



Multiday production
of condensing
organic aerosol mass
in urban and forest
outflow

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Multiday production of condensing organic aerosol mass in urban and forest outflow

J. Lee-Taylor¹, A. Hodzic¹, S. Madronich¹, B. Aumont², M. Camredon², and R. Valorso²

¹National Center for Atmospheric Research, P.O. Box 3000, Boulder, CO 80307, USA

²Laboratoire Interuniversitaire des Systèmes Atmosphériques, UMR7583, CNRS, Université Paris Est Créteil et Université Paris Diderot, 94010, Créteil, France

Received: 11 May 2014 – Accepted: 6 June 2014 – Published: 3 July 2014

Correspondence to: J. Lee-Taylor (julial@ucar.edu)

Published by Copernicus Publications on behalf of the European Geosciences Union.

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Abstract

Secondary organic aerosol (SOA) production in air masses containing either anthropogenic or biogenic (terpene-dominated) emissions is investigated using the explicit gas-phase chemical mechanism generator GECKO-A. Simulations show several-fold increases in SOA mass continuing for several days in the urban outflow, even as the initial air parcel is diluted into the regional atmosphere. The SOA mass increase in the forest outflow is more modest ($\sim 50\%$) and of shorter duration (1–2 days). The production in the urban outflow stems from continuing oxidation of gas-phase precursors which persist in equilibrium with the particle phase, and can be attributed to multi-generational reaction products of both aromatics and alkanes. In particular we find large contributions from substituted maleic anhydrides and multi-substituted peroxide-bicyclic alkenes. The results show that the predicted production is a robust feature of our model even under changing atmospheric conditions, and contradict the notion that SOA undergoes little mass production beyond a short initial formation period. The results imply that anthropogenic aerosol precursors could influence the chemical and radiative characteristics of the atmosphere over an extremely wide region, and that SOA measurements near precursor sources may routinely underestimate this influence.

1 Introduction

The contribution of anthropogenic aerosol is one of the greatest current uncertainties in the assessment of climate forcing (e.g. Forster et al., 2007). Organic aerosol (OA) comprises a significant (20–90%) fraction of anthropogenic aerosol (Kanakidou et al., 2005; Jimenez et al., 2009; Zhang et al., 2007). OA consists, to a first approximation, of both primary organic aerosol (POA) directly emitted as particles in evaporative equilibrium with the gas phase (Robinson et al., 2007), and the much more abundant secondary organic aerosol (SOA) produced by condensation of oxidation products of gas-phase VOC (volatile organic compound) precursors (e.g. Kanakidou

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et al., 2005; Jimenez et al., 2009). Related climate uncertainties stem from both the difficulty in characterizing the radiatively-important interactions of OA given its globally non-uniform composition (McFiggans et al., 2006), and from the difficulty in simulating its abundance and distribution (e.g Goldstein and Galbally, 2007; Hallquist et al., 2009).

Radiative impacts of atmospheric aerosols fall into two main categories, aerosol-radiation interactions and aerosol-cloud interactions (e.g. Forster et al., 2007; Boucher et al., 2013). Aerosol-radiation interactions encompass absorption and scattering of solar radiation by aerosol particles (also known as the direct effect) and cloud evaporation due to the consequent atmospheric heating (semi-direct effects). Widely different estimates of direct radiative forcing are produced by differing estimates of global SOA mass burdens (Tsigaridis, 2014; after Myhre et al., 2013; Spracklen et al., 2011). Aerosol-cloud interactions (indirect effects) encompass a range of cloud properties influenced by aerosols acting as cloud condensation nuclei (CCN). The relationship between CCN number and radiative forcing is itself complex and model parameterizations vary substantially (Boucher et al., 2013). Recent studies attribute about one-third of the total uncertainty in modeled CCN concentrations to uncertainties in SOA production (Carslaw et al., 2013), and find that CCN concentrations are sensitive to the relative proportions of POA and SOA (Trivitayanurak and Adams, 2014) and to oxidative ageing (Yu, 2011). These results show the importance of representing sources and life cycle processes that affect the mass and other climate-relevant properties of SOA in as realistic and physically-based a way as possible.

Laboratory-based descriptions of SOA formation and yields have become increasingly complex. Early calculations used precursor-specific 2-product formulations (Odum et al., 1996) which describe smog chamber OA mass yields reasonably well but produce significant underestimates of atmospheric OA in both near-source regions and in the free troposphere (e.g. Volkamer et al., 2006; Heald et al., 2011). The VBS (volatility basis set) framework (Donahue et al., 2006) uses empirical volatility (C^*) distributions to describe multi-species particle-gas mixtures and their chemical transformations (ageing) over laboratory timescales (Grieshop et al., 2009; Robinson et al., 2007). C^*

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is the effective saturation concentration such that $C^* = C_{OA}$, the condensed concentration, when a species is equally partitioned between the gas and particle phases. This concept broadly designates SVOCs (semi-volatile organic compounds), species with significant fractions in both gas and particle phases, and IVOCs (intermediate-volatility organic compounds), gas phase species whose products are likely to condense as SOA (Donahue et al., 2009). VBS formulations have improved SOA estimates in numerous model studies e.g. (Tsimpidi et al., 2010; Lane et al., 2008; Dzepina et al., 2011; Zhang et al., 2013). However the ageing parameterizations are often tuned to match observed OA mass distributions e.g. (Jo et al., 2013), and as such are not generalizable. In efforts to incorporate more chemical complexity and realism to representations of bulk organic aerosol properties and evolution, various two-dimensional schemes have been developed e.g. (Kroll et al., 2011; Donahue et al., 2012; Pankow and Barsanti, 2009; Barsanti et al., 2013) and implemented in regional e.g. (Murphy et al., 2012) and global e.g. (Mahmud and Barsanti, 2013) models. Other model studies have increased the number of OA precursor types represented e.g. (Pye and Pouliot, 2012), or added SOA production in cloud drops e.g. (Lin et al., 2012). These modeling advances have reduced, but not eliminated the gaps between predictions and ambient measurements of SOA. While results vary, SOA in polluted regional observations tend to be underestimated even when near-urban OA observations are reproduced (Mahmud and Barsanti, 2013; Jo et al., 2013), while tropical and/or remote SOA tends to be closer to observations or even overestimated (Lin et al., 2012).

The difficulty in reproducing observed aerosol mass distributions is partly attributable to the mismatch between the timescales accessible to laboratory studies, and the atmospheric lifetimes of OA and its precursor gases. OA lifetimes are generally considered to be of the order of about a week (Boucher et al., 2013) or more (Kristiansen et al., 2012), during which the airborne particles are continually subject to ageing processes. The dynamic nature of gas-particle condensation equilibria (Pankow, 1994b) allows for evaporation–oxidation–re-condensation cycling of OA constituents, altering the chemical composition including the relative proportions of POA and SOA. In addition, the

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continual chemical evolution of the associated gas phase implies product volatility changes on timescales of several days (Kroll and Seinfeld, 2008), opening the possibility of multi-day SOA formation. By contrast, practical considerations typically limit aerosol chamber studies to a few hours, although a few recent studies have achieved effective photochemical timescales of up to 3 days e.g. (Yee et al., 2012; Craven et al., 2012). Field observation of long-term aerosol evolution is also challenging owing to dilution and mixing of outflow plumes with regional air. SOA production in various plumes has been assessed by normalizing OA to ΔCO , the difference between plume and background CO values, e.g. DeCarlo et al. (2010) and references therein. Such observations generally extend to photochemical ages of ~ 1 day (DeCarlo et al., 2010). Ship-borne OA and CO observations in urban plumes with transport-based ages of up to about 4 days have clearly shown SOA production for ~ 2 days, with large data scatter thereafter (de Gouw et al., 2008).

Another problem of scale is inherent in the sheer number of potential chemical reactions and products leading to SOA formation, as compared to the bulk aerosol properties accessible from environmental chamber studies. Explicit modeling of hydrocarbon chemistry involves potentially millions of intermediate species (Aumont et al., 2005). This can be simplified to only a few hundred species when considering ozone production (Szopa et al., 2005), but is far more complicated for SOA production (Camredon et al., 2007; Valorso et al., 2011; Aumont et al., 2013, 2012) which is not dominated by any one species but rather results from condensation of many oxygenated intermediates.

The atmospheric chemical processes leading to the formation of condensable vapors and ultimately to SOA may be simulated explicitly, using structure–activity relationships based on laboratory measurements of individual and fundamental chemical kinetic rates and pathways. We have previously used the explicit model GECKO-A to simulate SOA formation in the urban outflow plume from Mexico City (Lee-Taylor et al., 2011, hereinafter LT11). That study showed OA mass production continuing for several days and yielding several times the regionally-integrated SOA mass that would be

implied from concentrations near the source. In this work, we use sensitivity studies and case studies with both urban and biogenic emissions assemblages to examine whether the modeled OA mass production is a robust feature of our model, and to elucidate the chemical identities of the species responsible.

2 Approach

2.1 The GECKO-A model

GECKO-A (Generator of Explicit Chemistry and Kinetics of Organics in the Atmosphere) is an automatic generator for atmospheric gas-phase chemical mechanisms. It is described in detail by Aumont et al. (2005), with updates by Camredon et al. (2007); Aumont et al. (2008); Valorso et al. (2011), and as described here. The atmospheric oxidation of aliphatic compounds is treated explicitly based directly on laboratory measurements if available, or on structure–activity relationships (SARs) where data are not available. The chemical mechanism for the oxidation of aromatic compounds is taken from the Master Chemical Mechanism, MCM v3.1 (Jenkin et al., 2003; Bloss et al., 2005a) up to the loss of the aromatic structures, and computed from GECKO-A for subsequent chemistry.

In this study we implement GECKO-A in a similar manner to that described by LT11, with the following modifications. We have implemented the SAR of Vereecken and Peeters (2009) for alkoxy decomposition rates as described in Aumont et al. (2013), we modified the SAR for hydrogen abstraction from aldehydes, based on the study by Baker et al. (2004), we added oxy radical production channels for the reactions of R-COO₂ and RO-CH₂O₂ with HO₂ (Orlando and Tyndall, 2012; Hasson et al., 2012), and we updated the branching ratios for isoprene and methacrolein oxidation (Paulot et al., 2009; Galloway et al., 2011).

Aerosol condensation in GECKO-A is based on equilibrium partitioning (Pankow, 1994a) assuming unity activity coefficients, and using published vapor pressure (P_{vap})

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2.3 Meteorological conditions and sensitivity studies

Our box model simulations represent photochemical evolution and aerosol condensation in an air parcel that is advected out of a source region and undergoes chemical processing during several days as part of an outflow plume. We initialize the model in the source region, in an Eulerian configuration with diurnally-varying precursor emissions, boundary layer depth, and meteorological conditions. The spin-up period in the urban scenario is driven with meteorological boundary conditions representative of average conditions in Mexico City in March 2006, as in LT11. For biogenic simulations, spin-up meteorological conditions were based on previous regional modeling studies (Cui et al., 2014). Ambient temperatures and boundary layer behavior were similar between the urban and biogenic cases. The spin-up phase lasts for just over 1.5 days, into the early afternoon of our “day 1”. The model simulation then converts into a Lagrangian or outflow period, beginning at 2 p.m. in the forest case and 3 p.m. in the urban case and continuing for an additional 3 and 7 days in the forest and urban base cases respectively. Emissions cease and the air parcel (model box) maintains a fixed volume and meteorology and is subject to continuing photochemistry and to dilution with background air. Outflow period meteorological conditions are discussed below.

Throughout the model simulations we prescribe a chemically-inert background aerosol, to provide a seed for aerosol condensation. This seed aerosol is intended as a surrogate for regional background aerosol including that produced from local sources and from previous days’ outflow, and contributes to the mass term in the partitioning equation (Pankow, 1994a). Seed aerosol concentration is $2 \mu\text{g m}^{-3}$ in the urban case after Hodzic et al. (2009) (corresponding to 6.22×10^9 molecules cm^{-3} at a molar weight of 200 g mol^{-1}), and $1 \mu\text{g m}^{-3}$ for the forest case. Unlike species generated by GECKO-A, the inert seed stays at a constant concentration in the outflow since outflow and background concentrations are equal, hence its relative contribution to the total aerosol mass increases with dilution.

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For each scenario we perform several sensitivity studies which are initialized with the same Eulerian conditions but diverge at the beginning of the outflow period. Our “base case” simulations continue with a constant temperature of 291 and 288 K in the urban and biogenic scenarios respectively; and a constant e-folding dilution rate k_{dil} of 1 day^{-1} . In the real world, a plume’s dilution rates and air temperatures are likely to be heterogeneous, varying diurnally as well as with changing plume altitude, but such detailed variations are beyond the scope of our box model, and are represented better in three-dimensional transport models. Here we simply explore the sensitivity of our detailed photochemical and gas-particle partitioning model to these meteorological variables. Warmer temperatures should shift the equilibrium towards the gas phase, potentially reducing particle-phase mass (e.g. if aerosol-forming chemical reactions are not temperature sensitive). Our simulation denoted “ $T + 10 \text{ K}$ ” explores the effect on aerosol mass of an outflow temperature increased by 10 K. Plume dilution might also be expected to lead to lower particle mass, since decreasing gas-phase concentrations shift condensation equilibria in favor of evaporation. Simulation “DIL/3” is constrained similarly to the base case, however with the outflow-period dilution rate reduced to 0.3 day^{-1} . Simulation “NODIL” uses no dilution at all. Another variable governing the direction of condensation equilibrium is the existing particle mass itself, assuming that Raoult’s law applies (Pankow, 1994a). Simulation “SEED/2” reduces seed aerosol mass by 50 %, starting from the beginning of the outflow period. Finally, simulation “JRMV” is meteorologically identical to the base case, but uses the JRMV vapor pressure scheme and with the S/IVOC emissions adjusted as described above. This last sensitivity study was only performed for the urban case. Details of our simulations are summarized in Table 1.

3 Results

3.1 Organic aerosol mass production

Figure 2 shows the development of the condensed organic aerosol generated in our set of urban and pine-forest outflow simulations. Lower panels show simulated concentrations and O/C atomic ratios. The spin-up period shows a strong diurnal cycle in response to diel variations in emissions, photolysis, and ventilation. Once the outflow period begins, particle-phase concentrations first peak in response to photochemistry then generally decline in response to dilution. On day 2 (the first full day of outflow), concentrations show an additional photochemistry-induced increase superimposed on the declining baseline, however by day 3 (the second full day of outflow) chemistry-induced concentration changes are barely discernible in either case.

To quantify the regional OA mass increase in the expanding plume, which is more relevant to net direct climate effects than is local concentration, we integrate the aerosol concentrations over the entire outflow region. Following LT11, we defined M_t OA as the organic aerosol mass in a dispersed air parcel with original volume of 1 m^3 , expressed in units of $\mu\text{g initial m}^{-3}$: $M_t\text{OA} = e^{tK_{\text{dil}}}[\text{OA}]_t$

where t is time since the start of the outflow phase. M_t OA does not include the prescribed seed aerosol which stays constant. Contrary to the progressive decreases in downwind aerosol concentrations, M_t OA increases throughout the simulation period, although the two base scenarios show very different production rate characteristics from each other. In our urban case base (Fig. 2a), M_t OA is $\sim 6 \mu\text{g initial m}^{-3}$ at the start of the outflow phase and increases by 5–10 $\mu\text{g initial m}^{-3}$ on each day of outflow. In other words, our simulated urban-outflow OA grows by a factor of 5 (to $28 \mu\text{g initial m}^{-3}$) over 4 days. To assess the limits of this production, we continued the simulation for a further 3 days (not shown). Particle mass increased asymptotically to a maximum of $30 \mu\text{g initial m}^{-3}$. Our forest base case (Fig. 2b) also shows particle mass production, although at a far slower rate. M_t OA begins the outflow phase at $0.8 \mu\text{g m}^{-3}$ and

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9 and 20% relative to the base simulation. These reductions are insufficient to lead to net mass loss in either the urban or the forest case.

Changes in dilution rate give a more complex picture. Eliminating dilution entirely (runs “NODIL”) slightly increases particle mass over the base cases, whereas reducing dilution rates from 1 to 0.3 day^{-1} (runs “DIL/3”) slows mass production towards the end of the urban scenario and leads to losses in the forest scenario. This non-monotonic response results from a combination of more favorable condensation at slower dilution rates (which give higher aerosol mass loadings), slower reaction rates at lower $[\text{OH}]$, and the nonlinear response of SOA yields to $[\text{NO}_x]$ (e.g. Camredon et al., 2007). The forest scenario has noontime $[\text{OH}]$ representative of relatively clean air ($\sim 8 \times 10^6 \text{ molecules cm}^{-3}$) in the two dilution cases, and even higher ($\sim 9 \times 10^6 \text{ molecules cm}^{-3}$) in the NODIL case. $[\text{NO}_x]$ is low in all forest cases, averaging $\sim 0.1\text{--}0.15 \text{ ppbv}$. In the urban scenario base case the high NO_x content of the urban emissions mixture leads to initial suppression of $[\text{OH}]$, followed by daily $[\text{NO}_x]$ reductions and $[\text{OH}]$ increases during the outflow phase. Base case $[\text{OH}]$ does not reach $8 \times 10^6 \text{ molecules cm}^{-3}$ until day 5, at daily mean NO_x levels of $\sim 0.4 \text{ ppbv}$. The OH suppression leads to delayed photochemistry in the base case urban outflow, with secondary aerosol developing later and over a more dispersed region than in the forest scenario. The urban DIL03 simulation ends with higher $[\text{NO}_x]$ (the mean on day 5 is $\sim 0.5 \text{ ppbv}$) and lower $[\text{OH}]$ ($3 \times 10^6 \text{ molecules cm}^{-3}$). The urban NODIL simulation ends with the highest diurnal mean $[\text{NO}_x]$ (0.8 ppbv) and lowest noontime $[\text{OH}]$ ($10^6 \text{ molecules cm}^{-3}$). It is likely coincidental that the nonlinear response of SOA yields to the various combinations of conditions results in similar SOA mass production between the NODIL and base cases in each scenario, despite their differing chemical environments. From the point of view of our sensitivity study however, the general result is that particle phase mass integrated over the plume is only slightly sensitive to rather radical changes in the dilution rate. The mass production is therefore not an artefact of integration over a diluted plume.

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3.2 Particle phase chemical composition and properties

The O : C atomic ratio is one of the most widely-used measures of particle chemical composition and degree of oxidation. O : C ratios for the two base case runs are shown in the lower panels of Fig. 2. O : C rises fairly steadily throughout the urban outflow simulation, from 0.16 at the start of outflow to 0.66 after 5 days and 0.72 after 7 days, indicating a particle phase that becomes progressively more oxidized with time. These values are comparable to measurements from the Mexico City area during MILAGRO (Aiken et al., 2008), although rising somewhat more slowly (see LT11). The forest case O : C ratio is higher, at 0.84 at the start of the outflow period. It then increases only slightly, ending the simulation at 0.90. This shows that the forest case particle phase is already well oxidized at the beginning of the outflow phase and undergoes little further change to its overall oxidative state. These values are somewhat higher than measurements during the BEACHON campaign, which were generally between 0.5 and 0.77, with a mean of 0.64 (Palm et al., 2013). The differences between the O : C ratio profiles in the urban and forest scenarios are consistent with delayed chemistry in the urban case outflow resulting from [OH] suppression, as described in the previous section, and with the relatively faster oxidation rates of the biogenic precursor gases.

The chemical composition of organic aerosol may also be expressed in terms of the average molecular weight per carbon (OM : OC), which includes the mass contributions of substituents such as nitrogen. Published typical OM : OC values are 1.6 ± 0.2 and 2.1 ± 0.2 for urban and nonurban areas respectively (Turpin and Lim, 2001). OM : OC for our modeled urban outflow aerosol rises from 1.41 to 2.21 over 7 days, consistent with a progression from urban to nonurban regimes, while OM : OC in our forest outflow case rises only incrementally, from 2.25 to 2.32, in agreement with the published nonurban values.

Examining the evolution of volatility of the particle phase (Fig. 3) shows that particle composition is dynamic in both the urban and forest cases. The particles progressively lose molecules of higher volatility, and gain molecules with lower volatility. The details

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vary but the net result is that particle phase composition evolves, becoming less volatile with time. This is especially marked in the urban outflow case, where the envelope of the volatility distribution shifts to the left by two orders of magnitude. Also, the shape of the urban case volatility distribution becomes progressively more complex, due to the developing chemical composition of the particle phase (see later discussion).

Figure 4 investigates the molecular composition of the simulated particle phase, in terms of carbon number and extent of functionalization. Particles in the urban case (left hand panels) are initially composed mainly of condensed primary emissions (“POA”, species with no functional groups, shown in grey) and SOA formed after one generation of chemistry (species with 1–2 functional groups, shown in red and orange) (Fig. 4a). Figure 4c shows the particle mass distribution after four days of urban outflow. Losses in the grey region centered on C26 show the evaporation of a significant fraction of the primary particle mass. This loss is balanced by a comparable gain in mono- and di-substituted species (red and orange, respectively) with the same carbon numbers, suggesting that the evaporating species re-partition to the particle phase after one generation of chemistry. A similar but smaller loss is discernible in the red region around C19, showing re-evaporation also of secondary particle mass. These model results are consistent with measurements by Miracolo et al. (2010) who also found gradual conversion of evaporating POA mass to progressively more oxidized SOA, although on a much shorter time scale in a smog chamber. At lower carbon numbers, mass production occurs after multiple generations of chemistry as shown by the production in species with ≥ 3 functional groups (yellow, green or blue). Indeed, mass gains are found at progressively lower C numbers as time progresses and more highly functionalized products become more abundant. In the forest case (right hand panels) the particle phase shows a relatively high degree of functionalization from the start of the outflow period (Fig. 4b), with most of the contributing species having ≥ 4 functional groups. Again, the particle phase adds more highly functionalized material during the outflow period (Fig. 4d) and loses small amounts of less-functionalized material. However, the

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compositional differences between the early- and late-stage particle phases are much less marked than in the urban case.

The long-term particle phase production is much stronger in the urban outflow case than in the forest case, therefore we focus our attention on the urban case with the goal of identifying the compounds that are driving this production. We have already noted that O : C rises throughout the urban outflow simulation. Figure 5 divides the carbon mass in the growing particle phase into fractions based on O : C ratio. The figure shows that the long-term particle mass production is entirely due to more-highly substituted material, with O : C > 0.25. Furthermore, and consistent with Fig. 4, the majority of this production cannot be explained by the sequence of evaporation, oxidation and re-condensation of the less-substituted fractions, since the concurrent declines in POA and in SOA with O : C < 0.25 are comparatively small and obviously insufficient to provide the large gains seen for O : C > 0.25. The production must therefore be due to continual condensation of fresh material from the gas phase.

Figure 6 illustrates the major pathways leading to gas-particle partitioning for the urban case. The black lines represent the carbon mass in each C# bin at the start of the outflow period, with the lower line representing the phase partitioning at that time between particle (below the line) and gas (above the line). The colors of the sub-bars represent the partitioning after 4 outflow days. Brown shows particulate carbon, green shows gas phase carbon, and white shows the net carbon loss from each C# bin during the outflow period. Carbon is conserved in our model (numerical losses are of the order of 0.1 % per model day). The lost fraction in Fig. 6 represents fragmentation which reduces the C# of a molecule, moving carbon to the left and eventually off the figure into species with C# < 4. Some general trends are apparent. For the largest, least volatile molecules (C ≥ 22) virtually all the carbon partitions to the particle phase, either initially or during the outflow period. Thus, further carbon mass production in this C# range is limited to small increments from evaporation–oxidation–re-condensation cycling. The gas phase reservoir is also essentially depleted for the mid-sized molecules (with C# = 10–21). However not all the carbon has partitioned into the particle phase,

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with a substantial portion (up to 60 %) removed by fragmentation. Some initial oxidation is usually necessary for fragmentation to occur. The competition between functionalization and fragmentation shifts in favor of increasing fragmentation for molecules with lower C# for two reasons. First, the branching ratio for CO₂ elimination from peroxy acyl radicals increases with decreasing molecular length (Chacon-Madrid et al., 2010; Arey et al., 2001), and second, longer molecules generally have lower volatility so partition earlier to the particle phase where they are protected from further gas-phase reaction (Aumont et al., 2012). For the smaller molecules (C# = 4–9) fragmentation is the major fate with only a few percent of the carbon in each bin becoming condensed. However, the much greater burden of these precursors in the outflow means that their contribution to outflow SOA is comparable to that from the mid-sized molecules, and allows substantial particle mass production despite the significant losses to fragmentation. Furthermore, a gas phase carbon reservoir persists in this size range allowing the possibility of further particle mass production, if sufficient functionalization can occur.

3.3 Chemical identity of species responsible for the production

The chemical composition of the gas-particle mixture can be explored in detail uniquely with GECKO-A, because it retains the explicit molecular identity of all intermediates and products. Figure 7 shows the time evolution of production rates for different chemical types within the urban outflow particle phase. Production rates fluctuate diurnally in response to photochemistry, showing both a daytime maximum corresponding to the solar-driven cycle in OH and a secondary production peak at sunset originating from nitrate radical chemistry. Mass losses (negative production) also have photochemically-driven diurnal cycles, with aerosol constituents re-volatilizing in response to gas phase removal. The particle phase shows production far exceeding losses for the most abundant individual secondary species and for most groups of similar species.

Figure 7 and Table 2 show that a significant proportion of the production in the urban case is attributable to only a few specific chemical species in our mechanism. Of the 20 most abundant individual species (Table 2), three in particular stand out.

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The fastest-growing single species during daytime is hydroxy-hydroperoxy-maleic anhydride, or “MALANHOOH”. It is a major product of the oxidation of several different precursors including toluene, and its production rate is roughly correlated with the increasing trend in noontime [OH]. The chemical pathway involves unsaturated γ -dicarbonyl fragmentation products which recyclize to yield maleic anhydride and then undergo addition reactions with OH and HO₂. This species accounts for about 7% of the particle phase by the simulation end. The fastest-growing species at nightfall is “MNNCATCOOH”, a post-aromatic 4th-generation oxidation product of toluene. It is a peroxide-bicyclic alkene (hereafter denoted “PBA”) with five functional groups: nitrate, nitro, hydroperoxy, and two hydroxy groups. It arises from a sequence of oxidation reactions of toluene culminating in nitrate addition to nitro-di-hydroxy toluene (nitro-catechol), which breaks the aromaticity of the molecule. Its daytime analog, “MN-CATECOOH”, is the nitro-hydroperoxide tri-ol, and is the second fastest-growing single species during daytime. Together these three species make up 15% of the particle phase by the end of the simulation. They are also among the most abundant aerosol species in the forest case (Table 3) despite the relatively minor abundance of their precursors.

Many of the species in Table 2 arise directly from the aromatic mechanism, taken from the MCM rather than from subsequent chemistry generated by SARs from the GECKO-A code. Only the most favorable reaction channel is represented for each oxidation reaction in MCM, raising the possibility of over-representing the relative abundance of an individual product isomer. To address this, in Fig. 7a we summarize the behavior of products contained in this portion of the mechanism, i.e. those which retain a ring whether aromatic or otherwise. We group these species into classes with similar chemical characteristics and behaviors. Class “(M)MAL” represents the sum of MALANHOOH and the similar methylated species MMALNHOOH, which is the ninth-fastest contributor to particle mass production (Table 2). Class “5f-PBN” contains the five-functional PBA nitrates while class “5 + 4f-PB” represents their daytime analogs and includes a ~ 20% contribution from the four-functional PBAs. (The mechanism contains

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no nitrated four-functional PBAs). These two classes also include a minor contribution (< 10 %) from di-nitro PBAs formed via di-nitro cresols. Together, these three classes (M)MAL, 5f-PBN and 5 + 4f-PBN account for ~ 30 % of the aerosol mass production during the first 4 days of the urban outflow simulation, and ~ 40 % over 7 days. Furthermore, their relative mass contributions start small (< 5 % of aerosol mass) but become progressively greater, reaching ~ 25 % of aerosol mass in 4 days and ~ 30 % in 7 days. Other ring-retaining products play little role. Class “aromatics” represents all species retaining aromaticity, including substituted cresols and catechols, which are formed on day 1 but show small net losses from the particle phase over the first 4 days of outflow (~0.2 μg initial m^{-3}), mainly owing to losses of di-nitro-cresols. The final class in Fig. 7a is “others”, encompassing epoxides, quinones, two- and three-functional PBAs, and substituted maleic anhydrides other than the two already described (see also Table 2). This group shows rapid particle-phase mass gains on day 1 in most types of its constituents, followed by largely compensating losses on subsequent days.

Particle phase production rates of all other species in the mechanism are plotted in Fig. 7b. POA shows daily net losses, while oxidized species show daily net production peaking around solar noon. We divide the oxidized species into four classes based on carbon number (“C > 7” and “C < 8”) and on whether they include a nitrate or PAN moiety (designated as “N”) or not (“noN”). Classes C > 7N and C > 7noN contribute 33 and 17 % respectively to net mass production, while classes C < 8N and C < 8noN contribute 16 and 5 % respectively. Production rates are strong for several days. Nitrated species show the most sustained production, slowing only on days 4 and 5 while the non-nitrated species slow to zero production 1–2 days earlier. The larger molecules (C > 7), are products of oxidation reactions of aliphatic compounds. Of these, C11–C13 species have the most rapid particle-phase production rates. The smaller molecules (C < 8) are products of sequential oxidation and fragmentation reactions of aromatic precursors, with C5 species contributing the most production. In terms of chemical identity, the species in these four classes are highly diverse, usually containing at least three different functional groups. Most C < 8 species with significant production

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contributions contain at least one PAN or carboxylic acid group, resulting from oxidative addition to a double bond. This is not the case for the major $C > 7$ contributors, many of which contain δ -dicarbonyl, δ -hydroxy-hydroperoxy and/or δ -hydroxy-ketone groups resulting from 1,5 hydrogen migration in alkoxy radicals (Orlando et al., 2003). In addition to the daytime production, $C < 8N$ species show sustained nighttime production from nitrate and peroxy chemistry.

The chemical composition of the urban case particle phase is reflected in the shape of its volatility distribution (Fig. 3a). Figure 8 distributes by half-decade in $\log_{10}(C^*)$ the major chemical classes defined above at the end of the urban simulation. Linear and branched species (classes $C > 7$ and $C < 8$) give an approximately lognormal distribution with respect to $\log_{10}(C^*)$. Superimposed on this base are peaks attributable entirely to products of aromatic chemistry. The largest peak, around $\log_{10}(C^*) = -1.5$ is due to the two substituted maleic anhydrides in class (M)MAL. The secondary peak around $\log_{10}(C^*) = -3$ results from classes 5f-PB and 5f-PBN. Class 4f-PB is more volatile, giving a small structure at $\log_{10}(C^*) \approx -0.5$, while the substituted aromatics produce only a tiny bump in the distribution, around $\log_{10}(C^*) = 2$.

The top 10 species in the forest particle phase are listed in Table 3. The biogenic precursors (α - and β -pinene, and to a lesser extent limonene, isoprene, and carene) give rise to a large variety of condensable oxidation products, as shown by the small mass contributions of even the most abundant species. The maximum individual contribution is only 2.4%, and the top 10 species together account for $< 14\%$ of the particle mass. The forest case aerosol is highly diverse, with species having both 4- and 6-member rings as well as ring-opened species and fragmentation products. Every species listed contains at least one hydro-peroxy group, reflecting the HO_2 -dominant chemistry of this case study. Nitrated species account for about one-third of the mass. MALANHY-OOH appears as the 10th most abundant aerosol species despite the comparatively low abundance of its aromatic precursors, because of its high yield. It is not present in sufficient quantity to cause long-term particle mass production, however it may be masking a decline in aerosol mass as terpene-derived products begin to decay.

4 Discussion and conclusions

Our results show that particle mass production in an outflow plume is a robust feature of our model. The production is largely insensitive to reasonable variations in the seed aerosol amount, temperature and dilution rate; rather it appears to be a function of the identity and photo-oxidation pathways of the chemical precursors. In our forest outflow case, high O : C ratios within the plume (Fig. 2) show that the monoterpene precursors are already well oxidized by the time the outflow portion of the simulation begins. While the gas and particle phases continue to be in dynamic equilibrium and the chemical details of their composition evolve over time, there is little change in the total particle mass in the forest case beyond the first few hours of outflow. By contrast, the initial suppression of [OH] in our urban outflow case, combined with the oxidation lifetimes of the urban precursor mix, ensures that the anthropogenic precursor mixture is only partially oxidized. The chemistry matures over several days, allowing the total particle mass to grow by a factor of > 4 outside the source region. The particle mass production results from multigenerational chemistry operating on gas phase precursors that persist in equilibrium with the particle phase even as the outflow plume dilutes into the surrounding region. In an equilibrium model, particle phase production rates necessarily reflect both gas phase production/loss rates and volatility. As species are depleted in the gas phase, our simulations also show their loss from the particle phase (e.g. in the case of the “other” aromatic compounds in Fig. 7). However, in both our urban and forest cases these losses are balanced by fresh condensation of other molecules and/or evaporation–oxidation–re-condensation, so that the particle phase volatility distribution shifts to lower vapor pressures and becomes progressively less vulnerable to re-evaporation.

The particle-phase mass production in our urban simulation is attributable in roughly equal proportion to oxidation products of light aromatic and long-chain *n*-alkane precursors. Dodecane has been shown in laboratory photo-oxidation experiments to produce SOA with fourth and higher generation products under low-NO_x conditions (Yee

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production shown in Fig. 2a would lead to a much larger anthropogenic contribution to the regional - and possibly global - burden of SOA, and their associated RF.

A crude estimate shows that large increases in anthropogenic SOA are plausible when viewed together with long-term anthropogenically-driven increases in tropospheric ozone. Northern Hemisphere (NH) tropospheric ozone has increased from pre-industrial values around 10 ppb (Volz and Kley, 1988) to 30–40 ppb (Oltmans et al., 2013). While their precise precursors and formation/removal pathways differ, both tropospheric O₃ and SOA are byproducts of the NO_x-catalyzed photo-oxidation of hydrocarbons, and are indeed highly correlated in urban observations. Examples of correlation slopes vary from 30 μg m⁻³ ppm⁻¹ in Houston to 160 μg m⁻³ ppm⁻¹ in Mexico City (e.g. Wood et al., 2010), and application of these slopes to the NH O₃ industrial-era increase would correspond to SOA concentration increases of 0.6–3.2 μg m⁻³. A simple extrapolation over the entire NH in a 1 km PBL implies a hemispheric burden of 0.15–0.8 Tg, and (assuming a 10 day lifetime e.g., Kristiansen et al., 2012) an annual production rate of 5–30 Tg year⁻¹. Thus it is evident that regional SOA of urban origin have a large potential to modify RF on much larger scales. Unfortunately the optical properties of these SOA particles remain largely unknown; empirical evidence is mounting for strong absorption in the near UV (Kanakidou et al., 2005; Barnard et al., 2008; Lambe et al., 2013) and possibly visible wavelengths as particles age (Updyke et al., 2012), consistent with the presence of complex chromophores such as conjugated carbonyls formed by particle-phase oligomerization (which is not currently represented in our model). The combined uncertainties from the regional production and optical properties of anthropogenic SOA cast some doubt on their current representation in global models.

We note also that, in contrast to the anthropogenic SOA, biogenic SOA does not seem to show strong multiday regional production. Given that biogenics represent over 90 % of global VOC emissions, even moderate production would have had a large impact on the total SOA budget and would likely yield unrealistically high global SOA concentrations. Anthropogenic VOCs, on the other hand, are shown by our study to

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Table 1. List of sensitivity simulations.

Name	Conditions: urban (biogenic)
Base case	$T = 291$ K (288 K), dilution rate = 1 day^{-1} , seed aerosol = $2 \mu\text{g m}^{-3}$ ($1 \mu\text{g m}^{-3}$), NAN vapor pressures, no dry deposition
$T + 10$ K	Outflow temperature = 301 K (298 K)
DIL/3	Dilution rate in outflow = 0.3 day^{-1}
SEED/2	Seed aerosol = $1 \mu\text{g m}^{-3}$ ($0.5 \mu\text{g m}^{-3}$) during outflow
JRMY	Uses JRMY vapor pressures – urban case only

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Table 2. The top 20 contributors to modeled particle-phase production over the first 4 days¹ of the urban outflow simulation.

Rank	Formula	Unique SMILES ² name	Class ³	Notes/ MCM name ⁴	Precursor	P_{vap} (atm)	Contribution to production		
							Day 1	Day 4	4 days
1	C ₄ H ₆ O ₆	OOC1C(O)C(=O)OC1=O	MMAL	MALANHYOOH	aromatics	6.1 × 10 ⁻¹²	2.2%	23.0%	9.6%
2	C ₇ H ₈ O ₁ 1N ₂	CC12OOC(C1O)[N](=O)=O)C(=C(O)C2(O)OO)[N](=O)=O	5f-PBN	MNNCATECOOH	toluene	1.3 × 10 ⁻¹³	0.9%	15.1%	5.9%
3	C ₇ H ₈ O ₉ N	CC12OOC(C1O)C(=C(O)C2(O)OO)[N](=O)=O	5f-PB	MNCATECOOH	toluene	2.6 × 10 ⁻¹³	0.2%	8.5%	3.1%
4	C ₆ H ₆ O ₆	CC(=O)C(O)C(O)C(O)C(O)=O	C < 8	fragment ⁵	aromatics	2.5 × 10 ⁻¹¹	0.8%	0.2%	1.2%
5	C ₈ H ₁₀ O ₉ N	OOC(C(=O)C(O)C(O)C(=O)OO)[N](=O)=O	C < 8,N	fragment	aromatics	2.7 × 10 ⁻¹²	0.5%	1.3%	1.2%
6	C ₈ H ₁₀ O ₁ 1N ₂	CC12OOC(C)C1O[N](=O)=O)C(O)(OO)C(=C2[N](=O)=O)O	5f-PBN	MXNNCATOOH	<i>m</i> -xylene	1.0 × 10 ⁻¹³	0.4%	1.1%	1.1%
7	C ₆ H ₆ O ₆	CC(=O)C(OO)C(O)C(O)C(O)=O	C < 8	fragment	aromatics	2.5 × 10 ⁻¹¹	0.8%	–	1.1%
8	C ₈ H ₁₀ O ₁ 1N ₂	CC1=C(O)C(O)(OO)C2(OOC1(C)C2O[N](=O)=O)[N](=O)=O	5f-PBN	OXNNCATOOH	<i>o</i> -xylene	9.5 × 10 ⁻¹⁴	0.2%	1.8%	1.1%
9	C ₆ H ₆ O ₆	CC1(OO)OCOC(=O)C1O	MMAL	MMALNHOOH	aromatics	5.9 × 10 ⁻¹²	–	4.1%	1.0%
10	C ₈ H ₁₀ O ₈ N	CC1=CC(O)(OO)C2(OOC1(C)C2O)[N](=O)=O	4f-PB	TM124NOOH	1,2,4 TMB	2.9 × 10 ⁻¹¹	–	2.8%	0.9%
11	C ₇ H ₈ O ₁ 2N ₃	CC12OOC(C=C([N](=O)=O)C1(O)OO)(C2O[N](=O)=O)[N](=O)=O	5f-PBN	NDNCRESOOH	toluene	3.0 × 10 ⁻¹⁴	1.4%	0.9%	0.9%
12	C ₆ H ₆ O ₁ 3N ₃	OOC(C(O)[N](=O)=O)C(=O)OO[N](=O)=O)C(=O)OO[N](=O)=O	C < 8,N	fragment	aromatics	2.2 × 10 ⁻¹¹	1.1%	–	0.9%
13	C ₆ H ₇ O ₈ N	CC(=O)C(O)[N](=O)=O)C(OO)C(O)=O	C < 8,N	fragment	aromatics	1.9 × 10 ⁻¹¹	1.5%	0.2%	0.8%
14	C ₈ H ₁₀ O ₁ 1N ₂	CC1=C(O)C(O)(OO)C2(C)OOC1(C2O[N](=O)=O)[N](=O)=O	5f-PBN	PXNNCATOOH	<i>p</i> -xylene	9.5 × 10 ⁻¹⁴	0.2%	1.1%	0.7%
15	C ₁₁ H ₂₁ O ₂ N	CCC(CCC(O)CC(=O)CCCCO)O[N](=O)=O	C > 7,N	isomers ⁶	undecane	6.8 × 10 ⁻¹³	0.2%	0.9%	0.7%
16	C ₈ H ₁₀ O ₁ 1N ₂	CCC12OOC(C1O)[N](=O)=O)C(=C(O)C2(O)OO)[N](=O)=O	5f-PBN	ENNCATECOOH	<i>e</i> -benzene	3.4 × 10 ⁻¹⁴	–	1.4%	0.6%
17	C ₆ H ₆ O ₁ 2N ₃	CC1=C([N](=O)=O)C2(OOC(C)C2O[N](=O)=O)C1(O)OO)[N](=O)=O	5f-PBN	NDNMXYLOOH	<i>m</i> -xylene	1.9 × 10 ⁻¹⁴	–	0.5%	0.6%
18	C ₆ H ₇ O ₈ N	CC(=O)C(O)C(O)C(O)[N](=O)=O)C(O)=O	C < 8,N	fragment	aromatics	1.3 × 10 ⁻¹¹	0.8%	0.3%	0.5%
19	C ₇ H ₈ O ₉ N	CC12OOC(C1O)C(O)(OO)C(=C2)[N](=O)=O	4f-PB	TL4ONO2OOH	<i>p</i> -xylene	2.2 × 10 ⁻¹¹	–	1.6%	0.5%
20	C ₁₂ H ₂₃ O ₂ N	CCCC(CCC(O)CC(=O)CCCCO)O[N](=O)=O	C > 7,N	isomers	dodecane	2.0 × 10 ⁻¹³	0.1%	0.6%	0.5%
Total ⁷ contribution to production							11.3%	65.4%	32.7%

Notes:

¹ Days as used in this table are 24 h periods beginning at 4 p.m.

² Unique SMILES notation is based on the original definition of Weininger (1988) and referenced online at <http://cactus.nci.nih.gov/translate/>, February 2014.

³ Class names are defined in the text.

⁴ MCM names follow the notation of Jenkin et al. (2003); Bloss et al. (2005b), as referenced online at <http://mcm.leeds.ac.uk/MCM>, February 2014.

⁵ Fragmentation products shown here all have several different aromatic precursors.

⁶ isomer lumping protocol is described by Valorso et al. (2011) and Aumont et al. (2008).

⁷ The remainder consists of species whose individual contributions are not in the top 20.

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Table 3. The top 10 contributors to modeled particle mass at the end of the forest outflow simulation.

Rank	Formula	Unique SMILES ¹ name	Notes	Precursor	ρ_{vap} (atm)	Contribution to mass
1	C ₁₀ H ₁₈ O ₅	CC1(C)C(CCO)C(OO)C1C(=O)CO	6-member ring opened	β -pinene	7.7×10^{-12}	2.4%
2	C ₉ H ₁₂ O ₆	CC(OO)C(O)C(CO)OO	fragment	terpenes	2.7×10^{-12}	2.0%
3	C ₁₀ H ₁₈ O ₆	CC(C)(C(CCO)OO)C(C=O)C(=O)CO	fully opened	β -pinene	1.8×10^{-13}	1.5%
4	C ₁₀ H ₁₇ O ₇ N	C(C)(OO)C1CC(=O)CCC1(CO)O[N](=O)=O	4-member ring opened	β -pinene	5.8×10^{-12}	1.3%
5	C ₉ H ₁₂ O ₆	CC(CO)(OO)C(O)COO	fragment	limonene, isoprene	2.7×10^{-12}	1.2%
6	C ₁₀ H ₁₇ O ₈ N	CC1(C)C2(O)CC(O[N](=O)=O)C(C)(OO)C1(C2)OO	2 rings, 4 substituents	α -, β -pinene	8.3×10^{-14}	1.2%
7	C ₁₀ H ₁₇ O ₈ N	CC(C)(OO)C(CCC(=O)CO)CC(=O)OO[N](=O)=O	fully opened	β -pinene, limonene	5.1×10^{-12}	1.1%
8	C ₁₀ H ₁₇ O ₇ N	CC(C)(C(CCO)O[N](=O)=O)C(G=O)C(=O)CO	fully opened	β -pinene	3.4×10^{-12}	1.1%
9	C ₁₀ H ₁₆ O ₉ N ₂	CC1(C)C2(O)CC1(CC(O[N](=O)=O)C2(C)O[N](=O)=O)OO	2 rings, 4 substituents	α -pinene	1.7×10^{-12}	1.0%
10	C ₄ H ₄ O ₆	OOC1(C)O(C(=O)OC1=O	MALANHYOOH ²	aromatics	6.1×10^{-12}	0.9%
Total contribution to mass						13.6%

Notes: ¹ Unique SMILES notation, see Table 2. ² MCM name, as in Table 2.

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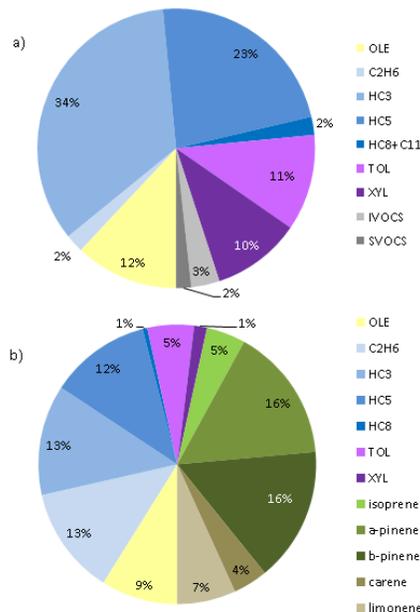


Figure 1. Precursor NMHC mass distributions for the outflow simulation runs. **(a)** Urban case emissions by mass. Total emissions are $2.6 \text{ g m}^{-2} \text{ day}^{-1}$. Species classes correspond loosely to those of the RACM mechanism (Stockwell et al., 1997), and the volatility-based nomenclature of Donahue et al. (2009). “OLE”, olefins; “C2H6”, ethane; “HC3”, propane and similar species; “HC5”, *n*-pentane and similar species; “HC8+C11”, *n*-alkanes with 8 to 11 carbons, and cyclohexane; “TOL”, toluene, benzene, and ethyl benzene; “XYL”, xylenes, trimethyl benzenes, and ethyl toluene; “IVOCS”, *n*-alkanes with 12 to 17 carbons; “SVOCS”, *n*-alkanes with 18 to 30 carbons. Branched alkanes constitute 16% and 66% of the mass in classes “HC3” and “HC5” respectively. **(b)** Forest case precursor inputs. Species classes are as in **(a)**. Inputs shown total $0.23 \text{ g m}^{-2} \text{ day}^{-1}$. Inputs of oxygenated C1–4 species are omitted for clarity, and comprise an additional $0.7 \text{ g m}^{-2} \text{ day}^{-1}$ including $0.2 \text{ g m}^{-2} \text{ day}^{-1}$ from methyl vinyl ketone and methyl butenol combined.

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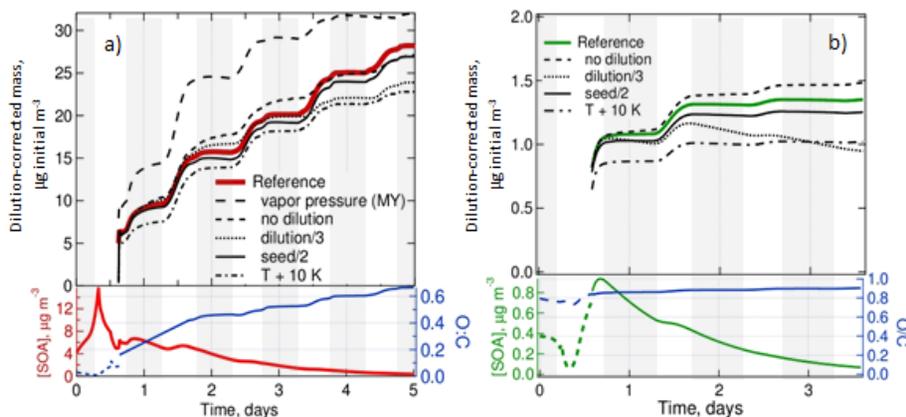


Figure 2. Simulated aerosol development for the **(a)** urban and **(b)** forest cases. Upper panels show plume-integrated mass during the outflow phase, lower panels show concentrations and O:C ratios for the source regions and outflow phases. Grey shading indicates approximate night-time periods.

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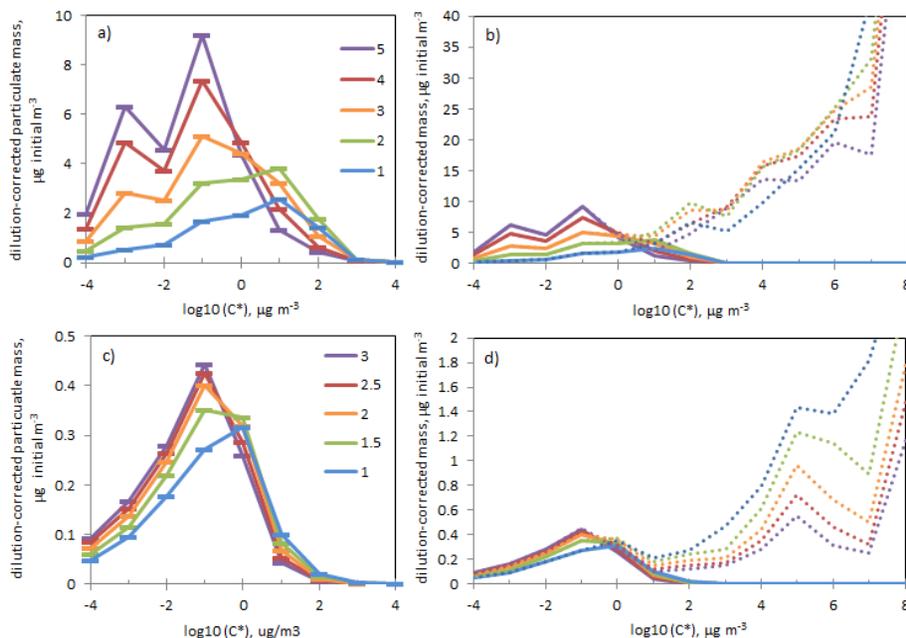


Figure 3. Time evolution of volatility distributions. **(a)** and **(b)** urban case; **(c)** and **(d)** forest case. Solid lines, particle phase; dotted lines, gas phases. Colors represent different times (see key): whole numbers are midnight values (e.g. “1” = midnight between days 1 and 2), and half-day numbers are noon values (e.g. “1.5” = noon on day 2). The volatility continuums have been binned in decadal increments for ease of comparison with so-called Volatility Basis Set (VBS) parameterizations.

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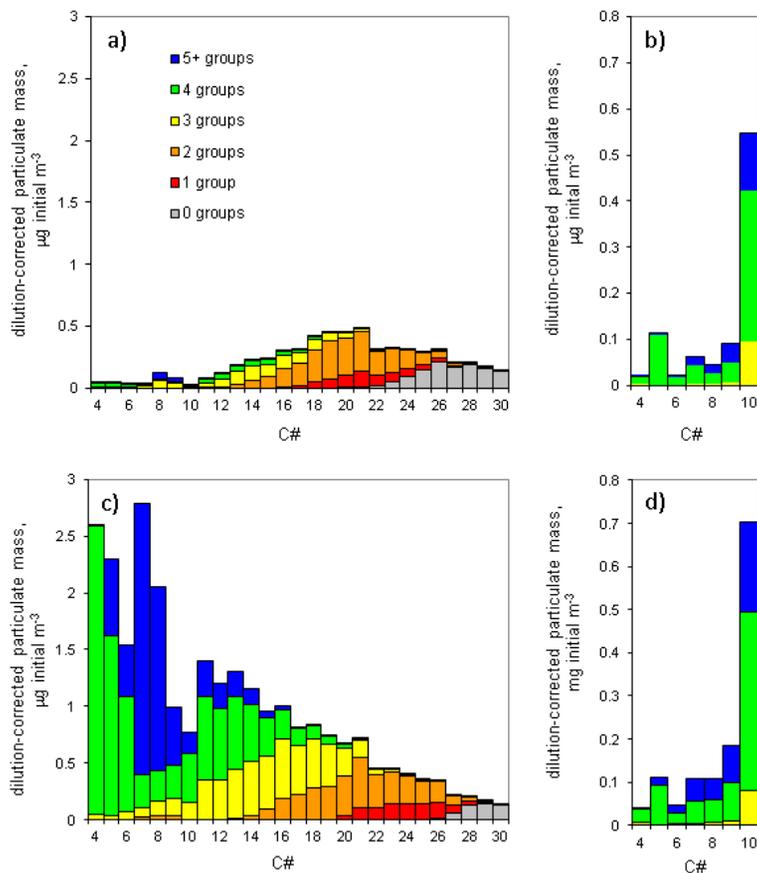


Figure 4. Particle mass composition binned by carbon number and number of functional groups per constituent molecule. **(a)** urban case at start of outflow phase; **(b)** forest case at start of outflow phase; **(c)** urban case after 4 days; **(d)** forest case after 3 days.

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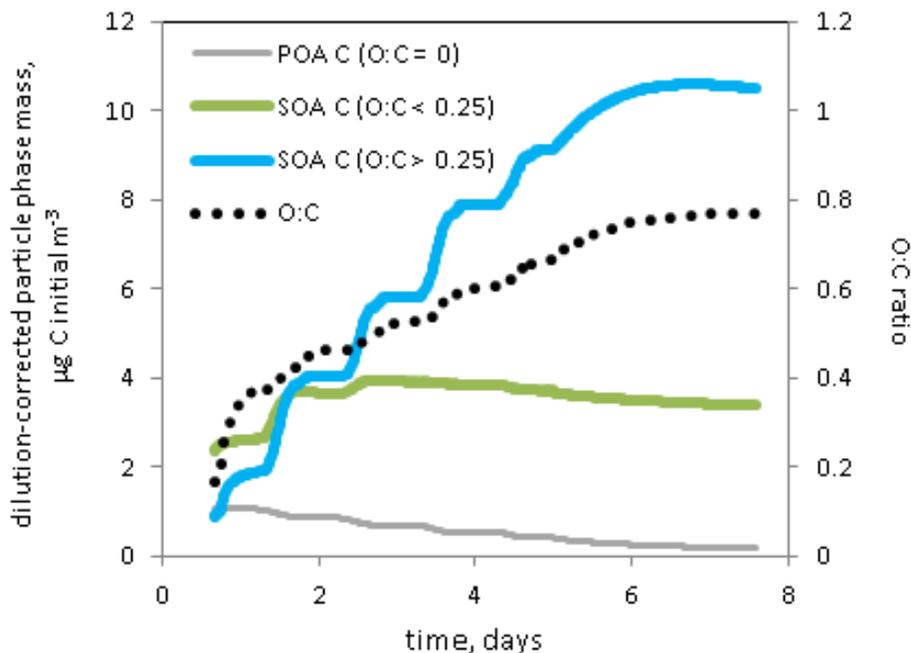


Figure 5. Evolution of the O:C ratio in the particle phase, for the urban case. Left axis and solid lines: plume-integrated carbon mass of particle phase fractions, segregated by O:C ratio. Right axis and black dotted line: O:C ratio of the entire particle phase.

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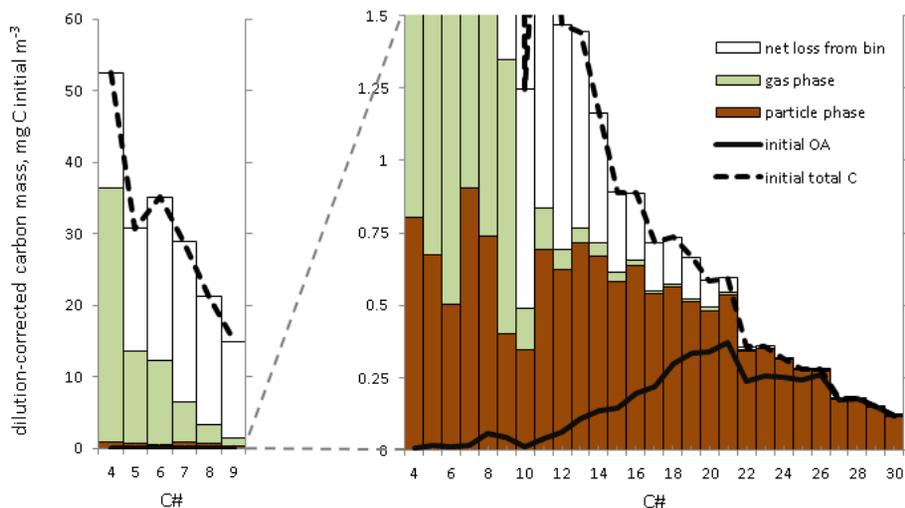


Figure 6. Carbon partitioning budget during the urban outflow simulation. Black lines show the gas (dashed line) and particle (solid line) phases at the start of outflow. Stacked bars show partitioning after 4 days: brown, particle phase; light green, persisting gas phase; white, net loss to fragmentation. Carbon numbers 4 to 9 are plotted twice, on different scales, to allow the details of the partitioning to be seen more clearly.

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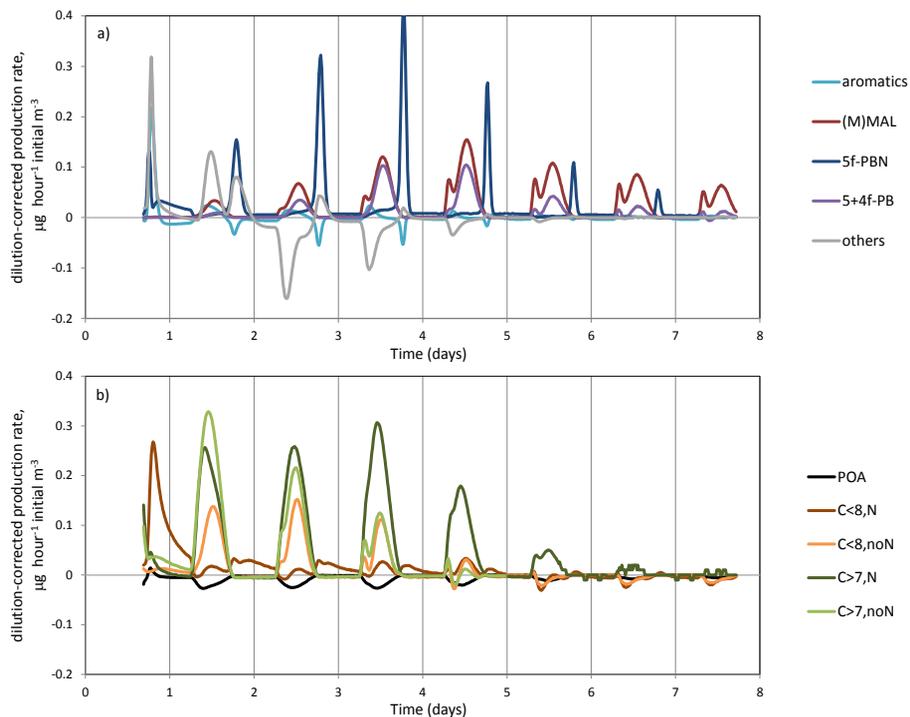


Figure 7. Hourly production rates of all species in the urban case particle phase, aggregated by broad chemical characteristics. **(a)** Cyclic products of aromatic precursors; **(b)** all other species. Colors show species groupings. See text for details.

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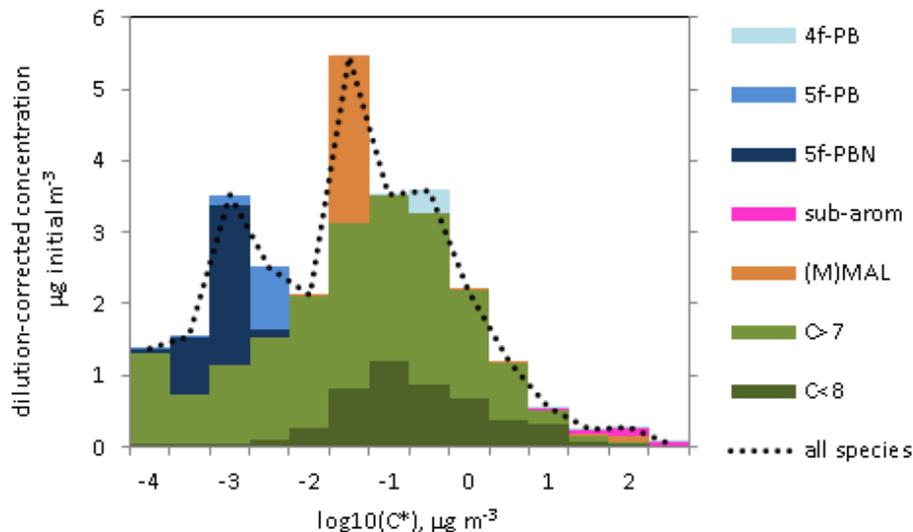


Figure 8. Chemical composition of the particle phase at the end of day 5 of the urban outflow simulation, distributed by volatility. Colors show species groupings as discussed in the text: “ $C < 8$ ” and “ $C > 7$ ”, linear/branched molecules separated by carbon number (no distinction for nitrate is made here); “(M)MAL”, substituted maleic anhydrides; “sub-arom”, substituted rings that retain aromaticity; “5f-PBN”, PBAs with 5 functional groups including nitrate; “5f-PB”, as 5f-PBN without nitrate; “4f-PB”, PBAs with 4 functional groups. Dotted line shows total particle phase mass. The leftmost bin also includes the mass from species with $\log_{10}(C^*) < -4$.

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