

**Emission sources  
contributing to  
tropospheric ozone**

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# Emission sources contributing to tropospheric ozone over equatorial Africa during the summer monsoon

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## Abstract

A global chemistry-climate model LMDz-INCA is used to investigate the contribution of African and Asian emissions to tropospheric ozone over central and West Africa during the summer monsoon. The model results show that ozone in this region is most sensitive to lightning  $\text{NO}_x$  and to central African biomass burning emissions. However, other emission categories also contribute significantly to regional ozone. The maximum ozone changes due to lightning  $\text{NO}_x$  occur in the upper troposphere between 400 hPa and 200 hPa over West Africa and downwind over the Atlantic Ocean. Biomass burning emissions mainly influence ozone in the lower and middle troposphere over central Africa, and downwind due to westward transport. Biogenic emissions of volatile organic compounds, which can be uplifted from the lower troposphere into higher altitudes by the deep convection that occurs over West Africa during the monsoon season, dominate the ozone changes in the upper troposphere and lower stratosphere region. Convective uplift of soil  $\text{NO}_x$  emissions over the Sahel region also makes a significant contribution to ozone in the upper troposphere. Concerning African anthropogenic emissions, they make a lower contribution to ozone compared to the other emission categories. The model results indicate that most ozone changes due to African emissions occur downwind, especially over the Atlantic Ocean, far from the emission regions. The influence of Asian emissions should also be taken into account in studies of the ozone budget over Africa since they make a considerable contribution to ozone concentrations above 150 hPa. Using IPCC AR5 (Intergovernmental Panel on Climate Change; Fifth Assessment Report) estimates of anthropogenic emissions for 2030 over Africa and Asia, the model calculations suggest largest changes in ozone due to the growth of emissions over Asia than over Africa over the next 20 years.

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## 1 Introduction

Tropospheric ozone ( $O_3$ ) plays an important role in the global climate and chemical composition of the atmosphere. Indeed, the greenhouse forcing of tropospheric ozone affects the radiation budget of the atmosphere. Moreover, ozone is an important source of hydroxyl (OH) radicals which determine to a large extent the removal and atmospheric lifetime of many trace gases. Ozone production in the troposphere is driven by transport from the  $O_3$ -rich stratosphere and by photochemical oxidation of carbon monoxide (CO), methane ( $CH_4$ ) and volatile organic compounds (VOCs) in the presence of nitrogen oxides ( $NO_x = NO + NO_2$ ). It is removed by chemical reactions and dry deposition.

Africa is an important source region for  $O_3$  precursors (Marufu et al., 2000; Jaeglé et al., 2004, 2005; Sauvage et al., 2005, 2007a; Stewart et al., 2008). It emits a large amount of biomass burning (BB) emissions (CO,  $NO_x$ , VOCs) associated with savanna and forest fires, which take place during dry (December to February, DJF) and monsoon (June to August, JJA) periods over West and central Africa respectively, as well as with agricultural waste and domestic biofuel combustion (Crutzen and Andreae, 1990; Sauvage et al., 2005; Jonquières et al., 1998). Furthermore, vegetation and soils are also considered to be important sources of  $O_3$  precursors over Africa (Aghedo et al., 2007; Jaeglé et al., 2004). Forests and savanna near African equatorial regions release large amounts of VOCs (Murphy et al., 2010; Ferreira et al., 2010), particularly isoprene, terpenes and methanol which are the most dominant VOC emissions from vegetation. Important amounts of nitric oxide (NO) are emitted by soils after rainfall events over the Sahelian region during the summer monsoon (Stewart et al., 2008). Analysis of satellite  $NO_2$  data by Jaeglé et al. (2005) showed enhancements in  $NO_2$  columns over this region during the monsoon season also attributed to rain-induced emissions of  $NO_x$  from soils. Using surface  $NO_2$  data collected over Banizoumbou (Niger) between 1998 and 2004, Galy-Lacaux et al. (2009) also showed increased  $NO_2$  concentrations during the monsoon season. African cities along the southern coast

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and industrialized countries (e.g. Nigeria) release important amounts of anthropogenic emissions (Hopkins et al., 2009), related to carbonization and oil/gas exploration, which can influence the regional O<sub>3</sub> (Minga et al., 2010).

Dynamical processes strongly influence ozone and precursor distributions in the African troposphere. During the Northern Hemisphere summer monsoon season, West Africa is marked by intense convective activity that occurs around the Inter Tropical Convergence Zone (ITCZ) around 10° N. The resulting deep mesoscale convective systems (MCSs) lead to vertical transport of air masses, more or less influenced by local emissions, into the upper troposphere (UT) where chemical species have longer lifetimes and can be redistributed globally by prevailing westerward winds. Using aircraft measurements performed in the framework of the African Monsoon Multidisciplinary Analyses (AMMA) project, Law et al. (2010) showed clear signatures of convective uplift of CO and aerosols into the tropical tropopause layer (TTL). Results from Bechara et al. (2010) also pointed to convection as an explanation for observed enhancements of VOCs in the UT. Moreover, Sauvage et al. (2007b, c) and Barret et al. (2010) demonstrated that convection leads to production of important amounts of NO<sub>x</sub> from lightning in the UT which can then lead to O<sub>3</sub> production downwind.

During the summer monsoon, BB emissions occur mostly south of the Equator over central Africa. Nevertheless, cross-hemispheric transport of these emissions into West Africa has been shown to occur particularly during phases when the southern branch of the African Easterly Jet (AEJ) is active (Mari et al., 2008). This allows transport of BB pollutants towards the southern coast of West Africa (Williams et al., 2010b; Reeves et al., 2010). BB pollution can also be transported northwards into active convective regions over Chad/Sudan where they can be uplifted into the UT and transported westwards. Evidence for this pathway was found in analysis of aircraft data collected in the UT over West Africa (Real et al., 2010; Law et al., 2010). Recent studies have also suggested an important role for import of Asian emissions on the chemical composition of the African UT (Barret et al., 2008; Law et al., 2010) during boreal summer. As shown for example by Park et al. (2009), convection associated with the Indian

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monsoon leads to injection of Asian trace gases into the UT. The Tropical Easterly Jet (TEJ) at 200 hPa that extends into the Atlantic Ocean (Janicot et al., 2008) allows rapid westward transport of Asian pollution to West Africa.

In this study, we examine the influence of different emissions on the distribution of tropospheric  $O_3$  over West and central Africa as well as downwind over the central Atlantic Ocean during the summer monsoon in 2006. For this purpose, we use the three-dimensional global chemical model LMDz-INCA. Previous global modeling studies attempted to investigate the sensitivity of African tropospheric  $O_3$  to different emission sources at different times of year. For example, Marufu et al. (2000) calculated an annual average contribution of 16 % from global BB emissions to tropospheric  $O_3$  over Africa for the year 1993. In a more recent study, Aghedo et al. (2007) examined the sensitivity of surface  $O_3$  and tropospheric  $O_3$  burden over Africa to different emissions. BB provided the largest impact on surface  $O_3$  concentrations during the monsoon season in their study. They also concluded that, in all seasons, African and global tropospheric  $O_3$  burdens are more sensitive to biogenic emissions than to BB, lightning  $NO_x$  (LiNO<sub>x</sub> hereafter) and anthropogenic emissions. Recently, Barret et al. (2010) focused on the impact of LiNO<sub>x</sub> emissions on  $O_3$  in the UT over West Africa during the monsoon season. Based on results from several global chemical models, they showed an important impact of LiNO<sub>x</sub> on  $O_3$  occurring over the tropical Atlantic in agreement with Sauvage et al. (2007b). Simulated  $O_3$  decreases exceeded 10 ppbv in the UT in runs when LiNO<sub>x</sub> emissions were switched off.

Most previous studies on the effect of African emissions were conducted by switching off emissions one at a time. However, when turning off an emission source it is difficult to estimate its contribution to  $O_3$  due to non-linear effects (Wu et al., 2009). Here, we estimate the influence of 20 % reductions in different African emissions on  $O_3$  within equatorial Africa. We also investigate  $O_3$  changes due to a 20 % reduction in Asian emissions and to changes in African and Asian anthropogenic emissions in the future using emission estimates for 2030.

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A brief description of the global model LMDz-INCA is given in Sect. 2. Section 3 presents the model experiments and data used in this study. An evaluation of model performance against in-situ data from the AMMA and MOZAIC (Measurement of OZone and water vapour by Airbus In-service aircraft) programs as well as satellite measurements is presented in Sect. 4. The results of the sensitivity studies to different emission reductions over equatorial Africa are discussed in Sect. 5. In Sect. 6, we examine the possible impact of future emissions on West African chemical composition using anthropogenic emissions estimates for 2030 over Africa and Asia. Conclusions are given in Sect. 7.

## 2 Model description

The global chemistry-climate model LMDz-INCA couples the general circulation model LMDz (Laboratoire de Météorologie Dynamique, zoom) version 4 and the version 3 of the INCA (Interactive Chemistry and Aerosols) chemistry module (Hauglustaine et al., 2004; Folberth et al., 2006; Szopa et al., 2007). The model simulations were performed with horizontal resolution of  $3.75^\circ$  in longitude and  $2.5^\circ$  in latitude ( $96 \times 72$  grid cells) and 19 hybrid ( $\sigma$ ,  $p$ ) vertical levels extending from the surface to 3 hPa. In LMDz, large-scale advection of tracers is described according to a second order finite volume scheme (Van Leer, 1977; Hourdin and Armengaud, 1999). The surface boundary layer is treated according to Louis (1979). Both Tiedtke (1989) and Kerry Emanuel (1991, 1993) schemes were used for the parameterization of deep convection. The Emanuel scheme was chosen for the baseline simulation and the Tiedtke scheme was used in a sensitivity run (see Sect. 3.2). Hourdin et al. (2006) provides a detailed description of the parameterizations in the dynamical part of the model.

The chemistry module INCA simulates tropospheric chemistry, wet scavenging and dry deposition of a number of chemical species (Folberth et al., 2006). It includes a comprehensive chemistry scheme including more than 80 species and 250 chemical reactions. When coupled to LMDz, the model can be used to calculate the distribution

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of tropospheric ozone and precursors considering emissions, transport, photochemical reactions, deposition and scavenging. The standard INCA chemical scheme is based on the  $\text{CH}_4\text{-NO}_x\text{-CO-O}_3$  photochemistry representative of the background chemistry of the troposphere (Hauglustaine et al., 2004). For the present study we have used the recent INCA\_NMHC version (Folberth et al., 2006) which includes, in addition to the standard chemical scheme, an oxidation scheme for volatile organic compounds (VOCs) (e.g. ethane, propane, isoprene, terpenes) and their products. Dry deposition of chemical species (e.g.  $\text{O}_3$ ,  $\text{HNO}_3$ ,  $\text{HNO}_4$ , CO, HCHO) at the surface is based on the resistance-in-series approach (Wesely, 1989; Walmsley and Wesely, 1996; Wesely and Hicks, 2000). Wet scavenging of soluble species (e.g.  $\text{HNO}_3$ ,  $\text{H}_2\text{O}_2$ , HCHO,  $\text{HNO}_4$ ) is parameterized with a first-order loss process as proposed by Giorgi and Chameides (1985). Folberth et al. (2006) provide a more detailed description of dry deposition and wet scavenging parameterizations in LMDz\_INCA.

Concerning surface emissions, the RETRO (<http://retro.enes.org>) anthropogenic emissions for the year 2000 were used. Monthly average biomass burning emissions for 2006 were taken from the GFEDv2 (Global Fire Emissions Database, version 2) inventory (Van der Werf et al., 2006). However, over Africa, recent biomass burning and anthropogenic emissions estimates developed in the framework of the AMMA project (Liousse et al., 2008, 2010) were used (here after referred to as L3JRC inventory). NO emissions from lightning, fixed to a total global amount of  $5 \text{ Tg N yr}^{-1}$ , are calculated interactively in LMDz\_INCA on the basis of the occurrence of convection and cloud top heights (Jourdain and Hauglustaine, 2001). Biogenic emissions of isoprene, terpenes, methanol, acetone and NO were prepared using the recent inventory derived from the dynamical vegetation model ORCHIDEE (Lathièrè et al., 2006). Other biogenic emissions (e.g. oceanic emissions) and other VOC emissions were taken from the GEIA (Global Emissions Inventory Activity) database (<http://www.geiacenter.org>).

### 3 Evaluation data and model simulations

This section describes the satellite and in-situ data used in this study as well as LMDz\_INCA simulations. Data from the satellite instruments MOPITT (Measurement Of Pollution In The Troposphere) and SCIAMACHY (Scanning Imaging Absorption spectrometer for Atmospheric CHartographyY), and aircraft measurements from the AMMA and MOZAIC programs are presented in the first section. Model simulations and sensitivity studies are then defined in the second section.

#### 3.1 Satellite and in-situ data

##### 3.1.1 MOPITT and SCIAMACHY observations

The MOPITT instrument is flying on board the NASA Terra satellite and has been operational since March 2000 (Drummond and Mand, 1996; Deeter et al., 2004). It measures upwelling radiation in the thermal infrared spectral range using gas-filter correlation radiometry. At nadir view, MOPITT offers a horizontal resolution of  $22 \times 22 \text{ km}^2$  and allows a global coverage in two to three days. MOPITT data used in this study corresponds to the daytime CO total columns observed during August 2006, and the Level 2 Version 3 product (Emmons et al., 2007, 2009).

SCIAMACHY was launched in March 2002 on board the ENVISAT satellite and allows global measurements of a number of trace gases in the troposphere and stratosphere with  $30 \times 60 \text{ km}^2$  resolution (Bovensmann et al., 1999). We use the  $\text{NO}_2$  tropospheric columns for August 2006 based on the DOAS (Differential Optical Absorption Spectroscopy) retrieval algorithm (Richter et al., 2005).

In order to compare LMDz\_INCA results to MOPITT and SCIAMACHY observations, the modelled CO and  $\text{NO}_2$  columns in each grid cell were calculated at the local overpass time of each instrument (10 h 30 and 10 h, respectively). This calculation is essential knowing the important diurnal variation of  $\text{NO}_2$  concentrations in the troposphere. Moreover, for a proper comparison with MOPITT, the model CO total columns were

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calculated by applying the MOPITT Averaging Kernels. Details about the calculation and method are given in Rodgers and Connor (2003) and Deeter et al. (2004).

### 3.1.2 AMMA measurements

The in-situ measurements performed during AMMA and considered in this study were made aboard five research aircraft: the M55 Geophysica and DLR Falcon (DF20) were based in Ouagadougou (Burkina Faso); the French Falcon (FF20), ATR42, and UK FAAM (Facility for Airborne Atmospheric Measurements) BAe-146 were based in Niamey (Niger). The measurements presented here were made during the special observation period in July and August 2006. Chemical measurements of ozone and precursors (e.g. CO, NO<sub>x</sub>, HCHO, isoprene etc.) and also aerosols were made over West Africa in the boundary layer and up to the lower stratosphere allowing a comprehensive characterization of the chemical composition of the troposphere during the monsoon season. A detailed description of the field campaign and aircraft payloads is given in Reeves et al. (2010). In order to compare LMDz-INCA simulations to the AMMA measurements, the model results were interpolated along flight tracks and compared to 1 min averaged observations.

### 3.1.3 MOZAIC observations

Daily in-situ measurements of CO and O<sub>3</sub> were collected in the framework of MOZAIC (Marengo et al., 1998) using commercial aircraft in August 2006. Figure 1 shows aircraft flight routes between Windhoek (22.5° S–17.5° E, Namibia) and Frankfurt (50° N–8.6° E, Germany) and London (51.15° N–0.19° W, UK). In order to have sufficient data for statistical comparisons we selected data recorded at flight altitudes above 250 hPa. At cruise altitudes, the MOZAIC data are interesting insofar as they were measured over the biomass burning regions of central Africa and over West Africa. These data provide complementary information about the distribution of CO and O<sub>3</sub> in the upper troposphere. The same interpolation method used for the LMDz-INCA versus AMMA

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data comparison (interpolation to a 1 mn averaged observation times and locations) was also performed.

### 3.2 Model simulations

LMDz\_INCA was used to perform different simulations for 2006 in order to investigate the impact of emissions on tropospheric O<sub>3</sub> over equatorial Africa. The simulations (see Table 1) consisted of a control simulation (KE\_AMMA) and a set of sensitivity experiments. For a better comparison with satellite and in-situ data, the model was nudged with wind fields from the ECMWF (European Centre for Medium-Range Weather Forecasts) analyses for 2006. The control run KE\_AMMA was performed using the Kerry Emanuel (KE) convection scheme. A one year spin-up was conducted from January to December 2005 before the 2006 run.

The sensitivity experiments were carried out from May to September 2006 using restart files from the KE\_AMMA simulation and were compared with this simulation in order to examine the sensitivity of tropospheric O<sub>3</sub> over equatorial Africa to reductions in different emissions. In the LiNO<sub>x</sub>\_red, SNO<sub>x</sub>\_red, BIO\_red, ANTH\_red and BB\_red experiments, respectively, the lightning NO<sub>x</sub>, soil NO<sub>x</sub>, biogenic VOCs, anthropogenic and biomass burning emissions were reduced by 20 % over Africa between 35° S and 20° N (Fig. 1). The “20 %” perturbation is designed to account for nonlinear O<sub>3</sub> chemistry. In fact, setting an emission source to zero may affect the lifetime of other species in the troposphere. For example, Wu et al. (2009) showed that the perturbation in O<sub>3</sub> responses from 100 % NO<sub>x</sub> emission reduction was greater than 5 times 20 % reductions. In the ASIA\_red test, the “20 %” perturbation concerns all the emission categories over Asia (70° E–120° E, 10° S–40° N). The results of these sensitivity tests are discussed in Sect. 5. In order to evaluate the sensitivity of model results to convection, the Tiedtke (1989) scheme was also used in run TI\_AMMA and convective transport of trace species was completely switched off in run Conv\_off.

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## 4 Model performance

A first evaluation of LMDz\_INCA results in the framework of AMMA was performed by Williams et al. (2010a) and Barret et al. (2010) as part of a multi-model intercomparison exercise. Results from the KE\_AMMA simulation were compared to results from the chemistry transport models MOCAGE (Teyssèdre et al., 2007), TM4 (Dentener et al., 2003) and p-TOMCAT (Yang et al., 2005). Using passive CO tracers, Williams et al. (2010a) showed that differences in model transport parameterizations resulted in different distributions of tracers over Africa. Moreover, Barret et al. (2010) showed important differences between the models concerning the distribution of CO, O<sub>3</sub> and NO<sub>x</sub> in the UT which were explained by differences in convective parameterizations. These results are discussed further later in this section.

This section presents an evaluation of LMDz\_INCA performance during summer 2006 through comparison with in-situ data from the AMMA and MOZAIC aircraft measurements and satellite observations from MOPITT and SCIAMACHY. Results from the KE\_AMMA control run and relevant sensitivity simulations are discussed.

### 4.1 Comparison with AMMA data

Figure 2 shows a comparison of model results interpolated along flight tracks against CO measurements during the AMMA campaign. This figure gives an indication about the latitudinal distribution of CO over West Africa during July and August 2006. Maximum CO concentrations (up to 480 ppbv) were measured in the middle troposphere (MT) at 500–750 hPa near the Gulf of Guinea (4° S–8° N) as discussed by Reeves et al. (2010). Enhanced O<sub>3</sub> concentrations (up to 135 ppbv) were also measured in this region and at the same altitudes as shown in Williams et al. (2010a). Similar O<sub>3</sub> increases in the MT were found in O<sub>3</sub> soundings performed over Cotonou, Benin (6.2° N, 2.2° E) during the AMMA campaign (Thouret et al., 2009) and, previously, in the MOZAIC measurements over Lagos (6.6° N, 3.3° E) as discussed in Sauvage et al. (2005). These enhanced concentrations of CO and O<sub>3</sub> have been attributed to direct transport of BB

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plumes in the MT from central Africa. Andrés-Hernández et al. (2009) showed that air masses sampled over Gulf of Guinea in the MT are aged and, therefore, could be transported from other regions such as central Africa. Moreover, using back trajectories of air masses sampled over Cotonou below 4 km, Ancellet et al. (2009) showed such layers originating from central Africa in data collected by the FF20.

Enhanced CO concentrations ( $\sim 200$  ppbv) were also measured in the UT (200–300 hPa) over West Africa and Gulf of Guinea by AMMA aircraft. Based on analysis of DLR measurements, Huntrieser et al. (2011) showed that convective uplift of local emissions over West Africa has a significant influence on the UT chemical composition and thus contributes to observed CO increases. As demonstrated by Barret et al. (2008), the westward transport of Asian pollution by the TEJ may also impact the composition of the African UT. Another contribution to enhanced CO may come from BB emissions over central Africa which can be redistributed to the UT. In fact, occasional plumes were measured over Gulf of Guinea at 100–150 hPa and attributed to uplift of central African BB emissions into the UT (Real et al., 2010). In the next section we show that indeed the chemical composition of the UT over West Africa is not only influenced by convective transport of local emissions but also by Asian emissions and central African BB emissions as discussed in a recent analysis of M55 data collected in the TTL (Law et al., 2010). The CO increases seen in the UT around 200 hPa are reasonably well captured by the KE\_AMMA model simulation but slightly overestimated over the Gulf of Guinea. Comparison with MOZAIC data (see Sect. 4.2) also shows that the modelled CO in the UT is slightly overestimated over this region. The BB\_red simulation (Fig. 2c) shows reduced CO concentrations in the UT as well as in the MT and LT compared to KE\_AMMA. This indicates that, in the model, the central African BB emissions influence not only the MT but also the LT and UT over the Gulf of Guinea in agreement with findings in Real et al. (2010).

Williams et al. (2010b) also demonstrated, using the model TM4, that the influence of BB emissions from central Africa extends into West Africa and that largest impact occurs over the southern coast of West Africa and the Gulf of Guinea below 500 hPa. In

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the LT, the KE\_AMMA model results exhibit high CO concentrations south of 10° N but observed concentrations over the Gulf of Guinea are overestimated. The CO enhancements seen in the MT around 5° N are however underestimated by the model. Williams et al. (2010a) showed that LMDz\_INCA, as well as other global models, simulate a maximum in CO and O<sub>3</sub> in the MT around 0–5° S, i.e. further south over Gulf of Guinea compared to the observations. Using an inert tracer defined over central Africa, they demonstrated more transport to the Atlantic Ocean in the MT not extending far enough northward over the Equator in the models. In the case of LMDz\_INCA, the simulated horizontal wind fields in the MT over the Gulf of Guinea (not shown) are much more zonal compared to ECMWF analyses. Moreover, Williams et al. (2010b) showed no direct transport of air in the MT from central Africa to the Gulf of Guinea when ECMWF meteorological analyses were used to drive the TM4 model. They also demonstrated that using ECMWF analyses, which assimilated additional radiosonde data taken during the AMMA campaign, improved this direct transport of air to West Africa in the MT. Therefore, the weak northward transport of central African air in ECMWF meteorological analyses can partly explain the discrepancy between LMDz\_INCA and the observations in the MT. Model results are also sensitive to treatments of injection of BB emissions (e.g. Labonne et al., 2007; Williams et al., 2010b). In fact, when African BB emissions are injected up to 3 km, LMDz\_INCA results (not shown) showed CO increases up to 30 ppbv in the MT over the Atlantic Ocean indicating an increase in westward export of these emissions out of central Africa. Williams et al. (2010b) also showed increases in CO concentrations over the Atlantic Ocean when BB emissions are injected from the surface to 4 km in TM4. Therefore, model performance could be improved through inclusion of more realistic schemes for BB emissions (e.g. Freitas et al., 2007; Rio et al., 2010) and using the ECMWF analyses which assimilate the AMMA soundings.

In order to evaluate the influence of convection on the chemical composition of the troposphere over West Africa, aircraft CO, O<sub>3</sub> and NO data from the DLR, FF20 and M55 observations were separated into air masses recently (last 3–4 days) impacted

by convection (CONV) and those less impacted by recent local convection (NOCONV). The FF20 data were separated according to flight type based on the analysis of Ancellet et al. (2009) which examined each flight in terms of proximity to MCSs. The approach used to distinguish the two categories for the M55 data was based on analysis of ECMWF back-trajectories from the flights and METEOSAT cloud images (see Law et al., 2010, for details). Coincidences between back-trajectories and convective cloud tops (identified when cloud top radiance temperatures were below 200 K) were used to identify whether the sampled air masses were recently in contact with an MCS or region of convective uplift. Law et al. (2010) estimated the percentage of air masses encountering an MCS and showed that at 200 hPa large regions over Africa were constantly influenced by recent local convection during the campaign period in August 2006. The approach used for the DLR data was also based on analysis of METEOSAT cloud images and Lagrangian backward trajectories calculated using the LAGRANTO model (Wernli and Davies, 1997) during transport up to 10 h before each flight. For air mass ages of less than 10 h, satellite imagery was used to examine the time since passage of recent MCS relative to the DLR aircraft position to identify air masses in the CONV category. These approaches serve to give an indication about which flights were more or less influenced by convection. Further detailed analysis based, for example on meso-scale model results can provide more detailed information. In the case of the M55 flights, Fierli et al. (2011) followed such an approach. Whilst they showed larger convective impact in terms of percentage contributions, their results were broadly consistent with the analysis of Law et al. (2010) using the methodology outlined above.

Figure 3 shows the observed and modelled CO, NO<sub>x</sub> and O<sub>3</sub> for convective and non-convective profiles. CO exhibits more variability in the UT between 200–300 hPa in the CONV case with maximum values of ~200 ppbv, while the maximum is ~150 ppbv in the NOCONV case. Interestingly, CONV profiles also show minimum CO concentrations measured in the UT with values of ~50 ppbv around 200 hPa. As shown in Fig. 2, CO data exhibit a latitudinal gradient in the LT with high values measured over the southern forests and anthropogenic emissions along the Gulf of Guinea coast (see

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also Saunois et al., 2009). The convective uplift of such CO-rich air masses can influence higher altitudes as discussed previously. On the other hand, uplift of CO-poor air can decrease CO in detrainment regions, particularly in the northern part of the region. This may explain lower CO concentrations measured in the UT in the CONV case. Furthermore, Huntrieser et al. (2011) showed higher CO, measured by the DLR aircraft, in the outflow of MCS observed over southern forests than over the Sahel region.

The corresponding modelled CO profiles show little difference between the CONV and NOCONV cases. The model simulates convection every day over large parts of the region and, moreover, due to its low horizontal resolution, the model is not able to represent single convective events of the dimension of an MCS. However, differences between the KE\_AMMA and TI\_AMMA simulations provide an indication of model sensitivity to different convection schemes. KE\_AMMA is in better agreement with the observations in the UT and exhibits higher CO concentrations than TI\_AMMA. On the other hand, TI\_AMMA shows higher CO values in the MT and LT. The Emanuel scheme transports more CO to the UT indicating deeper convection. However, this scheme leads to lower CO in the MT due to downdrafts of CO-poor air masses from the UT. This is also confirmed using inert CO-like tracer simulations (not shown) defined over West Africa, where higher tracer concentrations were simulated in the UT using KE\_AMMA and in the MT using TI\_AMMA. In agreement with these results, Hourdin et al. (2010) also demonstrated, using an idealized tracer defined over Africa in LMDz, that the tracer is injected higher by the Emanuel scheme than by Tiedtke. Furthermore, Barret et al. (2010) also showed that convective uplift into the UT is weaker in models based on the Tiedtke scheme.

The NO measurements show increased values (up to 1700 pptv) in the CONV profiles around 200–350 hPa, while lowest values (<800 pptv) are observed in the NO-CONV case. Law et al. (2010) and Barret et al. (2010), in an analysis of M55 and DLR NO data, respectively, also showed clear signatures of NO increases in the UT in convectively influenced airmasses, attributed to production of NO from lightning and uplift of air masses impacted by NO<sub>x</sub> emissions from the LT. Stewart et al. (2008) and Delon

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et al. (2008) showed  $\text{NO}_x$  concentrations exceeding 1 ppbv measured by the BAe\_146 in the LT around  $15^\circ$  N. Such enhancements were attributed to  $\text{NO}_x$  emissions from recently wetted soils. Uplift of such emissions may also contribute to the observed NO increases in the CONV case. Furthermore, Huntrieser et al. (2011) showed that  $\text{NO}_x$  measured by the DLR in MCS outflow is a mixture of LiNO<sub>x</sub> and  $\text{NO}_x$  transported upward from the LT.

The modelled profiles underestimate the maximum of NO in the UT, particularly in the CONV case, although KE\_AMMA has higher NO (150–200 pptv) compared to TI\_AMMA (50–100 pptv). This difference between the two simulations is due to higher cloud top heights in the Emanuel scheme leading to higher lightning  $\text{NO}_x$  production in KE\_AMMA. It could also be due to more intense uplift of  $\text{NO}_x$  emissions from the LT in this simulation. Barret et al. (2010) showed that all the global models participating in that study underestimated NO in the UT but LMDz\_INCA and p\_TOMCAT were most able to reproduce elevated NO concentrations. They also showed that the lightning  $\text{NO}_x$  parameterization of Price and Rind (1992), based on convective cloud top height, used in most models including LMDz\_INCA, tends to underestimate the flash activity over central Africa in comparison to satellite lightning observations. Tost et al. (2007), using different convection schemes and lightning parameterizations in their model, also showed that when using certain convective schemes (e.g. Tiedtke, 1989), the parameterization of Price and Rind (1992) fails to reproduce the maximum of lightning activity that occurs over West Africa due to the low number of simulated convective events over this region. They also concluded that large uncertainties still remain in parameterizations of lightning  $\text{NO}_x$  production and even if a scaling factor is used in most parameterizations to reproduce the globally observed flash frequency, additional tuning of the parameters in convective and lightning schemes is needed. Based on analysis of LiNO<sub>x</sub> observations from different campaigns including AMMA, Huntrieser et al. (2011) provided different recommendations in order to improve current LiNO<sub>x</sub> schemes and discussed some of the parameters that are crucial for LiNO<sub>x</sub> estimates. Weak lightning  $\text{NO}_x$  production over Africa in LMDz\_INCA contributes therefore to the discrepancy with

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the observations. In order to improve model results, lightning  $\text{NO}_x$  production was increased by 50 % (+0.58 Tg N) over Africa in sensitivity test XLiNO<sub>x</sub>. This simulation gives better results and shows up to 70 pptv NO increases in the UT in comparison to KE\_AMMA. Whilst peak concentrations are still lower than mean observed values, these results demonstrate the considerable sensitivity of global model results to LiNO<sub>x</sub> treatments.

Concerning O<sub>3</sub>, there are fewer differences between CONV and NOCONV compared to observed CO and NO. Indeed, as shown in the next section, O<sub>3</sub> perturbations are larger downwind over the Atlantic Ocean than over West Africa. In both cases, observed O<sub>3</sub> profiles show increasing concentrations from the LT to around 300–400 hPa where a maximum reaching 80 ppbv was observed. These increases may be a result of either subsidence of O<sub>3</sub>-rich air masses from the UT to the lower levels due to convective downdrafts, lightning production of NO<sub>x</sub> or uplift of NO<sub>x</sub> emissions from the LT leading to ozone production. Lower concentrations (30–60 ppbv) were measured in the region of convective outflow (~200 hPa) as a combined effect of deep convection uplift of O<sub>3</sub>-poor air masses from the forested regions near the Gulf of Guinea (Saunois et al., 2009; Huntrieser et al., 2011), latitudinal redistribution of O<sub>3</sub> precursors by the UT branches of the Hadley cells (see next section) and import of air masses from the upwind regions such as Asia (Law et al., 2010). The model profiles agree reasonably well with the observed vertical distribution. As in the case of CO, modelled O<sub>3</sub> shows little difference between CONV and NOCONV. Results from KE\_AMMA show higher O<sub>3</sub> concentrations (+ ~5 ppbv) in the MT and UT, in particular in the CONV case, compared to TI\_AMMA. This is due to higher LiNO<sub>x</sub> and to stronger downdrafts of O<sub>3</sub>-rich air masses from the UT in KE\_AMMA. Increased lightning NO<sub>x</sub> production in XLiNO<sub>x</sub> leads to increased O<sub>3</sub> (~2 ppbv) in the MT and UT.

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## 4.2 Comparison with MOZAIC data

A preliminary evaluation of LMDz\_INCA against MOZAIC data was performed by Barret et al. (2010) where results from the KE\_AMMA simulation were discussed. Barret et al. (2010) concluded that model performance is sensitive to biomass burning emission inventories and differences in convection and chemical schemes may explain differences between the models. This section further evaluates LMDz\_INCA against MOZAIC data. Figure 4 shows CO and O<sub>3</sub> measurements from MOZAIC over Africa at 250 hPa during August 2006 compared to model output for the control simulation (KE\_AMMA) and various sensitivity experiments (discussed below). Enhanced CO concentrations were measured south of the Equator with a maximum of ~160 ppbv observed at 8° S. KE\_AMMA captures the latitudinal gradient of CO but, in common with other models used in Barret et al. (2010), overestimates the measured maximum. The simulated maximum is higher by about 50 ppbv and is reproduced further north around 3° S compared to observations. As shown by Barret et al. (2010), deep convection and meridional transport within the upper branch of the Hadley cell play a key role in the UT CO distribution and can explain the observed enhancements. Switching off the convective transport in the Conv\_off simulation leads to a significant decrease of CO in the UT confirming that the modelled CO distribution in the African UT is highly influenced by convective uplift of local sources. Analysis of meridional wind speed and vertical velocities from ECMWF and KE\_AMMA in the UT (not shown) shows strongest ascending winds in the model over West Africa and north of 5° S over central Africa. This could explain the northward shift of the simulated CO maximum in the UT compared to the observations. Moreover, Barret et al. (2010) showed that detrainment occurs over a larger meridional region in KE\_AMMA simulation compared to other models. TI\_AMMA results (not shown) are in better agreement with MOZAIC CO due to weaker uplift of CO into the UT, as discussed in the previous section. The overestimation of UT CO in KE\_AMMA could therefore be due to a strong intensity of convective updrafts and detrainment in the Emanuel scheme leading to rapid uplift of CO from the LT and

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redistribution to a broad region. However, comparison with vertical profile data (see Sect. 4.1), collected mainly over West Africa, suggests that the Emanuel scheme performs reasonably well. Reducing the African BB emissions by 20 % (i.e. -31 Tg CO in BB\_red) during the JJA period also leads to better agreement with MOZAIC CO data.

5 Therefore, the overestimation of UT CO in KE\_AMMA could be due to an overestimation of African BB emissions in the L3JRC inventory.

Low O<sub>3</sub> concentrations (less than 50 ppbv) were observed by MOZAIC over the convective region between 0 and 15° N. The highest values occur north and south of this region as a result of O<sub>3</sub> formation in the upper level branches of the Hadley circulation as already reported in previous studies (Sauvage et al., 2007c; Barret et al., 2010). The latitudinal distribution of O<sub>3</sub> observed by MOZAIC is fairly well reproduced by the model simulations although the shape of O<sub>3</sub> transect south of 5° N is not reproduced correctly. KE\_AMMA underestimates O<sub>3</sub> by up to 20 ppbv south of the Equator at around 10° S. Barret et al. (2010) showed that other models (except MOCAGE) also failed to capture the observed maximum and KE\_AMMA also underestimates O<sub>3</sub> relative to MLS observations at around 10° S. This underestimation of O<sub>3</sub> in LMDz\_INCA is more pronounced in the Conv\_off simulation with lower O<sub>3</sub> (~5 ppbv) compared to KE\_AMMA. The results from run BB\_red show only small O<sub>3</sub> changes in the UT relative to KE\_AMMA (1 ppbv decreases south of the Equator). These results indicate weak sensitivity of UT O<sub>3</sub> to BB emissions in LMDz\_INCA, despite the significant convective uplift of these emissions. Therefore, the discrepancy between KE\_AMMA and MOZAIC O<sub>3</sub> data could be due to underestimation of O<sub>3</sub> production from uplift of BB and anthropogenic emissions from central Africa. Increasing by 50 % the lightning NO<sub>x</sub> production over Africa in the XLiNOx simulation during the JJA period leads to higher O<sub>3</sub> concentrations (up to 6 ppbv) south of the Equator. This indicates that lightning NO<sub>x</sub>, mainly produced north of the Equator during the monsoon season, contributes to UT O<sub>3</sub> over central Africa. This is confirmed by the LiNOx\_red results (see Sect. 5.1) which also show changes (up to 1.4 ppbv) in UT O<sub>3</sub> over central Africa due to lightning NO<sub>x</sub>. As discussed in Sect. 5.1, southward advection of NO<sub>x</sub>-rich air masses by the southern branch of the

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Hadley cell can explain enhanced UT O<sub>3</sub> over central Africa. Therefore, enhanced LiNO<sub>x</sub> emissions (as in run XLiNO<sub>x</sub>) can improve the agreement with MOZAIC O<sub>3</sub> data over central Africa due to downwind O<sub>3</sub> production. Nevertheless, upward transport of BB and anthropogenic emissions from central or southern West Africa followed by photochemical production is also important.

### 4.3 Comparison with satellite data

To evaluate the spatial distribution of O<sub>3</sub> precursors, Fig. 5 shows average total columns of CO and tropospheric columns of NO<sub>2</sub> observed during August 2006 compared to model results from the KE\_AMMA and BB\_red simulations. Strong CO enhancements are observed over the BB region in central Africa and over the outflow regions in the Atlantic Ocean and Gulf of Guinea. Whilst the spatial distribution is reasonably well reproduced, the total CO columns are overestimated over central Africa and downwind as far as the Brazilian coast in KE\_AMMA (+50 %). Analysis of seasonal variability of CO total columns over central Africa (not shown) showed that the simulated values are also overestimated in June and July. CO columns in BB\_red show lower values (~ -15 %) over central Africa compared to KE\_AMMA. Therefore, the discrepancy in KE\_AMMA appears to be due to an overestimation of CO from African BB emissions in the L3JRC inventory as already discussed in the previous sections. In another model simulation based on the GFED inventory (not shown) total CO was too low confirming that central African BB emissions are underestimated in this inventory. Therefore, the CO BB emissions over Africa during the monsoon season probably lie between the GFEDv2 and L3JRC inventories, as already suggested by Barret et al. (2010). Another possible reason for the discrepancy in KE\_AMMA is the uncertainty in MOPITT retrievals which are less sensitive to CO concentrations at the lowest altitudes (Emmons et al., 2007, 2009). Emmons et al. (2009) found that CO columns larger than  $4 \times 10^{18} \text{ mol cm}^{-2}$  are not considered in the MOPITT V3 product used in this study. This may lead therefore to a negative bias in MOPITT measurements over regions such as central Africa. The modelled NO<sub>2</sub> tropospheric columns agree reasonably

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well with SCIAMACHY measurements although the simulated maximum over central Africa is overestimated. This discrepancy may be attributed to an overestimation of BB emissions in L3JRC or to the uncertainties in satellite measurements of NO<sub>2</sub> (van Noije et al., 2006). The model captures the enhanced NO<sub>2</sub> observed over the anthropogenic emissions sources in southern Africa but underestimates the observed maximum. Westward export from central Africa is more northerly in both NO<sub>2</sub> and CO satellite data compared to the model, as already shown for the in-situ data. This could explain therefore the low NO<sub>2</sub> values simulated over the Gulf of Guinea.

In summary, this comparison against observations shows that the model performs reasonably well over West Africa in terms of ability to model the spatial and vertical distribution of O<sub>3</sub> and its precursors. However, improved results are obtained in runs with higher lightning NO<sub>x</sub> and lower BB emissions. This point is taken into account in the following analysis of the emissions contributing to O<sub>3</sub> distributions over West Africa and downwind.

## 5 Influence of African and Asian emissions

In this section, we investigate the contribution of African emissions to O<sub>3</sub> distributions over equatorial Africa during the monsoon season. The impact of downwind transport of different emissions on O<sub>3</sub> is also discussed. Model runs starting in May 2006 were performed with a small perturbation (−20%) to the following emission categories over Africa (see Fig. 1): lightning NO<sub>x</sub>, soil NO<sub>x</sub>, biogenic VOCs, biomass burning, or anthropogenic emissions (see Table 1). In the case of LiNO<sub>x</sub>, we also comment on the results obtained from the XLiNO<sub>x</sub> run when LiNO<sub>x</sub> emissions were increased by 50% in the model since these results agree better with the observations. In the following sections, the difference (ΔO<sub>3</sub>) in the simulated O<sub>3</sub> concentrations during JJA between the control experiment and sensitivity tests when various emissions were reduced are examined. This analysis allows identification of regions where O<sub>3</sub> is influenced by different emissions. In order to quantify the sensitivity of reducing different emissions on

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O<sub>3</sub>, the calculated  $\Delta O_3$  were also normalized by dividing by the unit change in NO<sub>x</sub> ( $\Delta Tg N$ ) and carbon ( $\Delta Tg C$ ) emissions (see Sect. 5.7).

## 5.1 Influence of lightning NO<sub>x</sub>

Figure 6 depicts the impact of reducing LiNO<sub>x</sub> by 20 % over Africa (LiNO<sub>x</sub>\_red) on O<sub>3</sub> concentrations. The main influence on O<sub>3</sub> can be seen in the MT and UT where O<sub>3</sub> concentrations change by 1 to 2 ppbv and 2 to 3 ppbv, respectively. O<sub>3</sub> changes occur principally over the convective regions of West Africa and downwind over the tropical Atlantic and central Africa. Results from other models, discussed in Barret et al. (2010), showed similar regions impacted by LiNO<sub>x</sub> during August 2006. In an analysis of modelled total O<sub>3</sub> columns, Sauvage et al. (2007b) showed a large influence of LiNO<sub>x</sub> on O<sub>3</sub> over the central Atlantic during JJA 2000 in their model. Differences exist however in the maximum influence which occurred between 10° S and 10° N in Sauvage et al. (2007b), but is found north of 10° N in LMDz.INCA. This was also the case in p-TOMCAT, as shown in Barret et al. (2010), although other models participating in that study showed lower O<sub>3</sub> enhancement over this region and maximum changes between 0 and 10° N. This large spatial influence of LiNO<sub>x</sub> on O<sub>3</sub> can be explained by the advection of NO<sub>x</sub>-rich air masses from Africa downwind to other regions by the AEJ in the MT, and the TEJ in the UT followed by photochemical O<sub>3</sub> production. The large-scale Hadley circulation also redistributes UT air masses north and south (as discussed in Sect. 4.2). The XLiNO<sub>x</sub> results (not shown) show that increasing the LiNO<sub>x</sub> by 50 % in the model leads to O<sub>3</sub> changes of up to 6 ppbv in the UT compared to KE\_AMMA. Excluding all the LiNO<sub>x</sub> emissions over Africa in LMDz.INCA leads to O<sub>3</sub> changes of up to 20 ppbv in the UT (Barret et al., 2010). Maximum O<sub>3</sub> changes in other models (MOCAGE and TM4) were lower (10 ppbv and 15 ppbv, respectively) indicating a higher influence of LiNO<sub>x</sub> on O<sub>3</sub> in LMDz.INCA. Overall, the results show that removing the LiNO<sub>x</sub> (100 % perturbation) leads to O<sub>3</sub> changes higher than 2× the changes due to a 50 % perturbation (XLiNO<sub>x</sub>) and even higher than 5× the changes due to a 20 %

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perturbation (LiNO<sub>x</sub>\_red). This suggests that the response of O<sub>3</sub> changes to LiNO<sub>x</sub> perturbations in LMDz\_INCA shows a strong nonlinearity.

## 5.2 Influence of soil NO<sub>x</sub>

The following section shows results from the SNO<sub>x</sub>\_red run (Fig. 7) where soil NO<sub>x</sub> emissions were reduced by 20 % over Africa. In this case, significant O<sub>3</sub> changes occur in the LT over the high emissions region of the Sahel (up to 1.5 ppbv). O<sub>3</sub> sensitivity to soil NO<sub>x</sub> emissions is also found in the UT where changes occur over West Africa and downwind over the Gulf of Guinea (more than 1 ppbv) as well as further downwind over the Atlantic Ocean and reaching into North Africa. The significant influence of soil NO<sub>x</sub> emissions on O<sub>3</sub> in the UT is due to upward transport of NO<sub>x</sub>-rich air masses from the LT by deep convection where it can be redistributed downwind as discussed in the previous sections. A lower impact on O<sub>3</sub> (less than 1 ppbv) occurs north of 16.5° N, where precipitation is less abundant and soil emissions are lower, and over the Guinean coast where the vegetation suppresses soil NO<sub>x</sub> emissions. Lower O<sub>3</sub> changes (less than 1 ppbv) are also found in the MT over the Gulf of Guinea and the Atlantic Ocean in air masses advected from the continent by the AEJ. According to these results, we estimate that soil NO<sub>x</sub> emissions in LMDz\_INCA have a maximum contribution of 6 to 8 ppbv to surface O<sub>3</sub> over the Sahel region, if we assume that O<sub>3</sub> changes due to excluding all the soil NO<sub>x</sub> emissions can be considered equal to 5 times the O<sub>3</sub> changes calculated from the 20 % test. Saunio et al. (2009) calculated a maximum contribution in the same range (7 ppbv) to surface O<sub>3</sub> from soil NO<sub>x</sub> emissions near 16° N in their latitude-altitude model run over West Africa. Since their model represented a longitudinal average over the region, they did not consider transport of O<sub>3</sub> precursors downwind and subsequent O<sub>3</sub> production which we find to be important.

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### 5.3 Influence of biogenic VOC emissions

Figure 8 shows results from the BIO\_red simulation in which biogenic VOC emissions were reduced by 20 % over Africa. It can be seen that the highest impact on O<sub>3</sub> occurs in the UT between 300 hPa and 100 hPa where changes of 2 to 3 ppbv are simulated over West Africa and the Atlantic Ocean. Aghedo et al. (2007) also reported a large contribution from African biogenic VOC emissions to O<sub>3</sub> above 300 hPa in the ECHAM5-MOZ model. Significant changes (up to 1 ppbv) are also simulated in LMDz\_INCA over central and north Africa. Results from the Conv\_off run (not shown) showed important decreases in isoprene concentrations above 400 hPa as a result of switching off the convection in this simulation. Moreover, using AMMA data, Bechara et al. (2010) showed up to 3 times higher VOCs in the UT during convective events. This suggests that convective uplift of VOC emissions into the UT provides an important source of peroxy radicals which, in the presence of NO<sub>x</sub>, either from lightning or uplifted from soil emission regions can lead to enhanced photochemical O<sub>3</sub> production. Lower but also significant O<sub>3</sub> changes (1 to 2 ppbv) are found over the Sahel and Gulf of Guinea in the LT and MT. We estimate that switching off biogenic VOC emissions in LMDz\_INCA would lead to maximum O<sub>3</sub> changes of nearly 8 ppbv in the LT and MT and 13 ppbv in the UT during the JJA season. Saunois et al. (2009) calculated maximum O<sub>3</sub> changes of 5 ppbv in the LT around 16° N and found no significant impact between 6° N and 13° N while in LMDz\_INCA O<sub>3</sub> changes can reach 4 ppbv over the same region. This difference may be due to differences in VOC and NO<sub>x</sub> emissions, and to the fact that only isoprene and terpenes emissions were switched off in Saunois et al. (2009), while other VOCs (e.g. methanol) were also switched off in BIO\_red. Aghedo et al. (2007) calculated O<sub>3</sub> changes of 10 to 30 ppbv in the LT when biogenic emissions, including soil NO<sub>x</sub>, were excluded from their model. These changes can be compared to 16 ppbv in LMDz\_INCA.

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## 5.4 Influence of biomass burning emissions

Results from the -20% BB emissions test are shown in Fig. 9. The largest influence on  $O_3$  occurs in the LT and MT in the model. Changes up to 3 ppbv and 11 ppbv are simulated over the Gulf of Guinea and over the regions of intense BB activity in central Africa, respectively. Changes up to 4 ppbv are also simulated north of the BB emissions region as a result of northward transport of these emissions in the LT. Westward advection of BB plumes in the MT extends the impact BB emissions as far as 20° W where  $O_3$  changes of 2 to 8 ppbv are estimated over the Atlantic. The lowest impact occurs in the UT where maximum  $O_3$  changes of 2 ppbv and 1 ppbv are found over central Africa and the Atlantic Ocean, respectively. Williams et al. (2010b), using various TM4 simulations, also showed that the most significant effect of central African BB emissions occurs in the LT and MT. In the case of LMDz\_INCA, it was already noted that the model fails to reproduce the enhancements in  $O_3$  observed over the Gulf of Guinea due to weak northward transport of BB emissions in the MT (see Sect. 4.1). Furthermore, comparison with MOZAIC data (see Sect. 4.2) shows that the model tends to underestimate  $O_3$  in the UT south of the Equator due to underestimation of  $O_3$  production from BB emissions and to weak lightning  $NO_x$  emissions. Therefore, the contribution of BB emissions to  $O_3$  in the UT and over the Gulf of Guinea could be higher than estimated from these model calculations.

Turning off all African BB emissions in LMDz\_INCA during JJA leads to  $O_3$  changes in the LT of up to 55 ppbv over central Africa and up to 15 ppbv over the Gulf of Guinea. Similar changes were calculated by Aghedo et al. (2007) over these regions (up to 60 ppbv and 15 ppbv, respectively) when BB emissions were excluded from their model. However, they showed an influence on  $O_3$  extending far inland reaching 15° N especially over east Africa where they calculated 5 to 10 ppbv  $O_3$  changes. In LMDz\_INCA (see Fig. 9), this influence extends only to 6° N. This difference is probably due to differences in meteorological forcing (Aghedo et al. (2007) used ECMWF data for the 1997–2001 period while we focus on 2006) leading to differences in transport pathways

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of BB emissions. As shown by Mari et al. (2008), the export of BB plumes out of central Africa can change markedly on daily basis and is controlled by the variability of the southerly branch of the AEJ. In the MT and UT we can expect to see O<sub>3</sub> changes respectively of 20 to 40 ppbv and up to 4 ppbv over the Atlantic Ocean. Williams et al. (2010b) calculated similar O<sub>3</sub> changes (up to 30 ppbv in MT and up to 5 ppbv in UT) over the Atlantic Ocean (between 3° W–6° E) during JJA 2006 when south African BB emissions were switched off in TM4, although chemical production efficiency of O<sub>3</sub> is higher in this model compared to LMDz-INCA (Williams et al., 20010a). Williams et al. (2010b) used the GFEDv2 BB inventory which emits lower quantities of CO and NO<sub>x</sub> compared to the L3JRC inventory used in our study. Our results show that BB emissions over central Africa are a major source of ozone over equatorial Africa during summer monsoon.

## 5.5 Influence of anthropogenic emissions

Figure 10 shows results from the ANTH\_red run where African anthropogenic emissions were reduced by 20%. They make only a small contribution to O<sub>3</sub> over equatorial Africa in comparison to other emissions. This agrees with Williams et al. (2010b) who showed only few percent increases in O<sub>3</sub> occurring in the LT when anthropogenic emissions were increased by 8.4% over Africa in TM4. The O<sub>3</sub> changes in the ANTH\_red run are mostly less than 1 ppbv and occur in the LT over the anthropogenic emission regions near the Guinean coast and central southern Africa. Changes of less than 1 ppbv occur also at and above 240 hPa extending into the Atlantic Ocean and Gulf of Guinea. In another simulation where only CO and NO<sub>x</sub> anthropogenic emissions were reduced by 20% and not VOCs (not shown), lower O<sub>3</sub> changes (35% less than changes in ANTH\_red) were simulated above 240 hPa. This suggests that convective uplift of anthropogenic VOCs may make a small contribution to O<sub>3</sub> formation in the upper troposphere–lower stratosphere (UTLS). According to these results, we estimate that switching off the anthropogenic emissions in LMDz-INCA leads to O<sub>3</sub> changes of up to 3 ppbv in the LT over Nigeria and South Africa and 3 to 6 ppbv over Angola and

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the Central African Republic. These results are similar to the changes calculated by Saunois et al. (2009) over West Africa. Aghedo et al. (2007) calculated higher changes (up to 6 ppbv) over Nigeria but lower changes (1 to 2 ppbv) over central Africa. This difference is probably due to differences in anthropogenic emissions (based on the EDGARv2 inventory in Aghedo et al., 2007) and their spatial distribution. Whilst, anthropogenic emissions appear to have a small influence on O<sub>3</sub> at present, they may increase in the near future, in particular over Africa and Asia, according to IPCC AR5 emissions scenarios. This is examined further in Sect. 6.

## 5.6 Influence of Asian emissions

In the ASIA\_red run, all anthropogenic, biogenic and BB emissions were reduced by 20% over south-east Asia (5° S–32.5° N, 67.5° E–123° E). Figure 11 shows the influence of Asian emissions on CO concentrations in the UTLS which, due to its lifetime of 1–2 months, can be used as a tracer of polluted air masses. Changes in CO mixing ratios of up to 12 ppbv and 8 ppbv are calculated, respectively, over the convective regions of south-east Asia and over the Middle-East and east Africa. The impact extends into the eastern part of West Africa where CO changes of 2 to 4 ppbv are calculated above 180 hPa. This extension of the Asian pollution influence is due to the TEJ which is stronger at and above 180 hPa and which leads to westward transport of air masses from Asia. Barret et al. (2008) and Law et al. (2010) also established that westward transport of such air masses by the TEJ contributes to CO in the TTL over West Africa. The ASIA\_red results show that the highest contribution of Asian polluted air masses to O<sub>3</sub> over West Africa occurs in the TTL (Fig. 12) where maximum changes of 2 to 4 ppbv are calculated at 100 hPa. At this level, the influence (1 to 3 ppbv O<sub>3</sub>) extends into central Africa and further downwind over the Atlantic Ocean.

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## 5.7 Ozone changes normalized per unit emission

Further analysis of the  $O_3$  sensitivity experiments is presented in this section since the 20 % perturbations lead to different reductions in emissions in terms of absolute amounts (in Teragrams) between the different runs (for example  $NO_x$  emissions from lightning and soils or CO from BB and anthropogenic emissions). Here, we normalize the resulting  $O_3$  change ( $\Delta O_3$ ) with respect to the amount of  $NO_x$  emissions (Tg N) reduced by the 20 % perturbation ( $\Delta O_3/\Delta Tg N$ ). Figure 13 shows these normalized results calculated for each sensitivity test (except BIO\_red) averaged over JJA between 20° S and 20° N. It can be seen that the LiNO<sub>x</sub>.red and BB.red simulations show the highest fraction of  $O_3$  change (up to 9 ppbv/Tg N and 8 ppbv/Tg N, respectively) while lower changes (up to 5 ppbv/Tg N) are calculated in SNOX\_red, ASIA\_red and ANTH\_red. The results suggest a larger sensitivity of  $O_3$  concentrations to changes in  $NO_x$  emissions from lightning and BB compared to other emissions. One explanation for this different response is that lightning and BB  $NO_x$  emissions are concentrated in the UT and LT, respectively. In contrast, anthropogenic and soil  $NO_x$  emissions, which occur in convective regions during the monsoon, are redistributed throughout the troposphere by deep convection over West Africa. Convective mixing with air masses containing higher water vapour during convective uplift may also lead to  $O_3$  destruction. This explains the higher  $O_3$  production potential from lightning and BB  $NO_x$  emissions. Import of emissions from Asia also makes a significant contribution to  $O_3$  over West Africa. The normalized results show a negative gradient from east to west in  $O_3$  changes in the UT with higher changes over Asia and lower changes over West Africa. This westward decrease in  $O_3$  changes may be due to mixing of Asian air masses with less polluted air uplifted over marine regions or forested regions over West Africa.

In order to make a relative comparison between the BIO\_red simulation, where only VOC emissions were reduced, and the other tests which also included VOC or CO reductions, Fig. 14 shows  $O_3$  changes normalized by changes in carbon emissions due to the 20 % perturbations ( $\Delta O_3/\Delta Tg C$ ). As expected, normalized  $O_3$  in BIO\_red occurs

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mainly in the LT but also above 200 hPa and downwind where maximum changes up to 0.26 ppbv/Tg C are calculated. This is comparable to BB\_red (0.3 ppbv/Tg C) in the LT but is higher than ASIA\_red results over West Africa (around 0.2 ppbv/Tg C). The maximum ozone changes in BIO\_red occur over a larger region (20° W to 20° E) than ANTH\_red. Therefore, even if they occur at different altitudes, the O<sub>3</sub> changes due to biogenic VOC emissions are as important as the changes due to BB emissions. They are also higher than changes due to Asian or anthropogenic emissions.

This analysis allows us to conclude that the influence of emissions on O<sub>3</sub> is found both over the continent and downwind over the Atlantic Ocean. The emissions primarily influencing O<sub>3</sub> over equatorial Africa are lightning NO<sub>x</sub> and BB. However, the results vary with altitude. In the LT, BB emissions make the largest contribution over central Africa while soil NO<sub>x</sub> and biogenic emissions dominate over West Africa. Anthropogenic emissions also contribute, albeit to a lesser extent, to O<sub>3</sub> in the LT over the high emission regions (e.g. Nigeria). In the MT, BB emissions also make the largest contribution to O<sub>3</sub> particularly over central Africa and the Atlantic Ocean at altitudes lower than 600 hPa. Between 600 hPa and 400 hPa O<sub>3</sub> is mainly influenced by lightning and soil NO<sub>x</sub> over West Africa. Lightning NO<sub>x</sub> dominates O<sub>3</sub> changes in the UT and has an impact over a large region extending from West Africa to the Brazilian coast. Significant but lower contributions are also found from soil NO<sub>x</sub> and anthropogenic emissions. Biogenic VOC and Asian emissions have their largest impact on O<sub>3</sub> in the UTLS over the African continent with only a small impact downwind over the Atlantic Ocean in the case of biogenic VOCs. BB emissions need to be transported from central Africa to the convective regions in order to be uplifted and have an impact on the UT. This could explain their lower contribution to O<sub>3</sub> in the UT compared to other emissions which are directly subject to deep convective uplift over West Africa. This lower contribution could also be due to the underestimation of O<sub>3</sub> production from the central African BB emissions in the model as discussed in Sect. 4.2.

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## 6 Tropospheric ozone over Africa in 2030

In this section we investigate the possible changes in  $O_3$  in 2030 due to future growth in African and Asian anthropogenic emissions using estimates developed for the IPCC AR5 report. The anthropogenic emissions in the INCA\_2030 run were based on the representative concentration pathway (RCP) RCP45 scenario (Clarke et al., 2007) designed to limit global warming to  $4.5 W m^{-2}$ . We used 2006 meteorology to ensure that possible changes are only due to changes in emissions. The most important emission changes (Table 2) occur over Asia where increases of 70 %, 65 % and 73 % in emissions of CO,  $NO_x$  and VOCs, respectively, are calculated. Over Africa, CO and  $NO_x$  emissions increase significantly by 150 % and 18 %, respectively, while there are no significant changes in VOC emissions, according to this scenario.

Figure 15 shows absolute and percentage differences in CO and  $O_3$  between present day (KE\_AMMA) and future (INCA\_2030) runs. CO increases of up to 24 ppbv and 15 ppbv are calculated over Africa in the LT and UT, respectively. Percentage differences show that the most important CO changes (10 to 20 %) occur in the UT above 400 hPa with maxima over east Africa above 200 hPa. Interestingly, the largest  $O_3$  changes (3 to 5 ppbv) also occur in UT over east Africa while lower changes (2 to 3 ppbv) occur over West Africa. Lower  $O_3$  increases (less than 1 ppbv) are predicted in the LT. The east-west negative gradient in CO and  $O_3$  increases in the UTLS calculated in INCA\_2030 shows that future  $O_3$  over West Africa may be highly sensitive to increasing Asian emissions. Therefore, according to LMDz\_INCA results,  $O_3$  over tropical Africa will be more sensitive to growth in anthropogenic emissions over Asia than over Africa, at least up to 2030. Since the largest changes occur in the UT this has implications for radiative forcing estimates from tropospheric ozone. Other models may show different sensitivities and results will depend not only on deep convection over Africa but also on convective uplift of  $O_3$  precursors over Asia related to the Asian summer monsoon.

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## 7 Conclusions

In this study, the global chemistry-climate model LMDz-INCA was used to investigate the contribution of African and Asian emissions to tropospheric ozone over equatorial Africa during the monsoon season. In order to evaluate model performance, simulations were compared to in-situ measurements from the AMMA and MOZAIC programs as well as to satellite data from MOPITT and SCIAMACHY. The model is able to reproduce the main features of the chemical composition of the African troposphere. However, due to a low northward transport of central African biomass burning emissions in the model, the maximum concentrations of CO and O<sub>3</sub> seen in the middle troposphere over the Gulf of Guinea are reproduced further south around 0–5° S. Model transport of BB emissions from central to West Africa may be improved using more recent meteorological analyses including additional AMMA observations. Improved treatment of injection height of BB emissions could also improve model results in the middle troposphere over the Gulf of Guinea as it increases the westward export of these emissions out of central Africa.

Uplift of air masses from the lower troposphere by deep convection and production of NO<sub>x</sub> from lightning significantly impact the chemical composition of the upper troposphere over Africa. Concentrations of CO and NO<sub>x</sub> in the upper troposphere are found to be higher in air masses influenced by convection, while uplift of O<sub>3</sub> poor air from forested regions in southern-west Africa reduces O<sub>3</sub> in the upper troposphere. Observed NO<sub>x</sub> profiles are underestimated by the model in the upper troposphere, especially in air masses influenced by convection. Increasing lightning NO<sub>x</sub> production in the model by 50 % over Africa improves the agreement. Convective uplift of central African biomass burning emissions also contributes significantly to CO and O<sub>3</sub> concentrations in the upper troposphere. Due to overestimation of CO emissions from biomass burning in the L3JRC inventory, the model somewhat overestimates CO in the upper troposphere over central Africa. Comparisons against MOZAIC show that the model is in fairly good agreement with O<sub>3</sub> measurements in the upper troposphere north of

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5° N, while concentrations are underestimated south of the equator. This discrepancy could be due to an underestimation of photochemical O<sub>3</sub> production from the central African BB emissions or to an underestimation of lightning NO<sub>x</sub> in the upper troposphere. Indeed, increasing the lightning NO<sub>x</sub> production by 50 % over Africa improves the agreement with MOZAIC data. Overall, the results suggest that NO<sub>x</sub> production from lightning over Africa should be increased by at least 50 % in the model and BB emissions should be slightly lower.

Different sensitivity studies to emissions were performed to assess their impact on O<sub>3</sub> over equatorial Africa. While the main O<sub>3</sub> changes in the lower troposphere due to African emissions occur over the continent, the highest changes in the middle and upper troposphere are found downwind over the Atlantic Ocean as a result of westward transport of continental air by the AEJ and TEJ, respectively. The results show that biomass burning and lightning NO<sub>x</sub> emissions are the main sources contributing to ozone over equatorial Africa during the monsoon season. Lightning NO<sub>x</sub> has the largest impact in the upper troposphere while biomass burning emissions mainly influence the middle and the lower troposphere. However, other emissions also have a significant contribution at certain altitudes (e.g. upper troposphere–lower stratosphere). While biomass burning emissions have the highest impact over central Africa, biogenic VOCs and soil NO<sub>x</sub> are the main emissions contributing to O<sub>3</sub> over West Africa in the lower troposphere. Soil NO<sub>x</sub> emissions also contribute significantly to O<sub>3</sub> in the upper troposphere. We have also shown that Asian emissions as well as African biogenic VOCs are the main sources contributing to O<sub>3</sub> in the upper troposphere–lower stratosphere. Compared to other categories, anthropogenic emissions have the lowest impact on O<sub>3</sub>. By using anthropogenic emission estimates for 2030 we have shown that, according to model simulations, ozone over equatorial Africa in the future may be more affected by the growth in Asian than in African emissions. Further assessment of future emission changes is required to confirm these results which will be sensitive to models treatments of chemistry and transport, particularly deep convection over monsoon regions.

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**Table 1.** Description of the LMDz-INCA simulations and sensitivity experiments used in this study. Sensitivity studies reducing emissions by 20 % were performed between 35° S–20° N and 20° W–40° E (see Fig. 1) over Africa.

Model simulation	Description	Emission changes in sensitivity studies
KE_AMMA	Control run based on the Emanuel (1993) convection scheme	
LiNOx_red	Lightning emissions reduced by 20 %	−0.23 Tg N
BB_red	Biomass burning emissions reduced by 20 %	−0.62 Tg N; −16.17 Tg C
BIO_red	Biogenic VOCs reduced by 20 %	−5.88 Tg C
SNOx_red	Soil NO <sub>x</sub> emissions reduced by 20 %	−0.16 Tg N
ANTH_red	Anthropogenic emissions reduced by 20 %	−0.06 Tg N; −1.36 Tg C
ASIA_red	Asian emissions (70° E–120° E, 10° S–40° N) reduced by 20 %	−0.4 Tg N; −10.4 Tg C
XLiNOx	Lightning emissions increased by 50 %	+0.58 Tg N
Conv_off	Convective transport switched off over Africa	
TI_AMMA	Simulation using the Tiedtke (1989) convection scheme	

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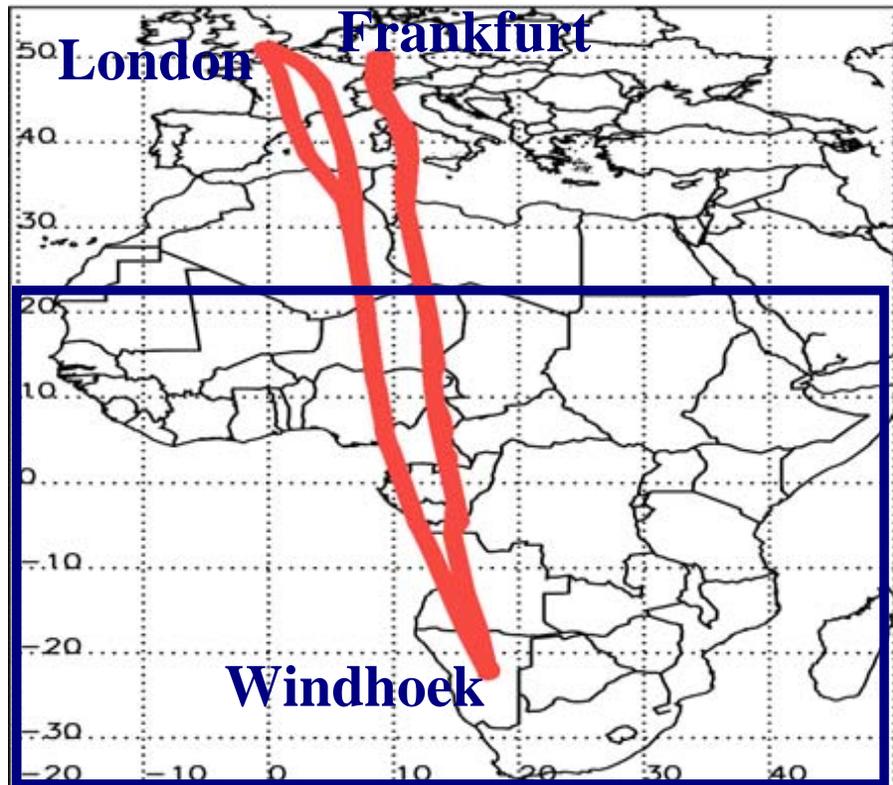
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**Table 2.** Percentage differences in African and Asian anthropogenic emissions of CO, NO<sub>x</sub> and VOCs between the AMMA and the IPCC RCP45 emissions scenario for 2030.

Species	Difference in Africa	Difference in Asia
CO	+150 % (85 Tg (CO)/yr)	+70 % (145 Tg (CO) /yr)
NO <sub>x</sub>	+18 % (0.5 Tg (N)/yr)	+65 % (3.8 Tg (N)/yr)
VOCs	−0.1 Tg (C)/yr	+73 % (19.5 Tg (C)/yr)



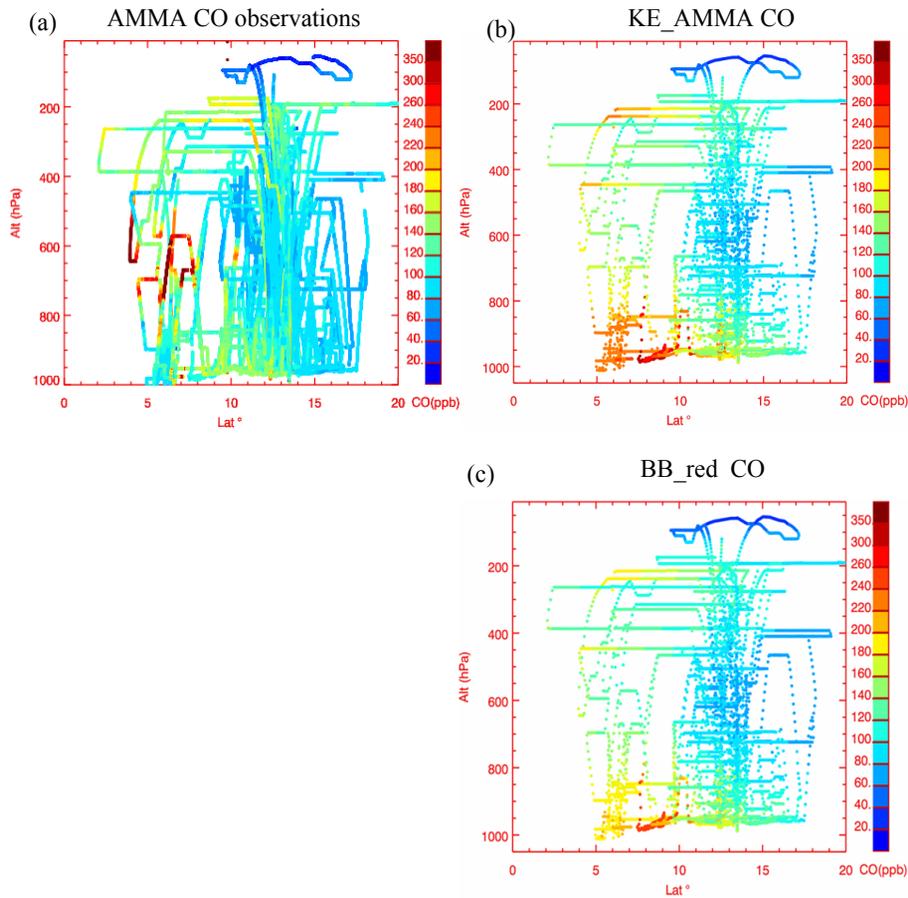
**Fig. 1.** MOZAIC aircraft flight trajectories (in red) between Windhoek (22.5° S–17.5° E, Namibia) and Frankfurt (50° N–8.6° E, Germany) and London (51.15° N–0.19° W, UK) in August 2006. The blue square indicates the region where emissions were reduced by 20% in sensitivity studies (see text and Table 1 for details).

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**Fig. 2.** Comparison of interpolated model output from KE\_AMMA (b) and BB\_red (c) simulations against CO measurements (a) color coded in terms of CO in ppbv along the north-south flights during the AMMA campaign in July and August 2006.

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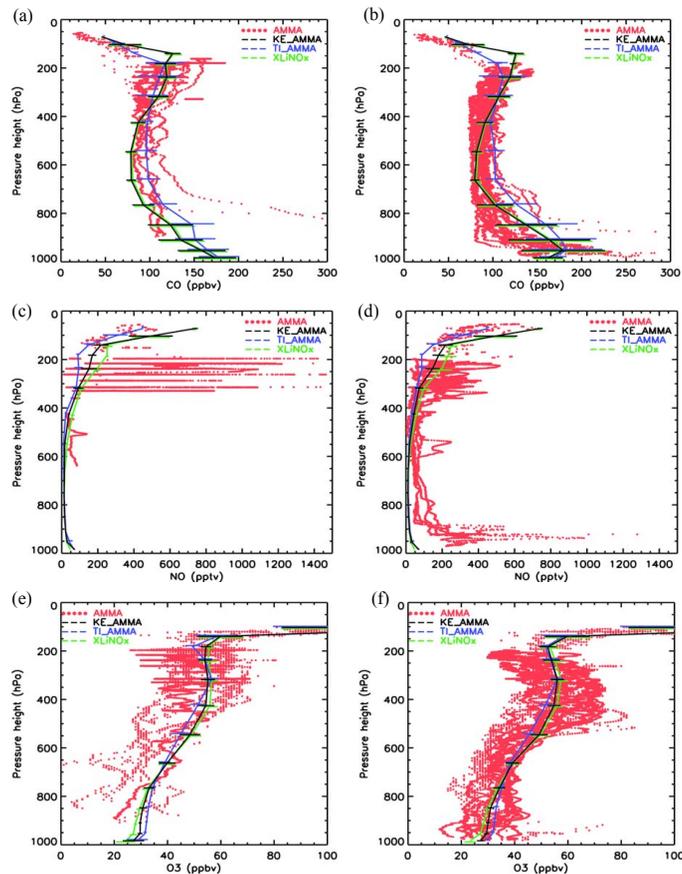
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**Fig. 3.** Vertical CO (a, b), NO (c, d) and O<sub>3</sub> (e, f) profiles observed (red dots) during the AMMA campaign in August 2006 and simulated by KE\_AMMA, TI\_AMMA and XLINOx. Observations and model results were divided flights recently impacted by convection (left) and not impacted by recent deep convection (right). See text for details. The horizontal lines indicate standard deviation of model results.

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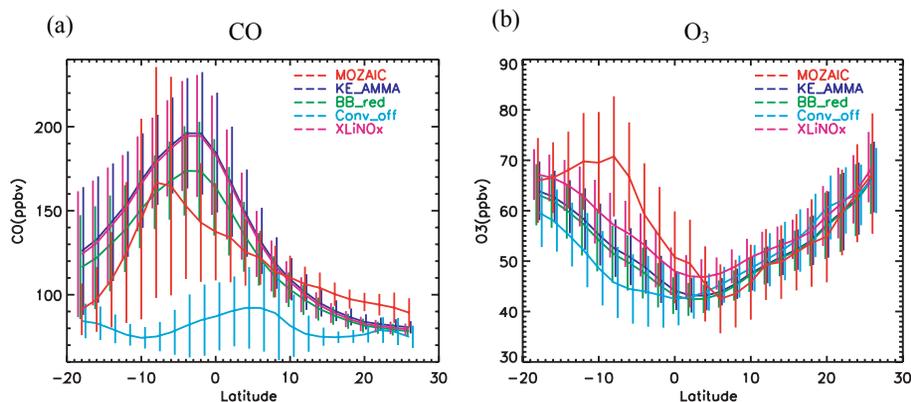
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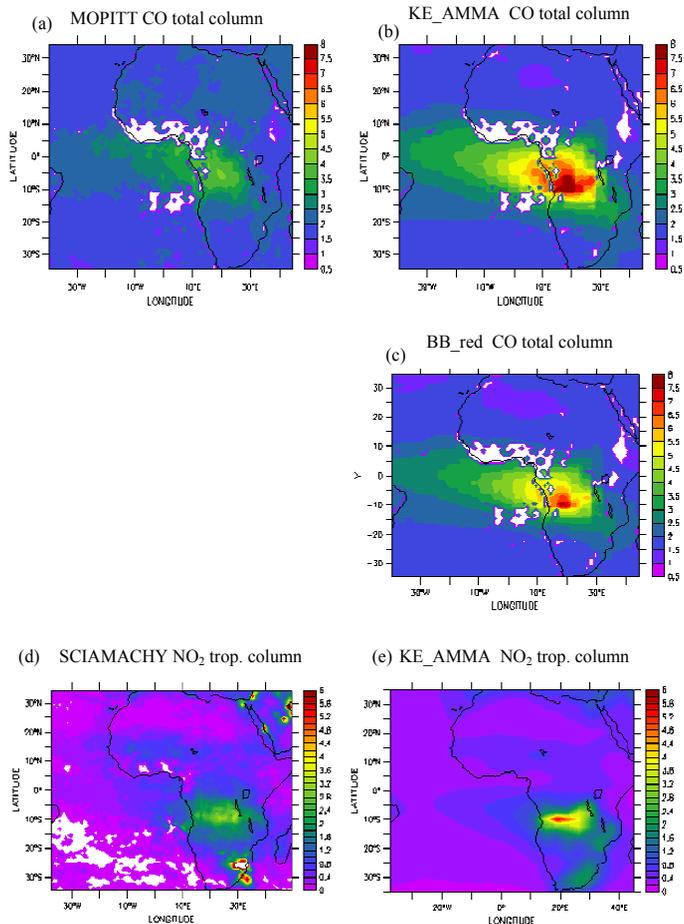
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**Fig. 4.** CO (a) and O<sub>3</sub> (b) concentrations (ppbv) observed as a function of latitude by MOZAIC aircraft in August 2006 and corresponding model output for the simulations KE\_AMMA, BB\_red, Conv\_off and XLI<sub>NOx</sub>. See text for details. The vertical lines indicate standard deviation of model results.

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**Fig. 5.** Total columns of CO ( $10^{18}$  molec. $\text{cm}^{-2}$ ) and tropospheric columns of NO<sub>2</sub> ( $10^{15}$  molec. $\text{cm}^{-2}$ ) observed by MOPITT (a) and SCIAMACHY (d), respectively, and corresponding KE\_AMMA and BB\_red model simulations (b, c, e) averaged for August 2006.

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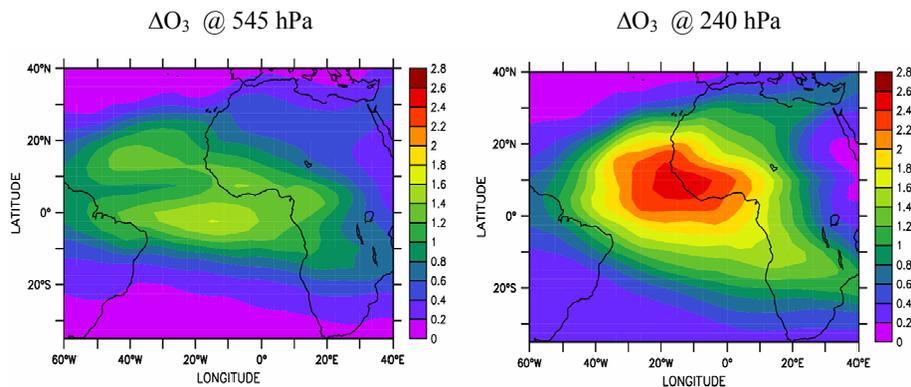
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**Fig. 6.** JJA mean  $O_3$  differences ( $\Delta O_3$ ) in ppbv at 545 hPa and 240 hPa between KE\_AMMA and LiNOx\_red runs.

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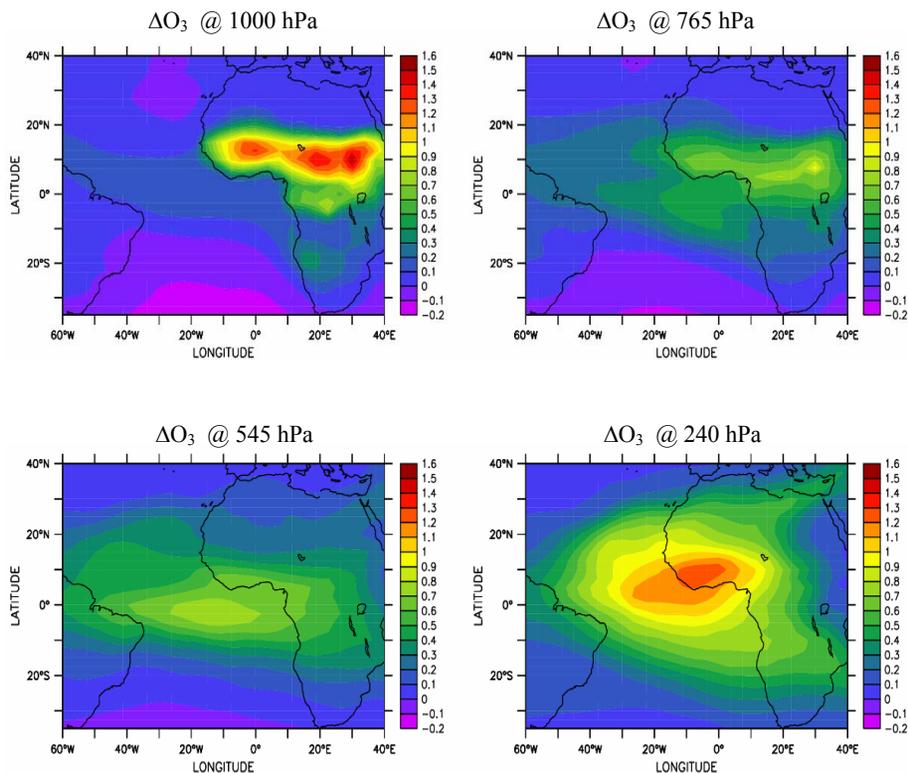
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**Fig. 7.** JJA mean  $O_3$  differences ( $\Delta O_3$ ) in ppbv between KE\_AMMA and SNOx.red runs at 1000 hPa, 765 hPa, 545 hPa and 240 hPa.

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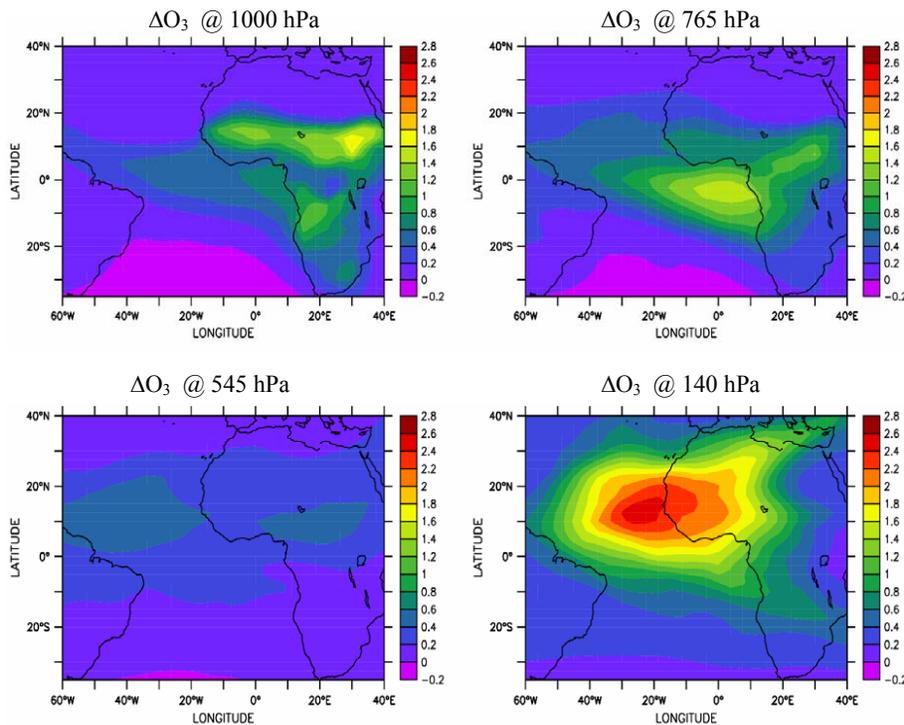
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**Fig. 8.** JJA mean  $O_3$  differences ( $\Delta O_3$ ) in ppbv between KE\_AMMA and BIO\_red at 1000 hPa, 765 hPa, 545 hPa and 140 hPa.

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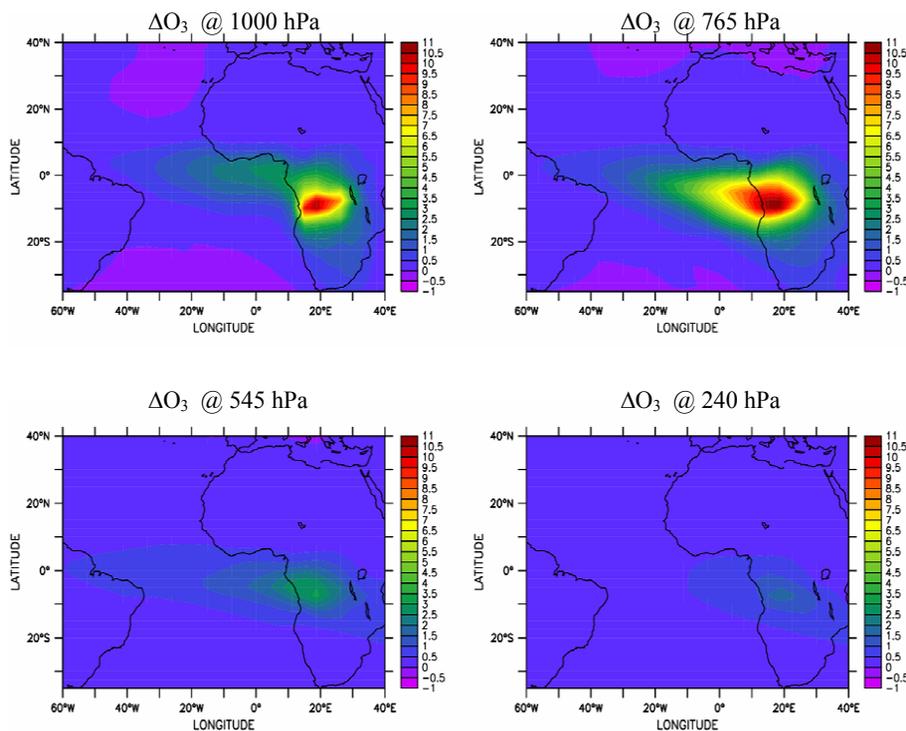
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**Fig. 9.** JJA mean  $O_3$  differences ( $\Delta O_3$ ) in ppbv between KE\_AMMA and BB.red at 1000 hPa, 765 hPa, 545 hPa and 240 hPa.

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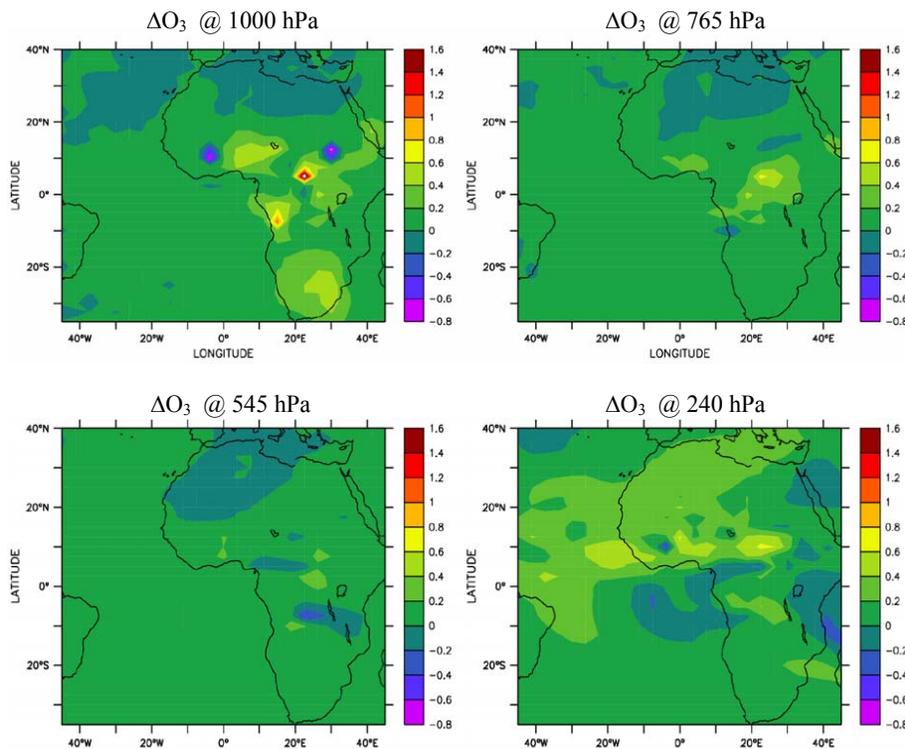
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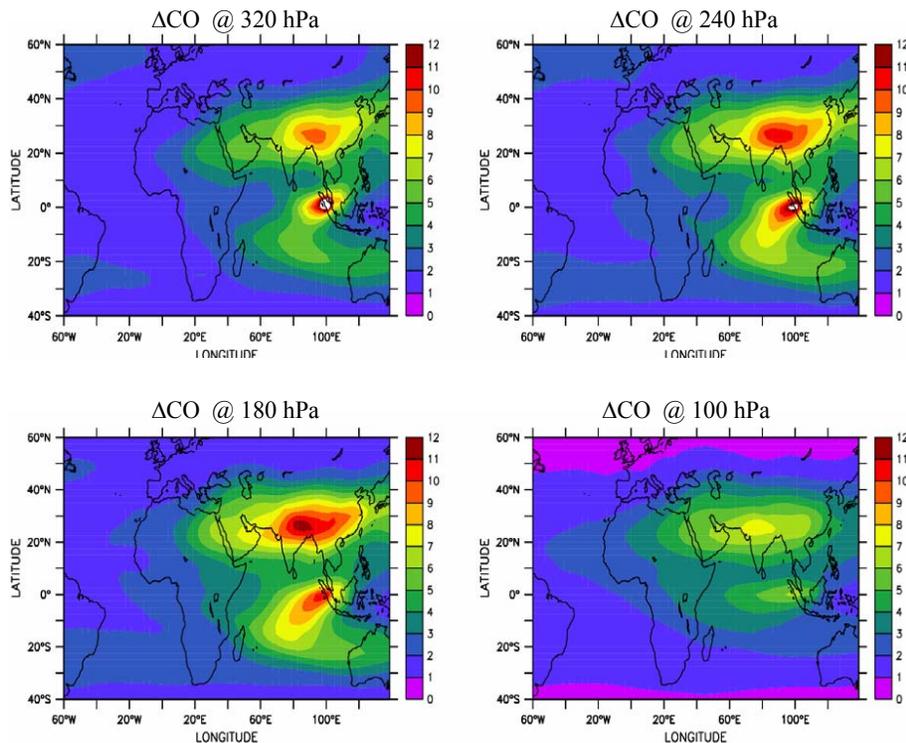


**Fig. 10.** JJA mean O<sub>3</sub> differences (ΔO<sub>3</sub>) in ppbv between KE\_AMMA and ANTH\_red at 1000 hPa, 765 hPa, 545 hPa and 240 hPa.

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**Fig. 11.** JJA mean CO differences in ppbv between KE\_AMMA and ASIA\_red at 320 hPa, 240 hPa, 180 hPa and 100 hPa.

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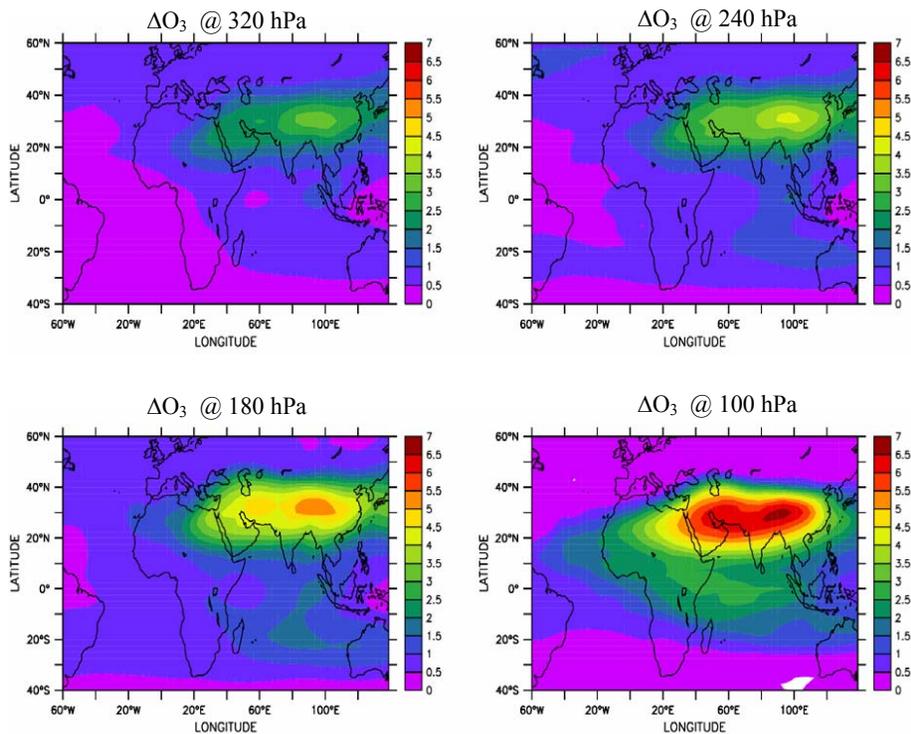


Fig. 12. Same as Fig. 11 but for  $O_3$  differences ( $\Delta O_3$ ).

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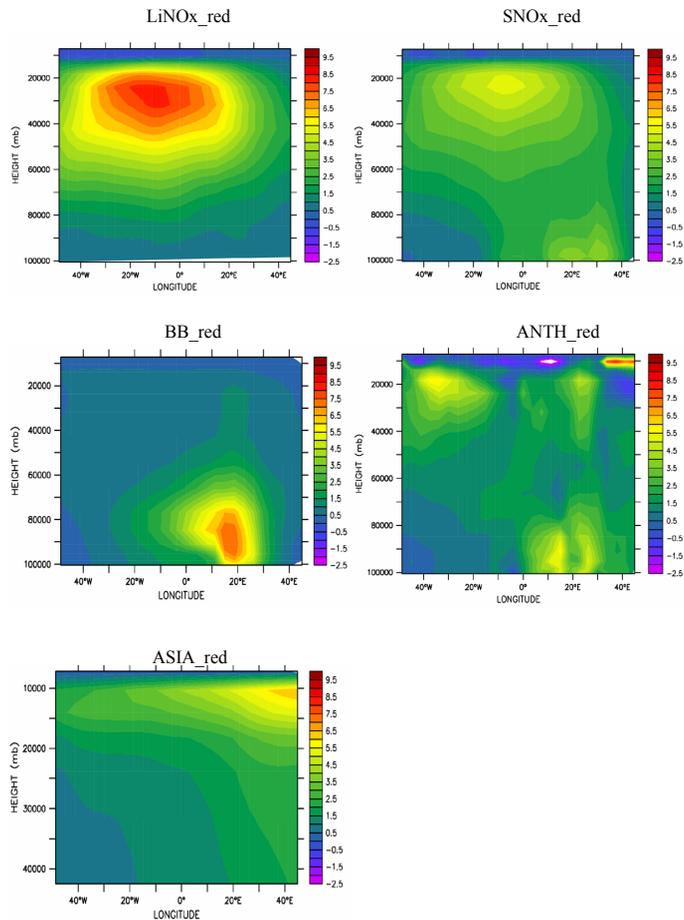
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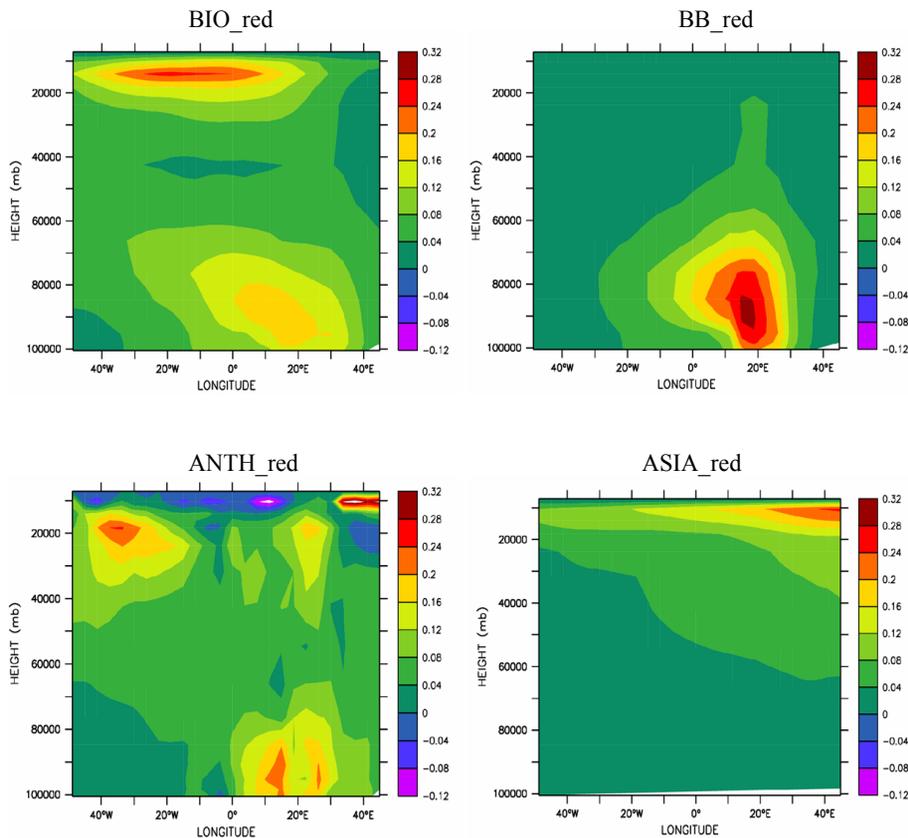
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**Fig. 13.** Ozone changes per unit change in  $\text{NO}_x$  emissions ( $\Delta\text{O}_3/\Delta\text{Tg N}$ ) in the LiNO<sub>x</sub>\_red, SNO<sub>x</sub>\_red, BB\_red, ANTH\_red and ASIA\_red simulations. Ozone changes averaged between June and August and over the 20° S–20° N latitudinal band.



**Fig. 14.** Ozone changes per unit change in Carbon emissions ( $\Delta O_3/\Delta Tg\ C$ ) in the BIO\_red BB\_red, ANTH\_red and ASIA\_red simulations. Ozone changes averaged over JJA and between 20° S and 20° N.

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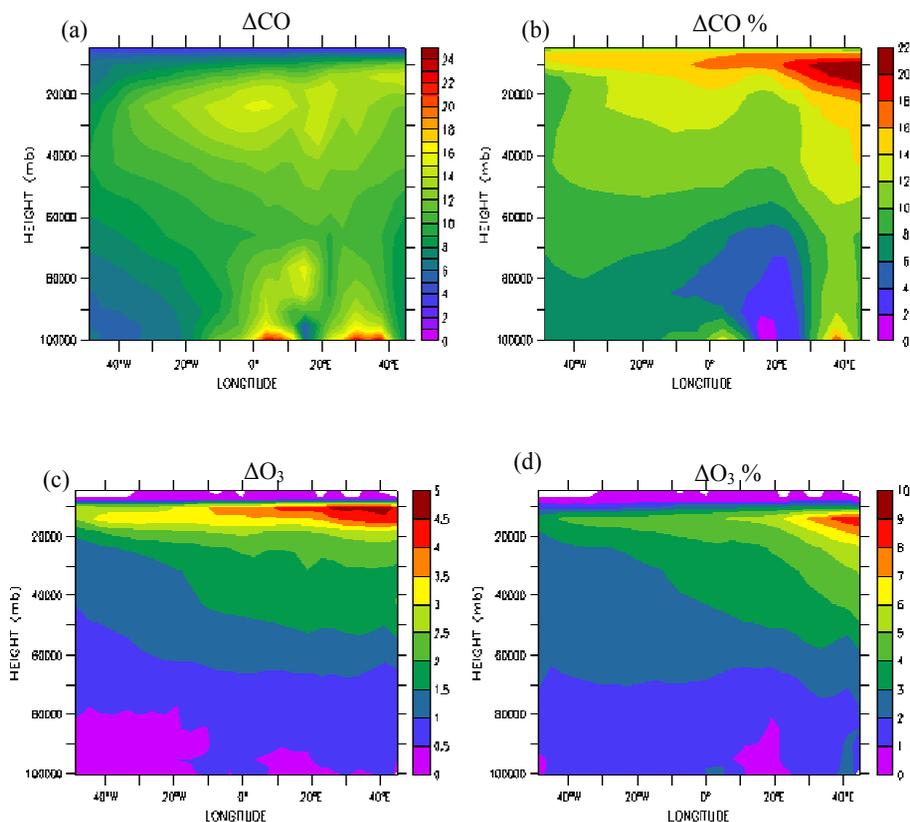
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**Fig. 15.** Differences (ppbv) (left) and percentage differences (right) of CO (a, b) and O<sub>3</sub> (c, d) between KE\_AMMA and INCA\_2030 averaged over JJA between 20° S and 20° N.

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