

Vertical and
horizontal variability
of PM₁₀ source
contributions

M. Brines et al.

Vertical and horizontal variability of PM₁₀ source contributions in Barcelona during SAPUSS

M. Brines^{1,2}, M. Dall'Osto³, F. Amato¹, M. C. Minguillón¹, A. Karanasiou¹,
A. Alastuey¹, and X. Querol¹

¹Institute of Environmental Assessment and Water Research (IDÆA) Consejo Superior de Investigaciones Científicas (CSIC), C/Jordi Girona 18-26, 08 034 Barcelona, Spain

²Department of Astronomy and Meteorology, Faculty of Physics, University of Barcelona, C/Martí i Franquès 1, 08 028 Barcelona, Spain

³Institute of Marine Sciences (ICM) Consejo Superior de Investigaciones Científicas (CSIC), Pg. Marítim de la Barceloneta 37-49, 08 003 Barcelona, Spain

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Correspondence to: M. Brines (mariola.brines@idaea.csic.es)

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Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Abstract

During the SAPUSS campaign (Solving Aerosol Problems by Using Synergistic Strategies) PM₁₀ samples at twelve hours resolution were simultaneously collected at four monitoring sites located in the urban agglomerate of Barcelona (Spain). A total of 221 samples were collected from 20 September to 20 October 2010. The Road Site (RS) site and the Urban Background (UB) site were located at street level, whereas the Torre Mapfre (TM) and the Torre Collserola (TC) sites were located at 150 m a.s.l. by the sea side within the urban area and at 415 m a.s.l. 8 km inland, respectively. For the first time, we are able to report simultaneous PM₁₀ aerosol measurements allowing us to study aerosol gradients at both horizontal and vertical levels. The complete chemical composition of PM₁₀ was determined on the 221 samples, and factor analysis (Positive Matrix Factorisation, PMF) was applied. This resulted in eight factors which were attributed to eight main aerosol sources affecting PM₁₀ concentrations in the studied urban environment: (1) vehicle exhaust and wear (2–9 μg m⁻³, 10–27 % of PM₁₀ mass on average), (2) road dust (2–4 μg m⁻³, 8–12 %), (3) mineral dust (5 μg m⁻³, 13–26 %), (4) aged marine (3–5 μg m⁻³, 13–20 %), (5) heavy oil (0.4–0.6 μg m⁻³, 2 %), (6) industrial (1 μg m⁻³, 3–5 %), (7) sulphate (3–4 μg m⁻³, 11–17 %) and (8) nitrate (4–6 μg m⁻³, 17–21 %). Three aerosol sources were found enhanced at the ground levels (confined within the urban ground levels of the city) relative to the upper levels: (1) vehicle exhaust and wear (2.8 higher), (2) road dust (1.8 higher) and (3) local urban industries/crafts workshops (1.6 higher). Surprisingly, the other aerosol sources were relatively homogeneous at both horizontal and vertical levels. However, air mass origin and meteorological parameters also played a key role in influencing the variability of the factors concentrations. The mineral dust and aged marine factors were found to be a mixture of natural and anthropogenic components and were thus further investigated. Overall, three types of dust were identified to affect the urban study area: road dust (35 % of the mineral dust load, 2–4 μg m⁻³ on average), Saharan dust (28 %, 2.1 μg m⁻³) and background mineral dust (37 %, 2.8 μg m⁻³). Our results evidence that

Vertical and horizontal variability of PM₁₀ source contributions

M. Brines et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



PMF is a widely used receptor model based on the mass conservation principle:

$$x_{ij} = \sum_{k=1}^p g_{ik} f_{jk} + e_{ij} \quad i = 1, 2, \dots, m \quad j = 1, 2, \dots, n \quad (1)$$

where x_{ij} is the i th concentration of the species j , g_{ik} is the i th contribution of the source k and f_{jk} is the concentration of the species j in source k , and e_{ij} are the residuals. Equation (1) can be also expressed in matrix form as $\mathbf{X} = \mathbf{GF}^T + \mathbf{E}$. PMF solves Eq. (1) minimizing the object function Q :

$$Q = \sum_{i=1}^n \sum_{j=1}^m (e_{ij}/s_{ij})^2 \quad (2)$$

where s_{ij} are the individual data uncertainties. The uncertainty estimates were based on the approach by Escrig Vidal et al. (2009) and Amato et al. (2009) and provided a criterion to separate the species which retain a significant signal from the ones dominated by noise. This criterion is based on the signal-to-noise S/N ratio defined by Paatero and Hopke (2003). Species with $S/N < 2$ are generally defined as weak variables and downweighted by a factor of 3. Nevertheless, since S/N is very sensitive to sporadic values much higher than the level of noise, the percentage of data above detection limit was used as complementary criterion. All the samples collected at the four sites were gathered in one data matrix. This data assembling allows exploring a larger area of the N -dimensional source contributions space. The data matrix was uncensored, i.e. negative, zero and below detection limit values were included as such in the analyses to avoid a bias in the results (Paatero, 2007). A total of 221 samples containing 32 different species were included in the PMF which was run by means of the Multilinear Engine-2 program allowing to handle a priori information as shown in the Results section. A bootstrap technique was used to estimate the uncertainties of factor profiles, based on the EPA PMF v3.0 script. It consisted on three different steps: re-sampling, reweighting and random rotational pulling (Tukey, 1958; Efron and Tibshirani, 1986).

Vertical and horizontal variability of PM₁₀ source contributions

M. Brines et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Vertical and horizontal variability of PM₁₀ source contributions

M. Brines et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Hopke, 2009). Overall, a total of eight factors were identified by the application of PMF: (1) “vehicle exhaust and wear”, (2) “road dust”, (3) “mineral”, (4) “aged marine”, (5) “heavy oil”, (6) “industrial”, (7) “sulphate” and (8) “nitrate”. The average concentrations of each factor registered at each site are shown in Fig. 5 and Table 2, while Fig. S1 in the Supplement displays the temporal variation of each factor for all sites. To further complete the analysis and interpretation of the results, Polar Plots were obtained using the OPENAIR software package of R (Carslaw and Ropkins, 2012; R Development Core Team, 2012). These plots display the different factors concentrations depending on the blowing wind direction and speed, thus allowing deducing the main pollution sources origin (Fig. S2). The eight identified aerosol PMF factors characteristics can be seen in Fig. 4 and are summarised as:

- the vehicle exhaust and wear factor profile (Fig. 4a) was dominated by EC and OC originating from vehicle exhaust emissions. Other chemical elements include Cu, Sb, Cr, Fe and Sn (67, 53, 46, 41 and 39 % of the variation, respectively) which are usually present in the brake and tyre wear (Sternbeck et al., 2002; Ntziachristos et al., 2007; Amato et al., 2009). Due to its direct traffic origin, this factor accounts for the highest mass contribution at the RS (27 %, $8.7 \mu\text{g m}^{-3}$), followed by UB (18 %, $5.0 \mu\text{g m}^{-3}$), TM (11 %, $2.9 \mu\text{g m}^{-3}$) and TC (10 %, $1.9 \mu\text{g m}^{-3}$) (see Table 2 and Fig. 4a). A clear horizontal and vertical gradients can be seen for the PM₁₀ contributions of this source. It originated at the traffic hot spots near RS, UB and TM and was later transported upslope towards TC by the sea breeze, where the maximum concentrations were recorded under SE winds from the city (Fig. S2).
- The road dust PMF factor profile (Fig. 4b) was constrained using the emission profile reported for the city of Barcelona by Amato et al. (2009) by means of a pulling equation. It contained high concentrations of Al₂O₃, Ca, Fe, Li, Ti but also explained around 20 % of the variation of Cu and Sb (Table 2 and Fig. 4b). As expected, this factor concentration followed also a decreasing trend from RS (12 %, $3.8 \mu\text{g m}^{-3}$) and UB (12 %, $3.3 \mu\text{g m}^{-3}$) to TM (8 %, $2.3 \mu\text{g m}^{-3}$) and TC

during the REG_2 recirculation episode (14–17 October), the nitrate PMF factor concentrations were doubled (10.7 vs. 4.9 $\mu\text{g m}^{-3}$ overall SAPUSS average) at the four monitoring sites, reaching occasionally higher levels at the tower sites (TM, TC) than at ground levels (RS, UB). By contrast, the sulphate PMF factor did not show a larger variation among different REG scenarios. The PMF nitrate/PMF sulphate ratio was found to be 1.2 and 2.3 for REG_1 and REG_2, respectively. As previously observed in a vertical aerosol study in London (Harrison et al., 2012) the cooler temperatures and higher relative humidity on the tower level during the REG_2 scenario can shift the gas/aerosol nitrate equilibrium towards the aerosol phase. In other words, during SAPUSS some aspects of nitrate behaviour were broadly similar to those of sulphate, but other aspects proved very different. During SAPUSS, Aerosol Time-Of-Flight Mass Spectrometer studies (Dall'Osto et al., 2013a) reported two types of nitrate aerosols. Briefly, the first appeared to be associated with local formation processes and occurred at times outside of the long-range transport episode. The second type of nitrate was regionally transported and internally mixed with sulphate, ammonium and both elemental and organic carbon (Dall'Osto et al., 2009). On this regards, it is worth to remember that the nitrate radical (NO_3) is amongst the most important oxidants in the nocturnal boundary layer (NBL) (Benton et al., 2010). Little is known about products between the formation of NO_3 , its reactions with volatile organic compounds (VOCs) and the formation of organic nitrate (Wayne et al., 1991; Brown et al., 2009). The PMF method applied in this aerosol filter based PM_{10} concentrations shows OC being an important component (11 %) for the PMF nitrate factor, although 19 % of the OC component was not described by the PMF and found in the PMF residuals. It is likely that the high concentrations of nitrate found in regional air masses during SAPUSS are a complex mixture of different types of aerosol nitrate, not been distinguished during this PMF analysis and likely due to the poor time resolution (12 h) of the off-line aerosol filter techniques (Dall'Osto et al., 2013a).

Vertical and horizontal variability of PM_{10} source contributions

M. Brines et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



4.3 Additional aerosol source estimations

The PMF model was applied during this SAPUSS study and the results were presented in Sect. 3.2. However, this statistical tool is usually unable to differentiate between natural and anthropogenic sources contributing to the same factor (Viana et al., 2005).

In order to elucidate the contributions to ambient PM₁₀ concentrations, a combination of additional aerosol source estimation techniques were applied to further elucidate two main natural sources (mineral dust and sea salt sources) contributing to the PM₁₀ mass during SAPUSS in Barcelona.

4.3.1 Mineral dust sources

Mineral sources in a Mediterranean urban environment are diverse. Broadly, three main components of mineral dust have been reported in the literature: (1) urban-regional background dust, (2) local road dust and (3) Saharan dust. Querol et al. (2001) reported a urban/regional background mineral dust factor enriched in Al and Ca, which presented higher concentrations in summer than in winter. A background source rich in Ca, Si, Al and Ti was also attributed to regional anthropogenic and natural resuspension such as urban dust from construction/demolition works, unpaved areas and parks, among other sources (Amato et al., 2009). Road dust is associated with resuspended road dust by passing vehicles and wind, and is traced by Fe, Ca, Al, Si, Ti, Cu, Sb, Sn, Ba, Zn, OC and EC (Schauer et al., 2006). The use of constraints for the source apportionment PMF model by using pulling equations enabled to quantify the road dust fraction of the mineral dust. This PMF factor was characterised by a clear decreasing concentrations gradient with the distance to traffic sources and it contributed with 1.6 to 3.8 $\mu\text{g m}^{-3}$.

Saharan dust outbreaks transporting dust (made of quartz, clays, calcium carbonate and iron oxide and traced by Al, Si, Ti among others) regularly impact the study area (Querol et al., 2001). Efforts have focused on quantifying this contribution to the average mineral loading, both for air quality purposes (Querol et al., 2009; Pey

Vertical and horizontal variability of PM₁₀ source contributions

M. Brines et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Vertical and horizontal variability of PM₁₀ source contributions

M. Brines et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



et al., 2013) and its impact on population's health (Pérez et al., 2008). However, the PMF factor analysis could not efficiently separate Saharan dust, background mineral and road dust. Hence, the methodology proposed by Escudero et al. (2007) for estimating the Saharan dust daily contribution for different mass fractions was applied.

5 Briefly, it consists in subtracting from the average concentrations registered at the city (Barcelona) those ones simultaneously measured at the nearest regional background site (Montseny, 720 m a.s.l., 50 km NE of Barcelona). However, during SAPUSS the estimated Saharan dust loadings calculated with this method often exceeded the real PM₁₀ concentrations registered at the SAPUSS sites. This is likely due to the fact
10 that Saharan dust outbreaks are different at the sea level Barcelona city and its higher altitude regional background surrounding area (Escudero et al., 2007). Therefore, a different methodology was applied for subtracting the Saharan dust load from the mineral factor. We calculated the in situ baseline of mineral dust levels at each site during the Saharan outbreaks, taking into account the concentrations registered before and
15 after the Saharan dust episodes, and extracted these from the mineral dust load for each sample at each site. The resulting concentration excedence of mineral dust was interpreted as the Saharan dust contribution. Overall, it was found that the average contribution of Saharan dust for the whole study period at the four sites was 2.1 $\mu\text{g m}^{-3}$ (28 % of the PM₁₀ mineral load). Upon subtraction of the estimated Saharan dust contribution at each site, the remaining mineral loading corresponds to background mineral dust of urban or regional origin (2.7 to 2.9 $\mu\text{g m}^{-3}$). This narrow concentration range at the four sites (Fig. 7) – independently of the height and urban location – points towards a regional origin of this background mineral matter.

20 In summary, during SAPUSS the three mineral dust sources (Figs. 7–9) could be summarised as follow:
25

1. background dust: it presented a homogeneous distribution among the sampling sites and was thus attributed to background mineral dust with a possible urban or regional origin. A regional origin is thought to be more probable due to the uniform distribution of this dust type at both horizontal and vertical levels for the whole

study area. Average concentrations during the SAPUSS study ranged from 2.7 to 2.9 $\mu\text{g m}^{-3}$, resulting in the mineral source with the highest contribution (37 % of the mineral dust in PM_{10} in the study period).

2. Road dust: the concentrations decreased from RS to TC, contributing 3.8–1.6 $\mu\text{g m}^{-3}$ on average (35 % of the mineral dust in PM_{10} during the study period).
3. Saharan dust: African air mass incursions occurred on 20 % of the days during the study period. Under this scenario, the excess dust from the PMF mineral factor was extracted and attributed to Saharan dust, thus obtaining an average Saharan dust contribution of 2.1 $\mu\text{g m}^{-3}$ (28 % of the mineral dust in PM_{10} in the study period).

4.3.2 Sea salt aerosols

Sea spray aerosol is an important component of the aerosol population in the marine environment, and given that 70 % of the Earth's surface is covered by oceans, it contributes significantly to the global aerosol budget (Vignati et al., 2010). Due to the high impact of anthropogenic activities on the WMB and the frequent recirculation of regional polluted air masses on the region, an interaction between natural and anthropogenic sources is expected. Indeed, 22 % of the variability of SO_4^{2-} was attributed to the aged marine PMF factor (Fig. 4, Table S2), suggesting that this factor is internally mixed with anthropogenic pollutants. The corresponding ss Na associated with nss SO_4^{2-} was calculated and subtracted from the stoichiometrically calculated sea salt, in order to differentiate between the calculated sea salt and the anthropogenic marine sulphate contributions to this PMF factor. The PMF aged marine factor (2.6–5.2 $\mu\text{g m}^{-3}$) could be broken down into calculated fresh sea salt (1.2–2.1 $\mu\text{g m}^{-3}$, 40–47 %) and anthropogenic marine sulphate of regional origin (1.4–3.1 $\mu\text{g m}^{-3}$, 53–60 %). These results evidence that both the calculated sea salt and the anthropogenic marine sulphate aerosols contributed in a similar proportion to the aged marine factor (Figs. 8 and 9). The marine sulphate of anthropogenic origin derived from the aged marine fac-

Vertical and horizontal variability of PM₁₀ source contributions

M. Brines et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Special emphasis was put in trying to further apportion the dust aerosol sources. Overall, three sources of dust were identified in the urban area of Barcelona: road dust (3.8–1.6 $\mu\text{g m}^{-3}$, average 35 %), Saharan dust (2.1 $\mu\text{g m}^{-3}$, average 28 %) and mineral dust of regional origin (2.7–2.9 $\mu\text{g m}^{-3}$, average 38 %). Regarding the aged marine aerosol factor, it was found to be internally mixed with sulphate of regional origin, as the calculated fresh sea salt (1.8 $\mu\text{g m}^{-3}$, 45 % of the aerosol marine load) was aged by the mixing with anthropogenic marine sulphate of regional origin (2.2 $\mu\text{g m}^{-3}$, 55 % of the aerosol marine load). As expected, it was found that non vehicle exhausts, vehicle exhausts, and local industries located in the city centre were contributing to the PM₁₀ ground concentrations levels. However, surprisingly the PM₁₀ concentrations of secondary aerosols were found more homogeneous than expected. On the whole, our results show that although a higher homogeneity than expected was found in the horizontal and vertical variability of pollution levels in the Barcelona urban atmosphere, primary emission factors related to vehicle exhaust emissions and road dust resuspension decrease with the distance to traffic hot spots. Road traffic emissions comprise not only tailpipe exhaust emissions but also non-exhaust emissions derived from the vehicle-induced resuspension of dust deposited on the road, and from the direct emissions from vehicle wear (brakes, tyres, discs etc.). This study confirms that – for the coarse PM₁₀ fraction – road traffic is still a major source of ground level PM₁₀ aerosol mass. Furthermore, this study shows that local industries-small workplaces are also a source of PM₁₀ aerosol mass within urban ground levels.

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Vertical and horizontal variability of PM₁₀ source contributions

M. Brines et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Vertical and horizontal variability of PM₁₀ source contributions

M. Brines et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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Vertical and horizontal variability of PM₁₀ source contributions

M. Brines et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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**Vertical and
horizontal variability
of PM₁₀ source
contributions**

M. Brines et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

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**Vertical and
horizontal variability
of PM₁₀ source
contributions**

M. Brines et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

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Vertical and horizontal variability of PM₁₀ source contributions

M. Brines et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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Vertical and horizontal variability of PM₁₀ source contributions

M. Brines et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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Vertical and horizontal variability of PM₁₀ source contributions

M. Brines et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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Vertical and horizontal variability of PM₁₀ source contributions

M. Brines et al.

Table 1. Mean concentrations of PM₁₀ ($\mu\text{g m}^{-3}$) and their major components during the SA-PUSS campaign at the Road Site (RS), Urban Background (UB), Torre Mapfre (TM) and Torre Collserola (TC).

Species ($\mu\text{g m}^{-3}$)	Road Site (RS)	Urban Background (UB)	Torre Mapfre (TM)	Torre Collserola (TC)
PM ₁₀	30.7 (100 %)	25.9 (100 %)	24.8 (100 %)	21.8 (100 %)
Crustal	5.2 (17 %)	4.0 (15 %)	3.6 (14 %)	3.1 (14 %)
Sea salt	2.6 (9 %)	2.1 (8 %)	2.7 (11 %)	1.5 (7 %)
NO ₃ ⁻	2.6 (8 %)	2.2 (8 %)	2.4 (10 %)	1.6 (7 %)
SO ₄ ²⁻	2.8 (9 %)	2.8 (11 %)	2.7 (11 %)	2.3 (11 %)
NH ₄ ⁺	0.9 (3 %)	0.7 (3 %)	0.7 (3 %)	0.5 (2 %)
EC	1.4 (5 %)	0.9 (3 %)	0.7 (3 %)	0.5 (2 %)
OC	4.2	2.5	2.5	2.3
OM	5.2 (17 %)	4.2 (16 %)	4.2 (17 %)	4.6 (21 %)
Trace elements	0.16 (0 %)	0.16 (1 %)	0.10 (0 %)	0.10 (1 %)
Unaccounted	9.8 (32 %)	9.0 (35 %)	7.8 (31 %)	7.7 (35 %)

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Vertical and horizontal variability of PM₁₀ source contributions

M. Brines et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Table 2. Mean concentrations ($\mu\text{g m}^{-3}$) of PMF factors at the Road Site (RS), Urban Background (UB), Torre Mapfre (TM) and Torre Collserola (TC).

PMF Factors ($\mu\text{g m}^{-3}$)	RS	UB	TM	TC
Exhaust and wear	8.7 (27 %)	5.0 (18 %)	2.9 (11 %)	1.9 (10 %)
Road dust	3.8 (12 %)	3.3 (12 %)	2.3 (9 %)	1.6 (8 %)
Mineral	4.6 (13 %)	5.1 (18 %)	4.8 (19 %)	4.9 (26 %)
Aged marine	4.6 (14 %)	3.6 (13 %)	5.2 (20 %)	2.6 (13 %)
Heavy oil	0.5 (2 %)	0.6 (2 %)	0.6 (2 %)	0.4 (2 %)
Industrial	1.2 (4 %)	1.4 (5 %)	0.7 (3 %)	0.9 (5 %)
Sulphate	3.5 (11 %)	4.2 (15 %)	3.8 (15 %)	3.3 (17 %)
Nitrate	5.7 (17 %)	4.9 (17 %)	5.5 (21 %)	3.6 (19 %)
	32.6 (100 %)	28.1 (100 %)	25.8 (100 %)	19.2 (100 %)

Vertical and horizontal variability of PM₁₀ source contributions

M. Brines et al.

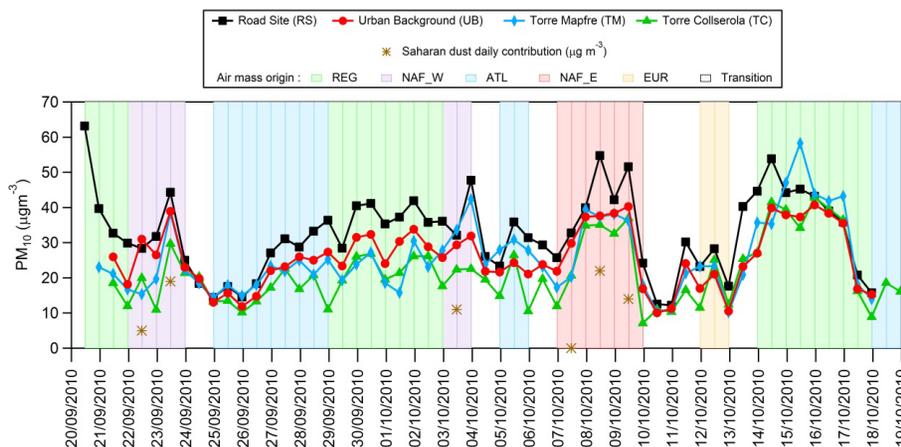


Figure 1. PM₁₀ variation at the 4 sites (RS, UB, TM, TC) during the SAPUSS campaign under different air mass origin (Regional (REG), North African West (NAF_W), Atlantic (ATL), North African East (NAF_E), European (EUR)). Saharan dust daily contribution to PM₁₀ is indicated.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Vertical and horizontal variability of PM₁₀ source contributions

M. Brines et al.

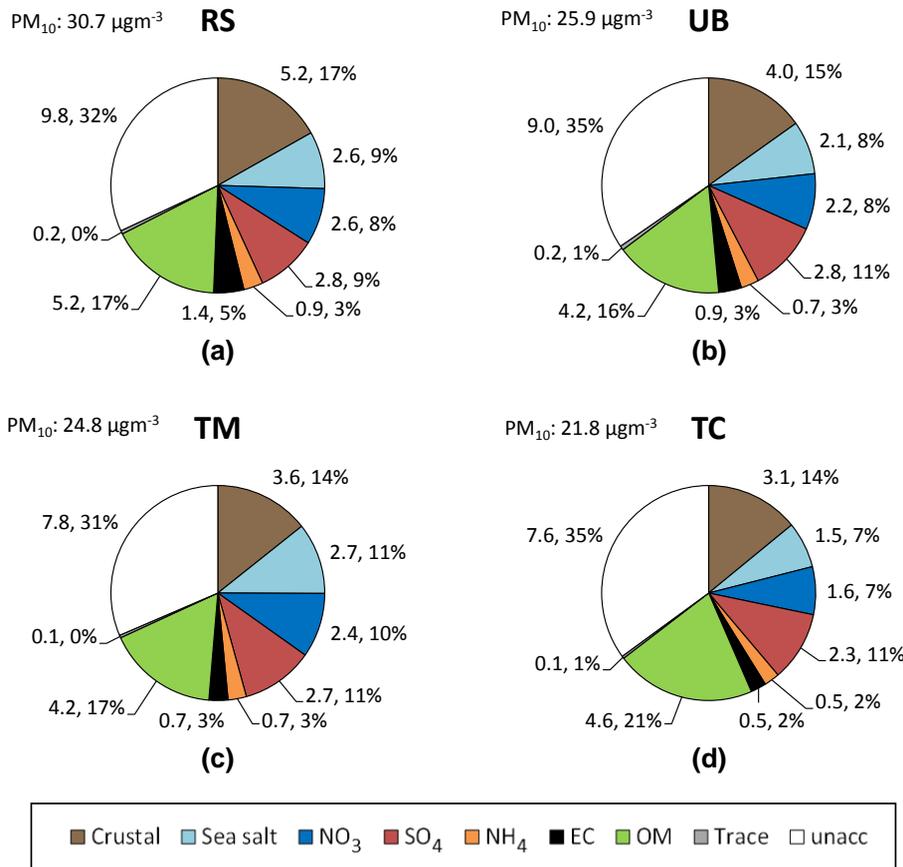


Figure 2. Mean composition of PM₁₀ concentration in µgm⁻³ measured during the SAPUSS campaign at: **(a)** Road Site (RS), **(b)** Urban Background (UB), **(c)** Torre Mapfre (TM) and **(d)** Torre Collserola (TC). Data are given in µgm⁻³ and %. On the top right of each graph average gravimetric PM₁₀ concentration are represented.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

◀ ▶

◀ ▶

Back Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Vertical and horizontal variability of PM₁₀ source contributions

M. Brines et al.

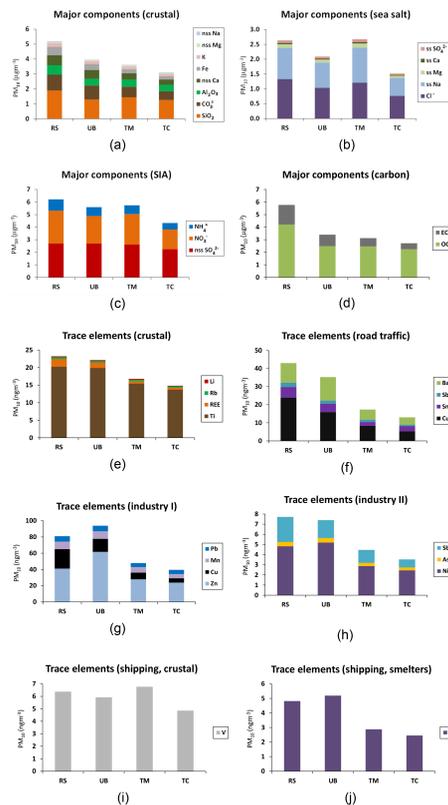


Figure 3. Average PM₁₀ concentration of main and trace elements for different emission sources at each site (RS: Road Site, UB: Urban Background, TM: Torre Mapfre, TC: Torre Collserola). REE denote Rare Earths elements.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

◀ ▶

◀ ▶

Back Close

Full Screen / Esc

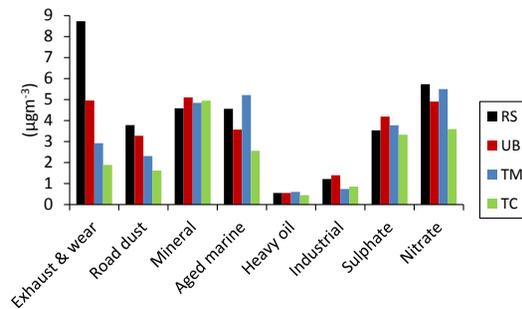
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Interactive Discussion

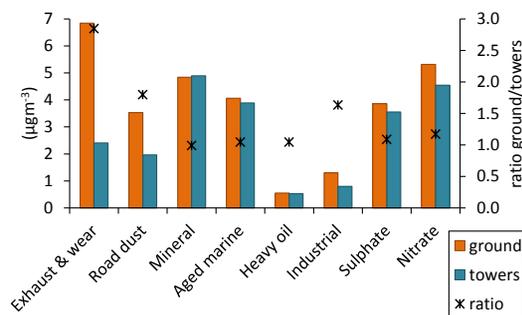


Vertical and horizontal variability of PM₁₀ source contributions

M. Brines et al.



(a)



(b)

Figure 5. Contribution to PM₁₀ concentration levels of each of the eight factors: **(a)** at each of the 4 sites (RS, UB, TM, TC) and **(b)** at ground (RS and UB) and tower levels (TM and TC) and the concentration ratio between ground and tower sites during the SAPUSS campaign.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Vertical and horizontal variability of PM₁₀ source contributions

M. Brines et al.

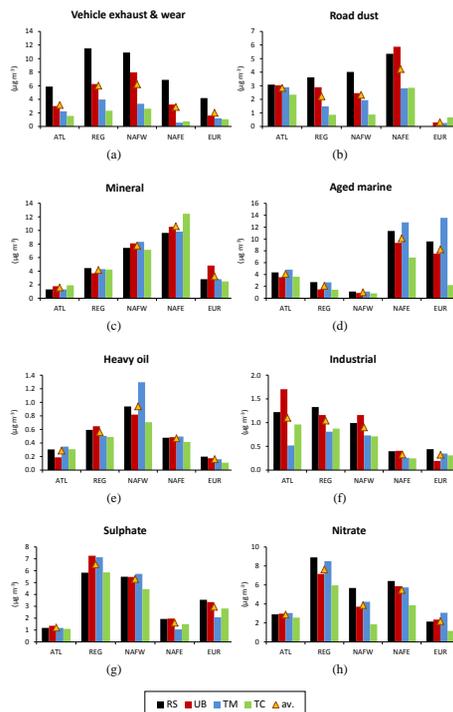


Figure 6. Average PM₁₀ contributions from the eight PMF factors at each of the sites (RS, UB, TM and TC) under different atmospheric scenarios (Atlantic, ATL; Regional, REG; North African West, NAFW; North African East, NAFE and European, EUR) during the SAPUSS campaign.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Vertical and horizontal variability of PM₁₀ source contributions

M. Brines et al.

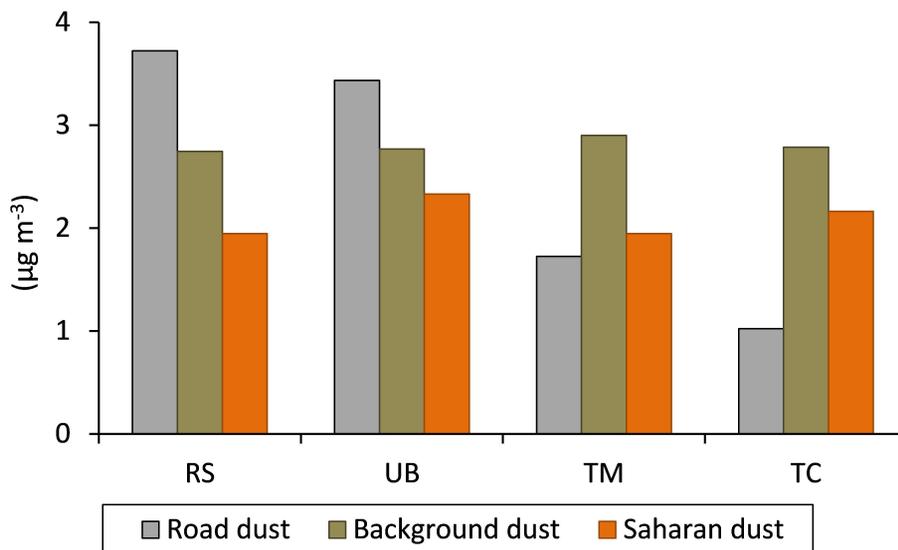


Figure 7. Average sources contributing to the mineral dust load during SAPUSS at the four monitoring sites RS, UB, TM and TC. Mineral background dust contributes on average $2.8 \mu\text{g m}^{-3}$, road dust $2.7 \mu\text{g m}^{-3}$ and Saharan dust $2.1 \mu\text{g m}^{-3}$.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Vertical and horizontal variability of PM₁₀ source contributions

M. Brines et al.

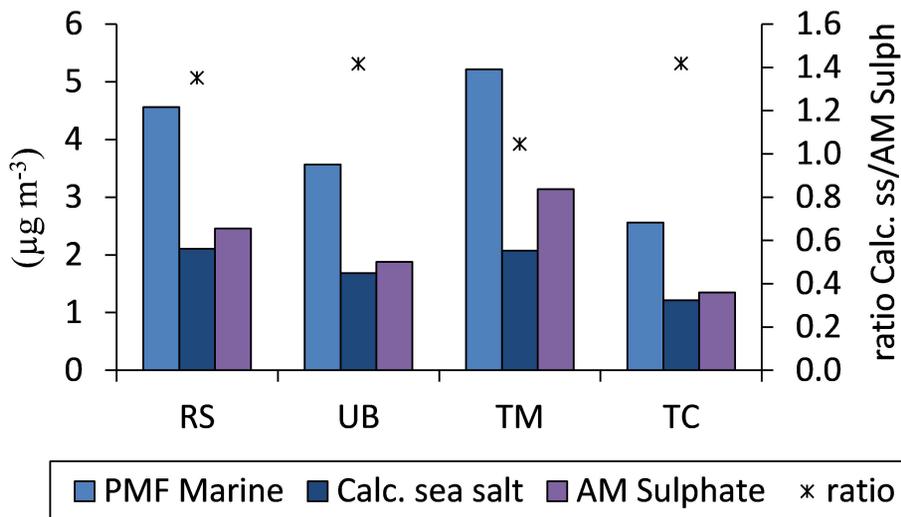


Figure 8. Average sources contributing to the sea salt factor during SAPUSS at the four monitoring sites RS, UB, TM and TC. Calculated sea salt contributes on average $1.8 \mu\text{g m}^{-3}$ and marine sulphate from regional pollution $2.2 \mu\text{g m}^{-3}$.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Vertical and horizontal variability of PM₁₀ source contributions

M. Brines et al.

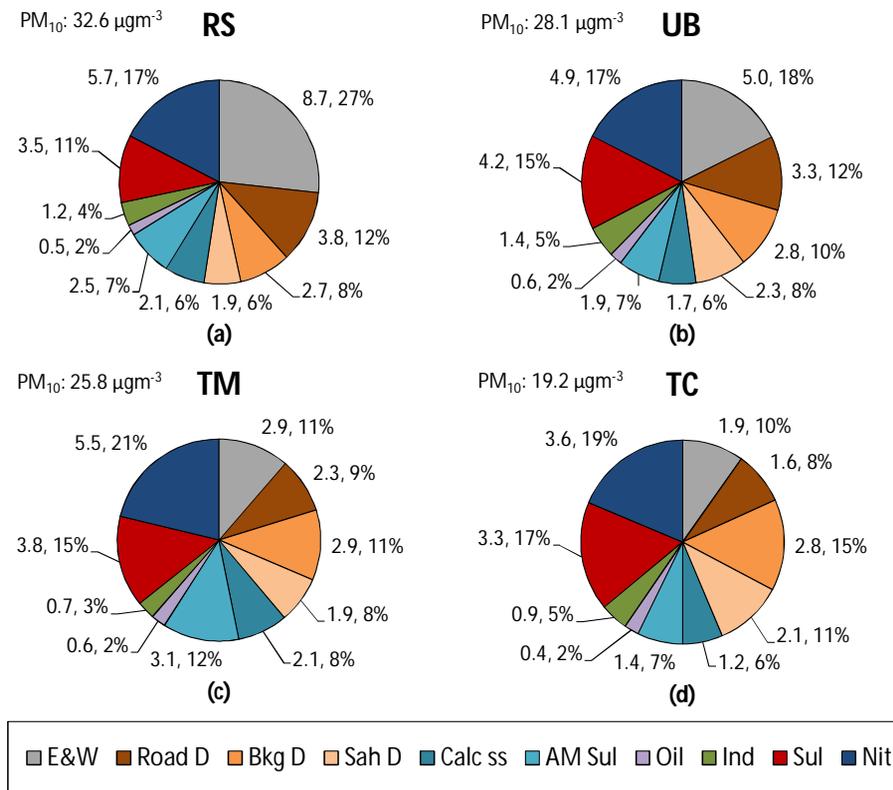


Figure 9. Sources contributing to the PM₁₀ load extracted with the PMF tool and subcomponents at each monitoring: **(a)** RS, **(b)** UB, **(c)** TM and **(d)** TC. Exhaust&Wear (E&W), Road dust (Road D), Heavy oil (Oil), Industrial (Ind), Sulphate (Sul) and Nitrate (Nit) are direct PMF factors. The mineral factor was broken into Background dust (Bkg D) and Saharan dust (Sah D) and the aged marine factor into Calculated sea salt (Calc ss) and Anthropogenic marine sulfate of regional origin (AM Sul). Data are given in μgm⁻³ and %. The average PMF PM₁₀ concentration are represented at the top left of each graph for each site.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

◀ ▶

◀ ▶

Back Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

