



Investigating the  
links between ozone  
and organic aerosol

M. J. Alvarado et al.

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# Investigating the links between ozone and organic aerosol chemistry in a biomass burning plume from a prescribed fire in California chaparral

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## Abstract

Within minutes after emission, rapid, complex photochemistry within a biomass burning smoke plume can cause large changes in the concentrations of ozone (O<sub>3</sub>) and organic aerosol (OA). Being able to understand and simulate this rapid chemical evolution under a wide variety of conditions is a critical part of forecasting the impact of these fires on air quality, atmospheric composition, and climate. Here we use version 2.1 of the Aerosol Simulation Program (ASP) to simulate the evolution of O<sub>3</sub> and secondary organic aerosol (SOA) within a young biomass burning smoke plume from the Williams prescribed burn in chaparral, which was sampled over California in November 2009. We demonstrate the use of a method for simultaneously accounting for the impact of the unidentified semi-volatile to extremely low volatility organic compounds (here collectively called "SVOCs") on the formation of OA (using the Volatility Basis Set) and O<sub>3</sub> (using the concept of mechanistic reactivity). We show that this method can successfully simulate the observations of O<sub>3</sub>, OA, PAN, NO<sub>x</sub>, and C<sub>2</sub>H<sub>4</sub> to within measurement uncertainty using reasonable assumptions about the chemistry of the unidentified SVOCs. These assumptions were: (1) a reaction rate constant with OH of  $\sim 10^{-11} \text{ cm}^3 \text{ s}^{-1}$ , (2) a significant fraction ( $\sim 50\%$ ) of the RO<sub>2</sub> + NO reaction resulted in fragmentation, rather than functionalization, of the parent SVOC, (3)  $\sim 1.1$  molecules of O<sub>3</sub> were formed for every molecule of SVOC that reacted, (4)  $\sim 60\%$  of the OH that reacted with the unidentified SVOCs was regenerated as HO<sub>2</sub>, and (5) that  $\sim 50\%$  of the NO that reacted with the SVOC peroxy radicals was lost, presumably to organic nitrate formation. Additional evidence for the fragmentation pathway is provided by the observed rate of formation of acetic acid, which is consistent with our assumed fragmentation rate. This method could provide a way for classifying different smoke plume observations in terms of the average chemistry of their SVOCs, and could be used to study how the chemistry of these compounds (and the O<sub>3</sub> and OA they form) varies between plumes.

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## 1 Introduction

Biomass burning is a major source of atmospheric trace gases and particles that impact air quality and climate (e.g., Crutzen and Andreae, 1990; van der Werf, 2010; Akagi et al., 2011). Within minutes after emission, rapid and complex photochemistry within the young biomass burning smoke plumes can lead to significant increases in the concentrations of secondary pollutants such as ozone ( $O_3$ , e.g. Mauzerall et al., 1998; Goode et al., 2000; Hobbs et al., 2003; Pfister et al., 2006; Lapina et al., 2006; Val Martin et al., 2006; Yokelson et al., 2009; Jaffe and Widger, 2012; Akagi et al., 2012, 2013), peroxyacetyl nitrate (PAN, e.g. Jacob et al., 1992; Alvarado et al., 2010, 2011; Fischer et al., 2014), and organic aerosol (OA, e.g. Hobbs et al., 2003; Grieshop et al., 2009a, b; Yokelson et al., 2009; Hennigan et al., 2011; Heringa et al., 2011; Vakkari et al., 2014) after less than an hour of aging, while other smoke plumes can show little to no formation of  $O_3$  (e.g. Alvarado et al., 2010; Zhang et al., 2014) or OA (e.g. Akagi et al., 2012). Understanding the atmospheric chemistry of these young smoke plumes, especially which conditions can lead to the secondary formation of  $O_3$ , PAN, and OA, is thus critical to understanding the impact of these plumes on atmospheric composition and the resulting impacts on air quality, human health, and climate. However, global- and regional-scale Eulerian models of atmospheric chemistry artificially dilute biomass burning emissions into large-scale grid boxes, which can result in large errors in the predicted concentrations of  $O_3$  and aerosol species downwind (e.g., Alvarado et al., 2009; Zhang et al., 2014). In contrast, plume-scale Lagrangian models allow us to examine the chemical and physical transformations within these concentrated plumes in detail and can be used to develop parameterizations for this aging process for coarser models (e.g., the parameterizations of Vincken et al., 2011 and Holmes et al., 2014 for ship plumes).

Our understanding of the formation of ozone within biomass burning plumes is still poor, due both to the limited observational data available on  $O_3$  formation in smoke plumes and the highly variable results seen in the available observations. Several air-

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craft and surface studies of the chemistry of young biomass burning smoke plumes have found significant formation of  $O_3$  within smoke plumes. For example, Baylon et al. (2014) reported  $\Delta O_3/\Delta CO$  from 0.4 to 11 %, corresponding to  $O_3$  enhancements of 3.8 to 32 ppbv in 19 wildfire plumes samples at Mt. Bachelor Observatory. They note that plumes that have low values of  $\Delta O_3/\Delta CO$  can still correspond to significant  $O_3$  enhancements in concentrated plumes, with one event with a  $\Delta O_3/\Delta CO$  value of 0.81 % corresponding to an  $O_3$  enhancement of 17 ppbv. Akagi et al. (2013) found significant  $O_3$  formation ( $\Delta O_3/\Delta CO$  from 10–90 %) within two hours for all of the South Carolina prescribed fires studied, and Parrington et al. (2013) found values of  $\Delta O_3/\Delta CO$  increased from  $2.0 \pm 0.8$  % in boreal biomass burning plumes less than 2 days old over Eastern Canada to  $55 \pm 29$  % in plumes that were more than 5 days old. Similarly, Andreae et al. (1994) found that aged plumes (over 10 days old) from the biomass burning regions of South America and Africa had  $\Delta O_3/\Delta CO$  values between 20–70 %. However, other studies, mainly in boreal regions, have found little formation or even depletion of  $O_3$  in some young biomass burning plumes (e.g., Alvarado et al., 2010). This low  $O_3$  formation is likely due to a combination of low emissions of  $NO_x$  from the boreal fires (Akagi et al., 2011), sequestration of  $NO_x$  in PAN and other organic nitrates (e.g., Jacob et al., 1992; Alvarado et al., 2010, 2011), and reduced rates of photochemical reactions due to aerosol absorption and scattering (e.g. Jiang et al., 2012). Similarly, some studies have shown that fires can contribute to high surface  $O_3$  events that exceed the US air quality standard for  $O_3$  (e.g., Jaffe et al., 2013), but other studies suggest that this enhanced surface  $O_3$  is only present when the biomass burning emissions mix with anthropogenic pollution (Singh et al., 2012; Zhang et al., 2014). However, even given the observed variability among fires, it is likely that biomass burning has an impact on the concentrations of tropospheric  $O_3$ . For example, the recent review of Jaffe and Widger (2012) estimated that biomass burning could contribute 170 Tg of  $O_3$  per year, accounting for 3.5 % of all global tropospheric  $O_3$  production. However, Sudo and Akimoto (2007) estimated that over a third of tropospheric  $O_3$  came

from free troposphere chemical production due to biomass burning outflow from South America and South Africa.

The  $\text{NO}_x$  emitted by biomass burning is rapidly converted into a wide variety of inorganic nitrate (i.e.  $\text{HNO}_{3(\text{g})}$  and total aerosol inorganic nitrate, or  $\text{NO}_{3(\text{p})}$ ) and organic nitrate species (i.e. alkyl nitrates ( $\text{RONO}_2$ ) and peroxy nitrates ( $\text{RO}_2\text{NO}_2$ ), including PAN; Jacob et al., 1992; Yokelson et al., 2009; Alvarado et al., 2010, 2011; Akagi et al., 2012). The rate at which this conversion occurs and the relative production of inorganic nitrate, alkyl nitrates, and peroxy nitrates are a key control of the impact of the biomass burning on  $\text{O}_3$  production and atmospheric composition. Once  $\text{NO}_x$  is converted to inorganic or organic nitrate, it is generally unavailable for further  $\text{O}_3$  formation near the fire source. Furthermore, while conversion of  $\text{NO}_x$  into inorganic nitrate ( $\text{HNO}_{3(\text{g})} + \text{NO}_{3(\text{p})}$ ) is generally irreversible (except for the slow reaction of  $\text{HNO}_{3(\text{g})}$  with OH), peroxy nitrate species like PAN can act as thermally unstable reservoirs of  $\text{NO}_x$ , allowing transport of  $\text{NO}_x$  in the upper atmosphere far from the original source and then producing  $\text{NO}_x$  via thermal decomposition as the air mass descends to the surface (e.g., Fischer et al., 2010). This regenerated  $\text{NO}_x$  can thus impact  $\text{O}_3$  formation far from the original source.

In addition, photochemistry within the smoke plume can rapidly oxidize non-methane organic compounds (NMOCs), both those that were emitted in the gas phase and those emitted in the particle phase, lowering their vapor pressure and thus leading to the formation of secondary organic aerosol (SOA). As with  $\text{O}_3$  and PAN formation, the formation of SOA in smoke plumes is highly variable, with the ratio of OA to  $\text{CO}_2$  increasing by a factor of 2–3 downwind of some biomass burning fires (e.g. Hobbs et al., 2003; Grieshop et al., 2009a, b; Yokelson et al., 2009; Hennigan et al., 2011; Heringa et al., 2011; Vakkari et al., 2014), while in others it can stay constant or even decrease (e.g. Capes et al., 2008; Akagi et al., 2012). For cases where little net SOA formation was observed, it is likely that the NMOCs were still being oxidized. However, in these cases the fragmentation of the organic species after oxidation (leading to higher volatility products) is likely more common than functionalization (i.e. the addition of oxygen to the organic species, leading to lower volatility products).

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SOA formation from known SOA precursors (mainly aromatic species like toluene) underestimated the concentrations of organic aerosol observed downwind by  $\sim 60\%$ , suggesting that the model was missing a large source of SOA. They proposed that the large amount of gas-phase organic compounds that were unidentified by the then current measurement techniques (Christian et al., 2003; Warneke et al., 2011) could include the precursors for the missing SOA. Assuming these compounds had SOA yields similar to monoterpenes gave the observed SOA formation.

In this paper, we describe recent updates to the gas-phase chemistry and secondary organic aerosol (SOA) formation modules in ASP. We use this updated version (ASP v2.1) to simulate the chemical evolution of a young biomass burning smoke plume sampled over California in November near San Luis Obispo (the Williams Fire, Akagi et al., 2012). The analysis of the  $O_3$ , PAN, and OA evolution in biomass burning plumes is complicated by the fact that a large fraction (30–50 % by carbon mass, Christian et al., 2003; Warneke et al., 2011) of the NMOCs present in smoke plumes are unidentified, and thus their oxidation chemistry is not well known. We present a method for simultaneously accounting for the impact of the unidentified organic compounds (here collectively called “SVOCs”) on the formation of OA and  $O_3$ . We show that this method can successfully simulate the Williams Fire plume observations using reasonable assumptions about the chemistry of the unidentified SVOCs.

Section 2 describes the updates to the gas-phase chemistry and secondary organic aerosol formation modules of ASP for version 2.1. Section 3 discusses our validation of the gas-phase chemistry in ASP v2.1 against data from a smog chamber (Carter et al., 2005). Section 4 describes the Williams Fire and summarizes the available observations of the smoke plume from Akagi et al. (2012). Section 5 discusses the results of the ASP simulation of the Williams Fire, including sensitivity tests to investigate the chemistry of the unidentified SVOCs and their impacts on  $O_3$ , PAN, other trace gases, and OA, while Sect. 6 gives the conclusions of our study and directions for future work.

## 2 Updates to the Aerosol Simulation Program (ASP)

An overview of ASP v1.0 is given by Alvarado and Prinn (2009), and the routines are described in detail in Alvarado et al. (2008). Here we briefly discuss the modules of ASP that have not changed since Alvarado and Prinn (2009) in Sect. 2.1 before describing the updates to the gas-phase chemistry (Sect. 2.2) and SOA formation (Sect. 2.3) routines for ASP v2.1.

### 2.1 ASP modules

Aerosols are represented in ASP by a single moving-center sectional size distribution (Jacobson, 1997, 2002, 2005). ASP includes modules to calculate aerosol thermodynamics, gas-to-aerosol mass transfer (condensation/evaporation), and coagulation of aerosols. The thermodynamics module in ASP uses the Mass Flux Iteration (MFI) method of Jacobson (2005) to calculate the equilibrium concentration of gas and aerosol species. Equilibrium constants for the inorganic electrolyte reactions match those of Fountoukis and Nenes (2007). Binary activity coefficients of inorganic electrolytes are calculated using the Kusik–Meissner method (Kusik and Meissner, 1978; Resch, 1995), as are the mean activity coefficients. The water content of inorganic aerosols is calculated with an iterative routine that calculates water activities for aqueous solutions of a single electrolyte using a formula based on the Gibbs–Duhem equation (Steele, 2004). Steele (2004) and Alvarado (2008) found this approach compares well with other inorganic aerosol thermodynamics models such as ISORROPIA (Nenes et al., 1998; Fountoukis and Nenes, 2007).

Mass transfer between the gas and aerosol phases is calculated in ASP using a hybrid scheme where the flux-limited kinetic equations governing the condensation/evaporation of  $\text{H}_2\text{SO}_4$  and organic species are integrated, whereas  $\text{NH}_3$ ,  $\text{HNO}_3$ , and  $\text{HCl}$  are assumed to be in equilibrium (Alvarado, 2008). Aerosol coagulation is calculated using the semi-implicit scheme of Jacobson (2005) with a Brownian coagulation kernel.

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## 2.2 Gas-phase chemistry updates

The gas-phase chemistry within the ASP model for Version 2.1 has been completely revised from ASP v1.0, which used the CalTech Atmospheric Chemistry Mechanism (CACM, Griffin et al., 2005). The revised ASP v2.1 gas phase chemical mechanism includes 1608 reactions between 621 species. Examples of the gas-phase species input file and the reaction mechanism input file for ASP v2.1, along with other key chemical input files, are included in the Supplement.

All inorganic gas-phase chemistry within ASP v2.1 was updated to follow the IUPAC recommendations (Atkinson et al., 2004; updated data downloaded from <http://iupac.pole-ether.fr/>, accessed June 2012). We also tested the JPL recommendations (Evaluation #17, Sander et al., 2011) for these rate constants, but found that the differences between the recommendations generally made little difference to the model simulations, and as the IUPAC values were closer to those in ASP v1.0, these values were used.

All gas-phase chemistry for organic compounds containing 4 carbons or less has been “unlumped,” i.e. the chemistry for each individual organic compound is explicitly resolved. This was done by following the reactions of the Leeds Master Chemical Mechanism (MCM) v3.2 (<http://mcm.leeds.ac.uk/MCM/>, accessed June 2012; Jenkin et al., 1997, 2003; Saunders et al., 2003; Bloss et al., 2005) for these species.

The chemical mechanism of isoprene within ASP v2.1 has been updated to follow the Paulot et al. (2009a, b) isoprene scheme, as implemented in GEOS-Chem and including corrections based on more recent studies (e.g., Crouse et al., 2011, 2012). The (lumped) chemistry for all other organic compounds in ASP has been updated to follow the Regional Atmospheric Chemistry Mechanism (RACM) v2 (Goliff et al., 2013). We chose RACM2 over the SAPRC-07 (Carter, 2010) and CB05 (Yarwood et al., 2005) lumped chemical mechanisms as the treatment of peroxy radicals in the RACM2 mechanism was more similar to the treatment in the Leeds MCM and the Paulot isoprene scheme, resulting in a more consistent chemical mechanism for ASP v2.1.

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Photolysis rates are calculated offline using the Tropospheric Ultraviolet and Visible (TUV) radiation model version 5.0 (Madronich and Flocke, 1998) for 15 min increments, which are then linearly interpolated in ASP. Alvarado and Prinn (2009) assumed a “clear sky” radiation field that ignored the effect of aerosol absorption and scattering on the calculated photolysis rates. Here we instead estimate the time-dependent aerosol, O<sub>3</sub>, SO<sub>2</sub>, and NO<sub>2</sub> concentrations within the smoke plumes and calculate their effect on the photolysis rates at different heights within the plume (see Sect. 5.1 for details on how this was done for the Williams Fire).

### 2.3 SOA formation updates

We have updated the SOA formation module to follow the semi-empirical Volatility Basis Set (VBS) model of Robinson et al. (2007). Our implementation of this scheme followed the approach used by Ahmadov et al. (2012) to link the VBS scheme with the RACM chemical mechanism within WRF-Chem. We use 9 surrogates or “bins” for semi-volatile, intermediate volatility, low volatility, and extremely low volatility organic compounds (hereafter collectively referred to as “SVOCs” for simplicity) as in Dzepina et al., 2009, rather than only 4 as in Ahmadov et al. (2012). The saturation mass concentration at 300 K ( $C^*$ , see Robinson et al., 2007) of each SVOC differs by a factor of 10, and covers the range from 0.01 to  $1.0 \times 10^6 \mu\text{g m}^{-3}$ . Following the Model to Predict the Multiphase Partitioning of Organics (MPMPO) of Griffin et al. (2003, 2005) and Pun et al. (2002), we assumed that an aqueous phase and a mixed hydrophobic organic phase are always present in the aerosol. Partitioning of organics between the gas and hydrophobic phase is governed by Raoult’s law (assuming that all hydrophobic-phase OM is quasi-liquid and can dissolve organics as in Pankow, 1994a, b), while partitioning of organics into the aqueous phase is governed by Henry’s law. Following Pun et al. (2002), we assumed that (1) there is no interaction between the aqueous phase inorganic ions and the aqueous phase organics, and thus no organic salt formation, and (2) the activity coefficients of the organic ions (formed by the dissociation of organic acids) are equivalent to those of the corresponding molecular solute. We further

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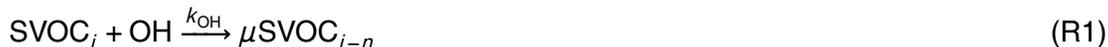
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assumed that the pH of the aqueous phase is dominated by the strong inorganic acids and bases, and that the pH effects of the dissociating organic acids are negligible.

Like most organic compounds, SVOCs will react with OH. Most mechanisms for this chemistry (e.g., Robinson et al., 2007; Dzepina et al., 2009; Grieshop et al., 2009a, b; Ahmadov et al., 2012) parameterize this chemistry by assuming that the SVOCs react with OH to form a lower volatility SVOC, as in the reaction:



where  $\mu$  is the relative mass gain due to oxidation (e.g. via O addition),  $k_{\text{OH}}$  is the reaction rate with OH, and  $n$  is the “volatility shift”, or by how many factors of 10 to lower the  $C^*$  of the product with each OH reaction. This simplified chemistry can be extended to account for the fact that the SVOCs could fragment during oxidation, leading to higher volatility products:



where  $\alpha$  is the fraction of  $\text{SVOC}_i$  that fragments into  $\text{SVOC}_{i+1}$  and  $\text{VOC}_j$ . However, the highly simplified chemistry of Reactions (R1) or (R2) is not appropriate for situations where reactions with the SVOC compounds are a potentially significant sink of OH, such as in a concentrated smoke plume. Thus in ASP v2.1, the chemistry of the SVOCs is instead parameterized in a more realistic manner for a generic organic species, following the idea of “mechanistic reactivity” (e.g., Carter, 1994; Bowman and Seinfeld, 1994a, b; Seinfeld and Pandis, 1998). After reaction with OH SVOCs produce peroxy radicals ( $\text{RO}_2$ ), which can react with NO to form  $\text{NO}_2$  and  $\text{HO}_2$ , thereby regenerating OH and forming  $\text{O}_3$ . Reactions (R3) and (R4) show this more general chemical mechanism for the SVOCs:



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where  $k_{\text{RO}_2,i}$  is assumed to be  $4.0 \times 10^{-12} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$  based on the reaction rate for the peroxy radicals from long-chain alkanes and alkenes with NO in RACM2 (Goliff et al., 2013). We can see that  $\chi - \beta$  is the number of  $\text{NO}_x$  lost (implicitly via the addition of a nitrate group to the product SVOCs),  $1 - \delta$  is the number of  $\text{HO}_x$  lost, and  $\beta + \delta$  is the number of  $\text{O}_3$  made per reaction (by subsequent reactions of  $\text{NO}_2$  and  $\text{HO}_2$  to generate  $\text{O}_3$ ). For example, the values for long-chain alkanes (HC8) in the RACM<sub>2</sub> mechanism (Goliff et al., 2013) would be  $\chi = 1$ ,  $\delta = 0.63$ , and  $\beta = 0.74$ , such that 0.26  $\text{NO}_x$  and 0.37  $\text{HO}_x$  are lost and 1.37  $\text{O}_3$  are formed per reaction. Note that the mechanism of Reactions (R3) and (R4) is still highly simplified: we assume that reaction of SVOC with OH always produces a  $\text{RO}_2$  radical, and that the  $\text{RO}_2$  produced does not react with  $\text{HO}_2$  or another  $\text{RO}_2$ . Our purpose is less to detail all the possible reactions of the unidentified SVOCs and more to explore how their average chemistry might affect  $\text{O}_3$  and OA evolution in smoke plumes.

We also adjusted the calculation of aerosol water content to use the “kappa” ( $\kappa$ ) parameterization of organic hygroscopicity (Petters and Kreidenweis, 2007) for the lumped SVOCs. In this parameterization, the hygroscopicity parameter  $\kappa$  for the organic species is defined as:

$$\frac{1}{a_w} = 1 + \kappa \frac{V_{s,i}}{V_{w,i}} \quad (1)$$

where  $a_w$  is the activity of water in the solution (equal to the relative humidity at equilibrium),  $V_{s,i}$  is the volume of the dry organic solute  $i$  and  $V_{w,i}$  is the volume of water in the solution. The water content calculated for each organic species, along with that calculated for the inorganic solution ( $V_{w,\text{inorg}}$  see Sect. 2.1 above) are then combined using the Zdanovskii, Stokes, and Robinson (ZSR) approximation (Zdanovskii, 1948; Stokes and Robinson, 1966):

$$V_w = \frac{a_w}{1 - a_w} \sum_j \kappa_j V_{s,j} + V_{w,\text{inorg}} \quad (2)$$

### 3 ASP photochemistry evaluated with smog chamber data

To evaluate the performance of the updated photochemical mechanism in ASP v2.1 in predicting the formation of ozone, several test simulations were performed to compare the results of the mechanism to laboratory smog chamber data. This comparison provides us with a baseline for interpreting the results of our simulation of O<sub>3</sub> formation in the Williams Fire in Sect. 5. The data used for the comparison came from the EPA chamber of Carter et al. (2005). This chamber consists of two collapsible 90 m<sup>3</sup> FEP Teflon reactors (chambers A and B) mounted on pressure-controlled moveable frameworks inside a temperature-controlled room flushed with purified air. Solar radiation is simulated in the chamber using a 200 kW Argon arc lamp for all experiments considered here.

Table 1 shows the temperature and initial reactant concentrations used in our model to simulate each chamber study. All model simulations were performed at a pressure of 1000 mbar, a relative humidity of 1 %, and a CH<sub>4</sub> concentration of 1800 ppbv. The temperature and concentration data were provided by William P. L. Carter ([http://www.cert.ucr.edu/~\\$carter/SAPRC/SAPRCfiles.htm](http://www.cert.ucr.edu/~$carter/SAPRC/SAPRCfiles.htm), accessed March 2014). The EPA chamber runs used an 8 compound surrogate for ambient VOC concentrations, consisting of formaldehyde, ethylene, propene, trans-2-butene, n-butane, n-octane, toluene, and m-xylene (Carter et al., 1995, 2005). The initial concentrations of HONO were extrapolated from CO-NO<sub>x</sub> and n-butane-NO<sub>x</sub> runs to account for the potential chamber radical source (Carter et al., 2005).

Table 2 presents the rates of off-gassing, wall reaction rates, and selected photolysis rates for the chamber experiments considered here. The off-gassing rate for HONO was determined as the rate that enabled the SAPRC-99 chemical mechanism (Carter, 2000) to best predict the O<sub>3</sub> formation observed in CO-air, HCHO-air and CO-HCHO-air experiments performed within the chamber (Carter et al., 2005). The rate in Chamber A was found to be slightly higher than that in Chamber B, so different values are used for the chambers. The off-gassing rate of HCHO was chosen to match the low but

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measurable amount of formaldehyde found even in pure air and CO-NO<sub>x</sub> experiments in the chamber. Heterogeneous wall loss reaction rates for O<sub>3</sub>, NO<sub>2</sub>, and N<sub>2</sub>O<sub>5</sub> were also estimated from reactor observations (Carter et al., 2005). The photolysis rate of NO<sub>2</sub> in the chambers was measured directly, and scaling factors for the other photolysis rates were calculated by Carter et al. (2005) from the relative spectral intensity of the arc lamp.

Following Carter et al. (2005), we evaluated the ability of our mechanism to simulate the total amount of NO oxidized and O<sub>3</sub> formed in the experiments, measured as  $\Delta([\text{O}_3] - [\text{NO}])_t \equiv ([\text{O}_3]_t - [\text{NO}]_t) - ([\text{O}_3]_{\text{initial}} - [\text{NO}]_{\text{initial}})$ . The hourly results of the comparisons for  $\Delta([\text{O}_3] - [\text{NO}])$  are presented in Fig. 1a. We can see that the ASP v2.1 mechanism tends to underestimate  $\Delta([\text{O}_3] - [\text{NO}])$ , with a mean absolute bias of -24.6 ppbv and a mean normalized bias of -22.4%. Comparisons of the ASP calculations for O<sub>3</sub>, NO, and NO<sub>x</sub> (not shown) show that this model underestimate of  $\Delta([\text{O}_3] - [\text{NO}])$  is primarily due to the model underestimating O<sub>3</sub> formation, rather than underestimating the loss of NO or NO<sub>x</sub>. Similarly, the ASP v2.1 calculations for the concentrations of the organic gas species matches well with the chamber measurements (not shown) except for formaldehyde (HCHO) (see Fig. 1b), where the secondary formation of HCHO appears to be underestimated. Figure 2 shows the bias in  $\Delta([\text{O}_3] - [\text{NO}])$  vs. the initial ratio of the mixing ratio of reactive organic gases (ROGs, e.g., the concentration of the surrogate gases in ppm C) to the mixing ratio of NO<sub>x</sub> (in ppm N). We can see that the bias is between 0 and -10% for ROG/NO<sub>x</sub> ratios greater than 30, but increases to -40 to -50% for “high NO<sub>x</sub>” cases (ROG/NO<sub>x</sub> ratios  $\ll$  20). For comparison, the initial ROG/NO<sub>x</sub> ratio in biomass burning smoke can range from ~ 10–100 (Akagi et al., 2011, assuming that the total NMOC mass is 1.6 times the mass of carbon in these compounds). Both the general underestimation of  $\Delta([\text{O}_3] - [\text{NO}])$  and the increase of the negative bias at low ROG/NO<sub>x</sub> concentrations is consistent with the behaviors of the SAPRC-99 (Carter et al., 2005), SAPRC-07 (Carter, 2010), and CB05 (Yarwood et al., 2005) mechanisms evaluated against the EPA chamber data. Carter (2010) noted that this under-prediction of O<sub>3</sub> at low ROG/NO<sub>x</sub> ratios was ap-

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parently linked to the presence of aromatics in the surrogate mixture, with comparisons of SAPRC-07 with EPA chamber runs with a non-surrogate mixture showing a positive bias of about +25 % for cases with low ROG/NO<sub>x</sub> ratios.

#### 4 Williams Fire data

5 The Williams Fire (34°41'45" N, 120°12'23" W) was sampled by the US Forest Service (USFS) Twin Otter aircraft from 10:50–15:20 LT on 17 November 2009 (Akagi et al., 2012). The fire burned approximately 81 ha of scrub oak woodland understory and coastal sage scrub. Skies were clear all day and RH was low (11–26 %) with variable winds (2–5 ms<sup>-1</sup>). The Williams Fire smoke plume showed significant secondary production of O<sub>3</sub> and PAN, but the enhancement ratio of OA to CO<sub>2</sub> decreased slightly downwind (Akagi et al., 2012). In this study, we use the processed data from Akagi et al. (2012) that provided concentrations of several trace gases and OA measured during several quasi-Lagrangian transects of the Williams Fire. Full details on the measurements made and the processing of the data for the plume transects are given in  
15 Akagi et al. (2012); those used in this study are briefly described here.

##### 4.1 Airborne Fourier Transform InfraRed spectrometer (AFTIR)

The University of Montana AFTIR system and the instruments described below were deployed on a US Forest Service (USFS) Twin Otter aircraft. The AFTIR was used to measure 21 gas-phase species: water vapor (H<sub>2</sub>O), carbon dioxide (CO<sub>2</sub>), carbon monoxide (CO), methane (CH<sub>4</sub>), nitric oxide (NO), nitrogen dioxide (NO<sub>2</sub>), ammonia (NH<sub>3</sub>), hydrogen cyanide (HCN), nitrous acid (HONO), peroxy acetyl nitrate (PAN), ozone (O<sub>3</sub>), glycolaldehyde (HCOCH<sub>2</sub>OH), ethylene (C<sub>2</sub>H<sub>4</sub>), acetylene (C<sub>2</sub>H<sub>2</sub>), propylene (C<sub>3</sub>H<sub>6</sub>), formaldehyde (HCHO), methanol (CH<sub>3</sub>OH), furan (C<sub>4</sub>H<sub>4</sub>O), phenol (C<sub>6</sub>H<sub>5</sub>OH), acetic acid (CH<sub>3</sub>COOH), and formic acid (HCOOH). Ram air was directed  
25 through a halocarbon-wax coated inlet and into a Pyrex multipass cell. IR spectra were

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$$\frac{dc_{q,i}}{dt} = -\frac{4K_y}{(y_o^2 + 8K_y t)} (c_{q,i} - c_{q,i}^a) - \frac{v_d}{H} c_{q,i} + \left(\frac{dc_{q,i}}{dt}\right)_{\text{cond}} + \left(\frac{dc_{q,i}}{dt}\right)_{\text{coag}} + \left(\frac{dc_{q,i}}{dt}\right)_{\text{chem}} \quad (5)$$

where  $C_q$  is the concentration of gas-phase species (molecules  $\text{cm}^{-3}$  air),  $n_i$  is the number concentration of particles in size bin  $i$  (particles  $\text{cm}^{-3}$ ),  $c_{q,i}$  is the concentration of aerosol species  $q$  in size bin  $i$  ( $\text{mol cm}^{-3}$  air),  $y_o$  is the initial plume width (m), and  $K_y$  represents the horizontal diffusivity of the atmosphere ( $\text{m}^2 \text{s}^{-1}$ ). The superscript  $a$  indicates the concentration of the given species in the atmosphere outside of the parcel (i.e., the background concentration).

The first term on the right-hand side of Eqs. (3)–(5) represents the effect of plume dispersion on the concentrations. Note that in  $y_o$  and  $K_y$  can be reduced to a single parameter, the initial dilution time scale  $\tau_{\text{mix},o}$ :

$$-\frac{4K_y}{(y_o^2 + 8K_y t)} = -\frac{1}{\frac{y_o^2}{4K_y} + 2t} = -\frac{1}{\tau_{\text{mix},o} + 2t} \quad (6)$$

The second term on the right hand side of Eqs. (3)–(5) is the effect of deposition on the concentrations, where  $v_d$  is the deposition velocity ( $\text{m s}^{-1}$ ). We set the dry deposition velocity equal to 0 for gas-phase species, as the plume did not touch the ground during the modeled period, and use the size-dependent terminal velocity of the aerosol particles as the deposition velocity for aerosol species assuming a 1 km thick plume. As submicron aerosol dominated the aerosol mass in the smoke plume, this deposition of aerosol species has a negligible effect on the results, and given the low relative humidity during the Williams Fire, we also did not include wet deposition of particles or gases. The remaining terms represent the change in gas- and particle-phase concentrations

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due to net mass transfer between the gas and aerosol phases (cond), coagulation of particles (coag), and chemical production and loss (chem).

The observed changes in CO mixing ratio were used to determine the best-fit model initial dilution time scale ( $\tau_{\text{mix},o} = 106.9$  s) as well as upper and lower limits of the time scale ( $\tau_{\text{mix},o}(0) = 15.0$  and  $212.2$  s, respectively), as shown in Fig. 3. The temperature of the plume was set at a constant value of  $288.4$  K, pressure of  $880$  hPa, and relative humidity of  $15.7\%$  based on the observations of Akagi et al. (2012). The parcel was assumed to be emitted at  $11:00$  Pacific Standard Time (PST) and the model was integrated for  $5$  h. The integration of the different terms of the continuity Eqs. (3)–(5) were operator split for computational efficiency. The chemistry and mixing time steps were  $1$  s for the first  $10$  min of model integration due to the rapid dilution and chemical changes during this period, and were  $60$  s thereafter. The aerosol thermodynamics, condensation, and coagulation time steps were  $60$  s throughout.

The initial and background concentrations for the gas-phase inorganic and NMOC species are in Tables 3 and 4 gives the initial and background concentrations used for the aerosol species. Initial and background concentrations of trace gases and aerosols in the smoke were taken from observations of the Williams Fire (Akagi et al., 2012), where available. Emission ratios for other species were calculated using the literature reviews of Akagi et al. (2011) and Andreae and Merlet (2001). Other background concentrations were taken from runs of the GEOS-Chem model (Bey et al., 2001), run for our period as in Fischer et al. (2014). The volatility distribution for the POA was taken from the wood smoke study of Grieshop et al. (2009a, b). For all organic species, we assumed a constant  $\kappa = 0.04$ , corresponding to an O/C ratio of  $0.25$  (Jimenez et al., 2009) that is typical of biomass burning organic aerosol (Donahue et al., 2011). Since the relative humidity in the Williams Fire plume was very low, this assumption had little impact on our results. The initial smoke aerosol size distribution was assumed to be a log-normal with a geometric mean diameter  $D_g$  of  $0.10$   $\mu\text{m}$  and a SD  $\sigma$  of  $1.9$  based on Reid and Hobbs (1998) for flaming combustion of Brazilian cerrado, which structurally is a similar mix of shrubs and grasses as in the Williams Fire. The initial

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and the implementation of the VBS scheme into WRF-Chem by Ahmadov et al. (2012) to simulate the observed evolution of OA in the Williams Fire plume. Table 5 shows the values for the parameters in Reactions (R3) and (R4) that define these various SVOC mechanisms.

Figure 9 shows the modeled OA enhancement ratios ( $\Delta\text{OA}/\Delta\text{CO}_2$ ,  $\text{g g}^{-1}$ ) at 4.5 h downwind using the parameters listed in Table 5 in addition to the observed average OA enhancement ratio and the modeled OA enhancement ratio for the case where the chemistry of the unidentified SVOCs is not included (see Sect. 5.2). When SVOC chemistry was not included, some of the original OA evaporated into the gas phase as the plume diluted, and as there was no chemistry to make these SVOC species less volatile, they stayed in the gas phase leading to a net decrease in  $\Delta\text{OA}/\Delta\text{CO}_2$  with time. However, the modeled decrease without SVOC chemistry is larger (but still within the error bars) of the decrease that was reported by Akagi et al. (2012). In addition, the assumption that the SVOCs do not react is unrealistic – as large multi-functional organic compounds, they should have a relatively fast reaction rate with OH (see below).

Figure 9 also shows that the SVOC mechanisms of Robinson et al. (2007) and Grieshop et al. (2009a, b) overestimated the OA downwind by a factor of 3.1 and 7.2, respectively. This is primarily due to their relatively large values for  $k_{\text{OH}}$ . For the Grieshop et al. (2009a, b) case, the overestimation is also partially due to the large increase in mass ( $\mu$ ) and decrease in volatility ( $n$ ) for each OH reaction. The scheme of Ahmadov et al. (2012), with  $k_{\text{OH}} = 10^{-11} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ , was closest to the observations, with an overestimate of a factor of 1.9. One approach to further reduce this remaining overestimation would be to reduce  $k_{\text{OH}}$  even further. However, it seems unlikely that the average OH reaction rate of the unidentified SVOC species would be less than  $10^{-11} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ , as this is close to the reaction rate for large alkanes ( $k_{\text{OH}}(298 \text{ K}) = 1.1 \times 10^{-11} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ , Goliff et al., 2013) and the presence of other functional groups (double bonds, aldehydes) would be expected to result in even higher reaction rates. For example,  $\alpha$ -pinene has a  $k_{\text{OH}}(298 \text{ K})$  of

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5.0 × 10<sup>-11</sup> cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup> (Goliff et al., 2013), and other monoterpenes can have even faster reaction rates with OH. Thus, we think a more likely explanation for the remaining overestimate is that a substantial fraction of the SVOC and OH reactions resulted in the fragmentation of the primary SVOC into more volatile compounds, as in the 2D-VBS schemes of Jimenez et al. (2009) and Donahue et al. (2011).

Figure 9 shows that a  $k_{OH}$  of 10<sup>-11</sup> cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup> and a fragmentation probability of 50 % (the “Half Fragmentation” case, see Table 5) provided a reasonably good match with the observed ΔOA/ΔCO<sub>2</sub> 4.5 h downwind in the smoke plume (3.1 × 10<sup>-3</sup> vs. the observed value of 2.83 ± 1.02 × 10<sup>-3</sup>). Here we assumed that the SVOC fragmented into a small VOC and another, more volatile, SVOC, as in Reactions (R3) and (R4). While this is a relatively large fragmentation probability, we note that it seems reasonable given the likely complex and multifunctional nature of the unidentified SVOCs in a biomass burning smoke plume.

This fragmentation of the SVOCs after reaction with OH could also help to explain the underestimate of aldehydes and organic acids seen in Sect. 5.2 when SVOC chemistry was neglected. For example, Fig. 10 shows the ASP modeled EnR of acetic acid when we assumed that the VOC fragment produced in Reaction (R4) is acetic acid. This provided a remarkably good match with the observed acetic acid formation, providing additional evidence to support the fragmentation hypothesis. While we are not claiming to have proven this is the source of the missing acetic acid, we note that the fragmentation hypothesis is thus consistent with the initial underestimate of the secondary formation of aldehydes and organic acids in ASP v2.1. In addition, there is some evidence from biomass burning plume observations that the formation of acetic acid and OA are inversely correlated with each other. In the Yucatan plume studied by Yokelson et al. (2009), a large amount of SOA was formed, but acetic acid did not increase downwind, while in the Williams Fire, acetic acid increased, but OA did not. Thus, the limited amount of relevant airborne data in BB plumes is so far consistent with the idea that the branching between functionalization and fragmentation in BB plumes

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the plume presumably due to missing organic nitrogen formation) is a serious problem. While this underestimate of  $\text{NO}_x$  loss reduces the amount of  $\text{O}_3$  and PAN formed within five hours after emission, it would lead to large overestimates of the impact of biomass burning plumes on  $\text{O}_3$  and PAN formation further downwind.

In addition, the chemistry of Reaction (R2) is unrealistic, in that it implies a total loss of OH and no effect of the SVOC oxidation on  $\text{NO}_x$ . One approach for addressing the first concern is to artificially regenerate the OH by simply adding it as an additional product to Reaction (R2). While this makes sense as a “first do no harm” modeling approach to keep the gas-phase results the same regardless of the SVOC scheme, it is equally unrealistic, as it assumes that the SVOCs are oxidized without having any impact on  $\text{NO}_x$  or  $\text{HO}_x$ .

We prefer to approach this problem by recognizing that SVOCs are going to have impacts on the  $\text{HO}_x$  and  $\text{NO}_x$  radical budgets just like any other organic species, and that this chemistry can be approximated via Reactions (R3) and (R4). Including this more realistic, yet still simplified, chemistry allows ASP to simultaneously simulate the observed changes in OA and  $\text{O}_3$  while still making reasonable, chemically plausible assumptions about the chemistry of the unidentified SVOCs emitted by the fire.

Our approach thus used the observations of OA,  $\text{O}_3$ , PAN,  $\text{NO}_x$ , and  $\text{C}_2\text{H}_4$  in the Williams Fire as constraints on  $\beta$  and  $\delta$ , the amount of  $\text{NO}_2$  and  $\text{HO}_2$  produced in Reaction (R4), respectively, while assuming that  $\chi = 1$  throughout. For the Williams Fire, we know from the above results that we want the optimized SVOC chemistry to (a) increase  $\text{O}_3$ , PAN, and OA formation as little as possible, (b) increase the loss of  $\text{NO}_x$ , either through organic nitrate formation or increased OH concentrations, and (c) increase the OH concentration, thereby increasing  $\text{C}_2\text{H}_4$  loss. We found that using the parameters for large alkanes from RACM2 ( $\delta = 0.63$  and  $\beta = 0.74$ ) generally produced too much  $\text{O}_3$  and PAN and too little OH, but did a reasonable job for  $\text{NO}_x$  loss. However, attempts to increase OH by increasing  $\delta$  led to too much  $\text{O}_3$  formation except for unrealistically low values of  $\beta$  ( $\sim 0.1$ ). Thus we set  $\delta = 0.6$  and reduced  $\beta$  to 0.5, implying that 1.1  $\text{O}_3$  is formed per molecule of SVOC reacted. These parameters (arrived at by

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trial and error) appear to give the best balance of reducing modeled  $\text{NO}_x$  and  $\text{C}_2\text{H}_4$  mixing ratios while minimizing the increase in  $\text{O}_3$ , PAN, and OA. The following section discusses the ASP v2.1 model results for the Williams Fire smoke plume using these parameters in detail. Note that while slightly different, more precise parameters might provide a slightly better match with observation, our goal here is not to derive exact best-fit parameters, but rather to roughly classify the average chemistry of the SVOCs in the Williams Fire smoke plume, both for modeling this fire and for future comparisons with other smoke plumes.

### 5.5 Results with optimized SVOC chemistry

Figure 9 shows the  $\Delta\text{OA}/\Delta\text{CO}_2$  4.5 h downwind in the smoke plume using the optimized SVOC chemistry discussed in Sect. 5.4. The “average” model case  $\Delta\text{OA}/\Delta\text{CO}_2$  is  $3.5 \times 10^{-3}$  ( $\text{gg}^{-1}$ ), within the uncertainty of the observed value of  $2.83 \pm 1.02 \times 10^{-3}$ .

Figure 13 shows the enhancement ratios of  $\text{O}_3$  and PAN for the optimized SVOC chemistry, and Fig. 14 shows the results for  $\text{NO}_x$  and  $\text{C}_2\text{H}_4$ . The  $\text{O}_3$  results are very similar to those from Sect. 5.2 (where SVOC chemistry was not included in the model), while the PAN results are slightly lower (and closer to the observed values) than in that case. In the ASP “average” case  $\Delta\text{O}_3/\Delta\text{CO}$  is 0.119 at 4.5 h downwind, about 25 % larger than the observed value of  $0.095 \pm 0.022$ , while the  $\Delta\text{PAN}/\Delta\text{CO}$  is now 0.0098 at 4.5 h downwind, about 36 % larger than the observed value of  $0.0072 \pm 0.0017$ . However, the  $\text{O}_3$  and PAN values are reasonably close given the uncertainties in the concentrations and in the estimated smoke ages for the observations.

The  $\text{NO}_x$  results were much improved from the “half frag” case in Sect. 5.4, with the “average” ASP case  $\Delta\text{NO}_x/\Delta\text{CO}_2$  of  $9.6 \times 10^{-4}$  being below the mean observed value of  $4.6 \pm 2.3 \times 10^{-3}$ , but consistent with the error bars of the individual samples as shown in Fig. 14. We could attempt to get a closer match by increasing  $\beta$ , but at the cost of increases in the modeled  $\text{O}_3$ , PAN, and OA formation. The decay of  $\text{C}_2\text{H}_4$  is also better modeled than in the “Half Fragmentation” case, but the results

suggest OH was still underestimated in the model. The modeled OH concentration for the “average” case is now  $3.2 \times 10^6$  molecules  $\text{cm}^{-3}$ , below the observed value of  $5.27 \pm 0.97 \times 10^6$  molecules  $\text{cm}^{-3}$ , but attempts to increase OH by increasing  $\delta$  would increase  $\text{O}_3$ , PAN, and OA.

## 6 Conclusions

We have used version 2.1 of the ASP model, which includes extensive updates to the gas-phase chemistry and SOA formation modules, to simulate the near-source chemistry within the smoke plume from the Williams Fire, as sampled by Akagi et al. (2012). We find that the assumptions made about the chemistry of the unidentified SVOCs emitted by the fire have a large impact on the simulated secondary formation of  $\text{O}_3$ , PAN, and OA within the plume. We showed that reasonable assumptions about the chemistry of the unidentified SVOCs can successfully simulate the observations within the uncertainties of the measurements, the estimated smoke ages of the samples, the plume dilution rate, and the vertical location of the samples in the plume. For the Williams Fire, these assumptions were: (1) a reaction rate constant with OH of  $\sim 10^{-11} \text{ cm}^3 \text{ s}^{-1}$ , (2) a significant fraction ( $\sim 50\%$ ) of the  $\text{RO}_2 + \text{NO}$  reaction resulted in fragmentation, rather than functionalization, (3)  $\sim 1.1$  molecules of  $\text{O}_3$  were formed for every molecule of SVOC that reacts; and (4) 60% of the OH that reacted with the SVOC was regenerated as  $\text{HO}_2$  by the  $\text{RO}_2 + \text{NO}$  reaction, which implied (5) that 50% of the NO that reacted with the SVOC peroxy radicals was lost, likely due to organic nitrate formation.

The method used in this study can provide a way of classifying different smoke plume observations in terms of the average chemistry of their unidentified SVOCs. Similar studies of other young biomass burning plumes would allow us to see how the chemistry of the unidentified SVOCs varies with fuel type, combustion efficiency, and other environmental parameters, providing an additional constraint on the reactivities of the unidentified SVOCs. These constraints could then provide more insight into the for-

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**Table 1.** Initial temperatures ( $T$ , in K) and mixing ratios (ppbv) used for the EPA chamber simulations. Note HONO initial concentration is set at 0.05 ppbv for all runs. PRO = propene, t-BUT = trans-2-butene, BUT = n-butane, OCT = octane, TOL = toluene, and XYL = m-xylene.

Case	T	NO	NO <sub>2</sub>	CO	HCHO	C <sub>2</sub> H <sub>4</sub>	PRO	t-BUT	BUT	OCT	TOL	XYL
96A	303.9	64.13	45.14	0	21.73	12.29	9.907	7.779	62.03	16.15	15.96	15.13
96B	303.9	64.25	46.83	0	21.66	12.29	9.907	7.779	62.03	16.15	15.96	15.13
97A	303.7	3.107	2.175	0	12.2	8.386	7.605	6.649	52	11.16	10.59	9.833
97B	303.7	3.168	2.039	0	12.05	8.208	7.566	6.513	52	11.28	10.65	10.08
80A	303.6	62.54	29.69	0	112.3	76.64	61.63	58.43	365.7	96.88	90.98	86.35
80B	303.6	62.54	29.69	0	112.3	76.64	61.63	58.43	365.7	96.88	90.98	86.35
81A	303.5	33.47	16.43	0	59.27	41.41	31.91	29.46	187.1	60.08	50.47	46.97
81B	303.5	33.5	16.51	0	59.01	41.42	32.23	29.31	185.7	60.08	49.91	46.7
128A	302.6	30.59	17.03	0	10.98	8.751	8.258	7.124	53.93	12.17	11.67	11.57
83A	303.5	31.69	16.17	20.67	18.32	26.02	14.68	12.97	88.29	24	20.23	19.19
84B	303.5	33.61	17.51	20.67	24.72	16.34	16.79	15.32	100.4	29	24.23	22.94
110B	303.4	19.57	11.91	20.67	0	9.763	8.834	7.81	58.71	12.99	12.43	12.7
114A	302.7	19.88	10.9	20.67	21	8.219	7.473	6.664	50.45	12.67	11.9	11.51
127B	302.8	18.65	10.37	20.67	11.82	8.636	8.025	6.791	52.78	11.88	11.33	11.18
137A	303.4	18.29	10.28	20.67	11.01	8.845	8.476	7.335	55.43	12.17	11.35	13.29
143A	303.5	18.41	10.26	20.67	0.1523	8.688	8.126	6.791	51.84	11.48	10.95	10.84
143B	303.5	18.5	10.3	20.67	0	8.782	8.134	7.053	52.82	11.89	11.39	11.21
151B	303.7	18.23	11.39	20.67	10.12	8.292	7.683	6.77	50.22	12.66	11.57	11.95
163B	303.9	14.87	8.699	20.67	10.79	7.781	7.341	6.468	48.11	11.94	11.51	11.29
167A	304.1	18.02	11.05	20.67	10.87	8.48	8.111	7.113	52.25	12.31	11.57	11.64
168B	303.9	18.16	10.83	20.67	10.87	8.677	8.168	6.921	51.06	12.09	11.26	11.62
226A	304.7	19.56	11.3	45.65	0	9.064	8.274	8.5	47.09	13.15	12.89	12.73
226B	304.7	19.5	11.37	41.32	0	9.22	8.481	8.849	47.81	13.46	13.05	13.37
229B	303.3	20.18	11.62	41.32	0	10.26	9.799	9.776	56.15	15.27	14.1	15.51
230A	302.6	20.68	12.53	41.32	0	9.08	8.81	9.089	50.51	14.29	13.25	14
100A	303.6	3.07	2.254	41.32	9.2	5.34	4.65	3.885	35	6.899	5.851	5.581
180B	304.8	31.64	19.92	41.32	33	34.38	32.62	34.36	186.6	51.03	48.85	47.51
181B	305.1	13.17	10.8	41.32	32	35.49	32.88	34.78	188.1	47.84	45.71	44.52
182B	304.6	30.15	22.52	41.32	15	18.16	16.85	17.89	98.27	23.83	22.59	22.21
188B	304.4	7.546	6.216	41.32	8	8.663	8.183	8.404	49.4	11.87	11.27	11.07
189B	302.0	7.754	5.641	41.32	16.5	16.94	15.63	16.35	91.4	21.89	20.93	20.17
190B	304.4	63.32	34.07	41.32	10.5	8.578	7.998	8.121	49.63	12.94	12.43	12
191B	301.8	8.104	4.7	41.32	4	4.211	4.15	4.184	27.14	6.464	6.162	6.154
192B	304.0	3.916	3.08	41.32	6	8.259	7.881	8.252	48.32	10.46	9.853	9.844
193B	304.4	32	15.67	41.32	4	4.619	4.461	4.337	28.08	6.068	5.762	5.749
197B	304.9	64.16	39.59	41.32	43	34.03	31.52	33.29	183	44.37	42.29	41.4
113A	303.1	44.42	24.84	41.32	0	16.32	14.88	12.91	91.75	24	22.9	22.59
180A	304.8	31.61	20.22	41.32	33	34.38	32.62	34.36	186.6	51.03	48.85	47.51

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**Table 3.** Initial and background trace gas concentrations for the ASP simulations of the Williams Fire smoke plume. Only compounds with non-zero values are listed. “GEOS-Chem” refers to the GEOS-Chem model output from the study of Fischer et al. (2014).

Species	Initial Conc. (ppbv)	Background Conc. (ppbv)	Initial Conc. Source	Background Conc. Source
CO	1.00E+04	1.29E+02	Akagi et al. (2012)	–
CO <sub>2</sub>	5.28E+05	3.90E+05	Akagi et al. (2012)	Approximate
Trace	1.00E+03	0.00E+00	–	–
NO	1.14E+02	1.50E–02	Akagi et al. (2012)	GEOS-Chem
NO <sub>2</sub>	2.27E+02	3.90E–02	Akagi et al. (2012)	GEOS-Chem
O <sub>3</sub>	0.00E+00	5.00E+01	Akagi et al. (2012)	Akagi et al. (2012)
H <sub>2</sub> O <sub>2</sub>	7.55E–01	7.55E–01	–	GEOS-Chem
HONO	3.95E+01	0.00E+00	Akagi et al. (2012)	–
SO <sub>2</sub>	3.79E+01	1.20E–01	Akagi et al. (2011) (chaparral)	GEOS-Chem
HNO <sub>3</sub>	4.10E–01	4.10E–01	–	GEOS-Chem
H <sub>2</sub> SO <sub>4</sub>	1.00E–04	0.00E+00	–	–
HCl	1.95E+01	1.00E–05	Akagi et al. (2011) (chaparral)	–
NH <sub>3</sub>	3.79E+02	9.60E–04	Akagi et al. (2012)	GEOS-Chem
H <sub>2</sub>	3.74E+03	1.00E–05	Akagi et al. (2011) (savannah)	–
C <sub>1</sub> Parent Compounds				
CH <sub>4</sub>	2.76E+03	1.90E+03	Akagi et al. (2012)	Approximate
HCHO	1.63E+02	3.30E–01	Akagi et al. (2012)	GEOS-Chem
Methanol	1.65E+02	0.00E+00	Akagi et al. (2012)	–
Formic Acid	6.50E+00	0.00E+00	Akagi et al. (2012)	–
HCN	1.28E+02	0.00E+00	Akagi et al. (2012)	–
C <sub>2</sub> Parent Compounds				
Ethylene	1.26E+02	0.00E+00	Akagi et al. (2012)	–
Ethane	5.28E+01	0.00E+00	Akagi et al. (2011) (chaparral)	–
Acetaldehyde	5.70E+01	0.00E+00	Akagi et al. (2011) (savannah)	–
Ethanol	9.87E–01	0.00E+00	Andreae and Merlet (2001) with 2009 updates (savannah)	–
Acetic Acid	1.39E+02	0.00E+00	Akagi et al. (2012)	–
Glyoxal	8.52E+01	0.00E+00	Andreae and Merlet (2001) with 2009 updates (savannah)	–
Acetylene	2.68E+01	0.00E+00	Akagi et al. (2012)	–
C <sub>3</sub> Parent Compounds				
Propene	4.74E+01	0.00E+00	Akagi et al. (2012)	–
Propane	1.78E+01	0.00E+00	Akagi et al. (2011) (chaparral)	–
Acrolein	5.92E+00	0.00E+00	Andreae and Merlet (2001) with 2009 updates (savannah)	–
Propanal	6.42E–01	0.00E+00	Andreae and Merlet (2001) with 2009 updates (savannah)	–
Acetone	1.21E+01	0.00E+00	Akagi et al. (2011) (savannah)	–
n-Propanol	1.84E+00	0.00E+00	Andreae and Merlet (2001) with 2009 updates (savannah)	–
Methyl Glyoxal	4.47E+01	0.00E+00	Andreae and Merlet (2001) with 2009 updates (savannah)	–

**Table 3.** Continued.

Species	Initial Conc. (ppbv)	Background Conc. (ppbv)	Initial Conc. Source	Background Conc. Source
<b>C<sub>4</sub> Parent Compounds</b>				
Butadiene	4.23E+00	0.00E+00	Akagi et al. (2011) (savannah)	-
1-Butene	3.34E+00	0.00E+00	Akagi et al. (2011) (savannah)	-
cis-2-Butene	6.80E-01	0.00E+00	Akagi et al. (2011) (savannah)	-
trans-2-Butene	8.55E-01	0.00E+00	Akagi et al. (2011) (savannah)	-
i-Butene	1.89E+00	0.00E+00	Akagi et al. (2011) (savannah)	-
n-Butane	9.87E+00	0.00E+00	Akagi et al. (2011) (chaparral)	-
i-Butane	3.23E-01	0.00E+00	Akagi et al. (2011) (savannah)	-
Butanal	3.34E+00	0.00E+00	Andreae and Merlet (2001) with 2009 updates (savannah)	-
MEK	1.53E+01	0.00E+00	Andreae and Merlet (2001) with 2009 updates (savannah)	-
n-Butanol	5.00E-01	0.00E+00	Andreae and Merlet (2001) with 2009 updates (savannah)	-
<b>Lumped Parent Compounds</b>				
FURAN	2.02E+01	0.00E+00	Akagi et al. (2012)	-
ISOP	2.53E+00	0.00E+00	Akagi et al. (2011) (savannah)	-
ALD	7.22E-01	2.00E-02	Andreae and Merlet (2001) with 2009 updates (savannah)	GEOS-Chem
API	3.26E+01	0.00E+00	Akagi et al. (2013)	-
BALD	1.25E+00	0.00E+00	Andreae and Merlet (2001) with 2009 updates (savannah)	-
BEN	1.11E+01	0.00E+00	Akagi et al. (2011) (savannah)	-
DIEN	1.20E+00	0.00E+00	Andreae and Merlet (2001) with 2009 updates (savannah)	-
HC <sub>5</sub>	2.38E+00	1.08E+00	Akagi et al. (2011) (savannah)	GEOS-Chem
KET	1.58E+00	0.00E+00	Andreae and Merlet (2001) with 2009 updates (savannah)	-
LIM	3.26E+01	0.00E+00	Akagi et al. (2013)	-
OLI	2.14E+00	0.00E+00	Akagi et al. (2011) (savannah)	-
OLT	8.75E-01	0.00E+00	Akagi et al. (2011) (savannah)	-
PHEN	1.46E+01	0.00E+00	Akagi et al. (2012)	-
ROH	1.70E+00	0.00E+00	Andreae and Merlet (2001) with 2009 updates (savannah)	-
TOL	4.07E+00	0.00E+00	Akagi et al. (2011) (savannah)	-
XYM	3.15E-01	0.00E+00	Akagi et al. (2011) (savannah)	-
XYP	8.59E-02	0.00E+00	Akagi et al. (2011) (savannah)	-
XYO	1.62E-01	0.00E+00	Akagi et al. (2011) (savannah)	-
<b>Highly oxygenated VOCs</b>				
HOCH <sub>2</sub> CHO	1.85E-01	0.00E+00	Akagi et al. (2012)	-
ACETOL	2.68E+01	0.00E+00	Akagi et al. (2011) (savannah)	-
BIACET	2.79E+01	0.00E+00	Andreae and Merlet (2001) with 2009 updates (savannah)	-
<b>Organic Nitrates</b>				
PAN	6.61E+00	3.00E-02	Akagi et al. (2012)	GEOS-Chem
PPN	3.20E-03	3.20E-03	GEOS-Chem	GEOS-Chem
CH <sub>3</sub> NO <sub>3</sub>	2.91E-02	0.00E+00	Akagi et al. (2011) (savannah)	-
<b>VBS compounds</b>				
SVOC <sub>3</sub>	2.15E-02	0.00E+00	Grieshop et al. (2009a, b)	-
SVOC <sub>4</sub>	2.55E-01	0.00E+00	Grieshop et al. (2009a, b)	-
SVOC <sub>5</sub>	3.08E+00	0.00E+00	Grieshop et al. (2009a, b)	-
SVOC <sub>6</sub>	3.78E+01	0.00E+00	Grieshop et al. (2009a, b)	-
SVOC <sub>7</sub>	4.67E+02	0.00E+00	Grieshop et al. (2009a, b)	-

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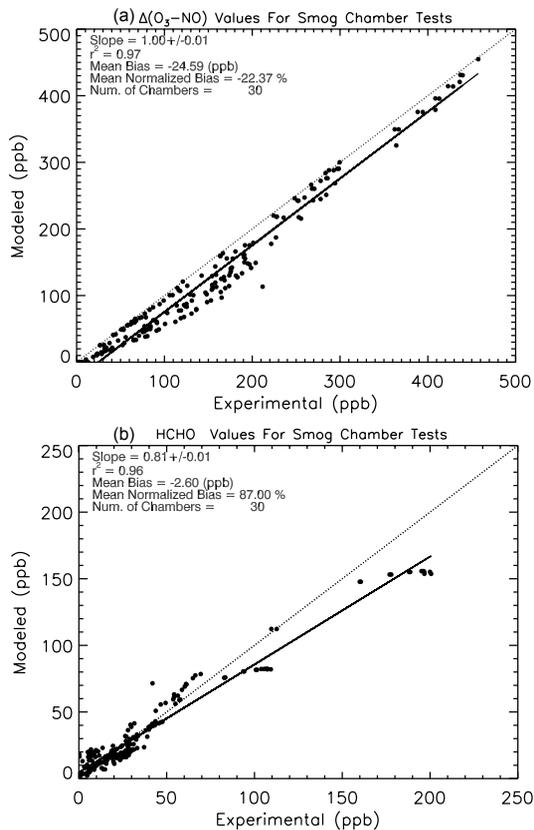
**Table 5.** SVOC chemistry parameters in the mechanisms studied here. See Reactions (R3) and (R4) for definitions of the parameters.

Mechanism	$k_{\text{OH}} \times 10^{11}$ ( $\text{cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ )	$\mu$	$n$	$\alpha$	$\chi$	$\beta$	$\delta$
Grieshop et al. (2009)	2.0	1.4	2	0	0	0	0
Robinson et al. (2007)	4.0	1.075	1	0	0	0	0
Ahmadov et al. (2012)	1.0	1.075	1	0	0	0	0
Half Fragmentation	1.0	1.075	1	0.5	0	0	0
Optimized SVOC Chemistry	1.0	1.075	1	0.5	1	0.5	0.6

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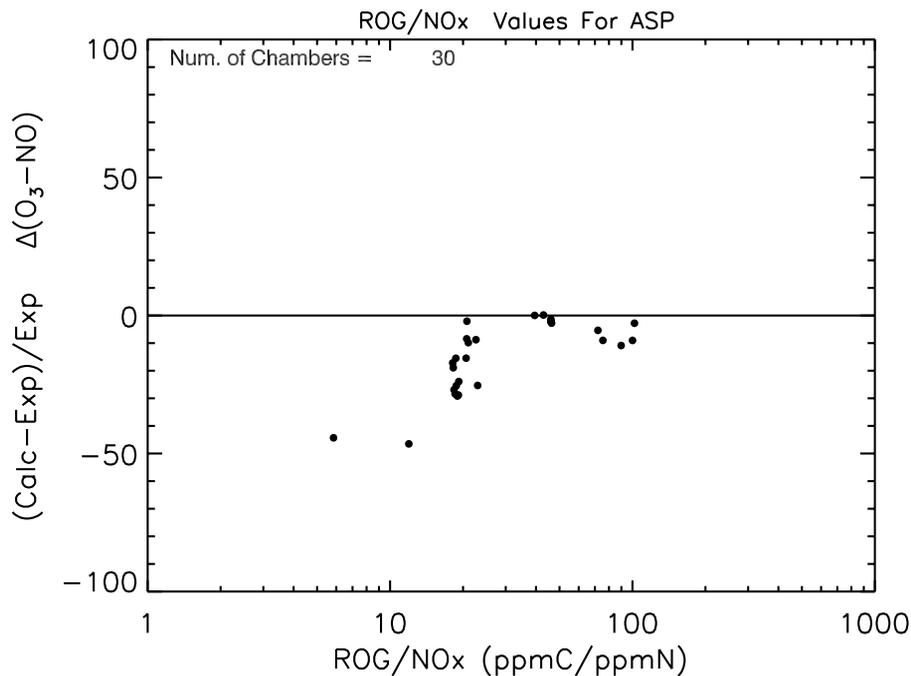


**Figure 1.** (a) ASP calculated hourly values of  $\Delta([\text{O}_3] - [\text{NO}]) \equiv ([\text{O}_3]_{\text{final}} - [\text{NO}]_{\text{final}}) / ([\text{O}_3]_{\text{initial}} - [\text{NO}]_{\text{initial}})$  vs. the values measured in the EPA chamber of Carter et al. (2005) for 30 “full surrogate” experiments. Note that all time points for the 30 chamber experiments are plotted, not just the final values. (b) ASP calculated vs. measured formaldehyde (HCHO) values for the chamber experiments.



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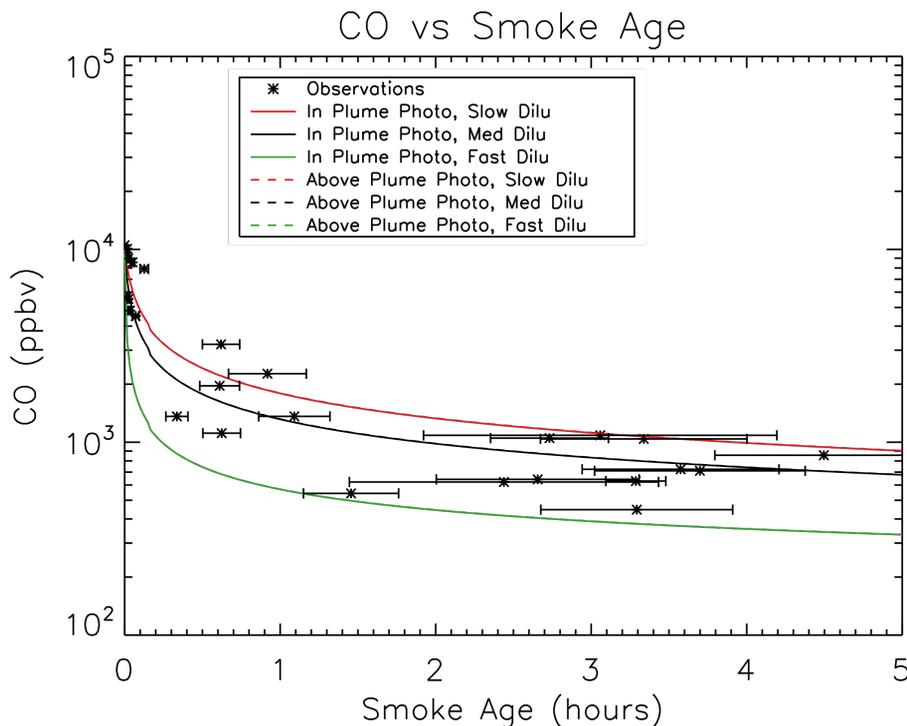


**Figure 2.** Percentage bias in final  $\Delta([\text{O}_3] - [\text{NO}])$  vs. the initial ratio of reactive organic gases (ROG) to  $\text{NO}_x$  (ppmC/ppmN) for the chamber experiments.

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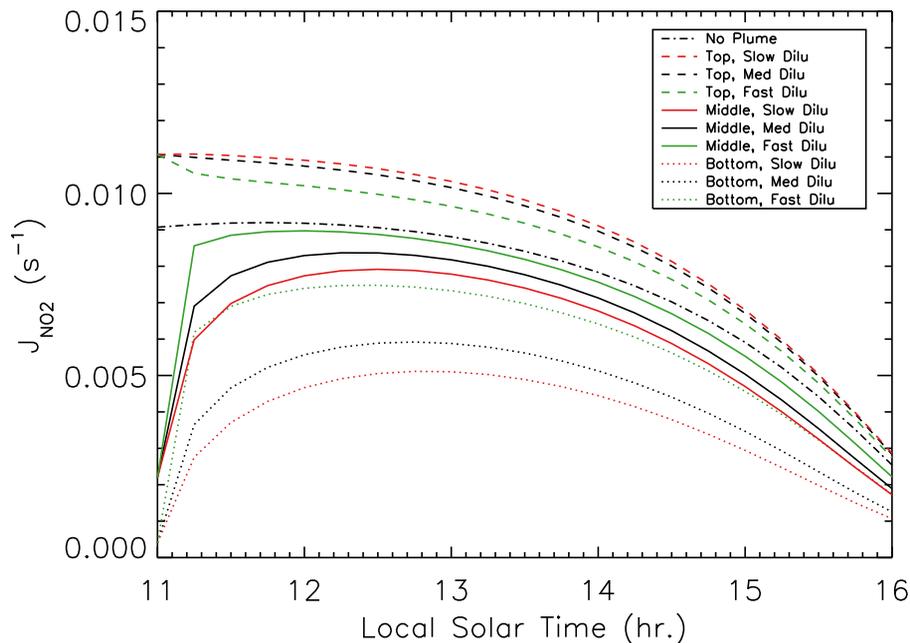
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**Figure 3.** CO mixing ratio (ppbv) vs. smoke age. Red, black, and green are for the slow, best-fit (medium), and fast plume dilution rates. Asterisks are the measured mixing ratios, with the horizontal error bars showing the uncertainty in the estimated age, which is much larger than the uncertainty in the CO mixing ratio.

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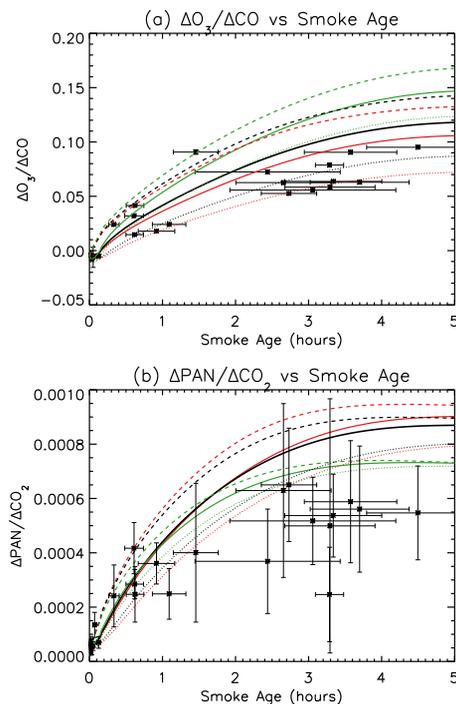


**Figure 4.**  $\text{NO}_2$  photolysis rates ( $\text{s}^{-1}$ ) vs. local time. Red, black, and green are for the slow, best-fit (medium), and fast plume dilution rates. Dashed lines are for photolysis rates above the plume, solid lines are for the middle of the plume, and dotted lines are for the bottom of the plume, as described in the text. The black dot-dashed line is the clear-sky (no plume) photolysis rate.

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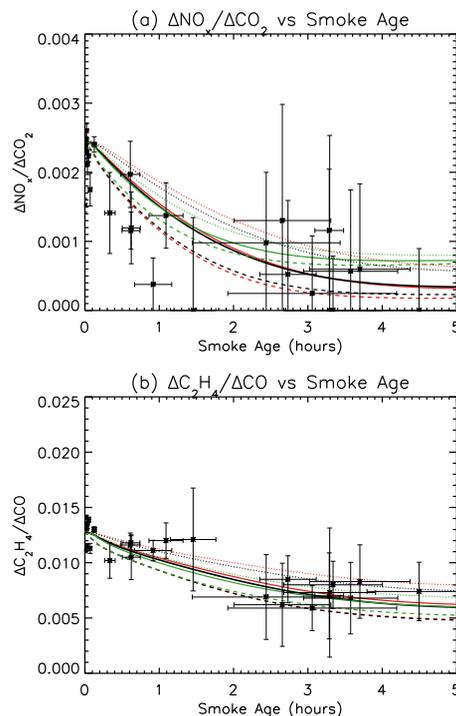
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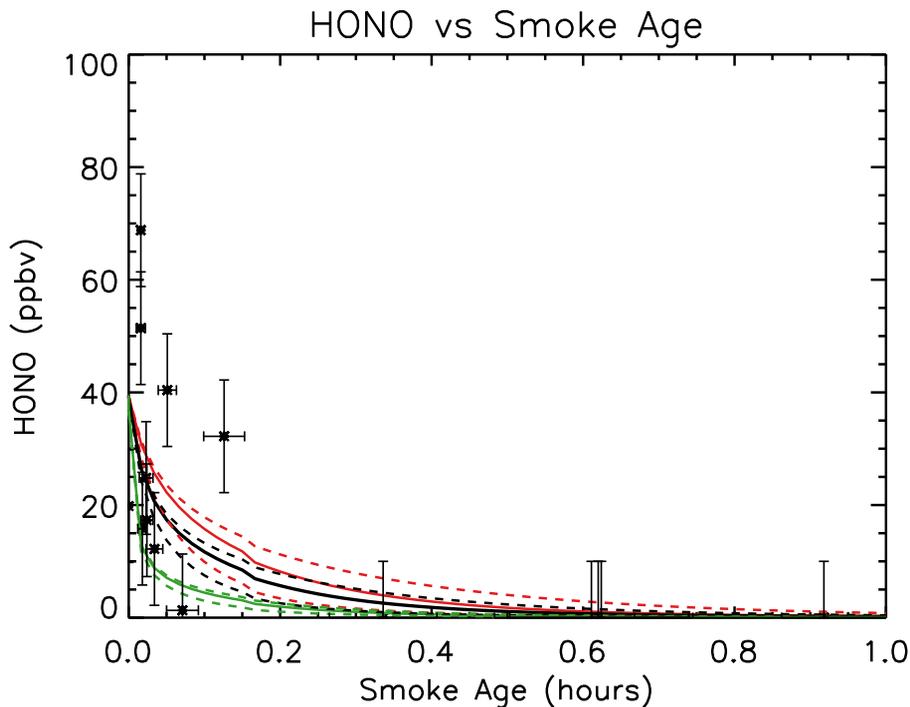
**Figure 5.** Enhancement ratios ( $\text{mol mol}^{-1}$ ) of (a)  $O_3$  to  $CO$  and (b)  $PAN$  to  $CO_2$  vs. estimated smoke age when the chemistry of the unidentified SVOCs is not included in the model. Asterisks are the measured mixing ratios, with the horizontal error bars showing the uncertainty in the estimated age and the vertical error bars showing the uncertainty in the measurement. Red, black, and green are ASP results for the slow, best-fit (medium), and fast plume dilution rates. Dashed lines are for above-plume photolysis rates, while solid lines are for the middle of the plume, and dotted lines are for the bottom of the plume (see the legend in Fig. 4).

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**Figure 6.** (a)  $\text{NO}_x$  enhancement ratio ( $\text{EnR}$ ,  $\text{mol mol}^{-1}$ ) to  $\text{CO}_2$  vs. estimated smoke age when the chemistry of the unidentified SVOCs is not included in the model. (b)  $\text{EnR}$  of  $\text{C}_2\text{H}_4$  to  $\text{CO}$  vs. estimated smoke age. Asterisks are the measured mixing ratios, with the horizontal error bars showing the uncertainty in the estimated age and the vertical error bars showing the uncertainty in the measurement. Red, black, and green are ASP results for the slow, best-fit (medium), and fast plume dilution rates. Dashed lines are for above-plume photolysis rates, while solid lines are for the middle of the plume, and dotted lines are for the bottom of the plume (see the legend in Fig. 4).



**Figure 7.** HONO mixing ratio (ppbv) vs. estimated smoke age for the first hour after emission (note difference in x axis scale from Figs. 4–6) when the chemistry of the unidentified SVOCs and a downwind HONO source is not included in the model. Asterisks are the measured mixing ratios, with the horizontal error bars showing the uncertainty in the estimated age and the vertical error bars showing the uncertainty in the measurement. Red, black, and green are ASP results for the slow, best-fit (medium), and fast plume dilution rates. Dashed lines are for above-plume photolysis rates, while solid lines are for the middle of the plume, and dotted lines are for the bottom of the plume (see the legend in Fig. 4).

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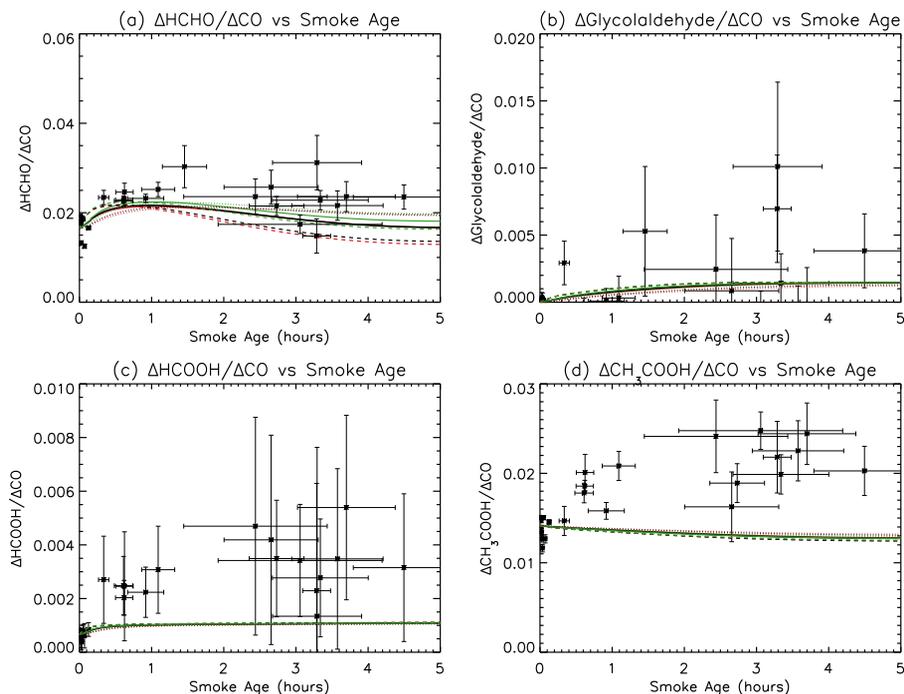
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**Figure 8.** Enhancement ratio (EnR,  $\text{mol mol}^{-1}$ ) of **(a)** HCHO, **(b)** glycolaldehyde ( $\text{HCOCH}_2\text{OH}$ ), **(c)** formic acid ( $\text{HCOOH}$ ), and **(d)** acetic acid ( $\text{CH}_3\text{COOH}$ ) to CO vs. estimated smoke age when the chemistry of the unidentified SVOCs is not included in the model. Asterisks are the measured mixing ratios, with the horizontal error bars showing the uncertainty in the estimated age and the vertical error bars showing the uncertainty in the measurement. Red, black, and green are ASP results for the slow, best-fit (medium), and fast plume dilution rates. Dashed lines are for above-plume photolysis rates, while solid lines are for the in-plume rates, as described in the text.

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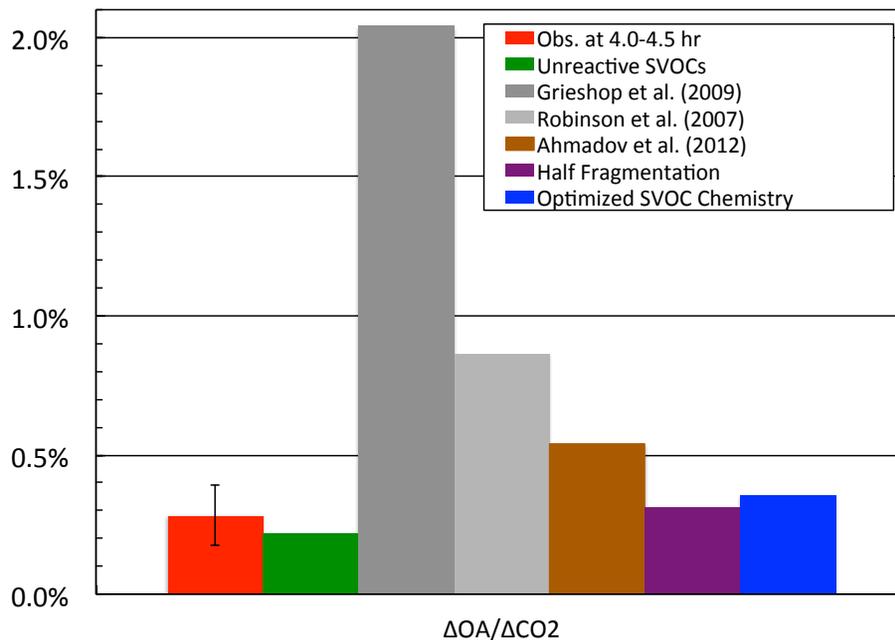
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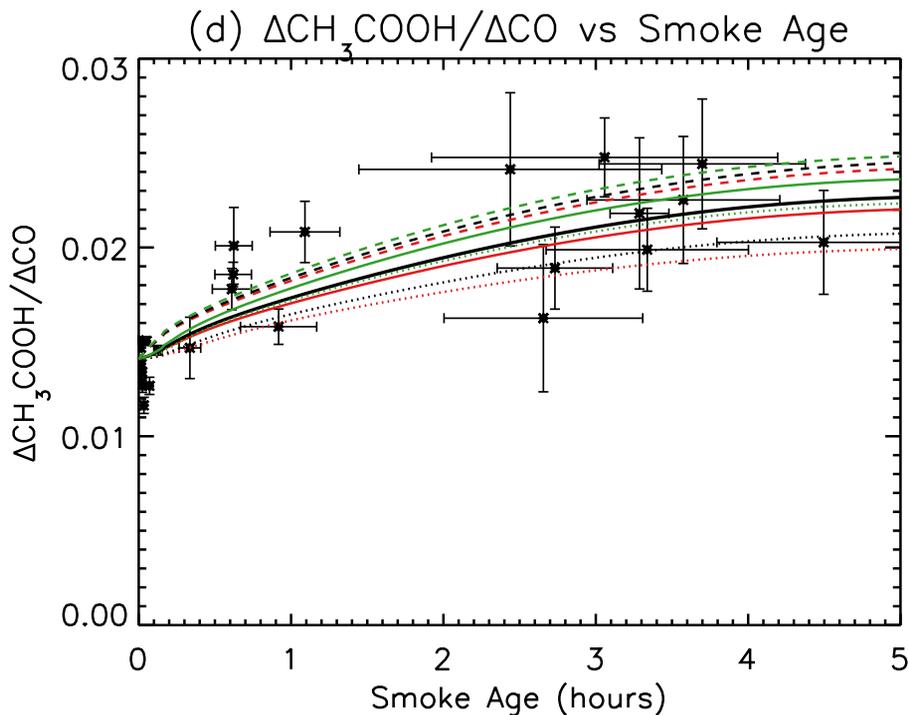
**Figure 9.** Enhancement ratio (EnR, g g<sup>-1</sup>) of organic aerosol (OA) to CO<sub>2</sub> after 4 to 4.5 h of smoke aging. The error bars on the observed values are based on the 36% uncertainty in the AMS observations of OA. All model results assume the best-fit dilution rate and the photolysis rates corresponding to the middle of the plume (solid black line in Fig. 4).

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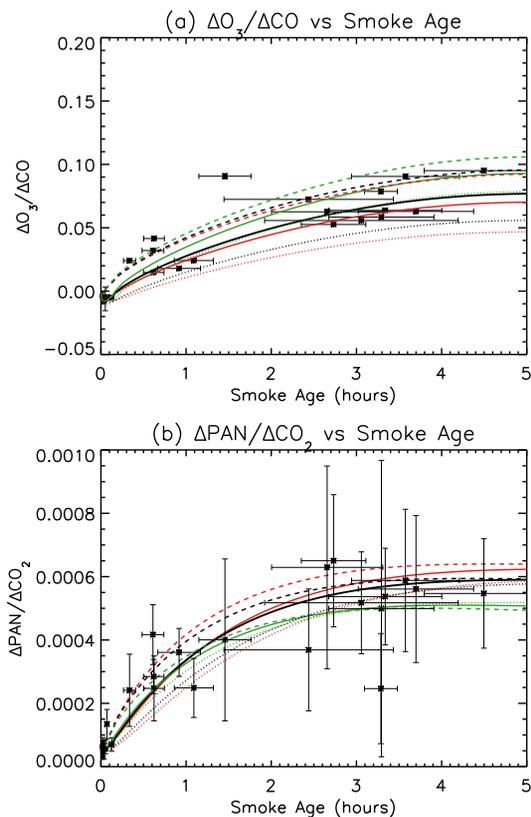


**Figure 10.** As in Fig. 8d, but for the “Half Fragmentation” SVOC mechanism (see Table 1) where the VOC fragment produced by fragmentation of the parent SVOC is assumed to become acetic acid.

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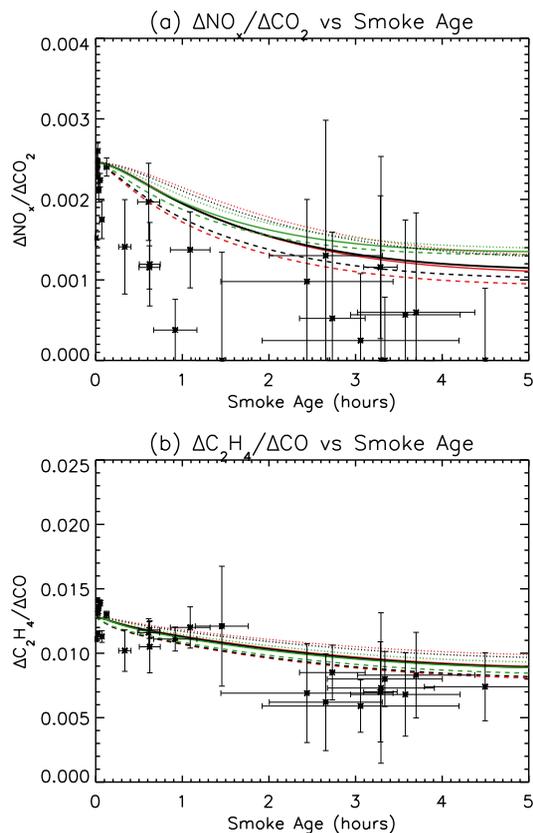


**Figure 11.** As in Fig. 5, but for the “Half Fragmentation” SVOC mechanism rather than no fragmentation (see Table 5).

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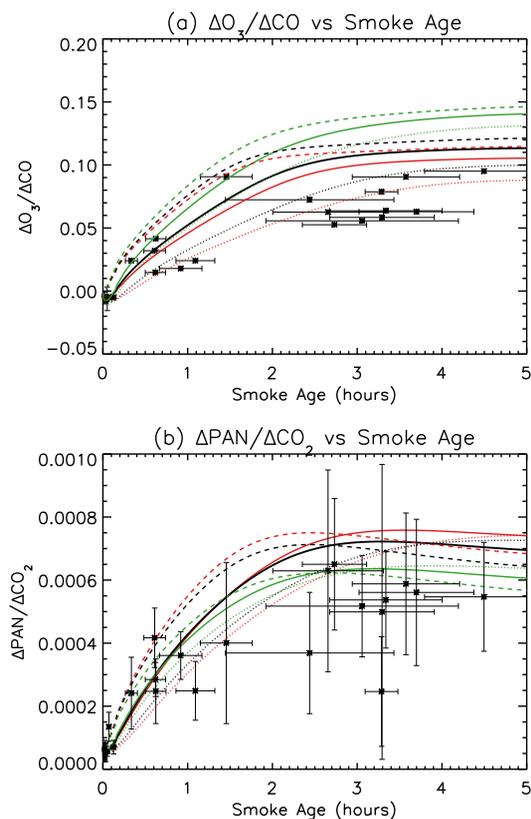


**Figure 12.** As in Fig. 6, but for the “Half Fragmentation” SVOC mechanism (see Table 5).

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**Figure 13.** As in Fig. 5, but for the optimized SVOC chemistry (see Table 5).

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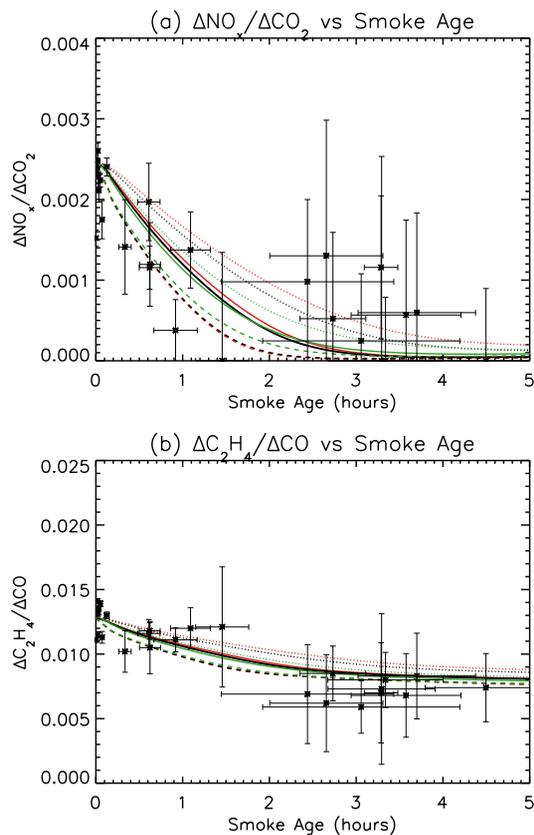


Figure 14. As in Fig. 6, but for the optimized SVOC Chemistry (see Table 1).

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