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Forty years of improvements in European air quality: the role of EU policy–industry interplay

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quality legislation and current technology brought in. The implementation of air quality directives with current technological means varies in effectiveness and is far from 100 % effective. Some works have analyzed past emissions trends for the most important air pollutants, but mainly focused on selected substances or specific regions (e.g., Klimont et al. (2013) for global SO₂ or Kurokawa et al. (2013) for all pollutants in the Asian region). Historical global emission data sets for the past decades or century are compiled by combining data from several emission inventories, e.g., Lamarque et al. (2010) for 1850–2000 and Granier et al. (2011) for 1980–2010; however, an analysis of potential drivers of the emissions is difficult because of the heterogeneity and regional differences in the cause of variability of the original data that might show inconsistencies over the full time period and in global coverage. Amann et al. (2013) report the evolution of anthropogenic emissions of key air pollutants between 1990 and 2010 for several world regions as obtained from the GAINS (Greenhouse Gas Air Pollution Interactions and Synergies) model. The same system is used to provide scenarios of future emissions (up to 2050) depending on specific assumptions of air quality and climate policies (e.g., Cofala et al., 2007). A different approach is used by Rafaj et al. (2013), who aim to identify the factors (historical energy balances, population and economic growth, fuel mix, etc.) driving emission levels of air pollutants in Europe from 1960 to 2010, based on the RAINS (Regional Air Pollution and Simulation) and GAINS (<http://gains.iiasa.ac.at/models/>) models. They decomposed

the emissions to understand potential drivers of the trends. Here, we do not seek to analyze driver factors and further expectations of optimized reduction policies, but we want to take stock of the achievements based on consistently estimated emissions with reductions in the EU by technological progress and end-of-pipe measures and reported shifting of fuel types.

Our work focuses both on European and global historical emissions for a complete set of air pollutants, developing retrospective scenarios for the years 1970–2010 to assess the role and impact of the most important European air quality policies, identifying regional hotspots where these emission changes took place and estimating the corre-

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We modeled the STAG_TECH scenario by assuming for the three sectors of interest constant emission factors to all technologies present in the emission model for the EU (specified mainly regionally, but few also globally). We further assumed in the STAG_TECH scenario no implementation of European end-of-pipe control measures.

Other regional standards regulating end-of-pipe control, e.g., US power plant standards were not changed, because they fall outside the European scope of this study. Thus in STAG_TECH, European energy is generated by the power plants of 1970 without additional end-of-pipe measures (in other words the emission reduction equals the lower limit³). A relatively large turnover of power plants was observed in the 1970s and 1980s (Platts database, 2007, <http://www.platts.com/>), resulting in a high share of power plants which reached 30–40 years of operational time in 2010. For the manufacturing industry, the effect of technology stagnation could only be reflected by keeping the emission factors, modeled at regional or global levels, constant. For road transport, the stagnation was mainly reflected by the removal of particle filters and catalysts of all the vehicles under EURO standards, mainly present in the fleet inside Europe but also in some Asian countries (or EURO standards 1 to 5 equalling the pre-EURO standards). The EURO standard penetration is shown as an example in Table S7.2 for diesel and petrol cars, light and heavy-duty vehicles and busses.

The change over time in technology and the implementation of the standard measures is assumed in EDGARv4.3 to start in the year the directive comes into force, but the timing of the implementation is subject to large uncertainty as it could be preempted by, e.g., striving towards newer technologies in the case of the manufacturing industry or delayed by, e.g., the slow penetration of vehicles with new standards in the national fleet. In this work we do not aim to analyze when exactly the emission reductions effectively took place, but instead we take stock of the achievements achieved by 2010, by comparing the reference emissions in 2010 with the STAG_TECH emissions in the hypothetical situation of 2010 at 1970 technology and with 1970 emissions standards.

³This is the technological default of fly ash not passing through the stack.

the linearized matrix function for each precursor (Eq. 2):

$$\begin{bmatrix} \text{CONC}_{R1} \\ \dots \\ \text{CONC}_{R56} \end{bmatrix} (t, x) = \begin{bmatrix} \text{BG}_{R1} \\ \dots \\ \text{BG}_{R56} \end{bmatrix} (t, x) + \sum_l \begin{bmatrix} \alpha_{R1S1}(t, x, l) & \dots & \alpha_{R56S56}(t, x, l) \\ \dots & \dots & \dots \\ \alpha_{R56S1}(t, x, l) & \dots & \alpha_{R56S56}(t, x, l) \end{bmatrix} \cdot \begin{bmatrix} \text{EM}_{S1}(t, l) \\ \dots \\ \text{EM}_{S56}(t, l) \end{bmatrix}, \quad (2)$$

where $\alpha_{R1S1}, \dots, \alpha_{R56S56}$ are the source receptor coefficients for precursors l to substance x .

5 For each source region, the TM5-FASST model requires the annual emissions of $\text{PM}_{2.5}$ to be specified⁴ as BC, primary organic matter and other $\text{PM}_{2.5}$, and the precursors (SO_2 , NO_x , CO , NMVOCs and NH_3) in order to estimate the corresponding $\text{PM}_{2.5}$ and ozone concentrations in the receptor regions. Making use of source–receptor relationships, it converts the emissions from certain source regions to pollutant concentrations at the receptor regions, simulating meteorological and chemical processes. Only anthropogenic emissions are input to this model and the considered chemical reactions include the formation of secondary inorganic aerosol species (ammonium nitrate and sulfate) from gaseous precursors (SO_2 , NO_x and NH_3), while no estimation of SOA (secondary organic aerosols) is performed. Secondary organic aerosol from biogenic sources is included in the reference simulation following the AEROCOM recommendations in Dentener et al. (2006), but not for anthropogenic SOA, for which no source–receptor relationships are calculated. Ozone formation is simulated through the reactions involving CO , VOCs and NO_x . Once O_3 and $\text{PM}_{2.5}$ concentrations, as well as its chemical composition, are simulated, the impacts of such concentrations on health and crops and vegetation are evaluated.

⁴For simplicity, “primary organic matter” is assumed to be $1.3 \cdot \text{OC}$ emissions and for the “other $\text{PM}_{2.5}$ ” the default of BC plus $0.3 \cdot \text{OC}$ emissions is assumed.

3.2.2 EU manufacturing industry (“industry”)

The primary emissions of industrial activities, including all manufacturing activities, are SO₂, NO_x, CO and PM. NMVOC emissions are to a large extent due to the use of solvents and specific chemical processes. When comparing STAG_FUEL to the REF(2010) emissions for Europe, we observe, contrary to global-scale emissions doubling, a decrease of a factor of 1.5–4 depending on the pollutant (see Fig. 4a and Table S1.1). The emissions from the manufacturing industry were affected by the shift to cleaner fuels from 1970 to 2010 (see Fig. 5) and, in particular, there was a considerable reduction in the use of heavy residual fuel oil. From 1970 to 2010 the relative fuel usage in manufacturing industries changed from 26.9 to 16.9 % for coal, from 18.8 to 52.4 % for gas, from 53.6 to 18.9 % for oil and from 0.7 to 11.9 % for wood. More details about non-EU countries are discussed in Sect. S3.

The impact of technological development and deployment of pollutant abatement measures on the industrial sector is depicted in Fig. 4b. When comparing the STAG_TECH and REF(2010) cases, we find that only emissions from SO₂, CO, NH₃ and PM components are slightly affected in Europe with ratios ranging from 1.2 to 2.6, except for NH₃ where the ratio is 3.9 due to higher emissions from oil combustion than from gas. Therefore, even in Europe, reference emissions from the manufacturing industry sector are generally higher in absolute terms compared to those from the power sector (see Fig. 4) because of the deployment of less clean fuels and less efficient technologies, as well as the lack of stringent effective abatement measures. Just recently, the European legislature introduced the 2010/75/EU directive to regulate emissions from industrial activities; therefore, the implementation of these new standards and reduction measures will require some years before we are able to quantify the impact of this policy on industrial emissions.

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3.2.3 EU road transport (“road”)

The largest effects of technology and end-of-pipe control measures is observed in the road sector in the EU. The fuel quality directive reduced SO₂ emissions by 2 orders of magnitude. With the optimization of combustion technology (motor inside flow and combustion, common rail fuel injection, preheating) the CO and NMVOC emissions have also been reduced. With the adoption of EURO standards, particulate filters have penetrated national car fleets, and PM at the exhaust has been reduced by more than a factor of 4. The efficiency of the particulate filters is much higher, but emissions become more determined by a relatively small fraction of vehicles with lower emissions standards or even those super-emitting. Moreover, particulate filters do not work when the S content in the fuel is larger than 50 ppm. Furthermore, EURO standards reduced NO_x emissions, at the expense of increasing NH₃ emissions (which is the only substance that is increased in emission under the STAG_TECH scenario). European NO_x and BC increased in 2010 by a factor of 3.2 and 5.2, respectively, compared to the STAG_FUEL scenario (see Fig. 4), not only due to the increased fuel consumption but also to the shift from petrol to diesel, thus emitting more NO_x and particulate matter, for passenger cars in the EU, as depicted in Fig. 6.

Figure S4.2 shows the change in road transport emissions over time for SO₂ and PM_{2.5} in Europe. Already in the 1970s, Europe was moving towards the use of cleaner fuels, strengthened by the introduction of the CLRTAP conventions and GP, thus reducing SO₂ road emissions. In 1999 the European Union directive 1999/32/EC required the improvement of petrol and diesel fuel quality, lowering their S content. On the other hand, the deployment of cleaner fuels did not reduce primary particulate matter emissions (e.g., PM_{2.5} as shown in Fig. S4.2); however, only with the gradual introduction in the 1990s of EURO standards for vehicles (from EURO1 in 1992 to EURO5 in 2009 (see Table S7.2)), imposing the application of particle filters, were PM road transport emissions abated. This exemplifies the policy response to different types of pollutants and sources through the implementation of new policies.

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and technologies which also affected the industrial sector. The avoided SO₂ emissions are mainly located in western European urban areas (e.g., Paris, Madrid, London, Rome, Berlin and the Benelux region) due to the co-location of several emission activities while many point sources (power plants and industries) are spread over Europe representing the reduction in SO₂ emissions due to the switch to cleaner fuels (shifting of fuel types and lower sulfur content). A different spatial distribution of the avoided emissions is found for NO_x and CO, where in addition to urban areas, a big reduction is observed for road transport (road tracks are visible for both pollutants). Interestingly, Italy, Germany, the United Kingdom and the Benelux region have strongly reduced CO emissions more uniformly compared to other European regions (e.g., France and Spain). PM₁₀ and BC grid maps highlight the effectiveness of EURO standards on road vehicles especially in western European countries, representing a successful example to be followed by eastern European regions. Finally, the implementation of particulate filters on power plants and industries was also effective in very industrialized areas (e.g., Benelux) and other major conurbations.

4 Corresponding impacts on air quality, health and crops

4.1 Concentration and composition changes

Energy, industry and road emissions data from the considered scenarios have been used in the TM5-FASST model to derive the corresponding PM_{2.5} and O₃ concentrations for main world regions. As shown in Fig. 9, we first compare the impact of the emissions changes from 1970 to 2010 (REF(1970) vs. REF(2010)) on PM_{2.5} and O₃ concentrations, and then the differences of the other two scenarios (STAG_FUEL and STAG_TECH) with 2010 reference data. Delta concentrations are calculated as the difference from each scenario and the REF_2010 values, so a positive delta means that concentrations for a certain scenario were higher than the reference 2010 data.

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M. Crippa et al.

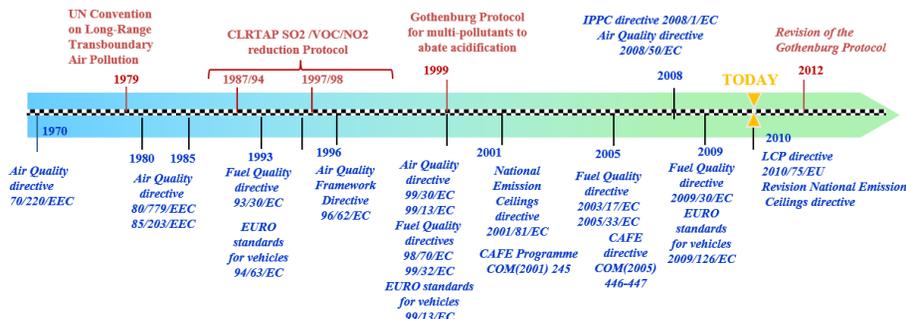


Figure 1. Overview of historical European Union (in blue) and international (in red) air quality regulations. UNECE/CLTRAP cover all European countries, USA, Canada, Belarus, Russia, Turkey, Israel, Ukraine and central Asian states.

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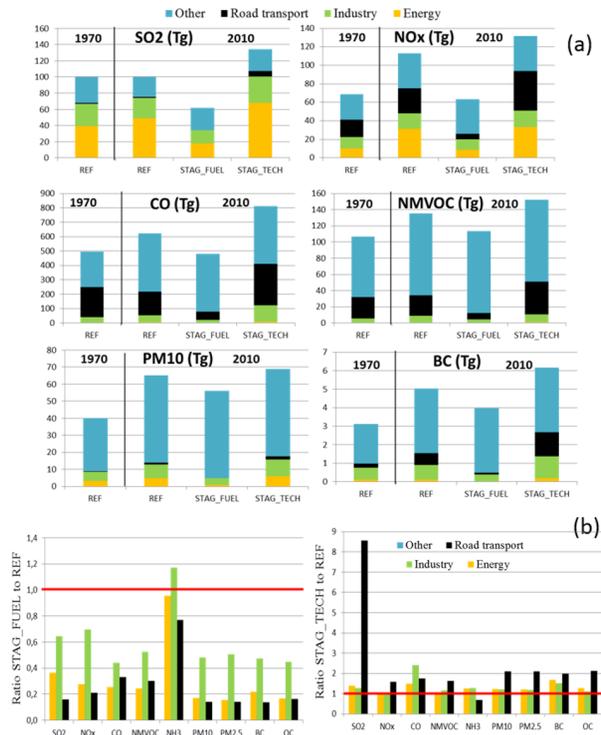


Figure 3. Overview of 2010 emissions for REF (2010), STAG_TECH (2010) and STAG_FUEL (2010), as well as of the real emissions in 1970 (REF, 1970) (a) at the global scale. The main anthropogenic emission sectors are “energy” stands for power industry, “industry” stands for manufacturing industry, “road transport”, “other” stands for all other anthropogenic emission sectors such as residential, agriculture, fuel transformation sector, refineries and waste disposal. In (b), the ratios STAG_FUEL to REF and STAG_TECH to REF are presented. A ratio of 1 (red line in the graph) means no change between the reference emissions and the scenarios, while values lower than 1 indicate a decrease in today’s emissions would scenario have happened, whereas values higher than 1 indicate the opposite situation).

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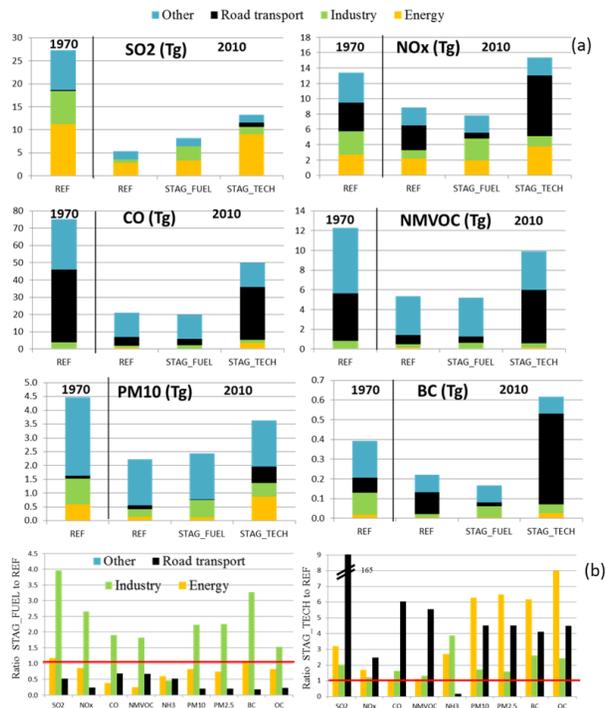


Figure 4. Overview of 2010 emissions for REF (2010), STAG_TECH (2010) and STAG_FUEL (2010), as well as of the real emissions in 1970 (REF, 1970) (a) at the European (EU27) scale. The main anthropogenic emission sectors are (“energy” stands for power industry, “industry” stands for manufacturing industry, “road transport”, “other” stands for all other anthropogenic emission sectors such as residential, agriculture, fuel transformation sector, refineries and waste disposal. In (b), the ratios STAG_FUEL to REF and STAG_TECH to REF are presented. A ratio of 1 (red line in the graph) means no change between the reference emissions and the scenarios, while values lower than 1 indicate a decrease in today’s emissions would scenario have happened, whereas values higher than 1 indicate the opposite situation).

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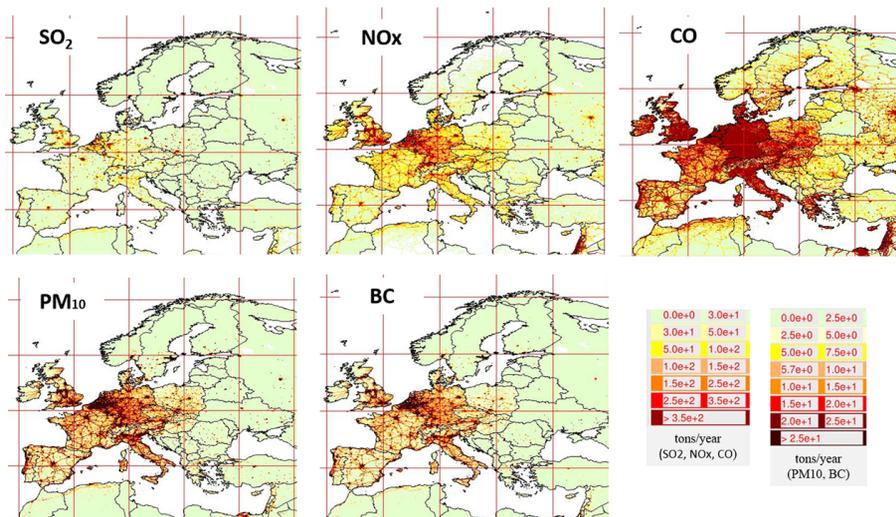


Figure 8. Hotspots of avoided emissions due to progressive implementation of in Europe: the difference of STAG_TECH and REF emissions in 2010 ($\text{t year}^{-1} (0.1^\circ \times 0.1^\circ \text{ gridcell})^{-1}$).

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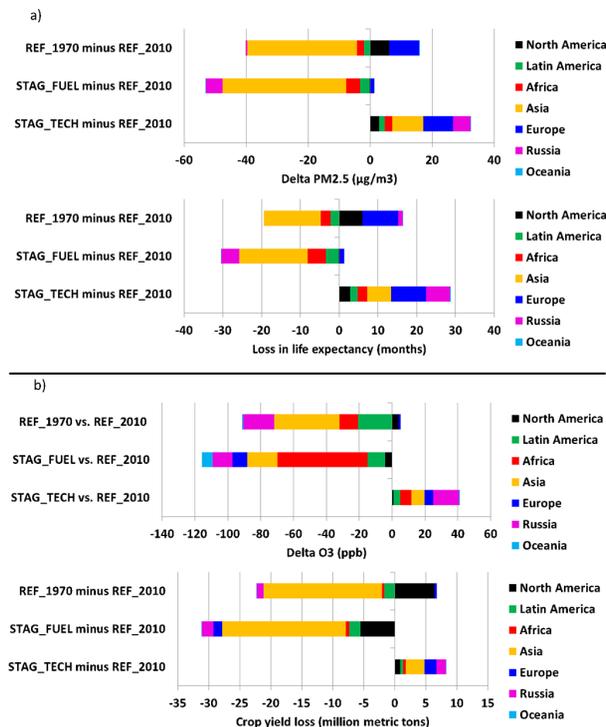


Figure 9. Increase in PM_{2.5} and in O₃ concentrations (delta PM_{2.5} and delta O₃) compared to reference 2010 values for each of the three situations: the reference case of 1970, the stagnation in fuels and the stagnation in technology (REF_1970 minus REF_2010, STAG_FUEL minus REF_2010, STAG_TECH minus REF_2010) and the corresponding life expectancy and crop yield losses for major world regions. **(a)** represents delta PM_{2.5} concentrations for the three scenarios (top part of the graph) while the corresponding health impacts are reported at the bottom of **(a)**. **(b)** represents delta O₃ concentrations for the three scenarios (top part of the graph) while the corresponding crop yield loss is reported at the bottom of **(b)**.