



1     **Global-scale combustion sources of organic aerosols: Sensitivity to**  
2                     **formation and removal mechanisms**

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12



## 13 Abstract

14 Organic compounds from combustion sources such as biomass burning and fossil  
15 fuel use are major contributors to the global atmospheric load of aerosols. We  
16 analyzed the sensitivity of model-predicted global-scale organic aerosols (OA) to  
17 parameters that control primary emissions, photochemical aging and the scavenging  
18 efficiency of organic vapors. We used a computationally efficient module for the  
19 description of OA composition and evolution in the atmosphere (ORACLE) of the  
20 global chemistry-climate model EMAC. A global dataset of aerosol mass  
21 spectrometer measurements was used to evaluate simulated primary (POA) and  
22 secondary OA (SOA) concentrations. Model results are sensitive to the emission rates  
23 of intermediate volatility organic compounds (IVOCs) and POA. Assuming enhanced  
24 reactivity of semi-volatile organic compounds (SVOCs) and IVOCs with OH  
25 substantially improved the model performance for SOA. Use of a hybrid approach for  
26 the parameterization of the aging of IVOCs had a small effect on predicted SOA  
27 levels. The model performance improved by assuming that freshly emitted organic  
28 compounds are relatively hydrophobic and become increasingly hygroscopic due to  
29 oxidation.

30

## 31 1 Introduction

32 Organic aerosol (OA) is an important constituent of the atmosphere, contributing  
33 30-70% of the total submicron dry aerosol mass (Kanakidou et al., 2005) with major  
34 impacts on human health and climate (IPCC, 2013; Lelieveld et al., 2015). OA  
35 comprises a large number of compounds with a wide range in volatility and oxidation  
36 states. The material that is in the particulate phase upon emission is called primary  
37 organic aerosol (POA). The co-emitted organic vapors can undergo one or more  
38 chemical transformations, which can reduce their volatility, leading to their transfer to  
39 the particulate phase forming secondary organic aerosol (SOA).

40 Several regional-scale modeling studies have accounted for the semi-volatile  
41 nature and chemical aging of organic compounds by using the volatility based set  
42 (VBS) approach (Donahue et al., 2006), demonstrating improvements in the accuracy  
43 of the predicted concentrations of organic aerosols (OA) and their chemical properties  
44 (Robinson et al., 2007; Shrivastava et al., 2008; Murphy and Pandis, 2009; Hodzic et  
45 al., 2010; Tsimpidi et al., 2010; Fountoukis et al., 2011; Li et al., 2011; Tsimpidi et



46 al., 2011; Bergstrom et al., 2012; Athanasopoulou et al., 2013; Zhang et al., 2013;  
47 Fountoukis et al., 2014). However, only few global modeling studies have adopted the  
48 VBS approach (Pye and Seinfeld, 2010; Jathar et al., 2011; Tsimpidi et al., 2014).  
49 According to these studies, the modeled global tropospheric burden of POA is 0.03-  
50 0.23 Tg and of SOA 1.61-2.77 Tg, with SVOCs and IVOCs contributing 0.71-1.57 Tg  
51 to the total.

52 The VBS approach is a flexible framework for simulating OA formation and  
53 removal; however, there are several uncertainties in the parameters used. The first  
54 source of uncertainty is related to the emissions of organic particles and vapors  
55 (Kanakidou et al., 2005). The volatility distribution of the fresh POA is important in  
56 the VBS as it determines the initial evaporation of POA. Part of the IVOC emissions  
57 is not included in conventional inventories, even if it is important for the predicted  
58 SOA (Shrivastava et al., 2008; Grieshop et al., 2009; Tsimpidi et al., 2010). Several  
59 studies have assumed a 50% addition to the traditional emission inventory (e.g.,  
60 Shrivastava et al., 2008; Jathar et al., 2011; Tsimpidi et al., 2014) for IVOC emissions  
61 but enhancements up to a factor 6.5 have been used in the literature (e.g., Shrivastava  
62 et al., 2011). Furthermore, most previous modeling studies typically assumed the  
63 same volatility distributions of all emissions independent of their source (e.g.  
64 Robinson et al., 2007). However, recent investigations reported significant differences  
65 in the volatility distribution of particles emitted from biomass burning, diesel and  
66 gasoline vehicle exhausts (May et al., 2013a; May et al., 2013c, b).

67 The second source of uncertainty is related to the oxidation of the emitted SVOCs  
68 and IVOCs. The parameters used by the VBS to simulate this process are the  
69 oxidation rate constant, the volatility distribution of the products, and the oxygen  
70 mass added per generation of oxidation. The VBS volatility resolution used to  
71 represent the SVOC/IVOC volatility range ( $3.2 \times 10^{-1} \mu\text{g m}^{-3} < C^* < 3.2 \times 10^6 \mu\text{g m}^{-3}$ )  
72 affects these parameters as well. A coarse volatility resolution requires a lower  
73 effective oxidation rate constant and a more rapid addition of oxygen and reduction in  
74 volatility than a finer volatility resolution. A common representation for the oxidation  
75 of SVOCs and IVOCs, mainly used by regional models (e.g. Murphy and Pandis,  
76 2009; Tsimpidi et al., 2010; Fountoukis et al., 2011; Tsimpidi et al., 2011; Bergstrom  
77 et al., 2012; Athanasopoulou et al., 2013; Fountoukis et al., 2014), is based on the  
78 work of Robinson et al. (2007) and Shrivastava et al. (2008) and includes 9 volatility



79 bins with saturation concentrations ranging from  $10^{-2}$  to  $10^6$   $\mu\text{g m}^{-3}$ , an oxidation rate  
80 constant of  $4 \times 10^{-11}$   $\text{cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$  based on Atkinson and Arey (2003), a reduction in  
81 volatility by one order of magnitude after each reaction, and a 7.5% net increase in  
82 mass to account for the added oxygen. This formulation is rather conservative  
83 compared to other studies which have assumed higher reduction in volatility and/or  
84 increase in mass. Shrivastava et al. (2011) assumed a 15% increase in mass due to the  
85 added oxygen, while Grieshop et al. (2009) and Hodzic et al. (2010) assumed a 40%  
86 increase in mass and two orders of magnitude reduction in volatility in each reaction  
87 step. Pye and Seinfeld (2010) simulated the POA emissions using two SVOCs (with  
88  $C^*$  equal to 20 and  $1646$   $\mu\text{g m}^{-3}$ ) and one IVOC ( $10^5$   $\mu\text{g m}^{-3}$ ) and used an oxidation  
89 rate constant of  $2 \times 10^{-11}$   $\text{cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ , two orders of magnitude reduction in  
90 volatility in each reaction, and 50% increase in mass per reaction. Shrivastava et al.  
91 (2011) used only two surrogate species ( $C^*$  equal to  $10^{-2}$  and  $10^5$   $\mu\text{g m}^{-3}$ ), an oxidation  
92 rate constant of  $0.57 \times 10^{-11}$   $\text{cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ , seven orders of magnitude reduction in  
93 volatility, and 50% increase in mass per reaction. Tsimpidi et al. (2014) used a lower  
94 resolution VBS scheme with 4 surrogate species (with  $C^*$   $10^{-1}$ ,  $10^1$ ,  $10^3$ , and  $10^5$   $\mu\text{g}$   
95  $\text{m}^{-3}$ ), an oxidation rate constant of  $2 \times 10^{-11}$   $\text{cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ , two orders of magnitude  
96 reduction in volatility, and 15% increase in mass per reaction. All of the above  
97 schemes should be viewed as parameterizations of the complex reactions that actually  
98 take place; the oxidation products can be up to four orders of magnitude lower in  
99 volatility than the precursor (Kroll and Seinfeld, 2008). To address this limitation,  
100 Jathar et al. (2012) developed a hybrid method to represent the formation of SOA  
101 from non-speciated SVOC and IVOC vapors. According to this framework, the first  
102 generation of oxidation of SVOC and IVOC is parameterized by fitting to SOA data  
103 from smog chamber experiments. Subsequently, the generic multi-generational  
104 oxidation scheme of Robinson et al. (2007) was used for the subsequent generation  
105 steps.

106 The third source of uncertainty is related to the scavenging efficiency of gas-phase  
107 oxidized SVOCs and IVOCs. The water solubility of these organic vapors is largely  
108 unknown and in most OA modeling studies a fixed effective Henry's law constant  
109 (e.g.,  $H = 10^5$   $\text{M atm}^{-1}$ ) is used for all organic compounds. However, organic vapors  
110 become increasingly more hydrophilic during their atmospheric lifetime. Pye and  
111 Seinfeld (2010) treated the freshly emitted gas-phase SVOCs as relatively



112 hydrophobic ( $H = 9.5 \text{ M atm}^{-1}$ ) and their oxidation products as moderately hydrophilic  
113 ( $H = 10^5 \text{ M atm}^{-1}$ ). Hodzic et al. (2014) argued that Henry's law constants have a  
114 strong negative correlation with the saturation vapor pressures and depend on the  
115 precursor species, the extent of photochemical processing, and the  $\text{NO}_x$  levels during  
116 the formation.

117 In this work we use ORACLE, a computationally efficient module for the  
118 description of OA composition and evolution in the atmosphere (Tsimpidi et al.,  
119 2014), to quantify the impact of the main VBS parameters on the model OA  
120 predictions. Our main focus is the formation of OA from anthropogenic combustion  
121 and open biomass burning sources. We conducted different tests to study the  
122 sensitivity of the model predictions to emissions, photochemical aging and scavenging  
123 efficiency of LVOCs, SVOCs and IVOCs. The results are compared to the reference  
124 simulation and aerosol mass spectrometer (AMS) measurements at multiple locations  
125 worldwide following Tsimpidi et al. (2016). Results from these sensitivity tests help  
126 identify the major uncertainties of the VBS formulations and give rise to suggestions  
127 about potential model improvements.

128

## 129 **2 Reference model description and application**

### 130 **2.1 EMAC Model**

131 The ECHAM/MESSy Atmospheric Chemistry (EMAC) model is a numerical  
132 chemistry and climate simulation system that includes sub-models describing lower  
133 and middle atmosphere processes and their interaction with oceans, land and human  
134 influences (Jöckel et al., 2006). EMAC includes submodels that describe gas-phase  
135 chemistry (MECCA; Sander et al., 2011), inorganic aerosol microphysics (GMXe;  
136 Pringle et al., 2010), cloud microphysics (CLOUD; Jöckel et al., 2006), aerosol  
137 optical properties (AEROPT; Lauer et al., 2007), dry deposition and sedimentation  
138 (DRYDEP and SEDI; Kerckweg et al., 2006a), cloud scavenging (SCAV; Tost et al.,  
139 2006), emissions (ONLEM and OFFLEM; Kerckweg et al., 2006b), and organic  
140 aerosol formation and growth (ORACLE; Tsimpidi et al., 2014). The spectral  
141 resolution used in this study is T63L31, corresponding to a horizontal grid spacing of  
142  $1.875^\circ \times 1.875^\circ$  and 31 vertical layers extending to 25 km altitude. The 11-year period  
143 between 2000 and 2010 is simulated, with the first year used as spin-up.

144



## 145 2.2 ORACLE Module

146 ORACLE is a computationally efficient submodel for the description of organic  
147 aerosol composition and evolution in the atmosphere (Tsimpidi et al., 2014). ORACLE  
148 simulates a wide variety of semi-volatile organic products separating them into bins of  
149 logarithmically spaced effective saturation concentrations. In this study, primary  
150 organic emissions from biomass burning and fuel combustion sources are taken into  
151 account using separate surrogate species for each source category. These surrogates are  
152 subdivided into three groups of organic compounds: LVOCs ( $C^*=10^{-2} \mu\text{g m}^{-3}$ ), SVOCs  
153 ( $C^*=10^0$  and  $10^2 \mu\text{g m}^{-3}$ ) and IVOCs ( $C^*=10^4$  and  $10^6 \mu\text{g m}^{-3}$ ). These organic  
154 compounds are allowed to partition between the gas and aerosol phases resulting in the  
155 formation of POA. Anthropogenic and biogenic VOCs are simulated separately, and  
156 their oxidation results in products distributed in four volatility bins with effective  
157 saturation concentrations  $10^0$ ,  $10^1$ ,  $10^2$ , and  $10^3 \mu\text{g m}^{-3}$ . Gas-phase photochemical  
158 reactions that modify the volatility of the organics are taken into account and the  
159 oxidation products (SOA-sv, SOA-iv, and SOA-v) of each group of precursors  
160 (SVOCs, IVOCs, and VOCs) are simulated separately in the module to keep track of  
161 their origin. LVOCs are not allowed to participate in photochemical reactions since  
162 they are already in the lowest volatility bin. In total 52 organic compounds are  
163 simulated explicitly (26 in each of the gas and aerosol phases). The volatilities of  
164 SVOCs and IVOCs are reduced by a factor of  $10^2$  as a result of the OH reaction with a  
165 rate constant of  $2 \times 10^{-11} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$  and a 15% increase in mass to account for  
166 two added oxygens (Tsimpidi et al., 2014). The model set-up and the different aerosol  
167 types and chemical processes that simulated by ORACLE in this study are illustrated  
168 in Figure 1a. More details about ORACLE can be found in Tsimpidi et al. (2014).

169

## 170 2.3 Emission inventory

171 The CMIP5 RCP4.5 emission inventory (Clarke et al., 2007) is used for the  
172 anthropogenic primary organic aerosol emissions from fuel combustion and biomass  
173 burning. The open biomass burning emissions from savanna and forest fires are based  
174 on the Global Fire Emissions Database (GFED v3.1; van der Werf et al., 2010). In  
175 order to convert the emitted organic carbon (OC) to organic mass (OM), OM/OC  
176 factors of 1.3 and 1.6 have been used for the anthropogenic and biomass burning  
177 emissions, respectively (Aiken et al., 2008; Canagaratna et al., 2015). Furthermore,



178 emission fractions are used to distribute the OM to the volatility bins used by  
179 ORACLE. The sum of the emission fractions used for the volatility bins with  $C^* \leq$   
180  $10^4$  is unity since current emission inventories are based on samples collected at  
181 aerosol concentrations up to  $10^4 \mu\text{g m}^{-3}$  (Shrivastava et al., 2008; Robinson et al.,  
182 2010). Additional emission fractions can be assigned to the volatility bins with  $C^* >$   
183  $10^4$  based on dilution experiments (Robinson et al., 2007).

184 In this study we assume that anthropogenic fuel (fossil and biofuel) combustion  
185 emissions cover a range of volatilities from  $10^{-2}$  to  $10^6 \mu\text{g m}^{-3}$  and the additional  
186 IVOC emissions are 1.5 times the traditional POA emissions (Robinson et al., 2007);  
187 therefore, the sum of the emission fractions for the fuel combustion emissions is 2.5  
188 (Figure 2a). Biomass burning emissions are assumed to cover a range of volatilities  
189 from  $10^{-2}$  to  $10^4$  (May et al., 2013a), therefore, no IVOC emissions are assumed from  
190 biomass burning sources and the sum of their emission factors is unity (Figure 2a).  
191 Overall, the decadal average global emission flux of primary organic emissions is 44  
192  $\text{Tg yr}^{-1}$  from anthropogenic combustion sources and 28  $\text{Tg yr}^{-1}$  from open biomass  
193 burning sources.

194

### 195 3 Sensitivity Simulations

196 All sensitivity calculations are conducted for the same 11-year period as the  
197 reference simulation, the results of which have been analyzed by (Tsimpidi et al.,  
198 2016). Table 1 summarizes the general characteristics of the sensitivity simulations. A  
199 detailed description is provided below.

200

#### 201 3.1 Sensitivities to emissions

202 The emissions of LVOCs, SVOCs and IVOCs are a key input for the accurate  
203 description of atmospheric OA. To quantify the sensitivity of the reference case  
204 results to the LVOC, SVOC and IVOC emissions, three simulation tests have been  
205 designed. Figure 2 summarizes the emission factors used for the volatility distribution  
206 of the emissions and the emission rate of each volatility bin for the reference and the  
207 sensitivity tests. More specifically:

208

209 **Low volatility:** In this sensitivity simulation, we assume zero emissions of IVOCs to  
210 quantify their contribution to the formation of global SOA. Therefore, the fuel



211 combustion and biomass burning emissions are distributed only in the LVOCs ( $10^{-2}$   
212  $\mu\text{g m}^{-3}$ ) and SVOCs ( $10^0$  and  $10^2 \mu\text{g m}^{-3}$ ) volatility bins and the sum of their emission  
213 fractions is equal to unity (Figure 2b). The decadal average global emission flux of  
214 primary organic emissions in this test is  $18 \text{ Tg yr}^{-1}$  from anthropogenic combustion  
215 sources and  $28 \text{ Tg yr}^{-1}$  from open biomass burning sources (Table 1).

216

217 **High IVOCs:** To estimate an upper limit of the IVOC contribution to the formation  
218 of SOA, a sensitivity simulation is conducted where the emissions of IVOCs are  
219 increased by an additional factor of 1.5 times the POA emissions distributed in the  
220 volatility bins with  $C^*$  of  $10^4$  and  $10^6 \mu\text{g m}^{-3}$  (Figure 2c). The LVOC and SVOC  
221 emissions are the same as in the reference simulation. Overall, the decadal average  
222 global emission flux of primary organic emissions in this sensitivity test is  $71 \text{ Tg yr}^{-1}$   
223 for both anthropogenic and open biomass burning sources (Table 1).

224

225 **Alternative POA emissions:** To investigate the sensitivity of the model results to the  
226 magnitude of the POA emissions, we have utilized the AEROCOM database for the  
227 POA emissions from anthropogenic combustion sources (Dentener et al., 2006) and  
228 the CMIP5 RCP4.5 emission inventory for the POA emissions from open biomass  
229 burning sources. These emission inventories include 36% lower POA emissions from  
230 anthropogenic combustion sources and 33% higher POA emissions from open  
231 biomass burning sources on average over the decade 2000-2010 compared to the  
232 reference simulation. The assumed volatility distributions are the same as in the  
233 reference simulation. The decadal average global emission flux of primary organic  
234 emissions in this case is  $29 \text{ Tg yr}^{-1}$  from anthropogenic combustion sources and  $38 \text{ Tg}$   
235  $\text{yr}^{-1}$  from open biomass burning sources (Table 1).

236

### 237 3.2 Sensitivity to chemistry

238 The photooxidation of SVOCs and IVOCs emitted from fuel combustion and  
239 biomass-burning sources can lead to the formation of substantial SOA mass on a  
240 global scale (Jathar et al., 2011; Tsimpidi et al., 2014). To evaluate the sensitivity of  
241 the model to the parameters used to describe the aging process we have conducted  
242 three sensitivity simulations described below.

243



244 **High reaction rate constant:** In this simulation we investigate the sensitivity of the  
245 results to the rate constant used for the gas-phase photooxidation of SVOCs and  
246 IVOCs with OH. We assume that the corresponding oxidation rate constant is twice  
247 that of the reference simulation and equal to  $4 \times 10^{-11} \text{ cm}^3 \text{ molecules}^{-1} \text{ s}^{-1}$ . All other  
248 parameters remained the same as in the reference simulation (Table 1).

249

250 **Alternative aging scheme:** To quantify the sensitivity of the results to the aging  
251 scheme, we designed a sensitivity case in which the aging scheme of Robinson et al.  
252 (2007) is used (Figure 1b). Based on this implementation, we are using nine volatility  
253 bins (compared to 5 in the reference simulation) to distribute the primary emissions  
254 into LVOCs ( $10^{-2}$  and  $10^{-1} \mu\text{g m}^{-3}$ ), SVOCs ( $10^0$ ,  $10^1$ , and  $10^2 \mu\text{g m}^{-3}$ ), and IVOCs  
255 ( $10^3$ ,  $10^4$ ,  $10^5$ , and  $10^6 \mu\text{g m}^{-3}$ ). This model set up is based on the formulation  
256 proposed by (Shrivastava et al., 2008). The volatility distribution of anthropogenic  
257 combustion and open biomass burning emissions is shown in Figure 2d. The sum of  
258 these emission factors is the same as in the reference simulation (2.5 for fuel  
259 combustion and 1 for biomass burning). However, the relative importance of SVOC  
260 and IVOC to total OA emissions is changed compared to the reference simulation. In  
261 the sensitivity simulation the fraction of SVOCs to the total emissions is 20% for fOA  
262 and 60% for bbOA (Figure 2d), compared to 32% and 70%, respectively, in the  
263 reference simulation (Figure 2a). Furthermore, the saturation concentration of the  
264 organic vapors reacting with OH is reduced by a factor of 10 (instead of 100 in the  
265 reference simulation) with a rate constant of  $4 \times 10^{-11} \text{ cm}^3 \text{ molec}^{-1}$  (double the value  
266 used in the reference simulation) and a 7.5% increase in mass to account for one  
267 added oxygen (half the value used in the reference simulation). The formation of SOA  
268 from LVOCs is possible in this configuration (contrary to the reference simulation)  
269 due to the presence of two species in the LVOC volatility range ( $C^* < 3.2 \times 10^{-1} \mu\text{g}$   
270  $\text{m}^{-3}$ ). Overall, in this simulation, 46 surrogate organic aerosol species are used to track  
271 the source- and volatility-resolved OA components compared to 26 aerosol species in  
272 the reference simulation.

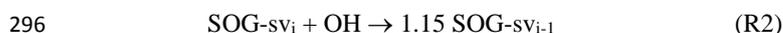
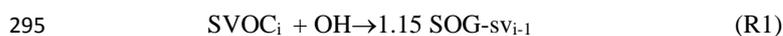
273

274 **Hybrid aging scheme:** The reference and alternative aging scheme simulations  
275 assume that the volatility of the organic vapor precursors is reduced by two and one  
276 orders of magnitude, respectively, after each oxidation step. However, photooxidation

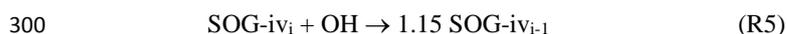
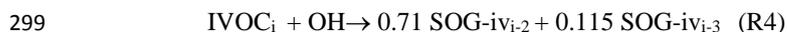


277 reactions of IVOCs can create products with a volatility 1 to 4 orders of magnitude  
 278 lower (Kroll and Seinfeld, 2008). Furthermore, recent experiments indicate that the  
 279 reduction in volatility due to oxidation reactions changes as the organic molecules  
 280 become more oxygenated and fragmentation becomes important (Chacon-Madrid et  
 281 al., 2013). To investigate the effect of these assumptions on the predicted global SOA  
 282 burden, we have modified the OA chemistry mechanism to include a hybrid method  
 283 to calculate the SOA formation from the oxidation of IVOCs based on the approach of  
 284 (Jathar et al., 2012). The SVOC oxidation scheme remains the same as in the  
 285 reference. The hybrid scheme distributes the IVOC first generation oxidation products  
 286 over a range of volatilities, with larger reductions in volatility compared to the  
 287 reference simulation. The oxidation of each IVOC is assumed to result in the  
 288 formation of two condensable organic gases with four and six orders of magnitude  
 289 lower volatility and aerosol yields equal to 0.71 and 0.115, respectively (Jathar et al.,  
 290 2014) (Figure 1c). Then, the reference oxidation scheme is used for subsequent  
 291 oxidation of these products assuming a factor of 100 reduction in volatility with 15%  
 292 increase in mass. The photo-oxidation of SVOCs and IVOCs in the hybrid aging  
 293 scheme is described by the following reactions:

294



298



302

303 This representation is more consistent with SOA formation from VOCs and provides  
 304 in principle at least a more realistic representation of SOA formation from IVOCs.

305

### 306 3.3 Sensitivities to scavenging

307 The wet and dry removal the organic vapours from the atmosphere depends on  
 308 their ability to partition into water which is commonly expressed by their Henry's law



309 constant ( $H$ ). Two sensitivity simulations were performed to investigate the effect of  
310 this uncertain parameter.

311

312 **Low solubility:** To test the sensitivity of the results to the solubility of the SVOC and  
313 IVOC vapors, we have conducted a simulation using a Henry's law constant two  
314 orders of magnitude lower than the reference and equal to  $10^3$  M atm<sup>-1</sup> for both  
315 primary and secondary SVOCs/IVOCs.

316

317 **Variable solubility:** The photochemical aging of organic vapors results on average in  
318 less volatile and more hydrophilic products (Jimenez et al., 2009). To quantify the  
319 effect of this change on the model results we have conducted a sensitivity simulation  
320 in which the fresh SVOCs and IVOCs are hydrophobic with  $H = 10$  M atm<sup>-1</sup> and  
321 become more hydrophilic after their photochemical oxidation with an  $H = 10^5$  M  
322 atm<sup>-1</sup>.

323

#### 324 **4 Reference simulation results and evaluation**

325 The predicted decadal average surface concentrations of total OA, POA, SOA-sv,  
326 and SOA-iv for the reference simulation are shown in Figure 3. High POA  
327 concentrations are predicted over regions affected by biomass burning (i.e., the  
328 tropical and boreal forests) as well as over the industrialized regions of the Northern  
329 Hemisphere where strong fossil and biofuel combustion sources are located (i.e.,  
330 Eastern and Southern Asia, Central and Eastern Europe, Western and Eastern US).  
331 Further downwind of the sources, the POA concentration decreases substantially due  
332 to dilution and evaporation (Figure 3b). On the other hand, the predicted SOA-sv and  
333 SOA-iv concentrations are high over a wide area downwind of the polluted urban  
334 areas and the major rainforests (Figure 3c and 3d) due to the transport of IVOCs and  
335 SVOCs and their continued chemical transformations. Since IVOC emissions from  
336 anthropogenic sources are assumed to be two times higher than SVOC emissions  
337 (Figure 1a), predicted SOA-iv is higher than SOA-sv over populated areas (Figure 3c  
338 and 3d). On the other hand, over the tropical rainforests, SOA-sv and SOA-iv  
339 concentrations are similar due to the low fraction of IVOCs assumed for the open  
340 biomass burning OA emissions. Overall, the reference simulation yields a  
341 tropospheric OA burden of 1.98 Tg consisting of 12% POA, 18% SOA-sv, 32% SOA-



342 iv, and 38% SOA-v. More details about the reference case results can be found in  
343 Tsimpidi et al. (2016).

344 A comprehensive AMS dataset from field campaigns performed in the Northern  
345 Hemisphere during 2001-2010 (Tsimpidi et al., 2016) has been used to evaluate the  
346 model performance for each simulation. The mean bias (MB), mean absolute gross  
347 error (MAGE), normalized mean bias (NMB), normalized mean error (NME), and the  
348 root mean square error (RMSE) are used to assess the model performance for POA  
349 (versus AMS hydrocarbon-like aerosol (HOA); Table 2) and SOA (versus AMS  
350 oxygenated organic aerosol (OOA); Table 3). Tsimpidi et al. (2016) have shown that,  
351 as expected the model underestimates the concentrations of POA and SOA over urban  
352 locations due to its coarse resolution and missing sources in the emission database  
353 (e.g., cold vehicle start and wood burning emissions in winter). Therefore, urban  
354 locations are excluded from our analysis in order to avoid misinterpretation of the  
355 sensitivity results and their effects on OA model performance. A comprehensive  
356 analysis of the model evaluation based on the reference scenario results can be found  
357 in Tsimpidi et al. (2016) and will be used here as a reference for analysing the effect  
358 of each sensitivity scenario on the performance of the model. EMAC reproduces POA  
359 levels with very little bias (NMB= -3%; Table 2). On the other hand, OOA  
360 concentrations are underpredicted (-31%; Table 3) indicating that the model may be  
361 missing an important source or formation pathway of SOA especially in winter  
362 (Tsimpidi et al., 2016) or may be removing the corresponding pollutants faster.

363

## 364 **5 Sensitivity to emission factors**

### 365 **5.1 Low volatility**

366 In the first sensitivity test, the IVOC emissions are set to zero and only semi-  
367 volatile organic compounds are emitted. This is accompanied by an increase of SVOC  
368 emissions from anthropogenic and open biomass burning sources by 100% and 40%,  
369 respectively. This initial partitioning of the emissions favors the particulate phase,  
370 resulting in an increase of POA compared to the reference scenario (Figure 4a). The  
371 largest fPOA and bbPOA increases are predicted over Eastern China ( $4.3 \mu\text{g m}^{-3}$ ) and  
372 the Congo Basin ( $3.9 \mu\text{g m}^{-3}$ ), respectively. The higher SVOC emissions in the  
373 sensitivity simulation result in an increase of the simulated SOA-sv concentrations as  
374 well (Figure 5a). However, since a large fraction of the emitted SVOCs remains in the



375 particle phase, the SOA-sv concentration increase is smaller than the corresponding  
376 changes in POA. Relatively strong fSOA-sv and bbSOA-sv increases are found over  
377 the Indo-Gangetic Plane (IGP) ( $0.4 \mu\text{g m}^{-3}$ ) and the Congo Basin ( $1.3 \mu\text{g m}^{-3}$ ),  
378 respectively. The “low volatility” simulation does not predict any SOA-iv as it  
379 assumes zero IVOC emissions. Therefore, SOA-iv concentrations are zero around the  
380 globe, resulting in substantial decreases in areas where the reference simulation  
381 predicts high SOA-iv levels (Figures 3dc and 6a).

382 The significant decrease of organic emissions from anthropogenic sources (Table  
383 1) due to the lack of IVOC emissions results in an overall decrease of total OA  
384 concentrations by up to  $5 \mu\text{g m}^{-3}$  over anthropogenically polluted regions (Figure 7a).  
385 On the other hand, organic emissions from open biomass burning sources remain at  
386 the same level as the reference simulation (Table 1), however, they are assumed to  
387 have lower volatility. This results in an increase of total OA concentrations in the  
388 sensitivity simulation by up to  $2 \mu\text{g m}^{-3}$  over the tropical and boreal forests. Overall,  
389 the calculated tropospheric burden of POA in the sensitivity simulation increases by  
390 around 50% due to the increase of the SVOC emissions (Table 2). For the same  
391 reason, the tropospheric fSOA-sv and bbSOA-sv burdens increase by 14% and 39%,  
392 respectively. Nevertheless, the absence of IVOC emissions, and thus the significant  
393 decrease of anthropogenic organic compound emissions, results in a decrease of the  
394 total OA tropospheric burden by 23%. This result emphasizes the importance of the  
395 volatility distributions used in the simulation and the contribution of IVOC emissions  
396 to SOA formation on a global scale.

397 The simulated POA in the reference model configuration is very close to the  
398 average HOA concentrations derived from the AMS measurements (Table 3).  
399 Therefore, assuming lower volatility of the organic emissions results in overprediction  
400 (NMB=43%). However, the performance of the model is significantly improved  
401 during winter (Figure 8) since POA concentrations during that season were  
402 underpredicted (NMB=-37%; Tsimpidi et al., 2016). On the other hand, during spring  
403 the overestimate of POA increases in the sensitivity simulation (NMB=86%)  
404 compared to the reference (NMB=26%). For summer and autumn, the performance of  
405 the model changes from a slight underestimation of POA in the reference (NMB=-  
406 15%) to a slight overprediction in the sensitivity test (NMB=30%). The performance  
407 of the model in reproducing the OOA concentrations worsens in this sensitivity



408 simulation (Table 4). OOA was underpredicted by the model reference simulation  
409 (NMB=-31%), therefore, by neglecting SOA formation from IVOC emissions in the  
410 sensitivity run results in an even larger OOA underestimation (NMB=-52%). The  
411 performance of the model does not change significantly during winter (Figure 8) since  
412 the simulated SOA formation during this season is low (Tsimpidi et al., 2016). The  
413 highest change in model performance occurs during spring when SOA is predicted to  
414 reach the annual maximum (Tsimpidi et al., 2016); the predicted underestimation of  
415 OOA increases from 20% in the reference to 50% in the sensitivity simulation. These  
416 results indicate that the omission of IVOCs as a source of SOA in atmospheric models  
417 can result in a significant underestimation of OA concentrations, especially during  
418 periods where formation of SOA is strong.

419

## 420 5.2 High IVOCs

421 In the second sensitivity simulation, the increased IVOC emissions result in an  
422 increase of total organics by 60%, and 150% from anthropogenic and open biomass  
423 burning sources, respectively (Table 1). These additional organic emissions are  
424 distributed only in the intermediate volatility bins, therefore, their impact on the  
425 simulated POA and SOA-sv levels is marginal (Figures 4b and 5b, respectively). POA  
426 increases, up to  $0.6 \mu\text{g m}^{-3}$  over Eastern China, while SOA-sv decreases, up to  $0.3 \mu\text{g}$   
427  $\text{m}^{-3}$  over the Congo Basin. This effect can be explained by the assumption that SOA-  
428 sv and SOA-iv form a pseudo-ideal solution. As a result, the increased SOA-iv  
429 concentrations calculated in the sensitivity simulation favor the partitioning of the  
430 fresh SVOCs into the aerosol phase, forming additional POA. At the same time,  
431 SVOCs decrease in the gas-phase and therefore the formation of SOA-sv is reduced in  
432 the sensitivity simulation. As expected, the largest effect is found for SOA-iv (Figure  
433 6b). The significant increase of IVOC emissions results in large changes of SOA-iv  
434 over areas close to anthropogenic sources (up to  $5.7 \mu\text{g m}^{-3}$  over the IGP) and biomass  
435 burning regions (up to  $5.3 \mu\text{g m}^{-3}$  over the Congo Basin). The increase of SOA-iv  
436 dominates the effect on total OA concentrations that increase up to  $6 \mu\text{g m}^{-3}$  (Figure  
437 7b). Overall, the predicted changes of the tropospheric burden of POA and SOA-sv  
438 are small (Table 2). However, the tropospheric burdens of fSOA-iv and bbSOA-iv  
439 increase by 88% and 115%, respectively, resulting in an increase of the total OA  
440 burden by 38%.



441 The additional IVOC emissions assumed in this sensitivity test do not affect the  
442 performance of the model for POA. On the other hand, these additional emissions  
443 bring the predicted SOA concentrations closer to the measured OOA levels (Table 4;  
444 Figure 8). The NMB improves from -31% in the reference simulation to -10%. With  
445 the exception of winter, where the model still underpredicts OOA levels (MB=2.2  $\mu\text{g}$   
446  $\text{m}^{-3}$ , Figure 8), the performance of the model for SOA improves with seasonal NMB  
447 ranging from -16% (during summer) to 11% (during spring); compared to -33% and  
448 -20% for the reference model, respectively. The improved performance of the model  
449 due to the increase of IVOC emissions supports the hypothesis that the IVOC  
450 emissions may have been underestimated in previous modeling studies that assumed  
451 IVOC/POA =1.5 (Ots et al., 2016).

452

### 453 5.3 Alternative POA emissions

454 The final emission sensitivity test is used to estimate the uncertainty introduced by  
455 the choice of emission database. The inventories used in the sensitivity simulation  
456 assume 36% lower fuel combustion OA emissions and 33% higher biomass burning  
457 OA emissions compared to the reference simulation, while the total OA emissions are  
458 only reduced by 9%. Since the volatility distribution of the emissions is identical to  
459 the reference simulation, the fractional changes of the calculated POA, SOA-sv, SOA-  
460 iv are also similar (Table 4). The tropospheric burden of fOA (the sum of fPOA,  
461 fSOA-sv, and fSOA-iv) decreases by 34%. On the other hand, bbOA (the sum of  
462 bbPOA, bbSOA-sv, and bbSOA-iv) increases by 11%. Overall, the total tropospheric  
463 OA burden increases by only 4%. The changes in fOA and bbOA concentrations,  
464 however, are not spatially uniform. Over Europe, fOA decreases everywhere, up to  
465 3.3  $\mu\text{g m}^{-3}$ , except in Paris where fOA increases by 0.24  $\mu\text{g m}^{-3}$ . Over the US fOA  
466 slightly increases (mostly over the northeast by up to 0.6  $\mu\text{g m}^{-3}$ ), while it decreases  
467 over Mexico by as much as 1.7  $\mu\text{g m}^{-3}$ . The largest fOA change is predicted over Asia  
468 where fOA decreases significantly, up to 8.3  $\mu\text{g m}^{-3}$ , mostly over East Asia and the  
469 IGP. bbOA decreases over the boreal forests (up to 3.6  $\mu\text{g m}^{-3}$ ), while it increases  
470 significantly over the Southeast Asian tropical forests by up to 14  $\mu\text{g m}^{-3}$ . Over the  
471 Amazon and Congo forests, bbOA concentrations change significantly (the bbOA  
472 changes vary from -2.4 to 3.3  $\mu\text{g m}^{-3}$  in the Amazon, and from -5.3 to 7.8  $\mu\text{g m}^{-3}$  in  
473 Congo) but the average bbOA concentration over both regions remains the same.



474 Overall, the fOA and bbOA emission changes lead to total OA increases over the  
475 tropical and boreal forests and decreases over anthropogenic areas (Figure 7c).

476 The lower OA emissions used in the sensitivity simulation (especially over China  
477 and Europe) result in a reduction of both total POA and SOA concentrations (Tables 2  
478 and 3). Consequently, the model now underestimates POA with NMB=-25% and  
479 SOA with NMB=-40%. These results suggest that the use of the CMIP5 RCP4.5  
480 emission inventory in EMAC results in OA concentrations that agree more closely to  
481 the measurements compared to the AEROCOM database. It also underscores the large  
482 uncertainty associated with primary OA emissions.

483

## 484 **6 Sensitivity to aging reactions**

### 485 **6.1 Higher aging reaction rate**

486 In this sensitivity simulation, the photochemical reaction rate constant for SVOCs  
487 and IVOCs has been doubled compared to the reference. This results in an increase of  
488 SOA-sv and SOA-iv concentrations worldwide (Figures 5d and 6d). SOA-sv  
489 increases, up to  $0.65 \mu\text{g m}^{-3}$ , mostly over the tropics and the polluted regions of  
490 Eastern China and the IGP (Figure 5d). The effect on SOA-iv concentrations is even  
491 more significant since IVOCs undergo more oxidation steps before forming SOA than  
492 SVOCs. SOA-iv increased by up to  $2.4 \mu\text{g m}^{-3}$  mostly over the IGP and Eastern China  
493 (Figure 6d). The SOA-iv increase over the tropics is smaller (up to  $0.8 \mu\text{g m}^{-3}$ ) due to  
494 the assumed low fraction of IVOCs in biomass burning emissions. Overall, the  
495 tropospheric burdens of SOA-sv and SOA-iv both increase by 0.04 Tg (or 11% and  
496 7%, respectively). POA is not expected to be affected directly by the change of the  
497 reaction rate constant. However, the substantial reduction of gas-phase SVOCs (due to  
498 their increased reactivity) results in the re-evaporation of POA to achieve equilibrium,  
499 reducing its concentration (Figure 4d) mainly over the tropics (up to  $0.21 \mu\text{g m}^{-3}$ ).  
500 This results in an overall decrease of the tropospheric POA burden by 8%. Following  
501 the significant increase of both SOA-sv and SOA-iv, total OA increases worldwide by  
502 up to  $3 \mu\text{g m}^{-3}$  (Figure 7d). Overall, the tropospheric burden of total OA increases by  
503 4%.

504 The model performance for POA is not affected by the change of the reaction rate  
505 constant (Table 2) since POA remains largely unchanged over the Northern  
506 Hemisphere (Figure 4d). On the other hand, the performance of the model regarding



507 SOA is significantly improved (Table 3). The underestimation of SOA by the model  
508 is reduced (NMB=-22%) compared to the reference (NMB=-31%). The best  
509 performance is found during spring (NMB=-7%) when the calculated SOA is almost  
510 unbiased. However, during winter, the model still severely underestimates SOA  
511 (NMB=-77%), which indicates that the gas-phase oxidation of SVOCs and IVOCs  
512 does not suffice to explain the underprediction of SOA in winter.

513

## 514 **6.2 Alternative aging scheme**

515 In this sensitivity simulation we used the chemical aging scheme of Robinson et al.  
516 (2007) which is currently the most commonly used in VBS models. This aging  
517 scheme is accompanied by changes in the number of volatility bins used and the  
518 assigned emission factors, the oxidation rate constant, the volatility reductions after  
519 each oxidation step, and the increase in mass due to added oxygen (as discussed in  
520 Sect. 3.2). The changes in the number of volatility bins and the emission factors used  
521 for the SVOCs (Figure 2d) result in reduced condensation of SVOCs into the  
522 particulate phase during the initial partitioning and therefore to a significant decrease  
523 of POA (Figure 4e). The decrease of POA is global and most prominent over Eastern  
524 China (up to  $9.3 \mu\text{g m}^{-3}$ ). This reflects a significant change in the tropospheric burdens  
525 of both fPOA and bbPOA by 65% and 38%, respectively.

526 Furthermore, the reduced fraction of SVOCs to total OA emissions (see Section  
527 3.2) results in a worldwide decrease of SOA-sv (Figure 5e) and an increase of SOA-iv  
528 (Figure 6e). SOA-sv decreases up to  $1.8 \mu\text{g m}^{-3}$  over the Congo Basin and the IGP.  
529 Similar to POA, the tropospheric burden of fSOA-sv and bbSOA-sv decreases by  
530 68% and 47%, respectively. On the other hand, the increase in SOA-iv, due to the  
531 increase in the IVOC fraction of the emissions, is not as strong as the decrease of  
532 SOA-sv (Table 4). This is due to the slower aging in the sensitivity simulation (Figure  
533 1b), compared to the reference (Figure 1a), which limits the formation of SOA from  
534 IVOCs. SOA-iv increases up to  $0.9 \mu\text{g m}^{-3}$  over the Congo Basin and the IGP, while it  
535 locally decreases by  $0.1 \mu\text{g m}^{-3}$  over Beijing, for example. The tropospheric burden of  
536 fSOA-iv and bbSOA-iv increases by 14% and 30%, respectively. Overall, the sum of  
537 SOA-sv and SOA-iv decreases by 7% due to the slower aging in this sensitivity  
538 simulation. Following the simultaneous decrease of both POA and SOA, total OA



539 decreases worldwide by up to  $11 \mu\text{g m}^{-3}$  (Figure 7e) and its tropospheric burden is  
540 reduced by 0.2 Tg (or 10%).

541 The reduction of both modelled POA and SOA results in reduced agreement of the  
542 model with AMS measurements. Especially for POA, the modeled concentrations  
543 decrease by 67% in the sensitivity simulation, resulting in a significant  
544 underprediction of AMS-HOA (NMB=-67%). Modelled SOA also decreases (by  
545 10%) in the sensitivity simulation, which degrades the model agreement with AMS-  
546 OOA measurements (NMB=-38%). This sensitivity test underscores the significance  
547 of the volatility distribution of the organic emissions and the associated aging scheme.  
548

### 549 **6.3 Hybrid aging scheme**

550 The final chemistry sensitivity simulation focuses on the photochemical aging of  
551 IVOCs and assumptions regarding the first oxidation step. The approach used here is  
552 similar to the oxidation of the traditional VOCs, in contrast with the reference where  
553 the oxidation of IVOCs produces only one product with two orders of magnitude  
554 reduced volatility. However, the stoichiometric coefficient used in the reference  
555 (equal to 1.15) is higher than the aerosol yields used in the sensitivity simulation  
556 (Section 3.2). This results in a reduction of SOA-iv concentrations by up to  $2.2 \mu\text{g m}^{-3}$   
557 (Figure 6f). Since the chemical scheme for SVOCs is identical in both the reference  
558 and the sensitivity simulations, no significant change is found in either SOA-sv or  
559 POA (Figure 5f and 4f, respectively). The decrease of SOA-iv concentrations has a  
560 marginal effect on the initial partitioning of SVOC emissions resulting in slightly less  
561 POA and more SOA-sv (by up to  $0.1 \mu\text{g m}^{-3}$  in either case). Therefore, total OA  
562 concentrations are reduced worldwide following the decrease of SOA-iv. Overall, the  
563 tropospheric burden of SOA-iv decreases by 37% in the sensitivity simulation  
564 resulting in a decrease of total OA by 13% (Table 4).

565 The simulated POA concentrations remain almost unchanged in the sensitivity  
566 simulation; therefore, similar to the reference, the calculated POA is unbiased  
567 compared to measurements (Table 2). On the other hand, the lower SOA-iv  
568 concentrations calculated by the model in this sensitivity test aggravate the  
569 underestimation of OOA by the model (NMB=-39%). The decrease of modelled  
570 SOA-iv concentrations is larger during spring (13%) and the calculated NMB for  
571 SOA deteriorates from -20% in the reference to -30% in the sensitivity simulation.



572

## 573 **7 Sensitivity to wet/dry removal of organic vapors**

### 574 **7.1 Reduced Henry's law constant**

575 In this sensitivity test we used a Henry's law constant that is two orders of  
576 magnitude lower than in the reference simulation (see Section 3.3) for the gas-phase  
577 SVOCs and IVOCs. This change decreases their removal rate, thus increasing their  
578 lifetime and the concentrations of both POA (due to the condensation of the fresh  
579 SVOCs) and SOA (due to the condensation of the chemically aged SVOCs and  
580 IVOCs). POA increases up to  $0.7 \mu\text{g m}^{-3}$  over Eastern China (Figure 4g) where POA  
581 concentrations are relatively high (Figure 3b), however, the increase of POA in the  
582 rest of the world is less than  $0.2 \mu\text{g m}^{-3}$  (Figure 4g). SOA-sv increases up to  $0.2 \mu\text{g}$   
583  $\text{m}^{-3}$  mostly over the Congo Basin and the IGP (Figure 5g). The most significant  
584 change is calculated for SOA-iv. SOA-iv is formed from gases (i.e., IVOCs) that need  
585 to go through more than two oxidation steps to be able to condense to the aerosol  
586 phase (in comparison to only one oxidation step for SVOCs). Therefore, by lowering  
587 the Henry's law constant of IVOCs we prolong the lifetime of SOA-iv precursors, and  
588 their ability to undergo multiple oxidation steps and produce aerosols. This results in a  
589 significant increase of SOA-iv by up to  $1.2 \mu\text{g m}^{-3}$  (Figure 6g). Total OA increases by  
590 up to  $2 \mu\text{g m}^{-3}$  due to the simultaneous increase of both POA and SOA (Figure 7g).  
591 Overall, the tropospheric burden of SOA-iv increases by 17% and of total OA by 8%.  
592 It is also worth noticing that the tropospheric burden of fOA (sum of fPOA, fSOA-sv,  
593 and fSOA-iv) increases by 18% compared to an increase of 5% of the bbOA (sum of  
594 bbPOA, bbSOA-sv, and bbSOA-iv). The above results emphasize the significance of  
595 the removal of organic vapors for the calculated OA concentrations, and corroborate  
596 the importance of constraining the Henry' law constants of SVOCs and more  
597 importantly of IVOCs.

598 The change of Henry's law constant of SVOCs does not affect the model  
599 performance for POA significantly. POA slightly increases (by 4%), eliminating the  
600 already low model bias (Table 2). The SOA increase (by 12%) in the sensitivity  
601 simulation (mainly due to the increased SOA-iv) results in reduced SOA  
602 underestimation (Table 2). In both POA and SOA cases the effect is more important  
603 during winter, when wet removal is most efficient, and lower during summer. POA  
604 increases during winter by 10% while during summer it remains unchanged. SOA



605 increases during winter by 26% and during summer by only 3%, with spring and  
606 autumn in between (~12%). Despite the wintertime POA and SOA increase in this  
607 sensitivity simulation, the model still underestimates POA (NMB=-31%) and SOA  
608 (NMB=-78%) during this season (Figure 8).

609

## 610 **7.2 Different Henry's law constant for POA and SOA**

611 In the last sensitivity test we assume that the freshly emitted SVOCs and IVOCs  
612 are hydrophobic (with the Henry's law constant  $H$  being 4 orders of magnitude lower  
613 than the reference) while after photochemical aging  $H$  increases to match the value  
614 used in the reference (see Section 3.3). POA increases up to  $0.7 \mu\text{g m}^{-3}$ , mostly over  
615 Eastern China and to a lesser degree over Eastern Europe and Russia (Figure 4h).  
616 SOA-sv increases up to  $0.2 \mu\text{g m}^{-3}$ , mostly over the tropical forests of Central Africa  
617 and Southeastern Asia, as well as over Eastern China and the IGP (Figure 5h). SOA-  
618 iv also increases by up to  $1 \mu\text{g m}^{-3}$  (Figure 6h) because fresh IVOCs are more  
619 hydrophobic in the sensitivity simulation, therefore, the time available to react with  
620 OH is extended, forming additional SOA-iv. Total OA concentrations increase by up  
621 to  $2 \mu\text{g m}^{-3}$  over Eastern China (Figure 7h). The tropospheric burden of total OA  
622 increases by 8% in this sensitivity test with the strongest increase coming from fSOA-  
623 iv (21%).

624 Both the predicted POA and SOA increase in the sensitivity simulation by 6% and  
625 12% respectively. This results in a small overprediction of POA (NMB=4%),  
626 compared to a small underprediction in the reference (NMB=-3%). For SOA, NMB  
627 improves in the sensitivity simulation (NMB=-23%) compared to the reference (-  
628 31%). Similar to the previous sensitivity test (Section 7.1) the effect is more relevant  
629 during winter (POA and SOA increase by 9% and 36%, respectively), followed by  
630 spring (POA and SOA increase by 8% and 16%, respectively) and autumn (POA and  
631 SOA increase by 7% and 10%, respectively), and is small during summer (POA and  
632 SOA increase by 2% and 5%, respectively) (Figures 8). This results in an improved  
633 model performance for both POA and SOA during all seasons. The highest  
634 improvement is found for SOA during spring when the NMB is reduced to -6% from  
635 -20% in the reference. Despite the significant increase of SOA concentrations during  
636 winter (by 36%), the model still strongly underestimates SOA (NMB=-76%),  
637 indicating that the model underprediction of OOA cannot be attributed solely to



638 errors in the simulation of removal processes. Therefore, we expect that the  
639 discrepancy in this season is related to sources that are missing or underestimated in  
640 emission inventories, such as residential wood combustion in winter (Denier van der  
641 Gon et al., 2015) and additional oxidation pathways.

642

### 643 **8 Summary and conclusions**

644 We investigated the effect of parameters and assumptions that control the  
645 emissions, photochemical aging, and scavenging efficiency of LVOCs, SVOCs and  
646 IVOCs on the simulated OA concentrations. We used the organic aerosol module  
647 ORACLE, based on the VBS framework, in the EMAC global chemistry-climate  
648 model. A global dataset of AMS measurements has been used to evaluate the  
649 predicted POA and SOA concentrations, based on a number of sensitivity tests.

650 The results show that total OA concentrations are sensitive to the emissions of  
651 IVOCs. By neglecting these emissions, the model produces unrealistically low SOA  
652 concentrations resulting in the poorest model performance (NMB=-52%) compared to  
653 the other eight simulations conducted (Table 3). Conversely, increasing the IVOC  
654 emissions substantially improved the SOA model results, leading to the best model  
655 performance (NMB=-10%). These results emphasize the need to accurately estimate  
656 the IVOC emissions independently. The use of a more accurate POA emission  
657 inventory is found to be of prime importance for the model performance, especially to  
658 improve simulated POA concentrations in winter. In our tests, using an alternative  
659 POA emission inventory led to a NMB of -25% compared to a low bias in the  
660 performance of the reference model.

661 Sensitivity tests of the photochemical aging of SVOCs and IVOCs indicate the  
662 importance of the OH-reaction rate. Assuming an increased reactivity of SVOC and  
663 IVOC with OH improves the model results for SOA (NMB=-22%). This is even more  
664 important for the IVOCs, which participate in a larger number of photochemical  
665 reactions during atmospheric transport compared to the SVOCs. Another assumption  
666 tested is that oxidation reactions of IVOCs are similar to many other VOCs, and  
667 produce partly oxidized compounds with several orders of magnitude lower  
668 volatilities. Despite the strong volatility reduction of the IVOC oxidation products, the  
669 performance of the model was similar to the reference simulation since the IVOC  
670 aerosol yields were lower compared to the stoichiometric coefficient used in the



671 reference. The use of an alternative aging scheme (based on Robinson et al., 2007)  
672 resulted in lower SOA concentrations since the photochemical aging of SVOCs and  
673 IVOCs was less effective. This led to a slight reduction in model performance for  
674 SOA (Table 3). In this sensitivity test the fraction of SVOCs to total OA emissions  
675 was lower compared to the reference, resulting in a significant reduction of POA and  
676 a reduced model performance (NMB=-67%). This underscores the significance of the  
677 assumed volatility distribution of OA emissions.

678 The calculated OA concentrations are highly sensitive to the scavenging  
679 efficiency of the gas-phase SVOCs and IVOCs, expressed by the Henry's law  
680 constant ( $H$ ). Reducing  $H$  resulted in an increase of both POA and SOA  
681 concentrations, especially from the oxidation of IVOCs. This increase yielded  
682 improved model performance, particularly for SOA (Table 3). Assuming different  
683 hygroscopicity for the freshly emitted and the photochemically processed SVOCs and  
684 IVOCs resulted in similar improvement of the model results (Tables 2 and 3). In this  
685 sensitivity test, the simulated POA improved substantially during winter (NMB=-  
686 29%) during which the model has difficulties reproducing AMS observations  
687 (Tsimpidi et al., 2016). Nevertheless, SOA was still underpredicted during winter  
688 (NMB=-76%) indicating that other processes (e.g., seasonally dependent emissions  
689 and alternative oxidation paths) are a main cause of the inadequate performance.

690 Our results indicate that IVOCs can be major contributors to OA formation on a  
691 global scale. However, their abundance and physicochemical properties are poorly  
692 known, and more research is needed to determine the parameters that control their  
693 emissions, chemistry, and atmospheric removal. According to the model results, a  
694 combination of increased IVOC emissions, enhanced photochemical aging of IVOCs,  
695 and decreased hygroscopicity of the freshly emitted IVOCs can help reduce  
696 discrepancies between simulated SOA and observed OOA concentrations.

697

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702

703

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894 **Table 1.** Parameters used in the sensitivity simulations

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Simulation	Emission factor		Emission rate (Tg yr <sup>-1</sup> )		Volatility bins	Reduction in volatility (µg m <sup>-3</sup> )	Stoichiometric coefficient of aging reactions	Oxidation rate constant (cm <sup>3</sup> molec <sup>-1</sup> s <sup>-1</sup> )	Henry's law constant (mol L <sup>-1</sup> atm <sup>-1</sup> )	
	fPOA	bbPOA	fPOA	bbPOA					Freshly emitted	Aged
Reference	2.5	1	44.2	28.4	5	10 <sup>2</sup>	1.15	2x10 <sup>-11</sup>	10 <sup>5</sup>	10 <sup>5</sup>
Low volatility	1	1	17.7	28.4	5	10 <sup>2</sup>	1.15	2x10 <sup>-11</sup>	10 <sup>5</sup>	10 <sup>5</sup>
High IVOCs	4	2.5	70.7	71	5	10 <sup>2</sup>	1.15	2x10 <sup>-11</sup>	10 <sup>5</sup>	10 <sup>5</sup>
Alternative POA emissions	2.5	1	28.5	37.8	5	10 <sup>2</sup>	1.15	2x10 <sup>-11</sup>	10 <sup>5</sup>	10 <sup>5</sup>
High reaction rate constant	2.5	1	44.2	28.4	5	10 <sup>2</sup>	1.15	4x10 <sup>-11</sup>	10 <sup>5</sup>	10 <sup>5</sup>
Alternative aging scheme	2.5	1	44.2	28.4	9	10	1.075	4x10 <sup>-11</sup>	10 <sup>5</sup>	10 <sup>5</sup>
Hybrid aging scheme	2.5	1	44.2	28.4	5	SVOCs:10 <sup>2</sup> IVOCs:10 <sup>4</sup> -10 <sup>6</sup>	SVOCs:1.15 IVOCs:1.115-0.71	SVOCs:2x10 <sup>-11</sup> IVOCs:1.2x10 <sup>-11</sup>	10 <sup>5</sup>	10 <sup>5</sup>
Low solubility	2.5	1	44.2	28.4	5	10 <sup>2</sup>	1.15	2x10 <sup>-11</sup>	10 <sup>3</sup>	10 <sup>3</sup>
Variable solubility	2.5	1	44.2	28.4	5	10 <sup>2</sup>	1.15	2x10 <sup>-11</sup>	10	10 <sup>5</sup>



896 **Table 2.** Statistical evaluation of EMAC POA (sum of fPOA and bbPOA) against  
897 AMS POA (sum of HOA and BBOA) using 61 data sets in urban downwind and rural  
898 areas during 2001-2010.  
899

Simulation Name	Mean	Mean	MAGE	MB	NME	NMB	RMSE
	Observed ( $\mu\text{g m}^{-3}$ )	Predicted ( $\mu\text{g m}^{-3}$ )	( $\mu\text{g m}^{-3}$ )	( $\mu\text{g m}^{-3}$ )	(%)	(%)	( $\mu\text{g m}^{-3}$ )
Reference	0.53	0.51	0.38	-0.02	71	-3	0.50
Low volatility		0.75	0.46	0.22	88	43	0.64
High IVOCs		0.52	0.38	-0.01	73	0	0.51
Alternative POA emissions		0.39	0.33	-0.14	63	-25	0.44
High reaction rate constant		0.50	0.37	-0.03	70	-5	0.49
Conservative aging scheme		0.17	0.42	-0.36	79	-67	0.60
Hybrid aging scheme		0.50	0.38	-0.03	72	-4	0.50
Low solubility		0.53	0.38	0	72	1	0.50
Variable solubility		0.54	0.38	0.01	73	4	0.51

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902 **Table 3.** Statistical evaluation of EMAC SOA against AMS OOA using 61 data sets  
 903 in downwind urban and rural areas during 2001-2010.  
 904

Simulation Name	Mean	Mean	MAGE	MB	NME	NMB	RMSE
	Observed ( $\mu\text{g m}^{-3}$ )	Predicted ( $\mu\text{g m}^{-3}$ )	( $\mu\text{g m}^{-3}$ )	( $\mu\text{g m}^{-3}$ )	(%)	(%)	( $\mu\text{g m}^{-3}$ )
Reference	2.78	1.91	1.39	-0.87	50	-31	2.02
Low volatility		1.32	1.69	-1.46	61	-52	2.30
High IVOCs		2.50	1.47	-0.28	53	-10	2.05
Alternative POA emissions		1.66	1.55	-1.12	56	-40	2.15
High reaction rate constant		2.16	1.32	-0.62	48	-22	1.97
Conservative aging scheme		1.73	1.49	-1.05	53	-38	2.09
Hybrid aging scheme		1.71	1.46	-1.08	53	-39	2.08
Low solubility		2.10	1.33	-0.68	48	-25	1.98
Variable solubility		2.14	1.32	-0.64	48	-23	1.97

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907 **Table 4.** Percentage change of the tropospheric burden of organic aerosol components for  
 908 each sensitivity simulation relative to the reference simulation during the decade 2001-2010.  
 909 Positive change corresponds to an increase. The predicted tropospheric burden in Tg of the  
 910 reference simulation is also shown.

	fPOA	bbPOA	fSOA-sv	bbSOA-sv	fSOA-iv	bbSOA-iv	Total OA
<b>Tropospheric burden of reference (Tg)</b>	0.06	0.18	0.13	0.21	0.44	0.2	1.98
<b>Percentage Change (%) from reference</b>							
<b>Simulation Name</b>							
Low volatility	53	48	14	39	-100	-100	-23
High IVOCs	7	5	-3	-4	88	165	38
Alternative POA emissions	-39	10	-33	11	-34	11	-8
High reaction rate constant	-10	-7	11	11	8	6	4
Alternative aging scheme	-65	-38	-68	-47	14	30	-10
Hybrid aging scheme	-2	-1	2	2	-37	-36	-13
Low solubility	6	1	11	4	21	8	8
Variable solubility	9	2	14	5	22	7	8

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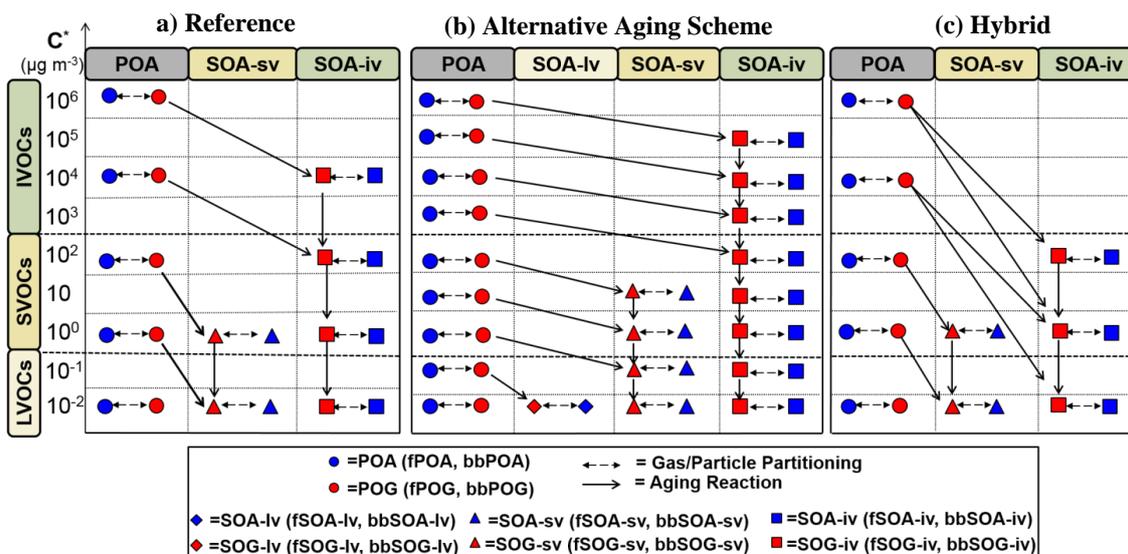
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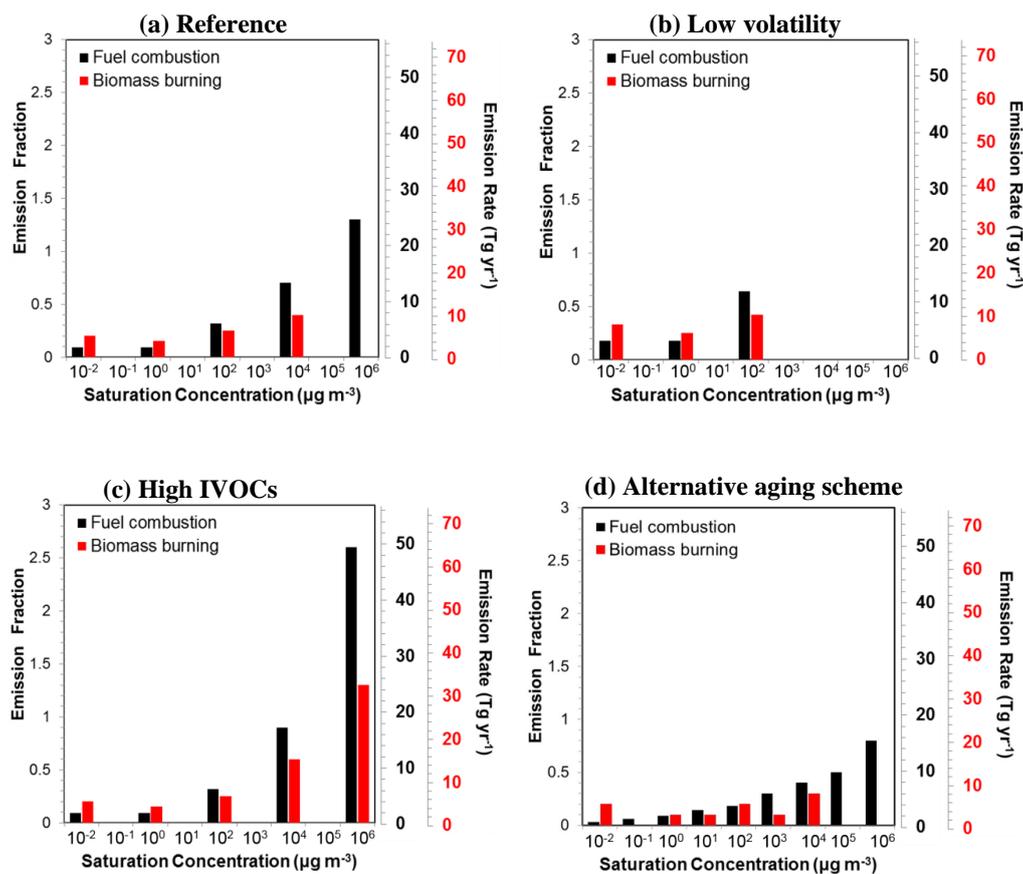
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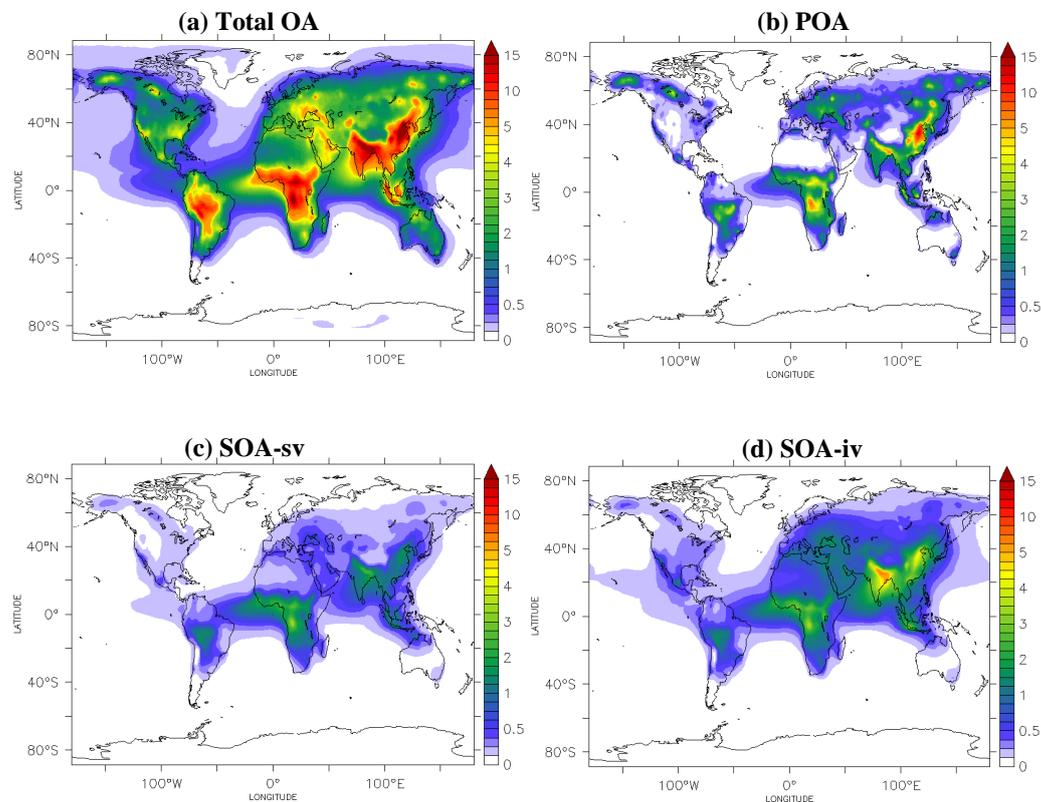
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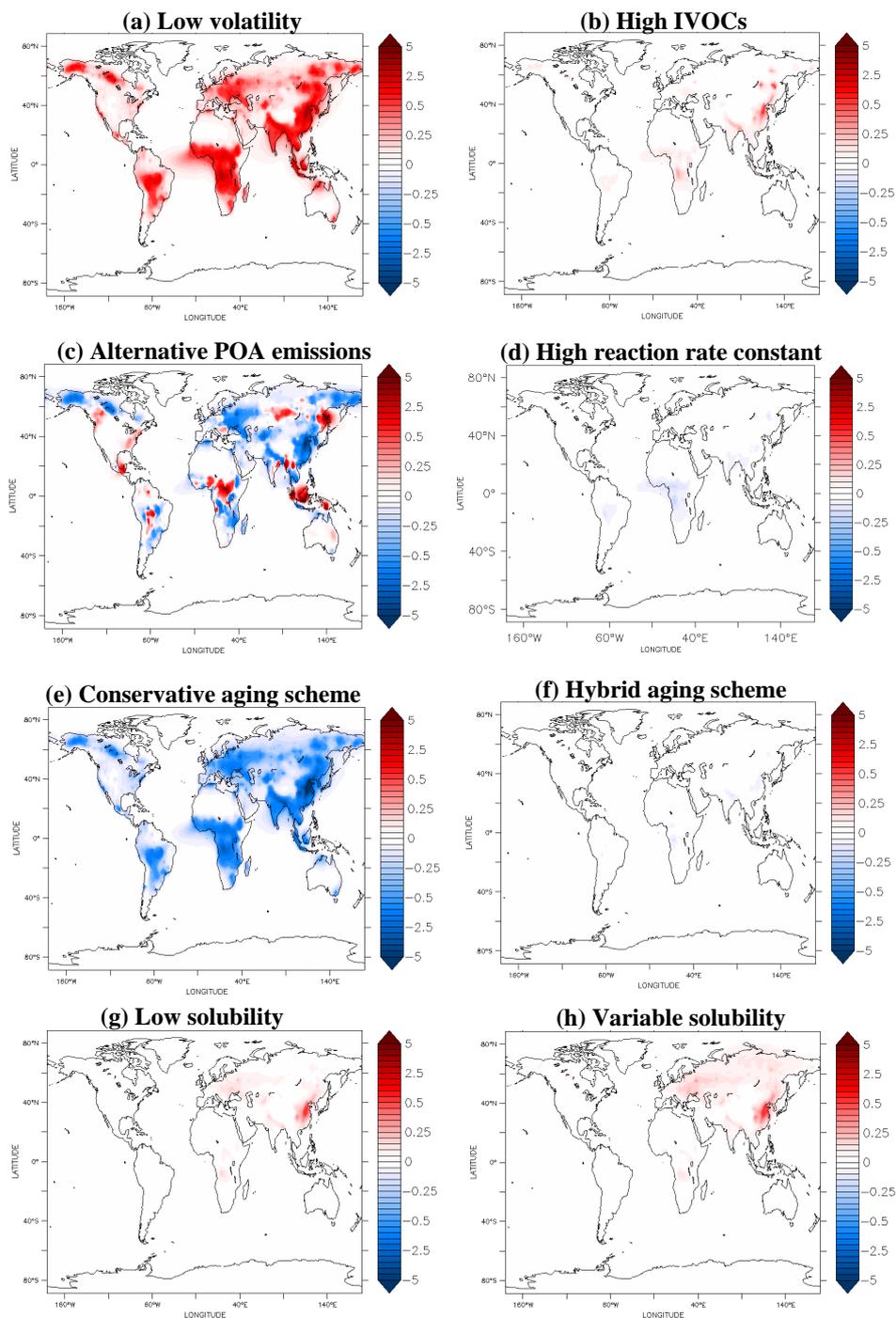
**Figure 1:** Schematic of the VBS resolution and the formation of SOA from SVOCs and IVOCs in the: (a) reference simulation, (b) alternative aging scheme and (c) hybrid case. SOA from LVOCs (SOA-iv) is only formed in the alternative aging scheme (b). Red indicates that the organic compound is in the vapor phase and blue in the particulate phase. The circles correspond to primary organics emitted as gases or particles. Diamonds symbolize the formation of SOA from LVOC emissions by fuel combustion and biomass burning. Triangles indicate SOA formation from SVOC emissions by fuel combustion and biomass burning, while the squares show SOA from IVOC by the same sources. Gas-aerosol partitioning, aging reactions, and names of species are also shown.



**Figure 2.** Volatility distribution for fuel combustion (black) and biomass burning OA (red) for the (a) reference, (b) low volatility, (c) high IVOCs and (d) conservative aging scheme simulations. The reference emission factors are from Robinson et al. (2007) for fPOA and May et al. (2013) for bbPOA emissions. The emission rates of fPOA and bbPOA are also shown on the right axis.

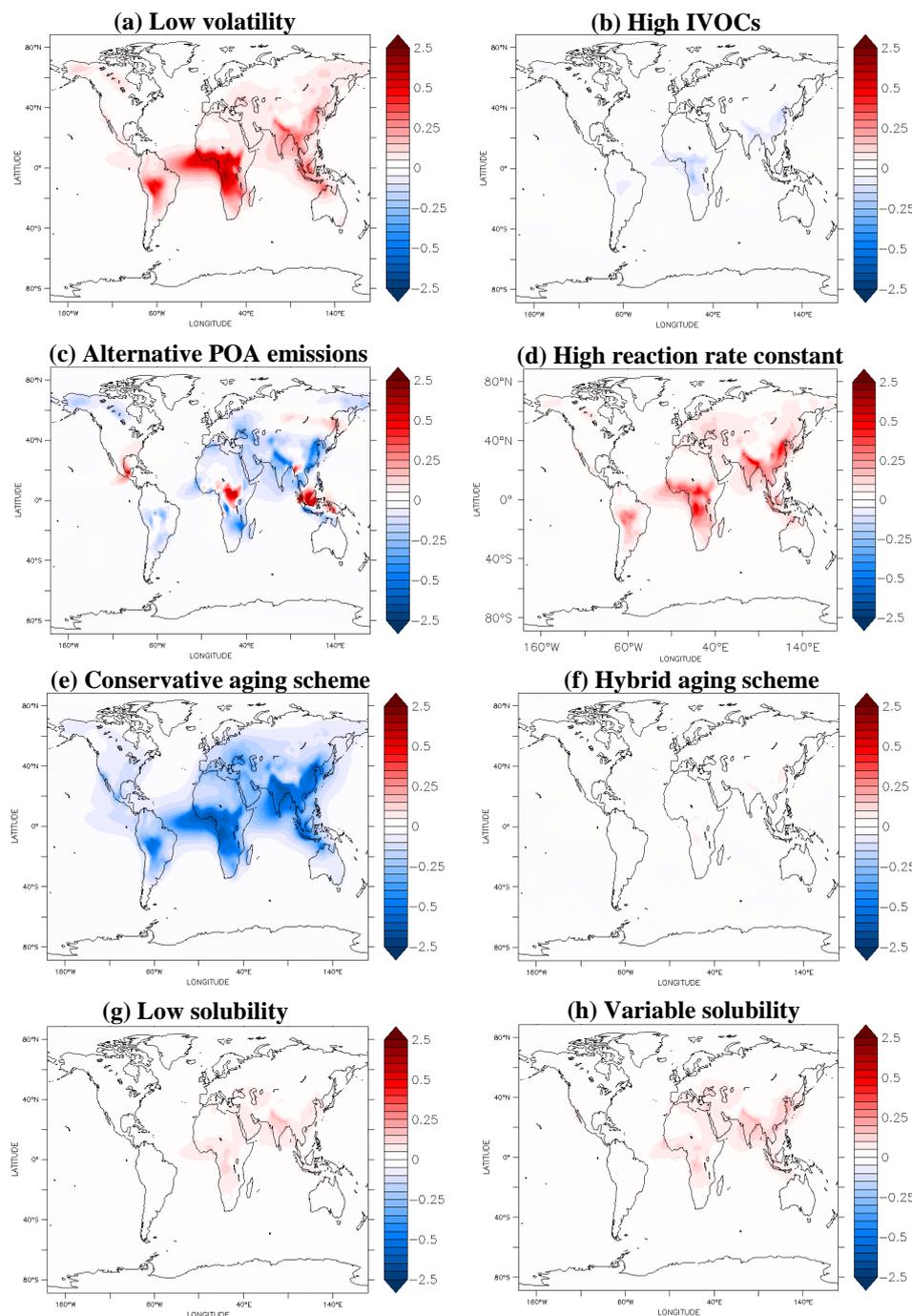


**Figure 3:** Predicted average surface concentrations (in  $\mu\text{g m}^{-3}$ ) of: **(a)** Total OA (sum of POA, SOA-sv, SOA-iv and SOA-v), **(b)** POA and **(c)** SOA from the oxidation of SVOCs (SOA-sv) and **(d)** SOA from the oxidation of IVOCs (SOA-iv) for the reference simulation during the 2001-2010 period.

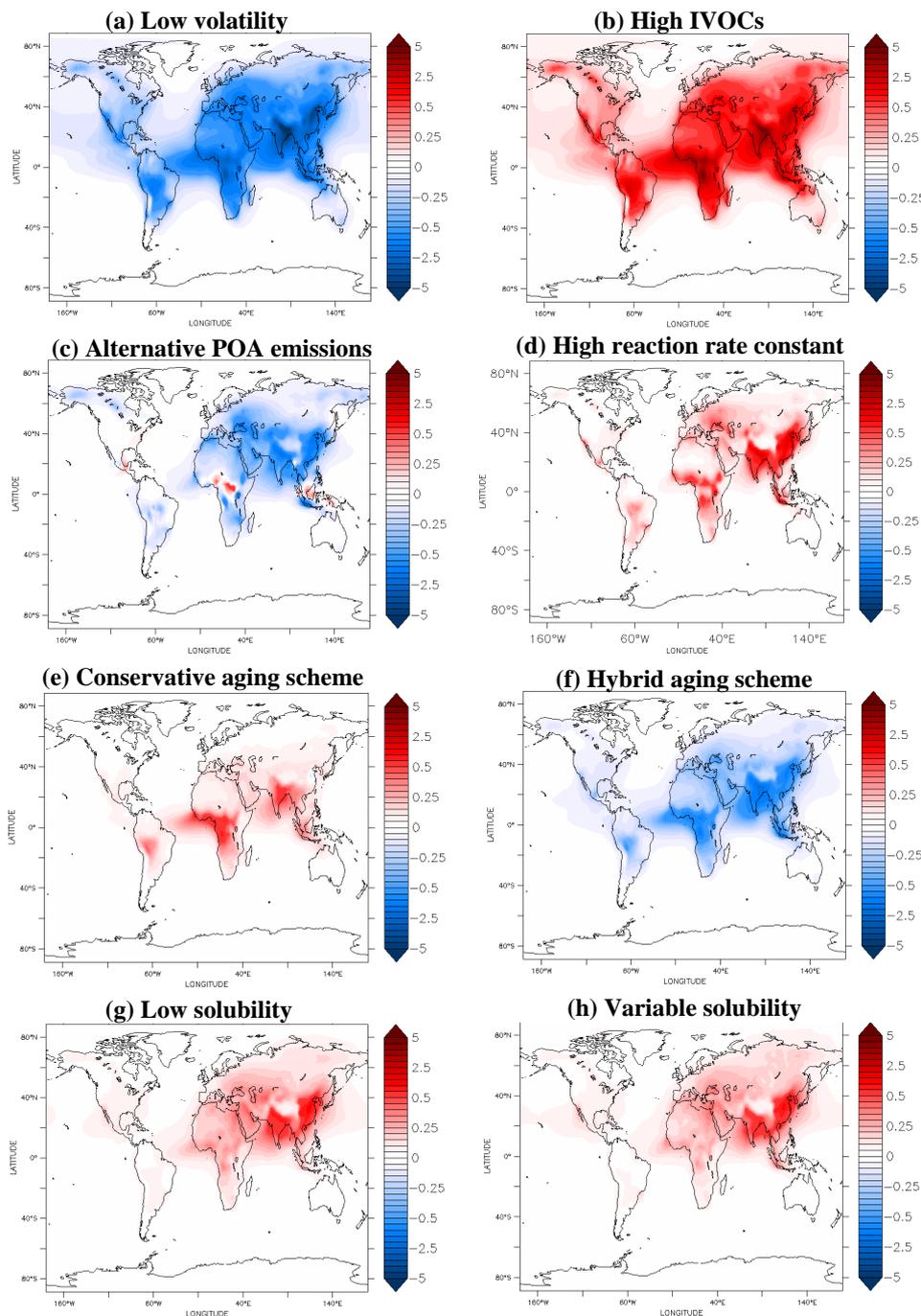


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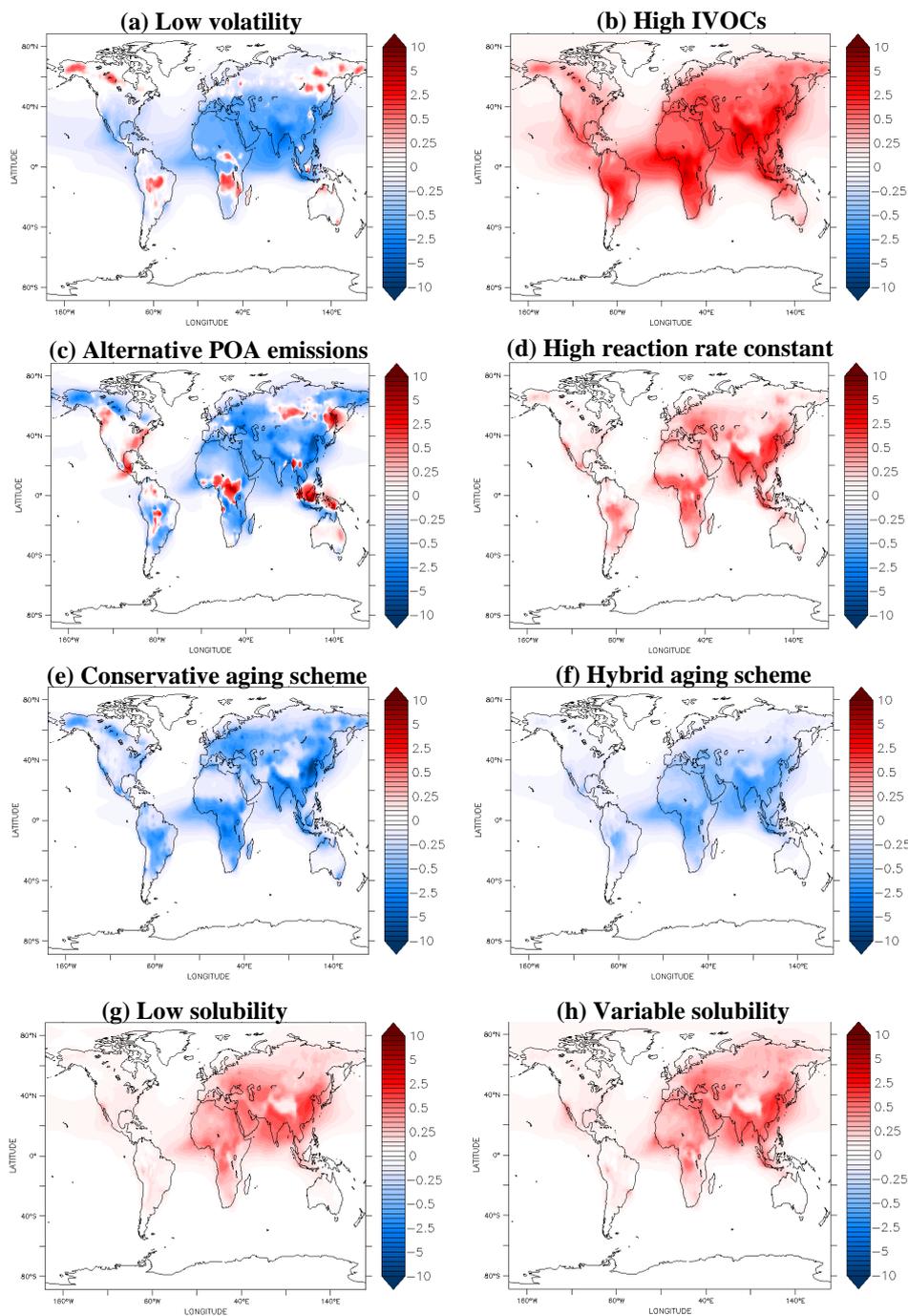
**Figure 4:** Absolute changes (in  $\mu\text{g m}^{-3}$ ) of the average surface POA concentrations between the reference and the (a) low volatility, (b) high IVOCs, (c) alternative POA emissions, (d) high reaction rate constant, (e) conservative aging scheme, (f) hybrid aging scheme, (g) low solubility, and, (h) hybrid solubility simulations during the period 2001-2010. A positive change indicates an increase in the sensitivity test.



**Figure 5:** Absolute changes (in  $\mu\text{g m}^{-3}$ ) of the average surface SOA concentrations from SVOCs (SOA-sv) between the reference and the (a) low volatility, (b) high IVOCs, (c) alternative POA emissions, (d) high reaction rate constant, (e) conservative aging scheme, (f) hybrid aging scheme, (g) low solubility, and, (h) hybrid solubility simulations during the period 2001-2010. A positive change indicates an increase in the sensitivity test.

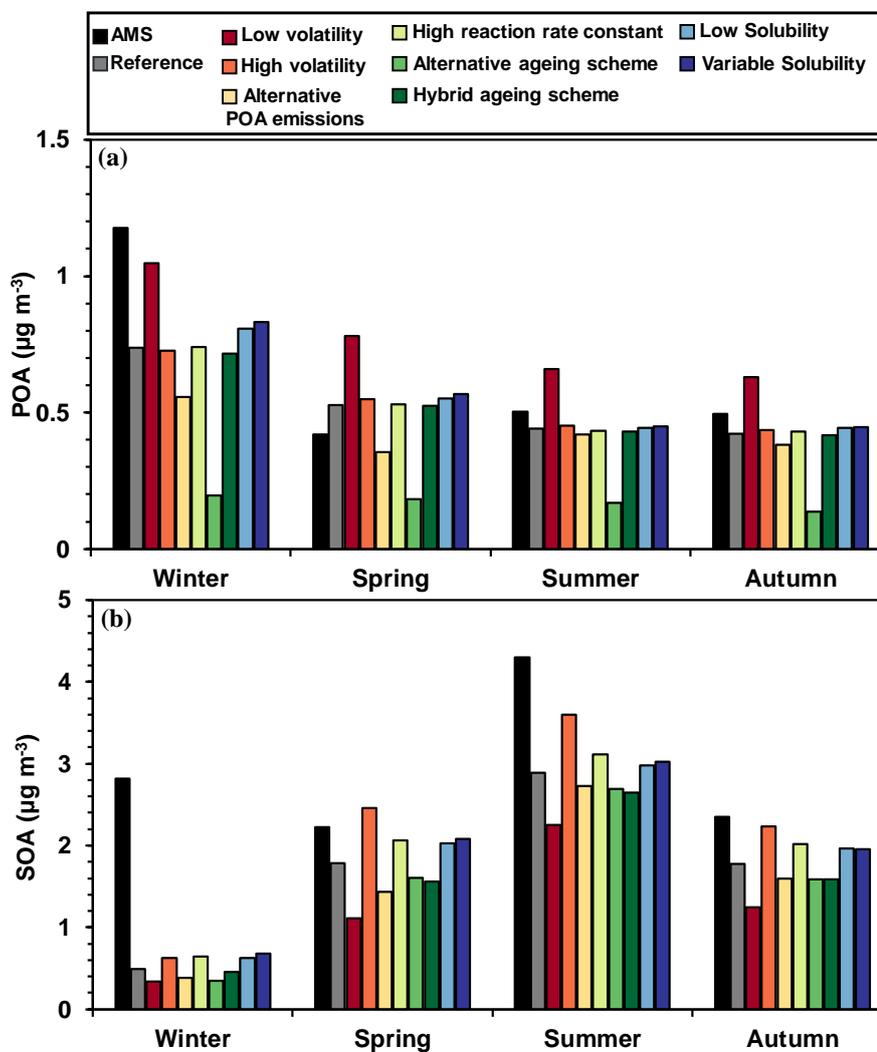


**Figure 6:** Absolute changes (in  $\mu\text{g m}^{-3}$ ) of the average surface SOA concentrations from IVOCs (SOA-iv) between the reference and the (a) low volatility, (b) high IVOCs, (c) alternative POA emissions, (d) high reaction rate constant, (e) conservative aging scheme, (f) hybrid aging scheme, (g) low solubility, and, (h) hybrid solubility simulations during the period 2001–2010. A positive change indicates an increase in the sensitivity test.



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**Figure 7:** Absolute changes (in  $\mu\text{g m}^{-3}$ ) of the average surface total OA concentrations between the reference and the (a) low volatility, (b) high IVOCs, (c) alternative POA emissions, (d) high reaction rate constant, (e) conservative aging scheme, (f) hybrid aging scheme, (g) low solubility, and, (h) hybrid solubility simulations during the period 2001-2010. A positive change indicates an increase in the sensitivity test.



**Figure 8:** Average (a) POA and (b) SOA concentrations (in  $\mu\text{g m}^{-3}$ ) measured and predicted in the reference and sensitivity simulations during winter, spring, summer, and autumn in urban-downwind and rural areas of the continental Northern Hemisphere.