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What is the limit of stratospheric sulfur climate engineering?

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Abstract

The injection of sulfur dioxide (SO_2) into the stratosphere to form an artificial stratospheric aerosol layer is considered as an option for solar radiation management. The related reduction in radiative forcing depends upon the amount injected of sulfur dioxide but aerosol model studies indicate a decrease in forcing efficiency with increasing injection magnitude. None of these studies, however, consider injection strengths greater than 10 Tg(S) yr^{-1} . This would be necessary to counteract the strong anthropogenic forcing expected if “business as usual” emission conditions continue throughout this century. To understand the effects of the injection of larger amounts of SO_2 we have calculated the effects of SO_2 injections up to $100 \text{ Tg(S) yr}^{-1}$. We estimate the reliability of our results through consideration of various injection strategies, and from comparison with results obtained from other models. Our calculations show that the efficiency of the aerosol layer, expressed as the relationship between sulfate aerosol forcing and injection strength, decays exponentially. This result implies that the solar radiation management strategy required to keep temperatures constant at that anticipated for 2020, whilst maintaining “business as usual” conditions, would require atmospheric injections of the order of 45 Tg(S) yr^{-1} which amounts to 6 times that emitted from of the Mt. Pinatubo eruption each year.

1 Introduction

Climate engineering (CE) aims to counteract anthropogenic forcing due to green house gas (GHG) emissions by reducing the amount of incoming solar radiation through solar radiation management (SRM). To estimate the climate impact of SRM, model comparison studies have been performed (Kravitz et al., 2011) to simulate mirrors in space (e.g., Schmidt et al., 2012) or stratospheric injection of sulfur dioxide (e.g., Pitari et al., 2013). Such injections, first suggested by Budyko (1977) and later by Crutzen (2006), follow the example of volcanic eruptions that naturally emit large amounts of SO_2 above

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possible SO₂ emissions after which a further increase in injection rate causes only a negligible decrease in radiative forcing.

We have performed simulations with the middle atmosphere version of the General Circulation Model (GCM) ECHAM5 (Roeckner et al., 2006; Giorgetta et al., 2006) interactively coupled to a modified version of the aerosol microphysical model HAM (Stier et al., 2005). This three-dimensional modal aerosol model allows for dynamical feedback on particle distribution. Particle size is a crucial parameter for the effectiveness of stratospheric aerosols as it influences absorption and scattering properties as well as the sedimentation velocity. ECHAM5-HAM simulations of increasing injection rates of up to 100 Tg(S)yr⁻¹ will be analyzed regarding the efficiency of the injections (Sect. 3.1) followed by a discussion about injection strategies such as modification of the injection area size and different protocols defining the aerosol module (Sect. 3.2). We compare our results in Sect. 3.3 to those obtained from other models (Heckendorn et al., 2009; Pierce et al., 2010; English et al., 2012) to provide a broader perspective. In Sect. 4 we consider the limitation of SO₂ injection performed by other means.

2 Description of the model and the performed simulations

2.1 Model setup

The simulations for this study were performed with the middle atmosphere version of the GCM ECHAM5 (Giorgetta et al., 2006) with a spectral truncation at wave-number 42 (T42) and 39 vertical layers up to 0.01 hPa. The GCM solves prognostic equations for vorticity, divergence, surface pressure and temperature. In the applied model version the quasi-biennial oscillation (QBO) in the tropical stratosphere is not resolved and the model remains in a permanent east phase. The model runs in climate mode with fixed sea surface temperature.

The aerosol microphysical model HAM (Stier et al., 2005) is interactively coupled to the GCM, as well as to the radiation scheme of ECHAM5. The sulfate aerosol in-

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fluences dynamical processes via temperature changes caused by scattering of short-wave radiation and absorption of near-infrared and longwave radiation. HAM calculates the sulfate aerosol formation including nucleation, accumulation, condensation and coagulation, as well as its removal processes via sedimentation and deposition.

The microphysical core of HAM, M7 (Vignati et al., 2004), was modified to allow better representation of the stratospheric sulfate aerosol. Nucleation was adapted to high SO₂ concentrations so when the number of molecules in the critical cluster is small (< 4) the collision rate of two molecules is calculated and used instead of the nucleation rate (Vehkamäki et al., 2002). The time stepping scheme for the H₂SO₄ gas equation is solved as described in Kokkola et al. (2009), which increased the accuracy of the model compared to previous versions (Wan et al., 2013). Within this stratospheric HAM version we treat only the sulfate aerosol and apart from the injected SO₂, only natural sulfur emissions are taken into account in the simulations. Further details are described by Niemeier et al. (2009).

The original modal setup of M7, i.e., with seven modes, represents tropospheric conditions and is not representative for the stratospheric sulfate aerosol. In accordance with box-model studies (Kokkola et al., 2009) we applied a special setup of the modes to describe stratospheric sulfate aerosols: one for simulations of volcanic eruptions (Volc) and one for SRM (Geo). Both are used in this study. The volcanic setup (Volc) contains no coarse mode and a smaller accumulation mode (standard deviation $\sigma = 1.2$). Model results using this setup show for e.g. particle size and radiation at top of the atmosphere (TOA) a good overall agreement with measured data taken after the Mt. Pinatubo eruption (Niemeier et al., 2009; Toohey et al., 2011). We see a slight overestimation of the poleward transport in the aerosol optical depth (AOD) compared to satellite measurements (Sato et al., 1993), and, consequently, calculated aerosol concentrations in the tropics were six months after the eruption lower than observed. The simulated tracer transport into the Southern Hemisphere after the Mt. Pinatubo eruption in June 1991 and the related AOD compare well with satellite measurements (Sato et al., 1993).

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For simulations of SRM with sulfate aerosols the modal setup of M7 was further optimized to take into account the smaller sulfur flux (continuous emission) compared to those required for volcanic eruptions. Based on previous model comparisons (Kokkola et al., 2009) the SRM distribution includes a smaller standard deviation of the coarse mode ($\sigma = 1.2$ instead of 2) and the normal standard deviation of $\sigma = 1.59$ for the accumulation mode. As a result the simulated particle number distributions compares better to those described by a sectional aerosol model (Heckendorn et al., 2009). This SRM setup was used to calculate the amount of SO_2 emissions necessary to counterbalance anthropogenic forcing in the GeoMIP G3 experiment (Niemeier et al., 2013). The data from this model will be used as input data for a new GeoMIP intercomparison study (Tilmes et al., 2015).

The vertical resolution of ECHAM5 used for this study does not resolve the QBO. Previous studies show that the heated sulfate aerosol layer slows down the oscillation of the QBO and show an impact on the meridional tracer transport and the spatial and vertical distribution of the stratospheric aerosol, e.g. Plumb and Bell (1982); Punge et al. (2009); Aquila et al. (2014); Hommel et al. (2014). Based on these studies, we assume that the simulation of the QBO within our model would cause slight changes in the absolute values presented here but will not affect the validity of the main conclusions.

2.2 Setup of simulations

To study the dependence of the particle size distribution on the amount of injected SO_2 a series of numerical experiments were performed to simulate several years with continuous emissions between 1 and $100 \text{ Tg(S) yr}^{-1}$. SO_2 was injected at a height of 60 hPa (about 19 km) into one grid-box of a size of $2.8^\circ \times 2.8^\circ$ centered at the Equator at 121° E . In addition to the geoengineering setup, we used the volcanic setup for $100 \text{ Tg(S) yr}^{-1}$ injection strength. All of the results presented here are averaged over at least three years of a steady global sulfur burden.

data for the TOA forcing (R_{TOA}), net shortwave (SW) plus net longwave (LW) radiation, for the different injection strengths. The simulations show a reduction of TOA forcing by -0.5 , -2 , -6 , -8.5 W m^{-2} for emissions of 2, 10, 50, 100 Tg(S) yr^{-1} , respectively. The red curve in Fig. 1 (left) is a fit of the R_{TOA} as function of injection strength x (in Tg(S) yr^{-1}):

$$R_{\text{TOA}} = -65 \text{ W m}^{-2} \cdot e^{-\left(\frac{2246 \text{ Tg(S) yr}^{-1}}{x}\right)^{0.23}}. \quad (1)$$

This fit to the simulated TOA imbalance extends the simulated R_{TOA} for even higher injection rates. Upon doubling the injection rate from 100 to 200 Tg(S) yr^{-1} the fitted exponential function yields a increase in the negativ forcing from -8.5 to roughly -12 W m^{-2} . Doubling of the injection strength, therefore, results in increase of only 40 % in the forcing.

A more detailed illustration of the radiative forcing efficiency at TOA is given in Fig. 1 (right), where the R_{TOA} is split in a SW and LW part. This figure clearly depicts that the decreasing radiative forcing efficiency results from the SW part. An injection of 5 Tg(S) yr^{-1} yields an efficiency of $-0.23 \text{ W m}^{-2} (\text{Tg(S) yr}^{-1})^{-1}$ while an injection of 50 Tg(S) yr^{-1} yields an efficiency of $-0.12 \text{ W m}^{-2} (\text{Tg(S) yr}^{-1})^{-1}$: a tenfold increase in injection results in 50 % reduction in the efficiency. This result can be explained by the plot in Fig. 2. For small injection rates ($\leq 10 \text{ Tg(S) yr}^{-1}$) Fig. 2 shows that the number distribution is greater in accumulation mode than in coarse mode. As injection rates increase particle number and radii also increase in coarse mode. With increasing particle size scattering becomes less effective. The parallel curves of SW and R_{TOA} efficiency in Fig. 1 (right) indicate that the changes in scattering are mostly responsible for the decrease of R_{TOA} efficiency. In contrast, efficiency of LW radiation at TOA is almost constant and positive $0.1 \text{ W m}^{-2} (\text{Tg(S) yr}^{-1})^{-1}$. So the TOA LW flux anomalies contribute to the GHG effect instead of counterbalancing it.

Summarizing, the decrease in efficiency with increased injection strength follows exponential decay and is the consequence of the increased particle size that occurs

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Figure 4 shows on the left the aerosol number size distribution of Geo10 as an average over AREA-115 and AREA-145, and on the right the zonal average of Geo10 and Geo10-lon at the Equator. Compared to AREA-145 the number size distribution of AREA-115 shows high particle numbers in all modes, indicating that the processes of nucleation, condensation and coagulation are all in operation, especially new particle formation. In AREA-145 SO_2 concentration is low, consequently, the nucleation particle number and radius are both small. Additionally, low Aitken and accumulation mode numbers indicate small amounts of nucleation and condensation. This shows that the process of particle growth occurs mostly in, and downwind of, the injection area. In Geo10-lon injections occur along the Equator. The size number distribution of the zonal average, here representative for the injection area, is very similar to the one of AREA-115. For Geo10-lon both fine and large particles are available at all latitudes (Fig. 3) and the ratio of fine to large radii is large everywhere. Coagulation is, therefore, the dominant process everywhere and particles are able to grow in size. This decreases SW scattering and hence the forcing efficiency, by -12% in R_{TOA} (Sect. 3.1).

Earlier studies (Heckendorn et al., 2009; Niemeier et al., 2011) suggest a similar effect when prolonging the time period of stratospheric injections. Changing the injection period from pulse to continuous decreases the injection flux but results over time in a more even distribution of particle and an overall quite regular availability of small particle. This results also in a decrease in efficiency.

3.2.2 Impact of the size of the injection area – meridional extension

The effect of increasing the size of the injection area in meridional direction was considered in simulation Geo10-5 and Geo10-30 (Table 1). For Geo10-5 the injection area is four times larger than for Geo10, for Geo10-30 20 times larger. The number size distribution in Fig. 5 (left) shows smaller values for the Aitken and accumulation modes for Geo10-30. This indicates a slight increase of coagulation in Geo10-30, resulting in a slight increase of the final particle size of the coarse mode.

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The zonally averaged AOD (Fig. 5, right) reveals clear differences in the meridional distribution of sulfate in Geo10-30 between 30° N to 30° S compared to Geo10 and Geo10-5. The equal distribution of the injection over more latitudes reduces tropical AOD. The meridional cross sections of the zonal and annual mean of the SO₂ and sulfate concentrations (Fig. 6) show clear differences in the vertical distribution of SO₂ between Geo10 and Geo10-30. The temperature within the sulfate cloud is higher and the vertical velocity is about 10 % larger in Geo10 than in Geo10-30. The consequence is an increased vertical transport of the aerosols in the tropical stratosphere. The difference in the SO₂ and aerosol distribution is further related to stratospheric dynamics. At the boundary of the tropical region a subtropical transport barrier hinders meridional mixing (Brasseur and Solomon, 2005). ECHAM5-HAM results indicate that this transport barrier in a simulation without quasi-biennial oscillation is strongest around the latitude of 10° in the summer hemisphere (Punge et al., 2009). In Geo10-30 parts of the SO₂ emissions are outside of this barrier, thus meridional transport of SO₂ is greater (Fig. 6).

In summary, decreased efficiency is observed when the injection area is increased longitudinally. The zonally larger injection area causes a more even spread of precursor gases and fine particle. Coagulation is increased and this results in the formation of larger particle radii and decreased SW scattering. The tropical transport barrier is an important factor when increasing the meridional injection area. Injecting outside of this barrier increases meridional transport and decreases the lifetime of the sulfate aerosol.

3.2.3 Impact of modal setup of HAM

HAM is a modal aerosol model, in which the aerosol size distribution is simplified by the use of four log-normal distributions, therefore, we have considered the uncertainty range related to the modal setup of our model. Kokkola et al. (2009) used a box model study of complex aerosol bin models to compare different modal setups of M7, the microphysical core of HAM. The results of the bin models showed that upon increasing the initial SO₂ concentration from 10⁻⁸ to 10⁻⁶ kgkg⁻¹ the number distribution

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Table 2. Burden, AOD, R_{TOA} (net SW + LW), and net surface SW radiation for the different simulations. R_{diff} is the relative difference of R_{TOA} to Geo10 and Geo100.

Simulation Unit	Burden Tg(S)	AOD	R_{TOA} W m^{-2}	R_{diff} %	SW_{srf} W m^{-2}
Geo10	6.44	0.18	-2.03	-	-2.55
Geo10-5	6.36	0.17	-2.06	-1.5	-2.52
Geo10-30	6.16	0.15	-1.81	-11	-2.3
Geo10-lon	5.98	0.14	-1.79	-12	-2.3
Geo100	62.3	0.79	-8.46	-	-14.9
Volc100	61.8	0.89	-9.01	6	-15.43

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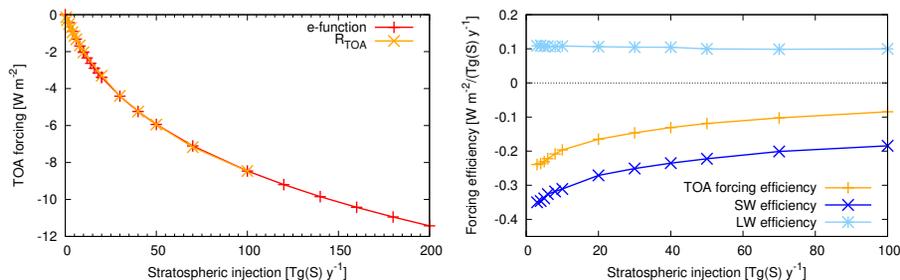


Figure 1. (Left) Top of the atmosphere (TOA) radiative fluxes (net shortwave plus net longwave, orange) and exponential fit of TOA forcing (red) (Eq. 1) for different injection rates. (Right) Forcing efficiency of TOA radiative forcing, forcing per injection [$\text{Wm}^{-2} (\text{Tg}(\text{S}) \text{yr}^{-1})^{-1}$], for R_{TOA} (orange), SW and LW radiation (blue).

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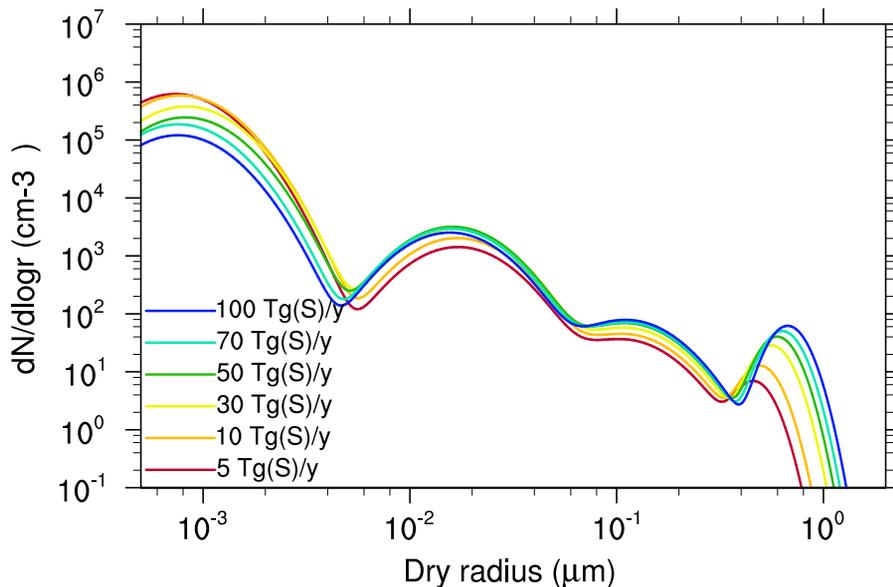


Figure 2. Zonally averaged aerosol number size distribution at 54 hPa height at the Equator for different injection rates. Given are values for nucleation mode (Radius (r) \leq 5 nm), Aitken mode ($5 \text{ nm} \leq r \leq 50 \text{ nm}$), accumulation mode ($0.05 \mu\text{m} \leq r \leq 0.2 \mu\text{m}$) and coarse mode ($r \geq 0.2 \mu\text{m}$). Radiatively active are only particle in accumulation and coarse mode. Scattering of SW radiation is strongest in accumulation mode and gets less effective with increasing particle size (Pierce et al., 2010).

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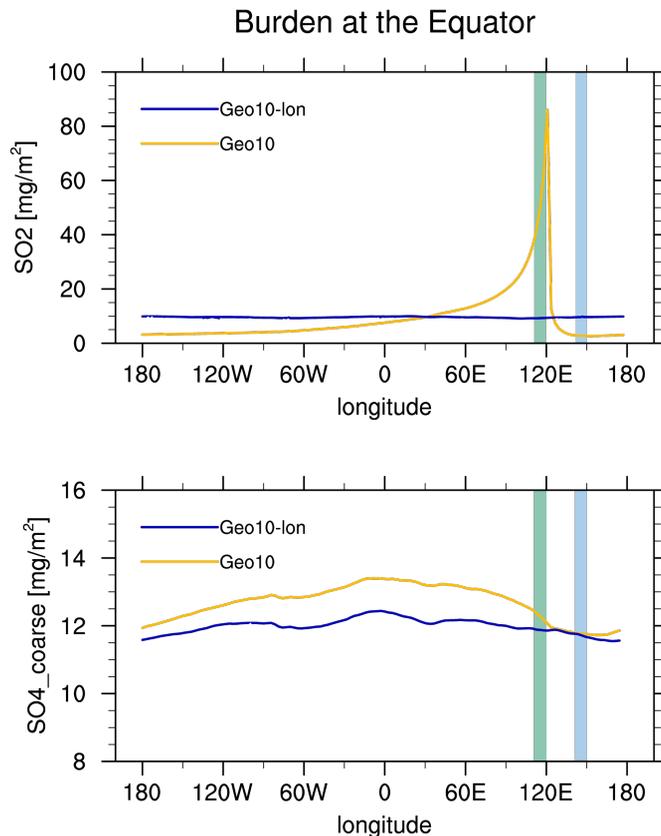
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Figure 3. Burden of SO₂ and sulfate coarse mode particles in a one grid box wide area along the Equator for two different simulations. Within the two marked areas concentrations are averaged for Fig. 4: downwind of the injection area at 110 to 120° E (green area, named AREA-115 later) and upwind to the injection area at 140 to 150° E (blue area, AREA-145). Meridionally both areas are one model grid box wide, from the Equator to 2.8° N.

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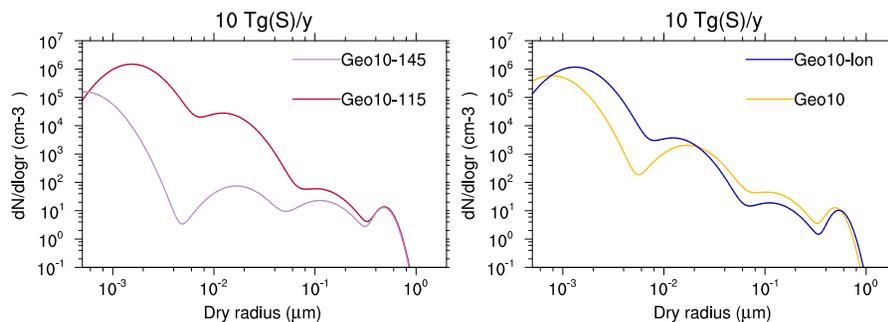
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Figure 4. Aerosol number size distribution of particles in a height of 54 hPa at the Equator for injection rates of 10 Tg(S) yr^{-1} . (Left) Geo10 averaged over a 10° wide area upwind (AREA-145) and downwind (AREA-115) of the injection area (see also Fig. 3). (Right) Zonal average of Geo10 and Geo10-lon.

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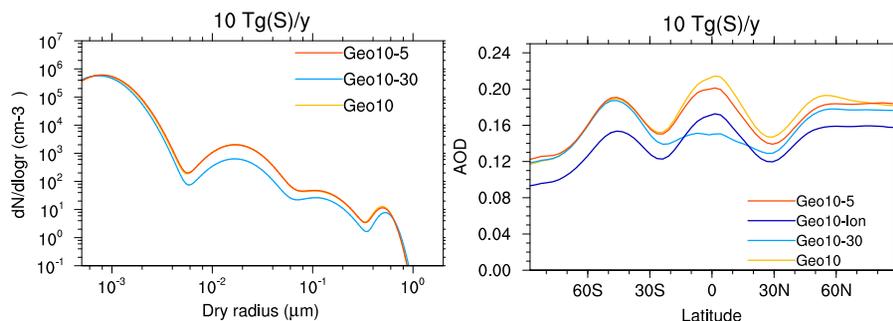
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Figure 5. Zonally averaged data of aerosol number size distribution (left) and AOD (right) for experiments with different injection rates of 10 Tg(S) yr^{-1} . Each plot shows results of experiments with varying extend of the injection area in zonal (Geo10-lon) and meridional (Geo10-5, Geo10-30) direction.

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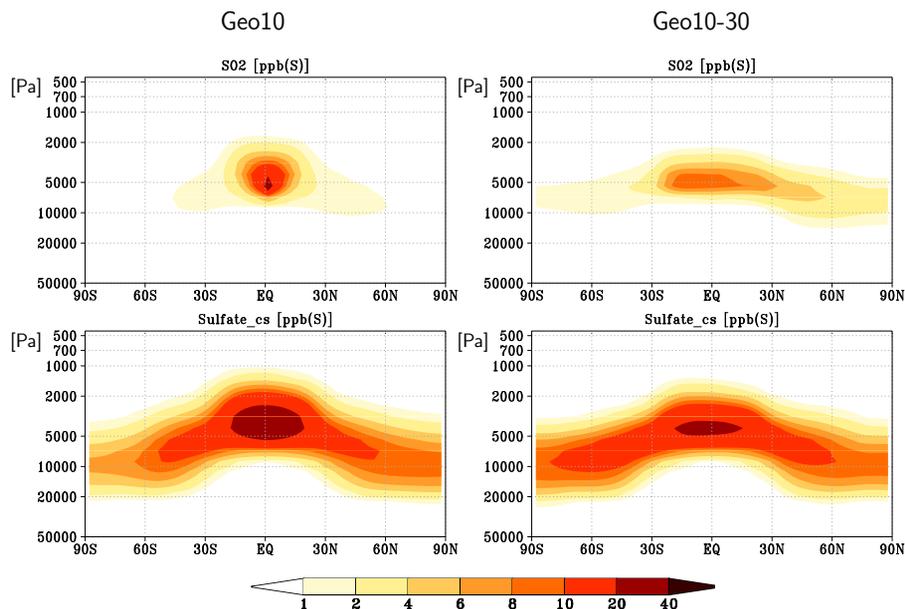
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Figure 6. Zonally and annually averaged SO₂ (top) and sulfate coarse mode (bottom) concentration for Geo10 (left) and Geo10-30 (right) experiments with injection rates of 10 Tg(S)yr⁻¹.

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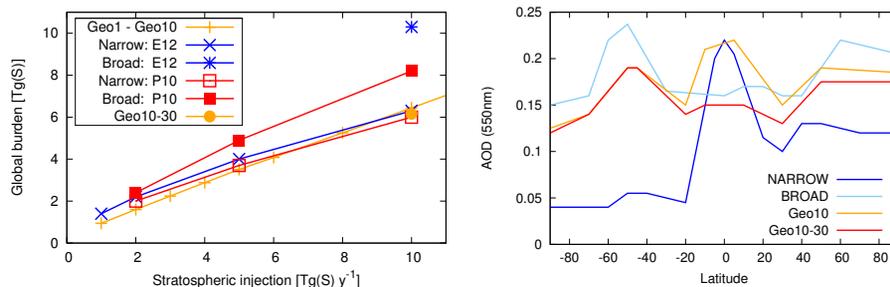


Figure 7. Left: global sulfate aerosol burden for ECHAM5-HAM simulations Geo1 to Geo10 and results from Pierce et al. (2010) and English et al. (2012) for two different emission areas. Narrow: 5° N to 5° S and Broad: 30° N to 30° S, both for longitudinal emissions. Right: simplified diagram of AOD for a narrow and a broad injection area case in two different models: Geo10 and Geo10-30 after ECHAM5-HAM results and NARROW and BROAD estimated from English et al. (2012).