and elemental carbon (EC) using a
208 thermal-optical transmission (TOT) technique (Birch and Cary, 1996). For each sample, a
209 part of the quartz fibre filter (6–30 cm², depending on OC or total aerosol loading for ambient
210 and laboratory samples respectively) was extracted three times with 5 mL of methanol
211 (Optima® grade, Fisher Scientific) under ultrasonic agitation for 30 min. The three extracts
212 were combined, filtered through a Teflon filter (0.2 µm) and reduced by volume to
213 approximately 200 µL under a gentle stream of nitrogen.

214 The final extracts were analysed using an ultrahigh resolution LTQ Orbitrap Velos mass
215 spectrometer (Thermo Fisher, Bremen, Germany) equipped with a TriVersa Nanomate
216 robotic nanoflow chip-based ESI source (Advion Biosciences, Ithaca NY, USA). The
217 Orbitrap MS instrument was calibrated using an Ultramark 1621 solution (Sigma-Aldrich,

218 UK). The mass accuracy of the instrument was below 1.5 ppm and was routinely checked
219 before the analysis. The instrument mass resolution was 100,000 at m/z 400. The negative
220 ionisation mass spectra were collected in three replicates at ranges m/z 100-650 and m/z 200-
221 900 and processed using Xcalibur 2.1 software (Thermo Scientific). A mixture of camphor,
222 sulphonic acid ($20 \text{ ng } \mu\text{L}^{-1}$), glutaric acid ($30 \text{ ng } \mu\text{L}^{-1}$) and *cis*-pinonic acid ($30 \text{ ng } \mu\text{L}^{-1}$) in
223 methanol and Ultramark 1621 solution were used to optimise the ion transmission settings.
224 The direct infusion nanoESI parameters were as follows: the ionisation voltage and back
225 pressure were set at -1.4 kV and 0.8 psi, respectively.

226 To assess possible matrix effects caused by inorganic salts on the detection of organic
227 compounds in the direct infusion analysis, the methanolic extracts of the laboratory-generated
228 samples were mixed with 30% aqueous solution of ammonium sulphate (to mimic the
229 ambient concentration ratios in the boreal samples, see discussion below). Control samples
230 were mixed with water in the same proportions. These modified samples were analysed in the
231 same way as the unaltered aerosol extracts.

232 For the LC/(-)ESI-MS analysis, due to relatively low OC loading of the filter samples, all day
233 and night samples were pooled into one day and one night sample, evaporated to dryness and
234 resuspended in 0.1% formic acid. LC/(-)ESI-MS analysis was performed using an Accela
235 system (Thermo Scientific, San Jose, USA) coupled with LTQ Orbitrap Velos MS and a T3
236 Atlantis C18 column ($3 \mu\text{m}$; $2.1 \times 150 \text{ mm}$; Waters, Milford, USA). The mobile phases
237 consisted of 0.1% formic acid (v/v) (A) and methanol (B). The applied gradient was as
238 follows: 0–3 min 3% B, 3–25 min from 3% to 50% B (linear), 25–43 min from 50% to 90%
239 B (linear), 43-48 min from 90% to 3% B (linear) and kept for 12 min at 3% B (total run time
240 60 min). MS spectra were collected in full scan using the lock mass for the deprotonated
241 dimer of formic acid at m/z 91.00368 with a resolution of 100,000 and the mass ranges of m/z
242 50-650 and m/z 150-900. Based on pre-scan information from the full scan MS, a parallel
243 data-dependent collision induced dissociation (CID) multi-stage mass spectrometry (MS_n) (n
244 = 1, 2, 3 and 4) was performed on the most intense precursor ion in three scans at the
245 resolution of 30,000.

246

247 **2.4 Ultrahigh MS resolution data analysis**

248 The ultrahigh resolution mass spectral data interpretation was carried out using a procedure
249 as described elsewhere (Kourtchev et al., 2013). For each direct infusion sample analysis 70-
250 80 mass spectral scans were averaged into one mass spectrum. Molecular assignments were
251 performed using Xcalibur 2.1 software applying the following constraints $^{12}\text{C} \leq 100$, $^{13}\text{C} \leq 1$,

252 $^1\text{H}\leq 200$, $^{16}\text{O}\leq 50$, $^{14}\text{N}\leq 5$, $^{32}\text{S}\leq 2$, $^{34}\text{S}\leq 1$. All mathematically possible elemental formulae with a
253 mass tolerance of ± 5 ppm were calculated. The data filtering was performed using a
254 Mathematica 8.0 (Wolfram Research Inc., UK) code developed in-house that employed
255 several conservative rules and constraints similar to those used in previous studies (Koch et
256 al., 2005; Wozniak et al., 2008; Lin et al., 2012). Only ions with intensities ten times above
257 the noise level were kept for the data analysis. The mass tolerance range for keeping
258 mathematically assigned elemental formulae was set to approximately ± 0.5 ppm and varied
259 within the ± 5 ppm tolerance window. This range was determined by establishing the average
260 difference between the theoretical and the experimental mass for nine compounds with
261 known elemental composition determined by LC/MS analyses (Kourtchev et al., 2013). All
262 molecular formulae where $\text{O}/\text{C}\geq 1.2$, $\text{N}/\text{C}\geq 0.5$, $\text{S}/\text{C}\geq 0.2$, $0.3\leq \text{H}/\text{C}$ and $\text{H}/\text{C}\geq 2.5$ were
263 eliminated with the aim of removing molecules that are not likely to be observed in nature.
264 Moreover, neutral formulae that had either a non-integer or a negative value of the double
265 bond equivalent (DBE) were removed from the list of possible molecules. Double bond
266 equivalents were calculated using Xcalibur 2.1 software. The assigned formulae were
267 additionally checked for the ‘nitrogen-rule’ and isotopic pattern as described elsewhere
268 (Kourtchev et al., 2013). The background spectra obtained from the procedural blanks were
269 also processed using the rules mentioned above. The formulae lists of the background spectra
270 were subtracted from those of the ambient (or chamber) sample and only formulae with a
271 sample/blank peak intensity ratio ≥ 10 were retained. All molar ratios, DBE factors and
272 chemical formulae presented in this paper refer to neutral molecules.

273

274 **2.5 Hierarchical agglomerative cluster analysis**

275 Aerosol samples were classified by hierarchical agglomerative cluster analysis (Lukasová,
276 1979). The data was organised in a two-way table X_{nm} , where n is the number of samples (six
277 smog chamber samples and two ambient samples) and m is the number of molecules analysed
278 by UHR-MS in the mass range 100-300 Da (451 molecular formulae). The X_{nm} is a binary
279 value indicating the presence/absence of the molecule m in sample n . The cluster analysis
280 was performed using Statistica 10 (StatSoft Inc., Tulsa, OK, USA), based on the unweighted
281 pair-group average linkage method (or average linkage method) and using the percent
282 disagreement (Georgieva et al., 2005) distance measure. The metric used in this study is
283 analogous to the Jaccard’s dissimilarity distance measure that is commonly applied for the
284 analyses of binary patterns (Sneath and Sokal, 1973; Anthony et al., 2002; Cordeiro et al.,
285 2003; Kosman and Leonard, 2005). The percent disagreement or simple mismatch metric

286 considers as a match the absence of molecules in all compared spectra. This might give
287 misleading results because two samples could be considered close to each other just because
288 they shared many absences of molecules (Kosman and Leonard, 2005). For this reason,
289 results obtained using the unmodified percent disagreement or different metrics, i.e.
290 Euclidean distance and r-Pearson correlation coefficient, which would have the same
291 drawbacks for binary data, were not considered (Kosman and Leonard, 2005). Therefore, the
292 percent disagreement metric was modified in order to calculate the distances on the basis of
293 the percentage of common ions between the considered samples. The linkage distance
294 between two samples is calculated using the following equation:

$$295 \quad LD_{ij} = \frac{N - c_{ij}}{N} \times 100$$

296 where LD_{ij} is the linkage distance between sample i and sample j , N is the total number of
297 ions considered in the cluster analysis (451 ions) and c_{ij} is the number of ions in common
298 between sample i and sample j .

299 Robustness of the applied technique was evaluated by repeating the cluster analysis using
300 different linkage methods, i.e. single linkage (or nearest neighbour) and complete linkage
301 (farthest neighbour), which gave exactly the same results.

302

303 **3. Results and Discussion**

304 The BVOC mixture used in the laboratory experiments contained four of the most abundant
305 SOA-precursor monoterpenes (i.e., α -pinene, Δ^3 -carene, β -pinene and isoprene) emitted at the
306 boreal forest site in Hyytiälä (Hakola et al., 2003; Aaltonen et al., 2011; Bäck et al., 2012).

307 The emissions of α -pinene and Δ^3 -carene were found to be responsible for up to 97% of the
308 total monoterpene proportions in both plant branch emissions from Scots pine trees (a
309 dominant species at Hyytiälä) and the ambient samples from the boreal forest site in Hyytiälä
310 (Bäck et al., 2012). In the present study, SOA ambient samples were collected below the
311 canopy and 5 m above the forest floor; therefore, the BVOC composition is expected to be
312 additionally influenced by emissions from ground vegetation. At the boreal ground floor the
313 monoterpenes were also found to be the most abundant compound group with α -pinene
314 (average $2.975 \mu\text{g m}^{-2} \text{h}^{-1}$), Δ^3 -carene (average $1.305 \mu\text{g m}^{-2} \text{h}^{-1}$), camphene (average 0.442
315 $\mu\text{g m}^{-2} \text{h}^{-1}$) and β -pinene (average $0.191 \mu\text{g m}^{-2} \text{h}^{-1}$) accounting for 90% of the monoterpene
316 fluxes (Aaltonen et al., 2011). Previous studies (Kourtchev et al., 2005, 2008) indicated that
317 SOA from Hyytiälä contained a number of oxidation products of isoprene implying that

318 isoprene certainly plays a role in SOA formation at the boreal site. Therefore, isoprene was
319 added to the BVOC mixture in proportions estimated from the fluxes at the sampling site
320 (Hakola et al., 2003; Aaltonen et al., 2011). Although the total concentrations of the BVOC
321 mixture used in our chamber experiments exceeded those observed at the Finnish site, their
322 molar ratios were kept very close the reported values i.e., α -pinene (0.4), Δ^3 -carene (0.3), β -
323 pinene (0.2) and isoprene (0.1).

324 SOA concentrations and yields from the ozonolysis of α -pinene and BVOC mixtures are
325 shown in Table 1. The average SOA yields (corrected for wall losses) for α -pinene and
326 BVOC mixtures were 0.16 ± 0.01 ($n=3$) and 0.11 ± 0.01 ($n=3$), respectively. The obtained
327 yields for the α -pinene-only experiments are in reasonable agreement with those reported in
328 the literature for similar BVOC concentration ranges (Pathak et al., 2007; Hatfield and Huff
329 Hartz, 2011). Surprisingly, in the present study, SOA yields for the BVOC mixture were
330 significantly lower than for the single BVOC (α -pinene) system. α -pinene and Δ^3 -carene
331 accounted for a major fraction ($\sim 70\%$) of the total BVOC mixture and thus are expected to
332 make the major contributions to the SOA mass. Δ^3 -carene is reported to have a similar SOA
333 yield to α -pinene (Jonsson et al., 2006, Hatfield and Huff Hartz, 2011) and therefore cannot
334 be responsible for the observed low yield from the precursor mixture. β -pinene and isoprene
335 account for 20% and 10% of the total BVOC mixture, respectively. The ozonolysis of these
336 BVOCs generally results in a lower yield for β -pinene compared to α -pinene (Jonsson et al.,
337 2006) and a very low yield for isoprene (~ 0.014) (Kleindienst et al., 2007). However,
338 considering that the latter BVOCs account for a small fraction of the reaction mixture their
339 contribution to the total SOA mass is expected to be rather low. It has been shown that the
340 addition of β -pinene to the α -pinene/ O_3 system did not affect the SOA yield significantly
341 (Hatfield and Huff Hartz, 2011). Therefore, the possibility that isoprene is responsible for
342 suppressing SOA formation from the precursor mixture cannot be ruled out. Furthermore, as
343 shown in Table 1, ozone was present in all experiments in excess and thus the differences in
344 yield are not expected to be due to the limited availability of oxidants.

345

346 **3.1 UHR-MS analysis**

347 Representative (-) nanoESI high resolution mass spectra for ambient summer aerosol from
348 the boreal forest site Hyytiälä, Finland, laboratory-generated SOA from ozonolysis of α -
349 pinene, and the BVOC mixture are shown in Figure 1. The molecular composition of the
350 organic aerosol at Hyytiälä is found to be strongly affected by air mass origin. Depending on

351 the sampling day 460-730 molecular formulae were identified in the 10 ambient samples
352 (Kourtchev et al., 2013). The NanoESI mass spectra of the ambient samples is mainly
353 composed of low molecular mass compounds (i.e. peaks below m/z 350, Figure 1a)
354 irrespective of the sampling period, which is consistent with previous studies of ambient
355 organic aerosol (OA) from urban (e.g., Riverside, USA (Reemtsma et al., 2006), Cambridge,
356 UK (Rincon et al., 2012), suburban (e.g., Pearl River Delta Region, China (Lin et al., 2012)),
357 and rural (e.g., Mount Werner, north-western Colorado, USA (Mazzoleni et al., 2012))
358 environments. This is in contrast to laboratory generated SOA from both α -pinene (Figure 1c)
359 and the BVOC mixture (Figure 1d) which contain high molecular weight compounds with
360 distinguishable groups of dimers. Similar observations were reported in the literature for
361 laboratory generated SOA from biogenic or anthropogenic BVOCs where UHR mass spectra
362 often contain a large number of oligomers (Reinhardt et al., 2007; Walser et al., 2007;
363 Putman et al., 2012).

364 Figure 1b shows a mass spectrum containing only those ions that were observed in all 10
365 Hyytiälä samples (referred to hereafter as ‘common ions’). Considering that ‘common ions’
366 exclude all species that occurred during the individual days, they are potentially characteristic
367 of locally formed and emitted OA because their presence is independent of the air mass
368 origin. The BVOC mixture samples have a fewer number of peaks in the dimeric region than
369 the α -pinene samples. The total number of assigned formulae in the α -pinene and BVOC
370 mixture mass spectra were on average 632 ± 84 and 501 ± 54 , respectively (where \pm describes
371 the variability between three replicate chamber experiments). A higher number of formulae
372 (about 900) were identified from the negative electrospray ultrahigh resolution FT-ICR mass
373 spectra of SOA from α -pinene ozonolysis in the previous study of Putman et al., (2012).
374 However, the latter study identified formulae in the range $100 < m/z < 850$, whereas we only
375 considered ions below m/z 650. The number of possible empirical formulae assignments
376 increases significantly with higher masses, especially above 400 Da. Because no common
377 ions $> m/z$ 300 are present in the ambient samples, only ions from the monomeric region of
378 the laboratory-generated SOA were used for further comparison with the ambient sample.

379 In the monomeric region (below m/z 300), the number of formulae in SOA from α -pinene and
380 the BVOC mixture were comparable, on average 199 ± 29 and 215 ± 17 , respectively (Figure 1a
381 and 1b). At first, such a small difference was somewhat puzzling. However, considering that
382 three of the four BVOC precursor compounds (i.e., α -pinene, β -pinene and Δ^3 -carene) used in
383 the mixture are structural isomers, their oxidation with O_3 is expected to yield products with

384 similar elemental composition but different structures, which cannot be separated using the
385 analytical technique employed here. For instance, the mass spectra from both chamber
386 experiments and ambient OA were dominated by an ion at m/z 185.0818. While in α -pinene
387 experiments this ion corresponded to *cis*-pinic acid, in the BVOC mixture experiments and
388 Hyytiälä ambient samples this ion was related to three (i.e. *cis*-pinic acid, homoterpenylic
389 acid, and *cis*-caric acid) and five (i.e. *cis*-pinic acid, homoterpenylic acid, limonic acid,
390 ketolimonic acid and *cis*-caric acid) different compounds, respectively. The separation and
391 identification of these compounds was achieved using LC/MS analysis. The mass spectral
392 differences observed in the monomeric region of SOA produced from the single precursor
393 and BVOC mixture were mainly associated with variation of the ion intensities caused by
394 differences in the number of products with the same mass in the single component and
395 BVOC mixture experiments as described above.

396 The ionisation of organic compounds can be affected by the presence of inorganic salts in the
397 analyte solutions, potentially leading to a decrease in MS signal intensity when using direct
398 infusion mass spectrometry methods. Thus, we tested whether the presence of
399 atmospherically abundant salts (e.g., ammonium sulfate) in our filter extracts could cause
400 such a matrix effect and whether this could be responsible for the lack of dimers observed in
401 the ambient samples. Laboratory generated samples were spiked with ammonium sulfate at
402 atmospherically realistic proportions (30% of the total aerosol mass). The addition of salts
403 suppressed the intensities of all ions in the entire mass range but did not selectively decrease
404 the intensity of ions in the dimeric region (Figure S1). However, due to competitive
405 ionisation of analytes in the ESI direct infusion analysis of the aerosol samples that are
406 known to have a very complex matrix, the ion intensities do not directly reflect the
407 concentration of the molecules in the sample. Therefore, signal intensities should be
408 interpreted with caution and thus were not considered for the mass spectral comparison in this
409 study. In contrast, LC/MS which is a quantitative technique showed significant difference in
410 the abundances of peaks associated with higher-molecular weight (HMW) compounds
411 between ambient and laboratory generated samples (Figure 2). While a number of HMW
412 species associated with m/z 337.1652, 357.1552, 367.1762, and 377.1454 were observed in
413 the chromatogram from laboratory generated SOA (Figure 2a), only one of these species (m/z
414 357.1552) was detected in the ambient samples with intensity just above the chromatographic
415 noise (Figure 2b). It should be noted that a chromatographic peak associated with m/z
416 357.1552 was the most dominant species along with *cis*-pinic acid (m/z 185.0818) in all
417 laboratory samples. Further MS/MS fragmentation of the major ion at m/z 357.1552 resulted

418 in two abundant product ions at m/z 185.0818 and 171.0662. Similar observations were
419 reported by Yasmeen et al. (2010), who suggested that the HMW compound at m/z 357 is a
420 possible esterification product of *cis*-pinic and diaterpenylic acid. Both of these acids were
421 found to be very abundant in our ambient and laboratory generated samples; however, as
422 outlined above, their dimer was only present in the latter samples (Figure 2). It is worth
423 mentioning that the HMW compound at m/z 357 has been previously identified in SOA
424 produced from the ozonolysis of α -pinene and attributed to a hydroxyperoxyhemiacetal
425 (Müller et al., 2009) and pinyll-diaterpenylic ester (Kristensen et al., 2013)

426 These results rule out the possibility that the observed direct infusion nanoESI mass spectral
427 differences in the ambient and laboratory generated samples are either due to matrix or
428 methodological artifacts. We can thus conclude that the dimer concentration in the boreal
429 forest OA is negligible compared to the laboratory SOA.

430 The Van Krevelen diagram, in which the H/C ratio is plotted as a function of the O/C ratio
431 for each formula in a sample, is often used to describe the evolution of organic mixtures.
432 Moreover, VK diagrams can also be used to visualise the differences in the elemental
433 composition of different samples. Figure 3 shows an overlaid VK diagram for SOA from (a)
434 α -pinene, the BVOC mixture and a boreal forest sample from Hyytiälä and (b) α -pinene, the
435 BVOC mixture and a sample from the TIED site, which is heavily influenced by
436 anthropogenic emissions. As indicated above, the elemental composition from the boreal
437 forest site only included ‘common ions’ because they are potentially characteristic for locally
438 emitted OA as their presence is independent of the air mass origin. The elemental ratios from
439 the TIED site included ‘common ions’ from 3-4 September 2011, associated with westerly air
440 masses. The composition of the latter samples is discussed in detail in a separate article
441 (Kourchev et al., prep). It can be seen from Figure 3, that the distribution of elemental ratios
442 of laboratory-generated SOA from α -pinene is very similar to that of the BVOC mixture.
443 Moreover, the elemental distribution of laboratory SOA generated from a single precursor
444 and a mixture of BVOCs represent fairly well that of the ambient SOA from Hyytiälä, except
445 that the latter sample contained an additional cluster of molecules as displayed at the upper
446 left part of the diagram. In general, this region is associated with the most reduced/saturated
447 species (Lin et al., 2012) and could therefore possibly be fatty acids emitted from a local
448 biogenic source (Kourchev et al., 2013). In contrast, the VK diagrams of the laboratory-
449 generated SOA were very different from that of the anthropogenic aerosol from the TIED
450 site, which contained a large cluster of ions with low H/C (≤ 1.0) and O/C (≤ 0.5) ratios,
451 possibly corresponding to oxidised aromatic hydrocarbons (Mazzoleni et al., 2012). These

452 differences were also apparent when the data was expressed as DBE versus mass-to-charge-
453 ratio (m/z) (Figure 4). The samples from α -pinene and the BVOC mixtures had very similar
454 DBE distribution with values in the range 1 to 7. A small number of species observed in the
455 laboratory samples with DBE values of 5-7 were possibly associated with dimers that were
456 formed through accretion reactions (Putman et al., 2012). The DBE distribution of molecules
457 from the Hyytiälä samples clearly resembled those of the α -pinene and BVOC mixtures,
458 except that the ambient sample contained an additional cluster of ions with DBE 0-1. As
459 determined by MS/MS analysis they are attributed to unsaturated and saturated fatty acids
460 (Kourtchev et al., 2013). The DBE plot for OA from TIED was very different from the rest of
461 the compared samples and contained an additional large cluster of molecules with DBE
462 between 7 and 13 (Figure 4b), once more indicating the presence of oxidised aromatic
463 species. Aromatic compounds are typically associated with anthropogenic sources (Henze et
464 al., 2008) whereas aliphatic compounds can be of both anthropogenic and biogenic origin.
465 The average O/C and H/C ratios for SOA from α -pinene (0.55 and 1.46, respectively) and the
466 BVOC mixture (0.58 and 1.40) were fairly comparable to the ratios for OA from Hyytiälä
467 (0.52 and 1.48) (Kourtchev et al., 2013) but higher than those from TIED (0.36 and 1.1). The
468 H/C value for laboratory-generated SOA indicated that the identified SOA molecules are of
469 aliphatic and alicyclic nature (Putman et al., 2012). The elemental O/C ratios found in this
470 study are within the range obtained for SOA generated in laboratory experiments from a
471 number of BVOCs, e.g., α -pinene/O₃ (0.42-0.55) (Putman et al., 2012), and photo-oxidation
472 of isoprene under low-NO_x conditions (0.54) (Nguyen et al., 2011). These values are slightly
473 higher than the average values (0.43-0.46) reported for the limonene/O₃ system (Bateman et
474 al., 2009).

475 It has been demonstrated that O/C ratio, as measured by the Aerodyne High Resolution
476 Time-of-Flight Aerosol Mass Spectrometer (HR-ToF-AMS), is positively correlated with the
477 hygroscopic parameter of the organic fraction (Wu et al., 2013), which in turn is related to the
478 cloud condensation nucleus (CCN) activity of aerosol particles (Petters and Kreidenweis,
479 2007). Therefore, considering that the O/C ratio for SOA generated from the α -pinene-only
480 system is very similar to that from the BVOC mixture and OA from Hyytiälä, we suggest that
481 the simplified BVOC system can possibly be used for parameterisation of OA at the boreal
482 site.

483 O/C ratios may not accurately describe the degree of oxidation of organics, because other
484 non-oxidative processes (e.g., hydration and dehydration) can also affect these parameters
485 (Kroll et al., 2011). Carbon oxidation state (OS_C), on the other hand, may change differently

486 upon oxidation, but the average OS_C will increase. Therefore, OS_C could be a useful metric
487 for the degree of oxidation of organic species in the atmosphere and can serve as a key
488 variable to describe organic mixtures. OS_C is shown to be strongly linked to aerosol volatility
489 and thus is a useful parameter for the classification of SOA (Hao et al., 2011). Carbon
490 oxidation state can be calculated from the following equation:

$$OS_C = - \sum_i OS_i \frac{n_i}{n_C}$$

491 where OS_i is the oxidation state associated with element i and n_i/n_C is the molar ratio of
492 element i to carbon (Kroll et al., 2011). Figure 5 shows the overlaid carbon oxidation state
493 versus the number of carbon atoms for molecules from laboratory-generated SOA and the
494 ambient boreal samples. The OS_C distribution of laboratory-generated SOA generally
495 resembles that of the ambient samples ranging from -1.7 to 1 and only a few species have an
496 oxidation state greater than +1. Interestingly, molecules with OS_C greater than +1 were only
497 observed in SOA from the BVOC mixture experiments and ambient aerosol from Hyytiälä.
498 Such compounds are expected to contain several carbonyl groups. However, literature data
499 suggests that the average oxidation state of organic aerosol rarely exceeds this value because
500 species with several carbonyl groups are highly unstable and will rapidly decompose to
501 smaller molecules (Kroll et al., 2011). Considering that the studied BVOC mixture mainly
502 contained monoterpenes, which are structural isomers and the fact that highly oxidised
503 molecules were not observed in the SOA generated from the ozonolysis of α -pinene, it is
504 likely that species with $OS_C > +1$ were produced from the ozonolysis of isoprene. Moreover, in
505 the BVOC mixture experiments cross reactions between radicals and oxidation products of
506 the different BVOCs are expected to occur which may lead to formation of a complex range
507 of species. These highly oxidised species are worthy of further investigation. It should be
508 mentioned that highly oxidised multifunctional molecules have been previously observed in
509 both ambient air (Hyytiälä) and in chamber experiments of α - and β -pinene ozonolysis (Ehn
510 et al., 2012).

511 The majority of the species exhibited OS_C values between -1 and +1 with 15 or less carbon
512 atoms, suggesting that they are semi- and low-volatile organic compounds corresponding to
513 ‘fresh’ and ‘aged’ SOA produced by multistep oxidation reactions (Jimenez et al., 2009,
514 Kroll et al., 2011). Compared to chamber samples, the Hyytiälä samples additionally
515 contained ions with $OS_C < -1$ and more than 7 carbon atoms which is characteristic of primary
516 biomass burning aerosol (Kourtchev et al., 2013).

517 **3.2 Comparison using statistical tools**

518 Figure 6 shows the fraction of molecular formulae below 300 Da found in both the
519 laboratory-generated SOA and the ambient samples relative to the total number of formulae
520 in the ambient samples. Evidently, the molecular composition of SOA from both the BVOC
521 mixture and α -pinene represented the overall composition of the ambient sample from the
522 boreal forest site reasonably well, with $72.3\pm 2.5\%$ ($n=3$) and $69.1 \pm 3.0\%$ ($n=3$) common
523 ions, respectively. Although, the BVOC mixture resulted in a slightly higher number of
524 common formulae than that from the boreal forest aerosol compared to the pure α -pinene-
525 SOA, the difference in the mean values among the treatment groups is not large enough to
526 exclude the possibility that the difference is due to random sampling variability; according to
527 ANOVA test, the difference was not statistically significant ($p = 0.348$). In contrast, the
528 molecular composition of laboratory-generated SOA was substantially different from that of
529 the anthropogenically affected TIED site. The fraction of common molecular formulae from
530 α -pinene and the BVOC mixture relative to the total number of ions from the TIED sample
531 was only $16.1\pm 1.7\%$ and $16.9\pm 1.2\%$, respectively, indicating the very different sources of
532 organic compounds in these samples. The inverse comparison of the fraction of common
533 formulae relative to the total number of formulae in the laboratory generated samples in the
534 monomeric region indicated that laboratory generated SOA contained 20-25% formulae that
535 were not observed in the boreal samples. We suggest that these molecules are first generation
536 products that are possibly oxidised with time in the atmosphere resulting in aged oxidation
537 products.

538 Laboratory-generated and ambient samples were also compared by hierarchical cluster
539 analysis (HCA) that divides samples into groups (clusters) of similar molecular composition.
540 HCA separated the samples into three clusters (Figure 7): (1) α -pinene (replicates from three
541 different experiments); (2) BVOC mixture (three replicates) together with the common ions
542 of the ambient samples from Hyytiälä; and (3) common ions of the ambient samples from
543 TIED. The branches in the tree diagram (dendrogram) represent the average distance between
544 the connected samples. It is evident from the dendrogram that all replicate samples from the
545 α -pinene and the BVOC mixture experiments cluster together, implying very good
546 reproducibility of the applied technique (i.e., smog chamber experimental and MS analysis)
547 to separate two experimental conditions relative to each other. Although the α -pinene data is
548 separated from the BVOC mixture and Hyytiälä cluster, the linkage distance is not large
549 enough to conclude that their chemical composition is very different. On the other hand, the
550 data from TIED was classified into a separate cluster confirming that its molecular

551 composition is very different from the rest of the samples. The results from HCA clearly
552 support the findings obtained from the statistical analysis and other visualisation methods
553 (Van Krevelen diagrams, carbon oxidation state, DBE).

554

555 **4. Conclusions**

556 The detailed molecular composition of background ambient aerosol from a boreal forest site
557 (Hyttiälä, Finland), an urban location (Cork, Ireland), laboratory-generated SOA from α -
558 pinene and a mixture of four BVOCs were compared using nanoESI UHRMS. Our results
559 demonstrate that the molecular composition of SOA in the monomeric mass range up to m/z
560 300 from both the ozonolysis of the BVOC mixture and α -pinene represented the overall
561 composition of the ambient sample from the boreal forest site fairly well, with $72.3 \pm 2.5\%$
562 ($n=3$) and $69.1 \pm 3.0\%$ ($n=3$) common ions, respectively. Other atmospheric oxidants (e.g.,
563 OH radicals and NO_x) will certainly influence the composition of SOA and their reaction
564 products are likely to explain some of the remaining molecules that were not observed in our
565 laboratory generated SOA.

566 The elemental (O/C and H/C) ratios of SOA from the α -pinene-only system were very similar
567 to those from the BVOC mixtures and ambient aerosol from boreal forest. Considering that
568 the O/C ratio is positively correlated with hygroscopicity of the organic fraction, the
569 simplified α -pinene-only system can potentially be useful for parameterisation of boreal OA.
570 A specific class of CHO compounds identified as fatty acids was present exclusively in the
571 ambient samples suggesting that the composition at the boreal forest OA is also influenced by
572 primary emissions. In contrast, the overall molecular composition of the urban samples is
573 dominated by a high number of oxidised aromatic hydrocarbons and is very different from
574 the boreal and laboratory-generated OA. The presence of dimers observed exclusively in the
575 laboratory samples requires further investigation. Thus it appears that while the laboratory
576 experiments performed here and in other studies do a fairly good job of simulating biogenic
577 SOA formation (as indicated by the high level of agreement between the laboratory SOA and
578 the boreal forest samples in the monomeric mass region of the mass spectra), they do not
579 completely replicate the processes occurring in the ambient atmosphere.

580

581 **Acknowledgements**

582 Research at the University of Cambridge was supported by a Marie Curie Intra-European
583 fellowship (project # 254319) and the European Research Council (ERC starting grant
584 279405). The work at University College Cork was funded by the European Commission
585 (project EUROCHAMP-2, contract number 228335). The work at University of Helsinki was

586 supported by Academy of Finland Center of Excellence program (project number 1118615).
587 The authors would like to acknowledge the use of NAME, developed and provided by the
588 Atmospheric Dispersion Group, UK Met Office.
589

590 ***Supporting Information Available***

591 One supporting figure (Figures S1).

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862 **Table and Figures:**

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Table 1. Summary of α -pinene and BVOC mixture ozonolysis experiments.

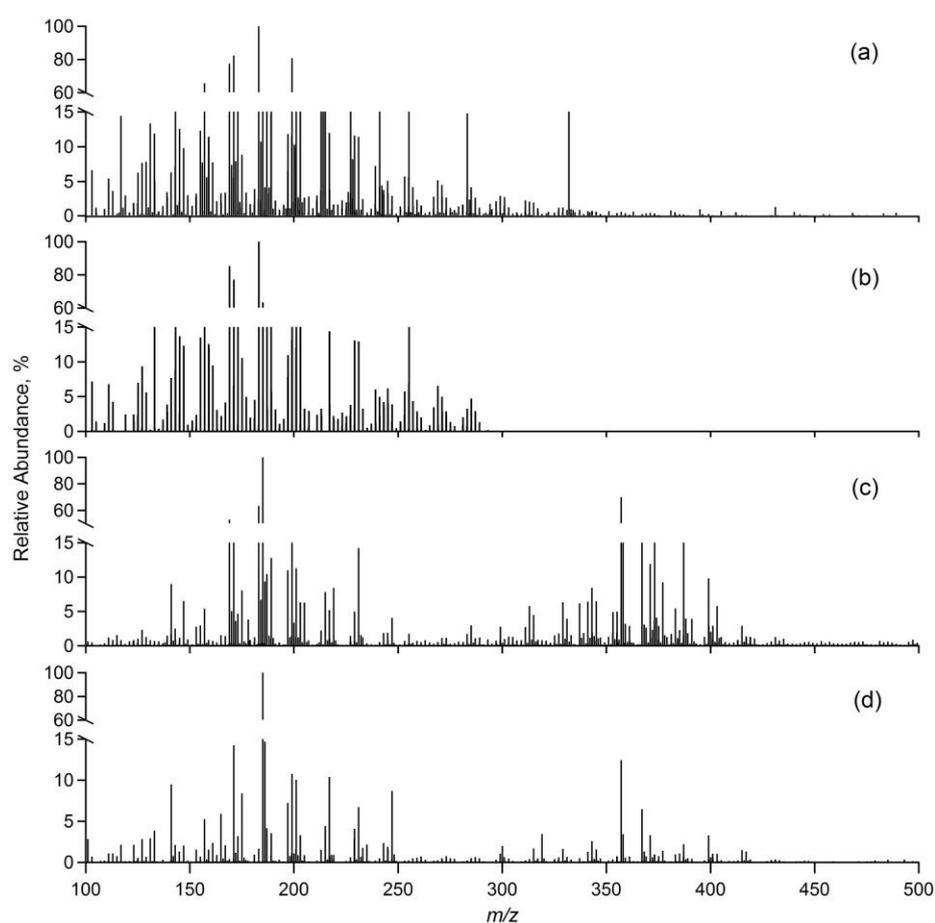
Experiment	Total BVOC conc., ppb	Ozone conc., ppb	RH, %	Seed conc., $\mu\text{g m}^{-3}$	ΔM , $\mu\text{g m}^{-3}$	SOA yield (Y) ^(a,b)
α -pinene	49.5	200	60	3.1	42.3	0.15
α -pinene	50.5	200	64	3.0	50.0	0.16
α -pinene	55.2	200	62	4.3	55.9	0.16
BVOC mixture	62.9	200	63	5.2	34.8	0.11
BVOC mixture	67.7	200	68	3.8	43.6	0.12
BVOC mixture	62.9	200	65	3.6	41.8	0.12

866 (a) Yields were calculated from the equation $Y = \Delta M / \Delta HC$, where ΔM ($\mu\text{g m}^{-3}$) is the particle mass loading and867 ΔHC ($\mu\text{g m}^{-3}$) is the reacted concentration of BVOCs; (b) The data is wall loss corrected.

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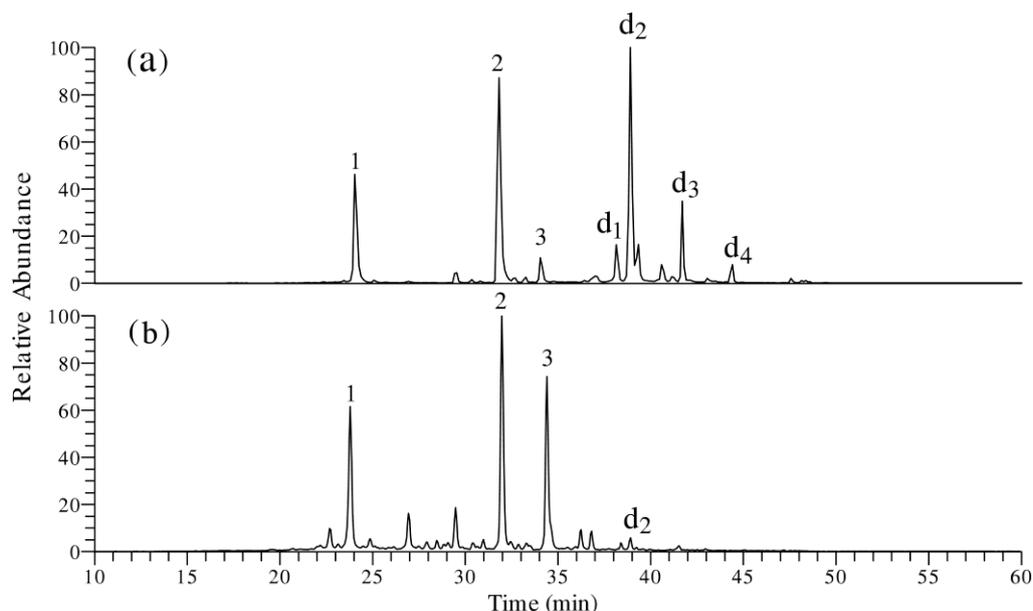
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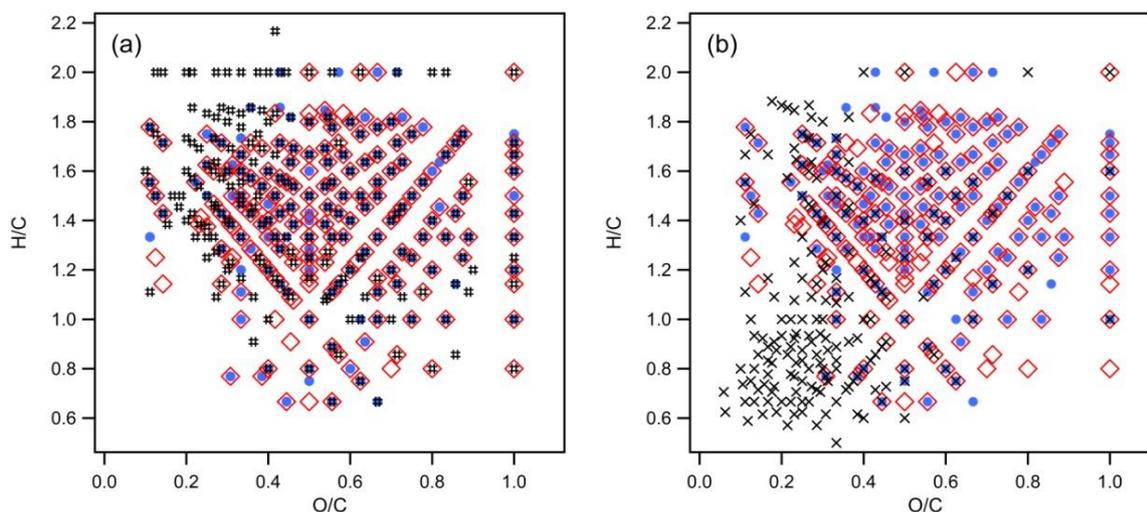
872 Figure 1. Direct infusion negative-nanoESI-Orbitrap mass spectra obtained for representative
 873 OA samples from (a) boreal forest, Hyytiälä, Finland collected on 17 August (night-time), (b)
 874 boreal forest, Hyytiälä showing only ‘common’ ions that are present in all 10 samples (see
 875 text for detailed explanation), (c) α -pinene/ O_3 experiments and (d) BVOC mixture/ O_3
 876 experiments. For clarity, the most intense peak at m/z 212.0751 has been removed and mass
 877 spectra (a) and (b) have been normalised to the second most intense ion at m/z 185.0818. The
 878 mass spectrum for SOA from the BVOC mixture/ O_3 has been scaled up to display all ions
 879 that were suppressed by the most intense ion at m/z 185.0818.

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 882 Figure 2. LC/(-)ESI-MS extracted ion chromatogram (EIC) for (a) laboratory generated
 883 sample from α -pinene/ O_3 and (b) pooled night-time ambient summer sample from Hyytiälä,
 884 Finland collected during 16 to 25 August 2011. The chromatographic peaks correspond to (1)
 885 diaterpenylic acid with m/z 171.0662, (2) *cis*-pinic acid with m/z 185.0818, (3) *cis*-caric acid
 886 with m/z 185.0818, (d_1) dimer with m/z 377.1454, (d_2) dimer with m/z 357.1552, (d_3) dimer
 887 with m/z 367.1762 and (d_4) dimer with m/z 337.1652. In the ambient sample (b) only one
 888 dimer, d_2 , was observed; the other small peaks between 36 and 42 min retention time in (b)
 889 do not correspond to d_1 , d_3 and d_4 .

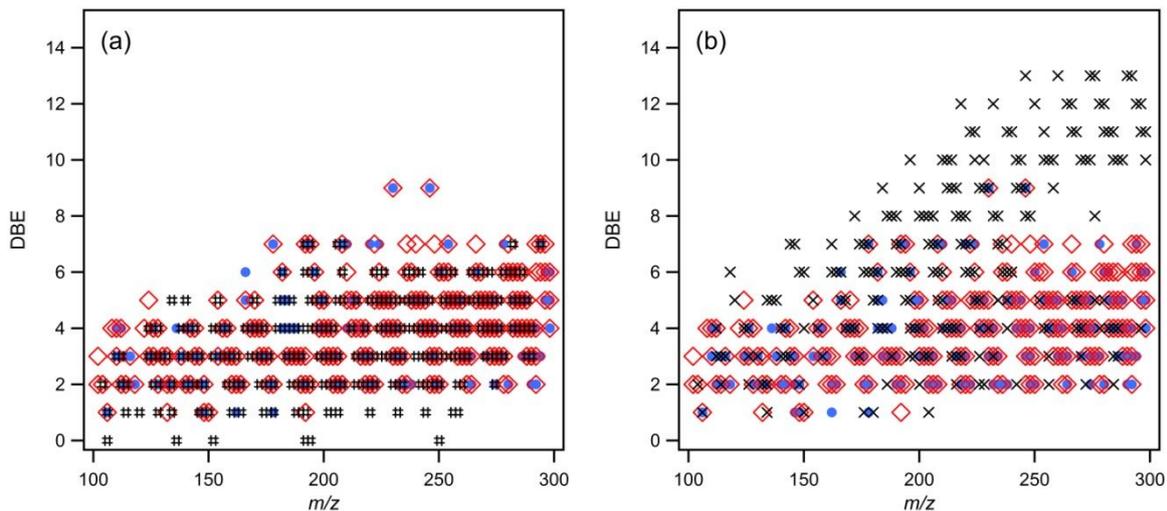
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 895 Figure 3. Van Krevelen diagrams for compounds containing only carbon, hydrogen and
 896 oxygen in the samples from (a) α -pinene/ O_3 , BVOC mixture/ O_3 and the boreal forest,
 897 Hyytiälä, Finland; and (b) α -pinene/ O_3 , BVOC mixture/ O_3 and Tivoli Industrial Estate and
 898 Docks, Cork, Ireland. Filled blue circles correspond to species from α -pinene/ O_3 experiment,
 899 red diamonds to BVOC mixture/ O_3 experiments, black hashes to the Hyytiälä samples and
 900 black crosses to the Tivoli Industrial Estate and Docks, Cork samples.

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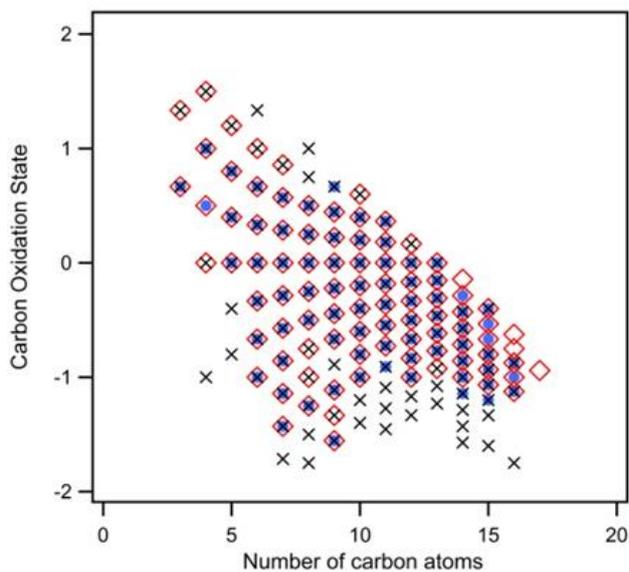
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904 Figure 4. Double bond equivalents (DBE) vs. mass to charge (m/z) ratios for all compounds
905 containing only carbon, hydrogen and oxygen in the samples from (a) α -pinene/ O_3 , BVOC
906 mixture/ O_3 experiments and boreal forest, Hyytiälä, Finland, and (b) α -pinene/ O_3 , BVOC
907 mixture/ O_3 experiments and Tivoli Industrial Estate and Docks, Cork, Ireland. Filled blue
908 circles correspond to species from α -pinene/ O_3 experiment, red diamonds to BVOC
909 mixture/ O_3 experiments, black hashes to the Hyytiälä samples and black crosses to the Tivoli
910 Industrial Estate and Docks, Cork samples.

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913 Figure 5. Carbon oxidation state for molecules containing only carbon, hydrogen and oxygen
914 in the α -pinene/ O_3 experiments (blue circles), the BVOC mixture/ O_3 experiments (red
915 diamonds) and the boreal forest, Hyytiälä, Finland (black crosses).

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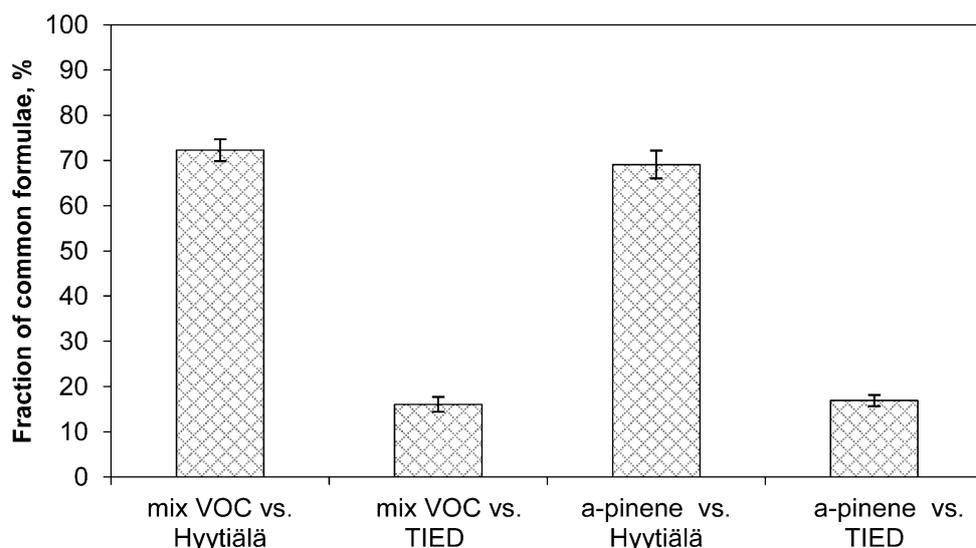
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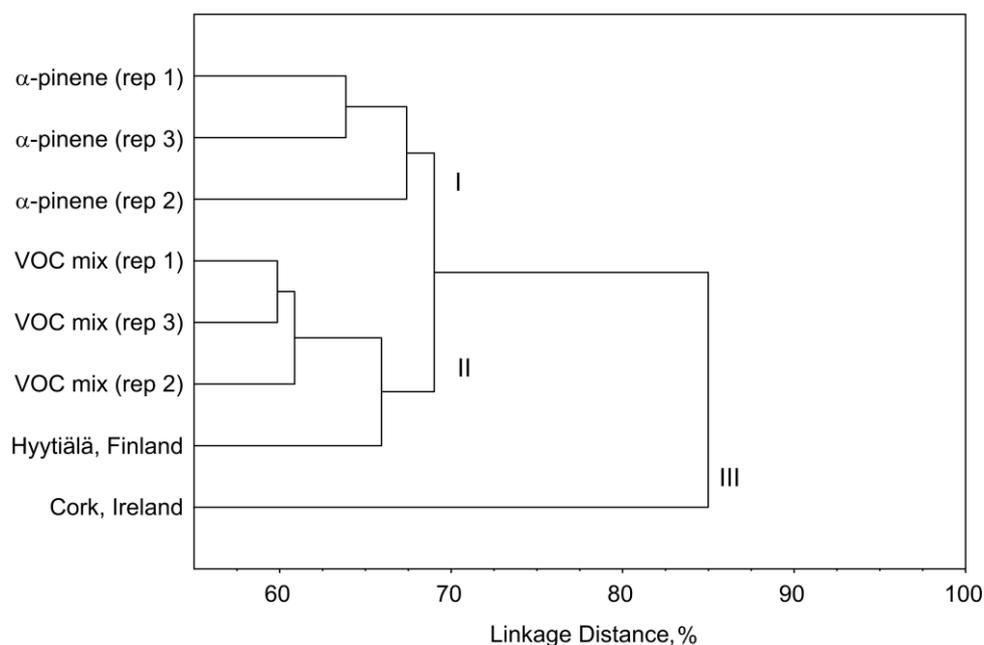
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Figure 6. Fraction of molecular formulae below 300 Da found in SOA from both laboratory-generated and ambient samples relative to the total number of formulae in the ambient samples. The error bars represent the standard deviation of three replicate chamber experiments.



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Figure 7. Tree diagram derived from hierarchical cluster analysis (HCA) of ambient and laboratory-generated samples (unweighted pair-group average linkage method). The linkage distance between two samples, expressed in percentage, has been calculated as the difference between the total ions considered in the cluster analysis (451 ions, 100%) and the number of common ions between the samples (see text for detailed explanation). Rep 1, 2 and 3 correspond to chamber replicate experiments.