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Long-term observations of positive cluster ion concentration, sources and sinks at the high altitude site of the Puy de Dôme

C. Rose¹, J. Boulon¹, M. Hervo¹, H. Holmgren¹, E. Asmi², M. Ramonet³, P. Laj⁴, and K. Sellegri¹

¹Laboratoire de Météorologie Physique CNRS, UMR6016, Observatoire de Physique du Globe de Clermont-Ferrand, Université Blaise Pascal, France

²Finnish Meteorological Institute, P.O. Box 503, 00101 Helsinki, Finland

³Laboratoire des Sciences du Climat et de l'Environnement, UMR Commissariat à l'Energie Atomique/CNRS 1592, Gif-sur-Yvette, France

⁴Laboratoire de Glaciologie et Géophysique de l'Environnement, CNRS UMR5183, Université Joseph Fourier Grenoble 1, Saint Martin d'Hères, France

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Correspondence to: C. Rose (c.rose@opgc.univ-bpclermont.fr)

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Cluster particles (0.8–1.9 nm) are key entities involved in nucleation and new particle formation processes in the atmosphere. Cluster ions were characterized in clear sky conditions at the Puy de Dôme station (1465 m a.s.l.). The studied dataset spread over five years (February 2007–February 2012), which provided a unique chance to catch seasonal variations of cluster ion properties at high altitude. Statistical values of the cluster ion concentration and diameter are reported for both positive and negative polarities. Cluster ions were found to be ubiquitous at the Puy de Dôme and displayed an annual variation with lower concentrations in spring. Positive cluster ions were less numerous than negative ones but were larger in diameters. Negative cluster ion properties seemed insensitive to the occurrence of a new particle formation (NPF) event while positive cluster ions appeared to be significantly more numerous and larger on event days. The parameters of the balance equation for the positive cluster concentration are reported, separately for the different seasons and for the NPF event days and non-event days. The steady state assumption suggests that the ionization rate is balanced with two sinks which are the ion recombination and the attachment on aerosol particles, referred as “aerosol ion sink”. The aerosol ion sink was found to be higher during the warm season and dominated the loss of ions. The positive ionization rates derived from the balance equation were well correlated with the ionization rates obtained from radon measurement, and they were on average higher in summer and fall compared to winter and spring. Neither the aerosol ion sink nor the ionization rate were found to be significantly different on event days compared to non-event days, and thus they were not able to explain the different positive cluster concentrations between event and non-event days. Hence, the excess of positive small ions on event days may derive from an additional source of ions coupled with the fact that the steady state was not verified on event days.

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1 Introduction

In polluted areas, atmospheric aerosol particles often affect visibility and have undesirable effects on human health (Seaton et al., 1995). On a more global scale, aerosol particles influence the Earth's climate system by scattering and absorbing incoming solar radiation (direct effect) and by affecting several cloud properties (indirect effect). The aerosol indirect effect is still affected by the largest uncertainty among atmospheric radiative forcings (IPCC, 2007). A better understanding of the indirect effect requires in particular more accurate information on secondary aerosol particle sources and thus on the nucleation process. Measurements, as well as recent model investigations, suggest that atmospheric nucleation is an important source of aerosol particles and cloud condensation nuclei (Kerminen et al., 2012; Makkonen et al., 2012) but the very first steps of the nucleation process remain uncertain. Indeed, the formation and growth of ultrafine aerosol particles in the atmosphere has been studied during the last decades in various locations (see Kulmala et al., 2004 for a review) but the mechanisms involved in the particle formation are still unclear, mostly because of instrumental limitations. Much effort has been made during the last years to develop instruments able to detect freshly nucleated neutral particles down to 1 nm sizes. Since electrical methods used in the NAIS (Neutral Air Ion Spectrometer) cannot ensure reliable concentrations for neutral particles smaller than ~ 1.6 – 1.7 nm because of the post-filtering process of corona-generated ions (Asmi et al., 2009; Manninen et al., 2011), condensation particle counting methods have been more deeply investigated, especially with the Particle Size Magnifier (PSM) (see for example Kim et al., 2003; Vanhanen et al., 2011; Kuang et al., 2012). However, in their recent paper, Kangasluoma et al. (2013) have shown that in the case of the PSM, measurements were greatly dependent on both the relative humidity and the chemical composition of the sampled particles. In that case, data analysis requires a very good knowledge of the sampling conditions and calibrations corresponding to the prevailing conditions. That is why the study of air ions certainly remains a robust path to gain information on the smallest cluster particles (here particles

with mobilities up to $3.162 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ in NTP conditions, corresponding to a particle Milikan diameter of 0.8 nm).

The “cluster ions” or “small ions” are the ions with a mobility diameter greater than $0.5 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ (roughly corresponding to particle Milikan diameters smaller than 1.9 nm). Thus, they are the most relevant for new particle formation studies. Atmospheric cluster ions are produced by external radiation, such as gamma radiation and galactic cosmic rays (GCR), and by airborne radionuclides, mainly radon and thoron. Above the ocean, GCR are the principal source for cluster ions (Hensen and Van der Hage, 1994) while in continental areas, the variation of the small ion production is mainly driven by the variation of the radon and thoron concentrations (Laakso et al., 2004). The ionization rate have already been deeply studied during the last century and overviews of the earliest results can be found in Chalmers (1967) and Israël (1970). The ionization rate strongly depends on the measurement site since it is affected by different factors such as the content of radioactive matter in the ground, the soil properties, the snow cover and the orography. Also the altitude was shown to have an influence on the ionization rate (Rosen et al., 1985). Ions are believed to be involved in nucleation processes, through the ion induced nucleation mechanism (Laakso et al., 2002; Lovejoy et al., 2004; Luts et al., 2006; Nieminen et al., 2011). For the ion induced nucleation mechanism, the maximum of the nucleation rate was previously found to correspond to the ionization rate (Yu and Turco, 2000). The ionization rate can be obtained from direct measurements or it can be derived from calculations based on the balance equation for the concentration of small ions (Israël, 1970). Small ion properties including ionization rate have been discussed in various environments (Hörrak et al., 2003, 2008; Vana et al., 2008; Yli-Juuti et al., 2009). As an example, the small ion population was shown to be very sensitive to the presence of clouds at altitude sites (Lihavainen et al., 2007; Venzac et al., 2007). The authors have reported that in cloudy conditions small ions were mainly lost on cloud droplets while in clear sky conditions aerosol particles and the ion-ion recombination process were responsible for the loss of ions. All the studies previously mentioned are based on datasets rarely exceeding one year. To our knowl-

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edge, the analysis proposed in this paper is the first one based on such an extended dataset for the small ion properties.

We report seasonal and diurnal variability of cluster ion concentration and size measured over a five-year period (February 2007–February 2012) in clear sky conditions at the Puy de Dôme station (corresponding to 857 days). Cluster ions were measured with an Air Ion Spectrometer (AIS) which allows ion detection in the range $0.0013\text{--}3.2\text{ cm}^2\text{ V}^{-1}\text{ s}^{-1}$, corresponding to particle diameter between 0.8 and 42 nm. The behaviour of positive cluster ions is specially investigated, with the goal of identifying the sinks and sources responsible for the reported positive cluster ion concentrations, with a special focus on the differences observed between new particle formation event days and non-event days.

2 Measurements and methods

2.1 Measurement site

Measurements were conducted at the Puy de Dôme (PDD) site in central France ($45^{\circ}46'\text{ N}$, $2^{\circ}57'\text{ E}$). The station is located at 1465 m a.s.l. in an environment mainly characterized by fields and forest. The nearest city, Clermont-Ferrand (300 000 inhabitants), is located 16 km East of the mountain. A more complete description of the station can be found in Asmi et al. (2011); Freney et al. (2011).

2.2 The Air Ion Spectrometer (AIS)

The ion size distributions were measured with an AIS (Airel Ltd., Mirme et al., 2007) which allows ion detection in the mobility range $0.0013\text{--}3.2\text{ cm}^2\text{ V}^{-1}\text{ s}^{-1}$, corresponding to particle Milikan diameter 0.8–42 nm. The instrument was on the roof of the station and was operating behind an individual non-heated short inlet (30 cm), meaning that measured ion size distributions were directly influenced by the presence of a cloud. The AIS has two identical cylindrical Differential Mobility Analyzers (DMA) for the simulta-

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neous measurement of positive and negative ions. Each analyser has a sample flow rate of 30 L min^{-1} and a sheath flow rate of 60 L min^{-1} . Such high flow rates are used to avoid diffusion losses and ensure significant signal to noise ratio, even when ion concentrations are low. The inner cylinder of each analyser is divided into four isolated parts which keep a constant voltage during a measurement cycle. The outer cylinder is divided into 21 isolated rings connected to 21 electrometers. Naturally charged particles are moved by a radial electric field from the inner cylinder of the DMA to the outer cylinder. The current carried by the ions is further amplified and measured with electrometers. Each measurement cycle is followed by an offset measurement during which particles in the sample air are charged by a unipolar corona charger and electrically filtered.

2.3 Auxiliary measurements

In addition to the AIS size distributions, auxiliary measurements were used for the present study. Routine meteorological parameters such as wind speed and direction, temperature, pressure and relative humidity are continuously recorded at the station. The aerosol particle number size distributions (10–420 nm) were measured with a Scanning Mobility Particle Sizer (SMPS). The hygroscopic properties of the aerosol particles were obtained from a Hygroscopic Tandem Differential Mobility Analyser (HTDMA). The SMPS and the HTDMA are both custom built instruments and were operating behind a Whole Air Inlet (WAI) with a cut-off size of $30 \mu\text{m}$. More detailed explanations on the SMPS and the inlet system can be found in Venzac et al. (2009) and complementary information on the HTDMA is available in Duplissy et al. (2009). Radon (^{222}Rn) concentrations were determined from measurements of its short-lived daughters produced by disintegration. At the PDD the ^{222}Rn was measured with the active deposit method which is fully described in Biraud et al. (2000). Cloudiness conditions were filtered out by using either LWC measurements or RH data when LWC measurements were not available. The limit value $\text{RH} = 98 \%$ was used to distinguish in-cloud and out-of-cloud conditions.

2.4 Data analysis

2.4.1 The simplified balance equation for small ions

The initial balance equation for the small ions concentration originates from (Israël, 1970):

$$5 \quad \frac{dn_{\pm}}{dt} = Q_{\pm} - \alpha n_{\pm} n_{\mp} - n_{\pm} \int_{d_p}^{\infty} \sum_{q=-\infty}^{\infty} \beta_{\pm}(d_p, q) N(d_p, q) dd_p \quad (1)$$

where Q_{\pm} is the positive or negative ion production rate, n_{\pm} is the concentration of positive or negative small ions, α is the ion-ion recombination coefficient, $\beta_{\pm}(d_p, q)$ is the small ion-aerosol particle attachment coefficient, q is the charge of the aerosol particle and $N(d_p, q)$ is the concentration of aerosol particles. Equation (1) can be simplified under the assumption of (1) small ions in a bipolar environment, (2) equal concentrations of negative and positive small ions and (3) symmetrical charging of aerosol particles. The resulting simplified balance equation for cluster ions concentration is given by Hoppel et al. (1986):

$$15 \quad \frac{dn}{dt} = Q - \alpha n^2 - \beta_{\text{eff}} N_{\text{tot}} n \quad (2)$$

where n is the cluster ions concentration, β_{eff} is the effective ion-particle attachment coefficient and N_{tot} is the total aerosol particle concentration. Two processes responsible for the loss of small ions are taken into account in Eq. (2). The first one is the ion recombination (αn) while the second one represents the adsorption of small ions on aerosol particles ($\beta_{\text{eff}} N_{\text{tot}}$) and will be referred as “aerosol ion sink” (S_a) in the following sections. The calculation of the ion recombination sink was done using the common average value of $1.5 \times 10^{-6} \text{ cm}^3 \text{ s}^{-1}$ for coefficient α in continental areas (Hoppel et al., 1986). The loss of ions by ion induced nucleation is not considered in the balance

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At altitude stations such as the Puy de Dôme, air masses might not be homogeneous over the whole diurnal cycle, as they are influenced alternatively by boundary layer (BL) air masses and free tropospheric air masses. Moreover, the presence of clouds is frequent at the station, eventually interrupting the NPF growth process. Hence, the NPF event growth is likely to be less regular than at BL stations.

The influence of several atmospheric parameters as well as air mass back trajectories on NPF event characteristics at the Puy de Dôme was previously studied by Boulon et al. (2011). Only the relative humidity, the ozone concentration and the condensation sink showed significant differences between NPF and no NPF event days, being lower on event days. The link between the occurrence of NPF events and cluster ion properties will be discussed in the following sections.

3.2 Cluster ion concentration and size

Figure 2 shows the annual variation of the median concentration of the positive (upper panel) and negative (bottom panel) cluster ions obtained after filtering the cloudy conditions out. Based on the five years measurement period, both positive and negative cluster ions are always present at the Puy de Dôme and their monthly-median concentrations display similar annual trends with lower values in late winter – early spring. Negative ion concentrations are on average slightly higher than positive ones and they also spread over a larger range of values, but considering the two polarities the monthly-median concentrations typically vary between 200 and 600 cm⁻³. For positive ions, the highest monthly-median concentration is 569 cm⁻³ in November whereas the lowest is in March (229 cm⁻³). For negative ions the highest concentration is 566 cm⁻³ in August while the lowest is 189 cm⁻³ in February. These values are in agreement with the previous study made by Venzac et al. (2007).

Cluster ions appear to be ubiquitous in the atmosphere, and this observation is not specific to the Puy de Dôme. A permanent pool of cluster ions was observed in other high altitude sites (Boulon et al., 2010), as well as in coastal stations (Vana et al., 2008; Kompula et al., 2007) or in continental areas (Kulmala and Kerminen, 2008; Manninen

et al., 2009). The annual variation of the cluster ion concentration at the Puy de Dôme is similar to the annual variation of positive cluster ions described by Boulon et al. (2010) at the high altitude station of Jungfraujoch but it differs from the annual variation observed in Hyytiälä, Finland, which displays two maxima in August and October, and two minima in February and July (Hirsikko et al., 2005). Moreover, cluster ion concentrations recorded at the Puy de Dôme are in general slightly lower than the concentrations measured in Hyytiälä (200–1500 cm⁻³ for both polarities). However, spring cluster ion concentrations from the Puy de Dôme are comparable to the concentrations reported by Komppula et al. (2007) for the coastal station of Utö, Finland (250 ± 110 cm⁻³ for positive ions, 280 ± 120 cm⁻³ for negative ions).

We further investigated the cluster ion concentrations, separately for the different seasons. The entire dataset includes the equivalent of 800 available days of measurement in clear sky conditions which are distributed over the seasons as follow: 181 days in winter, 191 in spring, 239 in summer and 189 in fall. Furthermore, days were classified as “event day” or “non-event day” according to the detection of a NPF event or not and were analysed independently. Table 2 presents the ratio of the positive ion concentration to the negative ion concentration and the correlation between the concentrations of the two polarities. The concentrations of positive and negative cluster ions are relatively closely correlated and the correlation is in general better on event days with correlation coefficients ranging between 0.38 and 0.87 in different seasons. However, the correlation is not as strong as the correlation of 93.6 % reported by Hörrak et al. (2008) in Hyytiälä. It can also be seen from Table 2 that negative ion concentrations are in general higher than positive ones, with the exception of winter season. It is worth to notice that this difference is smaller on event days which display ratios of positive to negative cluster ion concentrations higher than 0.90 in all seasons. This last observation supports the previous result by Laakso et al. (2007) who reported a negative overcharging of the atmosphere in Hyytiälä. However, the charging state of the atmosphere seems to depend on the location. For example, negative ion concentrations are larger than positive ion concentrations at Mace Head, Ireland (Vana et al., 2008) and

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Utö (Komppula et al., 2007) while in Thakuse, Estonia, both Komppula et al. (2007) and Hõrrak et al. (2003) reported that positive ions were predominant.

Figure 3 shows the diurnal variation of the positive ion concentration for the different seasons and separately for event and non-event days. No distinct diurnal variation is observed for none of the seasons on non-event days. However, on event days, positive cluster ion concentration displays a maximum around 12:00 UTC time (–1 h local winter time) and on average the concentrations appear to be slightly higher compared to non-event days. For negative cluster ions (figure not shown) it is hard to distinguish any clear diurnal variation of the concentration, both on event and non-event days. The major difference between event and non-event days can be seen in winter and spring, which exhibit higher positive cluster ion concentrations during the whole day. In spring, the concentrations are raised by a factor in the range 1.10–1.47 on event days and in winter the increase is even more pronounced with factors in the range 1.51–2.86. In order to verify these last statements, the differences in cluster ion concentrations recorded on event and non-event days were tested with the Mann–Whitney U test. Figure 4 shows that for positive ion concentrations, the null hypothesis of samples with different medians cannot be rejected at the threshold of 5%, at least during the time period 10:00–16:00 UTC for all the seasons. This means that on event days positive cluster ion concentrations are significantly higher than on non-event days. This is the case during almost the whole day in winter and spring and on a more restricted time period in summer and fall. The last observation can be related to the fact that bump type events are predominant in summer and fall. Indeed, it appears that in case of bump type events, for all seasons, the positive cluster ions concentration displays clear differences on a well-defined and restricted period during the day compared to non-event days. The most significant differences between event and non-event days are in winter between 10:00 and 16:00 UTC with cluster ion concentrations 1.24 to 1.92 times higher on event days. The lowest differences are in fall with small ion concentrations increased by a factor in the range 1.08–1.20 during event days compared to non-event

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days. For the negative ions, the U test confirms that the concentrations between event and non-event days are significantly different only in winter and spring.

Several articles have already reported higher positive cluster ions concentrations on event days but none of them mentioned the use of a statistical test to confirm their observation. Boulon and co-workers (2010) found that positive ion concentrations increased on average by a factor of 1.5 on event days between 09:00 and 12:00 Local Time (LT) at the Jungfrauoch (JFJ) station. Hörrak et al. (2008) measured averaged positive ion concentrations of 530 cm^{-3} on NPF event days against 424 cm^{-3} on non-event days in Hyytiälä in spring. The diurnal variation of the positive cluster ion concentration with a stable minimum at night and a maximum around noon observed at the Puy de Dôme seems to be representative of high altitude sites (Boulon et al., 2010) but is clearly different from the diurnal variation observed in BL sites. In Tahkuse, the positive cluster ion concentration displayed a maximum in the early morning (06:00–07:00 LT) and a minimum around 18:00 LT (Hörrak et al., 2003); moreover, this diurnal variation was more evident during the warm season, between April and September. The same pattern for the diurnal variation of the cluster ion concentration with a maximum during night time was reported for Hyytiälä (Hörrak et al., 2008) and K-Pusztá, Hungary, in late spring (Yli-Juuti et al., 2009). For these three BL stations, radon seems to be a major source for cluster ions. Thus radon accumulation during night calms (weak winds, stable nocturnal layer) can explain higher cluster ion concentrations compared to day time which favours radon dilution in the mixing layer. On the contrary, at high altitude sites, the influence of surface emissions (including radon) is higher during day time when the BL reaches the station or when katabatic winds bring valley breezes to the station (Boulon et al., 2010). For the studies which focused on both positive and negative ions, the authors did not mention significant differences between the diurnal variations of the concentrations of the two polarities (e.g. Yli-Juuti et al., 2009). The dissimilarity in the different diurnal variations between the two polarities at the Puy de Dôme, especially in summer and fall on event days, however indicates that the involvement of positive and negative cluster ions in the nucleation process might be unequal.

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In order to study the evolution of the small ion diameter, a log-normal distribution was fitted to the hourly median cluster ion size distributions to obtain the representative diameter of the mode. This diameter corresponds to the location parameter of the log-normal distribution (usually referred as “ μ ” in the literature). In the following, the representative diameter of the cluster mode will be referred as d_+ and d_- for positive and negative ions respectively. An illustration of the fitting process for the determination of d_+ is given in Fig. 5. Negative ions appeared to be significantly smaller than positive ones as d_+ was typically fluctuating in the range 1.2–1.3 nm while d_- was in the range 0.9–1.1 nm. Figure 6 shows the diurnal variation of d_+ separately for the different seasons and for event and non-event days (figure not shown for d_-). For positive ions, no major difference can be seen between the different seasons whereas for negative ones the diameters are smaller in winter and spring, especially on non-event days. On event days, the diurnal variation of d_+ shows a maximum around noon which is not detected on non-event days; as a consequence, the diameter of the cluster mode is significantly larger around noon on event days (Figs. 6 and 7) compared to non-event days. For negative ions, no diurnal variation of the median diameters can be seen except on event days in summer with a significant maximum around noon which displays median values increased by a factor of 1.09 compared to hourly morning median values. The result of the U test applied on negative ion diameters shows that these differences between event and non-event days are statistically confirmed in summer. The U test also exhibits some differences in winter and spring. However, especially in winter, the differences are only observed on very short time period during the day and they do not match well with the time period during which the NPF process takes place. Thus this observation suggests the link between the NPF process and the increase of the negative ion size is weak, whereas it appears to be potentially stronger in the case of positive ions. A possible explanation could rely on the different chemical compositions of the two polarities but an accurate chemical analysis would be necessary to confirm this hypothesis.

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The diurnal variation with higher median diameters for positive ions on event days has also been reported by Boulon et al. (2010) at the JFJ station. In order to compare the diameters of the cluster ion mode obtained at the Puy de Dôme with diameters from other stations, we calculated the mean value of the cluster mode diameter considering all the seasons together and we converted it into mobility. The mean diameters of the positive cluster ion mode are 1.219 ± 0.117 nm and 1.192 ± 0.138 nm on event and non-event days, respectively. The corresponding mobilities (calculated at $T = 283$ K and $P = 860$ hPa) are in the range $1.325\text{--}1.950$ $\text{cm}^2 \text{V}^{-1} \text{s}^{-1}$ on event days and $1.335\text{--}2.130$ $\text{cm}^2 \text{V}^{-1} \text{s}^{-1}$ on non-event days. These mobility values are slightly higher than the values reported by Vana et al. (2008) in Mace Head (1.49 ± 0.14 $\text{cm}^2 \text{V}^{-1} \text{s}^{-1}$) or by Hörrak et al. (2003) in Tahkuse (1.36 ± 0.06 $\text{cm}^2 \text{V}^{-1} \text{s}^{-1}$), but they are very similar to the values obtained by Manninen et al. (2009) in Hyytiälä (1.1–1.3 nm). Concerning the negative polarity, the mean diameters of the cluster ion mode are 0.973 ± 0.138 nm and 0.952 ± 0.087 nm on event and non-event days, respectively. The corresponding mobilities are in the range $2.111\text{--}3.080$ $\text{cm}^2 \text{V}^{-1} \text{s}^{-1}$ on event days and $2.192\text{--}3.165$ $\text{cm}^2 \text{V}^{-1} \text{s}^{-1}$ on non-event days. Once again, these values are slightly higher than the value of 1.86 ± 0.21 $\text{cm}^2 \text{V}^{-1} \text{s}^{-1}$ reported for Mace Head and they also differ from the values reported for Hyytiälä, negative ions being on average smaller at the Puy de Dôme.

We have demonstrated so far that positive and negative cluster ions showed different behaviours, both in term of concentration and size. Particularly, we have shown that positive cluster ions appeared to be more likely concerned by the NPF process. Hence, we will now focus exclusively on positive cluster ions for the end of the present study.

First, since event days are characterized by an increase of both the positive cluster ion concentration and mode diameter, we shall investigate if the increase of the concentration is not a consequence of the increase of the cluster diameter. Indeed the measured small ion concentrations could increase on event days, not because the concentration of the cluster ion mode is changing but only because the fraction detected by the instrument is larger since ions are getting bigger. Figure 8 shows the

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hourly median cluster ion mode size distribution at 06:00, 12:00 and 18:00 UTC on event and non-event days. The critical size range to study in order to reject the possibility of an artificial increase of the cluster ion concentration due to the sizing limit of the AIS is in the left most part of the size distribution. The small ion concentration corresponding to the diameter 0.82 nm does not show any important diurnal variation on event days compared to non-event days: for this size class, the most significant change is observed on event days in winter when the hourly median small ion concentration rises from 6.18 cm^{-3} at 06:00 to 7.99 cm^{-3} at 12:00 UTC. Even in this case, the increase is far too weak to explain the global rise of the cluster ion concentration on event days. Thus, an artificial increase of the cluster ion concentration due to sizing limit of the instrument can be rejected. Most of the modifications on the size distribution are observed for ions larger than 1.26 nm. On event days during the time period 06:00–12:00 UTC the concentration of small ions in the size range 1.26–1.94 nm is raised by a factor between 1.14 in spring and 1.36 in winter. For the same size range, ion concentrations are decreased by factors in the range 1.13 (spring)–1.53 (winter) between 12:00 and 18:00 UTC and the resulting size distribution is very similar to the size distribution at 06:00 UTC. These observations suggest that both formation and growth of positive cluster ions do occur during the nucleation process on event days in the morning; a large fraction of these clusters is then lost later in the afternoon (the effect of different sinks will be discussed in the next section). At the JFJ station, (Boulon et al., 2010) also reported a shift of the positive cluster mode diameter to larger sizes and an increase of the positive cluster ion concentration on event days. Hence it seems that, at least for high altitude stations, NPF events do have specificities in term of both the size and the concentration of positive small ions.

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superimpose well with each other, which signifies that the size distribution of the dry sink does not evolve during day time. Thus the increase of the ion sink is not linked to a growth process of aerosol particles. On the contrary, we can observe that on event days, the ion sink size distribution measured at 18:00 UTC significantly differs from the size distributions measured at 06:00 and 12:00 UTC, especially in winter and fall. The contribution of the largest particles to the sink increases along the day. This suggests that on event days the NPF process mostly influences the sink variations with particle growth.

Since the effect of the hygroscopic growth of aerosol particles has been reported to be significant on aerosol ion sink and further on ionization rate calculations by Hörrak and co-workers (2008), we made new calculations of the aerosol sink by calculating wet diameters from the SMPS size distribution. In order to estimate the dependence on ambient relative humidity (RH) of the hygroscopic growth factor (GF) of aerosol particles, we used a parameterization originating from Zhou et al. (2001) and already used in several studies (Hörrak et al., 2008; Laakso et al., 2004):

$$GF = \frac{d_{\text{wet}}}{d_{\text{dry}}} = \left(1 - \frac{RH}{100}\right)^{\gamma} \quad (5)$$

The exponent γ is a function of particle size and was parameterized from the particle GF measurements of monodisperse aerosol samples (25, 35, 50, 75, 110, 165 nm) at RH = 90 % carried at the Puy de Dôme during the period October 2008–December 2012. Gamma parameterizations are given for each season in Table 3. The resulting values of the growth factor are shown on Fig. 10 and compared to measurements carried at the Puy de Dôme at different relative humidities (40 %, 60 %, 80 % and 90 %) during the same time period. As one could expect, at RH = 90 %, the parameterization fits well with the measurements. Decreasing the RH, calculation and measurement do not match so well. Several hypothesis can be proposed to explain this gap: (1) gamma parameterization is obtained at RH = 90 %, which can lead to some discrepancies for lower ambient humidity and (2) for RH < 90 % measurements are very

expressive differences which are observed in winter are obtained too late in the evening to explain the behaviour of small ion concentration around noon and will not be further considered.

3.3.2 Ionization rate calculation from the balance equation

The values of the positive ionization rate (Q) were derived from Eq. (3) and are presented in Table 4. It should be noticed that instead of assuming equal concentrations for positive and negative ions, we used the measured concentrations for each polarity. Considering all the measurements, the median values of the positive ionization rate are in the range $1.27\text{--}3.76\text{ cm}^{-3}\text{ s}^{-1}$. The lowest values are in winter and spring and the highest values are in summer and fall. Based on the median values presented in Table 4, the ionization rate is higher on event days in fall, spring and especially in summer with a multiplying factor of 1.43. A deeper analysis of the ionization rate with the U test leads to conclude that differences are significant only in summer between 09:00 and 24:00 UTC. The ionization rates at the Puy de Dôme are on average comparable with the ionization rates reported by Komppula et al. (2007) in Utö ($3\text{ cm}^{-3}\text{ s}^{-1}$) and in Tahkuse ($2.6\text{ cm}^{-3}\text{ s}^{-1}$) in spring or by Laakso et al. (2004) in Hyytiälä ($2.63\text{ cm}^{-3}\text{ s}^{-1}$), also in spring. However, it seems that large discrepancies can occur between ionization rates derived from the small ions balance equation and the measured ionization rates. For example in Hyytiälä, Laakso et al. (2004) reported measured values 1.7 times higher than calculated values for the same period. As a possible explanation, the authors suggested that some sinks were missing in the balance equation. The loss of ions by ion induced nucleation or deposition on vegetation could be part of them.

At the Puy de Dôme, the sink of small ions is most of the time mainly dominated by the aerosol ions sink but the loss of ions due to ion recombination can represent up to 24 % of the total loss on event days in winter. The values of the recombination sink (αn_+) reported in Table 4 were obtained assuming the recombination coefficient $\alpha = 1.5 \times 10^{-6}\text{ cm}^3\text{ s}^{-1}$. For the warm season, the ion recombination only represents a fraction around 5 % of the total ion loss, which is partly due to the fact that high Sa_{wet}

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values are recorded at the same time. These fractions are in agreement with the values reported by Tamm et al. (1991) for continental areas.

As previously mentioned in Sect. 3.3.1, growth factor calculations were made under the assumption that the exponent γ was not dependent on ambient relative humidity.

5 Since measured and calculated GF values did not always match well for $RH < 90\%$, we studied the uncertainty propagation from the gamma parameterization to the calculation of the ion sink and production as a function of humidity (Fig. 11). The sensitivity test is in the form of factors that should multiply the values of the studied parameters when multiplying (blue) or dividing (red) γ values by 2. For the growth factor and the wet aerosol ion sink, the sensitivity is given according to the size of aerosol particles
10 (10 nm, 100–300 nm, 420 nm). All the calculations are detailed in Appendix A. Figure 11 shows that uncertainties increase with ambient relative humidity but the strongest effect comes from the particle size. For the growth factor and the aerosol ion sink, in the size range below 300 nm the multipliers never exceed 1.72 when using maximum gamma or
15 0.77 when using minimum gamma at $RH = 95\%$. However, for all the seasons except winter, high uncertainties are observed for the largest particles (around 420 nm), even at $RH = 80\%$ with multipliers larger than 2 or smaller than 0.6. At $RH = 95\%$ for the same size the multipliers are larger than 6 or smaller than 0.4. Even if Fig. 9 shows that the major contribution to the aerosol ion sink does not come from the largest sizes
20 but from the size range 100–300 nm, the high uncertainties obtained for the largest sizes at $RH = 95\%$ are an additional support for ignoring data points with $RH > 90\%$. At $RH = 90\%$, the uncertainties reported on Fig. 11 are not fully realistic; since the γ parameterization was derived from measurements at $RH = 90\%$, it seems exaggerated to consider multiplying/dividing factors up to 2 for γ . For both the wet sink and
25 the calculated source at $RH < 90\%$ and for $d_p < 300$ nm, the multipliers never exceed 2 and 0.5. Moreover, we must keep in mind that these uncertainties can be considered as maximum since extreme multiplying factors were applied on γ values.

The results presented in the previous sections lead to conclude that positive small ion properties (size, concentration) are significantly different on event and non-event

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days but the aerosol ion sink and the ionization rate only show significant differences in summer. At this stage it is thus difficult to link small ion properties to ion sink and production. In order to investigate the relevance of the positive ionization rate values derived from the balance equation, we compared them with ion production rate estimations from radon measurements. The results related to these estimations are discussed in the following section.

3.3.3 Estimation of the ionization rate based on radon measurements

The main sources for the small ions in the atmosphere are airborne radionuclides (mainly radon, ^{222}Rn) and external radiation (cosmic radiation and gamma radiation from the ground). Since ^{222}Rn is continuously monitored at the Puy de Dôme, it was possible to have an estimation of the contribution of this radionuclide source on the small ion production. The ionization attributable to the ^{222}Rn was derived from the ^{222}Rn concentration using the decay scheme (three alpha and two beta) and energies from Zhang et al. (2011), assuming that ^{222}Rn was in equilibrium with its short lived progeny and that 34 eV were needed for the production of one ion pair. Concerning the cosmic radiation, there was no measurement available at the Puy de Dôme. Even if cosmic radiation is known to be influenced by solar modulation (with a periodicity of 11 yr) and by latitude modulation (Hensen and Van der Hage, 1994), the use of 2 ion pair $\text{cm}^{-3}\text{s}^{-1}$ as an average value seemed to be rational at ground level (Hensen and Van der Hage, 1994; Yu, 2002; Usoskin et al., 2004). However, since the pressure at the Puy de Dôme is around 0.86 bar, the value of 2 ion pair $\text{cm}^{-3}\text{s}^{-1}$ was decreased to 1.7 ion pair $\text{cm}^{-3}\text{s}^{-1}$. For the gamma radiation, since we had neither measurement nor estimation, we just ignored this contribution on a first approximation, assuming it was not driving the variation of the total ionization rate. The latter approximation was supported by the study by Laakso and co-workers (2004) who showed that the contribution of external radiation was certainly important but not responsible for the observed variation of the total ionization rate, which was mainly caused by the radon contribution. Figure 12 shows the ionization rate derived from the balance equation against

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the ionization rate obtained from radon measurement and galactic cosmic rays (GCR) estimation as a function of the time of the day. For all seasons, the measured and the calculated ionization rates are on average in good agreement. In winter and spring the ionization rates derived from the balance equation are slightly smaller than the ionization rates derived from radon measurements, and the difference appears not to be time dependent. We must keep in mind that this radon and GCR contribution is a priori the lower limit of the real expected measured ionization rate since we ignored the almost constant contribution of gamma radiation. Thus it seems that in winter and spring, both on event and non-event days, we underestimate the ionization rate when using the balance equation. This could be explained either by a misestimating calculated sink or by the fact that the steady state assumption is not valid. In summer and fall the ionization rates derived from the balance equation are typically slightly higher than the ionization rates derived from radon measurements. Moreover, the differences between calculated and measured ionization rates spread over a larger range of values compared to winter and spring but they are still not dependent on the time of the day. Figure 13 shows that the difference between the ionization rates derived from the balance equation (Q_{calc}) and the ionization rates obtained from radon measurements (Q_{meas}) is increasing with temperature, especially in spring and summer. The red dashed lines represent the shift of the zero level of the difference $Q_{\text{calc}} - Q_{\text{meas}}$ if a constant contribution of 1.7 ion pair $\text{cm}^{-3}\text{s}^{-1}$ from gamma radiation is added to Q_{meas} . The value of 1.7 ion pair $\text{cm}^{-3}\text{s}^{-1}$ was chosen because it seemed to represent on average the gamma contribution to the ionization rate measured at ground level in Hyytiälä (Laakso et al., 2004). It can be seen from Fig. 13 that even with the addition of this constant gamma contribution, the ionization rates derived from the balance equation are most of the time higher than the measured ones in summer and fall. This observation indicates that when estimating the ionization rate from direct measurements we are missing a source, which is linked to a temperature dependant process. Biogenic emissions from the surrounding vegetation could be part of this process and could possibly be an additional source to consider.

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Figure 12 suggests that the fraction of the total ionization rate due to radon does not differ from event to non-event days. The confirmation is given by the U test (figure not shown) for all the seasons except summer. In summer, the radon contribution appears to be significantly different on event days compared to non-event days on the time period 08:00–18:00 (UTC) but a closer look at the radon contribution reveals that it is lower on event days. Thus, since radon concentrations are not increased on event days compared to non-event days, it seems that radon is not the determining source for the excess small ions contributing to nucleation on event days.

We have shown so far that positive cluster ions were more numerous on event days compared to non-event days but neither the sources nor the sinks seem to be able to explain these differences. A possible explanation could however come from an inappropriate use of the steady state assumption on event days. Indeed, if cluster ions are produced during the NPF process as suggested by this study, this means that on event days the concentration of positive cluster ions is constantly changing in a significant way, meaning that the assumption of steady state can no longer be applied. Thus, it is likely that at the beginning of the NPF process the production of positive cluster ions is faster than the loss of these ions, which leads to higher concentrations compared to non-event days on the same time period.

4 Conclusions

We investigated the behaviour of cluster ions (mobility diameter greater than $0.5 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$) in clear sky conditions at the Puy de Dôme station. Concentrations were analysed with respect to the season and to the occurrence of a new particle formation event. We used a dataset which spread over five years (February 2007–February 2012), leading to 800 days of measurement.

At the Puy de Dôme, the nucleation frequency was around 24.5% and displayed an annual variation with three maxima (one in late winter/early spring, one in summer and one in fall). Class II events were found to be dominant in winter and spring (44.7 and

33.1 %, respectively) while bump type events were the most present in summer and fall (65.6 and 40.4 %, respectively).

The monthly medians of the cluster ion concentration were fluctuating typically between 200 and 600 cm⁻³ and presented an annual variation with lower values in spring.

Positive small ions were on average less numerous than negative ones. The negative cluster ion concentration did not display any diurnal variation whereas on event days, the positive cluster ion concentration exhibited a maximum around noon. Using the Mann–Whitney *U* test, we showed that during the time period 10:00–16:00 UTC, positive cluster ion concentrations were significantly raised by a factor of 1.12–1.76 during event days compared to non-event days on the same time period. The mode diameter of the positive cluster ion mode did not show any clear annual variation, with median typically around 1.2–1.3 nm. On event days, the median diameter of the positive cluster ion mode was found to be increased around noon compared to non-event days for all the seasons. From the size distribution study, we showed that the diameter increase could not explain the rise of positive ion concentration detected by the AIS, and we concluded that both positive ion size and concentrations were significantly modified on event days during the time period of interest for the nucleation process.

The loss of cluster ions on aerosol particle was found to be predominant compared to the ion recombination which on average did not exceed 24 % of the total ion sink. The median aerosol ion sink derived from the wet SMPS size distribution varied in the range 2.310⁻³–10.210⁻³ s⁻¹ with the highest values during the warm season due to enhanced dynamics of the BL. The median values of the positive ionization rate derived from the balance equation were in the range 1.27–3.76 cm⁻³ s⁻¹ with the highest values in summer and fall. The ionization rates derived from the balance equation (Q_{calc}) were compared with a direct estimation of the ion source (Q_{meas}) from ²²²Rn measurements and a constant GCR contribution of 1.7 ion pair cm⁻³ s⁻¹. For all seasons, Q_{calc} and Q_{meas} were well correlated but Q_{calc} was on average higher in summer and fall. The difference between Q_{calc} and Q_{meas} was shown to be correlated with the temperature, especially in spring and summer. However, after a closer analysis of the positive ion

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sources and sinks, we unexpectedly found that the U test could not clearly distinguish event and non-event days, except in summer. But even in summer, we showed that radon could not explain the excess ions on event days.

Our findings demonstrate that positive cluster ions properties such as the concentration are significantly different on event and non-event days but neither the sinks nor the sources that we considered are able to explain these differences. It is likely that on event days, at least at the beginning of the NPF process, the steady state of the cluster ion concentration is not verified since the production of cluster ions is faster than the loss of these clusters. Thus it is most likely the imbalance between sources and sinks instead of an extra source which could explain the higher positive cluster ion concentrations at the beginning of the NPF process.

Appendix A

As previously indicated, the sensitivity study related to the use of the gamma parameterization was done by calculating factors that should multiply the values of the studied parameters when changing the gamma values. The multipliers for the growth factor corresponded to the ratio of the growth factor using parameterized γ to the growth factor obtained after multiplying/dividing parameterized gamma values by 2:

$$GF_multipliers = \frac{GF_{modified}}{GF_{initial}} = \frac{\left(1 - \frac{RH}{100}\right)^{Y_{modified}}}{\left(1 - \frac{RH}{100}\right)^{Y_{initial}}} \quad (A1)$$

Then the modified values of the growth factor were used to calculate the aerosol ion sink multipliers:

$$Sa_{\text{wet_multipliers}} = \frac{Sa_{\text{wet_modified}}}{Sa_{\text{wet_initial}}} = \frac{\sqrt{\frac{dp \cdot GF_{\text{modified}} - 1 \text{ nm}}{dp \cdot GF_{\text{modified}} - 5 \text{ nm}}}}{\sqrt{\frac{dp \cdot GF_{\text{initial}} - 1 \text{ nm}}{dp \cdot GF_{\text{initial}} - 5 \text{ nm}}}} \frac{GF_{\text{modified}}}{GF_{\text{initial}}} \quad (\text{A2})$$

The ionization rate multipliers were finally derived from the balance equation, using the modified aerosol ion sink and assuming a constant concentration for positive and negative small ions; this concentration was set to 400 cm^{-3} since it was almost the average annual concentration observed at the Puy de Dôme:

$$Q_{\text{multipliers}} = \frac{Q_{\text{modified}}}{Q_{\text{initial}}} = \frac{\alpha \cdot 400^2 + 400 \cdot Sa_{\text{wet_modified}}}{\alpha \cdot 400^2 + 400 \cdot Sa_{\text{wet_initial}}} \quad (\text{A3})$$

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Table 1. NPF event characteristics; the number of NPF event days is given in the brackets.

Season	NPF event frequency (%)	Type of event (%)			
		Ia	Ib	II	Bump
Winter (85)	17	7.1	17.6	44.7	29.4
Spring (117)	25.7	11.0	32.2	33.1	23.7
Summer (122)	26.5	8.2	7.4	18.9	65.6
Fall (109)	24.4	8.1	20.7	29.7	40.4

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Table 2. Median values of the ratio of positive to negative cluster ions concentration (r) and correlation coefficients between positive and negative cluster ions concentration (R^2).

Season	Event days		Non-event days	
	r	R^2	r	R^2
Winter	1.02	0.84	1.01	0.72
Spring	0.93	0.87	0.88	0.73
Summer	0.97	0.52	0.91	0.53
Fall	0.92	0.38	0.86	0.55

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Table 3. Coefficients of the gamma parameterization written as $\gamma = -a \frac{d_b}{1nm} - b$

Season	$a(\cdot 10^{-4})$	$b(\cdot 10^{-2})$	Correlation coefficient R^2
Winter	3.944	6.694	0.9920
Spring	5.603	6.796	0.9628
Summer	5.505	7.369	0.9861
Fall	4.712	9.285	0.9960

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Table 4. Statistical values of the wet aerosol ion sink (Sa_{wet}), the ion loss due to ion recombination (an_+), the total concentration of aerosol particles (N), the ionization rate (Q) and the ratio of ion loss due to recombination to total ion loss ($an_+/(an_+ + Sa_{wet})$). All the values are given for RH < 90 %.

(a) Winter						
	NPF event days			Non – event days		
	Median	25th perc.	75th perc.	Median	25th perc.	75th perc.
N , cm^{-3}	955	539	1726	865	491	1447
Sa_{wet} , $10^{-3} s^{-1}$	2.3	1.1	4.8	2.5	1.0	5.4
an_+ , $10^{-3} s^{-1}$	0.72	0.53	1.11	0.51	0.14	1.02
Q , $cm^{-3} s^{-1}$	1.76	1.08	2.90	1.27	0.35	2.61
$an_+/(an_+ + Sa_{wet})$	24 %	11 %	44 %	13 %	4.4 %	38 %
(b) Spring						
	NPF event days			Non – event days		
	Median	25th perc.	75th perc.	Median	25th perc.	75th perc.
N , cm^{-3}	3048	1483	4909	2905	1574	4264
Sa_{wet} , $10^{-3} s^{-1}$	7.5	2.1	11.7	10.2	3.0	16.4
an_+ , $10^{-3} s^{-1}$	0.49	0.30	0.85	0.37	0.22	0.67
Q , $cm^{-3} s^{-1}$	2.05	0.67	4.29	2.22	0.78	4.11
$an_+/(an_+ + Sa_{wet})$	5.8 %	2.6 %	15.8 %	3.6 %	1.8 %	11 %
(c) Summer						
	NPF event days			Non – event days		
	Median	25th perc.	75th perc.	Median	25th perc.	75th perc.
N , cm^{-3}	3106	2214	4093	3335	2351	4296
Sa_{wet} , $10^{-3} s^{-1}$	7.6	5.0	10.1	9.7	6.7	13.4
an_+ , $10^{-3} s^{-1}$	0.69	0.42	0.90	0.61	0.38	0.79
Q , $cm^{-3} s^{-1}$	2.58	1.42	4.2	3.69	1.84	1.77
$an_+/(an_+ + Sa_{wet})$	6.1 %	3.7 %	10.3 %	4.7 %	2.9 %	7.7 %
(d) Fall						
	NPF event days			Non – event days		
	Median	25th perc.	75th perc.	Median	25th perc.	75th perc.
N , cm^{-3}	2268	1310	3297	1945	1240	3041
Sa_{wet} , $10^{-3} s^{-1}$	6.3	3.3	9.8	6.6	3.4	10.6
an_+ , $10^{-3} s^{-1}$	0.81	0.62	1.12	0.77	0.56	0.96
Q , $cm^{-3} s^{-1}$	3.75	2.10	5.97	3.76	2.27	5.74
$an_+/(an_+ + Sa_{wet})$	11 %	7.5 %	22 %	10 %	6.3 %	19 %

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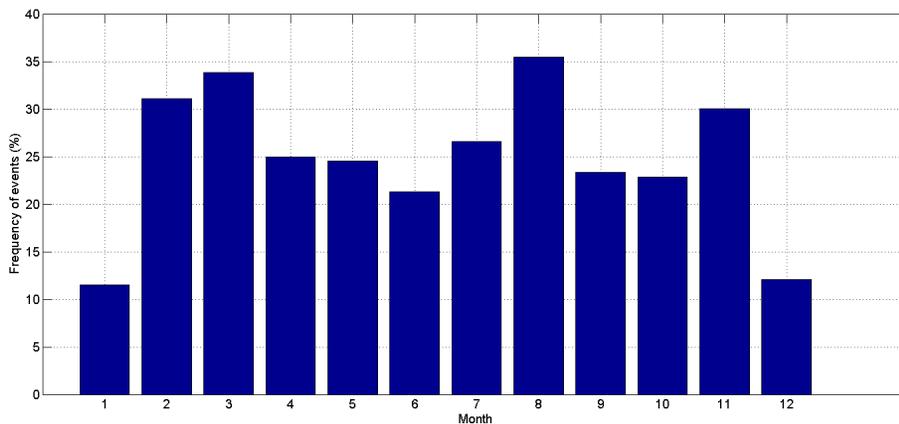
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Fig. 1. Monthly mean nucleation frequencies at the Puy de Dôme.

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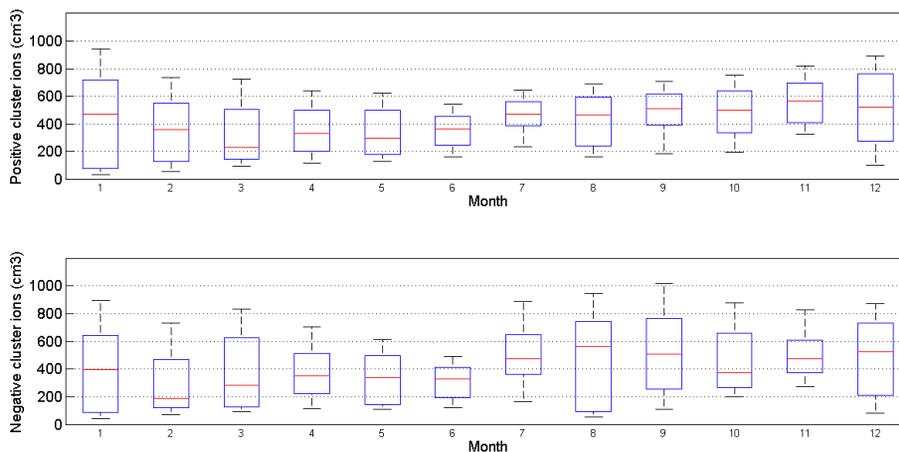


Fig. 2. Annual variation of cluster ion concentration. Red line represents the median value, bottom and top sides of the blue boxes symbolize the 25th and 75th percentile respectively and the extremities of the black lines stand for the 10th and 90th percentile.

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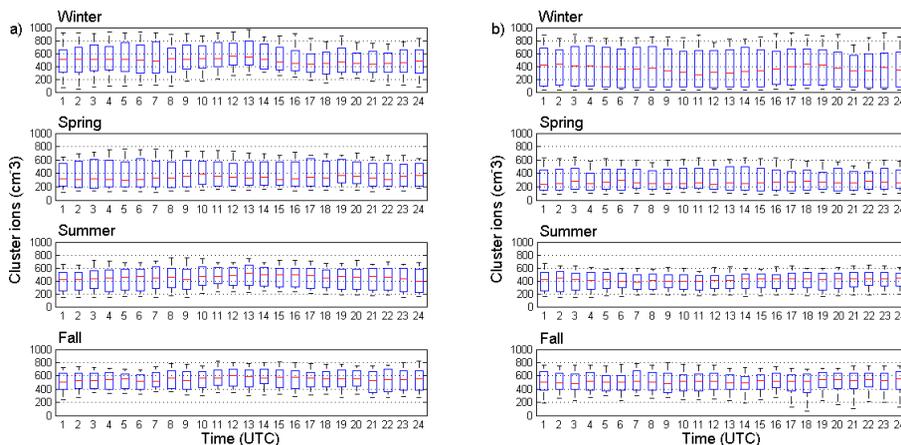


Fig. 3. Diurnal variation of positive cluster ion concentration on **(a)** event days and **(b)** non-event days. Red line represents the median value, bottom and top sides of the blue boxes symbolize the 25th and 75th percentile respectively and the extremities of the black lines stand for the 10th and 90th percentile.

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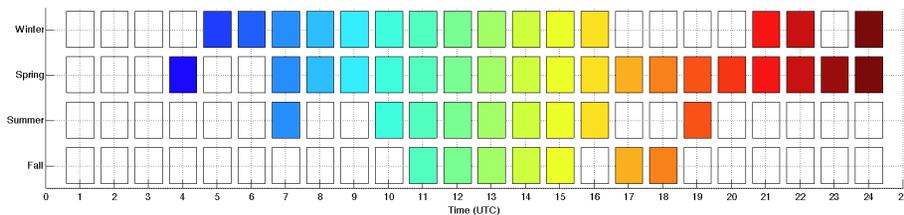


Fig. 4. Result of the Mann and Whitney U test applied on positive cluster ion concentration from event and non-event days. Squares are colored when the null hypothesis of samples with different medians cannot be rejected at the threshold of 5%.

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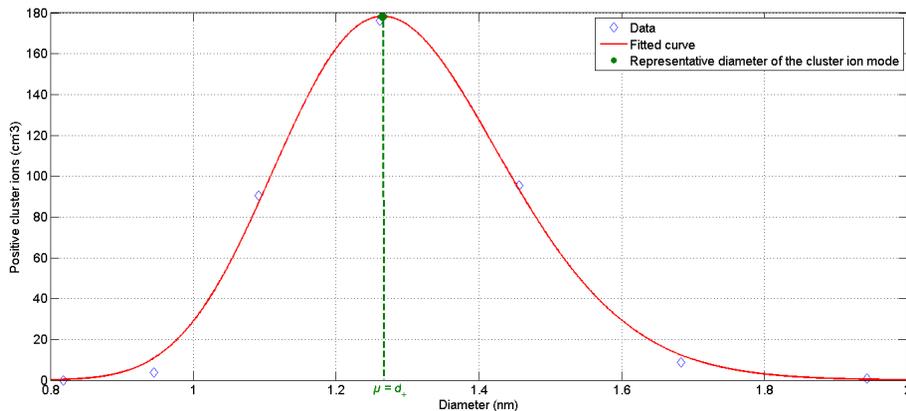


Fig. 5. Determination of the representative diameter of the positive cluster ion mode (d_+) by fitting a log-normal distribution to the cluster ion size distribution. d_+ corresponds to the location parameter (usually referred as “ μ ”) of the log-normal distribution.

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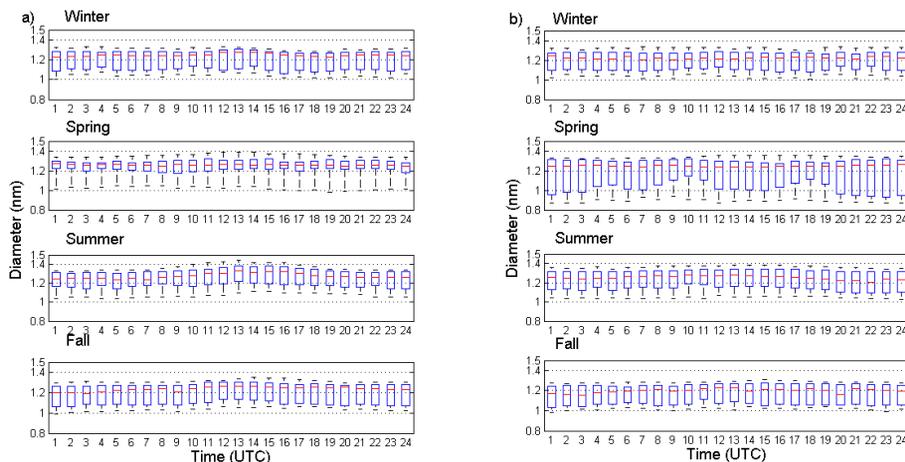


Fig. 6. Diurnal variation of the positive cluster ion mode diameter on **(a)** event days and **(b)** non-event days. Red line represents the median value, bottom and top sides of the blue boxes symbolize the 25th and 75th percentile respectively and the extremities of the black lines stand for the 10th and 90th percentile.

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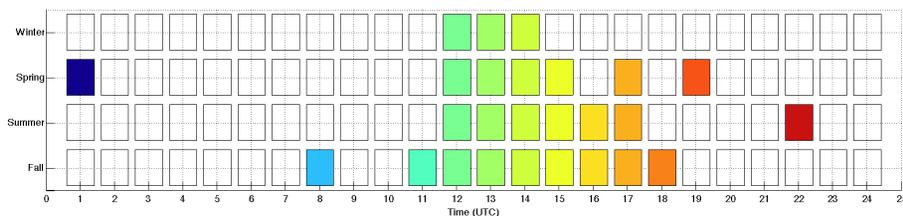


Fig. 7. Result of the Mann and Whitney U test applied on the positive cluster ion mode diameter from event and non-event days. Squares are colored when the null hypothesis of samples with different medians cannot be rejected at the threshold of 5%.

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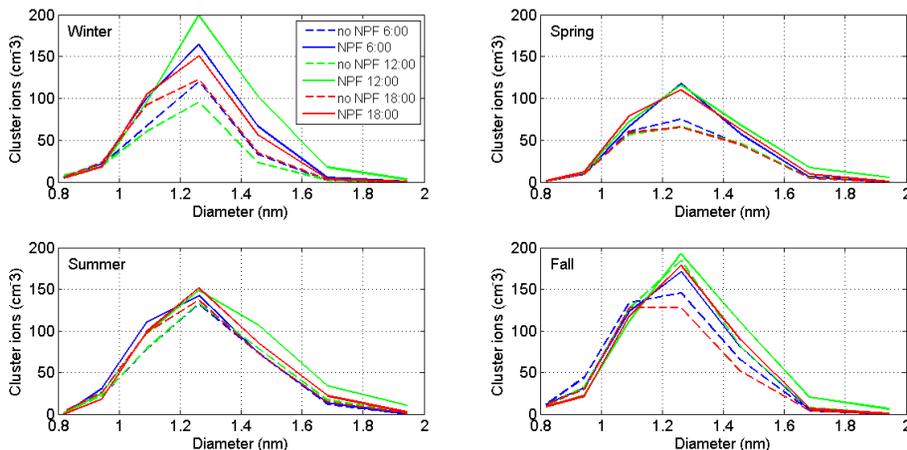


Fig. 8. Positive cluster ion mode size distribution. Blue, green and red curves correspond to 06:00, 12:00 and 18:00 (UTC) hourly median concentration respectively. Continuous lines are used for event days and dashed lines non-event days.

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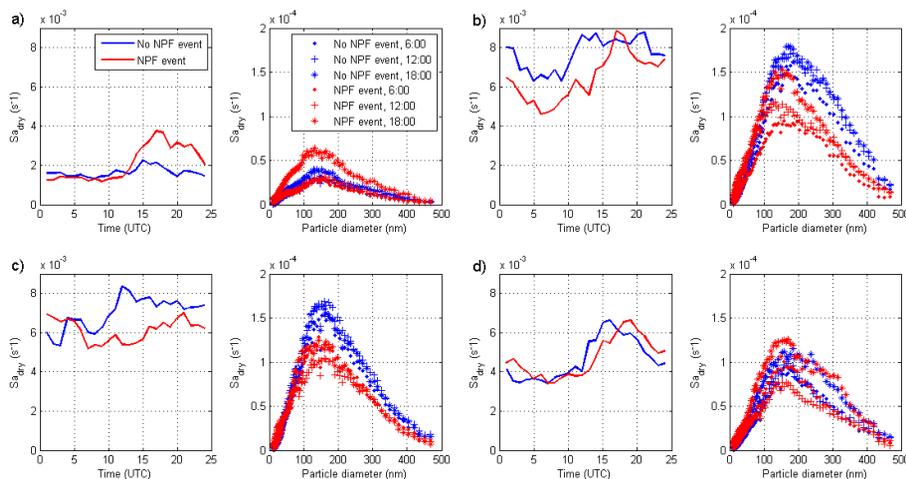


Fig. 9. Aerosol ion sink based on dry SMPS size distribution in **(a)** winter, **(b)** spring, **(c)** summer and **(d)** fall. For each season, the figure on the left represents the diurnal variability of the median sink; the figure on the right represents the hourly median size distributions of the sink at 06:00, 12:00 and 18:00 (UTC).

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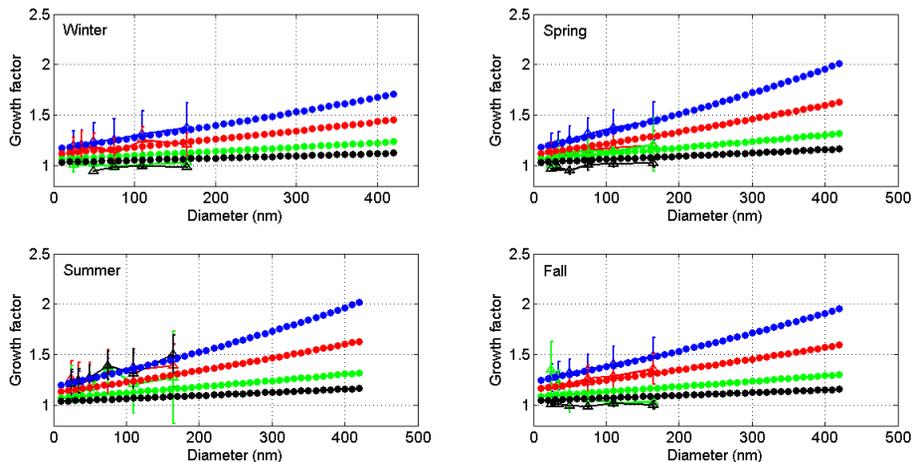


Fig. 10. Growth factor parameterization as a function of particle size and relative humidity (black = 40 %, green = 60 %, red = 80 %, blue = 90 %). Mean measurements and standard deviation are given for the comparison (triangles); the colours correspond to different relative humidities and respect the code previously defined.

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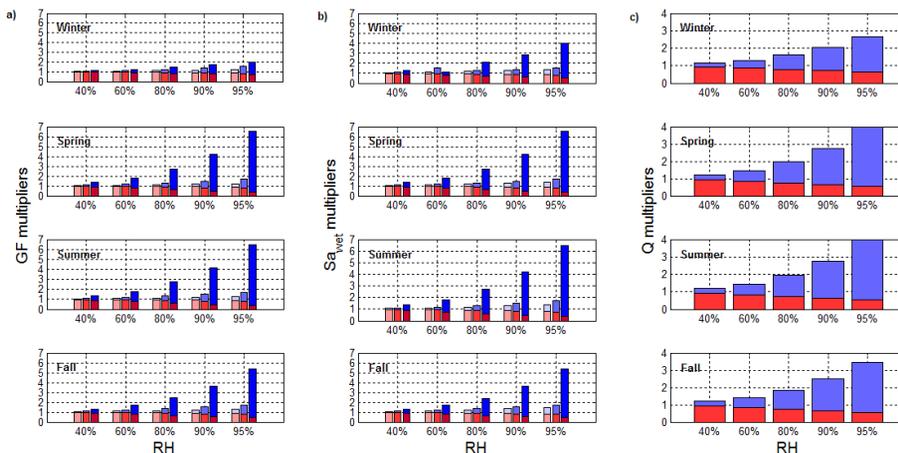


Fig. 11. Sensitivity analysis for **(a)** the growth factor (GF) estimation, **(b)** the wet aerosol ion sink (Sa_{wet}) and **(c)** the ionization rate (Q) when the parameterized γ values are increased by a factor of 2 (blue) or decreased by a factor of 2 (red). Multipliers are given as a function of relative humidity **(a, b, c)** and particle size **(a, b)**. For **(a)** and **(b)** the lightest bars represent the multipliers for the smallest particle diameter (10 nm), the medium bars represent the mean multipliers for the size range 100–300 nm and the darkest bars represent the multipliers for the largest particles (420 nm).

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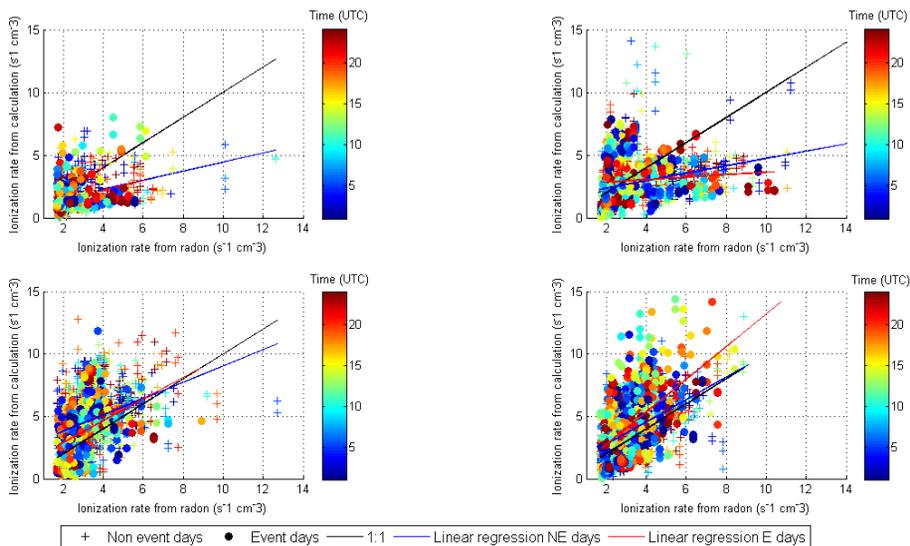


Fig. 12. Positive ionization rate derived from the balance equation versus estimated ionization from radon and GCR as a function of time. NPF event days and non-event days are plotted separately (dots and crosses, respectively).

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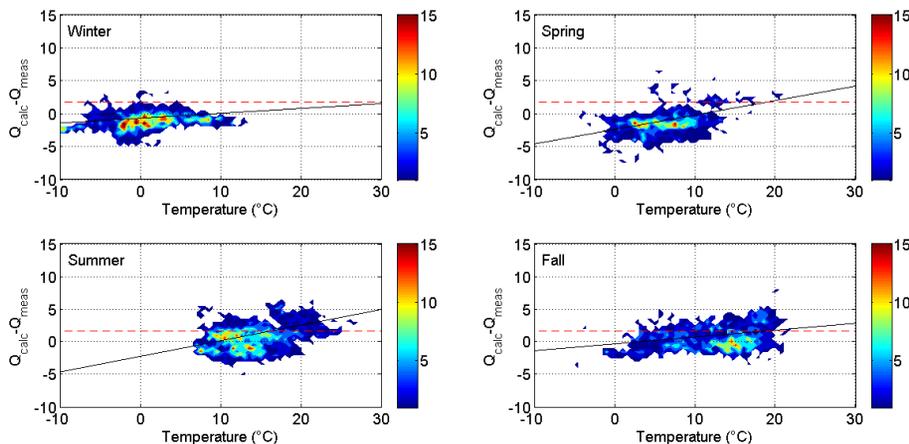


Fig. 13. Difference between the ionization rate derived from the balance equation (Q_{calc}) and the direct estimation of the ion production rate from radon measurement and GCR (Q_{meas}) as a function of temperature. The red dashed lines represent the shift of the zero level if a constant contribution from gamma radiation of $1.7 \text{ ion pair cm}^{-3} \text{ s}^{-1}$ is added to Q_{meas} . The black curves are linear fittings between the two variables. The colour code correspond to the density of the data points in pixels of $0.5^\circ\text{C} \cdot 0.5 \text{ s}^{-1}$.

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