



Arctic ozone loss  
during 2009–2010  
from Odin/SMR and  
SMILES

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# The use of SMILES data to study ozone loss in the Arctic winter 2009/2010 and comparison with Odin/SMR data using assimilation techniques

K. Sagi<sup>1</sup>, D. Murtagh<sup>1</sup>, J. Urban<sup>1</sup>, H. Sagawa<sup>2</sup>, and Y. Kasai<sup>2</sup>

<sup>1</sup>Department of Earth and Space Sciences, Chalmers University of Technology, Gothenburg, Sweden

<sup>2</sup>National Institute of Information and Communications Technology, Tokyo, Japan

Received: 22 January 2014 – Accepted: 28 February 2014 – Published: 24 March 2014

Correspondence to: K. Sagi (sagi@chalmers.se)

Published by Copernicus Publications on behalf of the European Geosciences Union.

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## Abstract

The Superconducting Submillimeter-Wave Limb-Emission Sounder (SMILES) on board the International Space Station observed ozone profiles in the stratosphere with high sensitivity. Although SMILES measurements do not cover high latitudes, the combination of data assimilation methods and an isentropic advection model allows us to use SMILES measurements to investigate the ozone loss due to the instability of the polar vortex in the northern hemisphere. We quantified the ozone depletion in the 2009/2010 Arctic polar winter. Ozone data from both SMILES and Odin/SMR (Sub-Millimetre Radiometer) for the winter were assimilated into the Dynamical Isentropic Assimilation Model for Odin Data (DIAMOND). DIAMOND is an off-line wind-driven transport model on isentropic surfaces. Wind data from the European Centre for Medium-Range Weather Forecasts (ECMWF) were used to drive the model. In this study, particular attention is paid to the cross isentropic transport of the tracer. The assimilated SMILES ozone fields agree with the SMR fields despite the limited latitude coverage. Ozone depletion has been derived by comparing the ozone field acquired by sequential assimilation with a passively transported ozone field initiated to 1 December 2009. Significant ozone loss was found in different periods and altitudes from using both SMILES and SMR data. The initial depletion occurred in the end of January below 500 K with a loss of 0.6–1.0 ppm (approximately 20%). The ensuing loss started from the end of February between 575 K and 650 K. Our estimation shows that 0.8 ppmv (15–20%) of O<sub>3</sub> has been removed from the lower stratosphere by 1 April in VMR.

## 1 Introduction

According to many studies of stratospheric ozone (O<sub>3</sub>) over the Antarctic, O<sub>3</sub> depletion inside the isolated polar vortex is caused by the formation of Polar Stratospheric Clouds (PSC) and the associated heterogeneous release of active species such as chlorine (eg. Solomon, 1999). However, in comparison with the Antarctic polar vortex,

ACPD

14, 7889–7916, 2014

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the Arctic vortex is unstable due to the propagation of planetary waves from the troposphere. Therefore the periods during which the temperature inside vortex goes below the threshold for PSC formation are highly irregular (WMO, 2011). This fact makes the quantification of chemical O<sub>3</sub> depletion in the Arctic generally more difficult.

The winter of 2009–2010 was one of the colder winters in the last decade. Figure 1 indicates the minimum temperature ( $T_{\min}$ ) derived from the European Centre for Medium-Range Weather Forecasts (ECMWF) operations on the 600 K potential temperature (PT) surface at equivalent latitudes (EQL) greater than 70° N. The  $T_{\min}$  for the winter period of 2009–2010 decreased until 7 January and reached as low as 180 K. Khosrawi et al. (2011) reported that strong denitrification caused by the formation of the PSCs was observed during the synoptic cooling event in mid-January 2010. However, a Sudden Stratospheric Warming (SSW) ended the coldest period after 19 January. SSWs are wintertime phenomena that are characterized by suddenly increasing temperatures and a reversal of the zonal wind (Scherhag, 1952). In addition to the instability of the vortex, the occurrence of the SSW event makes this winter dynamically complicated.

SMILES (Superconducting subMillimeter-wave Limb Emission Sounder), a passive atmospheric sensor attached to the Japanese Experiment Module (JEM) on board the International Space Station (ISS), was developed by the Japan Aerospace Exploration Agency (JAXA) and the National Institute of Information and Communications Technology (NICT). SMILES used a 4 K superconducting detector technology to measure high precision vertical profiles of stratospheric and mesospheric species related to ozone chemistry. The instrument was operated from October 2009 until April 2010 providing atmospheric composition data typically within the latitude range of 38–65° S (Kikuchi et al., 2010).

The subject of this paper is to demonstrate the use of the high sensitivity observations by SMILES to quantify polar ozone loss. However, it is still a challenge to use SMILES data to analyze the polar regions because of its latitude coverage. Figure 2a shows a typical observation map of SMILES (and Odin/SMR). Nevertheless, the dy-

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namical instability of this winter season permitted a considerable number of SMILES observations within the vortex. The number of  $O_3$  measurements for both SMILES and Odin/SMR inside the vortex ( $EQL \geq 70$ ) per day are plotted in Fig. 2b. The higher vertical scan rate of SMILES compared to SMR explains the larger number of measurements. On the other hand, there are periods when SMILES measurements inside the vortex were missing. In the first half of December, the field of view of the SMILES antenna was blocked by the ISS solar paddles at high latitudes, resulting in few useable measurements. An other empty period, in the middle of February, is due to the rotation of the ISS to dock with the space shuttle Endeavour. When the space shuttle was docked, the ISS was rotated by  $180^\circ$  and SMILES looked towards the Southern Hemisphere.

In this paper, we employed the data assimilation technique developed for other Arctic winters by Rösevall et al. (2007a, b, 2008) to investigate the  $O_3$  depletion in the 2009/2010 winter using SMILES  $O_3$  data. Other authors have used various models and assimilation methods in similar studies (El Amraoui et al., 2008; Jackson and Orsolini, 2008; Søvde et al., 2011). One advantage of data assimilation is that it allows us to optimally use all measurements and is useful for interpolating or extrapolating the  $O_3$  distributions when and where no measurements are available. In this study we have also used the DIAMOND assimilation model developed by Rösevall et al. (2007b). However, because the model works in two dimensions, Rösevall et al. (2007a, b, 2008) needed to account for the effect of the diabatic descent inside the vortex a posteriori. Thus we have implemented a new vertical transport scheme that continuously accounts for the decent rather than an a-posteriori correction.  $O_3$  observed by SMR is also analyzed for comparison. This paper is structured as follows. Sections 2 and 3 describe the measurement and model, respectively. Section 4 tests the effectiveness of the new vertical transport scheme using the long lived species  $N_2O$  measured by SMR and then shows the results of the  $O_3$  analyses. Finally, we conclude the study in Sect. 5.

## 2 Measurement descriptions

Profiles of O<sub>3</sub> were obtained from the SMILES and SMR instruments. Nitrous oxide (N<sub>2</sub>O) from SMR was also used for this study. N<sub>2</sub>O is generally used as a tracer of transport in the stratosphere due to its long lifetime.

### 2.1 SMILES

SMILES observed atmospheric limb emission from the ISS at an altitude of 340–360 km. It vertically scans the tangent heights of ~ –20–120 km with an antenna field-of-view of ~ 3 km. A single spectrum is obtained with a data integration time of 0.47 s, and one vertical scan takes 53 s including the calibration data acquisition. About 1630 scans are obtained per day. Because the ISS has a non sun-synchronous orbit, the local time of SMILES measurement location evolves over 24 h after 1–2 month.

SMILES detects the submillimeter emission of O<sub>3</sub> at 625.371 GHz. The spectra are spectrally resolved with an Acousto-Optical Spectrometer (AOS) which has a bandwidth of 1.2 GHz and a resolution of 1.2 MHz. There are three instrumental configurations for the SMILES O<sub>3</sub> 625.371 GHz observations: two different observation frequency bands (named band-A and B hereafter) and two different AOS units. The measurement noise of SMILES is as low as < 0.7 K (for a single AOS channel and a single spectrum) due to the low noise performance of the superconductor-insulator-superconductor (SIS) mixers. See Kikuchi et al. (2010) and Kasai et al. (2013) for further detail about the SMILES instrumentation.

We used the O<sub>3</sub> data produced by the NICT level-2 chain version 2.1.5. This level-2 chain employs the least-squares method with a priori regularization (e.g. Rodgers, 2000) as described by Baron et al. (2011). The O<sub>3</sub> profile is retrieved from 16 to 90 km with a vertical resolution of ~ 3–4 km and ~ 6–10 km for the stratosphere and mesosphere, respectively. The validation of this version of SMILES NICT O<sub>3</sub> data is described by Kasai et al. (2013). Based on the error analysis and comparison studies of mid-latitude O<sub>3</sub> data, they reported the systematic error is better than 0.3 ppmv in the

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stratosphere ( $\sim 60\text{--}8\text{ hPa}$ ). The random error for a single  $\text{O}_3$  profile is as low as 1 % for this altitude region. It is also reported that the data quality of  $\text{O}_3$  profile from band-B is better than that from band-A.

## 2.2 Odin/SMR

5 Odin is a Swedish satellite mission in association with Canada, Finland and France, which was designed for radio astronomy and limb sounding of the Earth's middle atmosphere (Murtagh et al., 2002). Odin was launched on 20 February 2001 into a sun-synchronous polar orbit with an inclination of  $98^\circ$ , altitude of  $\sim 600\text{ km}$  and descending and ascending nodes at 6 and 18 h LST respectively. It carries two different limb  
10 sounding instruments, OSIRIS (Optical Spectro- graph/InfraRed Imaging System) and SMR (Sub-Millimetre Radiometer). The SMR instrument, described by (Frisk et al., 2003), consists of four tunable single-sideband Schottky-diode heterodyne microwave receivers.

15 The datasets for  $\text{O}_3$  and  $\text{N}_2\text{O}$  from SMR used in this paper are products of the stratospheric mode that is operated every other day since April 2007 (every third day previous to this). In the stratospheric observation mode, two of the receivers, covering the bands centered at 501.8 and 544.6 GHz, are used for detecting the spectral emission lines of  $\text{O}_3$ ,  $\text{N}_2\text{O}$ ,  $\text{ClO}$  and  $\text{HNO}_3$ . The  $\text{O}_3$  and  $\text{N}_2\text{O}$  profiles are retrieved from emission lines 501.5 GHz and 502.3 GHz, respectively, using the Chalmers version 2.1 retrieval  
20 scheme.

The SMR  $\text{O}_3$  profiles cover the altitude range  $\sim 17\text{--}50\text{ km}$  with an altitude resolution of 2.5–3.5 km and an estimated single-profile precision of  $\sim 1.5\text{ ppmv}$  (Urban et al., 2005a). SMR v2.1  $\text{O}_3$  data has been validated against balloon sonde measurements as described in detail by (Jones et al., 2007). It shows that SMR  $\text{O}_3$  in the  $60\text{--}90^\circ\text{ S}$  latitude  
25 band has mixing ratios that are 0.0–0.1 ppmv lower than sonde measurements below 23 km and a positive bias of 0.1–0.3 ppmv in the 23 to 30 km range. The validation study (Kasai et al., 2013) shows that SMILES generally gives slightly lower  $\text{O}_3$  values than SMR at altitudes below 20 hPa.

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The N<sub>2</sub>O profiles cover altitudes in the range 12–60 km with an altitude resolution of ~ 1.5 km. The estimated systematic error is less than 12 ppbv (Urban et al., 2005a). The validation of the N<sub>2</sub>O is reported by Urban et al. (2005b). Other measurement comparisons with the Fourier Transform Spectrometer (FTS) onboard the Atmospheric Chemistry Experiment (ACE) and the Microwave Limb Sounder (MLS) on the Earth Observing System (EOS) Aura satellite are shown by Strong et al. (2008) and Lambert et al. (2007), respectively.

### 3 DIAMOND model

The DIAMOND (Dynamic Isentropic Assimilation Model for OdiN Data) model is an off-line wind driven isentropic transport and assimilation model designed to simulate quasi-horizontal ozone transport in the lower stratosphere with low numerical diffusion. Isentropic off-line wind driven advection has been implemented using the Prather transport scheme (Prather, 1986) which is a mass conservative Eulerian scheme. The idea of the Prather scheme is that by preserving the zero to second order moments of the sub-grid scale tracer distribution the quality of the transport is preserved. In this study, the wind fields from the operational analyses of the ECMWF have been used. Advection calculations are performed on separate layers with a constant potential temperature (PT) range from 400 K to 1000 K in 25 K intervals.

The tracer profiles from SMILES or SMR are sequentially assimilated into the advection model. The assimilation scheme in DIAMOND is described as a variant of the Kalman filter. Details on the assimilation scheme can be found in Rösevall et al. (2007b).

#### 3.1 Cross-isentropic transport

Under adiabatic conditions, PT is conservative in dry air and thus the air parcels normally move on a constant PT surface. However, during the polar night the condition

for adiabatic transport often breaks down due to strong radiative cooling of air masses inside the polar vortex. Thus, quantification of adiabatic vortex descent is necessary to correctly evaluate the ozone loss.

To account for this we implemented a simple vertical transport scheme into DIAMOND. This scheme is based on the one dimensional first-order upstream method, the equations for which are given below (1, 2). For the tracer distribution function  $\Psi(\Theta, t)$  at a given vertical coordinate in potential temperature and time,  $\Theta$  and  $t$ , we get

$$\frac{\partial \Psi}{\partial t} + \omega \frac{\partial \Psi}{\partial \Theta} = 0 \quad (1)$$

$$\Psi(\Theta, t + \Delta t) = \Psi(\Theta, t) \left( 1 - \omega \frac{dt}{d\Theta} \right) + \Psi(\Theta - \Delta\Theta, t) \omega \frac{dt}{d\Theta} \quad (2)$$

Here,  $\omega$  is the vertical component of air mass advection. The first-order upstream method often produces numerical diffusion. In order to avoid this, it is necessary to satisfy the following condition,

$$\frac{\Delta\Theta}{\Delta t} > C \quad (3)$$

Here  $\Delta\Theta$ ,  $\Delta t$  and  $C$  represent the grid interval, the time step and the speed of the phenomenon, respectively. The  $\Delta\Theta/\Delta t$  in the model ( $= 2.5 \text{ K min}^{-1}$ ) is much larger than the general descent rate inside the polar vortex ( $\sim 1 \text{ K day}^{-1}$ ), and therefore the first-order upstream method can be used satisfactorily.

To quantify the vertical transport, we used the diabatic heating rate  $Q$  [ $\text{K s}^{-1}$ ] derived from SLIMCAT 3d chemical transport model calculations (Chipperfield, 2006). The vertical velocity  $\omega$  was calculated as,

$$\omega = \left( \frac{\Theta}{T} \right) \cdot Q \quad (4)$$

where,  $\Theta$  and  $T$  are potential and absolute temperatures, respectively.

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## 4 Results

### 4.1 Dynamics of the Arctic winter 2009–2010

In order to test the performance of the model and study the dynamics of this winter, we modelled stratospheric N<sub>2</sub>O fields by assimilation of SMR N<sub>2</sub>O. A summary of the calculations is given in Table 1. Initialization (i.e. the spin up calculations with assimilations) for one month prior to the investigation period is required to ensure the accuracy of the initial model field. In order to remove contamination by the erroneous observations, the SMR data is used only if the measurement response is larger than 0.85. To reduce any boundary condition problems realistic tracer fields are required. Boundary layers at PT of 400 K and 1000 K have also been produced by the assimilation for the analysis period in advance. These are used as buffer layers to feed the vertical transport scheme. Note that the measurement response especially for SMR N<sub>2</sub>O is generally less than 0.7 at lower altitudes (< 450 K). So that we relaxed the measurement response threshold to 0.7 for the boundaries. In the results, we only show the output of the model from 425 K to 950 K. The uncertainty of the DIAMOND model due to imperfections in the transport scheme and/or unimplemented chemical processes has to be considered. We set the initial error fields to 30 % of the US standard atmosphere, which corresponds to the standard variation of the 40 days prediction without assimilations. The error field grows linearly to this value in 40 days if no measurements are available.

Figure 3 shows the model results for N<sub>2</sub>O and the corresponding error fields at 600 K. The polar vortex is clearly seen as the area where the volume mixing ratio of N<sub>2</sub>O is low. The polar vortex was formed at the beginning of winter and stayed at high latitudes for one to two weeks then distorted and divided in two parts caused by changes in the wind fields due to a minor SSW in the middle of December. The two separate vortices had reconnected by 17 December. After that, the vortex stayed cold and remained pole centered until the major SSW occurred at the end of January 2010 (eg. Dörnbrack et al., 2012). This period contained the coldest temperatures of this winter (see Fig. 1).

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The major SSW changed the wind field again: massive inflow of air from the Pacific forced the vortex to move to middle latitudes with flattening over Eurasia. Furthermore, the vortex again split after 10 February. Finally, when the polar night ended, the vortex broke and the vortex air horizontally mixed with air from outside.

To illustrate the advection in the DIAMOND model, we derived the vortex mean of  $N_2O$  from the daily fields. Figure 4 shows the mean of the  $N_2O$  concentrations inside the area where the EQL is greater equal than  $70^\circ$ . The solid lines in the figure are calculated from results of assimilation of SMR  $N_2O$ . The two dashed lines, black and gray, are the vortex mean of the fields predicted by the advection model using the initial  $N_2O$  distribution as of 1 December with and without vertical transport, respectively. If the vertical transport is perfectly simulated in the model, the black predicted  $N_2O$  line should match the one with assimilated data. Compared to the predictions from the 2-D advection, the ones with the vertical transport scheme shows good agreement with the vortex mean assimilated  $N_2O$  field until the final break up of the vortex. The uncertainty of the mean, plotted as the shaded areas in Fig. 4, is calculated as  $\sqrt{\sigma^2 + \hat{E}^2}$ . Here  $\sigma$  and  $\hat{E}$  are the standard deviation of  $N_2O$  inside the vortex and the vortex mean of the error field, respectively. More details of these components can be seen in Fig. 5.  $\hat{E}$  characterizes the error from the point of view of the instrument. However the dominant factor in the uncertainties is the variability inside the vortex ( $\sigma$ ). The temporal evolution of  $\sigma$  allows us to assess the contribution of the (mostly horizontal) mixing. At the end of February (approximately 50 days from 1 January), there are exponential increases in  $\sigma$  caused by the breaking of the vortex and associated mixing. This is particularly noticeable above 550 K.

## 4.2 $O_3$ inside the vortex

Figure 6 displays maps of the results for  $O_3$  from the assimilations of data from SMR and SMILES.

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The results from the two instruments have similar patterns in the  $O_3$  maps although those from the SMR exhibit more features and larger variations. The reasons for the differences are the number and quality of measurements. Specifically, SMR has fewer measurements at lower latitudes because of its orbit and has a higher noise level. The SMILES  $O_3$  abundance, as expected due to known biases, was slightly lower than SMR  $O_3$  below 700 K corresponding to 20 hPa in pressure (see Fig. 20 in Kasai et al., 2013). An other important point is the incomplete coverage of the center of the vortex for the SMILES assimilation. As noted in the introduction, SMILES did not observe at higher latitudes than  $65^\circ$  S. As a result the information on  $O_3$  in the polar region is transported from lower latitudes by the model. Thus, when the vortex is stable and well isolated, modeled  $O_3$  distributions may deviate from the true atmosphere. This is clearly seen in the SMILES  $O_3$  maps at the end of December where higher concentrations compared to earlier are seen inside the vortex due to the descent from higher levels and the lack of any chemical  $O_3$  loss processes in the model.

To avoid the effects of large local variations, we have chosen to use the average for the entire vortex for this study. The sampling issues described above are mitigated by employing a weighted average over the vortex as shown in the Fig. 7. The weights are given by estimated model error fields. Note the fact that the vortex mean of the SMILES assimilation thereby emphasizes the contribution near the vortex edge. Vortex averages of  $O_3$  from both instruments show similar patterns, especially before the major SSW event at the end of January. Uncertainties in Fig. 7 are also calculated using the standard deviation  $\sigma$  and the mean of the error field  $\hat{E}$  inside the vortex. Since SMR  $O_3$  is much noisier, information on the mixing from the vortex internal variation of  $O_3$  fields  $\sigma$  are masked by the average error fields  $\hat{E}$ , while for SMILES the total error reflects the variation inside the vortex.

Arctic  $O_3$  depletion is estimated by subtracting  $O_3$  fields passively transported in the DIAMOND model from the fields with assimilated data. The time evolution of the  $O_3$  losses derived from SMILES and SMR are presented in Fig. 8a and b.  $O_3$  losses inferred from the two instruments have similar patterns. The first significant depletion





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SSW changed the wind field and the inflow of air from the Pacific pushed the vortex out towards middle latitudes. (III) The first rapid O<sub>3</sub> depletion occurred below 500 K mostly close to the vortex edge where the polar night had ended (from 21 January to 7 February). The depletion is considered to be a result of ClO catalytic destruction.

(IV) From 7 February, the second loss in the height range 575 K to 650 K started and continued until vortex break up. This loss might be induced by the NO<sub>x</sub> reactions as discussed by Konopka et al. (2007). Further study is required to fully understand the mechanisms.

The monthly mean O<sub>3</sub> loss for March derived from SMILES was higher than that from SMR by 0–5 % and it can be attributed to loss occurring near the vortex edge. The initial peak of O<sub>3</sub> loss at lower levels was 0.7 ppmv (15–20 %) at 475 K for SMR O<sub>3</sub> and 1 ppmv (20–25 %) at 500 K for SMILES O<sub>3</sub>, respectively. The second loss at 600 K was 0.8 ppmv (15–20 %) for both instruments.

*Acknowledgements.* We thank Martyn Chipperfield and Wuhu Feng in University of Leeds for providing the diabatic heating rate for this study and the Swedish National Space Board (SNSB) for funding.

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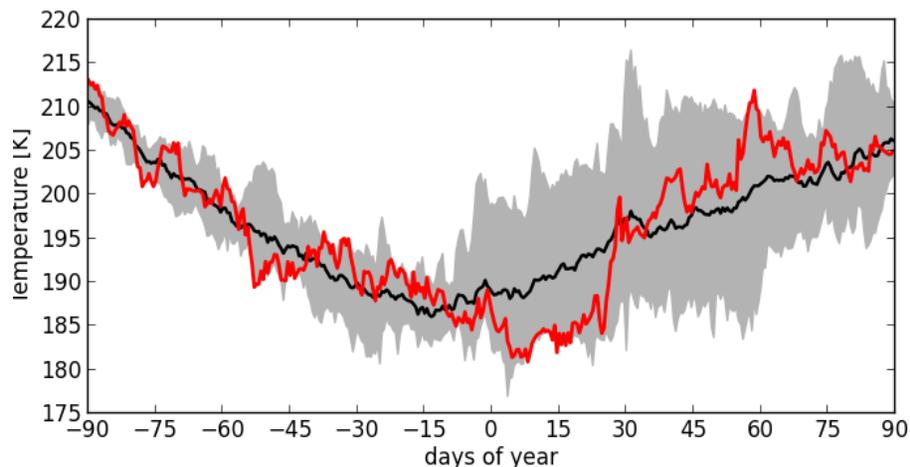
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**Fig. 1.** Minimum ECMWF temperature,  $T_{\min}$  [K] at 600 K PT inside the area where the equivalent latitude (EQL) is greater than  $70^\circ$ , corresponding to the area inside the Arctic polar vortex. The black solid line shows the mean value from 2001 to 2011. The red line is the  $T_{\min}$  temporal evolution from 1 December 2009 to 31 March 2010. The shaded area encompasses the minimum/maximum  $T_{\min}$  between 2001 and 2011.

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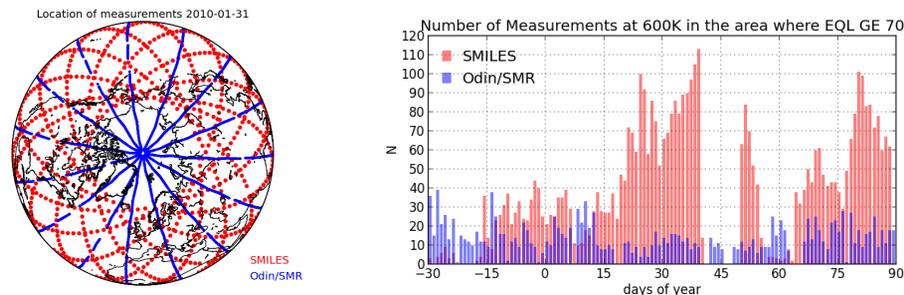
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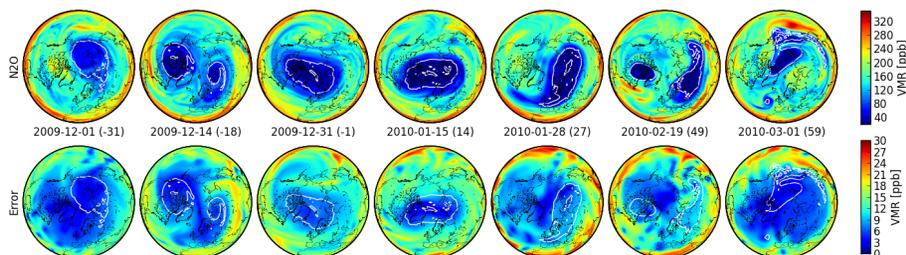


**Fig. 2.** (left) An example of the geographical distributions of  $O_3$  observations from SMILES and Odin/SMR on 31 January 2010. (right) The number of measurements inside the area where the equivalent latitude is greater than  $70^\circ$  on a PT surface of 600 K. Note that measurements with measurement response below 0.85 are filtered out.

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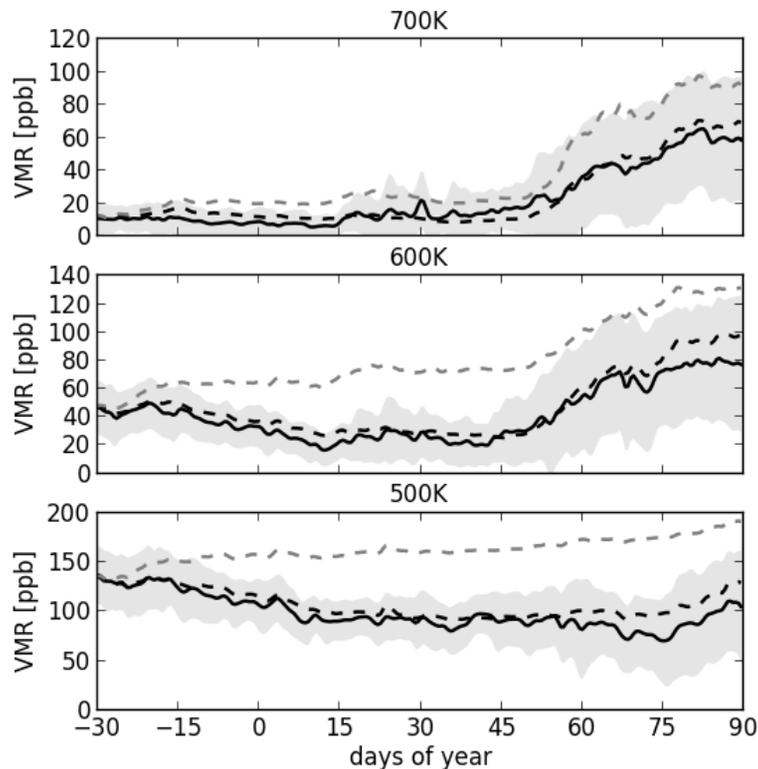
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**Fig. 3.** Modeled  $N_2O$  fields with assimilation of SMR data (top) and their error fields (bottom) on selected dates at 600K level. The white contour lines indicate the vortex edge (EQL = 70°).

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**Fig. 4.** Time series of the vortex mean  $\text{N}_2\text{O}$  mixing ratio in the DIAMOND model at selected PT levels. The solid line shows the average inside the area where the EQL is  $\geq 70^\circ$ , calculated from the assimilated field of Odin  $\text{N}_2\text{O}$ . The dashed lines show vortex means of predictions initiated on 1 December, using the 2D off-line advection model including vertical transport (black) and the advection model without any vertical transport (gray). The shaded area indicates the estimated error (more detail can be seen in Fig. 5).

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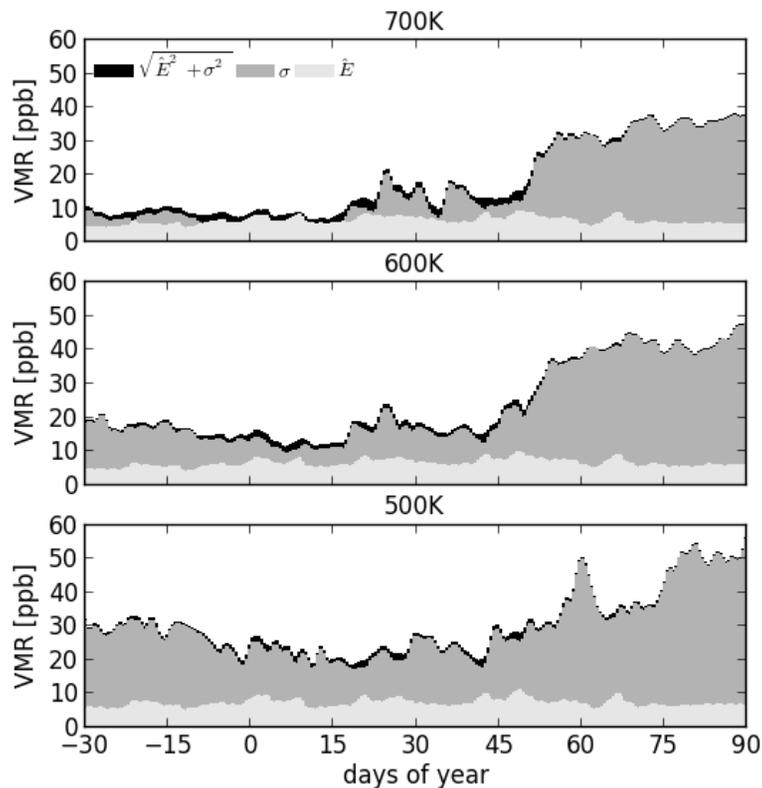
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**Fig. 5.** The estimated uncertainty of the vortex mean of  $N_2O$ . The dark gray area shows the standard deviation ( $\sigma$ ) inside the vortex ( $EQL \geq 70$ ). The light gray area shows the vortex mean of the error fields ( $\hat{E}$ ). Finally, the black area indicates the total estimated error, which has been calculated as  $\sqrt{\sigma^2 + \hat{E}^2}$  and is shown as uncertainties in Fig. 4.

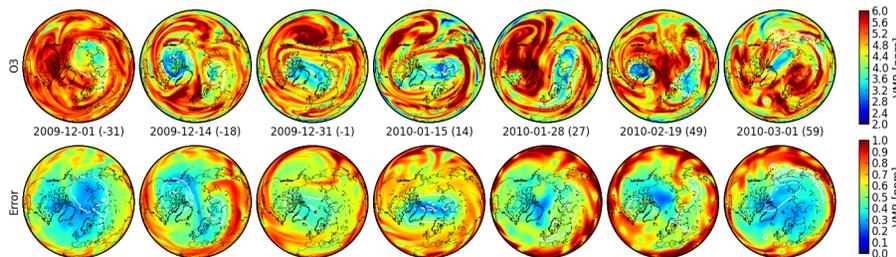
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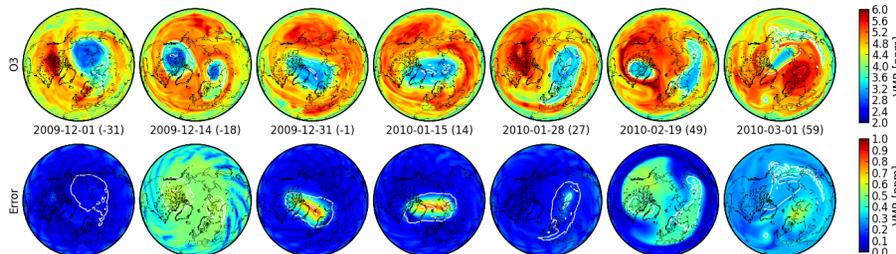
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(a) SMR 501GHz



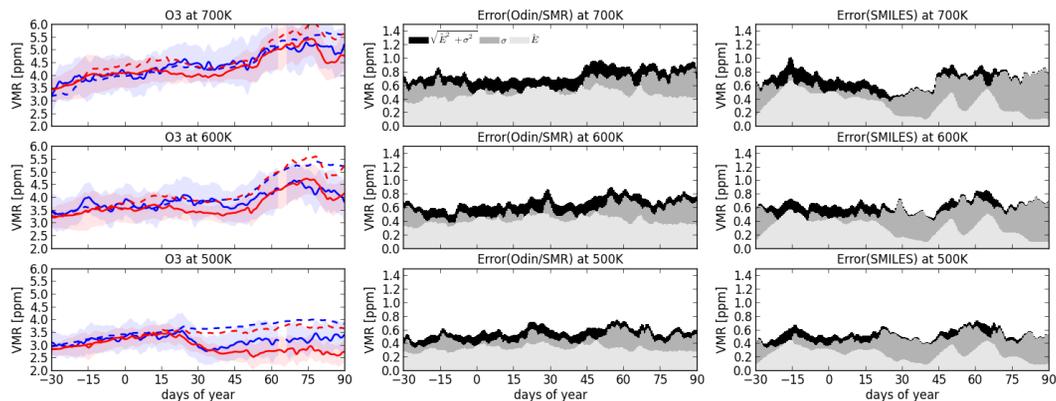
(b) SMILES

**Fig. 6.** Same as figure 3 but for O<sub>3</sub> from (a) SMR and (b) SMILES.

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**Fig. 7.** (Left panels) Same as figure 4 but for  $O_3$  from SMR (blue) and SMILES (red). (Middle panels) Same as figure 5 but for  $O_3$  from SMR. (Right panels) Same as figure 5 but for  $O_3$  from SMILES.

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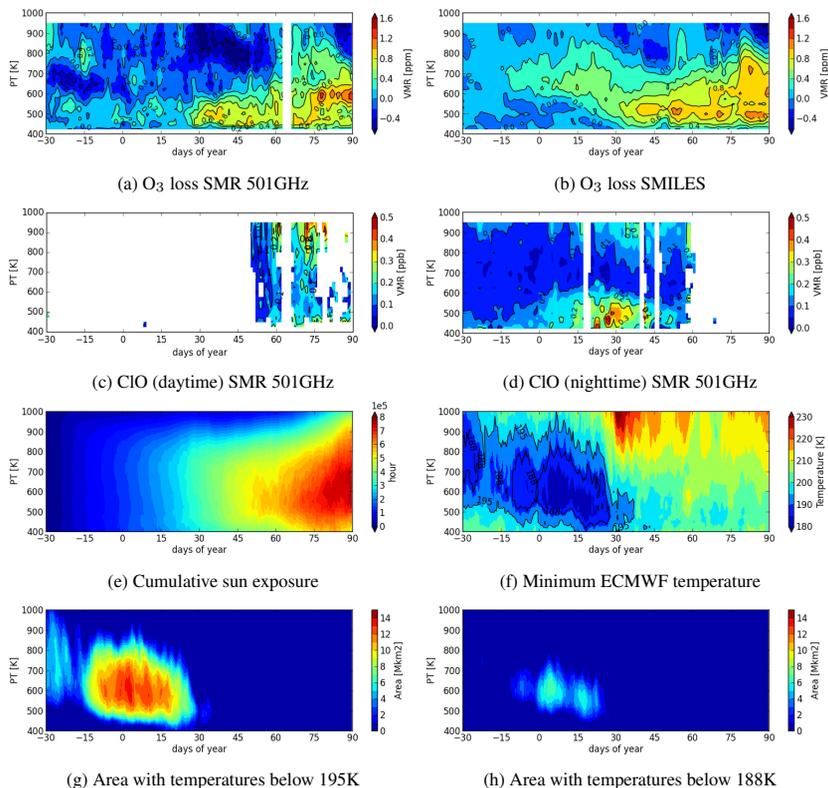
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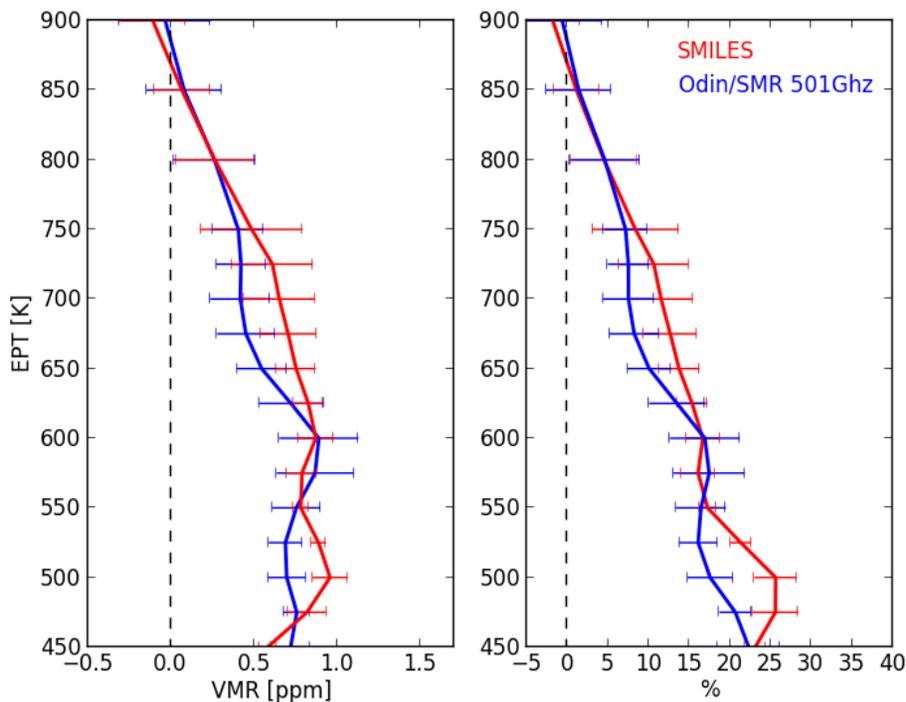
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**Fig. 8.** Several parameters as a function of time (days from 1 January 2010) and isentropic levels between 400K and 1000K. **(a, b)** Vortex mean  $O_3$  loss derived from SMR and SMILES, respectively. **(c, d)** Vortex mean ClO retrieved from the SMR in daytime and nighttime, respectively. **(e)** Cumulative sun exposure time of the polar vortex. **(f)** Minimum air temperature inside the vortex derived from ECMWF. **(g, h)** Area where the temperature below  $T_{NAT}$  and  $T_{ice}$ , respectively.

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**Fig. 9.** Vertical profiles of monthly mean accumulated  $\text{O}_3$  loss for March. Loss was derived by subtracting the passive ozone from the active ozone. The error bars are given as the standard deviation of derived daily  $\text{O}_3$  loss inside the vortex for this period. The left panel shows loss in VMR, and the right panel shows relative losses in percent.