The stable isotopic composition of molecular hydrogen in the tropopause region probed by the CARIBIC aircraft

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Abstract

More than 450 air samples that were collected in the upper troposphere – lower stratosphere (UTLS) region around the tropopause (TP) by the CARIBIC aircraft (Civil Aircraft for the Regular Investigation of the Atmosphere Based on an Instrument Container) have been analyzed for molecular hydrogen (H$_2$) mixing ratios ($m$(H$_2$)) and H$_2$ isotopic composition (deuterium content, $\delta$D).

More than 120 of the analyzed samples consisted of air from the lowermost stratosphere (LMS). These show that $m$(H$_2$) does not vary appreciably with O$_3$-derived height above the thermal TP, whereas $\delta$D does increase with height. The isotope enrichment is caused by competing H$_2$ production and destruction processes that enrich the stratospheric H$_2$ reservoir in deuterium (D); the exact shapes of the profiles are mainly determined by mixing of stratospheric with tropospheric air. Tight negative correlations are found between $\delta$D and the mixing ratios of methane (CH$_4$) and nitrous oxide (N$_2$O), as a result of the relatively long lifetimes of these three species. The correlations are described by $\delta$D [‰] = $-0.35 \cdot m$(CH$_4$)[ppb] + 768 and $\delta$D [‰] = $-1.90 \cdot m$(N$_2$O)[ppb] + 745. These correlations are similar to previously published results and likely hold globally.

Samples that were collected from the Indian subcontinent up to 40° N before, during and after the summer monsoon season show no significant seasonal change in $m$(H$_2$), but $\delta$D is up to 15‰ lower in the July, August and September monsoon samples. This $\delta$D lowering is correlated with $m$(CH$_4$) increase. The significant correlation with $m$(CH$_4$) and the absence of a perceptible $m$(H$_2$) increase that accompanies the $\delta$D lowering indicates that microbial production of very D-depleted H$_2$ in the wet season may contribute to this phenomenon.

Some of the samples have very high $m$(H$_2$) and very low $\delta$D values, which indicates a pollution effect. Aircraft engine exhaust plumes are a suspected cause, since the effect mostly occurs in samples collected close to airports, but no similar signals are found in other chemical tracers to support this. The isotopic source signature of the H$_2$
pollution seems to be on the low end of the signature for fossil fuel burning.

1 Introduction

1.1 Atmospheric molecular hydrogen (H$_2$)

Molecular hydrogen (H$_2$) has been a relatively little studied atmospheric trace gas for some time, but over the last decades, there has been a steep increase in research efforts into the global H$_2$ cycle. These efforts have undoubtedly been spurred by the possible future use of H$_2$ as an energy carrier. It is expected that when this technology comes into wide use, it will lead to large-scale leakage of H$_2$ into the atmosphere, which may affect the atmosphere’s oxidative capacity and stratospheric ozone levels (Schultz et al., 2003; Warwick et al., 2004; Tromp et al., 2003; Feck et al., 2008). A number of global H$_2$ budget estimates have been made (Novelli et al., 1999; Hauglustaine and Ehhalt, 2002; Sanderson et al., 2003; Rhee et al., 2006b; Price et al., 2007; Xiao et al., 2007; Ehhalt and Rohrer, 2009; Pieterse et al., 2011; Yashiro et al., 2011; Yver et al., 2011; Bousquet et al., 2011). These estimates agree that the largest source of H$_2$ to the atmosphere is oxidation of hydrocarbons, followed by combustion of fossil fuels and biomass (see Table 1). Production of H$_2$ during N$_2$-fixing by microbes on land or in the ocean constitutes a minor source. These source terms are balanced by two sink processes, uptake by soils (and subsequent destruction by enzymes) and atmospheric oxidation by the hydroxyl radical (OH), of which soil uptake is the largest. Together, these processes result in typical atmospheric H$_2$ mixing ratios ($m$(H$_2$)) of around 530 ppb (nmol mol$^{-1}$). Despite the qualitative agreement, the uncertainties in the estimates of the magnitude of the different terms in the budget are large.
1.2 Stable isotope studies of H₂

Studies of the stable isotopic composition of H₂ (δD(H₂), or henceforth, δD) can contribute to constraining the H₂ budget. The isotope effects associated with sources and sinks of H₂ (Table 1) are particularly large due to the large relative mass difference between deuterated hydrogen (HD) and “ordinary” hydrogen (HH). We define δD as:

\[ \delta D = \delta D(H_2) = \left( \frac{R_{\text{Sample}}}{R_{\text{VSMOW}}} - 1 \right) \cdot 1000 \permil \]

where \( R_{\text{Sample}} \) is the ratio of the number of deuterium atoms (D) to protium atoms (“ordinary” hydrogen atoms, H) in the sample H₂, and \( R_{\text{VSMOW}} \) is this D/H ratio in Vienna Standard Mean Ocean Water. Recently, isotope effects in the production processes of H₂ have been studied (Gerst and Quay, 2001; Rahn et al., 2003; Röckmann et al., 2003, 2010b, a; Rhee et al., 2006a, 2008; Feilberg et al., 2007; Vollmer et al., 2010), as well as the isotope effects in H₂ uptake by soils (Gerst and Quay, 2001; Rahn et al., 2002; Rice et al., 2011).

Two chemical transport models have been adapted to incorporate the stable isotopic composition of H₂, namely GEOS-CHEM (Price et al., 2007) and TM5 (Pieterse et al., 2009, 2011), and many more δD data have become available for the validation of such models (Rice et al., 2010; Batenburg et al., 2011). However, for obvious practical reasons, most of these data were collected at ground level, and yield little information about processes in the Upper Troposphere-Lower Stratosphere (UTLS) region. This is problematic since Stratosphere-Troposphere Exchange (STE) in the UTLS may be one of the main processes that enrich the global tropospheric H₂ reservoir. Both Price et al. (2007) and Pieterse et al. (2011) estimated that STE contributes several tens of \( \permil \) to the global tropospheric δD average. Pieterse et al. (2011) found that the magnitude of the contribution was highly sensitive to the δD value assumed for the stratosphere. A number of investigations have been conducted in the stratosphere (Rahn et al., 2003; Röckmann et al., 2003; Rhee et al., 2006a), but only three of the samples analysed for
these studies were sampled below 14 km altitude. Rhee et al. (2006b) presented data from three previous CARIBIC (Civil Aircraft for the Regular Investigation of the Atmosphere Based on an Instrument Container) flights, but focused only on the tropospheric samples, and actually omitted those samples near the tropopause (TP) or in the lowermost stratosphere (LMS) from further analysis.

Not only are some altitudes underrepresented in the published $\delta D$ data, also some regions of the world are not well covered. Previously published data were mostly for samples collected at two stations at the west coast of North America and on Pacific Ocean transects (Gerst and Quay, 2000; Rice et al., 2010), or at stations in Europe and the polar regions (Batenburg et al., 2011). Data from other regions, such as South Asia, are scarce. The aim of analyzing data from the CARIBIC program is to close both the altitudinal and the regional gap in the observations.

The CARIBIC project uses a passenger aircraft to make in-situ measurements and to sample air and aerosol at aircraft cruise altitude, generally between 9 and 11 km. At this altitude, the aircraft intercepts both upper troposphere and lowermost stratosphere air masses in similar quantities at mid-latitudes. CARIBIC is therefore a very suitable platform to study the UTLS region. The obtained information is somewhat Eurocentric, because the aircraft is based at Frankfurt airport (Fig. 1). Nevertheless, a large region of the globe is covered, including the Indian subcontinent where air was sampled before, during and after the summer monsoon season. To our knowledge, this paper presents the first $\delta D$ observations that have been made in or over India. The results of greenhouse gas (GHG) measurements on the South Asian samples discussed here have been published previously by Schuck et al. (2010). They found an increase in greenhouse gas mixing ratios during the monsoon season, especially for $\text{CH}_4$. This was attributed to increased $\text{CH}_4$ emissions from rice paddies and wetlands, and increased convective transport that brought the $\text{CH}_4$ to aircraft cruising altitude.
1.3 δD in the stratosphere

H₂ mixing ratios vary little in the stratosphere, but isotope information reveals that H₂ is involved in a lot of stratospheric processing. Deuterium enrichments of more than 250 ‰ above typical tropospheric values (≈ +130 ‰) were found during a stratospheric research aircraft campaign (Rahn et al., 2003) and stratospheric balloon campaigns (Röckmann et al., 2003; Rhee et al., 2006a). These are evidence that production and destruction of H₂ do take place; that m(H₂) remains unchanged means that production and destruction are of almost equal magnitude.

Plumb (2007) found that compact correlations between stratospheric species are common, as the time scales for stratospheric transport are shorter than the chemical lifetimes of (relatively) long-lived trace gases. Due to this, all the (Rahn et al., 2003), (Röckmann et al., 2003) and (Rhee et al., 2006a) data show a compact inverse correlation between δD and CH₄ mixing ratios. So, as the air ages, CH₄ is destroyed, and H₂ is both produced and destroyed by processes that cause a net D-enrichment.

H₂ removal by OH has a strong preference for removal of HH over removal of HD (Talukdar et al., 1996, see also Table 1) and therefore enriches the remaining H₂ reservoir. However, to explain the total observed enrichments, also the H₂ produced from CH₄ must be D-enriched. Stratospheric (δD) source signatures between +130 and +370 ‰ were reported (Rahn et al., 2003; Röckmann et al., 2003; Rhee et al., 2006a). Results from the TM5 model indicate that the source signature is likely in the low end of this range (Pieterse et al., 2011, see also Table 1).

2 Experimental

2.1 Sampling and in-situ measurements

The CARIBIC Airbus A340-600 is equipped with a 35 cm tall inlet system with several intake probes, that is located underneath just in front of the belly fairing (Brenninkmeijer
Air from the trace gas inlet probe passes through heated PFA-lined stainless steel tubing (≈ 40 °C) to the equipment in the automated CARIBIC measurement container. Here, whole air samples are collected by the so-called TRAC (Triggered Retrospersive Air Collector) (Brenninkmeijer et al., 2007; Schuck et al., 2009). This system consists of a pumping unit and two separate sample units, controlled by a computer unit. Each sample unit contains 14 glass cylinders with a volume of 2.67 l each that during sampling are pressurized to an effective pressure of 3.5 to 4.2 bar. During flight, sampling starts after a pressure cutoff level (450 mbar) is reached to avoid sampling of low-level polluted air in the vicinity of airports. By flushing, the air within each flask is replaced approximately ten times before the actual sample is taken. The collection then takes from 0.5 to 1.5 min, which corresponds to a flight distance of 7 to 21 km. A flask is filled every hour or half hour at points evenly distributed over the expected flight time. Due to the regularly spaced sampling, the distribution of samples is likely representative of the different air masses encountered by the aircraft.

Ozone (O₃) is measured in-flight with a custom made UV-photometer. These measurements are integrated over the TRAC sampling times to obtain the O₃ mixing ratio (m(O₃)) for each TRAC sample, which is then used to estimate the height above the thermal TP (Brenninkmeijer et al., 2007; Sprung and Zahn, 2010).

Meteorological plots and trajectory calculations are routinely produced for each CARIBIC flight by the KNMI (van Velthoven, 2009), based on European Centre for Medium Range Weather Forecasts (ECMWF) data. Mean trajectory parameters were calculated for each sample by averaging over 15 trajectories.

### 2.2 GHG analysis

The samples that are collected in the TRAC units are routinely analyzed for CH₄, CO₂, N₂O and SF₆ mixing ratios (m(...)) at the Max Planck Institute for Chemistry in Mainz on a gas chromatography system. This uses an HP 6890 gas chromatograph with two simultaneously operated channels: one to measure CO₂ and CH₄ with a Flame Ionization Detector (FID) and one to measure N₂O and SF₆ with an Electron Capture
Detector (ECD). Four injections are made for each sample, and the repeatability for each datapoint is determined from the standard deviation of the four injections. The measurement of each sample is bracketed by the measurement of a laboratory working gas to detect any instrument drift. These laboratory working gases are calibrated against NOAA standards. $m$(CH$_4$) values reported in this paper are on the NOAA 2004 scale, and $m$(N$_2$O) values are on the NOAA 2006 scale.

### 2.3 $m$(H$_2$) and $\delta$D analysis

A subset of the samples collected by the TRAC, 490 in total, was sent to the isotope laboratory of the Intitute for Marine and Atmospheric Research Utrecht (IMAU). Here, air samples are routinely analyzed for $m$(H$_2$) and $\delta$D with a Gas Chromatography Isotope Ratio Mass Spectrometry (GC-IRMS) system as described in (Rhee et al., 2004) following a day-to-day procedure as described in (Batenburg et al., 2011). Briefly, in each acquisition the H$_2$ is separated from the air matrix and isotopically analysed in the following 4 steps.

1. Trapping of all air components excluding helium, hydrogen, neon and a trace of nitrogen in an $\approx$ 0.5 l (STP) aliquot of sample air by exposure to a $\approx$ 40 K cold head.

2. Pre-concentration of the H$_2$ from the head space of the cold head by flushing it with helium through a trap filled with 5 Å molecular sieve kept at $\approx$ 63 K by submersion in liquid nitrogen at reduced pressure.

3. Focussing of the H$_2$ pulse in a cryo-focus trap consisting of a steel-jacketed 5 Å molecular sieve capillary submersed in liquid nitrogen (77 K) and final purification on a 5 Å molecular sieve gas chromatography column kept at 323 K.

4. Injection of the purified H$_2$ through an open split interface into an IRMS (ThermoFinnigan Delta plus XL) for determination of the D/H ratio.
469 samples were successfully analyzed for $m$(H$_2$) and $\delta$D. 10 of these samples were collected below 6 km altitude during a special flight to investigate the plume of the 2010 Eyjafjallajökull eruption and are left out of further analysis.

Measurements of air from laboratory reference air bottles, as well as blank measurements, were typically performed every operational day following the same procedure as for the samples. The 5-day moving average values of these reference measurements were used to calculate the corrected $m$(H$_2$) and $\delta$D for each sample. From measurements of other samples on this system it was concluded that measurements performed from June 2010 onwards had a positive bias in $\delta$D (Batenburg et al., 2011). An empirically determined offset of 9.5 permil was therefore subtracted from all CARIBIC $\delta$D datapoints measured after this time. To the $m$(H$_2$) data a linear empirical correction formula was applied that was derived from a comparison of the GC-IRMS results with results from collaborating laboratories within the EUROHYDROS project. The estimated standard deviation (1σ) in the measurements of $m$(H$_2$) is 2.5 % (relative), and the estimated standard deviation in $\delta$D is 4.5 permil (absolute).

2.4 Selection of stratospheric and polluted samples

Sprung and Zahn (2010) presented a method to translate the observed $m$(O$_3$) for a sample to an estimate of the vertical distance above the thermal TP by interpolation from vertical O$_3$ profiles observed during balloon soundings at 12 ground stations (Sprung and Zahn, 2010). This estimate can be made for any sample with an $m$(O$_3$) higher than at the local thermal TP. All 123 TRAC samples for which this estimate could be made are considered “stratospheric”, the 336 others are considered “tropospheric”. This selection criterion was chosen to accurately resolve the chemical fine structure of the TP.

For some samples, often taken close to take-off and landing of the aircraft, the GC-IRMS analysis yielded very high $m$(H$_2$) and very low $\delta$D values, indicating that these samples were affected by pollution. Samples were flagged as polluted by an iterative process that was applied separately to the tropospheric and stratospheric samples,
as follows: samples that were more than three standard deviations below the (unweighted) average value of $\delta D$ or three standard deviations above the (unweighted) average $m(H_2)$ were filtered out of a list of the data, after which new averages and standard deviations were calculated. This was repeated until the averages did not change anymore, and the resulting averages were used to label each sample that showed a $\delta D$ value more than three standard deviations below the new $\delta D$ average, or an $m(H_2)$ value more than three standard deviations above the new $m(H_2)$ average as polluted. 2 (out of 123) stratospheric and 26 (out of 336) tropospheric samples were labelled as polluted by this process.

3 Results and discussion

The geographical distribution of the analyzed samples is shown in Fig. 1. The color scale indicates the measured $\delta D$, and the type of marker indicates whether the sample is tropospheric or stratospheric. It can be seen that due to the use of one base airport, the area that is covered is not global, although it is large. Only one of the analyzed stratospheric samples was collected in the Southern Hemisphere, and no samples were collected over the Pacific Ocean. Europe and the North Atlantic are the best covered parts, and also the Middle East and Central Asia are reasonably well covered. Except the samples that were collected below 6 km altitude and were left out of the analysis, all samples were collected between 6.9 and 12.3 km altitude, with an average altitude of $10.9 \pm 0.8 \ (1\sigma) \ km$. The months in which the largest number of analyzed samples were collected are May, July and September, whereas none of the samples were collected in December, January and February.

As a representative example of the collected data, Fig. 2 shows the $m(H_2)$ and $\delta D$ values that were measured on those samples that were collected on flights to Caracas (Venezuela). The flights from April 2009 and September 2009 show the pollution phenomenon discussed above; in both return flights, one sample that is taken close to Frankfurt airport ($50.1^\circ \ N$) shows very high $m(H_2)$ and very low $\delta D$ values (off the
Another feature that is illustrated by these plots is that the stratospheric samples (shown with open symbols) often show a marked elevation in $\delta D$ with respect to the tropospheric samples as a result of stratospheric processing, whereas not much difference is seen in $m(H_2)$. In fact, the average $\delta D$ value of the unpolluted stratospheric samples ($151 \pm 18 \permil$) is $18 \permil$ larger than the average of the unpolluted tropospheric samples ($133 \pm 7 \permil$). The difference between the $m(H_2)$ averages is less than 10 ppb, which is small compared to the 17 ppb standard deviation on the averages.

### 3.1 Pollution signatures

In the total dataset of 459 successful measurements, 28 samples were labeled as polluted by the iterative selection procedure. Eight of these samples were in the first canister (1 or 15) of a TRAC sample unit, and eight were in the last (14 or 28). These two endcanisters are filled closest to the departure or destination airport (of the total of 14 canisters in a TRAC sample unit). Clearly, this pollution effect has a very strong tendency to occur there. Typically, the first sample in the sample unit is collected at the lowest altitude, as the aircraft usually follows a slightly upward sloping flight route. Therefore, pollution from the boundary layer was a suspected cause. However, a study of the calculated backward trajectories of these samples showed that only a minority of the outlying samples (eight, of which five in the first TRAC sample unit canister) had possible boundary layer influence.

Because of this, and because of the strong tendency to occur in the vicinity of airports where a large concentration of airplanes are present, we consider the interception of exhaust plumes of other aircraft in the flight corridor a possible cause. As exhaust plumes are occasionally seen by equipment in the container as sharp spikes in NO$_x$ and NO$_y$ (Ziereis et al., 2000), we inspected all data to see if the finding of polluted H$_2$ coincided with NO$_x$ and NO$_y$ spikes. Unfortunately, the NO$_x$/NO$_y$ measurements often began (just) after the first canister was filled, and ended before the last, so for the polluted samples in the first and last canisters of the TRAC sampling unit this information was often not available. For the polluted samples for which NO$_x$/NO$_y$ measurements...
were available, a clear relation between polluted samples and NO$_x$/NO$_y$ spikes was not found. Aerosol data were more often available (Brenninkmeijer et al., 2007), but no clear relation was found with either N4–12, N12 or N18 particle numbers (numbers indicate particle size in nm). Because of this lack of correlation with other species, the origin of these pollution signatures cannot be definitively established.

An artifact in the measurements system is unlikely, because the measurements of these samples proceeded without peculiarities. The TRAC samples are kept in glass flasks connected to Valco multiposition valves with stainless steel tubing. Gerst and Quay (2000) found that D-depleted H$_2$ can form in metal cylinders, possibly associated with water vapor in the sample. However, the surface of stainless steel in the TRAC tubing and valves is very small. So although the formation of H$_2$ from water vapor on the metal parts could explain why the phenomenon so often occurs at the beginning and the end of flights, where the water vapor levels are (much) higher than during the rest of the flight (as the flight altitude is lower), it is not a likely process. Moreover, the occurrences of such pollution effects in intermediate canisters were not associated with water vapor peaks.

To determine the isotopic signature of the pollution source, a so-called Keeling plot was made ($\delta$D plotted against inverse $m$(H$_2$)) of the datapoints that met the pollution criterion (Fig. 3). One sample that was taken close to Cape Town and had both an elevated $m$(H$_2$) and an elevated $\delta$D value was left out of this plot. The marker type indicates to which category of can (closest to takeoff, closest to touchdown or intermediate) the sample belongs, and the marker color indicates sampling altitude. It can be observed that the samples that have the clearest pollution signatures were in the endcanisters. We also note that the samples closest to takeoff are usually collected at lower altitudes (color scale), but that there is no clear relation between sampling altitude and the degree of pollution.

The linear fit to this Keeling plot was made using the Williamson-York (W-Y) algorithm described by Cantrell (2008). In contrast to a simple least-squares fit, this algorithm takes the variance and the estimated uncertainties in both the x- and the y-values of
the data into account. This fit yields a very depleted source signature \((-415 \pm 31)\,^\circ\text{oo},\ y\text{-axis intercept}) for the H\(_2\) produced by the pollution source. This is very low compared to the commonly accepted values for fossil fuel or biomass burning emissions (see Table 1). A simple, unweighted least-squares fit to these datapoints yields a source signature of \((-336 \pm 35)\,^\circ\text{oo},\) which is also quite low. No data on the isotopic composition of H\(_2\) produced by combustion of kerosine in jet engines are available, and whether the deuterium signature of H\(_2\) produced by jet engines may be lower than of H\(_2\) from other fossil fuel combustion sources is unknown.

3.2 The stratosphere

The sampling location of each of the 123 selected stratospheric samples is indicated in Fig. 1 by circles, with the color representing \(\delta D\) according to the color scale. Most of the stratospheric samples that are at the high end of this scale were taken on a flight to Denver (USA) in May 2009. The aircraft reached high latitudes (> 70° N) en route to this destination. Samples collected at higher latitudes have on average higher \(\delta D\) values, firstly because the TP slopes down with latitude and the aircraft therefore penetrates more deeply into the stratosphere at higher latitudes, and secondly because the average stratospheric age of the air masses is higher at higher latitudes due to the general poleward pattern of stratospheric circulation (Holton et al., 1995).

The average stratospheric age of air generally increases with distance above the TP, and therefore stratospheric \(\delta D\) values also increase with altitude. For all stratospheric samples, an \(O_3\)-derived estimate of the height above the TP was available (in fact, this is how these samples were selected, see Sect. 2.4). The \(O_3\)-derived altitude can be considered as a measure of the degree of mixing between low-\(O_3\) air from the troposphere and high-\(O_3\) air from the stratosphere. When it is used to plot \(m(H_2)\) and \(\delta D\) against mean trajectory latitude and height (Fig. 4a,b) it is clearly visible that \(\delta D\) increases with distance to the TP, whereas \(m(H_2)\) does not. These figures also show that with the CARIBIC sampling method, the samples collected at the largest distance above the TP are generally collected at the highest latitudes. Figure 4c shows the
seasonal variation in the $m$(CH$_4$) profile in the measured samples. In Northern Hemisphere (NH) spring subsidence occurs of air masses with low $m$(CH$_4$). This pattern is almost exactly inversely mirrored in the seasonal variation in the δD profiles in Fig. 4d; in NH spring, subsidence occurs of air with D-enriched H$_2$.

Both N$_2$O and CH$_4$ have sinks in the stratosphere. CH$_4$ has no stratospheric sources; N$_2$O may have a very small stratospheric source (Estupiñán et al., 2002). $m$(CH$_4$) and $m$(N$_2$O) can therefore be used as an indicator of average stratospheric age of an air parcel. Plotting δD against $m$(N$_2$O) and $m$(CH$_4$) (Fig. 5) shows very compact negative correlations for δD and these two greenhouse gases. There are no correlations with $m$(H$_2$) (not shown); no systematic spatial or temporal $m$(H$_2$) variation is observed in these data. So as the air ages, N$_2$O and CH$_4$ are destroyed, and H$_2$ becomes progressively D-enriched while its mixing ratio remains almost unchanged. Plumb (2007) showed that tight correlations exist between many long-lived stratospheric species. H$_2$, CH$_4$ and N$_2$O all have chemical lifetimes that are longer than the transport times in the lower stratosphere, and as a result their distributions are determined by transport and/or mixing processes rather than by chemistry. This explains the tightness of the observed correlations for both CH$_4$, which has a chemical link with H$_2$, and N$_2$O, which has none. We note that these correlations show that δD has potential for use as a tracer for stratospheric transport, just like $m$(N$_2$O) and $m$(CH$_4$).

Correlations between δD and mixing ratios of other stratospheric species were previously found by Rahn et al. (2003), Röckmann et al. (2003) and Rhee et al. (2006a). This link has been used to parameterize stratospheric δD (Pieterse et al., 2011). Comparisons of the CARIBIC data to the (Rahn et al., 2003) and (Röckmann et al., 2003) data are shown in Table 2 and Fig. 6. Methane mixing ratio data ($m$(CH$_4$)) from (Rahn et al., 2003) and (Röckmann et al., 2003) were multiplied by a factor of 1.0124 to convert them to the NOAA2004 scale. In the δD vs. $m$(CH$_4$) correlation plot (Fig. 6), a small offset occurs between the (Rahn et al., 2003) data and the new data presented here. From 2000 to 2009, the globally averaged $m$(CH$_4$) increased by ≈ 15 ppb (Dlugokencky et al., 2009). The offset between the CARIBIC and the (Rahn et al., 2003) correlation
seems considerably larger than that, and therefore it is likely that the largest part of the offset is caused by inter-laboratory differences. The slopes of the two correlations are outside of each others 2σ intervals as well.

The agreement between the (Röckmann et al., 2003) data and the CARIBIC data is better. At 130%o, close to the tropospheric average, the difference in m(CH4) between the two correlation lines is ≈ 25 ppb, which is of the same order of magnitude as the tropospheric CH4 increase, and also the slopes agree within 1σ. That relatively good agreement between the correlations of δD of H2 with other species is found in samples of very different campaigns, at different altitudes and in different regions of the globe (see Table 2), indicates that these very likely hold globally. We expect, therefore, that the approach to approximate δD from an empirical correlation with another species (as, for example, in Pieterse et al., 2011, where the relation from McCarthy et al., 2004, is used) should give reasonable results as long as the parametrization that is used is appropriate.

When the average value of m(H2) for the dataset is used to calculate m(HD) from the δD data and a straight-line (W-Y) fit is applied, the CARIBIC data yield:

\[ m(\text{HD}) \text{ [ppb]} = (-6.10 \cdot 10^{-5} \pm 2.5 \cdot 10^{-6}) \cdot m(\text{CH}_4) \text{ [ppb]} + 0.308 \pm 4.4 \cdot 10^{-3} \]  

which is only slightly less steep than the relation proposed by McCarthy et al. (2004). We suggest that the relation found in the CARIBIC data discussed here may be the most appropriate choice for parameterizing δD of H2 that is mixed into the troposphere from the stratosphere, since all CARIBIC data are collected close to the region of interest for STE (i.e., just above the TP).

### 3.3 Indian summer monsoon

Six of the analysed flights had Chennai (formerly Madras, India) as the destination airport. Of these flights, three took place in the summer monsoon season of 2008. Schuck et al. (2010) noted a marked increase in the mixing ratios of greenhouse gases over the Indian subcontinent in the summer monsoon period. This increase was most
prominent in CH$_4$ and was attributed to increased microbial production of CH$_4$ in the wet season and increased transport of boundary layer air to cruising altitude by convection.

The $m$(CH$_4$) data are plotted in Fig. 7a; the increase of methane levels in the wet season (blue tones) with respect to the dry season (red tones) can be seen clearly. The $m$(H$_2$) and $\delta$D data are plotted in Fig. 7b,c. An increase in $m$(H$_2$) is not apparent in these data, but a clear shift occurs in $\delta$D. Monsoon samples seem at least 10\% more depleted than non-monsoon samples. Figure 8a shows the $\delta$D plotted against $m$(CH$_4$) values for the three monsoon flights. A remarkably strong correlation is found between the two quantities. The correlation is not as strong as in the stratosphere, but clearly significant. The strong connection between the increase in $m$(CH$_4$) and the decrease in $\delta$D suggests that the processes that cause the D-depletion coincide in time and space with the processes that cause the $m$CH$_4$ increase.

Changes in $\delta$D at cruise altitude can be caused by changes in different variables, most importantly the strength and isotopic composition of H$_2$ emissions at the ground and the efficiency of upward convective transport. Especially since there are no measurements of $\delta$D at the surface in India during this season, it is not possible to fully calculate how much each process contributes to the upper troposphere D-depletion. Nevertheless, we next explore the most likely scenarios.

Rhee et al. (2006b) argued that in biomass burning plumes from forest fires $\delta$D could be depleted while $m$(H$_2$) remains almost the same if a sink process such as soil uptake compensates for the mixing ratio effect of the source. Neither increased biomass burning nor increased soil uptake are likely in the Indian summer monsoon. The biomass burning maximum in India is in winter rather than summer (van der Werf et al., 2003), and the uptake of (H$_2$) is hindered in very wet soils, as the reactive H$_2$-destroying sites in the soil pores are then covered in layers of water. Schmitt et al. (2009) reported that soil moisture is the major parameter controlling H$_2$ uptake by soils, with a secondary role for temperature; it is therefore unlikely that an increased enzymatic activity due to increased temperatures in the monsoon could compensate for the decrease in diffusion
of \( H_2 \) to the reactive sites.

A second possible explanation is that \( H_2 \) from fossil fuel combustion or household biomass burning emissions, that are not expected to vary much with season, is transported much more efficiently to cruise altitude due to the monsoon increase in convection. To assess this possibility we use the mass balance equation for mixing of polluted air with \( m_p(H_2) \) and \( \delta_pD \) with background air with \( m_{bg}(H_2) \) and \( \delta_{bg}D \), resulting in a mixture with \( m_{p+bg}(H_2) \) and \( \delta_{p+bg}D \):

\[
(m_p(H_2) + m_{bg}(H_2))\delta_{p+bg}D = m_p(H_2) \cdot \delta_pD + m_{bg}(H_2) \cdot \delta_{bg}D
\]

If the combustion emissions are assumed to have an average \( \delta D \) of \(-230\ \permil\) (Table 1), and background values are assumed to be 560 ppb and \(+135\ \permil\), it can be estimated from this mass balance equation that the observed \( \approx 15\ \permil \) depletion would in this case be accompanied by an \( \approx 24 \) ppb increase in \( m(H_2) \), which is not observed. For the same reason as discussed above for forest fires, it is unlikely that soil uptake is compensating for the increase.

A third explanation is that extremely depleted \( H_2 \) from microbial production is added to the mixture. Microbially produced \( H_2 \) has a source signature of \((-712 \pm 13)\ \permil\) (Walter et al., 2011). With this, it can be calculated that if the observed depletion were caused exclusively by microbial production, it would be accompanied by an \( m(H_2) \) increase of 10 ppb, which is within the error range of our \( m(H_2) \) measurements. Also the correlation between \( \delta D \) and \( m(CH_4) \) is in agreement with production of \( (H_2) \) in the same wet soils, rice paddies or wetlands where \( (CH_4) \) production takes place.

If we assume that all of the D-depletion is caused by \( H_2 \) from microbial production and \( m_{bg} \approx m_{bg} + m_p \), we can use the mass balance equation (Eq. 3) to estimate the associated \( m(H_2) \) increase, \( \Delta m(H_2)_{\text{microbial}} \). Figure 8b shows \( \Delta m(H_2)_{\text{microbial}} \) plotted against \( m(CH_4) \). A linear (W-Y) fit shows that in this case, the \( \frac{H_2}{CH_4} \) emission ratio is \( 0.075 \pm 0.009 \). According to the EDGAR database (V4.2, http://edgar.jrc.ec.europa.eu/datasets_list.php?v=42), 8.50 Tg of \( CH_4 \) was produced in India in 2008 by rice cultivation and wastewater treatment. Our emission ratio estimate implies a concomitant
production of 0.64 Tg H$_2$. This is a large number compared to the 3 Tg estimate for the global annual H$_2$ production from N$_2$ fixation that is often assumed in H$_2$ budgets (Table 1), but the uncertainty estimate for this term is also large (3 Tg). It should also be noted that the estimates for this term are largely based on a study by Conrad and Seiler (1980) where H$_2$ production measurements at two stations in Germany were scaled up to a global estimate. Little is known about the variation in H$_2$ production between regions and ecosystems.

4 Conclusions

The large suite of measurements of $m$(H$_2$) and $\delta$D on samples taken onboard the CARIBIC aircraft demonstrates the usefulness of studying $\delta$D of H$_2$ in the UTLS region. A few samples had unexpectedly high $m$(H$_2$) and low $\delta$D values. This tends to occur close to the departure or destination airports. We hypothesize that some of these events may be caused by the interception of exhaust plumes from other aircraft. The source signature of this pollution ($(-415 \pm 31) \%$) is on the low end of what is expected for the combustion of fossil fuels. However, because no relation is found with species that are usually expected in aircraft exhaust plumes, a measurement or sampling artifact cannot be excluded, although the measurements of these samples proceeded without other peculiarities.

More than 150 datapoints were obtained from the LMS, which greatly expands the existing $\delta$D dataset from this region. The $\delta$D data show a tight correlation with $m$(N$_2$O) and $m$(CH$_4$). The relation with $m$(CH$_4$) follows the line $\delta$D [%] = ($-0.35 \pm 0.01$) $\cdot m$(CH$_4$) [ppb] + 768 $\pm$ 25, which is close to the relation found by Röckmann et al. (2003), but somewhat steeper than the (Rahn et al., 2003) results. Since the correlations presented in this paper are extremely compact and based on samples from many different locations around the world around the extra-tropical TP, they should hold nicely for parameterizing STE in models.

A $\delta$D depletion by up to 15 \% was found in the Indian summer monsoon, without
an accompanying increase in \( m(\text{H}_2) \). Increased convective transport in the monsoon season likely plays a role in this effect, as it transports D-depleted \( \text{H}_2 \) from combustion sources in the boundary layer more effectively to cruise altitude. We hypothesize that also increased microbial activity in the wet season contributes to it, as this could explain the absence of a simultaneous (perceptible) increase in \( m(\text{H}_2) \). This requires further study, and we recommend investigations into possible \( \text{H}_2 \) production in wet South Asian soils. Also, regular observations at a ground station in India would close a geographical gap in the ground station observations and could provide useful comparison material for the data presented here.

Continuation of the \( \delta D \) measurements on CARIBIC samples may help provide the global coverage needed to validate global models, and may also resolve the seasonal and latitudinal variation of \( \delta D \) in the upper troposphere and the lowermost stratosphere better.

Acknowledgements. We received financial support from Dutch NWO-ACTS project 053.61.026. The CARIBIC project would not be possible without the support of Lufthansa. We thank Thom Rahn for discussing scale issues with us, Armin Rauthe-Schöch for the calculation of mean trajectory parameters, and all the other participants in CARIBIC for many useful discussions.

A wealth of information on the CARIBIC project can be found on http://www.caribic-atmospheric.com.

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Rahn, T., Eiler, J. M., Kitchen, N., Fessenden, J. E., and Randerson, J. T.: Concentration and $\delta D$ of molecular hydrogen in boreal forests: ecosystem-scale systematics of atmospheric
δD(H₂) in the tropopause region
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### Table 1. The global H$_2$ budget and isotope budget estimated by Pieterse et al. (2011).

<table>
<thead>
<tr>
<th>Sources</th>
<th>Magnitude (Tg H$_2$ yr$^{-1}$)</th>
<th>$\delta$D (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fossil fuel combustion</td>
<td>$17.0^{+3}_{-6}$</td>
<td>$-196^{+10}_{-74}$</td>
</tr>
<tr>
<td>Biomass burning</td>
<td>$15.0^{+5}_{-5}$</td>
<td>$-260^{+60}_{-60}$</td>
</tr>
<tr>
<td>Ocean N$_2$ fixation</td>
<td>$5.0^{+1}_{-2}$</td>
<td>$-628^{+0}_{-72}$</td>
</tr>
<tr>
<td>Land N$_2$ fixation</td>
<td>$3.0^{+3}_{-3}$</td>
<td></td>
</tr>
<tr>
<td>Photochemical production</td>
<td>$37.3$</td>
<td>$+116$</td>
</tr>
<tr>
<td>Total sources</td>
<td>$77.3$</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Sinks</th>
<th>Magnitude (Tg H$_2$ yr$^{-1}$)</th>
<th>Fractionation coefficient ($\alpha = \frac{k_{HD}}{k_{HH}}$)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Photochemical removal</td>
<td>$22.1$</td>
<td>$0.542$</td>
</tr>
<tr>
<td>(mainly by OH)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Deposition to soils</td>
<td>$55.8$</td>
<td>$0.925$</td>
</tr>
<tr>
<td>Total sinks</td>
<td>$77.9$</td>
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</tr>
</tbody>
</table>
Table 2. Details of the datasets and correlations between $\delta D$ or $m$(HD) and methane from different publications. Methane mixing ratio data ($m$(CH$_4$)) from (Rahn et al., 2003) and (Röckmann et al., 2003) were multiplied by a factor of 1.0124 to convert them to the NOAA2004 scale. All fits were made with the Williamson-York algorithm described by Cantrell (2008). No error estimates for the individual measurements were taken into account for the literature values.

<table>
<thead>
<tr>
<th>Author</th>
<th>Campaign description</th>
<th>$\delta D$(H$<em>2$)[%$</em>\circ$]-CH$_4$[ppb] correlation</th>
<th>HD[ppb]-CH$_4$[ppb] correlation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rahn et al. (2003)</td>
<td>Research aircraft</td>
<td>($-0.28 \pm 0.02$) $x$ +614 $\pm$ 20</td>
<td>($-4.5 \cdot 10^{-5} \pm 3 \cdot 10^{-6}$) $x$ +0.259 $\pm$ 0.003</td>
</tr>
<tr>
<td></td>
<td>11–21 km</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>65–80°N 11–63°E</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Jan-Mar 2000</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Röckmann et al. (2003)</td>
<td>Balloon</td>
<td>($-0.33 \pm 0.01$) $x$ +723 $\pm$ 7</td>
<td>($-5.55 \cdot 10^{-5} \pm 8 \cdot 10^{-7}$) $x$ +0.287 $\pm$ 0.001</td>
</tr>
<tr>
<td></td>
<td>12–33 km</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>43.7°N 0.3°W</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Okt 2002</td>
<td></td>
<td></td>
</tr>
<tr>
<td>This work</td>
<td>Commercial aircraft</td>
<td>($-0.35 \pm 0.01$) $x$ +768 $\pm$ 25</td>
<td>($-6.1 \cdot 10^{-5} \pm 3 \cdot 10^{-6}$) $x$ +0.308 $\pm$ 0.004</td>
</tr>
<tr>
<td></td>
<td>6.5–12.5 km</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>$\approx$ global</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>2007–2010</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Fig. 1. Sampling locations of all samples. The color scale indicates the measured value of δD. The destination airports of the flights are indicated with black crosses and names. Marker type indicates if the sample is tropospheric (□) or stratospheric (○), as determined by the O₃-derived height above the tropopause (TP) (Sprung and Zahn, 2010). Some polluted samples are off the scale (δD < 100‰).
Fig. 2. Latitudinal distribution of $m(H_2)$ (a) and $\delta D$ (b) data from samples that were collected on flights to Caracas, Venezuela. Stratospheric samples are indicated with open symbols. Two (polluted) samples are off the scales.
Fig. 3. Keeling plot ($\delta D$ vs. inverse $m(H_2)$) for those samples that were labeled as polluted with the iterative selection procedure. The solid line is a linear fit through all the data with the “Williamson-York” (W-Y) algorithm that takes the variance and the estimated errors in both the $x$- and $y$-direction into account (Cantrell, 2008). The $1\sigma$ interval around the axis intercept of the fit is indicated in grey on the vertical axis. The marker type indicates in which part of a flight the sample was taken; the marker color indicates sampling altitude.
Fig. 4. Distributions of (a): $m$(H$_2$), (b): $\delta$D(H$_2$), (c): $m$(CH$_4$) and (d): $\delta$D(H$_2$) in the stratospheric samples as function of mean 5-day trajectory latitude (a, b) or time of year (c, d) and height above the thermal TP as calculated from the O$_3$ measurements following the method of Sprung and Zahn (2010).
$\delta D(H_2)$ in the tropopause region

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**Fig. 5.** $\delta D$ plotted against $N_2O$ (**a**) and $CH_4$ (**b**). Solid lines are W-Y fits (Cantrell, 2008). Different colors and markers indicate different sampling latitude bands. Open symbols denote outlying datapoints that were left out of the fitting procedure.
Fig. 6. $\delta D$ plotted against $m(\text{CH}_4)$, together with values from Rahn et al. (2003) and Röckmann et al. (2003) for comparison. Literature values were multiplied by a factor of 1.0124 to convert them to the NOAA2004 scale. Fits are made with the W-Y algorithm (Cantrell, 2008), without error estimates of the individual measurements for the literature values. Open symbols denote outlying datapoints that were left out of the fitting procedure.
Fig. 7. $m(\text{CH}_4)$ (a), $m(\text{H}_2)$ (b) and $\delta D$ (c) for the samples taken on flights to Chennai south of 40°N in the monsoon season (blue tones) and outside the monsoon season (red tones) of 2008.
Fig. 8. (a): $\delta D$ plotted against $m(\text{CH}_4)$ for the samples taken on flights to Chennai south of $40^\circ$ N in the summer monsoon season. (b): Estimate of amount of $\text{H}_2$ (as an $m(\text{H}_2)$ increase) produced by monsoon-related microbial processes under the assumption that all D-depletion is caused by microbially produced $\text{H}_2$ with a source signature of $\delta D$ of $-712$ permil (Walter et al., 2011), plotted against $m(\text{CH}_4)$. Solid lines indicate W-Y fits (Cantrell, 2008). The open symbol indicates a sample with a back trajectory originating outside of the monsoon system that was not taken into account in the fits.