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Abstract

The change in shape of atmospherically relevant organic particles is used to estimate the viscosity of the particle material without the need for removal from aerosol suspension. The dynamic shape factors χ of particles produced by α -pinene ozonolysis in a flow tube reactor, under conditions of particle coagulation, were measured while altering the relative humidity (RH) downstream of the flow tube. As relative humidity was increased, the results showed that χ could change from 1.27 to 1.02, corresponding to a transition from aspherical to nearly spherical shapes. The shape change could occur at elevated RH because the organic material had decreased viscosity and was therefore able to flow to form spherical shapes, as favored by minimization of surface area. Numerical modeling was used to estimate the particle viscosity associated with this flow. Based on particle diameter and RH exposure time, the viscosity dropped from $10^{(8.7\pm 2.0)}$ to $10^{(7.0\pm 2.0)}$ Pa s (2σ) for an increase in RH from < 5 to 58 % at 293 K, corresponding to a solid to semisolid transition for the organic material. These results imply that the equilibration of the chemical composition of the particle phase with the gas phase can shift from hours at mid-range RH to weeks for low RH.

1 Introduction

Primary volatile organic compounds emitted by the biosphere, as well as from anthropogenic activities, react in the atmosphere with oxidants to produce secondary oxygenated products (Fehsenfeld et al., 1992; Hallquist et al., 2009). Some of these products ultimately contribute to the mass concentration of the atmospheric particle population, as so-called secondary organic material (SOM) (Hallquist et al., 2009). Atmospheric particles have important effects on both climate and human health, among other topics (Seinfeld and Pandis, 2006), although the mechanisms of action remain incompletely understood both qualitatively and quantitatively. Recently, the viscosity of SOM has emerged as an important topic (Vaden et al., 2010; Virtanen et al., 2010;

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key size ranges of nanotechnologies and biological sciences (i.e., from < 10 nm up to several microns).

2 Experimental

2.1 Flow tube reactor

5 The production of SOM particles in the flow tube reactor largely followed the procedures described in Shrestha et al. (2013). Additional information on Materials and Methods is presented in the Supplement, Sect. S2. Briefly, because particles were produced from the homogeneous nucleation of supersaturated organic vapors, a temperature control of 293.0 ± 0.1 K across 12 to 24 h for individual experiments was necessary
10 for reproducible particle number-diameter distributions. The flow tube and the associated gas-flow systems were therefore housed in a temperature-controlled, double-walled, water-jacketed stainless steel box (Supplement, Fig. S2). Ozone and α -pinene concentrations of the different experiments are listed in Table 1. The relative humidity was < 5%. The aerosol flowing out of the reactor was passed through an ozone diffusion scrubber, which reduced ozone concentrations sufficiently to curtail further SOM
15 production and thus to provide a well-defined reaction time. The aerosol subsequently flowed into either a flask of either 0.9 or 6.6 L, for an average residence time of 45 or 310 s, respectively, during which additional particle coagulation took place at various RH. The aerosol flow continued into a region of RH control. The apparatus for adjusting RH, including exposure time, consisted of a Nafion RH conditioner (Perma Pure, Model PD-200T-12) to set the RH of the aerosol flow, followed by a flask of 0.9 or 6.6 L
20 to serve as a plenum for RH exposure (i.e., 45 s or 310 s, respectively), followed by two diffusion dryers and a second Nafion RH conditioner to reset RH to < 5%.

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2.2 Particle mobility diameter and particle mass

Exiting the RH control system, the aerosol flow was divided into two streams. The first stream was sampled by a DMA (TSI model 3081) (Knutson and Whitby, 1975). The DMA outflow consisted of a subpopulation of particles having a central electric mobility diameter. The central diameters of the different experiments are listed in Table 1. The DMA outflow was sampled by an APM (APM-3600, KANOMAX Inc.) (Ehara et al., 1996), and the particle number concentration in the APM outflow was measured by a Condensation Particle Counter (CPC; TSI model 3022a) (Agarwal and Sem, 1980). The APM voltage was scanned at fixed rotation speed to measure the number-mass distribution of the particle population (Kuwata and Kondo, 2009). The second flow stream from the reactor outflow was sampled by a Scanning Mobility Particle Sizer (SMPS; TSI, model 3071A; CPC; TSI, model 3772) to characterize the number-diameter distribution of the produced particle population (Hoppel, 1978). The DMA, APM, and SMPS were regularly calibrated using aerosol particles of polystyrene latex (PSL) or ammonium sulfate, both of which were produced by nebulization (Model 3076, TSI Corporation) (Biskos et al., 2008).

3 Results and discussion

3.1 Coagulation and dynamic shape factors

An aerosol population of SOM particles was produced in a flow tube using 500, 700, and 1000 ppb of (+)- α -pinene and 12 to 30 ppm of ozone for < 5 % RH at 1 atm. The number concentrations and mode diameters are listed in Table 1 for < 5 % RH. Because the concentrations were high in the flow tube, coagulation occurred. Depending on the number-diameter distribution and the reactor residence time, several types of coagulated particles resulted. Scanning electron micrographs are shown in Fig. 1 (cf. column 1). The smallest features apparent in the images of column 1 are on order

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in viscosity. An alternative hypothesis of reactive chemistry with H₂O for changing RH is not supported because the organic portion of the particle mass spectrum did not change upon exposure to elevated RH (Supplement Sect. S4).

Given that the extents of flow and hence of shape change are expected to be tightly coupled to exposure time at elevated RH, experiments were conducted to investigate the effect of exposure time on the observations. Figure 4b shows results for a humidification time of 45 s compared to that of 310 s. For an exposure time of 310 s, χ value of the selected 126 nm particles (identified by SEM as dimers; cf Supplement, Sect. S4) decreased to unity by 35 % RH. By comparison, for an exposure time of 45 s, the χ value of the selected 165 nm particles (identified by SEM as trimers) remained unchanged for < 5 to 20 % RH, decreasing to nearly unity only at 50 % RH. The two curves are offset by approximately 20 % RH. This result is consistent with the explanation that the shape change arose from material flow and that the flow required a finite amount of time to complete the full transformation to nearly spherical particles. A corollary is that the same extent of shape change at a shorter exposure time implies a decreased viscosity, all other factors being equal.

3.3 Viscosity estimate

The experimental results show a conversion from an aspherical to a nearly spherical shape within a specific time period, implying a rate of material flow and hence viscosity. The viscosity can therefore be estimated from the experimental results. To do so, a model was constructed using a commercial software package for numerical solutions to fluid flows (cf. Supplement, Sect. S5). An example of the model simulation is shown in Fig. 5. A dimer consisting of two 90 nm diameter spheres having an overlap of 5 nm between the spheres was modeled for an exposure of 310 s to elevated RH (cf. Supplement, Fig. S3). The two panels of Fig. 5 show the initial and final particle shapes (cf. Supplement, Movie S1). In 310 s, the dimer transforms to a sphere for a viscosity of $(1.0 \pm 0.2) \times 10^8$ Pa s, as obtained by numerical optimization. An analytical result described in Frenkel (1945) for the full transformation of a dimer into a sphere leads

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by using optical microscopy to track the movement of small beads. The central values of the estimated viscosities of the present study are lower for < 30 % RH and higher for > 40 % RH, implying a reduced sensitivity of viscosity to RH for the present study compared to that reported for Renbaum-Wolff. These differences could relate to differences in sample preparation. Renbaum-Wolff et al. studied supermicron particles reconstituted from the water-soluble portion of SOM, which can be compared to the present experiments that studied whole SOM in aerosol form without post-processing. Given the differences in hygroscopicity and hence the volume fraction of water that can act as a plasticizer, the viscosity of the water-soluble portion of SOM can be expected to have greater sensitivity to RH than that of whole SOM.

The model used in the present study to estimate viscosity has several embedded assumptions. A surface tension of $5.5 \times 10^{-2} \text{ Nm}^{-1}$ (Wex et al., 2009) was used in the model to obtain local stress from local shape. This value is the average of 83 organic compounds (Korosi and Kovats, 1981). The model assumed that diameter changes because of hygroscopic growth were negligible for the studied RH range of < 5 to 58 % RH. The model also assumed a uniform value of viscosity throughout the particle, meaning that the uptake and diffusion of water throughout the particle is fast (< 1 s) compared to the exposure times of 45 and 310 s. The justification of this assumption is that observations of water uptake show that submicron particles of α -pinene SOM equilibrate with surrounding gas-phase water in less than one second (Smith et al., 2011). The diffusion coefficient of water in α -pinene SOM for low to middle RH is above $10^{-13} \text{ m}^2 \text{ s}^{-1}$, hence leading to a characteristic diffusion time throughout a 100 nm particle of $\tau \ll 1 \text{ s}$ (Shiraiwa et al., 2011). The model also assumed a specific exposure time (i.e., 45 or 310 s) to elevated RH whereas the actual residence times of individual particles in the exposure flask had a distribution, expected to approach that of Poisson statistics at the limit of a continuously mixed flow reactor (Kuwata and Martin, 2012a). As a final caveat, the highest RH that could be studied (58 %) was limited by the full transformation to a spherical particle within the fastest experimental residence time

(45 s). Shorter residence times require more control over RH adjustment, which is an ongoing effort.

3.4 Discussion

Viscosities within atmospheric organic particles determine whether the interactions between atmospheric particles and gases are confined to the surface region of the particle or can proceed in the interior of the particle. As such, viscosities can affect particle growth, reactivity, and hence ultimately the number and mass distributions of the atmospheric particle population, thereby influencing the effects of atmospheric particles on climate and air quality.

Under the assumption that the concentration of a species in the gas phase maintains rapid equilibrium with its concentration in surface layer of a particle and in the absence of chemical reaction, the characteristic time τ to obtain a well-mixed particle by molecular diffusion is as follows (Seinfeld and Pandis, 2006):

$$\tau = \frac{d_{\text{me}}^2}{4\pi^2 D} \quad (2)$$

for particle diameter d_{me} and molecular diffusion coefficient D . Use of Eq. (2) also assumes that D is uniform throughout the particle volume. With some caveats, the Stokes–Einstein equation relates the diffusion coefficient to the viscosity η , as follows (Lindsay, 2009):

$$D = \frac{kT}{6\pi r_{\text{eff}} \eta} \quad (3)$$

for Boltzmann constant k , temperature T , and effective radius r_{eff} of the diffusing species. The combination of Eqs. (2) and (3) leads to the following expression for the characteristic mixing time:

$$\tau = \frac{3r_{\text{eff}} \eta d_{\text{me}}^2}{2\pi kT} \quad (4)$$

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methodology developed in the present study of using the DMA-APM system to estimate viscosity for particles in situ as an aerosol, rather than being collected on a substrate for subsequent analysis, can avoid several potential artifacts specific to the semivolatile nature of SOM. If deployed for field measurements, the approach also has the promise of providing sufficient time resolution to track atmospheric variability. The results of the present study can improve model estimates of gas uptake into particles and thereby improve model accuracy of particle growth rates and reactive processes. For low and intermediate RH and in the absence of fast reaction, small molecules like O₃ or NO_x are expected to diffuse rapidly to the center of atmospheric SOM particles, at least those in the Aiken and accumulation size modes and those represented well by α -pinene SOM. Larger organic molecules, however, are expected to remain in the surface region of the particles for one or more days. Even so, the range of experimental conditions of a single study is necessarily limited, and future additional studies to track the variability of viscosity at higher RH and both warmer and cooler temperatures are well motivated. The viscosity of SOM generated from volatile organic compounds other than α -pinene, as well as mixed chemical systems, also merits further investigation.

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Table 1. Summary of experimental conditions.

Experiment	Flow tube SOM generation				Relative Humidity ^c (%)
	Precursor conditions ^a		Particle conditions ^b		
	α -Pinene (ppb)	Ozone (ppb)	Number Conc. (m ⁻³)	Mode diameter (10 ⁻⁹ m)	
#1 Mid Concentration	700 ± 7	14.0 ± 0.2	(2.9 ± 0.2) × 10 ¹¹	63 ± 3	< 3
#2 Mid Concentration	700 ± 7	13.8 ± 0.2	(2.9 ± 0.2) × 10 ¹¹	66 ± 3	6–100 ^d
#3 Mid Concentration	700 ± 7	24.6 ± 0.8	(5.4 ± 0.3) × 10 ¹¹	80 ± 3	4–82
#4 Mid Concentration	700 ± 7	30.3 ± 0.5	(4.8 ± 0.4) × 10 ¹¹	80 ± 6	4–82
#5 High Concentration	1000 ± 10	13.0 ± 0.2	(4.3 ± 0.2) × 10 ¹¹	111 ± 2	4–54
#6 AMS Experiment	500 ± 5	13.0 ± 0.2	(2.6 ± 0.2) × 10 ¹¹	68 ± 3	4–94
#7 Mid Concentration	700 ± 7	14.8 ± 0.2	(3.4 ± 0.3) × 10 ¹¹	85 ± 3	4–58

^a Concentrations at inlet of flow tube reactor.

^b Concentrations in the outflow of the reactor, as measured by SMPS.

^c Range of relative humidities studied in stepwise fashion in series of experiments. The overall RH cycle was (< 5% RH) → (RH value from within range shown in table) → (< 5% RH). Uncertainties correspond to one sigma.

^d For 100% RH, a flask with water was slightly heated until condensation was apparent on the walls.

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Table 2. Viscosities of α -pinene-derived secondary organic material at the lower and upper relative humidities of this study. Also shown are the characteristic mixing times for a diffusing species in a spherical SOM particle of 100 nm. An effective radius of 1.5 nm is used. See main text for further explanation.

Relative Humidity (%)	Viscosity (Pa s)	Characteristic mixing time (day)
< 5	$10^{(8.7 \pm 2.0)}$	$10^{1.0 \pm 2.0}$ (11.5 days)
58	$10^{(7.0 \pm 2.0)}$	$10^{-0.68 \pm 2.0}$ (5.0 h)

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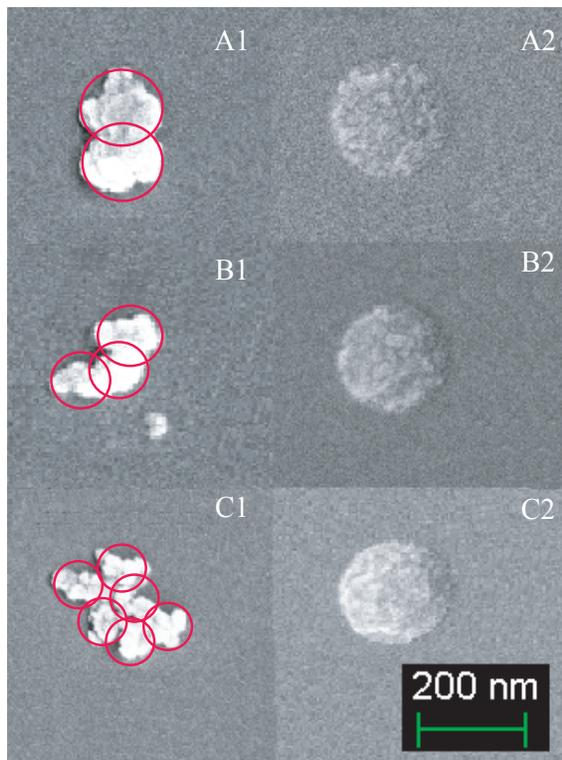



Figure 1. SEM images of the particles obtained from 700 ppb α -pinene and sampled for a central mobility diameter of 180.0 nm. The aerosol particles were collected on the silica substrate for 12 h and then coated with 5 nm of Pt/Pd. The voltage for the electron beam was 5 kV, and the working distance was 2.3 mm. Column 1 shows dimer, trimer, and higher-order agglomerates of the granular monomers for $< 5\%$ RH. Red circles identify the monomers. Column 2 shows nearly spherical particles that were collected after exposure to 80% RH followed by drying to $< 5\%$ RH.

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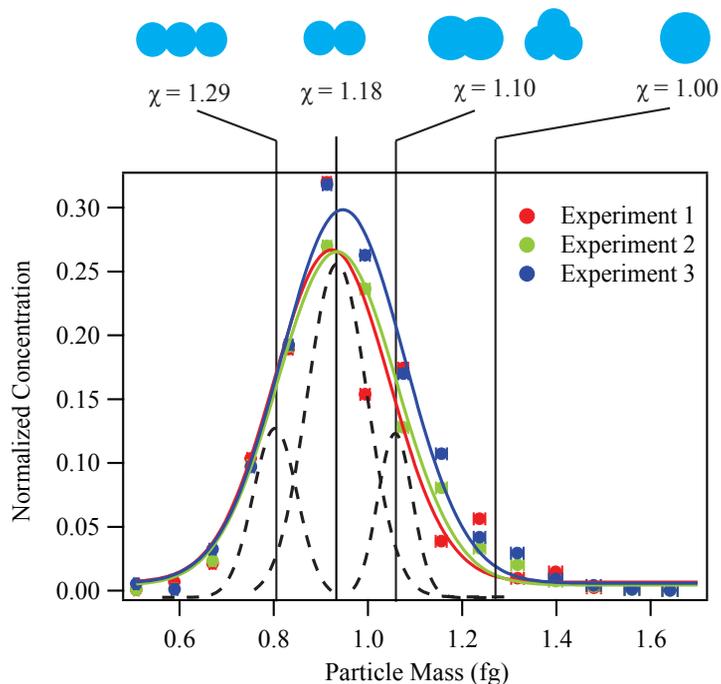


Figure 2. An example of the number-mass distribution, as measured using the DMA-APM system. Results of three replicate experiments are shown to demonstrate reproducibility. Two-sigma uncertainty is represented by the error bars, which are approximately the same size as the data markers. The lines represent fits of a normal distribution to the data. The abscissa is calculated based on the APM rotation speed and the voltage applied between the walls of the APM cylinders. Dynamic shape factors calculated by Eq. (1) using m_p and d_m are indicated by the vertical lines. The particles shown in the plot were produced from 700 ppb α -pinene and 14 ppm ozone. A central mobility diameter of 126.0 nm was selected by the DMA. The width of the DMA-APM instrument train is represented by the dashed lines.

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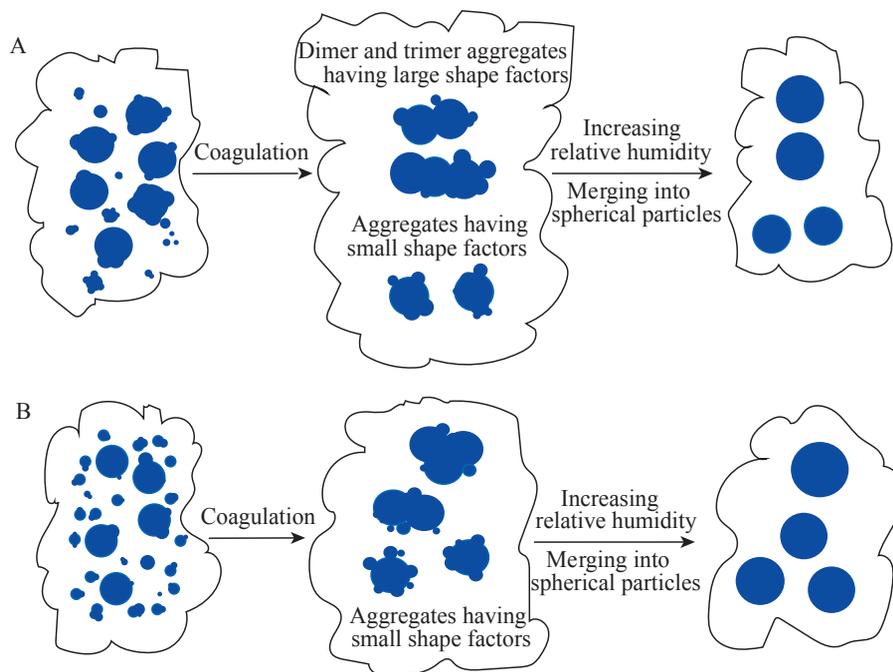


Figure 3. Cartoon representing changes in particle shape due to coagulation and to elevated relative humidity. **(a)** Scenario for an experiment using a medium concentration of α -pinene (700 ppb) in which two similarly sized particles collide to form dimer and trimer agglomerates, leading to relatively larger dynamic shape factors (rightmost to middle column). They constitute $< 0.25\%$ of the total particle population. They become nearly spherical after exposure to an elevated RH (middle to leftmost column). The elevated RH decreases viscosity and allows material flow. **(b)** Scenario for an experiment using a high concentration of α -pinene (1000 ppb), in which several particles collide to form larger agglomerates having relatively smaller dynamic shape factors. At elevated RH, these particles also flow and become spherical.

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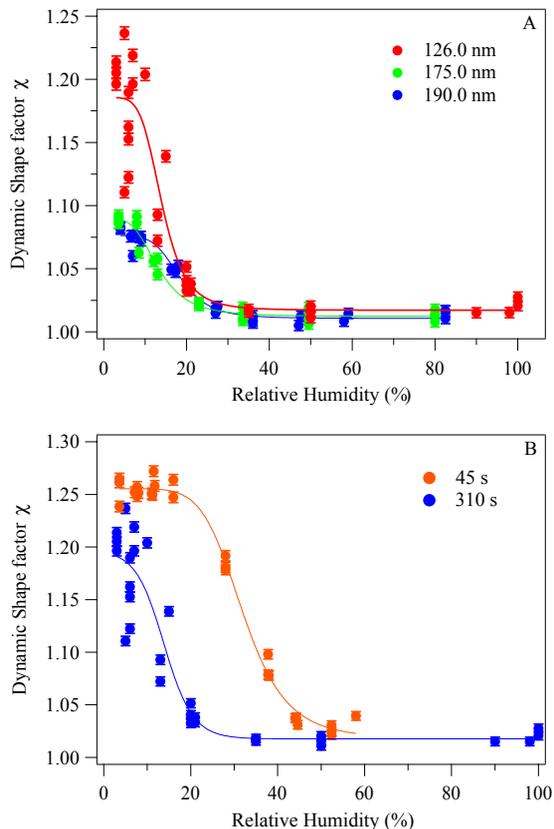


Figure 4. Dynamic shape factor for increasing relative humidity. Panel (a): particles produced from 700 ppb α -pinene and 14, 25, and 30 ppm ozone for particle populations having central mobility diameters of 126.0, 175.0, and 190.0 nm, respectively. The exposure time to relative humidity was 310 s. Panel (b): exposure up to 80 % RH for 45 or 310 s. SOM was produced from 700 ppb α -pinene and 14 ppm ozone at < 5 % RH. The error bars in each panel represent two sigma of standard deviation.

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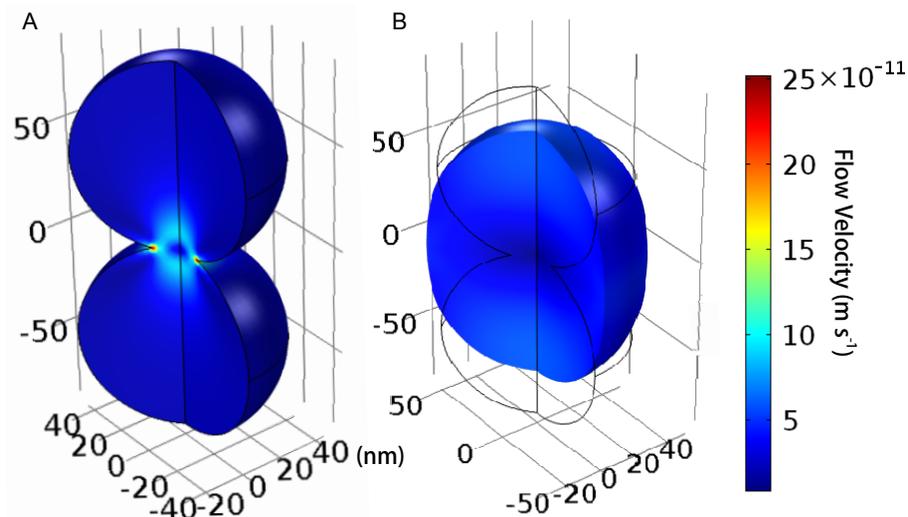


Figure 5. Flow simulation for the transformation of a dimer agglomerate into a spherical particle. Panel (a) shows the initialization for $t = 0$ s. Two monomers having a diameter of 45 nm and overlapping by 5 nm are shown. The coloring represents the instantaneous flow velocity. Panel (b) shows the end of the simulation at $t = 310$ s. The full time series is shown in Supplement Movie S1. The viscosity and its standard deviation, $(1.0 \pm 0.2) \times 10^8$ Pa s, were optimized in the simulation so that the transformation from a dimer in panel (a) to a sphere in panel (b) was complete after 310 s (cf. data of Fig. 4b and see also main text). In panel (b), the black lines represent the original shape of the particle (i.e., panel a).

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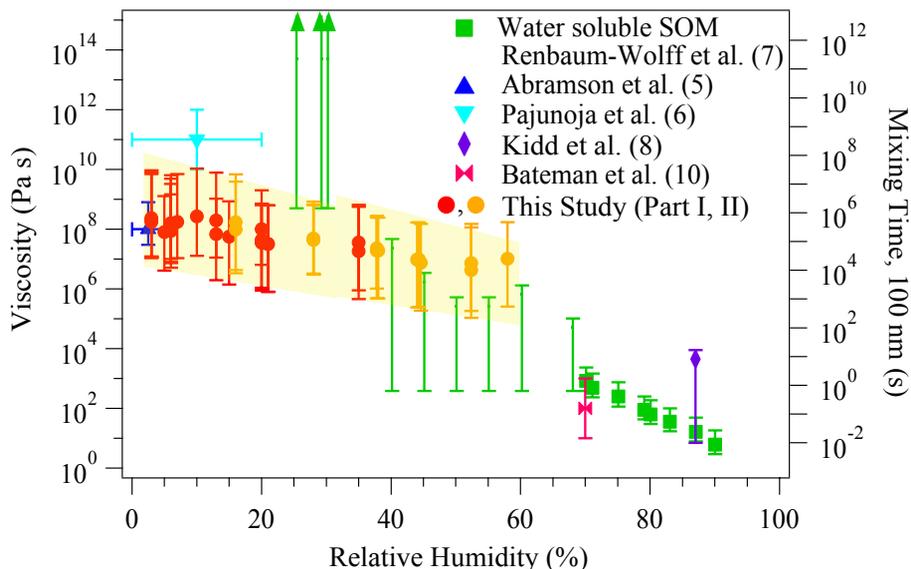


Figure 6. Summary of the RH-dependent viscosity obtained from this study for α -pinene secondary organic material for < 5 to 58 % RH. The secondary y axis shows the mixing time of low volatility molecules with an 100 nm particle. Part 1 represents data for changes in the shape factor for residence time of 310 s (cf. Fig. 4b). Part 2 represents data for 45 s. The secondary y axis shows the characteristic mixing time τ of low volatility organics due to bulk diffusion in 100 nm particles of the same viscosity. Results from literature are also plotted for comparison. A breakdown of the factors contributing to the uncertainty bars is presented in Supplement Fig. S13. Parts 1 and 2 also represent particle populations dominantly composed of dimer and trimer agglomerates, respectively.

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