



Ozone distributions  
over Lake Michigan

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This discussion paper is/has been under review for the journal Atmospheric Chemistry and Physics (ACP). Please refer to the corresponding final paper in ACP if available.

# Ozone distributions over southern Lake Michigan: comparisons between ferry-based observations, shoreline-based DOAS observations and air quality forecast models

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Received: 17 June 2014 – Accepted: 14 August 2014 – Published: 10 September 2014

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Published by Copernicus Publications on behalf of the European Geosciences Union.

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## Abstract

Air quality forecast models typically predict large ozone abundances over water relative to land in the Great Lakes region. While each state bordering Lake Michigan has dedicated monitoring systems, offshore measurements have been sparse, mainly executed through specific short-term campaigns. This study examines ozone abundances over Lake Michigan as measured on the Lake Express ferry, by shoreline Differential Optical Absorption Spectroscopy (DOAS) observations in southeastern Wisconsin, and as predicted by the National Air Quality Forecast System. From 2008–2009 measurements of O<sub>3</sub>, SO<sub>2</sub>, NO<sub>2</sub> and formaldehyde were made in the summertime by DOAS at a shoreline site in Kenosha, WI. From 2008–2010 measurements of ambient ozone conducted on the Lake Express, a high-speed ferry that travels between Milwaukee, WI and Muskegon, MI up to 6 times daily from spring to fall. Ferry ozone observations over Lake Michigan were an average of 3.8 ppb higher than those measured at shoreline in Kenosha with little dependence on position of the ferry or temperature but with highest differences during evening and night. Concurrent ozone forecast images from National Weather System's National Air Quality Forecast System in the upper Midwestern region surrounding Lake Michigan were saved over the ferry ozone sampling period in 2009. The bias of the model O<sub>3</sub> forecast was computed and evaluated with respect to ferry-based measurements. The model 1 and 8 h ozone mean biases were both 12 ppb higher than observed ozone, and maximum daily 1 h ozone mean bias was 10 ppb, indicating substantial ozone over-prediction over water. Trends in the bias with respect to location and time of day or month were also explored showing non-uniformity in model bias. Extreme ozone events were predicted by the model but not observed by ferry measurements.

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## 1 Introduction

Air quality near Lake Michigan has been under study for more than 30 years (Lyons and Cole, 1976; Keen and Lyons, 1978; Dye et al., 1995). The shoreline air quality has gone from a highly impacted environment for surface ozone in the 1970's–80's to persistent non-attainment status in the 2008 ground-level ozone standards for counties near to Lake Michigan in Wisconsin (Sheboygan and Kenosha), Illinois (Cook, Lake, Grundy, Kane, Kendall, McHenry, Will) and Indiana (Lake, Porter). The number of critical ozone events in the Chicago metro area region has been reduced in the past 20 years (EPA, 2014), but stricter measures for particulates have maintained a steady pattern of particulate matter exceedances for this region (Katzman et al., 2010; Stanier, 2012). Ozone is generated in the troposphere by the reaction of precursors (nitrogen oxides (NO<sub>x</sub>) and volatile organic compounds (VOCs)) in a photochemical cycle under conditions which support it (high temperatures, sunlight, stable inversions). The Milwaukee-Chicago-Gary urban corridor constitutes a large emissions source for ozone precursors and is home to significant populations impacted by poor air quality. The understanding of ozone production and distribution around Lake Michigan requires monitoring of land-based sites year-round, but no regular observations of offshore air quality exist. Some land-based monitors are situated farther from Lake Michigan than others, but no specific quantification of the difference between surface level offshore air quality and onshore air quality exists on a routine basis. Forecast models typically produce large ozone mixing ratio maxima over Lake Michigan. The unique nature of the distribution of ozone precursor emissions near to Lake Michigan combined with the unique meteorological effects, like the lake breeze, from this large body of water highlights the need for ozone measurements at a near shore site and across the lake.

The study of high ozone events in the region has centered around mesoscale meteorological effects that contribute to the formation of ozone and the movement of air masses over land (Lennartson and Schwartz, 2002; Lyons and Cole, 1976). Lyons and Cole (1976) outlined the influence of the land-breeze effect on shoreline air quality.

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Lennartson and Schwartz (2002) indicated a pattern of high pressure anticyclonic events as coincident with higher ozone abundances at land-based sites. Recently, Levy et al. (2010) investigated the impact of local-scale flows in Great Lakes air quality in the region of Lake Erie. Levy et al. determined that local-scale emissions play a significant role in ozone production, and the meteorological constraints on air movement aid in isolating and stratifying air pockets from which ozone is generated on a next-day basis.

A few studies have investigated offshore air quality in regional-scale monitoring of ozone around Lake Michigan. The Lake Michigan Air Quality Study in 1991, where aircraft were used for monitoring (Dye et al., 1995) and the LADCO Aircraft Project (Foley et al., 2011) are the two most notable. Dye et al. (1995) determined that stratification over Lake Michigan leads to limited vertical and horizontal mixing beyond the lake area during the summer, allowing for the confinement of ozone precursors. The LADCO Aircraft Project (LAP) was a 9 year aircraft-based study to evaluate air quality in the region, where flights were conducted on days of suspected high ozone which would be in non-attainment of hourly federal standards (Foley et al., 2011). The work from LAP is consistent with the interpretation presented by Dye et al. in that inversions over the lake created stable layers of urban plumes, and that air sampled at greater distance from the Chicago–Milwaukee shoreline tended to be more processed. Foley et al. (2011) determined in the late 1990's and early 2000's that in lower altitude air (< 200 m above ground level (a.g.l.)) ozone formation switched between VOC-limited conditions in the morning to NO<sub>x</sub>-limited in the afternoon, and that above 200 m a.g.l., ozone formation was always NO<sub>x</sub> limited. The observations from LAP showed a progression of the “photochemical clock” during northward aircraft transects over the lake where more aged plumes were found farther north of Chicago. Fast and Heilman (2003, 2005) developed a regional coupled meteorological and chemical model to describe ozone formation on or near the Great Lakes. For offshore measurements they used ozone observations from the Luddington Ferry, which operates between Luddington, Michigan and Manitowoc, Wisconsin. The comparison between the model and measurements was restricted to specific times of the day due to the ferry movement where the agreement of

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model to measurement was poorest for the eastern side of Lake Michigan in 1999 (Fast and Heilman, 2003). Their model results from 1999 and 2001 showed distinct features in the ozone spatial distribution over Lake Michigan but did not reproduce eastern Wisconsin shoreline observations when ozone concentrations were high ( $> 60$  ppb) (Fast and Heilman, 2005).

The Lake Michigan land/lake breeze is a well-documented phenomenon that influences local scale air flow due to differential heating of air masses over land and water on a daily basis (Lyons and Cole, 1976; Foley et al., 2011; Hanna and Chang, 1995; Lennartson and Schwartz, 2002). Offshore flow (the land breeze) is dominant during the nighttime during summer when surface waters are higher in temperature than land surface temperatures. For counties along the western side of Lake Michigan, this westerly pattern follows typical westerly synoptic flow for the region. Onshore flow (the lake breeze) is more common in the summer daytime when land temperatures exceed water surface temperatures. The lake breeze has been seen to coincide with higher ozone and the transport of aerosol in Chicago (Harris and Kotamarthi, 2005; Lyons and Olson, 1973) and larger-scale high pressure anticyclonic flows have been implicated in the higher Lake Michigan shoreline ozone observations (Lennartson and Schwartz, 1999), which enhance the flow of photochemically aged air from the Chicago urban plume northward along the Lake Michigan shoreline to southeastern Wisconsin.

In this study, the deployment of both a long path Differential Optical Absorption Spectrometer (DOAS) at the shoreline and an ozone monitor on a ferry has several benefits: the long path length for the DOAS instrument creates an averaged signal that is unaffected by small spatial scale point-source emissions, and allows for simultaneous observations of several compounds ( $\text{NO}_2$ ,  $\text{SO}_2$ ,  $\text{O}_3$ , formaldehyde). This limited combination of species provides a unique breadth of information about air masses, where  $\text{O}_3$  is the pollutant of interest to compare with offshore observations,  $\text{NO}_2$  is a proxy for  $\text{NO}_x$  and a precursor to  $\text{O}_3$  production, formaldehyde is a proxy for total VOC which are other necessary ozone precursors, and  $\text{SO}_2$  is used as a tracer for industrial emissions and electric power generation. This measurement strategy has proven effective in other

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environments, such as the observatory on the west coast of Ireland (Carpenter et al., 1999; Seitz et al., 2010), Crete (Vrekoussis et al., 2004), Galapagos Islands (Martin et al., 2013), Okinawa Island (Takashima et al., 2011), Houston (Rivera et al., 2010), Helgoland (Martinez et al., 2000) and Appledore Island, NH (White et al., 2008), to name a few. In the study described here, the four constituents measured by DOAS are used to show the change in chemical composition of air masses from offshore and on-shore to elucidate emissions, processing and transport of plumes over Lake Michigan. The routine monitoring of ozone over Lake Michigan on the ferry platform allows for an evaluation of the spatial distribution of ozone over the lake, comparison of over-water ozone to shoreline ozone, and comparison to forecast models of surface-level ozone. This investigation is the first to present high resolution, regular observations of ozone at the surface over Lake Michigan in comparison to national air quality forecasts. Results have been analyzed to show the difference between shoreline and over-water ozone as a function of time of year, time of day, location over the lake and meteorology.

## 2 Methods

Kenosha, Wisconsin is located along the shoreline of Lake Michigan in the southeast corner of the state, bordering Illinois (Fig. 1). The commercial DOAS instrument was mounted to two municipal buildings at the Kenosha Harbor along Lake Michigan spanning the harbor with a one-way single-beam path length of 596 m. The light source was mounted to the roof of the Kenosha Municipal Building at 625 52nd St and the detector was housed at the Kenosha Water Utility Water Production Plant located at 100 51st Place on Simmons Island. The beam passed over land and water at 10–14 m a.g.l. At this location, the shoreline of Lake Michigan is oriented North–South, with a small residential area directly south of the measurement site (see inset of Fig. 1). Historic downtown Kenosha, a city of 100 000 located 35 miles south of Milwaukee (metropolitan area population 2 million) and 50 miles north of Chicago (metropolitan area population 9.5 million), lies to the west end of the site. The DOAS unit was calibrated with

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known standards in September 2008 ( $\pm 4\%$  yearly drift). In-beam standards were used to test the calibration 7 November 2008 and 8 August 2009. The instrument was operated from 19 September to 24 November 2008 and 28 April, to 10 November 2009. Meteorological data (temperature, relative humidity, wind speed and direction) were obtained in 2009 by the addition of a meteorological station at the Kenosha Harbor site of the DOAS detector. Data were collected as 1 min averages for each compound ( $\text{NO}_2$ ,  $\text{SO}_2$ ,  $\text{O}_3$  and formaldehyde) sequentially, which resulted in single data points every 5 min (1% precision). Data was filtered for low light levels when the instrument required realignment.

The Lake Express Ferry runs from May to October from Milwaukee, WI to Muskegon, MI (Fig. 1) at 06:00 (eastbound), 09:15 (westbound), 12:30 (eastbound), 15:45 (westbound) CDT and in late July/August also at 19:00 (eastbound) and 22:00 (westbound) CDT. Time zones for Wisconsin and Michigan differ, but all times given here are in Central Daylight Time. The ferry stays in port overnight in Milwaukee and the average trip duration of the ferry for this study was 2.25 h. The inlet for air monitoring was installed at the bow above the wheelhouse (3 m starboard of center and 10 m above water line) and tubing was routed through the interior conduit into a utility closet where commercial  $\text{CO}_2$  (Li-Cor) and  $\text{O}_3$  (Thermo Scientific Model 49) instrumentation were housed. The inlet was positioned to the stern so as to minimize water spray entering the sample lines. The  $\text{O}_3$  instrument was installed on the ferry from 9 July–21 September 2008, 15 May to 28 October 2009 and 23 June–1 November 2010. GPS coordinates and gas measurements were recorded every 1 min, resulting in a frequency/spatial resolution of 1–2 min  $\text{km}^{-1}$ , depending on speed of ferry. Ozone data was excluded from data set when the ferry was in port because measurements were influenced by engine emissions of NO. On occasion, due to inclement weather or mechanical problems, the ferry did not follow its posted schedule. The ozone instrument had a manufacturer stated accuracy of  $\pm 2$  ppbv. The ozone instrument was calibrated at NOAA before and after deployment each year by comparison of the instrument deployed on the ferry to

a standard ozone monitor (Thermo Scientific Model 49i-PS) maintained in the laboratory for comparison purposes. Comparisons were always within 2 %.

### 3 Results

#### 3.1 Shoreline DOAS observations as a function of wind direction

5 Observations from the Kenosha Harbor DOAS instrument were evaluated with respect to offshore vs. onshore air mass origin by sorting the data with respect to observed wind direction in 2009. For 2009, all data were binned to median concentration per 30° increment of wind direction. Figure 2 shows the distribution of gases O<sub>3</sub>, NO<sub>2</sub>, SO<sub>2</sub> and formaldehyde median concentrations with respect to wind direction. The highest  
10 median ozone and SO<sub>2</sub> mixing ratios observed at the Kenosha Harbor location arise from air masses flowing from the lake (0–180° are from offshore) whereas the highest NO<sub>2</sub> and formaldehyde observations arise from air masses originating on land. So few formaldehyde measurements in the onshore flow were above the detection limit that average data from those wind directions were omitted from Fig. 2d. The obser-  
15 vation of NO<sub>2</sub> from land-based air masses is consistent with localized fossil-fuel combustion sources of short-lived NO<sub>x</sub> (= NO + NO<sub>2</sub>) coming from land-based mobile and point sources as NO<sub>x</sub> oxidizes rapidly to other nitrogen species during the daytime. Formaldehyde can serve as a proxy for VOCs, with anthropogenic and biogenic emissions arising from sources on land, and can also be produced in situ as an oxidation product of VOCs. Formaldehyde can be lost to reaction with OH and photolysis during  
20 the day. The longer-lived atmospheric species of O<sub>3</sub> and SO<sub>2</sub> were observed in higher abundance from offshore. The O<sub>3</sub> and SO<sub>2</sub> concentrations were otherwise not correlated in individual days, which is typical as the chemistry and emissions driving the evolution of each were quite different. O<sub>3</sub> is produced by catalytic photochemical cycles  
25 which require the presence of NO<sub>x</sub> and VOCs and can be titrated by fresh emissions of NO. Sulfur dioxide is most commonly emitted by fossil fuel combustion at coal-fired



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power plants, many of which lie at the Lake Michigan shoreline in the Gary-Chicago-Milwaukee urban corridor from Indiana to Wisconsin. The diurnal wind patterns (Fig. 3) at the Kenosha Harbor site also contribute to the apparent higher concentrations of ozone and SO<sub>2</sub> over the lake because the lake breeze wind pattern drives winds from land offshore at night (when NO<sub>2</sub> and formaldehyde losses were minimized) and from the lake onshore during the day (when ozone concentrations were at a maximum).

These DOAS observations align with past studies of Lake Michigan air quality in that they implicate higher O<sub>3</sub> concentrations over Lake Michigan (Dye et al., 1995; Foley et al., 2011; Lennartson and Schwartz, 1999, 2002). The higher SO<sub>2</sub> concentrations may show the influence of power plant emissions mixing over longer distances and timescales over the lake. Foley et al. described (2011) sampling high NO<sub>x</sub> plumes over Lake Michigan that appeared to remain aloft. They suggested that these plumes originated from power plants in the region, which would also be a source of SO<sub>2</sub>. The shoreline observations presented here do not constrain the extent to which ozone was higher over the lake, nor the distribution of ozone across the lake, but only show that air with enhanced ozone was observed during afternoon hours when the air moved inland during the lake breeze. At the intersection between the offshore environment and the onshore environment, titration of O<sub>3</sub> occurs via emissions from local NO<sub>x</sub> sources, and therefore the additional offshore processing cannot be distinguished from chemistry at the shoreline with this DOAS measurement alone.

### 3.2 Comparison between shoreline DOAS and ferry observations

Kenosha shoreline DOAS observations of O<sub>3</sub> were compared with the Lake Express ferry O<sub>3</sub> observations in order to understand the regional distribution of ozone. The two measurements were compared by averaging the ferry measurements to 30 min intervals at the timescale of the Kenosha harbor DOAS measurements. The differences in 30 min averaged data from 2009, as measured as O<sub>3</sub> (Lake Express Ferry) – O<sub>3</sub> (Kenosha Harbor), fluctuated from as high as 45 to –37 ppb, with a median difference of 2.8 ppb, mean of 3.8 ppb and standard deviation of 9.1 ppb. The daily maximum data

had a range of 39 to -9 ppb, a median of 4.2 ppb, mean of 5.0 ppb, standard deviation 7.6 ppb.

In order to demonstrate the agreement between ozone measurements of both platforms, Fig. 4 shows the wind direction, O<sub>3</sub> measurements, the difference in ozone measurements, temperature, NO<sub>2</sub>, SO<sub>2</sub> and formaldehyde for 12 to 18 August 2009. In the example of 12 August 2009 in Fig. 4, the ozone mixing ratios for both instruments appear quite similar. Note that the discontinuities in ferry data represent times when the ferry was in port, and each of the segments between the data gaps represents an entire transect of Lake Michigan. In some cases, such as 12 August, there was very little variation in the difference between ferry and shoreline O<sub>3</sub> with respect to the location of the ferry. For 13 August, the maximum ozone as measured at the shoreline (~ 50 ppb) was observed by the ferry upon return to the western side of Lake Michigan and again when it left with roughly a 15 ppb difference between the eastern and western sides of Lake Michigan in the afternoon hours. NO<sub>2</sub> measurements in Fig. 4d peaked at night as high as 30 ppb and at were at a minimum during the day, particularly after noon. The concentrations of NO<sub>2</sub> for this period do not correlate with SO<sub>2</sub> concentrations and so can be considered to be from different emissions sources, such as urban non-point source NO<sub>x</sub> and power-plant or industrial sources of SO<sub>2</sub>.

Evidence of lake breeze shifts in the data was most clearly shown on 14 August (indicated by dotted lines in Fig. 4). The wind direction shifted abruptly from southwest (offshore flow) until about 10:00 CDT, when it shifted to southeast (onshore flow). The temperature change between these two air masses is evident in Fig. 4c, where the ambient temperature dropped 3°C as the wind direction shifted. The NO<sub>2</sub> concentration increased to 30 ppb after the wind shift, which may be evidence of recent land-based NO<sub>2</sub> emissions from the northern Chicago area flowing offshore during rush-hour and then returning onto land after the wind shift. Following the rapid NO<sub>2</sub> decrease, O<sub>3</sub> increased as measured at the shoreline and also as measured on the ferry. By 18:00 CDT, the wind shifted back to arriving at the Kenosha Harbor site from the southwest, the shoreline ozone decreased precipitously but the ferry observations

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of ozone remained high. The  $\text{NO}_2$  concentrations also rebounded to 12 ppb. In this case, the maximum  $\text{SO}_2$  observations arrived at the Kenosha harbor site from offshore later in the afternoon before the wind shifted. A Hysplit back trajectory model was calculated for the morning of 14 August for synoptic winds at 250 m a.g.l. and indicated an air mass arriving from the northeastern suburbs of Chicago, Illinois which would intercept the rush-hour traffic emissions. Thus, the low  $\text{O}_3$  mid-morning was a result of near-source and early-day  $\text{NO}_x$  titration. On 13–15 August  $\text{NO}_2$  increased following the wind shift between south-westerly and south-easterly wind flows. Hysplit back trajectories were generated for each of these days, which showed air masses from Chicago transported northward along the shoreline at the same time of day. Emissions were likely brought back on land from lake breezes which could not be resolved from back trajectories.

Differences between ferry  $\text{O}_3$  and shoreline DOAS  $\text{O}_3$  mixing ratios were evaluated with respect to temperature (Fig. 5), location of the ferry (Fig. 6) and wind direction (Fig. 7). Each figure shows the data for all times of the day, and for distinct time windows (06:00–12:00 CDT, 12:00–18:00 CDT, 18:00–02:00 CDT) in box plots which represent mean (line), median ( $\square$ ), 25–75 % (box), and 10–90 % (whiskers) for the 30 min average difference between  $\text{O}_3$  (Lake Express) and  $\text{O}_3$  (Kenosha Harbor). Figure 5 shows differences between ozone observations from the ferry and shoreline with respect to temperature. There was no observed trend in difference in ozone vs. temperature for all data, a minor trend for morning times (06:00–12:00 CDT, Fig. 5b) where the difference changed from a positive difference to a more negative difference with increasing temperature above 15.5 °C, and an opposite trend toward higher ozone over the lake in the afternoon (12:00–18:00 CDT) and for temperatures above 26 °C. Ozone differences after 18:00 CDT show consistently higher ozone concentrations over the lake for all temperatures, but with larger differences above 21.1 °C. While the chemistry can drive more ozone production at higher temperatures, the fact that the largest differences were observed in the evening and at night can arise from the isolation of air masses at this time from the lake/land breeze effects. If the airmasses observed at the shoreline arrived

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from inland in the late evening, they could have been chemically different from those found far offshore. The only time when shoreline DOAS ozone observations tended to be higher than those from the ferry was at 06:00–12:00 CDT for temperatures above 26.7 °C. This may be due to days when temperatures were high in the morning, thus stagnating the air and limiting the influence of lake/land breeze on horizontal movement of airmasses. Differences in offshore and shoreline observations of ozone with respect to temperature were largest later in the day and at higher temperatures when ozone was typically at a maximum.

Investigations into the ozone differences between shoreline and ferry observations with respect to ferry location were conducted as a test of the east-west gradient over Lake Michigan. Figure 6 depicts the difference of  $O_3$  (Lake Express)  $- O_3$  (Kenosha Harbor) with respect to ferry distance from Milwaukee. For all data the mean and median difference was positive (i.e., greater as measured over water from the ferry). The median differences were not significantly positive or negative for the morning, slightly positive for the early afternoon time window, and consistently positive for the late afternoon/evening. In the case of the late evening time window, the mean, median and extremes (25–75 %) of the data all lie above 0, which is a strong suggestion that at these times the ozone concentrations over the lake are consistently higher than at the shoreline. However, there does not appear to be a significant variation with respect to longitude, meaning that evaluated as a whole, the land-lake differences in ozone did not depend on the ferry's distance from the shoreline. This demonstrates a widely regional distribution of ozone once over the lake.

In order to distinguish between meteorological effects at the shoreline, the differences in ozone observations from the ferry and shoreline DOAS ozone concentrations with respect to wind direction at Kenosha Harbor were evaluated. All data (Fig. 7a) show a trend in which the differences between offshore and onshore observations of ozone are positive (i.e., greater ozone over water as measured from the ferry) when wind arrives at the Kenosha Harbor site from 180–360 ° (inland) where the median and mean lie above 0. When broken up into time windows of morning, afternoon and

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evening/night, the largest differences were observed after 18:00 CDT if winds were arriving from 180–360°. This picture is consistent with land breezes developing in the evening and producing surface winds which draw from land and move over the lake. The sampled air masses at the shoreline, thus, were of different origin (or sampled air masses over the lake were isolated from land-based air masses). The number of data points ( $n < 15$ ) were acquired when the wind blew from 30–160° from 18:00–02:00 CDT were insufficient for analysis. For the morning and early afternoon times, the trend with respect to wind direction was not large.

Figures 5–7 indicate that the differences between ferry and shoreline ozone observations were largest in the time window after 18:00 CDT and into the night. The difference between the ferry and shoreline trend with the wind direction for all times of the day with the mean difference for wind directions from 0–180° at 0.2 ppb and for wind directions from 180–360° at 6.3 ppb. This trend in the dependence of the observed ozone difference with respect to wind direction is magnified after noon. One possible key driver of differences between observed offshore and shoreline ozone could be the differences in NO<sub>x</sub> emissions from each wind direction. The trends with respect to temperature are small in comparison to the trends with respect to wind direction and may be a subtle indicator of the strength of lake breeze effects. Both temperature and location may demonstrate some differences in photochemistry, where some aspects of photochemical ozone production are enhanced with temperature (water vapor content, VOC emissions), the distance from emissions sources (where titration of O<sub>3</sub> can occur) could be represented by the distance from the western Lake Michigan shoreline, and lower losses of O<sub>3</sub> to water surfaces compared to terrestrial surfaces (Levy et al., 2010). One complicating factor is that the ferry intercepted air near the surface, whereas urban plumes might reside aloft over an inversion above the lake (Foley et al., 2011; Dye et al., 1995). However, the subtleties of these effects appear to be outweighed by the magnitude of air-mass isolation effects due to local meteorology, as indicated by the large ozone concentration trends with wind and time of day. More complex yet similar observations near Lake Erie were made in summer 2007 during BAQS-Met by

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Levy et al. (2010) where oscillations in inland ozone were observed at times associated with lake-breeze front movement. The extent to which inversion occurs over the lake at night and ozone precursors and ozone concentrations remain high aloft, as suggested by Dye et al. and Foley et al. (Foley et al., 2011; Dye et al., 1995) cannot be evaluated by our measurements at the surface.

### 3.3 Comparison of ferry ozone with National Air Quality Forecast Model

The National Air Quality Forecast Model output predicts ozone concentrations at surface locations across the US. These forecasts produce a distinct ozone maximum over the water surfaces of the Great Lakes and, in particular, southern Lake Michigan. Images of the hourly forecasts in the Upper Midwestern region surrounding Lake Michigan (Fig. 8) were saved for the monitoring season of 2009 from 18 June–3 November 2009 for the hours of 08:00–22:00 CDT. To process the images for comparison with observations, they were digitized, converted to a common time stamp and georeferenced. The comparison between the ozone forecast and the observations along the ferry transect were then computed. The bias of the model, defined as:

$$\text{bias} = \rho_i - o_i \quad (1)$$

where  $\rho_i$  is the model-predicted  $\text{O}_3$  concentration and  $o_i$  is the observed  $\text{O}_3$  concentration on the ferry, was determined by hourly-averaged ferry observations geospatially matched to the time stamp (using CDT) of the model image outputs. The geospatial location was established at the average ferry location for the 1 h average, excluding any time spent in port. The mean ferry trip time was 2.25 h, so this method of analysis resulted in approximately 2 model comparisons per ferry trip with a minimum of 30 1 min points per comparison when port data was omitted. For all 1 h average data, the bias is consistently high, with a 12 ppb mean over-prediction of ozone. The magnitude of the bias was relatively constant over multiple months which became a higher percentage of the ozone concentrations in the late fall when ozone levels decreased. The bias in observed daily maximum is depicted in Fig. 9. The observed daily maximum

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O<sub>3</sub> on the ferry (black) was compared to the 1 h average forecast ozone which show the amount of over-prediction added to the observed value (red) or up to the under-predicted value (blue), at the particular location of the observed ferry maximum. The reported maximum ozone bias was only as observed by the ferry and does not necessarily capture the global maximum ozone over Lake Michigan. The mean bias for the daily maximum O<sub>3</sub> (as observed by the ferry) is 10 ppb, which is lower than the mean bias for all daytime 1 h data of 12 ppb.

The 8 h average ozone was computed for the ferry observations, Kenosha Harbor observations and NAQFM forecast concentrations in 2008, 2009 and 2010 (Fig. 10). The 8 h average for the ferry observations was for periods when the ferry was most likely to be in operation (10:00–18:00 CDT), and thus is a restricted representation of the ozone over the lake with respect to typical methods for evaluating federal air quality standards for stationary, continuous monitoring. The 8 h ozone mean bias is 12 ppb for the sampling period in 2009. Mean bias of the NAQFM for 8 h average ozone is 11 ppb for June, 12 ppb for July, 13 ppb for August, 12 ppb for September and 11 ppb for October. For all 3 years, there were no observed exceedences of the federal standard (8 h O<sub>3</sub> > 75 ppb). In the case of 2009, the ferry observations were ~ 5 ppb higher than the shoreline Kenosha harbor observations except in June. The NAQFM forecasts predicted 2 exceedences of 8 h O<sub>3</sub> in June 2009, but no data from the shoreline or ferry exceeded the standard for that month. NAQFM model output and Kenosha harbor measurements were not available for May 2009.

Others have also found the NAQFM to predict ozone concentrations that were biased high (Eder et al., 2009; Tang et al., 2009; Zhang et al., 2012a, b; Wilczak et al., 2006). Simon et al. (2012) completed an exhaustive comparison of photochemical performance statistics reported from 2006–2012, whereby national median in mean bias for hourly ozone was approximately 4 ppb, for 1 h maximum ozone was approximately 8 ppb and for 8 h maximum ozone was approximately 3 ppb (Simon et al., 2012). In comparison, the bias determined in this study would be higher than 75th percentile of studies of hourly ozone mean bias for 40 studies compiled by Simon et al. (2012),

between the median and 75th percentile for the 22 studies of 1 h maximum ozone and higher than 75 % of 60 studies of 8 h maximum ozone. The work presented here represents the first study of model bias over the water of Lake Michigan.

The 1 h model O<sub>3</sub> bias was evaluated for trends with respect to time of day (as organized by trip of the day) and ferry location: Western Lake Michigan (ferry longitude > 87.3° W), Middle Lake Michigan (ferry longitude between 87.3° W and 86.7° W) and Eastern Lake Michigan (ferry longitude < 86.7° W) (Fig. 11). In the later trips of the day (3 and 4) the 1 h O<sub>3</sub> bias tends to increase from west to east for most statistical markers of the data (mean, median, 75 % and 90 %), whereas that trend is slightly reversed for Trip 2 of the day. While these trends in the bias were small (~ 2 ppb) in comparison to the mean bias (12 ppb), the spatial trends in the bias provide clues regarding the mechanisms that result in over-prediction in the model. In particular, the ferry transect was oriented east–west and the emission sources of ozone precursors predominantly lie at the western shoreline of Lake Michigan. Therefore, the observed trend of a higher bias at the eastern side of Lake Michigan in the afternoon may indicate insufficient ozone loss mechanisms in the forecast model, production of ozone extending farther away from emissions sources, or boundary layer or other meteorological confinement of the air mass properties that are not symmetric across the lake.

The model bias was also evaluated with respect to month for the 3 regions (Fig. 12). The only two months which showed most significant differences in model bias with respect to ferry position were June and October. In June, the bias in the middle of Lake Michigan was higher than the western or eastern sides of Lake Michigan (which is shown in the 90th percentile, 75th percentile, median, mean and 25th percentile, 10th percentile). A similar trend was shown in July (as shown in 75th percentile, median, mean, 25th percentile and 10th percentile) and August (as observed in the 90th percentile, 75th percentile, median, mean and 25th percentile). The bias in the middle of Lake Michigan was smaller than the eastern and western sides for October (as shown in the 75th percentile, median, mean, and 25th percentile). A possible cause of this variation in bias is change in the strength of the lake-breeze effect and inversion

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meteorology between June (lake colder than overland air) and October (lake warmer than overland air). Also, O<sub>3</sub> production is limited in October and 8 h average concentrations were around 30 ppb, so these differences could be attributed to the difference in chemistry (limited production or enhanced loss mechanisms). The extent to which the parameterization of mesoscale meteorological effects or other model parameters like emissions and chemistry influence the O<sub>3</sub> model forecast cannot be extracted from this analysis. Without specific tests of the structure and parameterization of the NAQFM, these trends cannot be further dissected.

The time of day and position of the forecasted and observed daily maximum ozone concentrations are given in Figs. 13 and 14. The data are presented as histograms that represent the number of days where the maximum ozone was located within a particular range of latitudes or within a given hour. The hour that most frequently corresponded to the maximum ozone concentration was 16:00 CDT for both the NAQFM and the ferry observations. Fewer total observations around 15:00 CDT may account for the small number of maximum ozone observations at that time because the ferry was typically in port at that time. The location of the daily maximum ozone from the ferry varies from the distribution given by the NAQFM (Fig. 14). The NAQFM predicts the highest frequency of daily maximum O<sub>3</sub> will most frequently be just offshore on the eastern side of Lake Michigan, whereas this was not observed by the ferry. However, the ferry may not have captured the time of maximum O<sub>3</sub> at the eastern side of Lake Michigan, as its typical trip began in Muskegon at 16:00 CDT.

## 4 Conclusions

Observations of shoreline O<sub>3</sub> and ferry O<sub>3</sub> in comparison to forecast O<sub>3</sub> by the NAQFM show more agreement between shoreline and the ferry measurements than ozone forecasts over the lake and ferry measurements. Shoreline Lake Michigan measurements of O<sub>3</sub>, NO<sub>2</sub>, SO<sub>2</sub> and formaldehyde demonstrated the differences between onshore and offshore air masses. The comparison between ferry-based O<sub>3</sub> observations and

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shoreline DOAS O<sub>3</sub> observations indicated that diurnal changes in ozone concentration were larger than spatial gradients across Lake Michigan, and ozone tended to be higher over Lake Michigan, particularly in the evening. Mesoscale meteorological processes involving differential heating between the lake and land surfaces produced diurnal cycles of air mass flow between shoreline environments and offshore, which complicated the understanding of offshore ozone dynamics. The ferry-based 1 h O<sub>3</sub> observations were on average 12 ppb below NAQFM predictions. The NAQFM consistently predicted higher ozone than observed over water, with biases higher than a majority of previously published model performance statistics (Simon et al., 2012). The bias of the NAQFM showed some trends in increasing to the eastern side of Lake Michigan when evaluated with respect to ferry trip, and a complicated bias of higher over-prediction mid-lake in the spring and summer, switching to a lower over-prediction mid-lake in fall. Ferry ozone observations captured 0 exceedances in 8 h ozone in comparison to 2 predicted by NAQFM. Further analyses are required to determine whether NAQFM predictions might be improved by adjusting model parameters related to emission sources, mesoscale meteorology, or atmospheric chemistry.

*Acknowledgements.* The authors would like to thank Kaya Sims and Renee Hanson for their assistance in this experiment, the Lake Express Ferry, University of Wisconsin-Eau Claire Office of Sponsored Programs Faculty and Student Collaboration Grant, Great Lakes Water Institute, Kenosha Water Utility and the Great Lakes Observing System for their cooperation and support of this project. The authors would like to thank Bruce E. Brown for assistance with collection and calibration of ozone data from the Lake Express ferry, and Kenneth Aikin for archiving of NAQFM images. Thomas Langel acknowledges the NOAA Hollings Scholar Program for fellowship support during 2010. SSB acknowledges support from NOAA's Atmospheric Chemistry, Carbon Cycle and Climate Program.

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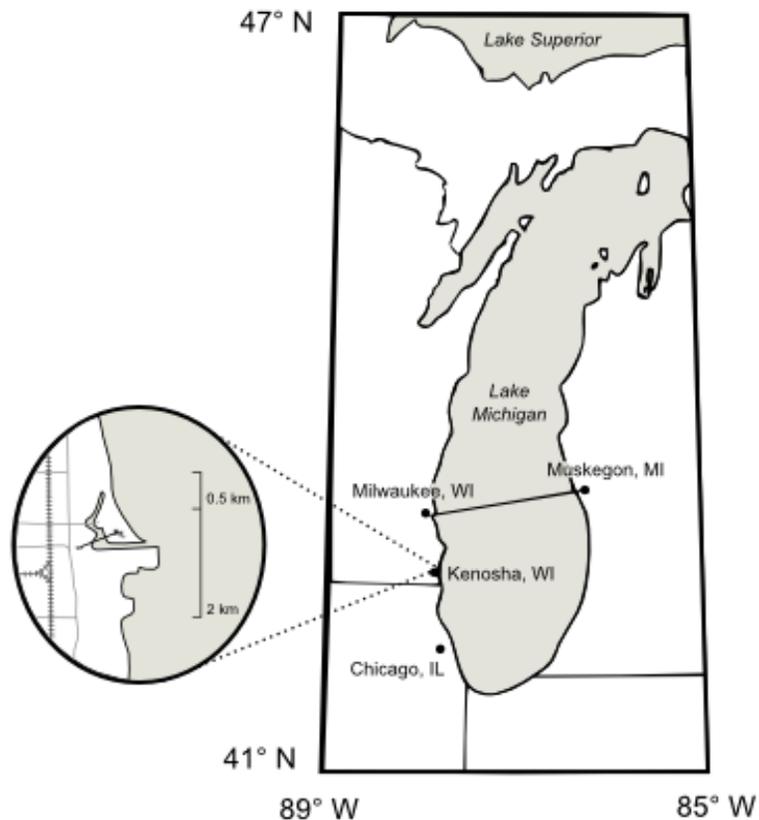
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**Figure 1.** Map of experiment. Path of ferry from Milwaukee, Wisconsin to Muskegon, Michigan is shown. The DOAS instrument was placed at the Kenosha, Wisconsin harbor with the beam path shown as the dark line across the harbor.

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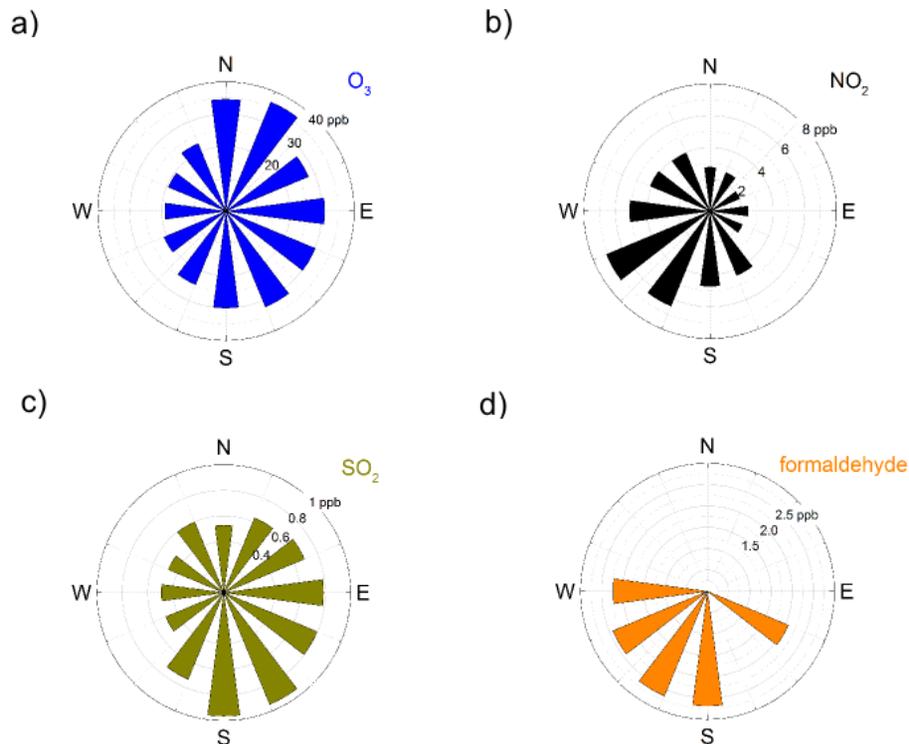
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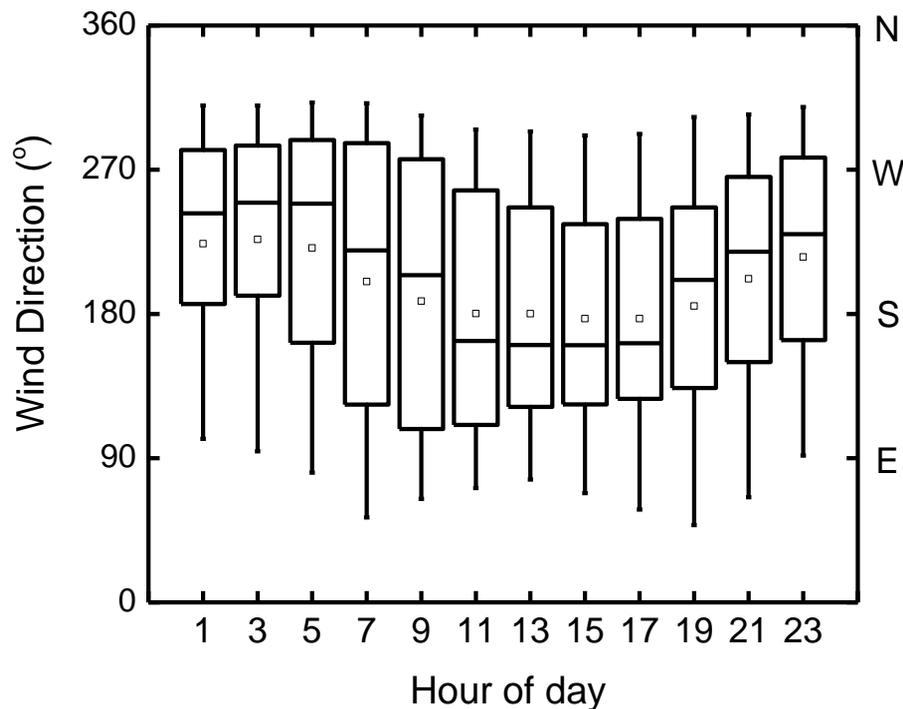
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**Figure 2.** Wind rose depictions of median concentration of (a) O<sub>3</sub> (b) NO<sub>2</sub> (c) SO<sub>2</sub> and (d) formaldehyde with respect to wind direction as measured by DOAS at Kenosha harbor from April–November 2009. Medians are not reported for wind directions where few measurements ( $n < 75$  for 30 min averaged data points) were above the detection limit (d.l. = 1.5 ppb for formaldehyde).

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**Figure 3.** Wind direction as a function of time of day as measured at Kenosha harbor from April–November 2009. Box plots show mean ( $\square$ ), median (centerline), 25–75 % (box) and 10–90 % (whiskers).

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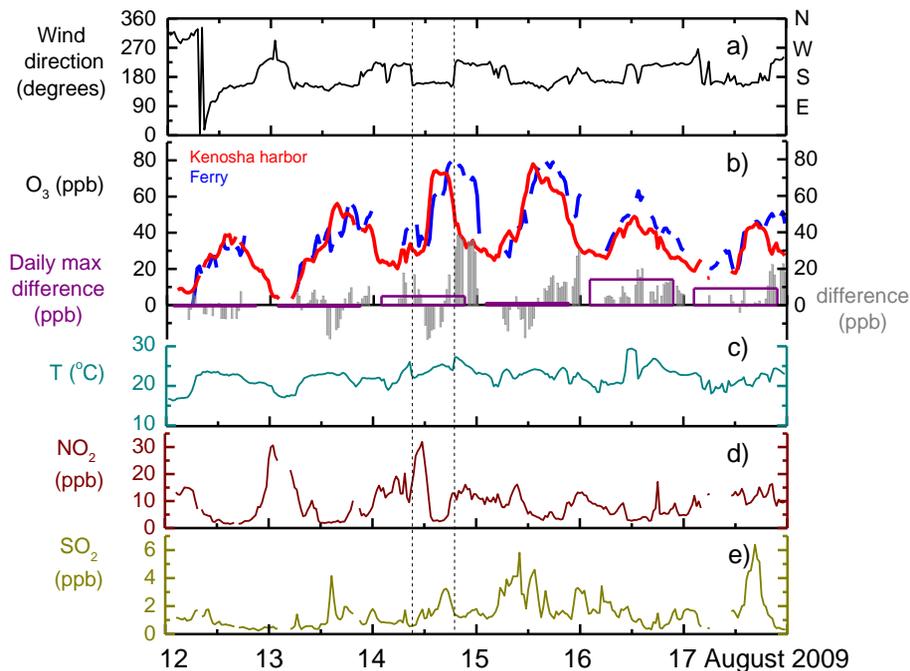
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**Figure 4.** Example period of observations from 12 August 2009 to 18 August 2009 **(a)** wind direction at Kenosha Harbor site, **(b)** concurrent  $O_3$  observations from Kenosha Harbor and Lake Express in transit, their 30 min average  $O_3$  (Ferry) –  $O_3$  (Kenosha Harbor) difference and daily max difference **(c)** temperature at Kenosha Harbor in Celsius **(d)**  $NO_2$  observations from Kenosha Harbor and **(e)**  $SO_2$  observations from Kenosha Harbor.

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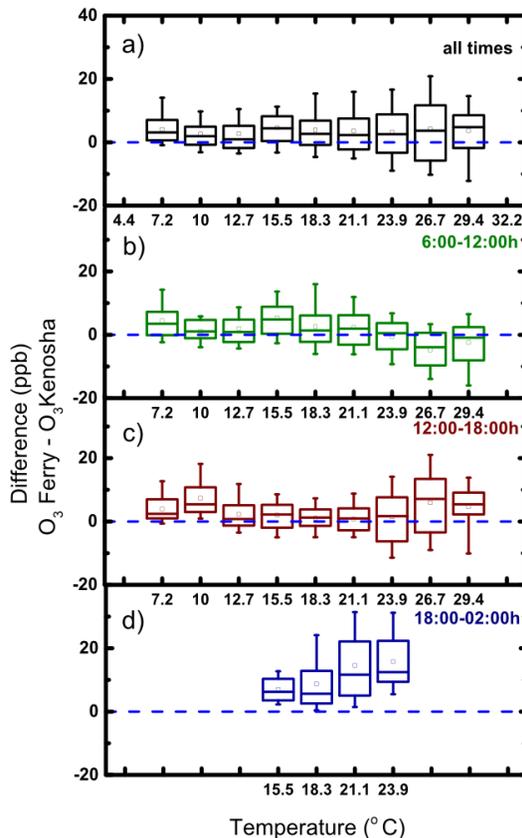
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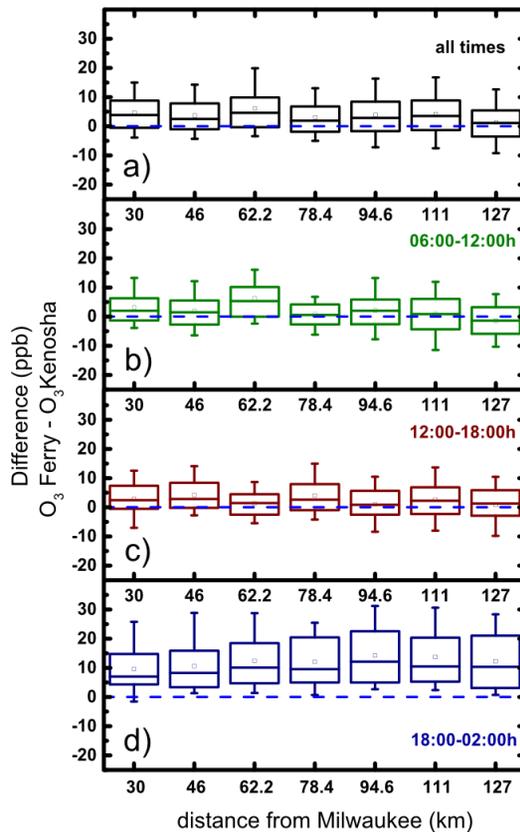
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**Figure 5.** Difference in O<sub>3</sub> observations between platforms with respect to temperature (°C) measured at the shoreline for **(a)** all times, **(b)** morning (06:00–12:00 CDT), **(c)** early afternoon (12:00–16:00 CDT) and **(d)** late afternoon/evening (16:00–02:00h). Box plots show mean (□), median (centerline), 25–75% (box) and 10–90% (whiskers). Each box represents a minimum of 15 points.

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**Figure 6.** Difference in  $O_3$  observations between platforms with respect to position of the ferry as indicated by km from Milwaukee along ferry path at: (a) all times, (b) morning (06:00–12:00 CDT), (c) early afternoon (12:00–16:00 CDT) and (d) late afternoon/evening (16:00–02:00h). Box plots show mean ( $\square$ ), median (centerline), 25–75 % (box) and 10–90 % (whiskers). Each box plot represents a minimum of 12 points.

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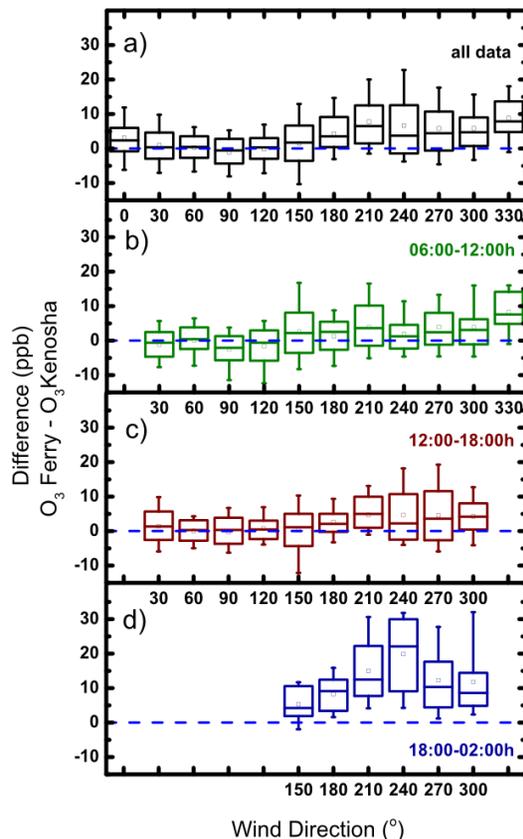
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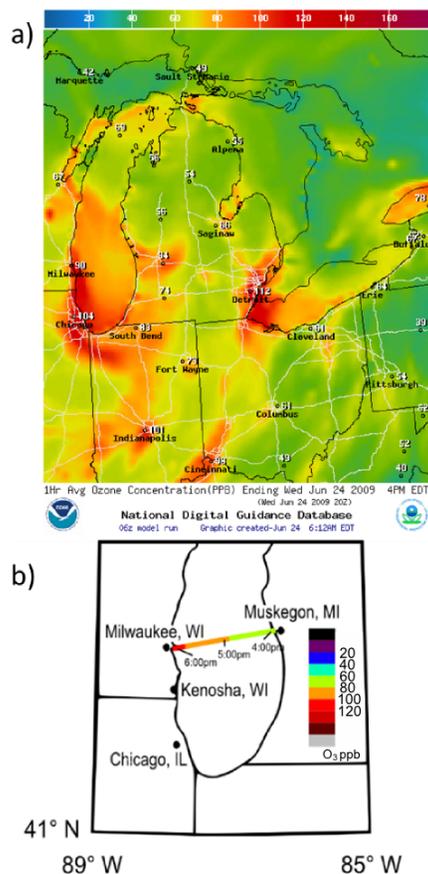


**Figure 7.** Difference in  $O_3$  observations between platforms with respect to wind direction measured at Kenosha harbor for (a) all times, (b) morning (06:00–12:00 CDT), (c) early afternoon (12:00–16:00 CDT) and (d) late afternoon/evening (16:00–02:00h). Box plots show mean ( $\square$ ), median (centerline), 25–75 % (box) and 10–90 % (whiskers). Each box represents a minimum of 15 points.

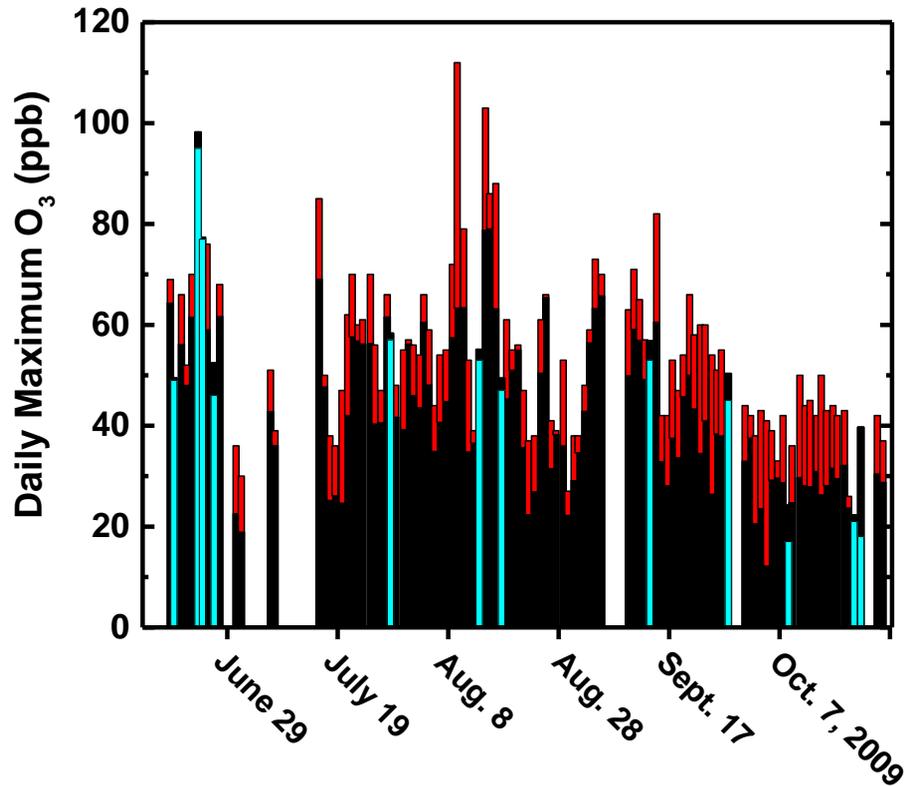
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**Figure 8.** (a) Sample image of National Air Quality Forecast Model (NAQFM) during the campaign period, (b) O<sub>3</sub> measurements for one ferry trip on 24 June 2009 where the ferry was in transit from 3.50 p.m. (CDT) to 6.15 p.m. (CDT).



**Figure 9.** Daily maximum ozone as observed on Lake Express Ferry (black) for 2009 measurement period from 19 June 2009 to 29 October 2009 with NAQFM forecast daily maximum ozone at the matched ferry location. Stacked bars indicate the extent of the over-prediction (red) or under-prediction (blue).

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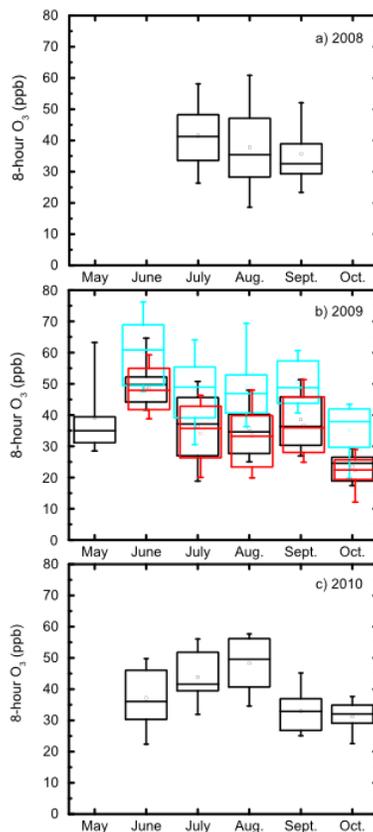
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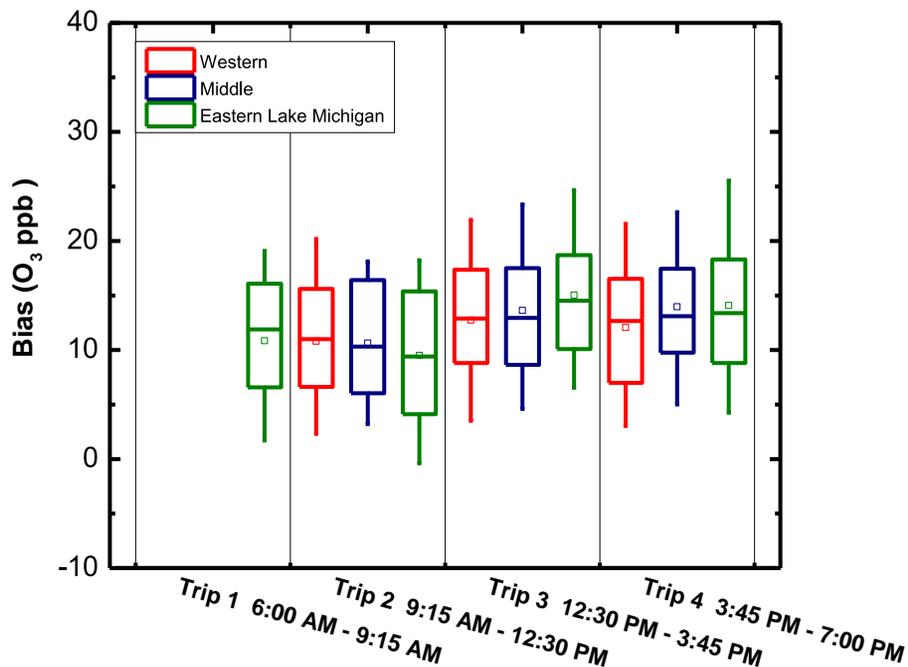
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**Figure 10.** 8 h average ozone concentrations as measured by the ferry (black) between 10:00–18:00 CDT for **(a)** 2008, **(b)** 2009, and **(c)** 2010. For 2009 **(b)**, the shoreline Kenosha harbor (red) and NAQFM forecast (blue) 8 h average ozone concentrations are also given.

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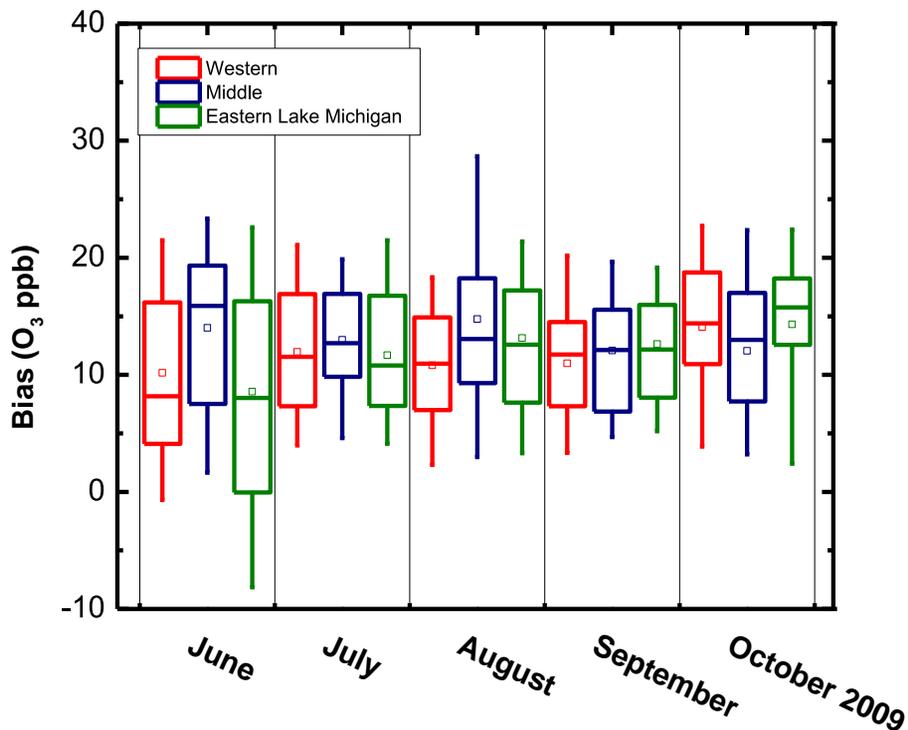
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**Figure 11.** Bias in NAQFM ozone forecast compared to ferry measurement spatially matched to location of the ship with respect to time of day. The bias per trip was evaluated by selecting data only when the ferry trip was occurring in the typical trip time window given. The bias was evaluated for 3 regions of Lake Michigan: western Lake Michigan, ferry longitude  $> 87.3^\circ$  W, middle Lake Michigan, ferry longitude between  $87.3^\circ$  W and  $86.7^\circ$  W, and eastern Lake Michigan, ferry longitude  $< 86.7^\circ$  W. Box plots show mean ( $\square$ ), median (centerline), 25–75 % (box) and 10–90 % (whiskers). Each box plot represents a minimum of 47 data points.

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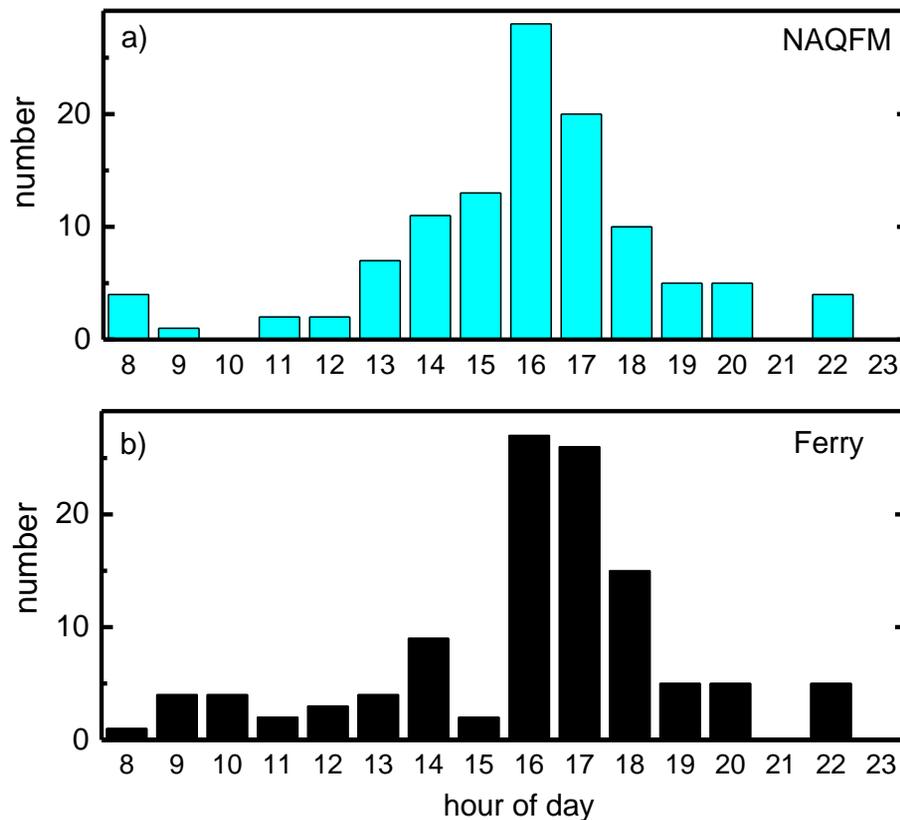
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**Figure 12.** Bias in NAQFM ozone forecast compared to ferry measurement with respect to month. The bias was evaluated for 3 regions of Lake Michigan: western Lake Michigan, ferry longitude > 87.3° W, middle Lake Michigan, ferry longitude between 87.3° W and 86.7° W and eastern Lake Michigan, ferry longitude < 86.7° W. Box plots show mean (□), median (center line), 25–75% (box) and 10–90% (whiskers). Each box plot represents a minimum of 29 data points.

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**Figure 13.** Time of day maximum ozone observation from (a) NAQFM forecast (b) ferry observations.

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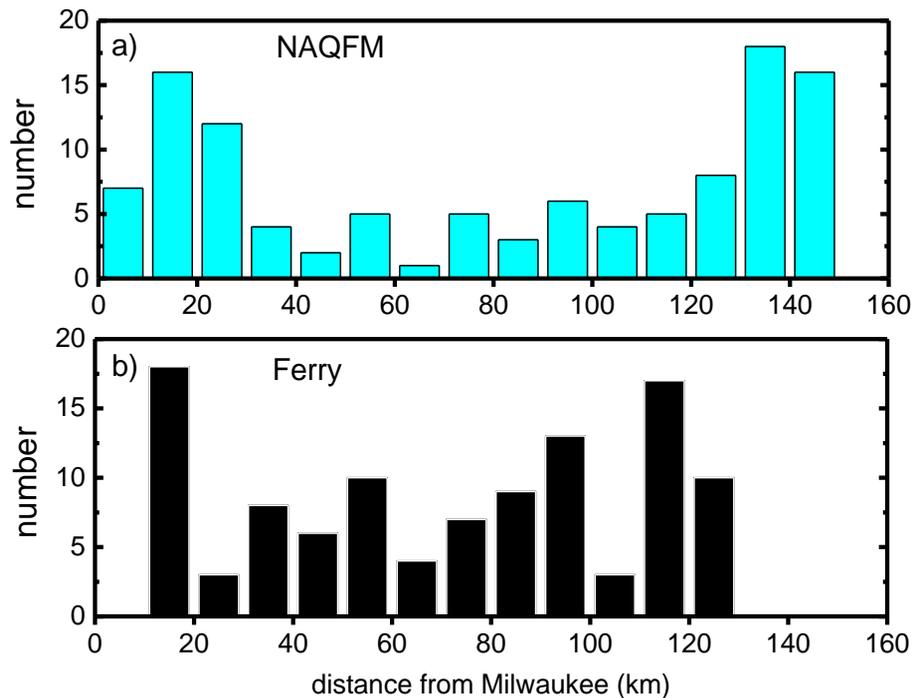
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**Figure 14.** Location of daily maximum ozone from **(a)** NAQFM and **(b)** ferry observations.

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