



1 **Interpreting the  $^{13}\text{C}/^{12}\text{C}$  ratio of carbon dioxide in an urban airshed in the Yangtze**  
2 **River Delta, China**

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24 **Abstract:** Observations of atmospheric CO<sub>2</sub> molar fraction and its <sup>13</sup>C isotope composition  
25 ( $\delta^{13}\text{C}$ ) in urban airsheds provide constraints on the roles of anthropogenic and natural sources  
26 in local and regional C cycles. In this study, we report observations of these quantities in  
27 Nanjing at hourly intervals from March 2013 to August 2015 using a laser-based optical  
28 instrument. Nanjing is the second largest city located in the highly industrialized Yangtze  
29 River Delta (YRD), Eastern China. The mean CO<sub>2</sub> molar fraction and <sup>13</sup>C were 439.7 ppm  
30 and -8.48‰ over this observational period. The peak monthly mean  $\delta^{13}\text{C}$  (-7.44‰, July 2013)  
31 was 1.03‰ higher than that observed at the Mauna Loa Observatory. The highly enriched <sup>13</sup>C  
32 signal was attributed to the influence of cement production in the region. By applying the  
33 Keeling plot and the Miller-Tans method to midnight and midday observations, respectively,  
34 we showed that the <sup>13</sup>C signal of C sources in the Nanjing Municipality was 0.48‰ lower  
35 than that in the YRD. Flux partitioning calculations revealed that natural ecosystems in the  
36 YRD were a negligibly small sink of atmospheric CO<sub>2</sub>, consistent with the Carbon Tracker  
37 inverse modeling result.

38

39 **Keywords:** urban areas; CO<sub>2</sub> flux; Industrial process; Carbon isotope; In-situ observation

40



## 41 **1 Introduction**

42 Atmospheric CO<sub>2</sub> sources in urban areas consist mainly of plant uptake and release and fossil  
43 fuel combustion. These sources have their unique <sup>13</sup>C isotopic signatures. City clusters are  
44 human-dominated systems with high carbon emission intensity, contributing over 70% of the  
45 total anthropogenic CO<sub>2</sub> to the atmosphere (Satterthwaite 2008). Previous urban isotopic  
46 studies emphasize carbon emissions from fossil combustion (Zondervan and Meijer 1996,  
47 Pataki et al. 2003, Zimnoch et al. 2004, Affek and Eiler 2006, Newman et al. 2008).  
48 Relatively little attention is given to the isotopic signature of carbon dioxide released by  
49 cement production, which is much heavier than that of fossil fuel origin (Andres et al. 1994).  
50 Likewise, the CO<sub>2</sub> emitted from burning of minerals in non-energy consumption industrial  
51 processes, such as iron and steel production, has higher <sup>13</sup>C composition than that of fossil  
52 (Table 1, Widory 2006). In China, cement production and industrial processes contribute 13%  
53 of the total anthropogenic CO<sub>2</sub> emission (Mu et al. 2013). Many of these industrial activities  
54 occur in or near urban areas. So far, little is known about their roles in the atmospheric δ<sup>13</sup>C  
55 budget.

56 One scientific motivation for quantifying the <sup>13</sup>C signature of atmospheric CO<sub>2</sub> is that it  
57 provides constraints that allow partitioning of the net surface flux into component fluxes  
58 (Farquhar and Lloyd 1993, Yakir and ternberg 2000, Pataki et al. 2003). The <sup>13</sup>C-based  
59 partitioning method has been used primarily for vegetation ecosystems, such as forests  
60 (Lloyd et al. 1996, Lloyd et al. 2001, Ometto, et al. 2006, Zobitz et al. 2008), grasses (Ometto  
61 et al. 2002, Pataki et al. 2003), and crops (Leavitt et al. 1995, Griffis et al. 2005). The  
62 approach has also been used in a limited number of urban studies (Pataki et al. 2003,



63 Zimnoch et al. 2004, Newman et al. 2008, Jasek et al. 2014). Compared with vegetation  
64 ecosystems, urban ecosystems have more complex CO<sub>2</sub> source configuration. We must  
65 consider both natural sources (plants and soils) and anthropogenic sources (fossil combustion  
66 and non-energy industrial processes) and the fact the degree of mixing of urban air with the  
67 free troposphere and the air outside the urban boundary varies diurnally and seasonally.  
68 Anthropogenic emissions are hard to quantify because they depend on multiple factors  
69 including city size, population density, fossil mix, and climate.

70 One of the first measurements of the carbon isotope composition of CO<sub>2</sub> in an urban  
71 atmosphere was made by Friedman and Irsa (1967). Since then, a few more experiments have  
72 been conducted in urban environments. The data collected have been used to partition CO<sub>2</sub>  
73 contributors (Koerner and Klopatek 2002, Clark-Thorne and Yapp 2003), to quantify diurnal  
74 variations in the CO<sub>2</sub> molar fraction and its  $\delta^{13}\text{C}$  in urban air (Zimnoch et al. 2004, Guha and  
75 Ghosh 2010) and across urban to rural gradients (Lichtfouse et al. 2003, Pataki et al. 2007),  
76 and variations among different land uses in urban areas (Clark-Thorne and Yapp 2003,  
77 Widory and Javoy 2003). The isotopic data reveals insights into energy consumption patterns  
78 (Widory and Javoy 2003, Bush et al. 2007), impacts of meteorology including temperature  
79 (Clark-Thorne and Yapp 2003, Zimnoch et al. 2004), atmospheric stability (Pataki et al. 2005)  
80 and wind (Clark-Thorne and Yapp 2003) on urban carbon cycling, and the role of vegetation  
81 phenology (Ehleringer et al. 2002, Takahashi et al. 2002, Wang and Pataki 2012). The  
82 analytical technique deployed in these studies is mainly based on mass-spectrometry (MS).  
83 Because sample collection, preparation and analysis are labor intensive, the majority of these  
84 studies are limited to short campaigns (less than 60 days).



85 In recent years, the development of isotope ratio infrared spectroscopy (IRIS) and on-line  
86 calibration technology provides a new solution for long-term in-situ observation of the CO<sub>2</sub>  
87 molar fraction and its  $\delta^{13}\text{C}$  at high frequencies (1 Hz to 1 hour; Pataki et al. 2006, Griffis.  
88 2013, Gorski et al. 2015). Compared with the MS method, IRIS can capture diurnal or even  
89 shorter temporal variations with relatively high accuracy, enabling us to understand how  
90 anthropogenic emissions change atmospheric CO<sub>2</sub> at various temporal and spatial scales.  
91 Nevertheless, application of the IRIS technology in urban monitoring is still limited in terms  
92 of cities covered and measurement duration (less than 35 days; McManus et al. 2002, Pataki  
93 et al. 2006, Wada et al. 2011; 3 seasons; Moore and Jacobson 2015) and only one published  
94 study has presented data that spans one full annual cycle (Pang et al. 2016).

95 Simultaneous measurement of atmospheric CO<sub>2</sub> concentration and its isotopic  
96 composition is used to determine the overall isotopic signature of local surface sources  $\delta^{13}\text{C}_s$ .  
97 All published urban studies to date have deployed the Keeling plot method (Keeling 1958,  
98 Keeling 1961) for the determination of  $\delta^{13}\text{C}_s$ . In this approach, a linear relationship is  
99 established between  $\delta^{13}\text{C}$  and the reciprocal of the CO<sub>2</sub> molar fraction from the observed time  
100 series, and the intercept of the linear regression is taken as the isotopic composition of the  
101 local CO<sub>2</sub> emissions. The method assumes that the isotopic signature of the sources is  
102 invariant with time. It also assumes that changes in the CO<sub>2</sub> molar fraction and  $\delta^{13}\text{C}$  are  
103 attributed only to the surface sources and are unaffected by regional carbon sources (Pataki et  
104 al. 2003). However, these assumptions strictly do not hold in an urban environment because  
105 the intensity of traffic emissions varies strongly through the diurnal cycle (McDonald et al.  
106 2014), and therefore the effective source  $^{13}\text{C}$  signature cannot be assumed constant. In



107 addition, because of strong atmospheric mixing in the daytime convective boundary layer, the  
108 background air in the upper troposphere can be easily entrained to the surface layer, mixing  
109 the CO<sub>2</sub> that originates from regional sources with that emitted locally in the urban airshed.

110 Miller and Tans (2003) propose that  $\delta^{13}\text{C}_s$  be determined as the slope of the linear  
111 relationship

$$112 \quad \delta_a C_a - \delta_b C_b = \delta^{13}\text{C}_s (C_a - C_b) \quad (1)$$

113 where  $C_a$  is CO<sub>2</sub> molar fraction in urban air,  $C_b$  is CO<sub>2</sub> molar fraction in a background site  
114 [taken in this study as that observed at the Mauna Loa Observatory (MLO)],  $\delta_a$  is <sup>13</sup>C isotopic  
115 composition of  $C_a$ , and  $\delta_b$  is <sup>13</sup>C isotopic composition of  $C_b$ . We argue that because this  
116 approach takes into account mixing of CO<sub>2</sub> generated locally with CO<sub>2</sub> in the background  
117 atmosphere, this method is more suitable than the Keeling method for inferring  $\delta^{13}\text{C}_s$  from  
118 the observations made in the daytime when such mixing occurs. The method has been applied  
119 to local and regional carbon budget studies in nonurban settings (Miller et al. 2003). We are  
120 not aware of studies that extend the method to an urban environment.

121 In this study, we report the results of long-term (30 months) continuous measurement of  
122 atmospheric CO<sub>2</sub> molar fraction and its  $\delta^{13}\text{C}$  at a suburban site in Nanjing using an IRIS  
123 instrument. Nanjing is the second largest city in the Yangtze River Delta (YRD), Eastern  
124 China, with a build-up area of 753 km<sup>2</sup> and a population of 8.2 million. Geographically, the  
125 YRD include the provinces of Jiangsu, Zhejiang and Anhui and the Shanghai municipality.  
126 The YRD is the most industrialized region in China and had a higher urban land fraction of  
127 10.8% as of 2014 than the global mean (2.4%, Akbari et al. 2009). In 2014, more than 220



128 large cement production factories (daily output exceeding 1000 tons) were located in the  
129 YRD, contributing about 20% of the national cement output.

130 Our objectives are (1) to characterize the atmospheric  $\delta^{13}\text{C}$  diurnal, seasonal and annual  
131 variations in this urban environment, in a region where such measurement is nonexistent, (2)  
132 to investigate the influence of cement production on atmospheric  $\delta^{13}\text{C}$ , (3) to evaluate the  
133 performance of the Keeling plot and the Miller-Tans method for determining  $\delta^{13}\text{C}$ s, and (4) to  
134 explore the utility of the isotopic constraints for inferring the net surface flux and the plant  
135  $\text{CO}_2$  flux in Nanjing and in the YRD.

136

## 137 2 Methods

### 138 2.1 Atmospheric observation

139 An IRIS analyzer (model G1101-i, Picarro Inc., Sunnyvale, CA) was used to measure  
140 atmospheric  $\text{CO}_2$  molar fraction and its  $^{13}\text{C}$  isotope composition ( $\delta^{13}\text{C}$ ) continuously from  
141 February 2013 to August 2015. The measurement was made at 0.3 Hz and at an air flow rate  
142 of  $30 \text{ mL min}^{-1}$  at standard temperature and pressure. One three-way solenoid valve was  
143 combined with two two-way solenoid valves, so the analyzer could be switched for  
144 atmospheric sampling and for sampling of two standard gases. Calibration was carried out  
145 every 3 h by sampling each standard gas for 5 minutes following the procedure of Bowling et  
146 al. (2003) and Wen et al. (2013). Table 1 lists the concentrations and their isotopic  
147 compositions of the standard gases used in this study. The  $\text{CO}_2$  molar fraction of the standard  
148 gases was traceable to the WMO 2007 scale reported by the Central Calibration Laboratory  
149 of the World Meteorological Organization and their  $\delta^{13}\text{C}$  was based on the NBS-19 and the



150 NBS20 standards of NOAA-EASL. The ambient measurement was averaged to hourly  
151 intervals. The isotopic composition was expressed in the delta notation ( $\delta^{13}\text{C}$ ) in reference to  
152 the VPDB scale.

153 The analyzer was housed on the 9<sup>th</sup> floor of our laboratory building on the campus of  
154 Nanjing University of Information, Science and Technology (NUIST, 32°12'N, 118°43'E), in  
155 the northern suburb of Nanjing, at a linear distance of 20 km to the city center. The  
156 instrument inlet was at a height of 34 m above the ground. There was no anthropogenic CO<sub>2</sub>  
157 source in the 3 km radius except for a commuting road located about 300 m east of the  
158 observation site. The nearest industrial complex, the Nanjing Iron & Steel Group Co. Lt and  
159 the Nanjing Chemical Industry Group, was located at ~5 km to the south of the site.

160 The  $\delta^{13}\text{C}$  measured by the analyzer in high humidity conditions suffers a high bias error  
161 due to spectral broadening and direct spectral interference (Rella 2011). To correct for the  
162 humidity interference, we carried out two tests using a dew-point generator (model 610, LI-  
163 COR, Inc., Lincoln, NE). A CO<sub>2</sub> standard gas (secondary standard gas, 439 ppm in test one  
164 and 488 ppm in test two, balanced by dry air) was fed into the dew-point generator. The  
165 outlet of the dew-point generator was connected with a 3-way union with one end linked to  
166 the inlet of the analyzer and the other open to the room. The humidity level of the air coming  
167 out of the dew point generator was regulated at eight levels in a dew-point temperature range  
168 of 1 and 30°C. Because the <sup>13</sup>C composition of the standard gas was constant, any observed  
169 variations were caused by the humidity artifact. We found that no correction was needed for  
170 our analyzer if the humidity was below 2.03%. Above this humidity level, the measurement  
171 was biased high by 0.46‰ for every 1% increase in the water vapor volume molar fraction



172 (Figure 1). The two tests, taken eight months apart, yielded essentially the same result. The  
173 correction equation is

$$174 \quad \delta^{13}C = \delta^{13}C_{true} \quad H \leq 2.03\% \quad (2a)$$

$$175 \quad \delta^{13}C = \delta^{13}C_{true} + 0.46(H - 2.03) \quad H > 2.03\% \quad (2b)$$

176 where H is water vapor volume molar fraction in percent,  $\delta^{13}C$  is the measured isotopic  
177 composition (after the two-point calibration), and  $\delta^{13}C_{true}$  is the true isotopic composition. In  
178 the following, all the data has been corrected for the humidity interference.

179

## 180 **2.2 The isotopic composition ( $\delta^{13}C_s$ ) of surface sources**

181 We applied the Keeling plot method to the data collected during midnight hours (22:00-6:00  
182 local time). We used the geometric regression to establish a linear relationship between the  
183 hourly  $\delta^{13}C$  and the reciprocal of the hourly  $CO_2$  molar fraction over monthly intervals. The  
184 intercept of the regression gives the effective isotopic composition of net surface  $CO_2$   
185 emissions. The buildup of  $CO_2$  at night is primarily the result of sources in the city (Shen et  
186 al. 2014), so we considered the  $\delta^{13}C_s$  determined from the nighttime observations to represent  
187 the signal of the sources located in the city.

188 We applied the Miller-Tans method to the data collected in midday hours (10:00 to 16:00  
189 local time; Equation 1). The slope was obtained by linear regression of  $C_a$  against ( $\delta_a C_a -$   
190  $\delta_b C_b$ ), again over monthly intervals. The monthly mean  $CO_2$  molar fraction and the isotopic  
191 composition of the background air were those observed at MLO. Because the MLO data were  
192 not available for 2015 at the time of this analysis, we first established a four-harmonic  
193 quadratic function (Thoning et al. 1989) using the dataset from 2000 to 2013, and then used



194 the function to estimate the monthly  $\delta^{13}\text{C}_b$  and  $\text{C}_b$  values for 2015. The vigorous turbulent  
195 exchange in the midday boundary layer diminishes the role of local sources in the measured  
196 concentration and isotopic ratio, or in other words, the midday measurement has a much  
197 larger source footprint than the size of the urban land itself or the footprint of the midnight  
198 measurement. Hence we interpreted the midday results to represent the influence of surface  
199 sources in the YRD region. This interpretation is supported by a trajectory analysis and by an  
200 analysis of the atmospheric methane to  $\text{CO}_2$  emissions ratio (Shen et al. 2014).

201

### 202 **2.3 Inventory of anthropogenic sources**

203 We calculated the anthropogenic  $\text{CO}_2$  fluxes from energy consumption and industrial process  
204 following the scope one procedure issued by the International Council for Local  
205 Environmental Initiatives (ICLEI, 2008). The energy consumption source consisted of direct  
206 emissions from the three main energy consumption sectors (industry, transport, and  
207 household). We ignored the commerce sector here because the main energy consumption in  
208 this sector in Nanjing and in the YRD was electric power generated by coal and coal  
209 consumption which was already considered in scope one. The amounts of  $\text{CO}_2$  emission were  
210 estimated with the IPCC methodology adopting the emission factors for each fossil fuel type  
211 recommended by IPCC. The calculations were done for both the YRD region and for the  
212 Nanjing municipality. Because no statistical data were available for energy consumption in  
213 the transport sector in Nanjing, the  $\text{CO}_2$  emission from the transport sector was deduced  
214 according to vehicle number, average annual driving distance and coefficients of fuel



215 economy (Bi et al. 2011). We obtained the data on energy consumption from official sources  
216 (CESY 2013, CSY 2013, NSY, 2013).

217 The non-energy industrial processes included cement, raw iron, crude steel, and  
218 ammonia synthesis processes. In the YRD, the data were available at monthly intervals. For  
219 the city of Nanjing, only annual statistics were available.

220

#### 221 **2.4 Partitioning the net surface flux**

222 We partitioned net surface CO<sub>2</sub> flux (F<sub>S</sub>) into three component fluxes according to the  
223 following mass conservation equations

$$224 \quad F_S = F_F + F_C + F_P \quad (3)$$

$$225 \quad \delta^{13}C_S F_S = \delta^{13}C_F F_F + \delta^{13}C_C F_C + \delta^{13}C_P F_P \quad (4)$$

226 where F<sub>F</sub> is the flux from fossil fuel combustion and industrial emission except cement  
227 production (termed “fossil plus”), F<sub>C</sub> is the flux due to cement production, F<sub>P</sub> is the plant  
228 flux, and  $\delta^{13}C_F$ ,  $\delta^{13}C_C$ , and  $\delta^{13}C_P$  are the <sup>13</sup>C isotope composition of F<sub>F</sub>, F<sub>C</sub> and F<sub>P</sub>,  
229 respectively. We separated the cement source from other non-energy consumption industrial  
230 processes because its isotopic signature is much higher. In these equations, the monthly net  
231 surface flux (F<sub>S</sub>) and the plant flux (F<sub>P</sub>) are unknowns to be solved, and all other terms are  
232 either provided by the atmospheric measurement or by the inventory calculation. The  
233 partitioning analysis was done for both Nanjing and the YRD using the midnight and midday  
234 observations, respectively.

235 The  $\delta^{13}C_F$  was weighted average of the <sup>13</sup>C signal of individual fuel types and industrial  
236 processes (Widory 2006; Table 1). The cement isotopic composition is provided by Tans



237 (1981) and Anders (1994). We adapt a value of (-28.2‰) for  $\delta^{13}\text{C}_\text{P}$  for the YRD and Nanjing,  
238 on account of a linear relationship between  $\delta^{13}\text{C}_\text{P}$  and tree age (Fessenden and Ehleringer  
239 2002), a typical tree age in this region (40 years) and an U-shaped relationship between  $\delta^{13}\text{C}_\text{P}$   
240 and annual precipitation (Pataki et al. 2007). Our  $\delta^{13}\text{C}_\text{P}$  is more negative than that reported for  
241 a boreal forest (-26.2‰; Pataki et al. 2007) but is in closer agreement with the value reported  
242 for a Ginkgo tree in Nanjing (-29.3‰; Sun et al. 2003). A summary of the isotopic  
243 compositions of the three source categories is given in Table 2.

244 To partition the nighttime flux for Nanjing, we assumed that the nighttime  $F_\text{F}$  was 20%  
245 of the daily value. The parameter 20% was determined by the diurnal variation of the  $\text{CO}_2$   
246 flux observed with an eddy covariance system in Nanjing (Bai 2011) and in several other  
247 cities (Coultts et al. 2007, Song and Wang. 2011, Liu et al. 2012). At night, most of the  
248 factories in the city were closed and the traffic flow was reduced to about 80% of the daytime  
249 volume (Yang et al. 2011).

250

### 251 **3. Results**

#### 252 **3.1. Temporal variations in the $\text{CO}_2$ molar fraction and $\delta^{13}\text{C}$**

253 The monthly  $\text{CO}_2$  molar fraction during the summer was slightly lower than in the other  
254 seasons (Figure 2). The mean molar fraction was 446.7 ppm and 431.1 ppm for January and  
255 July, respectively, giving a seasonal amplitude of 15.6 ppm. The mean  $\text{CO}_2$  molar fraction  
256 was 439.7 ppm during the whole experimental period (March 2013 to August 2015), which is  
257 40.7 ppm higher than value observed at MLO for the same period. In 2014, the calendar year



258 with complete data coverage, the mean CO<sub>2</sub> molar fraction was 441.2 ppm, which is 42.5  
259 ppm higher than the MLO value for the same year.

260 The <sup>13</sup>C composition of atmospheric CO<sub>2</sub> displayed a stronger seasonal cycle than the  
261 molar fraction (Figure 2). The monthly mean value was -9.07‰ and -7.63‰ for January and  
262 July, respectively, with a seasonal amplitude of 1.44‰. The mean value for the whole  
263 experimental period was -8.48‰, which is slightly more negative than the MLO value (-  
264 8.44‰). The summertime (June-August) δ<sup>13</sup>C was 0.55‰ more enriched than the MLO  
265 background value.

266 The strongest diurnal variation in the CO<sub>2</sub> molar fraction was observed in the autumn  
267 season and the weakest in the winter season, with a diurnal amplitude of 27.9 ppm and 13.4  
268 ppm, respectively (Figure 3). In the summer season, the peak value was observed at 07:00  
269 and the lowest value at 19:00. Contrary to the CO<sub>2</sub> molar fraction, δ<sup>13</sup>C showed the lowest  
270 value in the early morning and the highest value in the afternoon in all the four seasons. The  
271 diurnal amplitude was 1.36‰ in the summer and 0.66‰ in the winter.

272

### 273 **3.2 Isotopic composition of the surface sources (δ<sup>13</sup>C<sub>s</sub>)**

274 Applying the Miller-Tans method and the Keeling plot to the whole experimental period  
275 yielded an apparent source signature of -25.51 ± 0.26‰ (mean ± 95% confidence bound) for  
276 sources in the YRD (Figure 4) and -25.99 ± 0.21‰ for sources in Nanjing (Figure 5). Strictly,  
277 neither method is valid over such an extensive period because the source signature varies  
278 seasonally, violating the condition of constant source signal under which the methods can be



279 used. So Figures 4 and 5 are meant more as a data consistency check than for determining the  
280 true annual mean source signatures.

281 During the two and a half years of observation, the monthly  $\delta^{13}\text{C}_s$  was lower in the  
282 winter and higher in the summer (Figure 6). The sources in the YRD had higher  $^{13}\text{C}$   
283 compositions than those in in Nanjing. The January mean value (mean of January 2014 and  
284 January 2015) was  $-24.13\text{‰}$  and  $-24.66\text{‰}$ , and the mean value of the three August months  
285 was  $-20.67\text{‰}$  and  $-23.35\text{‰}$  for the YRD and Nanjing, respectively. The mean value of the  
286 whole observational period was  $-23.25\text{‰}$  and  $-24.24\text{‰}$  for the YRD and Nanjing,  
287 respectively. These mean values based on the monthly analysis were  $2.26\text{‰}$  and  $1.75\text{‰}$   
288 greater than the apparent source signatures derived from the application of the Miller-Tans  
289 and the Keeling method to the whole dataset (Figures 4 and 5), respectively. The monthly  
290  $\delta^{13}\text{C}_s$  for the YRD (Figure 6) was highly correlated with the monthly atmospheric  $\delta^{13}\text{C}$   
291 (Figure 2; linear correlation = 0.63,  $n = 30$ ,  $p < 0.001$ ). The correlation between the monthly  
292  $\delta^{13}\text{C}_s$  for Nanjing and the monthly atmospheric  $\delta^{13}\text{C}$  was not as strong (linear correlation =  
293 0.52,  $n = 30$ ,  $p < 0.01$ ).

294 There appears to be some inter-annual variability in  $\delta^{13}\text{C}_s$ . In the YRD, the 12-month  
295 mean  $\delta^{13}\text{C}_s$  was  $-23.31\text{‰}$  from March 2013 to February 2014 and  $-23.26\text{‰}$  from March 2014  
296 to February 2015. The atmospheric  $\delta^{13}\text{C}$  also showed an increasing trend, from  $-8.36\text{‰}$  in the  
297 first period to  $-8.15\text{‰}$  in the second period.

298

### 299 **3.3 Inventory data for anthropogenic sources**



300 In the YRD, coal combustion was by far the largest source of anthropogenic CO<sub>2</sub>,  
301 contributing 70% of the overall fossil-plus emission (Table 1). Here fossil-plus emission  
302 includes contributions from combustion of all forms of fossil fuel and from non-cement  
303 industrial processes. The second and third largest source were ammonia synthesis and pig  
304 iron, with fractional contributions of about 9%. The fuel-plus source contribution to the total  
305 anthropogenic emission was 91%, with the remaining 9% contributed by cement production  
306 (Table 2).

307 In the Nanjing municipality, the fractional contribution of coal to the fossil-plus total was  
308 52%, lower than that for the YRD, and the other three major sources were ammonia synthesis  
309 (16%), pig iron (13%), and gasoline (11%). The fractional contribution of fuel-plus sources to  
310 the total anthropogenic emission was 96.4% and the fractional contribution of cement  
311 production was 3.6% (Table 1). The isotopic signature of the fossil-plus sources was 0.35‰  
312 lower for Nanjing than for the YRD.

313 The overall effective isotopic signature of the anthropogenic sources weighted by the  
314 source contributions was also lower for Nanjing than for the YRD (Table 2). The difference  
315 was 1.76‰ and was a result of lower fractional contributions in Nanjing of coal combustion  
316 and cement production, which have relatively high <sup>13</sup>C contents, and a higher fractional  
317 contribution of natural gas, which is the fuel type with the lowest <sup>13</sup>C content.

318

#### 319 **3.4. CO<sub>2</sub> fluxes in YRD and Nanjing**

320 The plant flux F<sub>P</sub> obtained with the isotopic partitioning method for the YRD agreed with the  
321 seasonal phenology expected for plants in this region (Figure 7). It was slightly negative in



322 the summer and positive in the winter, indicating net uptake and net release, respectively. The  
323 annual mean plant flux for the calendar year 2014 was  $-0.01 \text{ mg m}^{-2}\text{s}^{-1}$ . The net surface flux  
324  $F_s$  was  $0.16 \text{ mg m}^{-2}\text{s}^{-1}$ .

325 In Nanjing, the plant flux was positive throughout the year. This is because the  
326 partitioning was done for the night hours when the natural ecosystems were a source of  $\text{CO}_2$   
327 due to autotrophic and heterotrophic respiration. The flux was greater in the summer than in  
328 the winter (Figure 8). The annual mean plant flux for the calendar year 2014 was  $0.06 \text{ mg m}^{-2}$   
329  $\text{s}^{-1}$ . The net surface flux was  $0.18 \text{ mg m}^{-2}\text{s}^{-1}$ .

330

## 331 **4 Discussion**

### 332 **4.1 $\text{CO}_2$ molar fraction and $\delta^{13}\text{C}$ seasonality**

333 The atmospheric  $\text{CO}_2$  molar fraction observed in Nanjing showed very small seasonal  
334 variation (summer versus winter difference of 7.9 ppm, July versus January difference of 15.6  
335 ppm), in comparison with the data published for other cities. The  $\text{CO}_2$  molar fraction  
336 difference between the cold and the warm season is about 66 ppm in Phoenix, USA (Idso et  
337 al. 2002). In Salt Lake City, USA, the  $\text{CO}_2$  molar fraction in the summer is about 31 ppm  
338 lower than in the winter (Pataki et al., 2003). In Chicago, USA, the  $\text{CO}_2$  molar fraction varied  
339 from 397 ppm in August 2011 to 427 ppm in January 2012, showing a seasonal amplitude of  
340 30 ppm (Moore and Jacobson 2015). In Beijing, China, the seasonal variation of atmospheric  
341  $\text{CO}_2$  molar fraction is about 64.5 ppm (August versus January; Pang et al. 2016).

342 Several factors contributed to the weak seasonality in Nanjing. The climate in the YRD  
343 is relatively mild. The governmental energy policy prohibits winter heating in public



344 buildings. Most residential buildings also lack space heating in the winter. This is in contrast  
345 to energy use patterns in northern cities. In London, UK, natural gas usage in the winter  
346 heating season is 29% greater than in the non-heating autumn season (Helfter et al. 2011). In  
347 Salt Lake City, USA, energy consumption in the winter was 41% greater than in the summer  
348 (Bush et al, 2007). A similar seasonal trend of energy consumption has also been reported for  
349 Beijing (Pang et al, 2016). In Chicago, natural gas usage varied 70% to 80% in winter and  
350 about 50% in summer (Moore and Jacobson 2015). The weak energy use seasonality (Figure  
351 2) partially explains why the observed CO<sub>2</sub> molar fraction had a smaller seasonal amplitude  
352 than reported for other northern cities.

353 The weak seasonality of the observed molar fraction was also related to the low  
354 vegetation cover in the YRD and in Nanjing. The forest cover ratio is about 35% in Nanjing  
355 and in the YRD, and the overall he vegetation cover (forest plus other vegetation types) ratio  
356 in the major cities in the YRD is lower than 45% (CESY, 2013; CSY, 2013). For comparison,  
357 the vegetation cover ratio is 56% in Salt Lake City (Pataki et al. 2009) and 44% in Chicago  
358 (Rose et al. 2003). Dense vegetation is known to deplete atmospheric CO<sub>2</sub> in the summer  
359 season via photosynthetic uptake, amplifying the CO<sub>2</sub> seasonal amplitude.

360 Our  $\delta^{13}\text{C}$  seasonal amplitude (January versus July difference 1.44‰) was 30 times the  
361 amplitude observed at MLO (Figure 2) but agreed with those reported by most urban studies.  
362 For comparison, the seasonal amplitude of  $\delta^{13}\text{C}_a$  in Bangalore, India, was 0.89 to 1.32‰  
363 (Guha and Ghosh 2015). Similar amplitudes have also been reported for Chicago (January  
364 versus August difference 1.25‰; Moore and Jacobson, 2015) and Beijing (2.13‰; Pang et al.  
365 2016). In Salt Lake City, the seasonal amplitude of  $\delta^{13}\text{C}$  was approximately 1.6‰ because



366 much more natural gas consumption for heating in the winter than in the summer (Pataki et  
367 al. 2006).

368

#### 369 **4.2 Influences of cement production on atmospheric $\delta^{13}\text{C}$**

370 The high summer  $\delta^{13}\text{C}$  was one of the most unique characteristics at our site. The midday  
371  $\delta^{13}\text{C}$  reached  $-6.90\text{‰}$  in July 2013 and  $-7.21\text{‰}$  in August 2014, which were  $1.57\text{‰}$  and  
372  $1.11\text{‰}$  higher than the MLO values. The highest monthly mean  $\delta^{13}\text{C}$  occurred in July: -  
373  $7.44\text{‰}$  in July 2013,  $-7.99\text{‰}$  in July 2014 and  $-7.46\text{‰}$  in July 2015. These values were -  
374  $1.03\text{‰}$ ,  $-0.44\text{‰}$  and  $-0.93\text{‰}$  higher than the MLO value reported for the same months.

375 The high July values observed at our site cannot be fully explained by  $\text{CO}_2$  removal by  
376 plant photosynthesis. Photosynthesis and respiration are the two processes that dominate the  
377  $^{13}\text{C}$  seasonality in plant-dominated landscapes, leading to higher  $\delta^{13}\text{C}$  values in the summer  
378 and lower values in the winter. In Park Falls, Wisconsin, USA, a site in a heavily-forested  
379 landscape,  $\delta^{13}\text{C}$  was  $-7.75\text{‰}$  in August 2011 and  $-8.77\text{‰}$  in February 2012 (Moore and  
380 Jacobson, 2015). For comparison,  $\delta^{13}\text{C}$  at MLO was  $-8.24\text{‰}$  and  $-8.38\text{‰}$  in these two  
381 months. In other words, the photosynthetic effect raised the August  $\delta^{13}\text{C}$  by  $0.5\text{‰}$  above the  
382 background value, a smaller enrichment that observed at our site. Because of the low  
383 vegetation fraction, the summer photosynthetic  $\text{CO}_2$  uptake in YRD and Nanjing should be  
384 lower than at Park Falls. According to the Carbon Tracker inversion analysis (Peters et al.  
385 2007), the net ecosystem production at the grid point where Parks Fall is located is  $-0.22 \text{ mg}$   
386  $\text{m}^{-2}\text{s}^{-1}$  in July but is only  $-0.13 \text{ mg m}^{-2}\text{s}^{-1}$  at the grid point corresponding to the YRD region.



387 We would expect from the photosynthetic effect alone that the summertime  $^{13}\text{C}$  enrichment at  
388 our site to be smaller, not greater than that observed at Parks Fall.

389 Furthermore, in a human-dominated landscape, the plant photosynthetic enhancement of  
390  $^{13}\text{C}$  is offset by the  $\text{CO}_2$  from fossil fuel combustion which has low  $^{13}\text{C}$  contents. In Chicago,  
391 the monthly  $\delta^{13}\text{C}$  peaked in August at  $-8.29\text{‰}$  during the calendar year 2011, which is  $0.05\text{‰}$   
392 lower than the MLO for the same month. Similarly, in Beijing, the monthly  $\delta^{13}\text{C}$  peaked at -  
393  $9.49\text{‰}$  in August 2014, which is  $1.17\text{‰}$  lower than the MLO value for the same month.

394 We suggest that cement production was the factor responsible for the high  $\delta^{13}\text{C}$  values in  
395 the summer. The evidence supporting this interpretation is provided by data in Table 2 and  
396 Figure 7. The  $\delta^{13}\text{C}$  signal of anthropogenic  $\text{CO}_2$  in the YRD would be  $-26.42\text{‰}$  without  
397 cement production and increased to  $-23.71\text{‰}$  after inclusion of the cement source (Table 2).  
398 This  $\delta^{13}\text{C}$  value is much higher than those reported for other urban lands, such as  $-30.7\text{‰}$  for  
399 Los Angeles, USA (Newman et al. 2008) and about  $-31\text{‰}$  for Salt Lake City, USA (Bush et  
400 al. 2007). The overall surface source signal derived from atmospheric measurements (Figure  
401 6,  $-23.25\text{‰}$  and  $-24.24\text{‰}$  for the YRD and Nanjing) was also more enriched than those  
402 obtained from atmospheric measurements in other cities, such as  $-28.1\pm 0.8\text{‰}$  for Chicago in  
403 August and September (Moore and Jacobson, 2015),  $-32.4\text{‰}$  to  $-27.4\text{‰}$  for Salt Lake City in  
404 the growing season (Pataki et al. 2003),  $-27.0\text{‰}$  for Beijing in the winter heating season  
405 (Pang et al. 2016), and  $-29.3\text{‰}$  for Los Angeles, USA (Newman et al. 2008).

406 The influence of cement production on atmospheric  $\delta^{13}\text{C}$  has also been suggested for at  
407 least two other urban sites. In Bangalore, India,  $\delta^{13}\text{C}$  is  $0.05\text{‰}$  higher than that observed at an  
408 island station in the Indian Ocean, and cement production in southern India (Guha and Ghosh



409 2015) is offered as a reason to explain the enrichment of urban  $\delta^{13}\text{C}$ . The other urban site is  
410 Beijing, China, where the  $\delta^{13}\text{C}$  measurement may have been influenced by cement plants  
411 outside the city (Ren et al. 2015, Pang et al. 2016).

412

### 413 **4.3 Net surface and plant fluxes in the YRD**

414 As a human-dominated landscape, the YRD was a net source of  $\text{CO}_2$  on the monthly scale  
415 even in the growing season ( $F_s$ , Figure 7). The seasonal trends of the net surface flux  $F_s$  and  
416 the plant flux  $F_P$  were highly consistent with each other because the anthropogenic source  
417 strengths were almost constant. The mean  $F_s$  between March 2013 and February 2015 was  
418  $0.17 \text{ mg m}^{-2}\text{s}^{-1}$ , which consisted of  $0.16 \text{ mg m}^{-2}\text{s}^{-1}$  from fossil combustion and industrial  
419 processes,  $0.02 \text{ mg m}^{-2}\text{s}^{-1}$  from cement production and  $-0.01 \text{ mg m}^{-2}\text{s}^{-1}$  from biological  
420 activities. The total anthropogenic  $\text{CO}_2$  flux was  $0.18 \text{ mg m}^{-2}\text{s}^{-1}$  in the YRD, a 67% increase  
421 from the value of  $0.10 \text{ mg m}^{-2}\text{s}^{-1}$  reported for 2009 (Shen et al. 2014). From 2009 to 2012, the  
422 GDP increased by 56% according to the National Statistic Yearbook.

423 For comparison, we extracted the flux data from the Carbon Tracker database for the 9  
424 pixels that cover the YRD region. The results show that the mean plant flux  $F_P$  is slightly  
425 negative at  $-0.01 \text{ mg m}^{-2}\text{s}^{-1}$  for 2012 (Peter et al. 2007). Our estimate of  $F_P$  for 2014 also  
426 indicates that the region was a negligibly small biological sink of  $\text{CO}_2$  ( $-0.009 \text{ mg m}^{-2}\text{s}^{-1}$ ).

427

### 428 **4.4 Comparison of the Miller-Tans and the Keeling method**

429 By applying the Miller-Tans and the Keeling plot method separately to the midday and  
430 midnight periods, we obtained the effective source signatures that are consistent with the



431 inventory analysis for the YRD and for the Nanjing Municipality. The daytime Miller-Tans  
432 method revealed that the sources were on average 1.01‰ more enriched in  $^{13}\text{C}$  than the  
433 signature  $\delta^{13}\text{C}_s$  obtained with the nighttime Keeling plot analysis. For comparison, the overall  
434  $\delta^{13}\text{C}_s$  of the anthropogenic sources in the YRD was also higher than that in Nanjing, the  
435 difference being 1.76‰ (Table 2). The interpretation that the midday observations capture the  
436 influence of surface sources in the YRD region is supported by a trajectory analysis and by an  
437 analysis of the atmospheric methane to  $\text{CO}_2$  emissions ratio observed at the same site (Shen  
438 et al. 2014). We note that the atmospheric measurements gave a smaller difference between  
439 the YRD and Nanjing than that obtained by the inventory data, likely because of different  
440 biological contributions between the two spatial scales.

441 We argue that Keeling plot method is not appropriate for midday periods because the  
442 surface air is influenced by both the surface sources and by entrainment of the background air  
443 from above the boundary layer. If we applied the Keeling method to the midday observations,  
444 the linear correlation coefficient was on average -0.898 which is weaker than the correlation  
445 coefficient obtained with the Miller-Tans method (-0.956). The resulting mean  $\delta^{13}\text{C}_s$  would  
446 be 0.61‰ lower than the mean value shown in Figure 6. The difference in  $\delta^{13}\text{C}_s$  between the  
447 YRD (midday observations, Keeling method) and Nanjing (midnight observations, Keeling  
448 method) would become too small (0.38‰).

449 Conversely, the Miller-Tans method is not recommended for midnight observations  
450 because surface inversion conditions effectively prevent mixing of the free atmospheric air  
451 with the surface air. If we applied the Miller-Tans method at monthly intervals to the  
452 midnight data, the resulting  $\delta^{13}\text{C}_s$  for Nanjing would increase to -23.72‰. For comparison,



453 the  $\delta^{13}\text{C}_s$  for the YRD, obtained by applying the Miller-Tans method to the midday data, was  
454 -23.25‰.

455

## 456 **5. Conclusion**

457 We showed that the temporal changes of  $\delta^{13}\text{C}$  followed the seasonal patterns of  
458 anthropogenic and biologic  $\text{CO}_2$  emissions, with lower values in the winter than in the  
459 summer. An unusual feature that has not been seen in other urban environment is that the  $\delta^{13}\text{C}$   
460 exceeded that at the Mauna Loa Observatory in some of the summer months. The highest  
461 monthly  $^{13}\text{C}$  was -7.44‰ observed in July 2013, which was 1.03‰ greater than the MLO  
462 value for the same month. Evidence points to cement production as the key reason for why  
463 the atmospheric  $\delta^{13}\text{C}$  was higher than at the background site. In contrast to the  $^{13}\text{C}$  signal, the  
464  $\text{CO}_2$  molar fraction displayed very weak seasonality (July to January difference 15.6 ppm).

465 We hypothesized that the Miller-Tans method applied to the midday observations and the  
466 Keeling plot method applied to the midnight observations should yield the effective isotopic  
467 signature of surface sources at the regional (YRD) and the local (Nanjing) scale, respectively.  
468 According to the Miller-Tans method, the effective source signal in the YRD was -25.51‰,  
469 which was 0.48‰ higher than that in the Nanjing Municipality according to the Keeling plot  
470 method. These results were consistent with inventory estimates of anthropogenic source  
471 signatures at these two spatial scales.

472 By combining inventory data on anthropogenic C sources and the atmospheric  
473 measurement of  $\text{CO}_2$  molar fraction and its  $^{13}\text{C}$  composition in an isotopic partitioning  
474 framework, we inferred that natural ecosystems in the YRD were a negligibly small sink of



475 atmospheric CO<sub>2</sub>, with an average flux of -0.009 mg m<sup>-2</sup>s<sup>-1</sup>. The Carbon Tracker inverse  
476 analysis also reveals a small annual mean biological flux (-0.01 mg m<sup>-2</sup>s<sup>-1</sup>) for this region.

477

478 **Data availability:**

479 The atmospheric data are available upon request, from the Yale-NUIST Center website

480 <http://yncenter.sites.yale.edu/publications> or as an online data supplement to this paper.

481

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760 **List of Figure Captions**

761

762 Figure 1. Dependence of the observed  $\delta^{13}\text{C}$  on the  $\text{H}_2\text{O}$  molar fraction. The lines represent  
763 Equation 2b. Error bars are  $\pm$  one standard deviation.

764

765 Figure 2. Monthly total  $\text{CO}_2$  (upper panel) and  $\delta^{13}\text{C}$  (lower panel). The solid line with cycle, dash  
766 line with up triangles: midday (10:00-16:00) means; dashed line with down triangles, midnight  
767 (22:00-6:00) means; smooth solid line stands, monthly means observed at the Mortgage Loan  
768 Origination (MLO).

769

770 Figure 3. Mean diurnal variation of the  $\text{CO}_2$  molar fraction (upper panels) and the  $\delta^{13}\text{C}$  value  
771 (bottom panels).

772

773 Figure 4. Application of the Miller-Tans method to all valid midday (10:00-16:00) data  
774 obtained between March, 2013 and August, 2015. Each data point is one hourly mean. The  
775 solid line is the geometric mean regression according to Equation 1.

776

777 Figure 5. Application of the Keeling mixing line method to all valid midnight (22:00-6:00)  
778 data obtained between March, 2013 and August, 2015. Each data point is one hourly mean.

779 The solid line is the geometric mean regression according to Keeling plot

780



781 Figure 6. Time series of monthly  $^{13}\text{C}$  signature of surface sources in the YRD obtained with  
782 the Miller-Tans method (black line) and that in Nanjing obtained with the Keeling plot  
783 method (grey line). The error bars are  $\pm$  one standard deviation.

784

785 Figure 7. Time series of monthly net surface  $\text{CO}_2$  flux ( $F_S$ ), plant  $\text{CO}_2$  flux ( $F_P$ ),  
786 anthropogenic  $\text{CO}_2$  flux excluded cement emission ( $F_F$ ) and cement  $\text{CO}_2$  flux ( $F_C$ ) in the  
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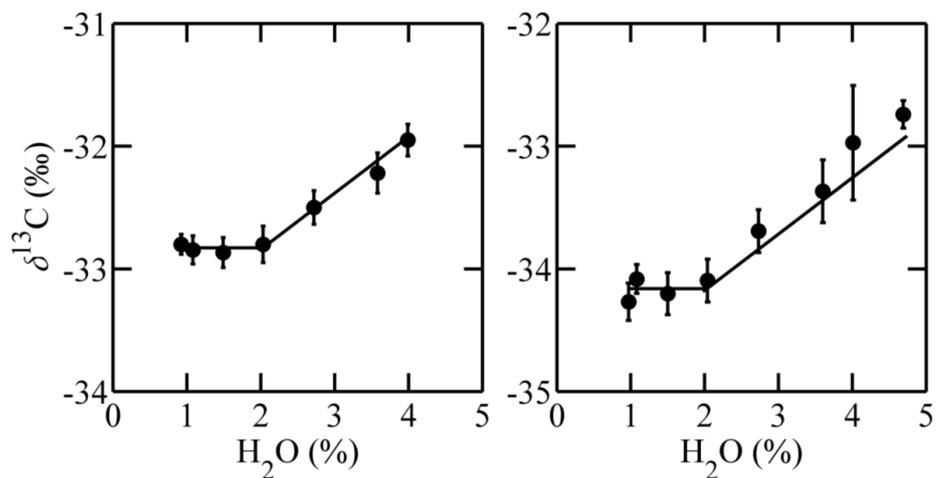
789 Figure 8. Time series of monthly net surface  $\text{CO}_2$  flux ( $F_S$ ), plant  $\text{CO}_2$  flux ( $F_P$ ),  
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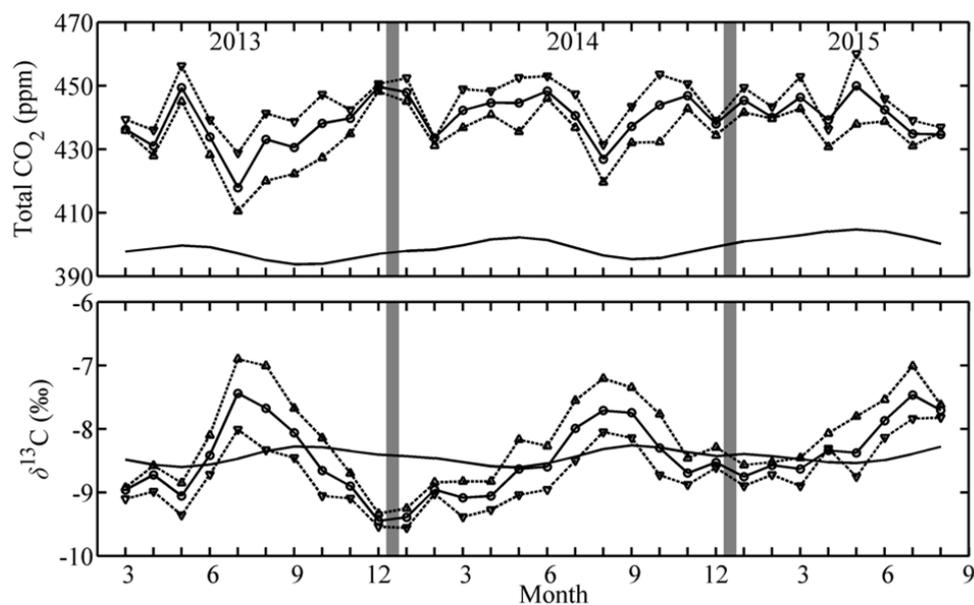


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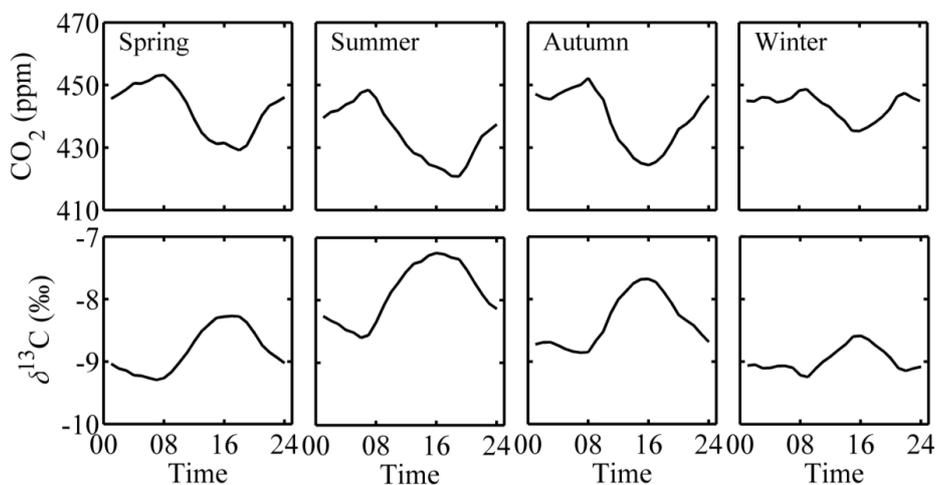
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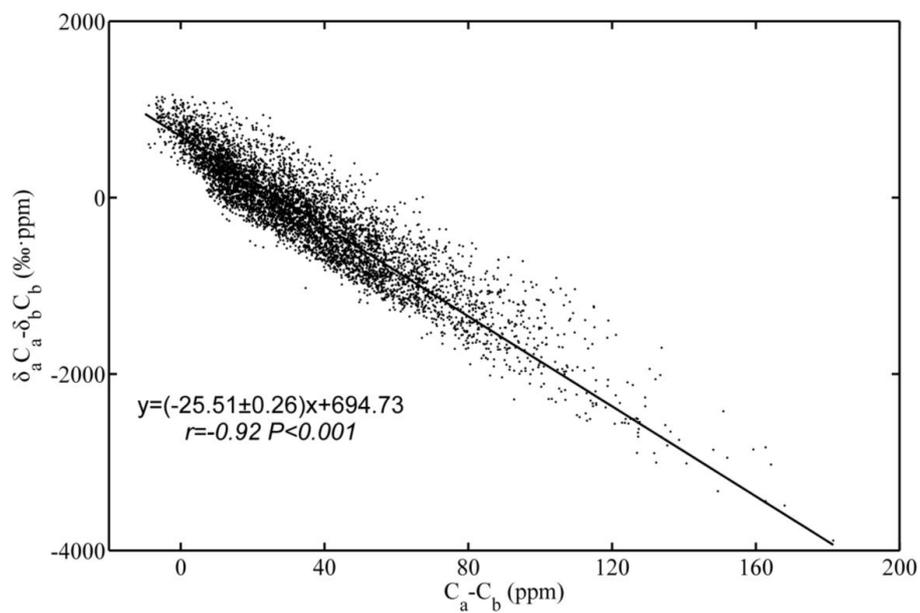
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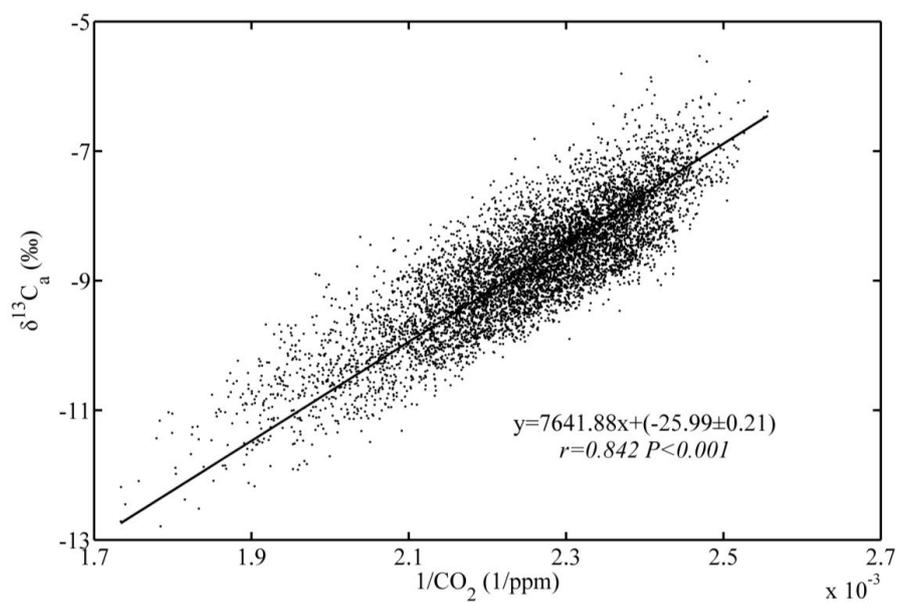
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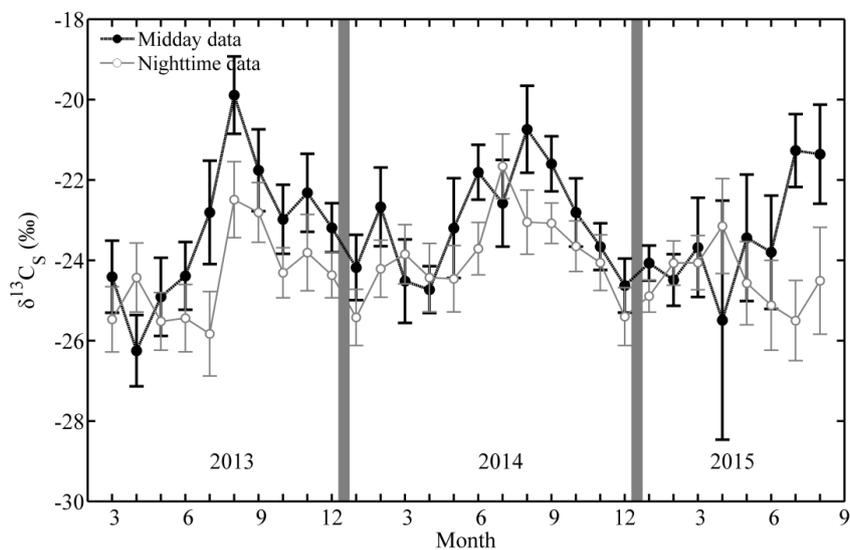


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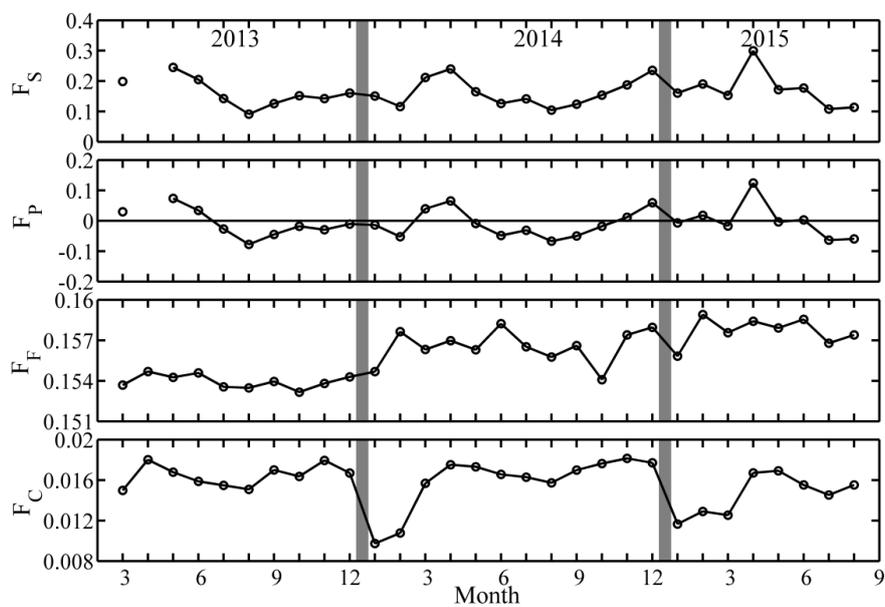
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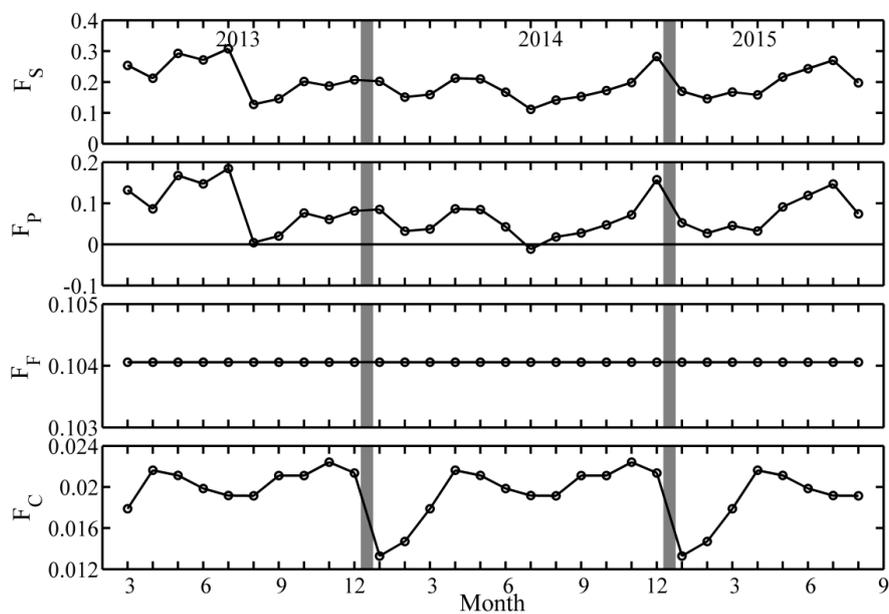


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832 Nanjing. All the fluxes are in  $\text{mg m}^{-2}\text{s}^{-1}$ .



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 835 **Table 1.** Percentage of fossil plus sources and their  $\delta^{13}\text{C}$  values for the YRD and Nanjing.

Sources	Percentage (%)		$\delta^{13}\text{C}$ (‰)		References
	YRD	Nanjing	YRD	Nanjing	
Coal	70.0	52.3	-25.46	-25.46	Duan 1995, Widory 2006
Gasoline	2.1	11.4	-28.80	-28.80	Widory and Javoy 2003
Diesel	3.2	1.6	-29.80	-29.80	Widory 2006
Fuel oil	2.1	0.3	-28.93	-28.93	Widory and Javoy 2003
Natural gas	2.7	5.0	-39.50	-39.50	Pang et al. 2016
LPG	0.7	0.2	-31.70	-31.70	Widory 2006
Pig iron	8.7	12.7	-24.58	-24.58	this study
Crude steel	1.5	0.7	-24.82	-24.82	this study
Ammonia synthesis	9.0	15.9	-28.50	-28.50	this study
Total	100	100	-26.07	-26.42	

836



837 **Table 2.** The isotopic composition of surface CO<sub>2</sub> sources and their percentage of  
838 contribution in the YRD and Nanjing.

Sources	YRD		Nanjing	
	$\delta^{13}\text{C}$ (‰)	Percentage (%)	$\delta^{13}\text{C}$ (‰)	Percentage (%)
Fossil plus	-26.07	91.0	-26.42	96.4
Cement	0.20	9.0	0.20	3.6
Anthropogenic	-23.71	100	-25.47	100
Plant	-28.2	—	-28.2	—

839