The kinetics and mechanism of an aqueous phase isoprene reaction with hydroxy radical

D. Huang, X. Zhang, Z. M. Chen, Y. Zhao, and X. L. Shen

State Key Laboratory of Environmental Simulation and Pollution Control, College of Environmental Sciences and Engineering, Peking University, Beijing 100871, China

Received: 9 March 2011 – Accepted: 9 March 2011 – Published: 11 March 2011

Correspondence to: Z. M. Chen (zmchen@pku.edu.cn)

Published by Copernicus Publications on behalf of the European Geosciences Union.
Abstract

Aqueous phase chemical processes of organic compounds in the atmosphere have received increasing attention, partly due to their potential contribution to the formation of secondary organic aerosol (SOA). Here, we analyzed the aqueous oxidation of isoprene in clouds and its reaction products, including carbonyl compounds and organic acids. We also performed a laboratory simulation to improve our understanding of the kinetics and mechanisms for the products of aqueous isoprene oxidation that are significant precursors of SOA; these included methacrolein (MACR), methyl vinyl ketone (MVK), methyl glyoxal (MG), and glyoxal (GL). We used a novel chemical titration method to monitor the concentration of isoprene in the aqueous phase. We used a box model to interpret the mechanistic differences between aqueous- and gas-phase OH radical-initiated isoprene oxidations. Our results were the first demonstration of the rate constant for the reaction between isoprene and OH radical in water, 3.50 (±0.98) × 10^9 M⁻¹ s⁻¹ at 283 K. Molar yields were determined based on consumed isoprene. Of note, the ratio of the yields of MVK (18.9 ± 0.8%) to MACR (9.0 ± 1.1%) in the aqueous phase isoprene oxidation was approximately double that observed for the corresponding gas phase reaction. We hypothesized that this might be explained by a water-induced enhancement in the self-reaction of a hydroxy isoprene peroxyl radical (HOCH₂C(CH₃)(O₂)CH = CH₂) produced in the aqueous reaction. The observed yields for MG and GL were 11.4 ± 0.3% and 3.8 ± 0.1%, respectively. Model simulations indicated that several potential pathways may contribute to the formation of MG and GL. Finally, oxalic acid increased steadily throughout the course of the study, even after isoprene was consumed completely. The observed yield of oxalic acid was 26.2 ± 0.8% at 6 h. The observed carbon balance accounted for ~50% of the consumed isoprene. The presence of high-molecular-weight compounds may have accounted for a large portion of the missing carbons, but they were not quantified in this study. In summary, our work has provided experimental evidence that condensed water could affect the distribution of oxygenated organic compounds produced in the oxidation of volatile
organic compounds. If volatile organic compounds like isoprene and terpenes undergo aqueous oxidation to a larger extent than considered previously, the contribution of their atmospheric aqueous oxidation should be considered when constructing future models of the global SOA budget.

1 Introduction

Since the 1980s, scientific attention has focused on chemical processes of organic compounds found in the atmospheric aqueous phase, including clouds, fog, rain, and aqueous aerosols. A considerable number of field and laboratory studies have investigated these processes (e.g., Chameides and Davis, 1982; Gill et al., 1983; Jacob, 1986; Crahan et al., 2004; Yu et al., 2005; Carlton et al., 2006; Legrand and Puxbaum, 2007; Altieri et al., 2008; Liu et al., 2009; Enami et al., 2009, 2010; Zhang et al., 2010). Several specific aqueous phase chemical models (e.g., Jacob, 1986; Lelieveld and Crutzen, 1991; Walcek et al., 1997) and aqueous phase mechanisms (Ervens et al., 2003; Herrmann et al., 2005) were established to understand the aqueous phase chemical processes and to explore the impact of these processes on atmospheric chemistry. Over the past decade, the aqueous phase processes of some organic compounds have been recognized as potentially significant sources of secondary organic aerosol (SOA) (Hallquist et al., 2009). However, previous studies mainly focused on highly-soluble oxygenated volatile organic compounds (OVOCs), including methanol, pyruvic acid (PA), glyoxal (GL), methyl glyoxal (MG), and glycolaldehyde, and their contributions to SOA (e.g., Monod et al., 2000; Carlton et al., 2006, 2007; Altieri et al., 2008; Perri et al., 2009). Based on the assumption that the reactants must enter into aqueous solution before the reactions occur, Henry's law constant has been considered a key parameter in the control of aqueous phase chemical processes for organic compounds. In recent years, however, several studies have investigated aqueous ozonolysis (Chen et al., 2008) and OH-initiated oxidation (El Haddad et al., 2009; Liu et al., 2009; Michaud et al., 2009; Zhang et al., 2010) of several moderately-soluble OVOCs.
(e.g., methacrolein [MACR] and methyl vinyl ketone [MVK]). Those studies suggested that these reactions could potentially contribute to SOA formation in clouds. Quite recently, several other works investigated the aqueous chemistry of poorly-soluble volatile organic compounds (VOCs), like terpenes, which account for a significant fraction of biogenic VOCs (Zhang et al., 2009; Enami et al., 2010). Those studies aimed to find a new pathway for the transformation of VOCs into SOA. In fact, a number of studies investigated the ozonolysis of alkenes and isoprene under high relative humidity conditions; those studies revealed that the production of peroxydes and carbonyls significantly increased under humid conditions (e.g., Neeb et al., 1997; Sauer et al., 1999). In addition, it has been suggested that the effects of water on SOA formation would be reversed with the ozonolysis of different substances (Na et al., 2006; Warren et al., 2009). Also, the photochemical reactions of several VOCs, including isoprene (Böge et al., 2006) and aromatics (Kroll et al., 2007; Ng et al., 2007), in the presence of wet seed particles in the chamber were investigated for SOA formation. However, those works did not distinguish the aqueous phase chemical processes from those of the gas phase; nevertheless, they observed that the wet aerosols facilitated the degradation of the poorly-soluble VOCs. Currently, little information is available on the aqueous phase chemical processes of poorly-soluble organic compounds, particularly VOCs. More studies are needed to increase our understanding of the roles of these processes in atmospheric chemistry.

Due to the hydrogen bonds between water molecules, the activity of water molecules in the condensed aqueous phase is distinct from that in the gas phase. Thus, condensed phase water molecules may have distinct effects on atmospheric reactions compared to gas phase water molecules. Generally, aqueous phase reactions can occur both in bulk water and on the surface of droplets. Considering the large global abundance of VOCs and the large collective surface of liquid droplets in the atmosphere, it may be important to study the chemical processes of VOCs on the surface of droplets. VOCs molecules and oxidants, like O$_3$ and OH radicals, are able to contact the aqueous phase simultaneously and react at the instant of contact; however,
they do not remain in the bulk portion of the droplets (Chen et al., 2008). It is difficult to investigate the kinetics and mechanisms of droplet-surface reactions of OVOCs and VOCs in the laboratory, due to the limitations of current techniques. Considering that the force of surface tension may affect water-borne molecules differently from the forces within bulk water, a reaction rate on the droplet-surface may be different from that in the bulk solution. Alternatively, the surface reaction mechanism might be similar to that observed within the bulk solution, because there are sufficient water molecules to participate in the reactions in both water-condensed scenarios.

In the present study, OH was used to initiate isoprene oxidation within the bulk water solution. The resulting small products, including carbonyls and organic acids, were characterized. This provided evidence for the formation of high-molecular-weight compounds (HMWs). In addition, a box model was used to simulate the isoprene-OH radical reaction in the aqueous phase. We intensively investigated mechanisms for the production of MACR, MVK, MG, and GL, because they are the major first- and/or second-generation products of isoprene, and they are significant precursors of SOA.

2 Experimental

2.1 Reagents and materials

The solutions were prepared with isoprene (Fluka, 99.5%); \( \text{H}_2\text{O}_2 \) (Acros, 35 wt. %); and \( \text{H}_2\text{SO}_4 \) (Beijing Chemical Plant, 98%) diluted in ultrapure water (Milli-pore). The initial concentrations of isoprene and \( \text{H}_2\text{O}_2 \) in the reactor were 20 µM and 2 mM, respectively. This highly-concentrated solution was used to facilitate the characterization of reaction products.

2.2 Apparatus and procedures

The aqueous phase photochemical reaction of isoprene and hydrogen peroxide were carried out in a 2.1 L quartz reactor; details of the apparatus were described previously.
Briefly, temperature control equipment was placed outside the reactor, and a Xenon arc lamp (300 W, Perkin Elmer) was placed above the reactor. The arc lamp was the light source for the photolysis of hydrogen peroxide. We sequentially introduced 1400 ml water, 100 ml stock hydrogen peroxide solution, and 500 ml stock isoprene solution into the reactor, for a total volume of 2.0 L. A 0.1 L gas space was maintained over the liquid level for mixing. A magneton stirred the solution during the entire experiment. The upper limit for the loss of aqueous isoprene was estimated to be 7%, based on the Henry’s law constant (0.03 M atm$^{-1}$) (Sander, 1999). Therefore, gas phase reaction interference was mostly eliminated. Every experiment lasted 6 h. The temperature of the solution in the reactor was maintained at 10 ± 0.1 °C, and the initial pH of the solution was either 7.0 or 4.0.

The gas space was maintained as small as possible, because we found that the gas-liquid exchange affected the variation of the products. In a reaction between isoprene and H$_2$O$_2$, we compared two different gas spaces with the same initial concentrations. With a gas space of 1.5 L, the amount of products increased during the photolysis process (Fig. S1 Supplement); with a gas space of 0.1 L, a fluctuating curve was observed, which showed the temporal evolution of the products. This suggested that the gas space should be maintained as small as possible to minimize the disturbance of gas phase reactions on the aqueous chemical process, particularly for species with a small Henry’s law constant.

### 2.3 Measurement of aqueous phase isoprene

The aqueous-phase isoprene measurement was based on the results from a concurrent study on the aqueous ozonolysis of isoprene (Wang et al., 2011). It was found that aqueous phase isoprene could react with ozone in solution within 5 min and could produce a series of products, including MACR and MVK. When the ozone was in excess, the MACR and MVK could be further oxidized by ozone, generating MG, PA, formaldehyde, and hydroxymethyl hydroperoxide (HMHP), as described previously (Chen et al., 2010).
2008). The stoichiometric equation of the final excess ozone reaction revealed a ratio of 1:0.86 of consumed isoprene to formed MG.

With the ozone chemical titration method, based on the ratio of consumed isoprene:formed MG, we measured the concentrations of isoprene solution in the reactor before and during irradiation. The procedure for determining the isoprene concentration was as follows: (1) The ~15 µM ozone solution was prepared before the reaction began (Chen et al., 2008); (2) 5 ml isoprene solution was removed from the reactor before and during the irradiation and was rapidly mixed with 45 ml ozone solution in a volumetric flask to ensure a molar ratio of ~5:1 of O₃ to isoprene; (3) 5 min later, a 5 ml mixture solution was removed and mixed with 10⁻³ M 2.4-dinitrophenylhydrazine (DNPH) solution; (4) after 24 h of derivation, the mixture was subjected to high-performance liquid chromatography (HPLC) to quantify the carbonyl compounds. For the concentration of isoprene before irradiation, the MG data were used directly to estimate the isoprene concentration, according to the ratio of consumed isoprene to formed MG (1:0.86). However, the sample removed during the irradiation contained residual isoprene in addition to MACR, MVK, and MG formed during the OH-initiated isoprene oxidation. Therefore, the total MG (MG_TOT) produced from ozone chemical titration of the sample comprised the MG (MG_ISO) formed by the ozonolysis of residual isoprene, the MG formed by the ozonolysis of MACR and MVK (MG_MACR and MG_MVK, respectively), and the direct MG (MG_DIR). As suggested by Chen et al. (2008), one mole of MACR or MVK was oxidized by ozone into 0.99 or 0.75 mole of MG, respectively. Based on the MG yields mentioned above, we estimated the amount of MG_ISO with the following equation:

\[ MG_{ISO} = MG_{TOT} - MG_{MACR} - MG_{MVK} - MG_{DIR} \] (1)

Then, we could obtain the residual isoprene concentration by combining the MG_ISO and the ratio (1:0.86) of consumed isoprene to produced MG_ISO.

With the ozone chemical titration method, the average initial concentration of isoprene was estimated to be 21.4 (±1.7) µM. This value was similar to the actual value.
of 20.0 µM, which was calculated by subtracting the amount of isoprene in the 500 ml stock solution from that distributed in the gas space above the reactor, on the basis of Henry’s law at 283 K. This suggested that the ozone titration method provided a reliable tool for determining isoprene concentrations in water solutions.

2.4 Control experiments

Several control experiments were conducted to discriminate the different effects of various experimental conditions: isoprene + UV, isoprene + H₂O₂, isoprene + H₂O₂ + H₂SO₄ + UV, mixed standard + H₂O₂, and mixed standard + UV. The mixed standard contained formaldehyde, GL, MG, formic acid, acetic acid, oxalic acid, and PA. We also explored the impact of the aqueous ozone on the derivatization reaction of carbonyls by mixing the reaction products with DNPH instead of ozone under our experimental conditions. The results showed that the influence of ozone on the carbonyl determination was minor (<8%).

2.5 Product analysis

The experimental system used to monitor the products has been described in detail elsewhere (Zhang et al., 2010). Briefly, carbonyl compounds were analyzed by HPLC (Agilent 1100, USA) (Wang et al., 2009), organic acids were analyzed by an ion chromatography (DIONEX 2650, USA) with an ED50 conductivity detector, and high-molecular-weight compounds were analyzed by the HP 1100 LC-MS Trap SL System which included an ion trap mass spectrometer (MS) and an electro-spray interface (ESI).

2.6 Box model for isoprene-OH reaction in aqueous phase

We used a box model for simulating the aqueous OH-initiated isoprene oxidation. This model was mainly based on the aqueous phase mechanism reported by Herrmann et al. (2005). The specific mechanism implemented in the model for the aqueous
MACR/MVK reaction was described previously (Zhang et al., 2010). However, the previous aqueous mechanism did not include the step that enabled isoprene to generate MACR and MVK. The gas phase OH-initiated isoprene oxidation production of MACR and MVK in the absence of NO\textsubscript{x} was described by Jenkin et al. (1998) in a series of detailed radical reactions. The main reactions included six hydroxy isoprene peroxyl radicals (R\textsubscript{hip}O\textsubscript{2}) that arose from the addition of OH radical to isoprene (see Scheme 1), their self- and cross-reactions, and subsequent isomerization and decomposition. We included these reactions into the aqueous mechanism of our model. Note that the rate constants of these R\textsubscript{hip}O\textsubscript{2} reactions required re-estimation, because we used water as the medium.

We determined the integral rate constant ($k_{ISO-OH,aq}$) of the aqueous isoprene-OH reaction (see Sect. 3.1), and then assigned the $k_{ISO-OH,aq}$ value to six channels, according to the method described by Jenkin and Hayman (1995). Based on the structure-activity relationship, Jenkin and Hayman suggested that the integral products of the gas phase isoprene-OH reaction were formed by the branching of six hydroxy isoprene peroxyl radicals with specific ratios (Scheme 1), including 15% for R1O\textsubscript{2}, 45% for R2O\textsubscript{2}, 5% for R3O\textsubscript{2}, 5% for R4O\textsubscript{2}, 8% for R5O\textsubscript{2}, and 22% for R6O\textsubscript{2}. Considering the suggestion that the solvent effect was virtually identical for both reactants and products (Gligorovski and Herrmann, 2004; Monod et al., 2005), we assumed that these six ratios would not change in the aqueous phase.

For the subsequent R\textsubscript{hip}O\textsubscript{2} reactions, there were no experimental values for the aqueous rate constants. However, Neta et al. (1990) reported the rate constants for a series of peroxyl radicals in aqueous solution. Thus, we selected a number of those peroxyl radicals that had structures similar to the hydroxy isoprene peroxyl radicals. The rate constants for the selected radicals were in the range of $10^7$–$10^8$ M\textsuperscript{-1}s\textsuperscript{-1} in water; this was nearly four orders of magnitude lower than the gas phase parameters (in units of cm\textsuperscript{3}mol\textsuperscript{-1}s\textsuperscript{-1}) related to the R\textsubscript{hip}O\textsubscript{2} radicals (Jenkin et al., 1998). Several studies have attempted to determine a correlation between kinetic data in gas and aqueous phase reactions in order to predict unknown aqueous kinetics with the
known gas phase kinetics (Gligorovski and Herrmann, 2004; Monod et al., 2005). Monod et al. (2005) demonstrated that the aqueous phase rate constants of oxygenated compounds were in linear proportion to the gas phase rate constants on a double-logarithmic scale. We referred to this relationship between reactions conducted in gas and aqueous phases for our calculated ratio of gas/aqueous phase rate constants of MVK and MACR (Sect. 3.2.1). We also compared our calculations to the rate constants presented in works by Neta et al. (1990) and Jenkin et al. (1998). Based on those analyses, we estimated that the rate constants (in units of M$^{-1}$ s$^{-1}$) of aqueous phase oxygenated radical reactions were four orders of magnitude lower than those (in units of cm$^3$ mol$^{-1}$ s$^{-1}$) in the corresponding gas phase reactions. Likewise, the aqueous mechanism used in the present study also included the branching ratios for propagating and terminating channels of the $R_{\text{hip}}$O$_2$ self- and cross-reactions that were presented in the gas phase reactions described by Jenkin et al. (1998).

Furthermore, we added two more pathways in the aqueous mechanism for producing MG and GL, which are referred to in two other gas phase models; i.e., the Mainz Isoprene Mechanism 2 (MIM2) (Taraborrelli et al., 2009) and the isoprene photooxidation mechanism described in the Regional Acid Deposition Model 2 (RADM2) (Zimmermann and Poppe, 1996). The rate constants of the peroxyl radical reactions were derived from the aqueous data of Neta et al. (1990) and Jenkin et al. (1998). The detailed mechanism of aqueous OH-initiated isoprene oxidation conversion into MACR and MVK products in the model is shown in Table S1 (Supplement). The subsequent oxidation mechanism of these two compounds and other small carbonyls and acids was based on the work of Zhang et al. (2010) and is not listed in the table. Here, we mainly focused on the chemical processes of isoprene, MACR, MVK, MG, and GL in the aqueous phase.
3 Results and discussion

3.1 Product analysis

3.1.1 Carbonyl compounds

A series of small molecular weight products were detected in the aqueous OH-initiated oxidation of isoprene. Among these products, we focused on the multifunctional carbonyl compounds, because they were considered to be the precursors of SOA. Figure 1a shows the temporal profiles of MACR, MVK, formaldehyde, acetaldehyde, GL, and MG. The isoprene concentration decreased gradually and was completely consumed within 100 min; in contrast, MACR and MVK, the two first-generation products, increased initially, reached maximum at 20–30 min, and then decreased gradually. Figure 2 shows the linear relation between the MACR/MVK formed and the isoprene consumed; the slopes indicated that the molar yields of MACR and MVK were 17.4 ± 0.8% and 7.7 ± 1.1%, respectively. Here, the molar yield was defined as the ratio of the molar amount of product to the molar amount of consumed isoprene. However, these two yields did not include the MACR/MVK loss due to subsequent reactions. We estimated this loss with the method suggested by Ruppert and Becker (2000); this suggested that the actual molar yields were 18.9 ± 0.8% for MACR and 9.0 ± 1.1% for MVK. Notably, these two yields significantly differed from the corresponding values for the gas phase OH-initiated isoprene oxidation (13%–38% for MVK and 15%–35% for MACR under NO_x-free conditions) (Miyoshi et al., 1994; Jenkin et al., 1998; Benkelberg et al., 2000; Ruppert and Becker, 2000; Lee et al., 2005). For comparison, the aqueous phase OH-initiated oxidation of isoprene produced a ratio of ~2:1 for MVK to MACR, or double the ratio (~1:1) observed in the corresponding gas phase reaction. This result indicated that the mechanism appeared to change in the aqueous oxidation of isoprene compared to the corresponding gas phase reaction. This suggested that condensed water may play an important role in the aqueous reaction. The detailed mechanism will be discussed in Sect. 3.2.2.
We observed that MG and GL reached a maximum concentration after 80 min of irradiation; then, MG remained at a plateau until 180 min, and GL decreased slowly (Fig. 1a). The apparent yields of MG and GL from isoprene within 80 min were estimated to be 11.4 ± 0.3% and 3.8 ± 0.1%. Interestingly, the production of MG was found to correlate well with that of GL within the first 80 min, with a linear correlation coefficient \((r)\) of 0.997. Thus, the ratio of MG to GL was 2.5 (Fig. 3). This implied that MG and GL may have come from the same source. The possible production mechanism of these two carbonyl compounds was investigated with a box model (Sect. 3.3.2).

### 3.1.2 Organic acids

We also detected organic acids, including formic acid, acetic acid, propionic acid, pyruvic acid, malonic acid, and oxalic acid (Fig. 1b). Formic acid reached a maximum concentration at 80 min and then decreased gradually; acetic acid increased gradually and then leveled off. The fates for these two organic acids were assumed to be as described in the aqueous phase reaction system reported by Zhang et al. (2010). Oxalic acid, propionic acid, pyruvic acid, and malonic acid increased gradually with time. The observed oxalic acid yield was 26.2 ± 0.8% after 6 h of irradiation; the observed yields of the C\(_3\) acids were <1%. Here, we focused on the source of oxalic acid in the reaction, because it was considered to be an important component of SOA.

It is notable that isoprene, MVK, and MACR decreased gradually to a low level (<10% maximum) within 80 min, and the aldehyde products, MG, GL, and acetaldehyde reached maximum concentrations at 80 min. In contrast, oxalic acid increased steadily, with yields of 0.2 ± 0.5% at 10 min, 1.1 ± 0.3% at 60 min, 7.7 ± 0.2% at 150 min, and 26.2 ± 0.8% at 360 min. Although the conversion of MG and GL can contribute to the formation of oxalic acid (Carlton et al., 2007; Tan et al., 2010), this conversion would only account for ~50% of the observed oxalic acid (estimated from the temporal profiles in Fig. 1). The residual portion of oxalic acid might be assigned to the oxidation of undetected aldehydes, including glycolaldehyde and glyoxylic acid (Warneck, 2003), and/or the decomposition of HMWs (Carlton et al., 2007; Zhang et al., 2010).
3.1.3 High-molecular-weight compounds

Many studies have shown that low-molecular-weight molecules that contain unsaturated carbon-oxygen double bonds, like MG, GL, glycolaldehyde, MACR, and MVK could react with OH radical in water to produce high-molecular-weight compounds (HMWs) (Altier et al., 2008; Tan et al., 2009; Perri et al., 2009; Zhang et al., 2010). We found a regular pattern of mass differences (12, 14, and 16 amu) among the ions; in related studies, this has been taken as a sign of the formation of oligomers. In the present work, MACR, MVK, GL, MG, and some organic acids were identified as the products of the aqueous isoprene-OH reaction. Thus, the formation of HMWs was expected. In aldehyde-OH studies, HMWs were found to form by esterification of a parent organic acid with several units of multifunctional carbonyls (Tan et al., 2009; Perri et al., 2009). In this study, the negative mode of HPLC-ESI-MS detected two series of ions with mass differences of 12, 14, and 16 amu in the \( m/z \) range of 30–300. The abundance of the detected product ions varied with the reaction time (Fig. 4). Ions were also detected in the positive mode of HPLC-ESI-MS, but the ion abundance was lower than the products detected in the negative mode. The acids and aldehydes could be detected as \([M–H]^-\) and \([M+H]^+\), respectively.

We also noticed three low-molecular-weight products that may have been the parent molecules; these products, \( m/z \) 73.0, \( m/z \) 85.2, and \( m/z \) 60.8, were deduced to be glyoxylic acid, methacrylic acid, and glycolaldehyde, respectively. The \( m/z \) 73.0 product was identified as glyoxylic acid based on previous studies that showed that a series of products were produced from additions of several \( C_3H_4O_2 \) (molecular weight = 72.0) to glyoxylic acid (Altieri et al., 2006; Tan et al., 2009; Perri et al., 2009). The \( m/z \) 85.2 was assumed to be the \( m/z \) 87 isomeric compound previously detected by proton-transfer reaction mass spectrometry (PTR-MS; Zhao et al., 2004; Lee et al., 2006). They identified the \( m/z \) 87 compound as \( C_4 \)-hydroxycarbonyl. With HPLC-ESI-MS analysis, we deduced that the \( m/z \) 85.2 signal detected under negative mode was methacrylic acid (\( C_4H_6O_2 \), molecule weight = 86), according to the molecular weight
and the assumption that it contained a carboxyl group. Edney et al. (2005) reported the formation of 2-methylglyceric acid in isoprene oxidation products. We suggest that 2-methylglyceric acid could transform into methacrylic acid by removing a H$_2$O$_2$; thus, methacrylic acid produced from the oxidation of MACR could be further oxidized by an OH radical to generate 2-methylglyceric acid. A similar mechanism was also suggested in isoprene ozonolysis (Sauer et al., 1999). Although the ion abundance of the products detected under the positive mode of ESI-MS was one order of magnitude lower compared with the signal intensity of the products detected under negative mode, we were able to observe a series of products with mass differences of 12, 14, and 16 amu. The smallest ion observed in positive mode was $m/z^+ 60.8$. It was deduced to be glycolaldehyde because it contained a highly electronegative oxygen atom that could attract hydrogen ion; thus, the [M+H]$^+$ could be detected under positive mode. Generally, the ion abundance of the larger molecules ($m/z > 250$) was higher than those of smaller molecules ($m/z < 150$). The ion abundance of the larger molecules reached maximum between about 100 min and 150 min, and the ion abundance of the smaller molecules continued to grow throughout the experiment. The larger molecule profiles tended to be similar to aldehyde profiles, except the peak time was delayed. The sustained growing abundance of smaller products may be attributed to the decomposition of the larger HMWs. Unfortunately, we were unable to identify and quantify the HMWs, because the standards are unavailable.

### 3.1.4 pH effects on products

The effect of acidity on the reaction was also investigated by comparing the reaction in solutions with two different initial pH values of 7.0 or 4.0. The results showed no obvious differences between pH 4.0 and pH 7.0 in the yields of organic acids and carbonyls formed in the aqueous OH-initiated isoprene oxidation. This suggested that acidity had a negligible effect on the formation of the identified products. However, it has been shown that high acidity (pH 4.0) could affect the HPLC-ESI-MS determination of HMWs, because the ionization of HMWs appears to be restricted in an acidic solution.
This would result in a low sensitivity of HPLC-ESI-MS for the detection of HMWs under the negative mode.

### 3.1.5 Carbon balance

On the basis of the observed products, we estimated the carbon balance (ratio of observed carbon versus consumed carbon) of the investigated reaction system as a function of time (Fig. 5). The observed carbon balance was expected to account for ~50% of the consumed isoprene. The missing carbons most likely included carbon dioxide, organic peroxides, hydroxyl-containing compounds, and HMWs. HMWs may account for a large portion of the missing carbons. Unfortunately, we have not quantified the HMWs, because there are no standards available. However, our analysis of the observed products could characterize, to some extent, the aqueous OH-initiated isoprene oxidation.

### 3.2 Mechanism and modeling

#### 3.2.1 Rate constant of the aqueous isoprene-OH reaction

We estimated the rate constant of the isoprene-OH reaction in water \( k_{\text{ISO-OH,aq}} \) according to the observed time series of isoprene concentrations in the reaction. The results indicated that the \( \ln[\text{isoprene}] \) was lineally proportional to the reaction time \( (t) \) \( (r = 0.994) \) (Supplement Fig. S2). This implied that the reaction could be treated as a pseudo first-order reaction. In addition, we estimated the average concentration of OH radicals to be \( 1.3 \times 10^{-13} \) M within 20 min based on the model simulation (Zhang et al., 2010). Combining the OH concentration and slope of the \( \ln[\text{isoprene}]-t \) linear fit, we calculated that the \( k_{\text{ISO-OH,aq}} \) was \( 3.5 \pm 0.98 \times 10^9 \) M\(^{-1}\) s\(^{-1}\). To our knowledge, this was the first experimentally derived rate constant for this reaction. Considering that the corresponding gas phase rate constant \( k_{\text{ISO-OH,gas}} \) was \( 6.4 \times 10^{13} \) cm\(^3\) mol\(^{-1}\) s\(^{-1}\) at 283 K (Atkinson et al., 2006), we estimated that the rate...
constant ratio ($R_{k,ISO}^{k,ISO-OH,aq}$) of $k_{ISO-OH,aq}$ to $k_{ISO-OH,gas}$ was about $0.5 \times 10^{-4}$. Two studies have determined the aqueous rate constant for MACR ($k_{MACR-OH,aq}$); one reported $1.5 \times 10^{9}$ M$^{-1}$ s$^{-1}$ at 283 K (Zhang et al., 2010) and the other reported $6.7 \times 10^{9}$ M$^{-1}$ s$^{-1}$ at 298 K (Liu et al., 2009). One study reported that the aqueous rate constant for MVK was $8.0 \times 10^{8}$ M$^{-1}$ s$^{-1}$ (Zhang et al., 2010) at 283 K. For the gas phase reaction, the rate constants for MACR ($k_{MACR-OH,gas}$) and MVK ($k_{MVK-OH,gas}$) were $1.8 \times 10^{13}$ cm$^3$ mol$^{-1}$ s$^{-1}$ and $1.3 \times 10^{13}$ cm$^3$ mol$^{-1}$ s$^{-1}$, respectively, at 283 K (Atkinson et al., 2006). Thus, the aqueous to gas rate constant ratios at 283 K were estimated to be $R_{k,MACR} = 0.8 \times 10^{-4}$ for MACR and $R_{k,MVK} = 0.6 \times 10^{-4}$ for MVK. We used these aqueous OH reaction rate constants for isoprene, MACR, and MVK in our newly established model.

3.2.2 Mechanism and model simulation

A plausible mechanism for the aqueous OH-initiated isoprene oxidation was simulated with a box model to facilitate our understanding of the aqueous phase processes. Conversely, by comparing the model results to our experimental data, we could find deficiencies in the newly conceived mechanism described in Sect. 2.6, and then we could modify the mechanism based on reasonable explanations.

Explaining the ratio of MVK to MACR

First, we ran the model with the initial kinetic parameters described in Sect. 2.6 and Table S1 (Supplementary material). We found that the model reproduced the experimentally observed profile of isoprene in the reaction, but poorly predicted the observed profiles of MACR and MVK production (Fig. 6a). The predicted yield of MVK (9.2%) was much lower than the observed yield (17%), and the predicted yield of MACR (11.0%) was much higher than the observed yield (6.5%). The predicted yield ratio (~1) of MVK to MACR was half that observed (~2) in the aqueous phase OH-initiated
isoprene oxidation, but close to that observed (~1) in the corresponding gas phase reaction (Jenkin et al., 1998). This implied that the channel ratio for forming MVK and MACR might be significantly modified by water. This modification may arise from a change in the rate constants for $R_{\text{hip}}O_2$ reactions.

In the initial kinetic parameters of the present model, note that the rate constants for all six of the $R_{\text{hip}}O_2$ radical self reactions were assumed to be proportional to those of the corresponding gas phase reactions; but, the value for the R2O2 radical was two orders of magnitude lower than those of the other five radicals. Jenkin and Hayman (1995) suggested that the R2O2 is a tertiary radical and not as active as the other five $R_{\text{hip}}O_2$ radicals in the gas phase. However, it is unknown whether this remains to be true in the aqueous phase. Several studies have demonstrated that, in the presence of water, the peroxyl radicals (HO2 and RO2) can bind with water to form HO2·H2O (Aloisio and Francisco, 1998; Reichert et al., 2003; Suma et al., 2006) or RO2·H2O (English et al., 2008). The HO2·H2O complex has been observed in the laboratory (Suma et al., 2006). Furthermore, the kinetics and product branching distributions could be affected by complex formation; this effect has been found in the HO2–H2O (Reichert et al., 2003) and HO2–NO–H2O reaction systems (Butkovskaya et al., 2005). Although the RO2·H2O complexes have not been observed directly, there is computational evidence for their existence (Clark et al., 2008). Because the present reaction system used water as the medium, the formation of the RO2·H2O complex might be expected. Recently, Clark et al. (2010) showed a computational result for the formation probability of fresh isoprene $R_{\text{hip}}O_2$·H2O complexes in the gas phase at 100% relative humidity, as follows: 7% for R1O2, 0.9% for R2O2, 1.4% for R3O2, 0.8% for R4O2, 16.2% for R5O2, and 2.5% for R6O2. These ratios are expected to increase significantly in condensed water. Among the six $R_{\text{hip}}O_2$ radicals, the R2O2 radical was the most hydrophobic, due to its peroxy $-\text{C}(-\text{O}–\text{O})·$ group, which is surrounded by three alkyl groups. This causes difficulties in forming hydrogen bonds with water molecules. This property may cause the R2O2 radical to aggregate in water like the insoluble organics (Breslow, 1992). Thus, the R2O2 radical may have a higher probability of self-collision compared to other
R_{\text{hip}}O_2 radicals, which can readily combine with water. Therefore, the rate constant of the self-reaction between R2O_2 radicals may increase in water. This increased self-reaction rate would produce more MVK than expected, because the R2O_2 radical is the main precursor for MVK. Conversely, this change would lead to a decrease in MACR production. MACR is produced by the decomposition of the R6O radical, which is derived from an R6O_2 self-reaction and its cross-reaction with other R_{\text{hip}}O_2 radicals, particularly R2O_2 (45% of the all R_{\text{hip}}O_2 radicals; see Scheme 1). Clearly, the increase in the R2O_2 self-reaction would decrease the cross-reaction between R2O_2 and R6O_2, which would indirectly result in the observed decrease in MACR.

Based on the above analysis and the initial kinetic parameters, we adjusted the aqueous phase rate constants for the R_{\text{hip}}O_2 self- and cross-reactions, based on the observed MVK and MACR. The rate constant for the R2O_2 self reaction was enhanced to the same order of magnitude as the rate constants for the other R_{\text{hip}}O_2 self-reactions (Table S1). The output of this R2O_2-adjusted model is shown in Fig. 6b. The predicted isoprene, MVK, and MACR concentrations agree reasonably well with the observed concentrations. To further validate the hypothesis that the R2O_2 self-reaction would be enhanced by water, we carried out a sensitivity analysis by adjusting the rate constants of self- and cross-reactions \( k_s \) and \( k_c \) for the six R_{\text{hip}}O_2 radicals. The model responses, represented by MVK and MACR concentrations as a function of time, were: (i) When all the \( k_s \) and \( k_c \) values were simultaneously raised or lowered by two orders of magnitude, the predicted concentrations for MVK and MACR were almost the same as those predicted by the corresponding initial or R2O_2-adjusted model (Fig. 7a and b). Thus, simultaneously changing the rate constants for the self- and cross-reactions of the six R_{\text{hip}}O_2 radicals did not change the product branching distributions. (ii) When the \( k_s \) and \( k_c \) for the R6O_2 radical in the initial model were lowered by two orders of magnitude (because the high ratio of MVK/MACR may also be caused by the inhibition of MACR production), the maximum MVK concentration increased by 14.8%, and the maximum MACR concentration decreased by 13.5% compared to the initial model result (Fig. 7c). However, there was a large discrepancy between the predicted and
observed concentrations for MVK and MACR. This result implied that the inhibition of R6O₂ reaction was not the dominant factor leading to the high ratio of MVK/MACR, although the inhibition may exist. In summary, we suggest that the R2O₂ radical was the most sensitive of the six radicals for the production of MVK and MACR; in addition, water may increase its self-reaction probability, which would result in a higher MVK/MACR ratio in the OH-initiated isoprene oxidation compared to the corresponding gas phase reaction. Clearly, further study is needed to determine the actual rate constants for the reactions of R₆H₂O₂ radicals in the aqueous phase.

Explaining the mechanism of MG and GL formation

In the gas phase, MG and GL are considered to be produced by the oxidation of VOCs like isoprene (Fu et al., 2008). Both MG and GL are highly soluble in water. When they enter into the aqueous phase through gas/aqueous transfer, their oxidation and oligomerization are considered to be important contributors to SOA formation (Volkamer et al., 2007; Tan et al., 2010; Schwier et al., 2010). These two dicarbonyl compounds are considered to be second-generation products of isoprene oxidation, because they are primarily produced from the oxidation of MVK, MACR, and other first-generation carbonyls (Fu et al., 2008; Carlton et al., 2009). In the present study, we provided experimental evidence that aqueous isoprene oxidation led to the formation of MG and GL. We estimated that, through the intermediate MACR/MVK pathway (P1a), the yield of MG from isoprene was 3.7%. This was derived by considering the yields of MVK/MACR from isoprene (18.9% and 9.0% respectively) and the yields of MG from MVK/MACR (15.0% and 10.0%, respectively; Zhang et al., 2010) in the OH-initiated oxidation. Similarly, we estimated that, through the intermediate MVK pathway (P1b), the yield of GL from isoprene was 0.6%. However, these estimated yields based on P1 were much lower than the observed values (11.4 ± 0.3% for MG and 3.8 ± 0.1% for GL); this implied that a large proportion of the MG and GL production may be derived from other pathways. However, information about the other pathways for the gas phase
reaction also remains uncertain; e.g., the mechanism for explaining MG production in MIM2 (P2; Taraborrelli et al., 2009) is different from that used in RADM2 (P3; Zimmermann and Poppe, 1996), as shown below:

MIM2:

\[ \text{R}_1\text{O}_2 + \text{OH} \rightarrow 0.5 \times \text{C}_5\text{H}_9\text{O}_5 \rightarrow 0.5 \times \text{MG} + 0.5 \times \text{GL} \]  
\( \text{(P2a)} \)

\[ \text{R}_5\text{O}_2 + \text{OH} \rightarrow 0.5 \times \text{C}_5\text{H}_9\text{O}_5 \rightarrow 0.5 \times \text{MG} + 0.5 \times \text{GL} \]  
\( \text{(P2b)} \)

RADM2:

\[ \text{MACRO}_2 + \text{R}_{\text{hip}}\text{O}_2 \rightarrow 0.3 \times \text{MACR} + 0.3 \times \text{MVK} + 0.6 \times \text{MG} + \text{HCHO} + 1.2 \times \text{HO}_2 + \text{P} \]  
\( \text{(P3a)} \)

\[ \text{MVKO}_2 + \text{R}_{\text{hip}}\text{O}_2 \rightarrow 0.3 \times \text{MACR} + 0.3 \times \text{MVK} + 0.6 \times \text{MG} + \text{HCHO} + 1.2 \times \text{HO}_2 + \text{P} \]  
\( \text{(P3b)} \)

In the P2 reactions, the addition of OH to \( \text{R}_1\text{O}_2 / \text{R}_5\text{O}_2 \) led to the production of the \( \text{C}_5\text{H}_9\text{O}_5 \) radical, which contains a hydroxy and two peroxy groups. Then, the \( \text{C}_5\text{H}_9\text{O}_5 \) radical was degraded into MG and GL through two channels. In the P3 reaction, MACRO\(_2\)/MVKO\(_2\) radicals were the products of the addition of OH and O\(_2\) to MACR/MVK, each represented two isomers; \( \text{R}_{\text{hip}}\text{O}_2 \) represents all the hydroxy isoprene peroxy radicals; the products, P, represent alcohols, peroxides, and other potential products. Note: the specific reactions are listed in Table S1 (Supplement).

We tentatively added the P2 and P3 pathways to our aqueous reaction model to improve the prediction for the formation of MG and GL. The aqueous phase rate constants for MG and GL were estimated by proportioning the corresponding gas phase rate constants with the method described in Sect. 2.6; the data is shown in Table S1. The results from the model simulation indicated that (i) when neither of P2 or P3 was added to the aqueous model, the predicted amounts of MG and GL were mainly derived from the oxidation of MVK and MACR, and the amounts were much less than the observed values, as mentioned previously; (ii) when P3 was added to the model, the predicted MG and GL increased slightly; (iii) when both P3 and P2 were added to the model, the predicted MG and GL both increased significantly, but the predicted
maximum GL concentration was 71% higher than the observed concentration and the predicted MG was 15% lower than the observed concentration (Fig. 8). As mentioned in Sect. 3.1.1, the amounts of MG and GL produced were well correlated, suggesting they may have come from the same source. The P2 pathway supported this hypothesis and appeared to be a suitable candidate. As mentioned previously, the production ratio (0.5:0.5) of MG to GL assumed in the P2 pathway did not predict the observed result of 0.73:0.27 obtained in our experiment. We applied this observed ratio to the model and found that the modified model could predict the MG and GL concentrations in the first stage of the reaction, when the MG and GL increased gradually, but failed to predict the second stage (an MG plateau) and the third stage (MG and GL declines; Fig. 11). The fact that the model could not reproduce the decay of the isoprene oxidation products is a common problem among box models (Lee et al., 2005; Zhang et al., 2010). This implied that a complex secondary production mechanism might be involved in the production of MG and GL.

The HMWs may also contribute to MG and GL production. Figure 5 shows that most HMWs began to decrease after 100 min, implying the possibility that further degradation of HMWs could contribute to MG and GL formation. Altieri et al. (2008) observed an increase in the abundance of \( m/z \) 89 and a decrease in HMWs after 380 min in the reaction of MG with OH radicals. This result may provide a clue for a process in which MG and GL could be produced by HMW degradation, because \( m/z \) 89 could possibly represent the hydrated forms of MG and GL. Unfortunately, the information on HMWs presented in the present work was insufficient to quantitatively evaluate their contribution to MG and GL.

4 Conclusions and implications

We investigated the OH-initiated oxidation of isoprene in the aqueous phase. This study was the first to determine that the rate constant for the aqueous isoprene-OH reaction was \( 3.50 \pm 0.98 \times 10^9 \text{M}^{-1} \text{s}^{-1} \) at 283 K. A series of products, including carbonyl
compounds and organic acids were well characterized. We estimated the molar yields ($Y_i$, based on the consumed isoprene) of MVK, MACR, MG, GL, and oxalic acid (OA), which are considered to be important contributors to SOA. We found that the $Y_{	ext{MVK}} (18.9 \pm 0.8\%)$ and $Y_{	ext{MACR}} (9.0 \pm 1.1\%)$ observed in the aqueous phase isoprene-OH reaction were significantly different from those observed in the corresponding gas phase reaction. The $Y_{\text{MG}}$, $Y_{\text{GL}}$, and $Y_{\text{OA}}$ varied with the reaction time. A box model was employed to simulate the reaction, focusing on the formation of multifunctional carbonyl compounds. Based on the experimental and model results, we suggested that the unexpected high $Y_{	ext{MVK}}/Y_{\text{MACR}}$ ratio ($\sim 2$) observed in the aqueous phase relative to that ($\sim 1$) observed in the gas phase may arise from the water-induced acceleration of the peroxy radical HOCH$_2$C(CH$_3$)(O$_2$)CH = CH$_2$ self-reaction. Moreover, MG and GL may form through several pathways, including the oxidation of MACR/MVK (P1), the decomposition of subsequent products of R1O$_2$ and R5O$_2$ peroxy radicals (P2), and the reaction between the hydroxy isoprene peroxyl radicals and the hydroxy MACR/MVK peroxyl radicals (P3). The P2 pathway may dominate in the production of MG and GL. In addition, HMW oxidation may also contribute to the production of MG and GL.

This work has provided experimental evidence for the effect of condensed water on the oxidation mechanism of atmospheric volatile organic compounds (VOCs) in the aqueous phase. This reaction resulted in a different distribution of the oxygenated organic compounds compared to the corresponding gas phase reaction. The aqueous VOCs reaction can occur both in the bulk and on the surface of droplets in the atmosphere. The surface reaction is expected to be more rapid than the bulk reaction, based on experimental evidence for the reaction of polycyclic aromatic hydrocarbons (PAHs) (Wadia et al., 2000; Strekowski et al., 2003; Mmereki and Donaldson, 2003). In those studies, the reaction of PAHs on the interface occurred more rapidly than in the bulk solution. If VOCs like isoprene and terpenes undergo the aqueous oxidation to a larger extent than considered previously, their atmospheric aqueous contribution should be considered when constructing future models of the global SOA budget.
Acknowledgements. The authors gratefully thank the National Natural Science Foundation of China (grants 21077003 and 40875072) and the State Key Laboratory of Environment Simulation and Pollution Control (special fund) for financial support.

References


The kinetics and mechanism of an aqueous phase

D. Huang et al.

Abstract

Introduction

Conclusions

References

Tables

Figures

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion


The kinetics and mechanism of an aqueous phase

D. Huang et al.


Scheme 1. Mechanisms for the formation of six hydroxy isoprene peroxyl radicals (R1O_2 through R6O_2) and their distributions (%) from the OH-initiated oxidation of isoprene (top molecule) in the absence of NO\textsubscript{x} (adapted from Jenkin et al., 1998).
Fig. 1. The major products from the aqueous OH radical-initiated isoprene oxidation. (a) The time course of the oxidation of isoprene and the concomitant production of carbonyls. (b) The time course for the production of organic acids.
Fig. 2. The amounts of methacrolein (MACR) and methyl vinyl ketone (MVK), formed compared to the isoprene consumed in an aqueous isoprene oxidation. Samples were measured at 10, 15, and 20 min from the beginning of the reaction. Open symbols are the observed concentrations; solid symbols and fits are the concentrations after correcting for loss due to a reaction with OH, based on the rate constants recommended by Zhang et al. (2010).
Fig. 3. The relationship between methyl glyoxal (MG) and glyoxal (GL) produced in the aqueous oxidation of isoprene. Samples were measured every 10 min in the first 80 min of the reaction.

\[ y = 2.54x \]
\[ r = 0.997 \]
Fig. 4. Detection of the variations in abundance of the ionic molecules produced during the aqueous oxidation of isoprene. $m/z$, the mass to charge ratio detected by high-performance liquid chromatography-electrospray ionization-mass spectrometry.
Fig. 5. The observed carbon balance (ratio of observed carbon versus consumed carbon) as a function of time during the aqueous oxidation of isoprene. Total experimental time was 6 h.
Fig. 6. Model-measurement comparison for isoprene, methyl vinyl ketone (MVK), and methacrolein (MACR) abundances during the aqueous oxidation of isoprene. (a) The model simulation was performed with the initial kinetic parameters (m1). (b) The model simulation was performed with the adjusted kinetic parameters (m2). obs, observed profiles.
Fig. 7. Comparison of model simulations of the concentration changes in methyl vinyl ketone (MVK) and methacrolein (MAC) during the aqueous oxidation of isoprene. (a) The model rate constants for the self- and cross-reactions (No. 11–51 reactions in Table S1) of six R_{hip}O2 radicals were raised (or lowered) by two orders of magnitude, based on the initial model parameters (i.e., the same as those in m1 in Fig. 6a.). m1, initial; m3, lowered; m4, raised. (b) The model rate constants for the self- and cross-reactions (No. 11–51 reactions in Table S1) of six R_{hip}O2 radicals were raised (or lowered) by two orders of magnitude, based on the adjusted parameters (i.e., the same as those in m2 in Fig. 6b.). m2, adjusted; m5, lowered; m6, raised. (c) The model rate constants for R_{6O2} self- and cross-reactions were lowered by two orders of magnitude, based on the initial model parameters. m1, initial; m7, lowered.
Fig. 8. Model-measurement comparison for methyl glyoxal (MG) and glyoxal (GL) abundances during the aqueous oxidation of isoprene. The model simulations were performed with different MG production pathways. M1, only P1 pathway; M2, P1+P2 pathways; M3, P1+P2+P3 pathways. obs, observed profiles.